# **How To Use Event Tracing For Windows For Performance Analysis**

#### **Outline**

- Why use Event Tracing?
- How to use Event Tracing
- Event Tracing vs. PerfCounters
- What events should be logged
- An example
- The kernel logger
- The Future of ETW

#### Goals

- A better understanding Event Tracing for Windows
- How to use Event Tracing to increase driver quality
- How to help your customers use your software efficiently

## Why ETW?

- Unified logging facility provided by the OS
  - Provides holistic view of the system
- High speed
  - 1200 to 2000 cycles per logging event
- Low overhead
  - Less than 5% of the total CPU cycles for 20,000 events/sec
- Works for both user mode applications and drivers
- Tracing sessions and event provider separated
- Dynamically enabled or disabled
  - Designed to allow tracing of production code

# **How Event Tracing Works**

# **Event Tracing Layout**

Driver / App

Controller
TraceLog.exe

Consumer
TraceRpt.exe

## **Provider Registration**

Driver / App

Controller

TraceLog.exe

Consumer

TraceRpt.exe

Register yourself as a provider with the system. Register which events you provide

#### **Enabling Tracing**

Driver / App

Controller
TraceLog.exe

Consumer
TraceRpt.exe

Controller starts a trace file and enables tracing for specified providers

## **Enabling Tracing**

Driver / App

ControlCallback

**TRACEHANDLE** 

Controller

TraceLog.exe

Consumer

TraceRpt.exe

The provider receives a trace handle via a callback in user mode or interrupt in kernel mode

## **Sending Events**

Driver / App

**TRACEHANDLE** 

Controller

TraceLog.exe

Consumer

TraceRpt.exe

Send events via the trace handle to the trace session

## **Stop Tracing**

Controller Consumer TraceLog.exe TraceRpt.exe Driver / App Controller stops the trace session which notifies the provider **TRACEHANDLE** 

# **Processing the Trace**

Driver / App

Controller
TraceLog.exe

The consumer processes the log and outputs the events.

Consumer
TraceRpt.exe



## **Events vs. PerfCounters**

#### **Events vs. PerfCounters**

- Events
  - Discrete Events
  - Accurate CPU utilization
  - Freeform data
  - Detailed system info
  - ISR/DPC info

- PerfCounters
  - 100ms sampled
  - Aligned to system timer
  - Restricted by API
  - High-level diagnostic
  - Less overhead for continuous event

# **Logging Events**

## **Logging Events**

- State Changes
- Begin/End of significant operations
- Resource creation/deletion
- Other events related to performance or reliability
- Debug events

## **Event Header Layout**

- Event header is required for all ETW events
- GUID for the Event Class
- UCHAR for the Event Type
- USHORT for Version

#### Flags and Levels

- Developer-defined values to control event generation of the provider
- The Provider gets the current flag and level from the Controller
- Flags are logical groupings of events
- Levels are gradations of severity
  - Ex Debug events would be high level. Used only in exceptional cases.

# **An Example ETW Application**

#### **Creating Events**

- Assign a GUID to each provider
  - referred to as the ControlGUID
- Create an Event structure
- Assign a GUID to each event class
- Assign a UCHAR to each event type
- Create a MOF for each event type
  - On Windows XP and above must be compiler with mofcomp.exe
- Create a ControlCallback function for each provider

## My Events

```
DEFINE GUID( MyEventsGUID, x );
const UCHAR Event1Start = 0;
const UCHAR Event1End = 1;
const UCHAR Event2Start = 2;
const UCHAR Event2End = 3;
typedef struct MyEvent1 {
    EVENT TRACE HEADER m Header;
    UINT m uMyData;
    WCHAR m wsMyString[ 256 ];
} MyEvent1;
typedef struct MyEvent2 {
    EVENT TRACE HEADER m Header;
    UINT PTR m cMyPointer;
} MyEvent2;
```

# **MOF Description**

#### **Provider Class MOF**

```
#pragma classflags( "forceupdate" )
#pragma namespace( "\\\\.\\Root\\WMI" )
[Dynamic,
Description ("ETW Example Provider") : amended,
Guid("{FDAF6C10-8530-4e23-9D28-715CB763768E}"),
 locale ("MS\\lambda0x409")
class ExampleProvider:EventTrace
};
```

#### **Event Class**

```
[Dynamic,
  Description("ETW Example Events") : amended,
  Guid("{D3DD533F-9B62-4e78-8747-AAC84E75F5D0}"),
  DisplayName("ETW Example Events") : amended,
  locale("MS\\0x409")
]
class ExampleEventsClass:ExampleProvider
{
};
```

## **Example Event 1 MOF**

```
[Dynamic,
 Description("Example Event 1") : amended,
 EventType{0, 1},
 EventTypeName{"Event1 Start", "Event1 End"} : amended,
 DisplayName("Example Event 1") : amended,
 Version(0), locale("MS\\lambda0x409")]
class ExampleEvent1:ExampleEventClass
{
    [WmiDataId(1), Description("LoopCount"): amended,
     readl
     uint32 LoopCount;
    [WmiDataId(2), Description("MyString") : amended,
     StringTermination("NullTerminated"), format("w"),
     readl
     string MyString;
};
```

#### **Example Event 2 MOF**

```
[Dynamic,
 Description("Example Event 2") : amended,
 EventType{2, 3},
 EventTypeName{"Event2 Start", "Event2 End"} : amended,
 DisplayName ("Example Event 2") : amended,
 Version(0),
  locale("MS\setminus 0x409")]
class ExampleEvent2:ExampleEventClass
    [WmiDataId(1), Description("MyPointer"): amended,
     pointer,
     format("x"), read]
     uint32 MyPointer;
};
```

## **Registering MOF Descriptions**

- Compile your MOF on installation of your component
- Mofcomp.exe MyEvents.mof

#### **Control Callback**

```
TRACEHANDLE
            g hTrace
                                        = NULL;
BOOL
              g bTracingEnabled
                                        = FALSE;
ULONG WINAPI MyControlCallback(
    WMIDPREQUESTCODE RequestCode, PVOID Context,
    ULONG* Reserved, PVOID Buffer )
    if( RequestCode == WMI ENABLE EVENTS ) {
       g hTrace = GetTraceLoggerHandle( Buffer );
        g bTracingEnabled = TRUE;
       SetEvent( g hStartEvent );
    } else if ( RequestCode == WMI DISABLE EVENTS ) {
        g bTracingEnabled = FALSE;
    return 1;
```

#### Registering and Sending Events

```
Status = RegisterTraceGuids(
          MyControlCallbck,
          NULL,
          MyControlGuid,
          O, NULL, NULL,
          NULL,
          &RegistrationHandle);
if ( g_bTraceEnabled ) {
  Status = TraceEvent(
            TraceHandle,
            MyEvent );
UnregisterTraceGuids(
           RegistrationHandle );
```

- Register with ETW
  - Provide ControlGuid and Callback function
- On callback set/clear global flag *TraceOn*
- Instrument code at appropriate places
  - Check Trace Flag
  - Call TraceEvent
- Unregister

## Example Run

- Start ETWProvider.exe
- TraceLog.exe -f MyLog.etl -guid TraceGuids.txt start MyLog
- Run for a while
- TraceLog.exe -stop MyLog
- Tracerpt.exe MyLog.etl

#### Results

#### Summary.txt

```
Files Processed:
MyLog.etl
```

```
Dumpfile.csv
```

```
ETW Example Events, Event1 Start, 6190806, 0, "This is my Event1 String" ETW Example Events, Event2 Start, 6658869, 0x0012FF3C ETW Example Events, Event1 End, 7594995, 1, "This is my Event1 String" ETW Example Events, Event2 End, 8219079, 0x0012FF40
```

# **Kernel Mode / Managed Code Providers**

#### **Kernel Mode Providers**

```
Status =
 IoWMIRegistrationControl(
        pDeviceObject,
        WMI ACTION REGISTER );
if ( g bTraceEnabled ) {
    Status = IoWmiWriteEvent(
               WmiDataBlock );
Status =
 IoWMIRegistrationContol(
       pDeviceObject,
       WMI ACTION DEREGISTER );
```

- Register driver with WMI
- Process WMI IRP
  - IRP\_MJ\_SYSTEM\_CONTROL
- Instrument code at appropriate places
  - Check Trace Flag
  - Call IoWmiWriteEvent

Unregister

#### **Managed Code**

```
Guid SampleGuid = new Guid("...");
TraceProvider myProvider =
    new TraceProvider (
              "Sample",
              SampleGuid );
if ( myProvider.enabled )
      MyProvider.TraceEvent(
              TransactionGuid,
              EventType.Start,
              arg1, arg2 );
```

 TraceProvider class handles Registration and callback with ETW

- Instrument code at appropriate places
  - Check enabled
  - TraceEvent

# The Kernel Logger

## The Kernel Logger

- Special logger for kernel events
- Exclusively logged to by the OS
- Can be merged with other logs
- Global resource

#### **Kernel Events**

- Process and Thread creation/deletion
- Disk and File IO and Loader
- Memory faults
- Network Stack
- Registry Access
- Context Switch
  - For Windows XP, must register CSwitch.mof from CD
- ISR/DPC
  - Use –dpcisr switch to TraceLog.exe

## **Enable the Kernel Logger**

- Start ETWProvider.exe
- TraceLog.exe –f MyLog.etl –guid TraceGuids.txt –start MyLog
- TraceLog.exe –f KernelLog.etl –start
- Run for a while
- TraceLog.exe –stop
- TraceLog.exe –stop MyLog
- Tracerpt.exe MyLog.etl KernelLog.etl

#### **Merged ETW Results**

```
Files Processed:
MyLog.etl
KernelLog.etl
```

```
65 DiskIo
                     Write {3d6fa8d4...} |
     46
        UdpIp
                     Recv {bf3a50c5...} |
      2
        HWConfig
                     Default {01853a65...} |
        HWConfig
      1
                     CPU { 01853a65... } |
        HWConfig PhyDisk {01853a65...}
      1
        EventTrace Header {68fdd900...}
        92
        ETW Example Events Event 1End {d3dd533f...}
     91
        91
        ETW Example Events Event2 End {d3dd533f...} |
     91
        Thread
                     Start {3d6fa8d1...} |
     10
     12
        Thread
                  End {3d6fa8d1...} |
```

## **Merged ETW Results**

```
DiskIo, Write, 0x001C, 598311281 ...

UdpIp, Recv, 0xFFFFFFF, 598467302 ...

ETW Example Events, Event1 End, 0x0D90, 598779344 ...

ETW Example Events, Event2 End, 0x0D90, 599247407 ...

ETW Example Events, Event1 Start, 0x0D90, 600339554 ...

ETW Example Events, Event2 Start, 0x0D90, 600807617 ...

ETW Example Events, Event1 End, 0x0D90, 601743743 ...

DiskIo, Write, 0x0868, 601743743 ...
```

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#### **ETW Consumers**

- Event Log (Windows codenamed "Longhorn")
- RATT
- PIX for Windows (Q4)
- SysInternals TCPView and DiskMon
- VS Whidbey

## ETW on Longhorn Overview

- Key piece of Longhorn Instrumentation Infrastructure
- New Enhanced and Simplified API
  - Enhanced discovery of instrumented components
  - One set of APIs for tracing (ETW) and eventing (Longhorn EventLog Service)
- New features and improvements for Longhorn
  - Provider security to protect sensitive data
  - Multiplexing of events to multiple consumers
  - Activity ID support for correlating events
  - TDH library for a unified way to decode events
- More Events from Windows components
- More Third-Party ETW produces and consumers

#### **Call To Action**

- Use tracing to understand what is happening on the system
- Instrument your software for performance analysis and debugging
- Ship ETW enabled software
- Consider exposing selected events for your customers and partners

#### **Additional Resources**

- Web Resources
  - Event Tracing Reference
    - http://msdn.microsoft.com/library/default.asp?url=/library/enus/perfmon/base/about\_event\_tracing.asp
  - MOF Reference
    - <u>http://msdn.microsoft.com/library/default.asp?url=/library/en-us/perfmon/base/data\_types\_for\_event\_data.asp</u>
- WDK
  - Tools: TraceLog.exe
    - http://msdn.microsoft.com/library/default.asp?url=/library/enus/ddtools/hh/ddtools/tracelog\_b6beb1b9-7356-4975-8f53-2f2338ae1927.xml.asp
  - TraceRpt.exe available in windows\system32

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