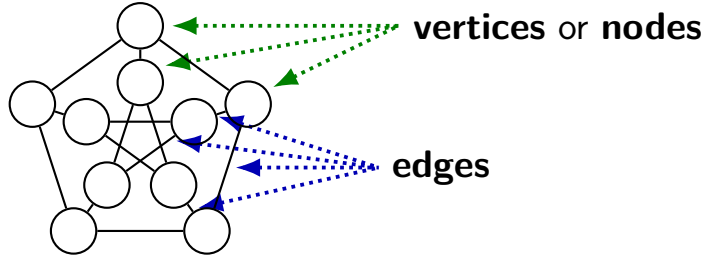
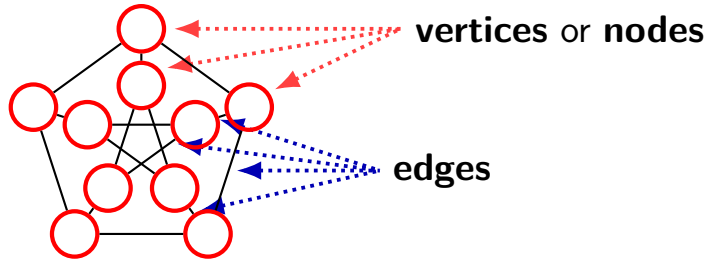


graphs

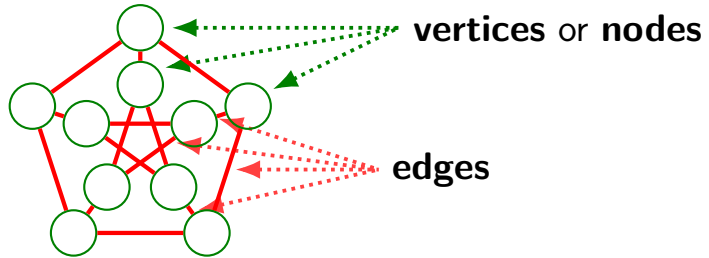
# vertices and edges



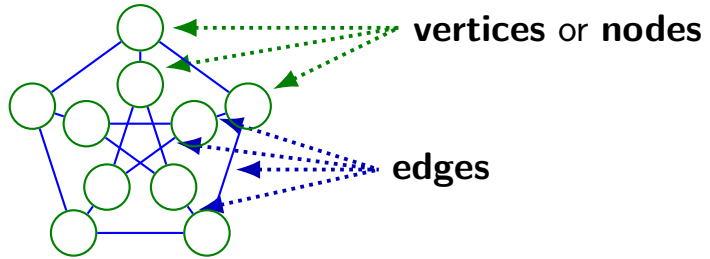
# vertices and edges



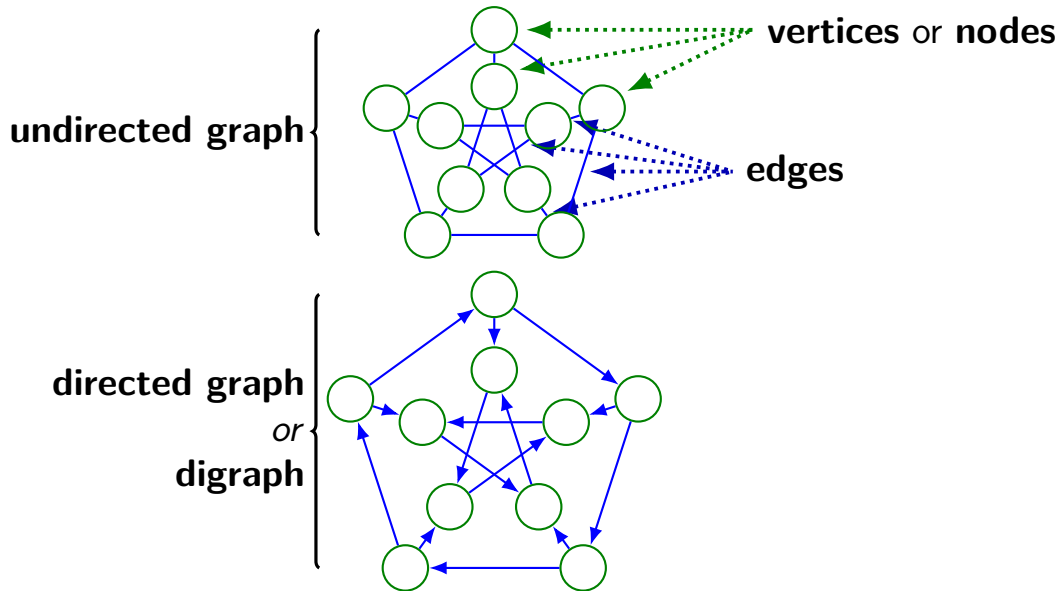
# vertices and edges



# vertices and edges



# vertices and edges



# example graphs

lots of things can be represented as graphs

# maps



nodes: intersections?  
edges: roads?

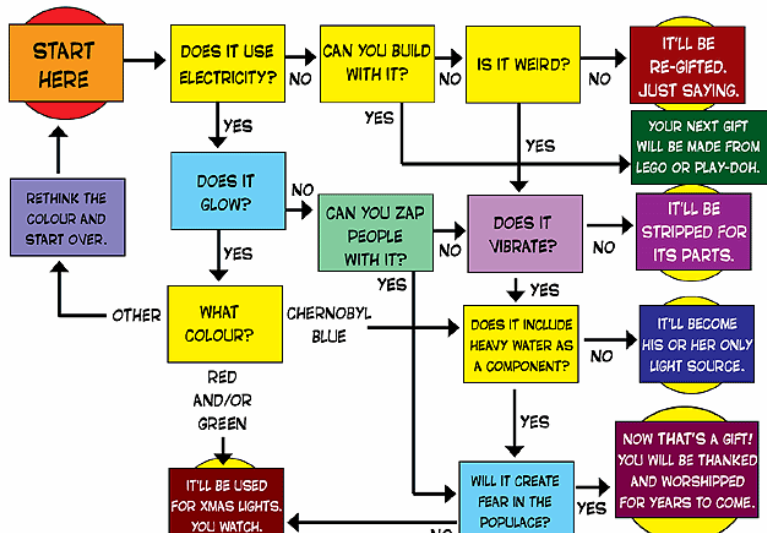


# airline routes

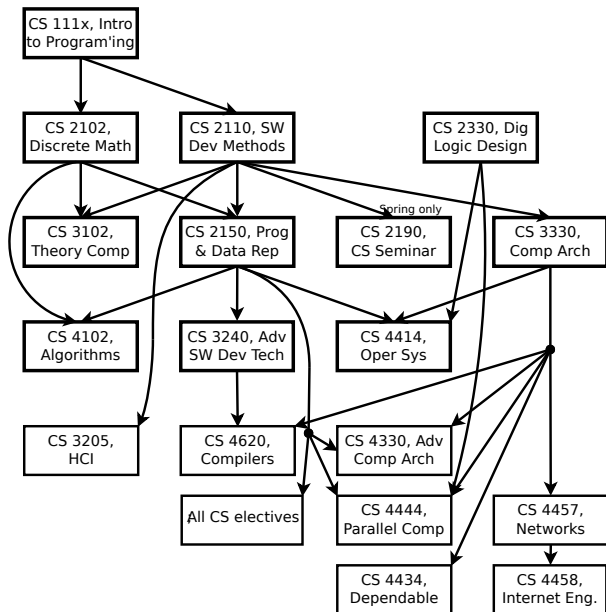


# flowcharts

## PREDICTION FLOWCHART FOR GEEK GIFTS.



# pre-requisite tree



# formal definition

graph  $G$ :  $G = (V, E)$

$V$ : set of vertices (possibly empty)

$E$ : set of edges — pairs of vertices (possibly empty)

directed graph/digraph — ordered pairs

undirected graph — unordered pairs

## paths, etc.

vertices  $v$  and  $w$  **adjacent** iff  $(v, w) \in E$  or  $(w, v) \in E$

**path:**  $v_1, v_2, \dots, v_n$  such that  $(v_i, v_{i+1}) \in E$  for  $1 \leq i \leq n$

**length** of path: number of **edges** in path

**simple path:** path of distinct vertices

# weighted graphs

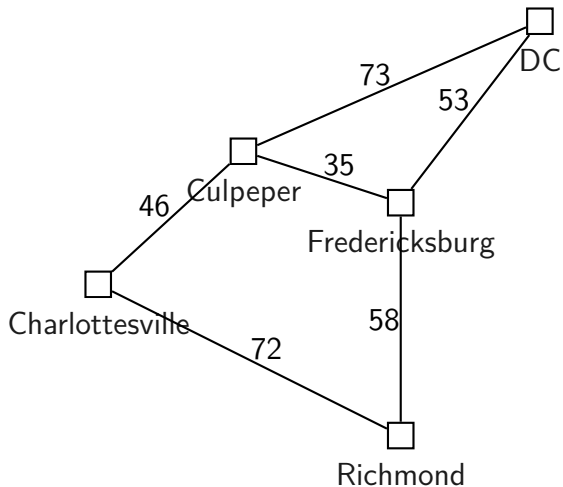
some graphs have **weights** or **costs** associated with edges

example motivation:

graph representing roads: weight = travel time

**weight** or cost **of a path** = sum of weights of edges in path

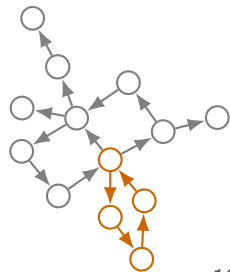
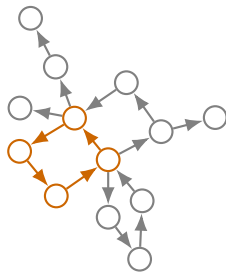
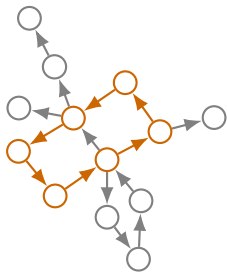
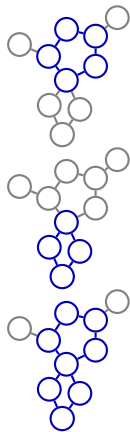
# weighted graph example



# cycles, etc.

**cycle:** path where length  $\geq 1$ ,  $v_1 = v_n$

undirected graph: ...and no repeated edges





# loops

$$(v, v) \in E$$



# graph terminology is not universal

some sources will use slightly different definitions:

**walk** instead of **path**

**path** instead of **simple path**

**closed walk** instead of **cycle**

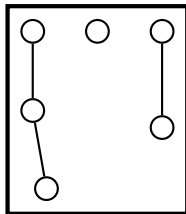
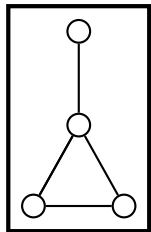
**cycle** instead of **cycle that is also a simple path**

# connectivity

**connected graph:** for all  $x, y \in V$ , there exists a path from  $x$  to  $y$

N.B: includes 0-length paths

a connected graph    a non-connected graph



## in a directed graph...

**DAG** — directed acyclic graph

no cycles

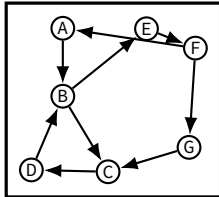
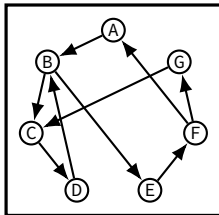
**strongly connected** — path from every vertex to every other

implies cycles (or digraph of 0 or 1 nodes)

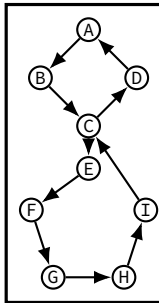
**weakly connected** — would be connected as undirected graph

# strong/weak connected examples

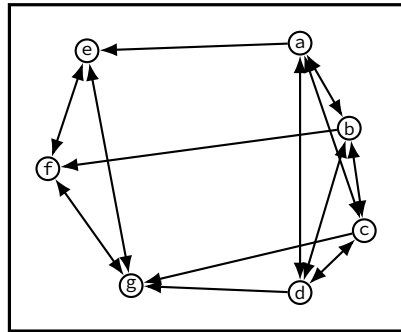
a strongly connected graph  
drawn in two ways



another strongly  
connected graph

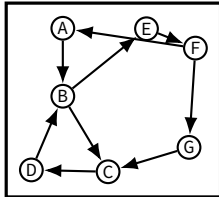
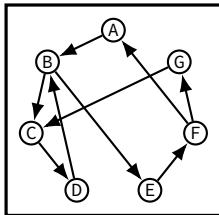


a weakly connected graph

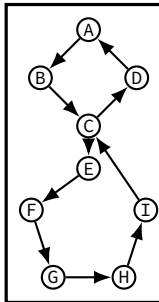


# strong/weak connected examples

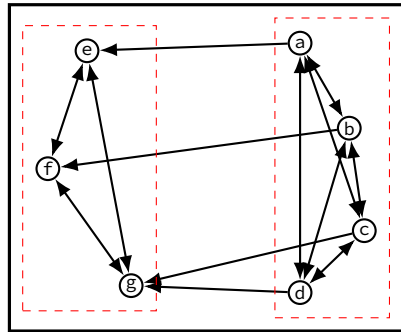
a strongly connected graph  
drawn in two ways



another strongly  
connected graph



a weakly connected graph



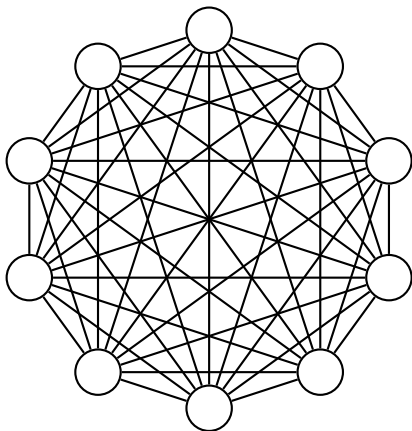
*two strongly connected components*

# trees as graphs

trees are connected, acyclic graphs  
(with a root chosen)

# complete graph

**complete graph:** graph with edges between every pair of distinct vertices

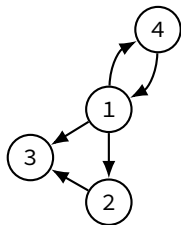




# adjacency matrix

$$A[u][v] = \begin{cases} \textit{weight} & \text{if } (u, v) \in E \\ 0 & \text{otherwise} \end{cases}$$

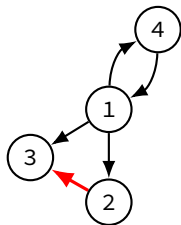
	1	2	3	4
1	0	1	1	1
2	0	0	1	0
3	0	0	0	0
4	1	0	0	0



# adjacency matrix

$$A[u][v] = \begin{cases} \textit{weight} & \text{if } (u, v) \in E \\ 0 & \text{otherwise} \end{cases}$$

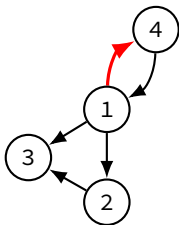
	1	2	3	4
1	0	1	1	1
2	0	0	1	0
3	0	0	0	0
4	1	0	0	0



# adjacency matrix

$$A[u][v] = \begin{cases} \text{weight} & \text{if } (u, v) \in E \\ 0 & \text{otherwise} \end{cases}$$

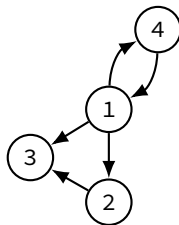
	1	2	3	4
1	0	1	1	1
2	0	0	1	0
3	0	0	0	0
4	1	0	0	0



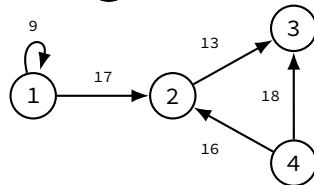
# adjacency matrix

$$A[u][v] = \begin{cases} \text{weight} & \text{if } (u, v) \in E \\ 0 & \text{otherwise} \end{cases}$$

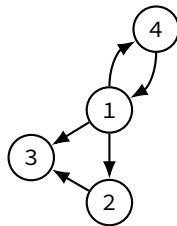
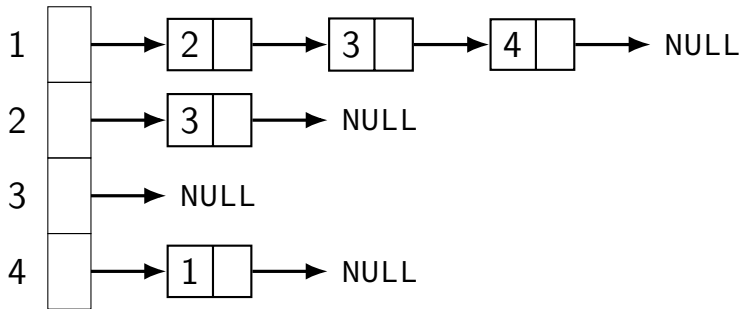
	1	2	3	4
1	0	1	1	1
2	0	0	1	0
3	0	0	0	0
4	1	0	0	0



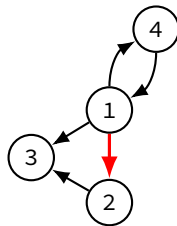
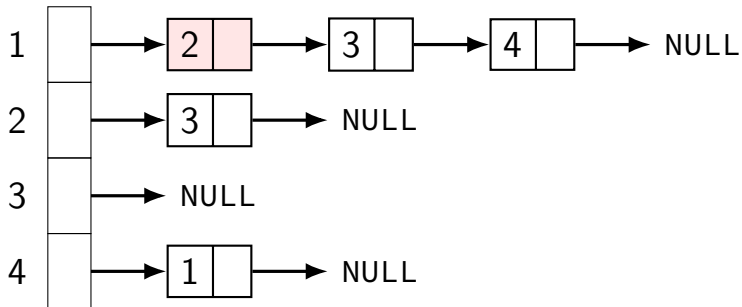
	1	2	3	4
1	9	17	0	0
2	0	0	13	0
3	0	0	0	10
4	0	16	18	0



# adjacency lists



# adjacency lists



# choosing representations

choice:

- adjacency matrix
- adjacency list
- more?

issues to consider:

- size
- ease of listing edges from node
- ease of determining if node X has an edge
- ...

# variations and alternate representations

adjacency lists might not use linked lists

adjacency matrix can be stored as hashtable (keys=pair of nodes)

...



# additional information with nodes

often want to store additional information with vertices, edges...

street names, speed limits, ...

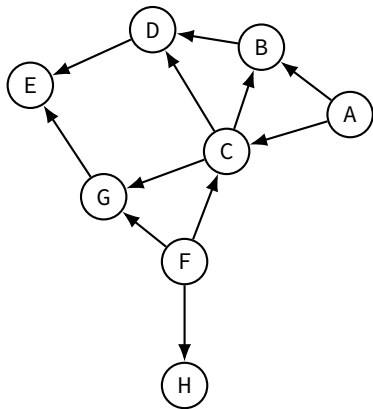
IP addresses, link speeds, ...

...

# topological sort

only defined for *directed acyclic graph*

order vertices such that if there is a path from  $v_i$  to  $v_j$ , then  $v_j$  is after  $v_i$



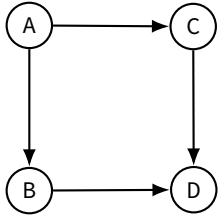
topological sorts:

A, F, C, B, D, G, E, H *or*

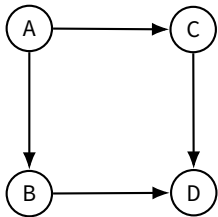
F, A, H, C, G, B, D, E *or*

...

## exercise: topological sort

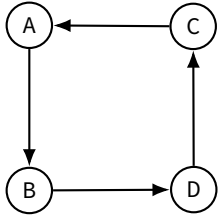


## exercise: topological sort

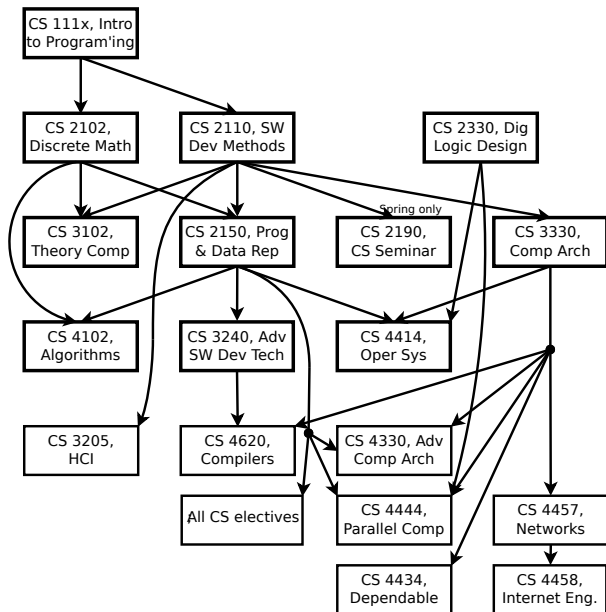


possible answers: A, B, C, D *or* A, C, B, D

# no topological sort

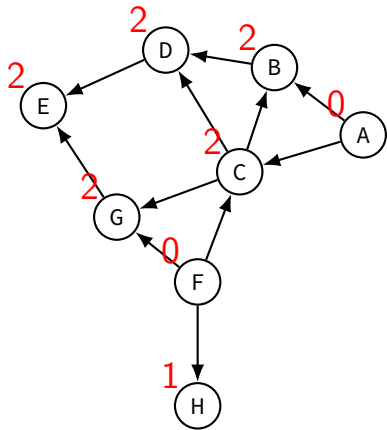


# pre-requisite tree



# definition: in-degree

*indegree* of vertex: number of *incoming* edges



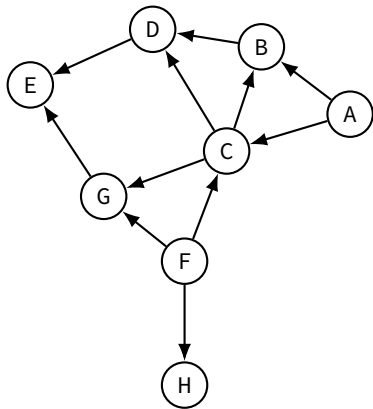
# algorithm (simple)

psuedocode:

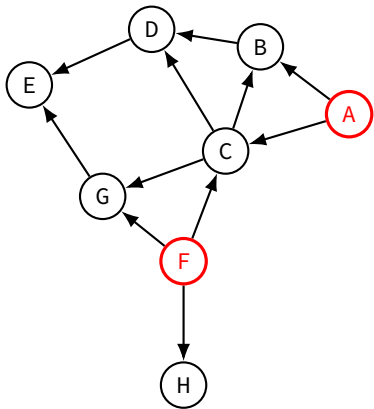
```
vector<Vertex> topologicalSort(Graph g) {  
    vector<Vertex> result;  
    for (int i = 0; i < numVertices; ++i) {  
        Vertex v = g.findVertexOfInDegreeZero();  
        if (did not find v) throw CycleFound();  
        result.push_back(v);  
        for (Vertex w : v.adjacentVertices()) {  
            g.deleteEdge(v, w);  
        }  
        g.deleteVertex(v);  
    }  
    return result;  
}
```



# example

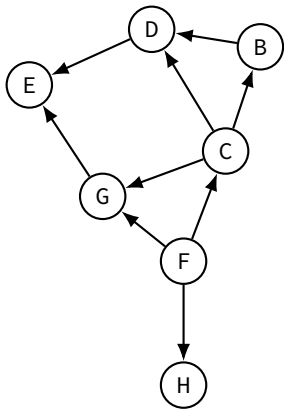


## example



initial in-degree 0 vertices — two choices

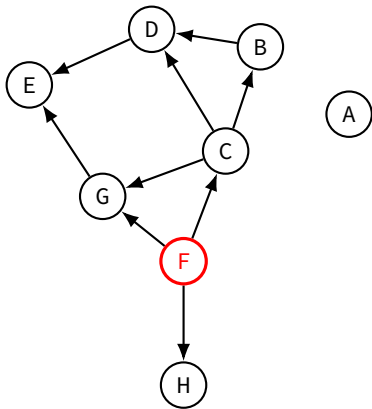
## example



A

choose one (A — arbitrary),  
add to result, remove edges  
result: A,

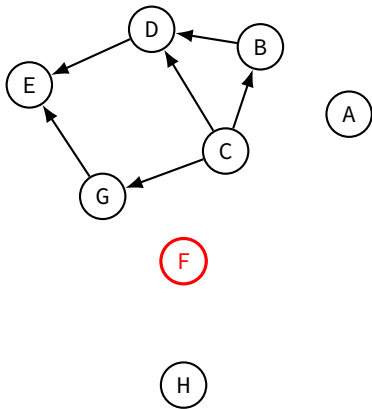
## example



one in-degree 0 vertex: F

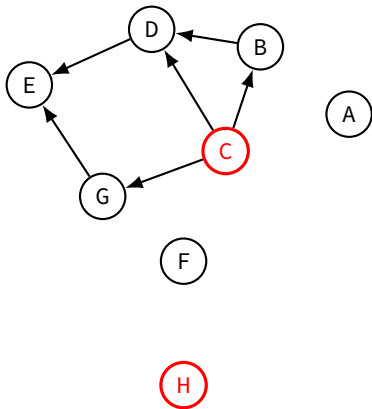
result: A,

## example



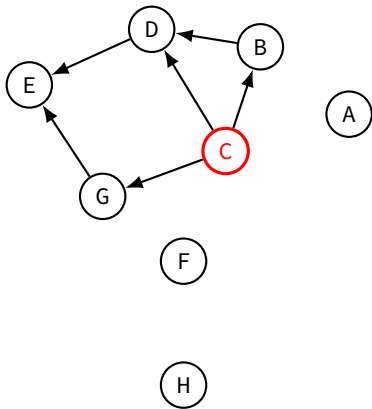
result: A, **F**,

## example



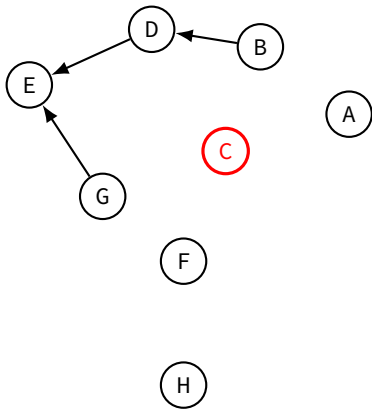
result: A, F, H,

## example



result: A, F, H,

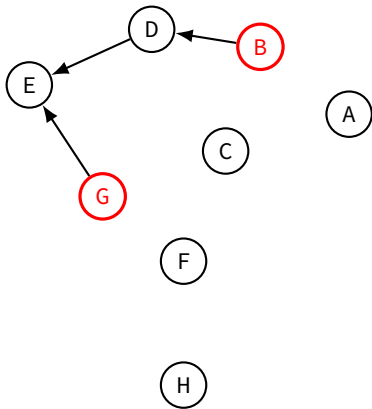
## example



result: A, F, H, C,

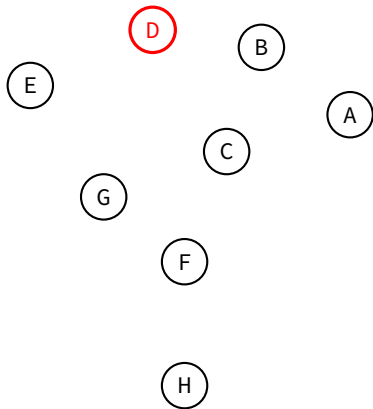


## example



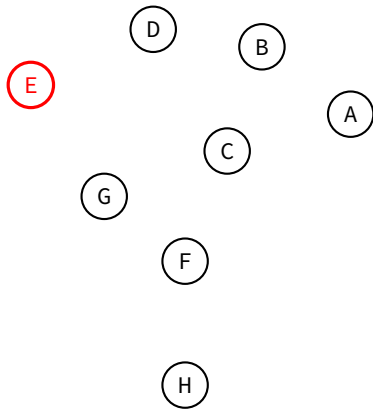
result: A, F, H, C, B, G,

## example



result: A, F, H, C, B, G, **D**,

## example



result: A, F, H, C, B, G, D, **E**,

# simple topological sort problems

problem: copying the graph?

problem: finding in-degree 0 vertex?

scan all vertices and all edges???

# better pseudocode

```
vector<Vertex> topologicalSort(Graph g) {  
    vector<Vertex> result;  
    map<Vertex, int> remainingInDegree = g.getInDegrees();  
  
    Queue<Vertex> pending;  
    for (Vertex v : g.vertices())  
        if (remainingInDegree[v] == 0)  
            pending.enqueue(v);  
  
    while (!pending.empty()) {  
        Vertex v = pending.dequeue();  
        result.push_back(v);  
        for (Edge e: g.edgesFrom(v)) {  
            int newDegree = --remainingInDegree[e.toVertex()];  
            if (newDegree == 0) pending.enqueue(e.toVertex());  
        }  
    }  
    return result;  
}
```

# psuedocode idea

track in-degree changes instead of full list of edges

all we care about is in-degree becoming 0

queue: vertices which have in-degree 0 to process

detect cycles? see if result size == number of vertices

# runtime analysis

assuming  $|E|$  edges,  $|V|$  vertices, and adjacency lists  
and in-degree map is constant time (e.g. vertices are 0, 1, 2, ..., so it's an array)

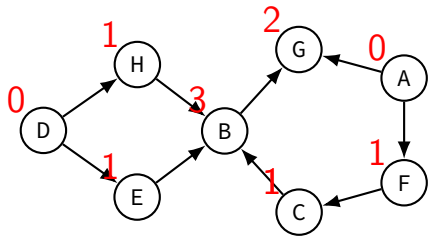
step 1: get all in-degrees  
 $\Theta(|E|)$  (iterate over edges)

step 2: find + enqueue in-degree 0 vertices  
 $\Theta(|V|)$  (iterate over vertices)

step 3: for each vertex, check outgoing edges  
 $\Theta(|V| + |E|)$  (each vertex checked exactly once, each edge checked exactly once)

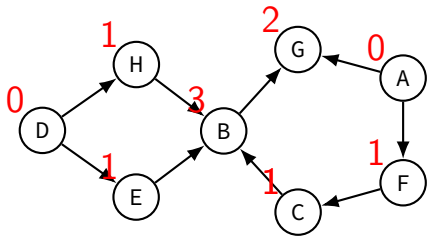
overall:  $\Theta(|V| + |E|)$

# example





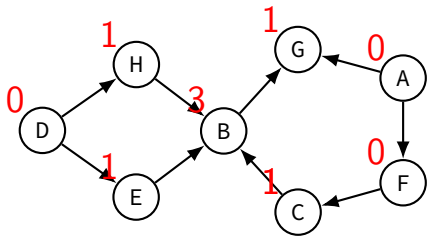
# example



queue: A, D,

result:

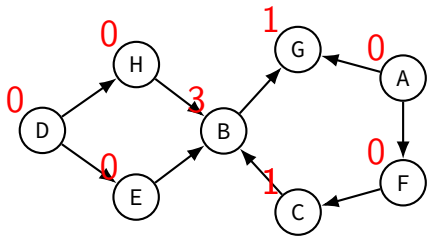
# example



queue: A, D, **F**,

result: **A**,

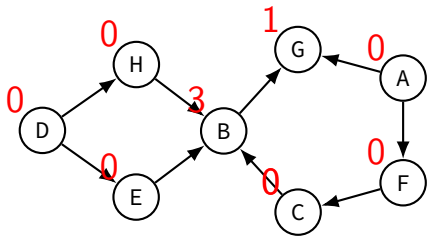
## example



queue: A, ~~D~~, F, **H**, **E**,

result: A, **D**,

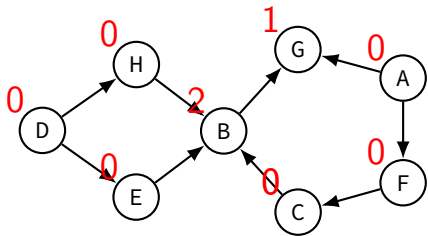
## example



queue: A, D, F, H, E, C,

result: A, D, F,

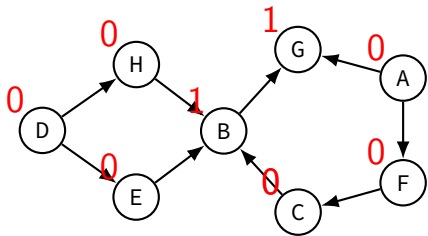
## example



queue: A, ~~D~~, ~~F~~, H, E, C,

result: A, D, F, **H**,

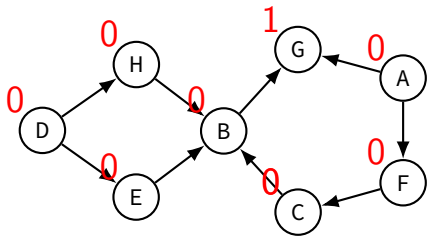
## example



queue: A, D, F, H, E, C,

result: A, D, F, H, E,

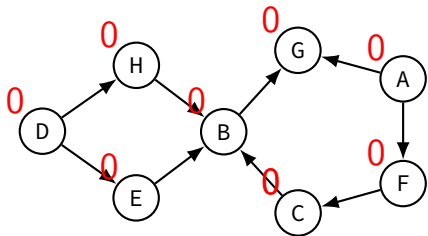
## example



queue: A, ~~D~~, ~~F~~, H, ~~E~~, ~~C~~, B,

result: A, D, F, H, E, C,

## example

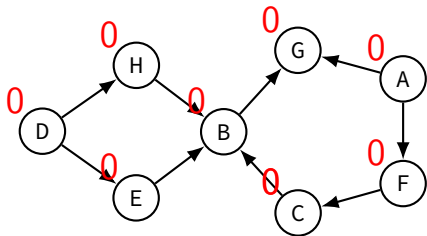


queue: A, ~~D~~, ~~F~~, H, ~~E~~, ~~C~~, B, **G**,

result: A, D, F, H, E, C, **B**,



## example



queue: A, ~~D~~, ~~F~~, H, ~~E~~, ~~C~~, B, G,

result: A, D, F, H, E, C, B, **G**

# shortest path

shortest path

lowest {weight, number of edges} path from vertex  $i$  to  $j$

# shortest path applications

map routing

$N$  degrees of separation'

Internet routing

puzzle/game analysis (e.g. rubrik's cube solutions, ...)

# shortest path algorithm kinds

single pair: path from  $V$  to  $W$

single source: for each vertex  $W$ , path from  $V$  to  $W$

all pairs: for each pair of vertices  $V, W$ , path from  $V$  to  $W$

# shortest path algorithm kinds

single pair: path from  $V$  to  $W$

single source: for each vertex  $W$ , path from  $V$  to  $W$

all pairs: for each pair of vertices  $V, W$ , path from  $V$  to  $W$

## more formally

given graph  $G = (V, E)$  and a vertex  $s$  (the *source*)...

where an edges  $(v, w)$  has weight  $w_{v,w}$

for each vertex  $x$  find a path  $v_1 = s, v_2, \dots, v_n = x$  such that the  $\sum w_{v_i, v_{i+1}}$  is minimum

# breadth-first search

shortest path special case:  $\text{weights} = 1$

algorithm is **breadth-first search**

## special case: breadth-first search on trees

can look at breadth-first search as variation on pre-order traversal

same idea: parents before children

but whole level at a time...

and need to ignore extra paths

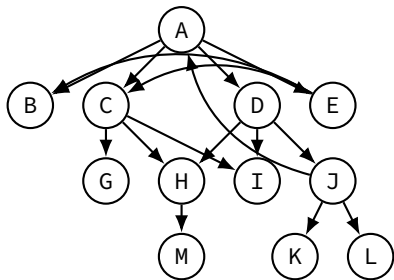


# breadth first search intuition

start with just source

follow edges to first find vertices at distance 1

then use those to find vertices at distance 2, then distance 3, ...

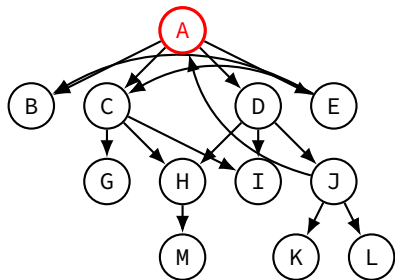


# breadth first search intuition

start with just source

follow edges to first find vertices at distance 1

then use those to find vertices at distance 2, then distance 3, ...

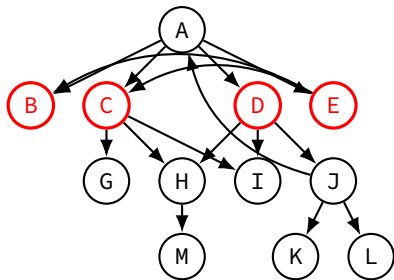


# breadth first search intuition

start with just source

follow edges to first find vertices at distance 1

then use those to find vertices at distance 2, then distance 3, ...

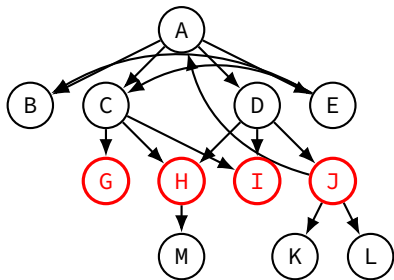


# breadth first search intuition

start with just source

follow edges to first find vertices at distance 1

then use those to find vertices at distance 2, then distance 3, ...

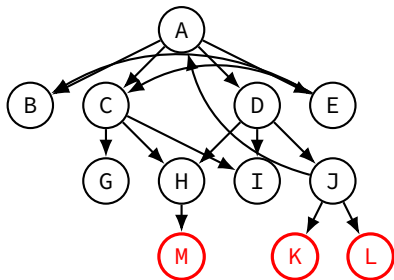


# breadth first search intuition

start with just source

follow edges to first find vertices at distance 1

then use those to find vertices at distance 2, **then distance 3**, ...

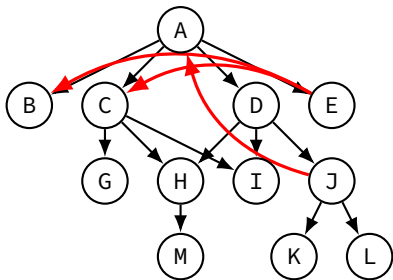


# breadth first search intuition

start with just source

follow edges to first find vertices at distance 1

then use those to find vertices at distance 2, then distance 3, ...



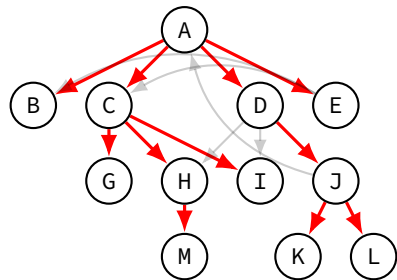
key idea: track **visited nodes**  
so we don't check them again  
(already found the shortest path)

# breadth first search intuition

start with just source

follow edges to first find vertices at distance 1

then use those to find vertices at distance 2, then distance 3, ...



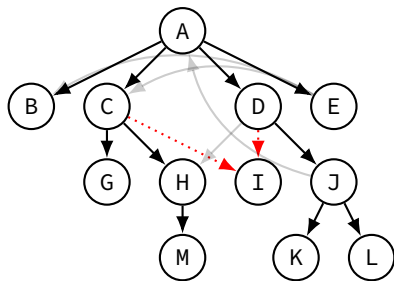
could have list of paths, one per node  
but more compact idea:  
store **one source edge per node**  
also called *shortest path tree*

# breadth first search intuition

start with just source

follow edges to first find vertices at distance 1

then use those to find vertices at distance 2, then distance 3, ...



multiple possible answers!



# breadth first search pseudocode

```
void Graph::bfs(Vertex start) {  
    for (Vertex v: vertices) {  
        v.distance = INFINITY; v.previous = NULL;  
    }  
    Queue frontier;  
    start.distance = 0;  
    frontier.enqueue(start);  
    while (!frontier.isEmpty()) {  
        Vertex v = q.dequeue();  
        for (Vertex w : verticesWithEdgeFrom(v)) {  
            if (w.distance == INFINITY) {  
                w.distance = v.distance + 1;  
                w.previous = v;  
                frontier.enqueue(w);  
            }  
        }  
    }  
}
```

# BFS runtime?

need to initialize distances to infinity:  $\Theta(|V|)$  operations

need to check every edge:  $\Theta(|E|)$  operations

runtime  $\Theta(|V| + |E|)$

# breadth-first search is greedy

greedy algorithms: make the locally optimal choice, never undo

BFS: once one finds a node, one enqueues it once  
find the node later — skip it

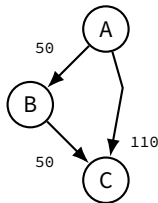
why this is okay: find nodes in order of distance

second time 'visiting' a node — won't be a shorter path!

# add weights: a broken idea

```
void Graph::BROKEN_shortestPaths(Vertex start) {  
    ...  
    while (!frontier.isEmpty()) {  
        Vertex v = q.dequeue();  
        for (Vertex w : verticesWithEdgeFrom(v)) {  
            // BROKEN!  
            if (w.distance == INFINITY) {  
                w.distance = v.distance + weightOfEdge(v, w);  
                w.previous = v;  
                frontier.enqueue(w);  
            }  
        }  
    }  
}
```

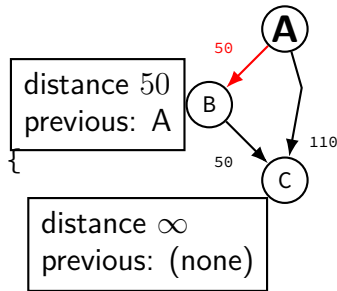
# add weights: a broken idea



```
void Graph::BROKEN_shortestPaths(Vertex start) {  
    ...  
    while (!frontier.isEmpty()) {  
        Vertex v = q.dequeue();  
        for (Vertex w : verticesWithEdgeFrom(v)) {  
            // BROKEN!  
            if (w.distance == INFINITY) {  
                w.distance = v.distance + weightOfEdge(v, w);  
                w.previous = v;  
                frontier.enqueue(w);  
            }  
        }  
    }  
}
```

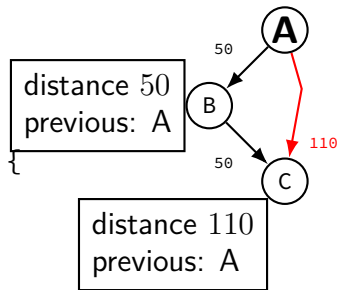
# add weights: a broken idea

```
void Graph::BROKEN_shortestPaths(Vertex start) {  
    ...  
    while (!frontier.isEmpty()) {  
        Vertex v = q.dequeue();  
        for (Vertex w : verticesWithEdgeFrom(v)) {  
            // BROKEN!  
            if (w.distance == INFINITY) {  
                w.distance = v.distance + weightOfEdge(v, w);  
                w.previous = v;  
                frontier.enqueue(w);  
            }  
        }  
    }  
}
```



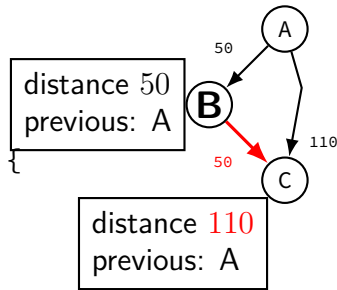
# add weights: a broken idea

```
void Graph::BROKEN_shortestPaths(Vertex start) {  
    ...  
    while (!frontier.isEmpty()) {  
        Vertex v = q.dequeue();  
        for (Vertex w : verticesWithEdgeFrom(v)) {  
            // BROKEN!  
            if (w.distance == INFINITY) {  
                w.distance = v.distance + weightOfEdge(v, w);  
                w.previous = v;  
                frontier.enqueue(w);  
            }  
        }  
    }  
}
```



# add weights: a broken idea

```
void Graph::BROKEN_shortestPaths(Vertex start) {  
    ...  
    while (!frontier.isEmpty()) {  
        Vertex v = q.dequeue();  
        for (Vertex w : verticesWithEdgeFrom(v)) {  
            // BROKEN!  
            if (w.distance == INFINITY) {  
                w.distance = v.distance + weightOfEdge(v, w);  
                w.previous = v;  
                frontier.enqueue(w);  
            }  
        }  
    }  
}
```





## fix part 1: update to smaller distance

```
void Graph::BROKEN_shortestPaths(Vertex start) {  
    ...  
    while (!frontier.isEmpty()) {  
        Vertex v = q.dequeue();  
        for (Vertex w : verticesWithEdgeFrom(v)) {  
            int newDistance = v.distance + weightOfEdge(v, w);  
            if (newDistance < w.distance) {  
                w.distance = newDistance;  
                w.previous = v;  
                frontier.enqueue(w);  
            }  
        }  
    }  
}
```

# fix part 1: update to smaller distance

```
void Graph::BROKEN_shortestPaths(Vertex start) {  
    ...  
    while (!frontier.isEmpty()) {  
        Vertex v = q.dequeue();  
        for (Vertex w : verticesWithEdgeFrom(v)) {  
            int newDistance = v.distance + weightOfEdge(v, w);  
            if (newDistance < w.distance) {  
                w.distance = newDistance;  
                w.previous = v;  
                frontier.enqueue(w);  
            }  
        }  
    }  
}
```

problem: now enqueueing nodes multiple times  
want to only visit node once

## fix part 2: visit nodes once, order by distance

```
void Graph::SLOW_shortestPaths(Vertex start) {  
    for (Vertex v: vertices) {  
        v.distance = INFINITY;  
        v.previous = NULL;  
        v.visited = false;  
    }  
    start.distance = 0;  
    while (!haveUnvisitedNode()) {  
        Vertex v = findUnvisitedNodeWithSmallestDistance();  
        v.visited = true;  
        for (Vertex w : verticesWithEdgeFrom(v)) {  
            int newDistance = v.distance + weightOfEdge(v, w);  
            if (newDistance < w.distance) {  
                w.distance = newDistance;  
                w.previous = v;  
            }  
        }  
    }  
}
```

# visiting by distance?

assumption: no negative weights

given this: distance only decreases

and can't find shorter path from further node!

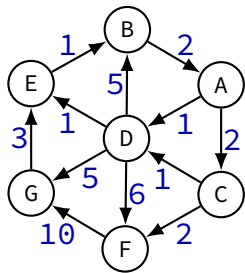
## fix part 3: a faster search

```
void Graph::shortestPaths(Vertex start) {
    PriorityQueue pq;
    for (Vertex v: vertices) {
        v.distance = INFINITY; v.previous = NULL;
    }
    start.distance = 0; pq.insert(0, start);
    while (!pq.empty()) {
        Vertex v = pq.deleteMin();
        for (Vertex w : verticesWithEdgeFrom(v)) {
            int oldDistance = w.distance;
            int newDistance = v.distance + weightOfEdge(v, w);
            if (newDistance < oldDistance) {
                w.distance = newDistance; w.previous = v;
                if (oldDistance == INFINITY)
                    pq.insert(newDistance, w);
                else
                    pq.decreaseKey(newDistance, w);
            }
        }
    }
}
```

## a note on names

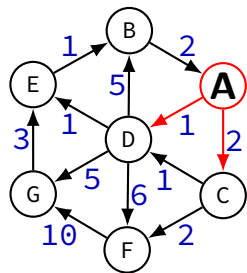
called *Dijkstra's algorithm*

# Dijkstra's algorithm example 1



	dist	prev	path
A	0	—	A
B	$\infty$	—	—
C	$\infty$	—	—
D	$\infty$	—	—
E	$\infty$	—	—
F	$\infty$	—	—
G	$\infty$	—	—

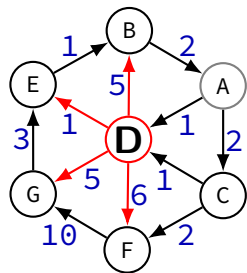
# Dijkstra's algorithm example 1



	dist	prev	path
<b>A</b>	0	—	A
B	$\infty$	—	—
<b>C</b>	2	A	A→C
<b>D</b>	1	A	A→D
E	$\infty$	—	—
F	$\infty$	—	—
G	$\infty$	—	—

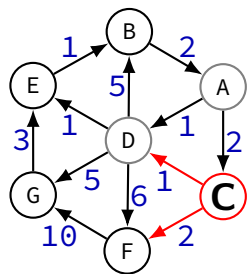


# Dijkstra's algorithm example 1



	dist	prev	path
A	0	—	A
<b>B</b>	6	D	A→D→B
C	2	A	A→C
<b>D</b>	1	A	A→D
<b>E</b>	2	D	A→D→E
<b>F</b>	7	D	A→D→F
<b>G</b>	6	D	A→D→G

# Dijkstra's algorithm example 1

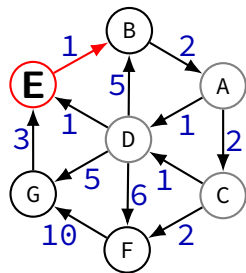


D is adjacent —  
but not a shorter path

	dist	prev	path
A	0	—	A
B	6	D	A→D→B
<b>C</b>	2	A	A→C
D	1	A	A→D
E	2	D	A→D→E
<b>F</b>	4	C	A→C→F
G	6	D	A→D→G

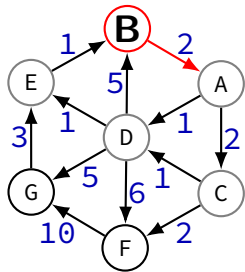
F updated from distance 7 (via D)  
to distance 4 (via C)

# Dijkstra's algorithm example 1



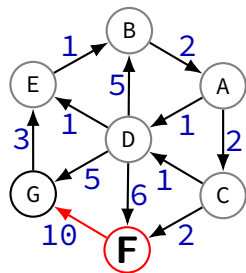
	dist	prev	path
A	0	—	A
<b>B</b>	<b>3</b>	<b>E</b>	<b>A→D→E→B</b>
C	2	A	A→C
D	1	A	A→D
<b>E</b>	2	D	A→D→E
F	4	C	A→C→F
G	6	D	A→D→G

# Dijkstra's algorithm example 1



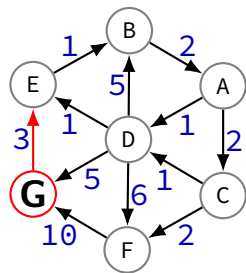
	dist	prev	path
A	0	—	A
<b>B</b>	3	E	A→D→E→B
C	2	A	A→C
D	1	A	A→D
E	2	D	A→D→E
F	4	C	A→C→F
G	6	D	A→D→G

# Dijkstra's algorithm example 1



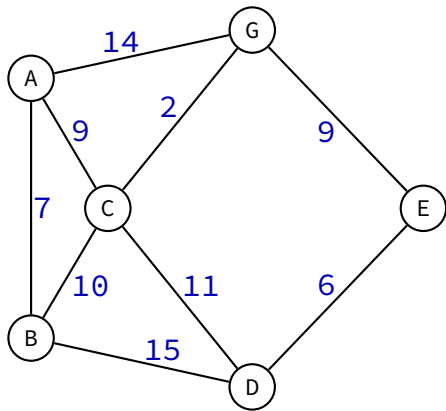
	dist	prev	path
A	0	—	A
B	3	E	A→D→E→B
C	2	A	A→C
D	1	A	A→D
E	2	D	A→D→E
<b>F</b>	4	C	A→C→F
G	6	D	A→D→G

# Dijkstra's algorithm example 1



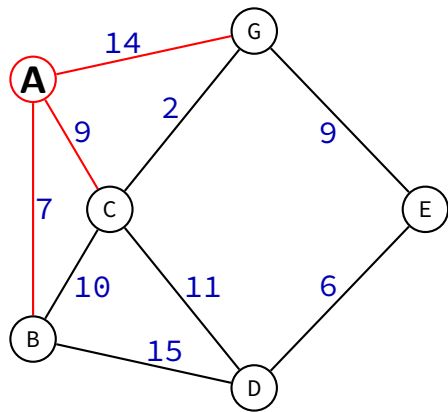
	dist	prev	path
A	0	—	A
B	3	E	A→D→E→B
C	2	A	A→C
D	1	A	A→D
E	2	D	A→D→E
F	4	C	A→C→F
<b>G</b>	6	D	A→D→G

# Dijkstra's algorithm example 2



	dist	prev	path
A	0	—	A
B	$\infty$	—	—
C	$\infty$	—	—
D	$\infty$	—	—
E	$\infty$	—	—
G	$\infty$	—	—

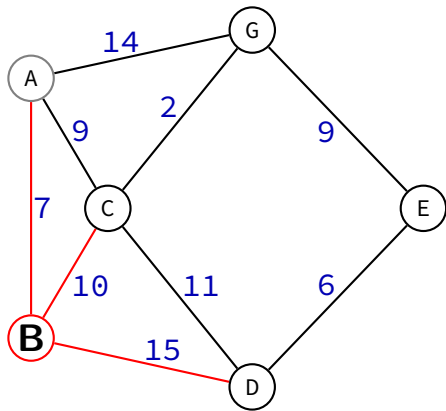
# Dijkstra's algorithm example 2



	dist	prev	path
<b>A</b>	0	—	A
<b>B</b>	7	A	A→B
<b>C</b>	9	A	A→C
<b>D</b>	$\infty$	—	—
<b>E</b>	$\infty$	—	—
<b>G</b>	14	A	A→G

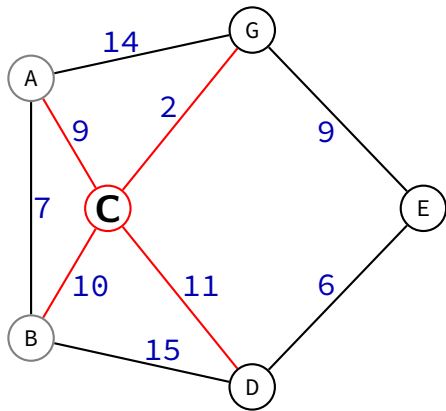


# Dijkstra's algorithm example 2



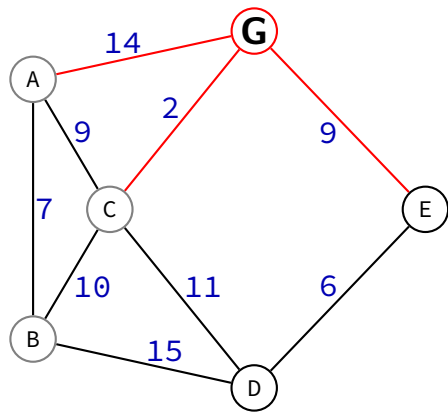
	dist	prev	path
A	0	—	A
<b>B</b>	7	A	A→B
C	9	A	A→C
<b>D</b>	22	B	A→B→D
E	∞	—	—
G	14	A	A→G

# Dijkstra's algorithm example 2



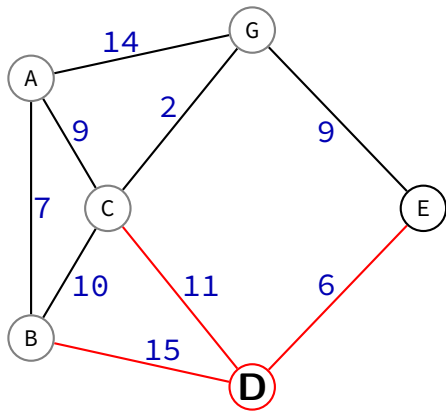
	dist	prev	path
A	0	—	A
B	7	A	A→B
<b>C</b>	9	A	A→C
<b>D</b>	20	C	A→C→D
E	$\infty$	—	—
<b>G</b>	11	C	A→C→G

# Dijkstra's algorithm example 2



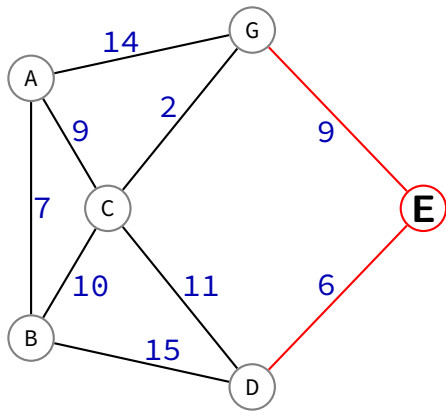
	dist	prev	path
A	0	—	A
B	7	A	A→B
C	9	A	A→C
D	20	C	A→C→D
<b>E</b>	<b>20</b>	<b>G</b>	<b>A→C→G→E</b>
<b>G</b>	<b>11</b>	C	A→C→G

# Dijkstra's algorithm example 2



	dist	prev	path
A	0	—	A
B	7	A	A→B
C	9	A	A→C
<b>D</b>	20	C	A→C→D
E	20	G	A→C→G→E
G	11	C	A→C→G

# Dijkstra's algorithm example 2



	dist	prev	path
A	0	—	A
B	7	A	A→B
C	9	A	A→C
D	20	C	A→C→D
<b>E</b>	20	G	A→C→G→E
G	11	C	A→C→G

# Dijkstra's algorithm runtime

for every vertex (worst case):

find unprocessed vertex with smallest distance

$\Theta(|V|^2)$  total — if checking every vertex

$\Theta(|V| \log |V|)$  total — if removing from heap

scan all edges of vertex, update distances

$\Theta(|E|)$  total — if not maintaining priority queue

$\Theta(|E| \log |V|)$  if updating binary heap

total with binary heap:  $\Theta((|E| + |V|) \log |V|)$

Fibonacci heap instead:  $\Theta(|E| + |V| \log |V|)$

# negative weights

example: weight = fuel used; negative weight = refueling

Dijkstra's algorithm **doesn't work**

assumption: won't update a node's distance after visiting its edges

alternative algorithms do — e.g. Bellman-Ford ( $\Theta(|E||V|)$  runtime)

negative cost cycles — infinitely small cost!

# high-level view: dealing with negative weights

Bellman-Ford algorithm

for every node: track shortest known path from source

initially: “no known paths”

iterate through *all edges* updating paths

Q: “can this edge be used to make a better path to source?”

repeat  $|V|$  times



# single-source to single-source+destination

what if want to get from  $A$  to  $Z$

solution: Dijkstra's algorithm from  $A$  but stop early — when we process  $Z$

gaurentee: won't update  $Z$ 's distance again

# heuristic shortest path

road map — still slow!

some ideas for speeding up:

- search highways instead of side-roads earlier

- search edges in correct direction earlier

- search from both directions, try to meet

- ...

if you take AI — major topic is *heuristic search*

- taking advantage of ideas like the above

- ...and still getting shortest path, if you want it

# travelling salesperson problem

given cities, costs to travel between, least-cost trip that:

- visits each city exactly once, and
- returns to the starting city

as a graph:

- cities = vertices

- costs = edge weights

assume fully connected graph

- alternative: first add infinite weight edges between disconnected nodes

# TSP difficulty

solving TSP exactly is NP-hard

worst case: essentially need to enumerate all possible tours

but, practically solved up to 10000s of cities on 'real' maps  
obviously doing something smarter...

# diversion: NP-hard

see also Algorithms

idea: efficient solutions to this problem yield efficient solutions to many other problems

→ “as hard as” those other problems

other problems  $\approx$  problems whose solutions can be verified in polynomial time

## some definitions

*Hamiltonian path* — path that visits every vertex on a graph exactly once

*Hamiltonian cycle* — Hamiltonian path that where start node = end node

traveling salesperson problem: find least weight Hamiltonian cycle

# Hamiltonian cycles and hardness

no known efficient algorithm to detect *whether* a graph has a Hamiltonian cycle

(but easy for complete graphs...)

# naive TSP algorithm

choose a starting city  $x_1$

for each unused next city  $x_2$ : (n-1 possible)

    for each unused next city  $x_3$ : (n-2 possible)

        for each unused next city  $x_4$ : (n-3 possible)

        ...

    see if  $x_1, x_2, x_3, x_4, \dots, x_n$  is shorter than anything else

output shortest seen

$(N - 1)!$  factorial runtime =  $\Theta(N!)$

worse than  $\Theta(2^N)$



# naive TSP implementation

```
vector<Vertex> partial_tour; vector<Vertex> best_tour;
void TestTours() {
    if (partial_tour.size() == vertices.size()) {
        partial_tour.push_back(partial_tour[0]);
        if (weightOf(partial_tour) < weightOf(best_tour)) {
            best_tour = partial_tour;
        }
        partial_tour.pop_back();
    } else {
        for (Vertex v : vertices - partial_tour) {
            partial_tour.push_back(v);
            TestTours();
            partial_tour.pop_back(v);
        }
    }
}
TSP() {
    best_tour = ...; partial_tour = {startNode};
    TestTours();
}
```

# naive TSP implementation

```
vector<Vertex> partial_tour; vector<Vertex> best_tour;
void TestTours() {
    if (partial_tour.size() == vertices.size()) {
        partial_tour.push_back(partial_tour[0]);
        if (weightOf(partial_tour) < weightOf(best_tour)) {
            best_tour = partial_tour;
        }
        partial_tour.pop_back();
    } else {
        for (Vertex v : vertices - partial_tour) {
            partial_tour.push_back(v);
            TestTours();
            partial_tour.pop_back(v);
        }
    }
}
TSP() {
    best_tour = ...; partial_tour = {startNode};
    TestTours();
}
```

# naive TSP implementation

```
vector<Vertex> partial_tour; vector<Vertex> best_tour;
void TestTours() {
    if (partial_tour.size() == vertices.size()) {
        partial_tour.push_back(partial_tour[0]);
        if (weightOf(partial_tour) < weightOf(best_tour)) {
            best_tour = partial_tour;
        }
        partial_tour.pop_back();
    } else {
        for (Vertex v : vertices - partial_tour) {
            partial_tour.push_back(v);
            TestTours();
            partial_tour.pop_back(v);
        }
    }
}
TSP() {
    best_tour = ...; partial_tour = {startNode};
    TestTours();
}
```

# $(n-1)!$ is big

20 cities —  $> 10^{16}$  tours to check

30 cities —  $> 10^{30}$  tours to check

...

# best guaranteed TSP algorithm

TSP is NP-hard — no known subexponential solution

best general algorithm:  $\Theta(N^2 2^N)$

20 cities —  $> 10^8$  operations

30 cities —  $> 10^{11}$  operations

uses *dynamic programming* — covered in 4102

# best guaranteed TSP algorithm

TSP is NP-hard — no known subexponential solution

best general algorithm:  $\Theta(N^2 2^N)$

20 cities —  $> 10^8$  operations

30 cities —  $> 10^{11}$  operations

uses *dynamic programming* — covered in 4102

solve subproblems: best way to visit cities 1, 2, 3, 4 starting at 1  
ending at 4

know: if 1, 3, 2, 4 is best for above subproblem, then 1, 3, 2, 4, 5, 1 is  
shorter than 1, 2, 3, 4, 5, 1

can avoid checking 1, 2, 3, 4, 5, 1...

# TSP heuristics

one idea: branch and bound

still: construct lots and lots of possible tours  
keep adding cities

but maintain track extra numbers:

the best cost found so far

**lower bound** on the tours we could find with chosen nodes

stop enumerating (return from FindTour early) if lower bound is too low

## a lower bound

example lower bound:

if I've chosen cities 1, 2, 4, 3 in that order

minimum cost =  $w(1, 2) + w(2, 4) + w(4, 3) + \sum_{i=3}^n \text{min edge from } i$

if min possible cost  $>$  best known cost: stop!



## other TSP ideas

TSP on real maps — take advantage of geometry

try cities close to each other first

use map distances to compute minimum costs quickly

sometimes can use *approximation algorithms*

assumption: sufficiently 'normal' weights — e.g. A-B shorter than A-C-B  
guaranteed within a certain factor of best solution  
good for pruning very bad solutions quickly

# TSP records

2006: 85,900 'cities'

distances, etc. from real circuit production problem from the 1980s

# lab 11

pre-lab: topological sort

in-lab: naive travelling salesperson (map = Tolkein's middle earth)

post-lab: some acceleration techniques

# spanning tree definition

given a connected undirected graph  $G$ , a spanning tree  $G' = (V, E')$  is a *subgraph* such that:

its edges are a subset of the original graph's (what *subgraph* means)

it has the same vertices

it is connected

it has no cycles — i.e. it is a tree

# spanning tree construction

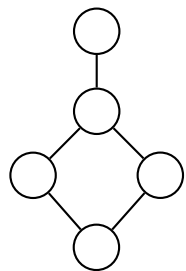
take a connected graph

repeatedly: remove an edge that does not disconnect the graph

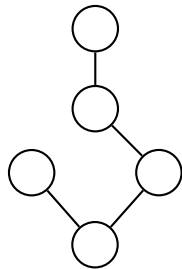
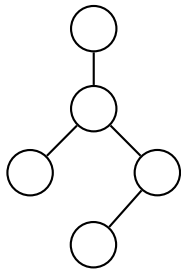
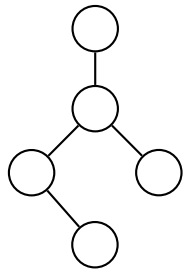
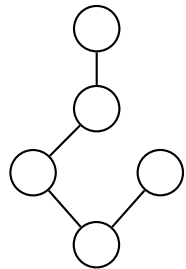
can't remove any more:

now have a spanning tree — same vertices, but is a tree

# spanning tree examples

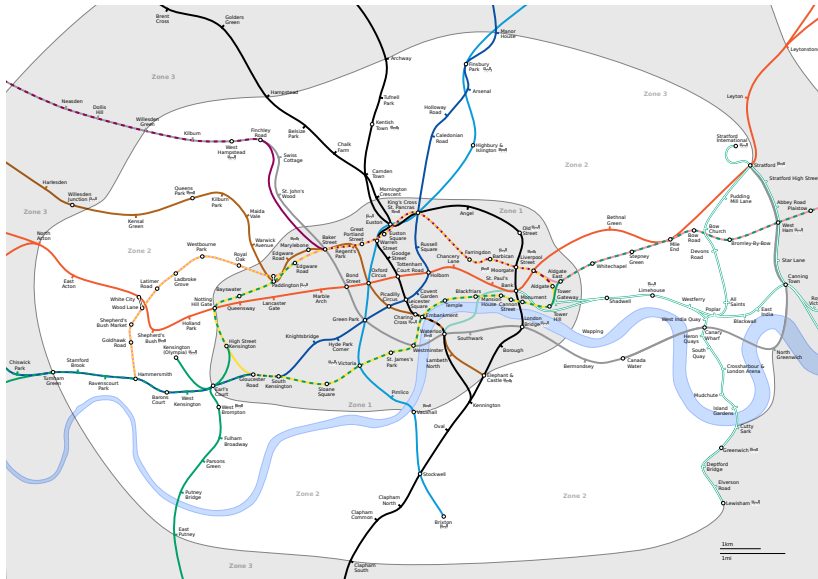


original graph



spanning trees  
of graph

# almost a spanning tree?



# minimum spanning tree

A **minimum spanning tree**  $T = (V, E')$  of a weighted graph  $G$  is a spanning tree such that  $\sum_{e \in E'} \text{weight}(e)$  is smallest.

NB: can be multiple minimum spanning trees



# minimum spanning tree algorithms

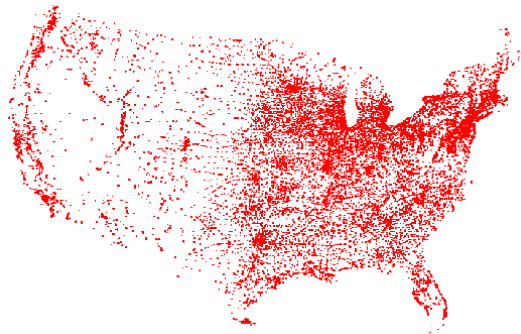
two main algorithms

both greedy — choose edges, then never take that back

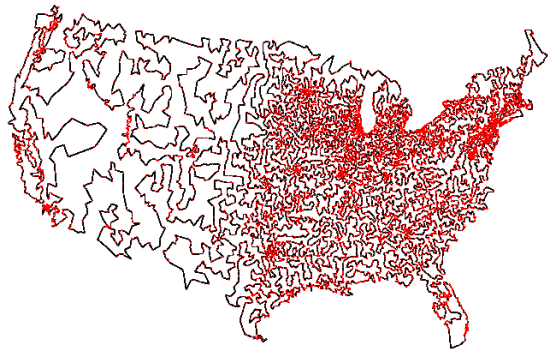
tricky part: figuring out what order to choose them in

...and (not this class) proving that's optimal

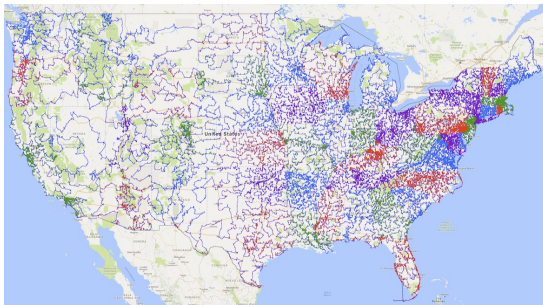
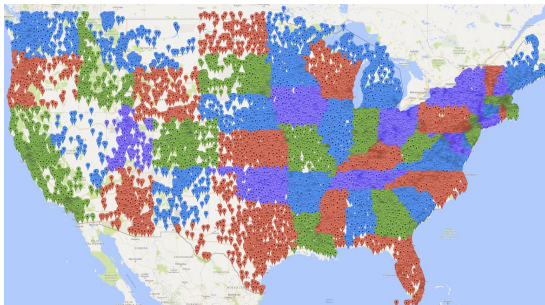
# TSP example (1)



(13 509 us cities)

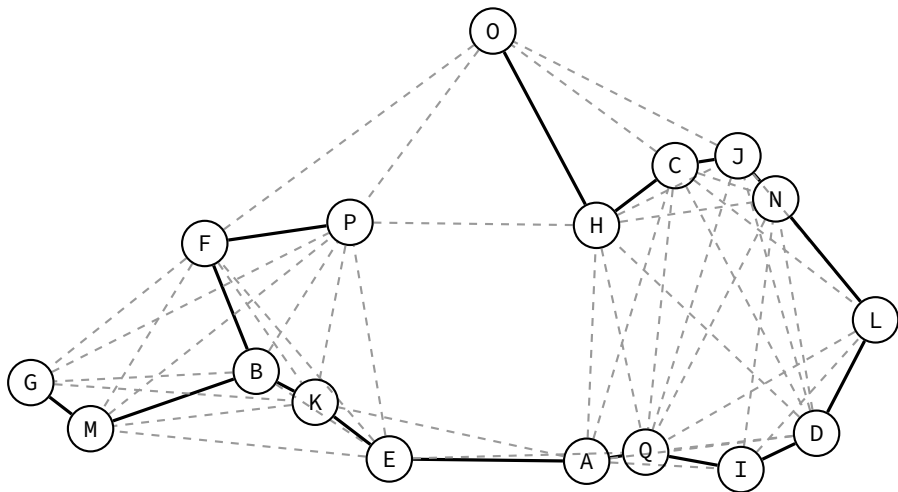


# TSP example (2)



(49 603 sites on Nat'l Register of Historic Places)

# MST example



# Prim's greedy MST algorithm

track: vertices in spanning tree, edges in spanning tree

add a vertex to the spanning tree (arbitrarily)

while not all vertices are in the spanning tree:

pick an edge  $(u, v)$  such that

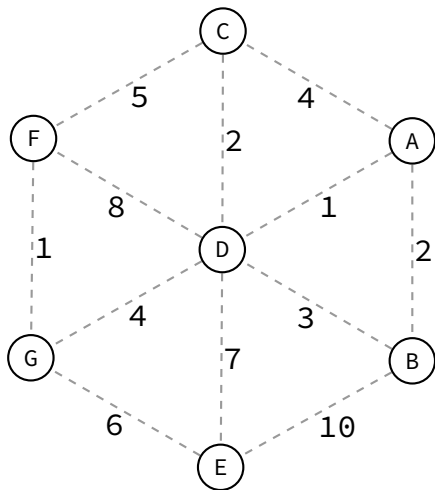
$u$  is already in the spanning tree

$v$  is not already in the spanning tree

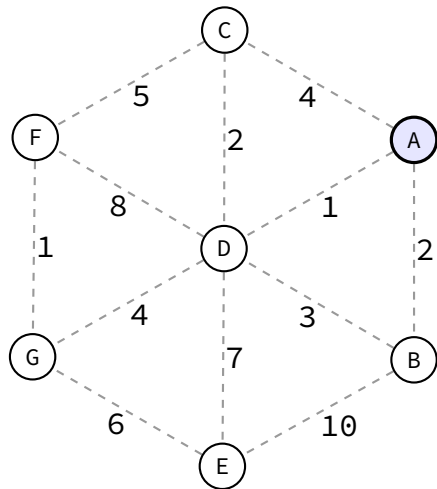
$(u, v)$  has the smallest weight of all possible edges

add the edge and  $v$  to the spanning tree

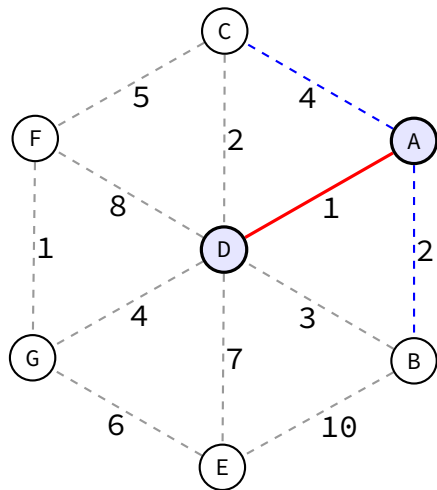
# Prim's algorithm example



# Prim's algorithm example



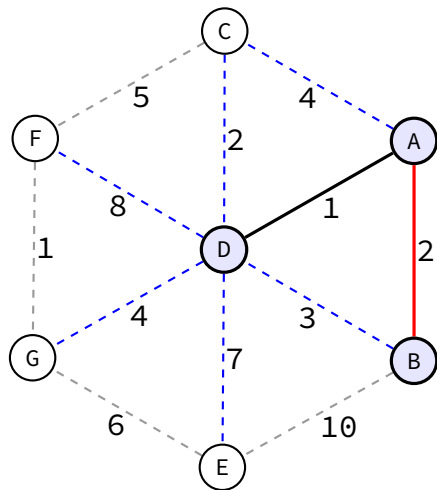
# Prim's algorithm example



(A, D)

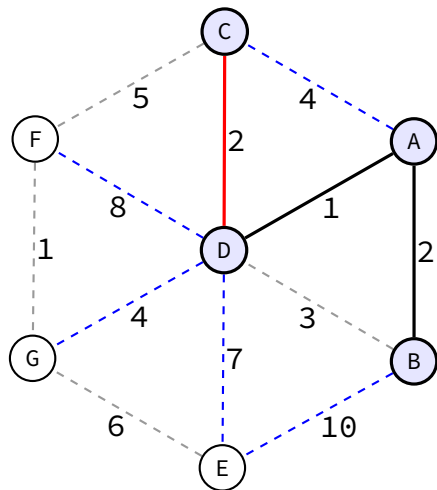


# Prim's algorithm example



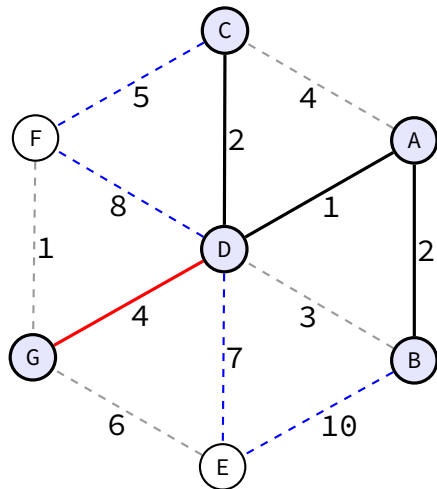
(A, D), (A, B)

# Prim's algorithm example



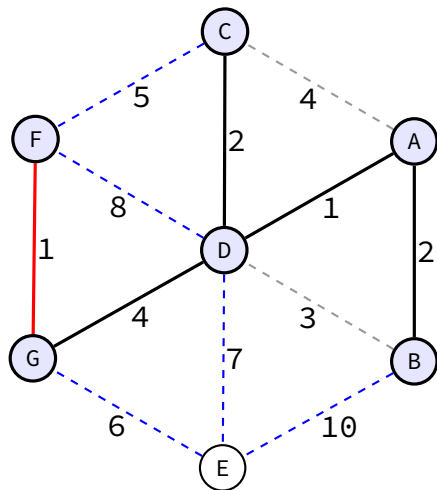
(A, D), (A, B), (C, D)

# Prim's algorithm example



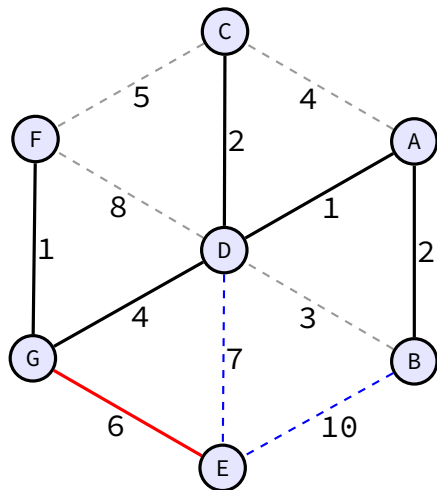
(A, D), (A, B), (C, D), (D, G)

# Prim's algorithm example



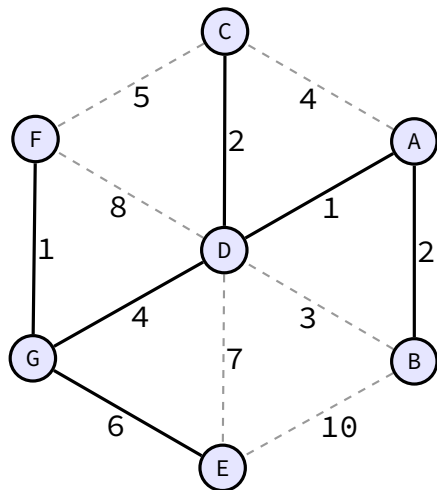
(A, D), (A, B), (C, D), (D, G), (F, G)

# Prim's algorithm example



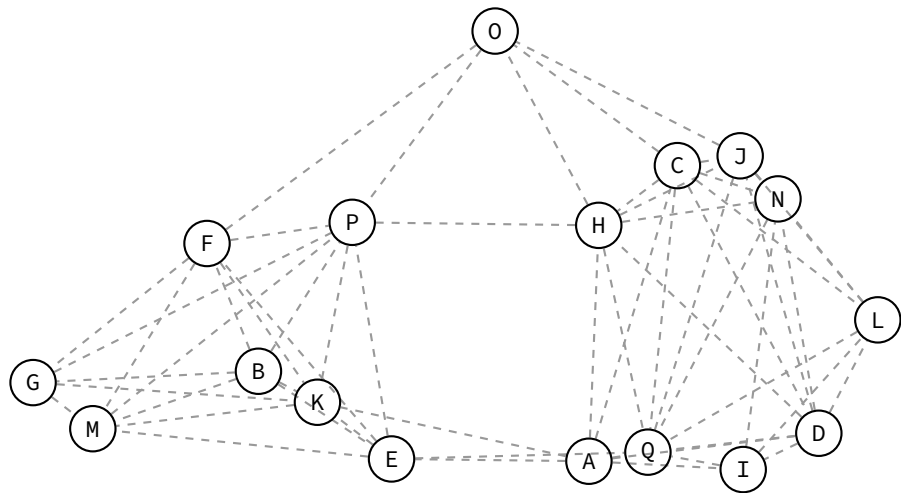
(A, D), (A, B), (C, D), (D, G), (F, G), (E, G)

# Prim's algorithm example

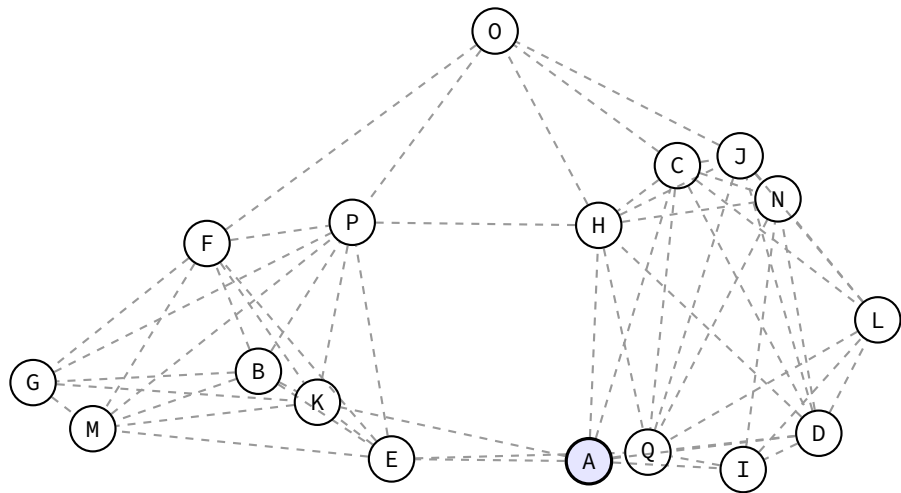


(A, D), (A, B), (C, D), (D, G), (F, G), (E, G)

# Prim's algorithm example

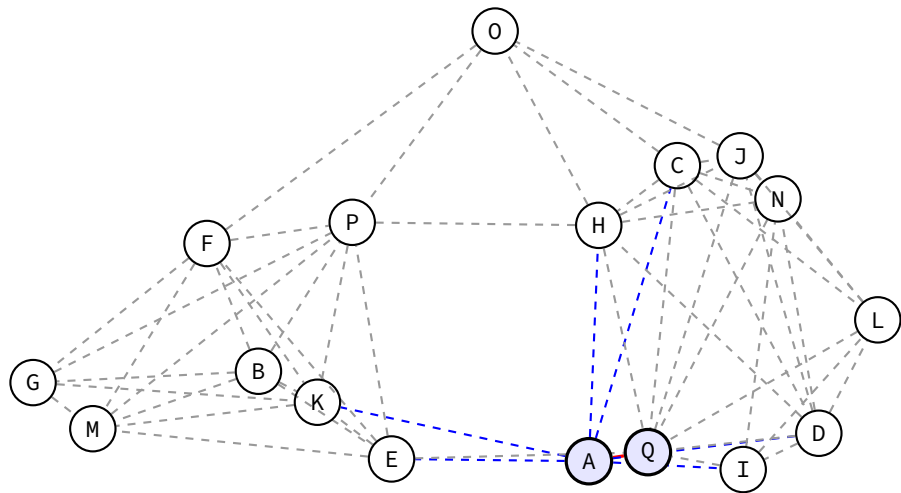


# Prim's algorithm example

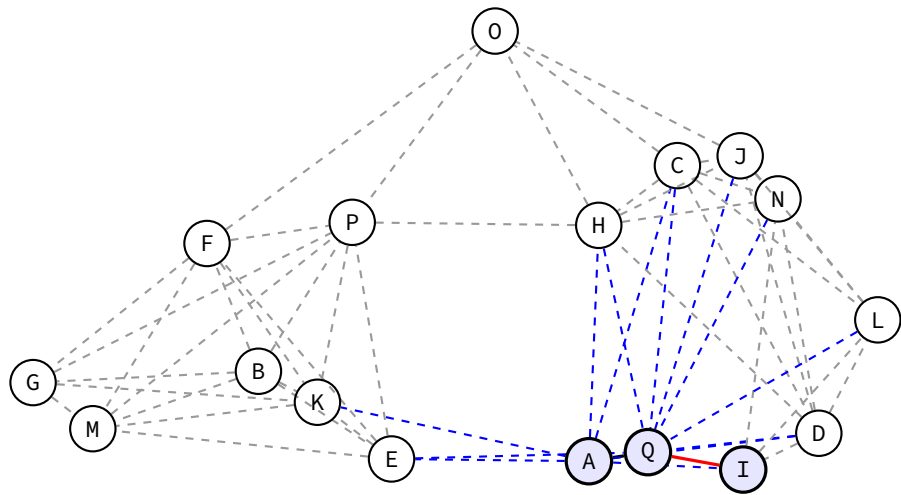




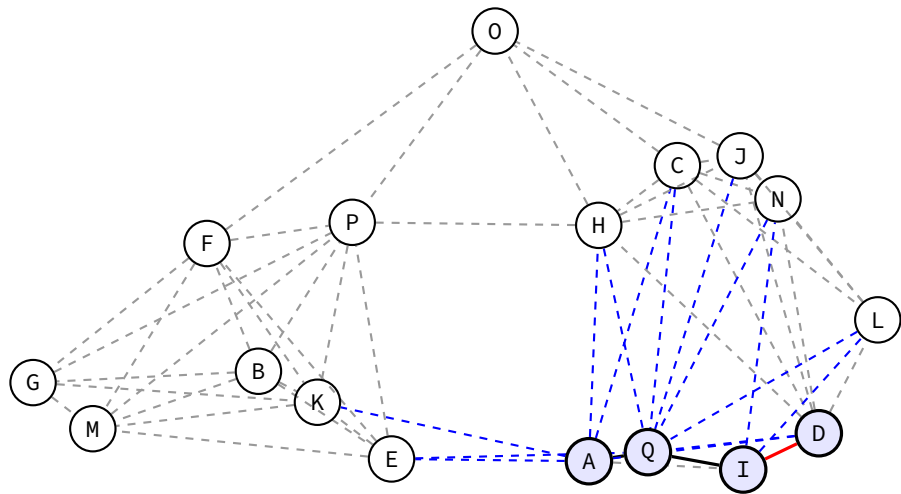
# Prim's algorithm example



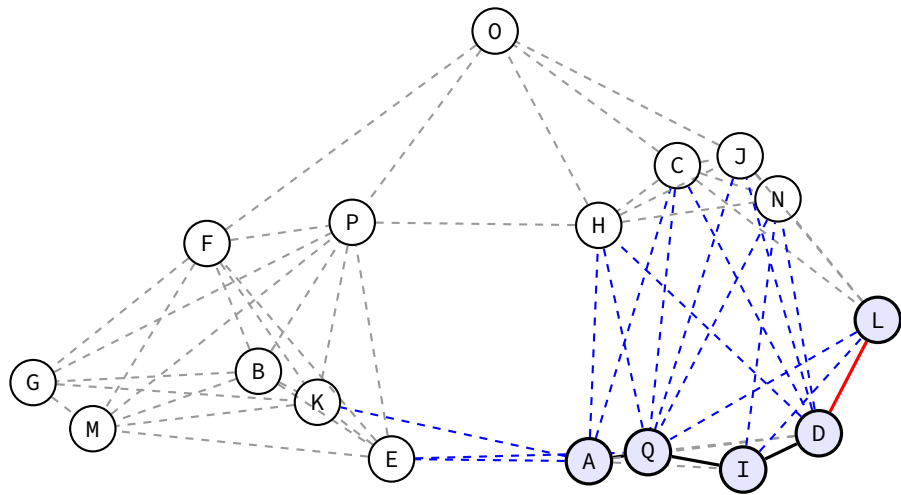
# Prim's algorithm example



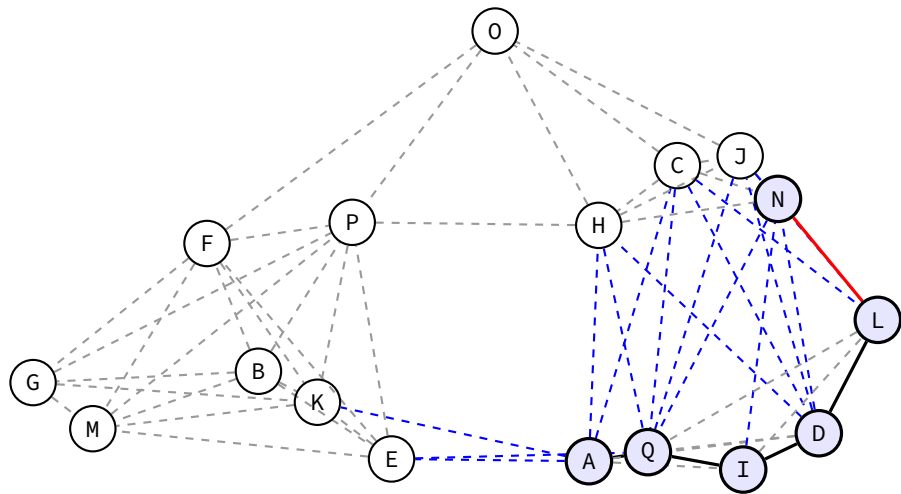
# Prim's algorithm example



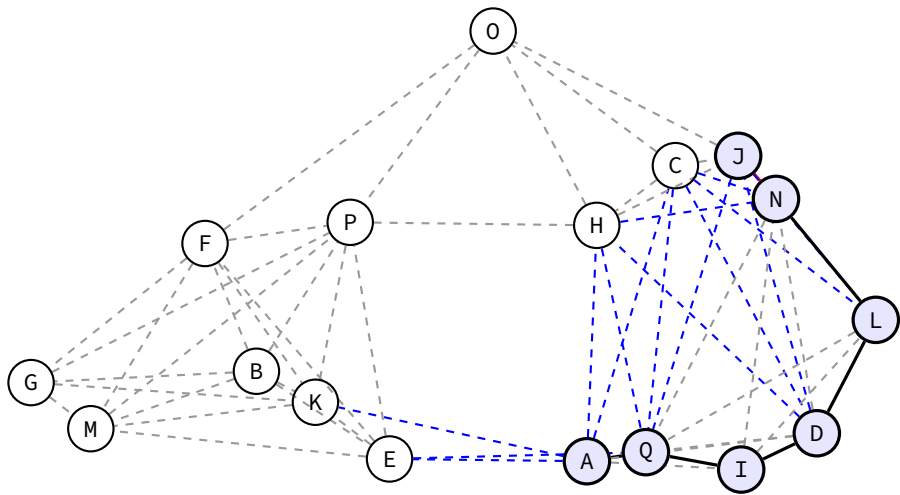
# Prim's algorithm example



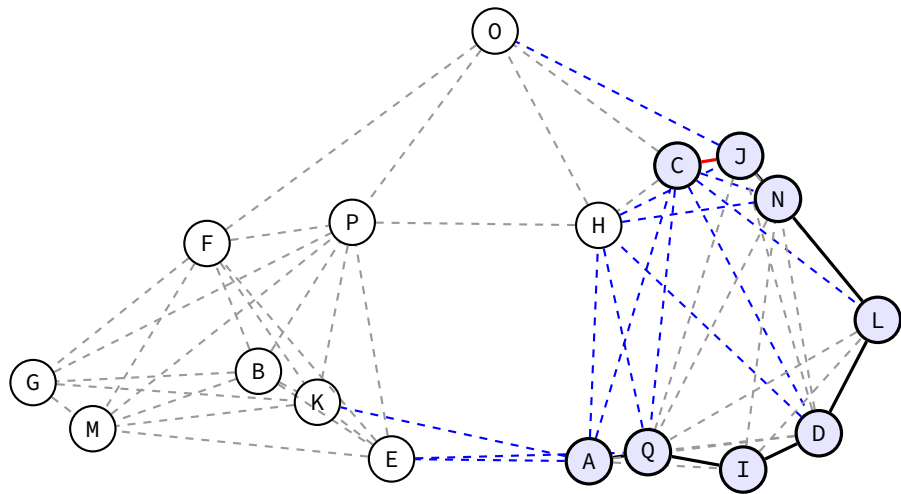
# Prim's algorithm example



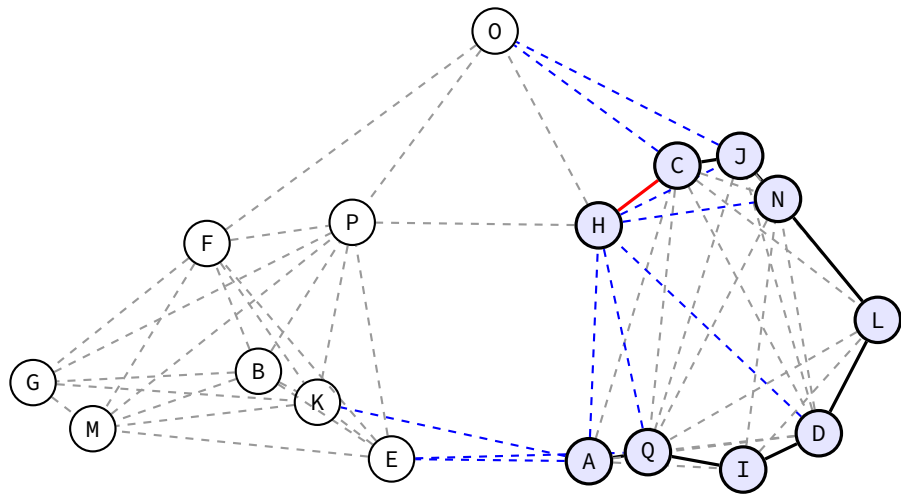
## Prim's algorithm example



# Prim's algorithm example

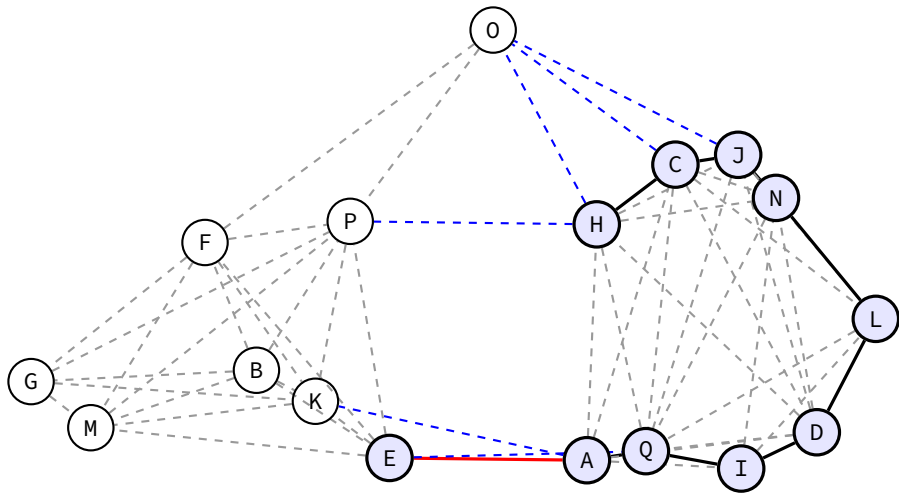


# Prim's algorithm example

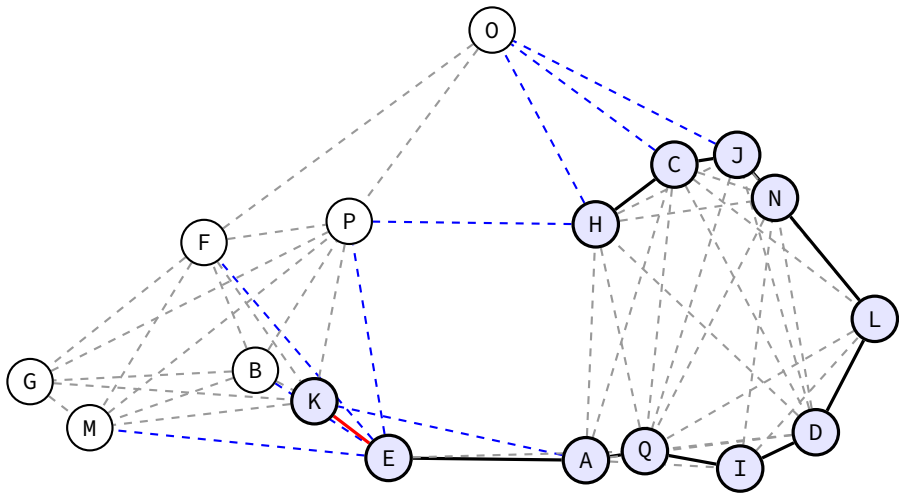




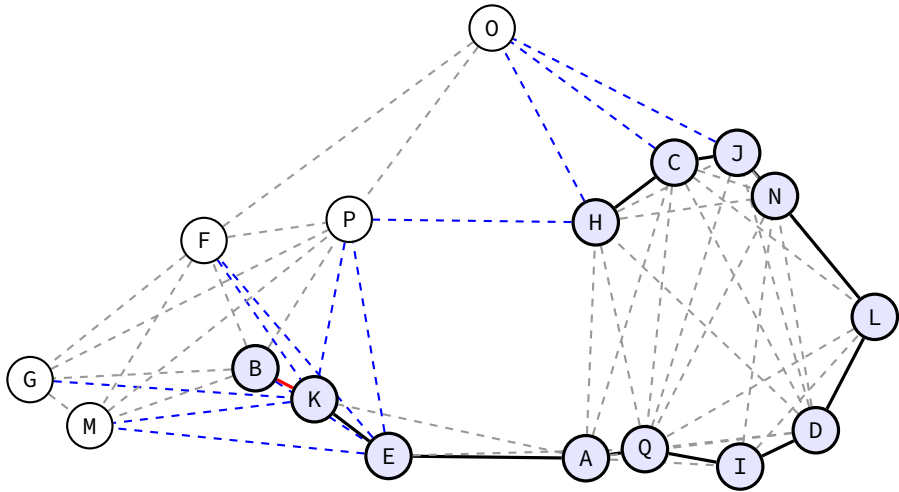
# Prim's algorithm example



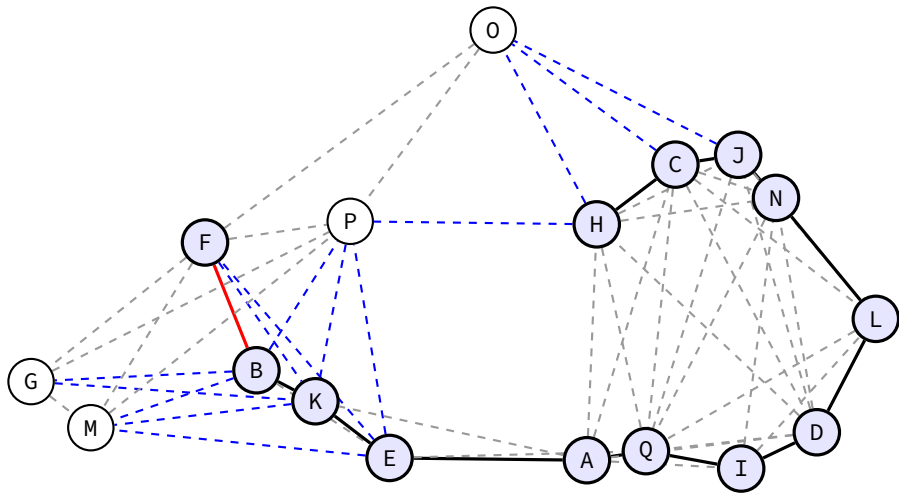
## Prim's algorithm example



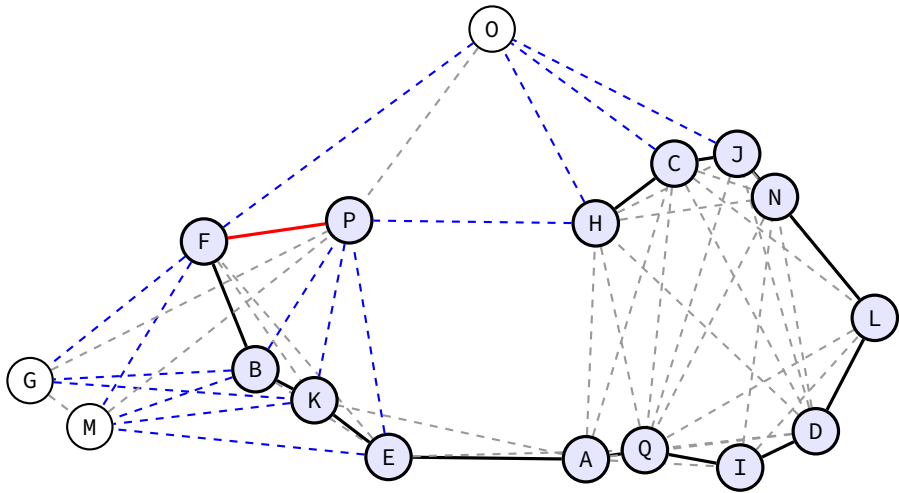
## Prim's algorithm example



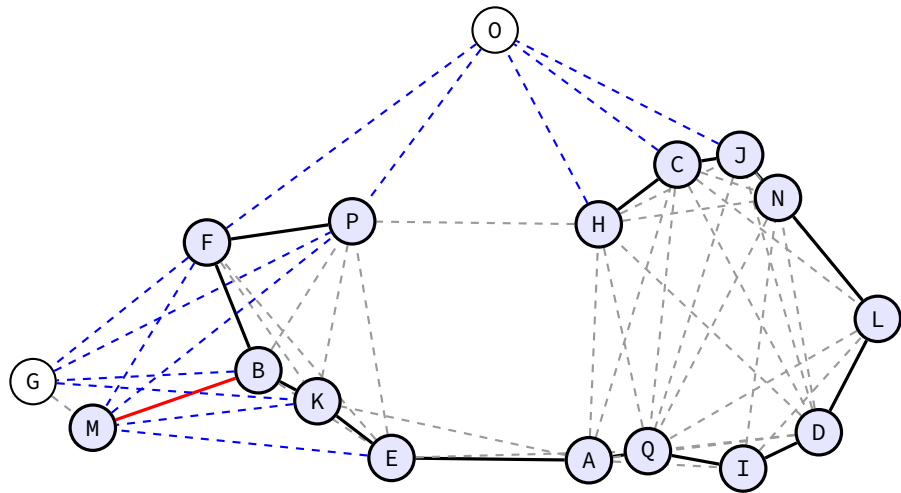
## Prim's algorithm example



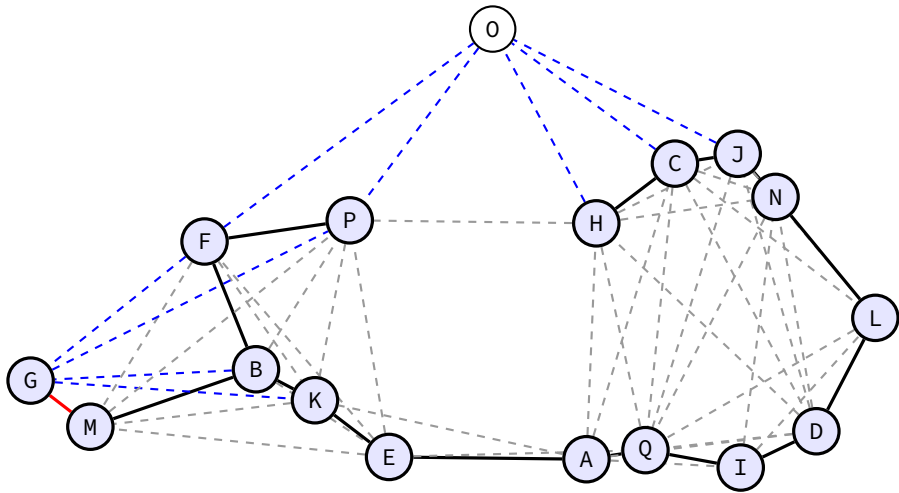
## Prim's algorithm example



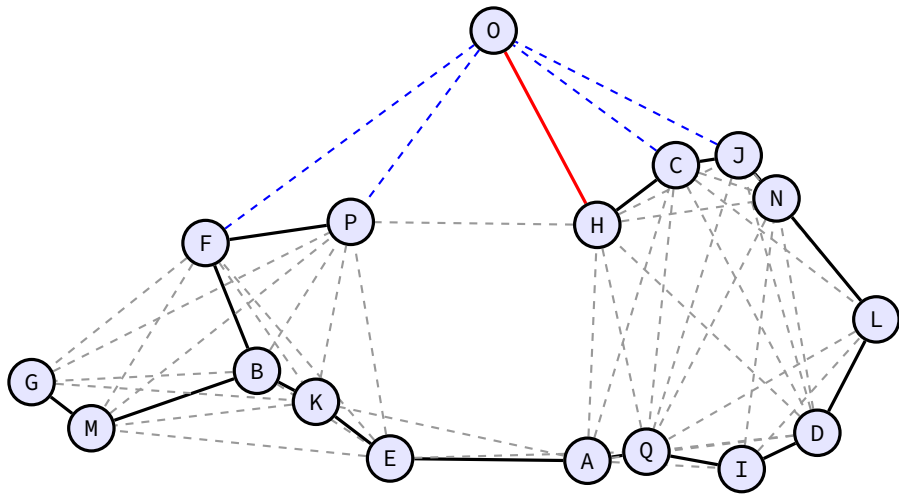
# Prim's algorithm example



## Prim's algorithm example

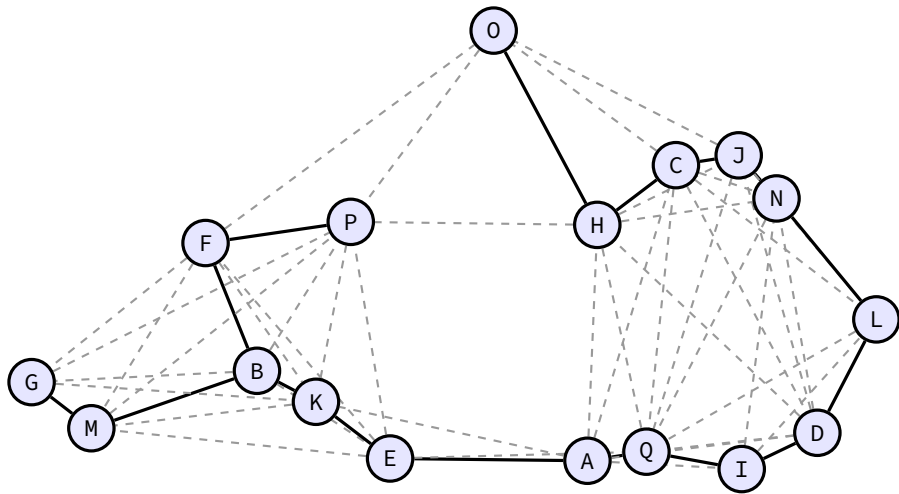


# Prim's algorithm example





# Prim's algorithm example



# Prim's algorithm runtime

spanning tree will have  $|V| - 1$  edges

each edge added connects a new vertex

choosing each edge

naive — scan all edges each time  $|E|$  work

better — maintain **priority queue of vertices**, priority=cost of best edge

up to  $|E|$  inserts or decreaseKeys (update best edge for vertex)

max size of priority queue:  $|V| - 1$

$\Theta(|E| \log |V|)$  time with binary heap

$\Theta(|E| + |V| \log |V|)$  time with Fibonacci heap

# Prim's algorithm pseudocode

```
set<Edge> used_edges; // where result goes
priority_queue<Vertex> pending_vertices;
map<Vertex, Edge> best_edge_to;
for (Vertex v : vertices) {
    pending_vertices.insert(INFINITY, v);
}
pending_vertices.decreaseKey(0, start_vertex);
while (!pending_vertices.empty()) {
    Vertex v = pending_vertices.deleteMin();
    used_edges.insert(best_edge_to[v]);
    for (Edge e : edgesFrom(v)) {
        if (e.cost < best_edge_to[e.to].cost) {
            best_edge_to[e.to] = e;
            if (e.to in pending_vertices)
                pending_vertices.decreaseKey(e.cost, e.to);
        }
    }
}
```

# Kruskal's greedy MST algorithm

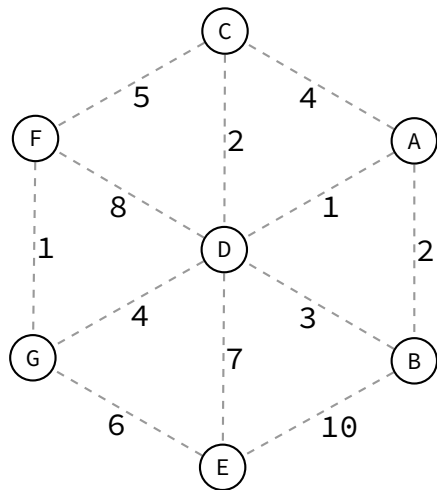
track: edges in spanning tree

while spanning tree has less than  $|V| - 1$  edges:

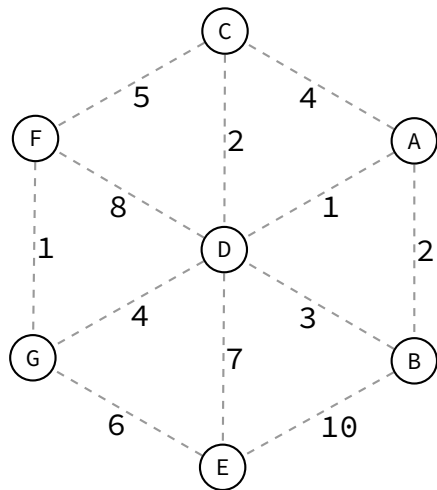
pick a *minimum weight* edge  $(u, v)$  such that  
adding it to the spanning tree would not create a cycle

add the edge to the spanning tree

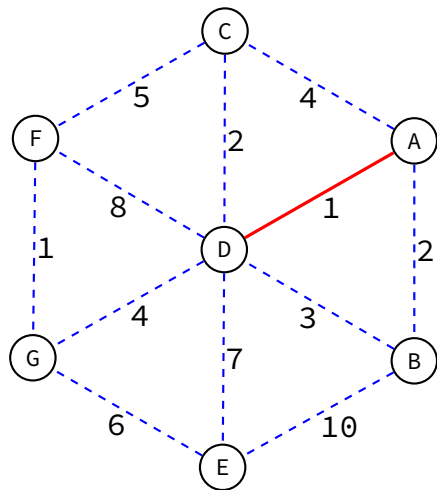
# Kruskal's algorithm example



# Kruskal's algorithm example

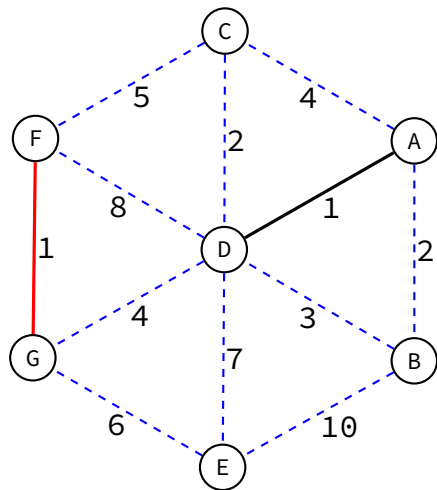


# Kruskal's algorithm example



(A, D)

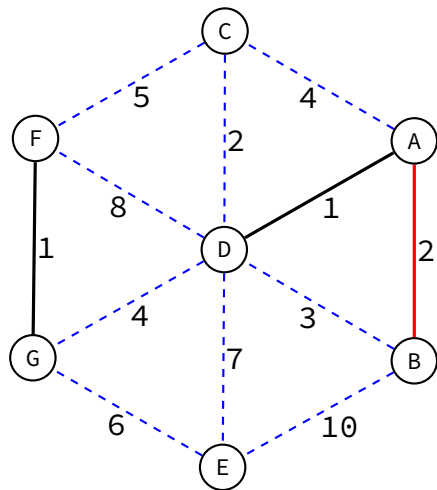
# Kruskal's algorithm example



(A, D), (F, G)

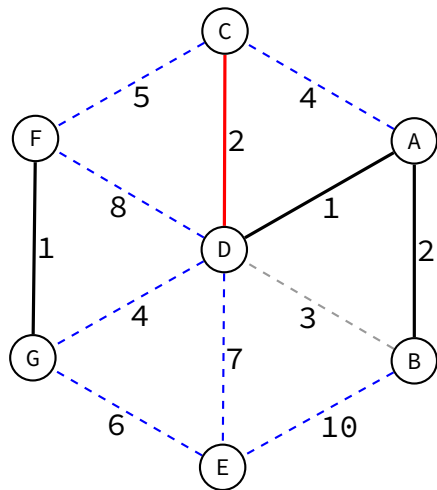


# Kruskal's algorithm example



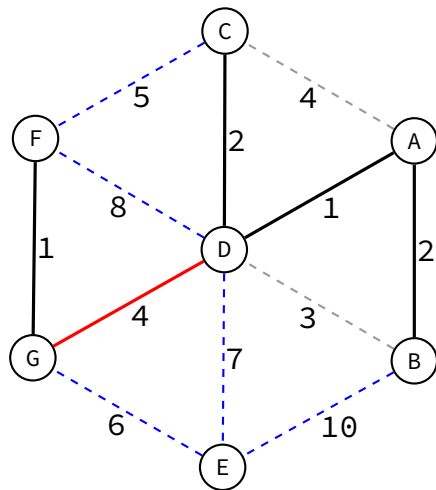
(A, D), (F, G), (A, B)

# Kruskal's algorithm example



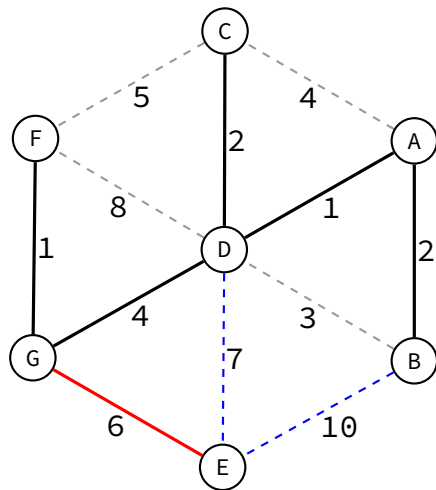
(A, D), (F, G), (A, B), (C, D)

# Kruskal's algorithm example



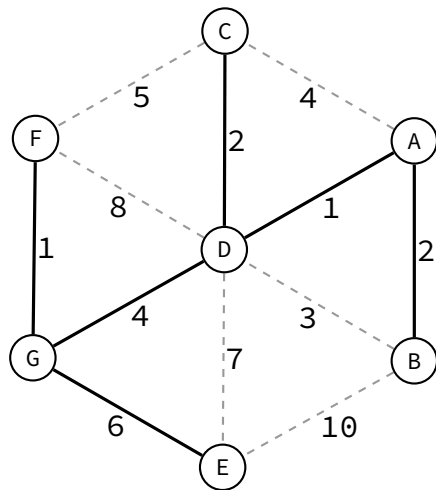
(A, D), (F, G), (A, B), (C, D), (D, G)

# Kruskal's algorithm example



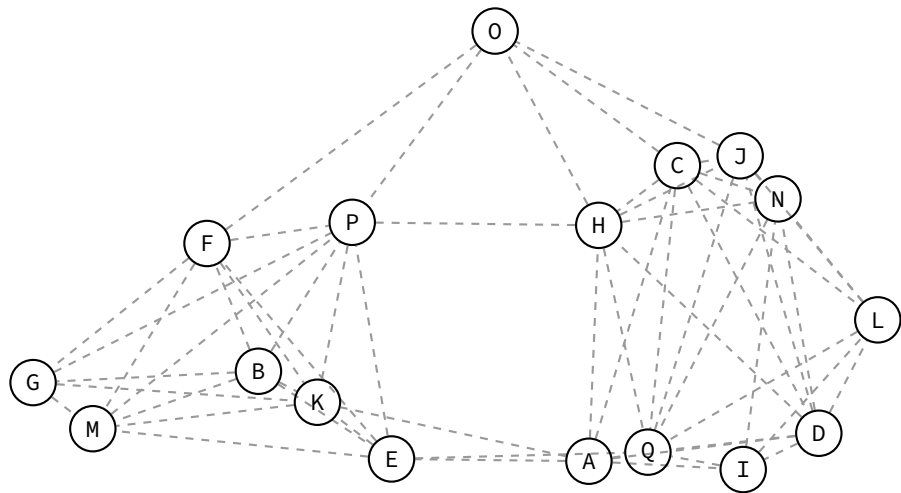
(A, D), (F, G), (A, B), (C, D), (D, G), (E, G)

# Kruskal's algorithm example

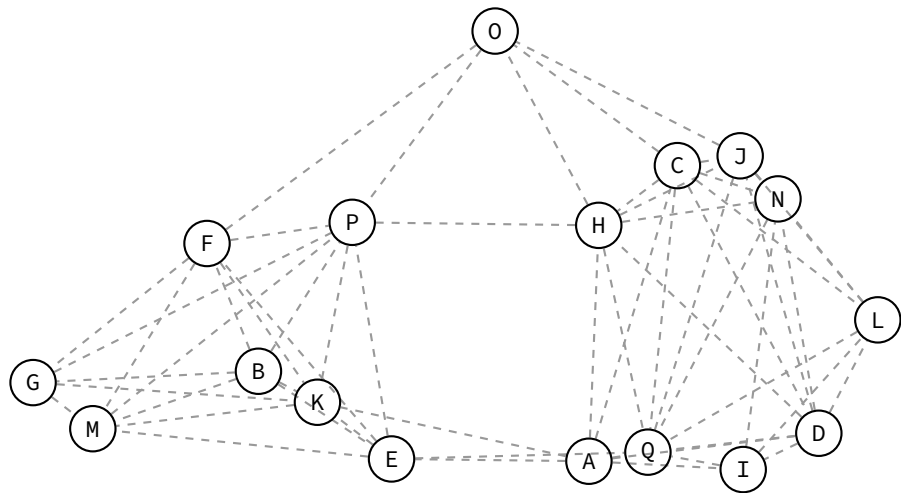


(A, D), (F, G), (A, B), (C, D), (D, G), (E, G)

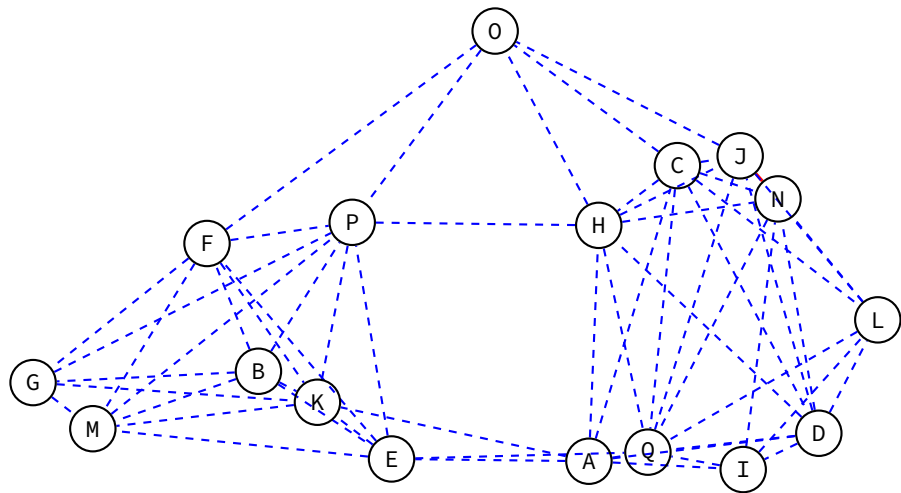
# Kruskal's algorithm example



# Kruskal's algorithm example

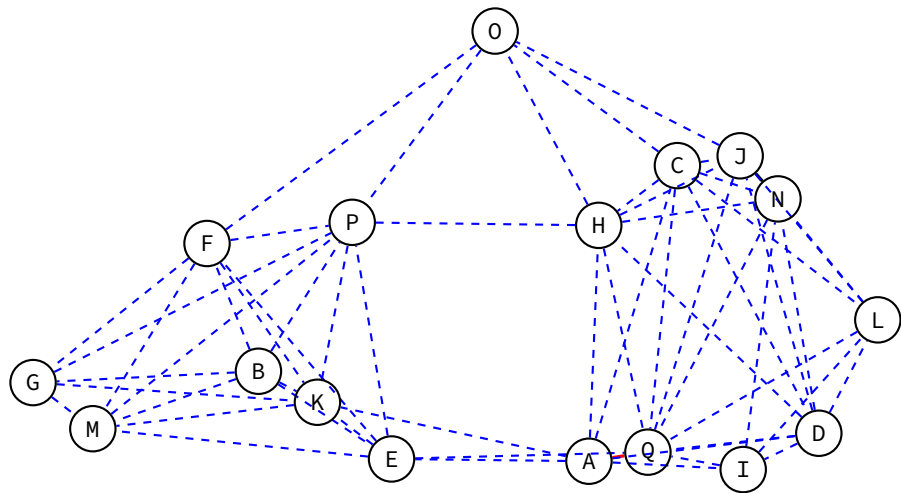


# Kruskal's algorithm example

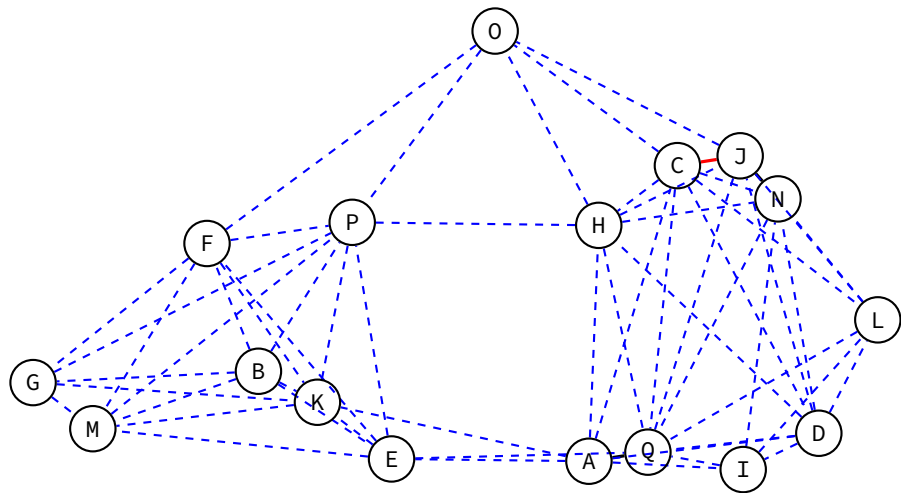




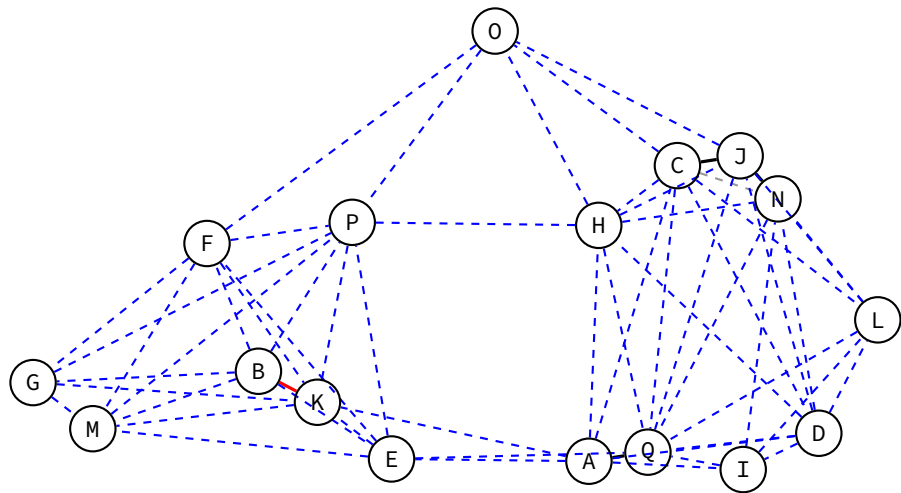
# Kruskal's algorithm example



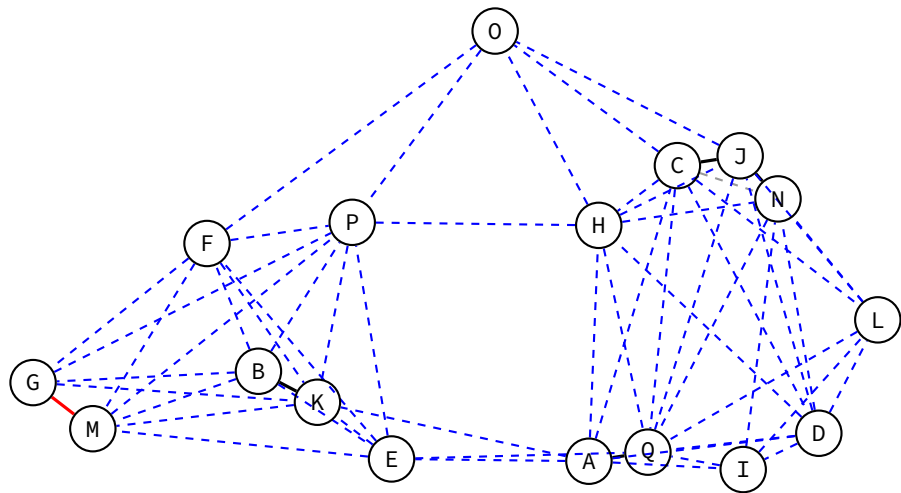
# Kruskal's algorithm example



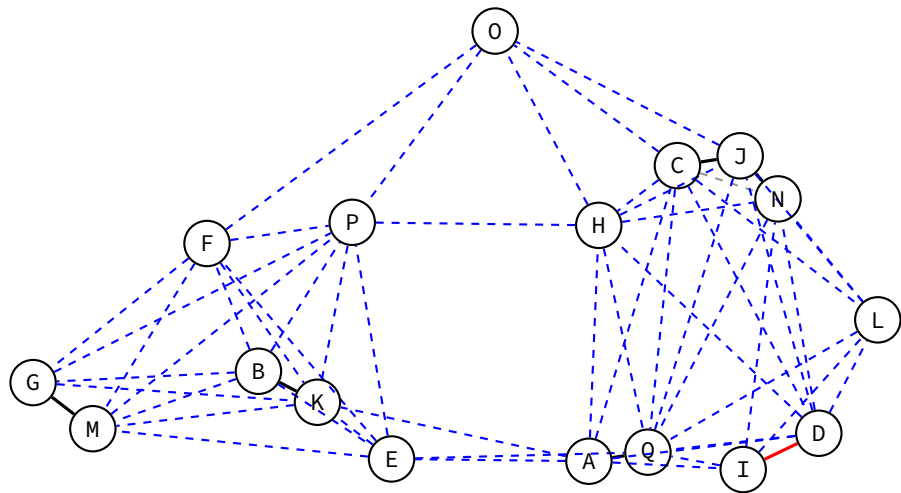
# Kruskal's algorithm example



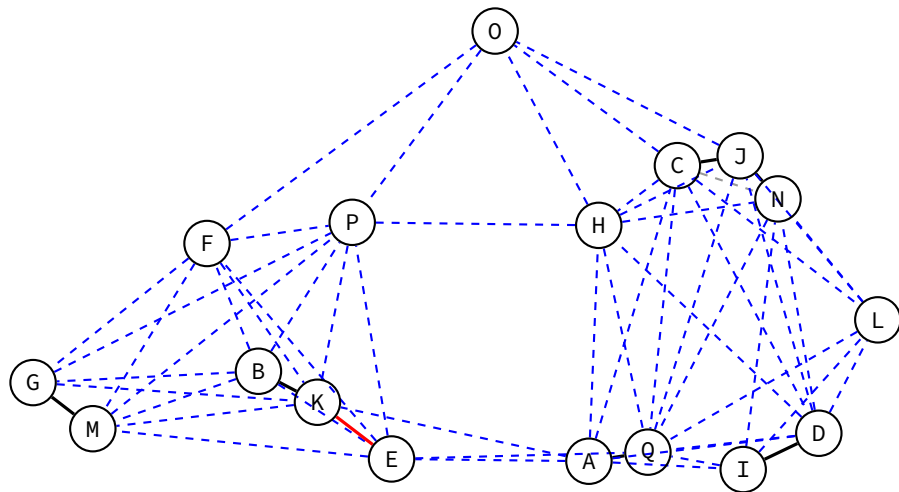
# Kruskal's algorithm example



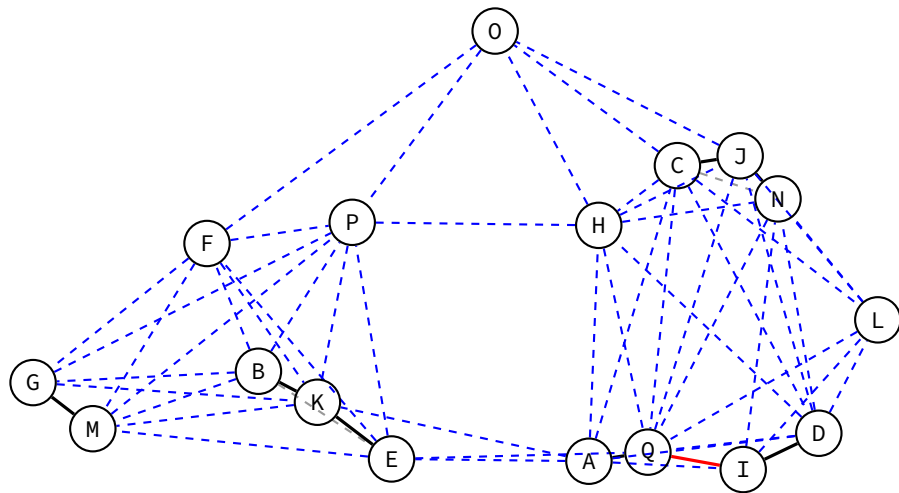
# Kruskal's algorithm example



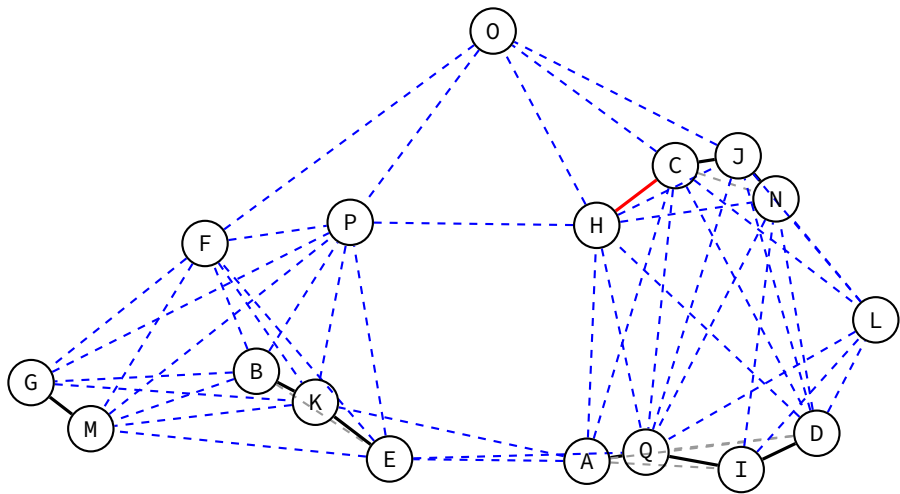
## Kruskal's algorithm example



# Kruskal's algorithm example

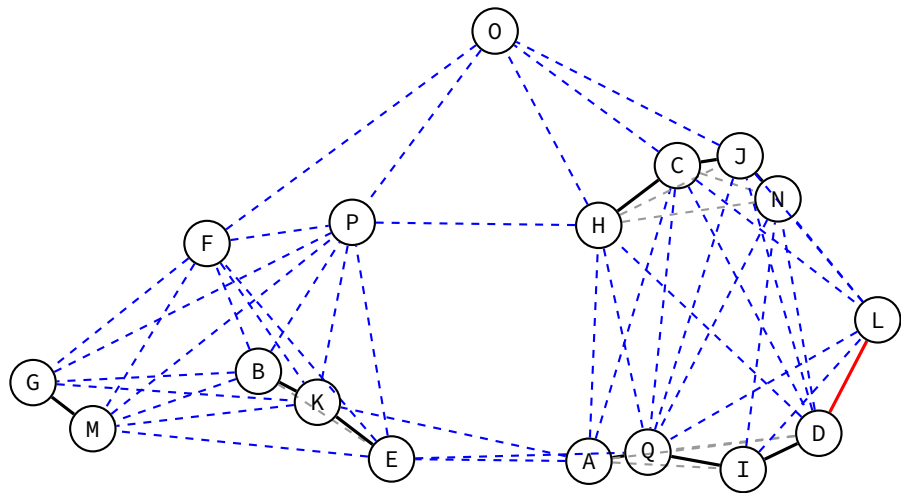


## Kruskal's algorithm example

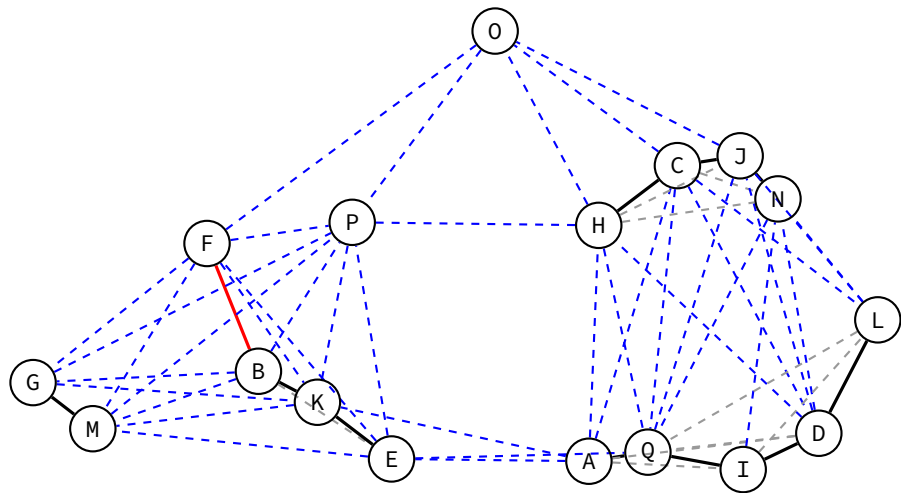




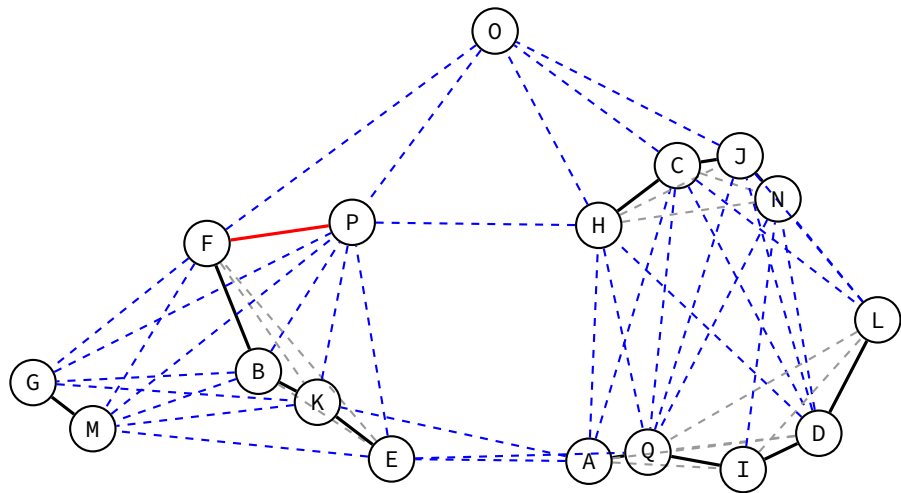
# Kruskal's algorithm example



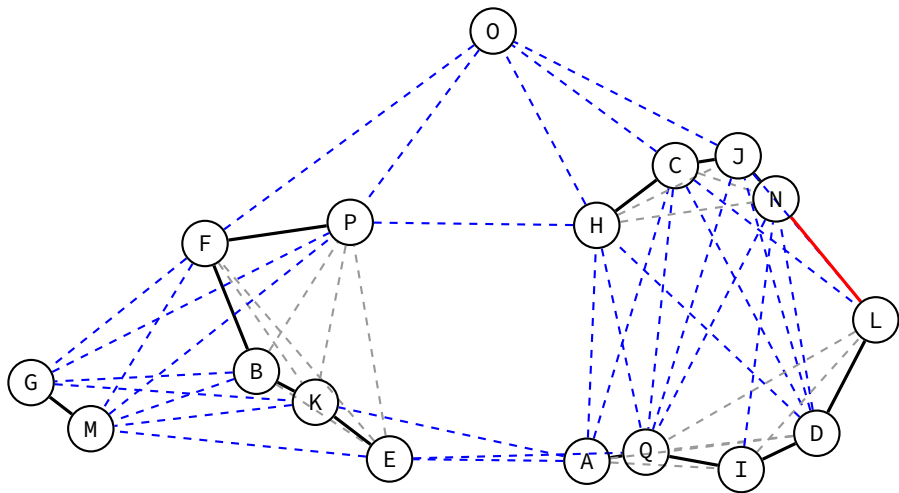
# Kruskal's algorithm example



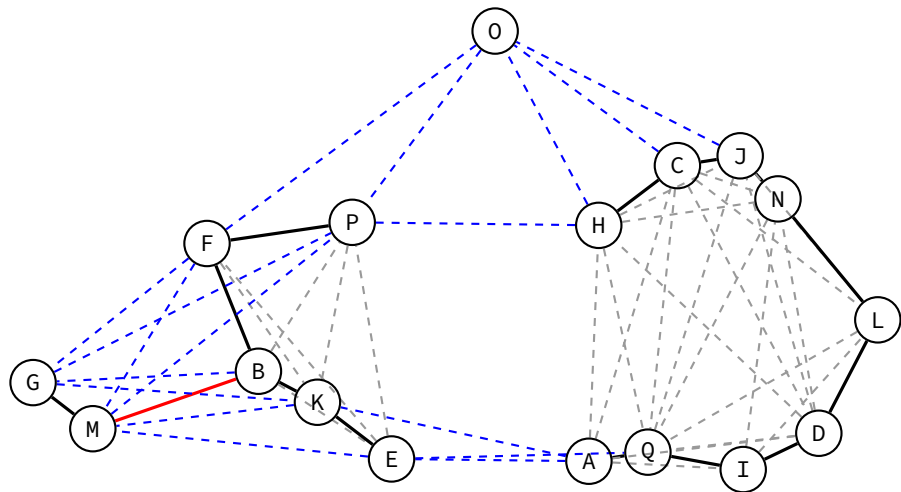
# Kruskal's algorithm example



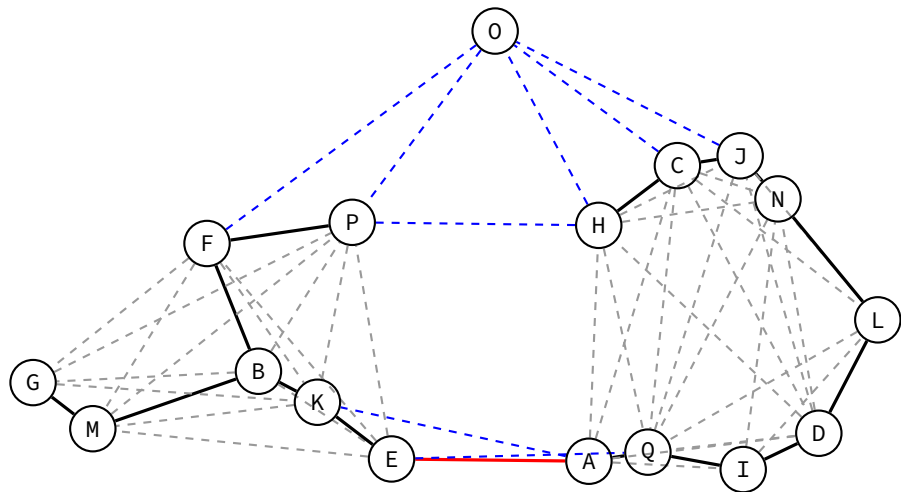
# Kruskal's algorithm example



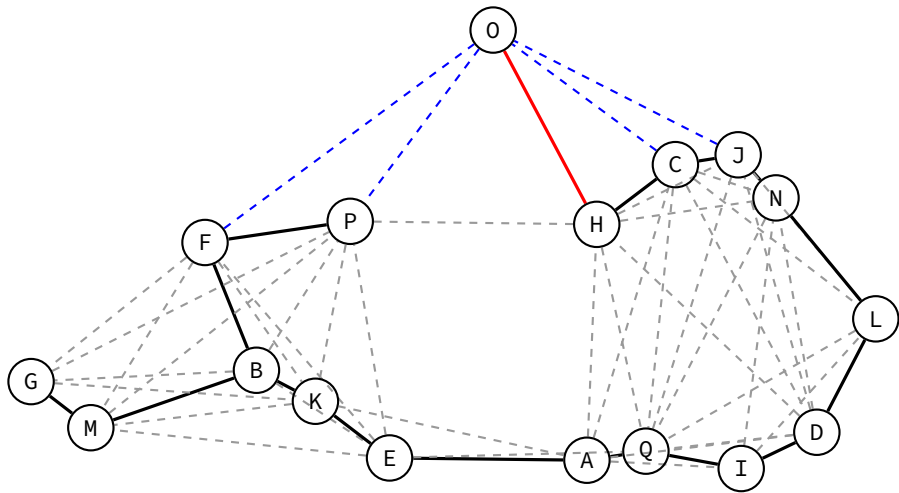
# Kruskal's algorithm example



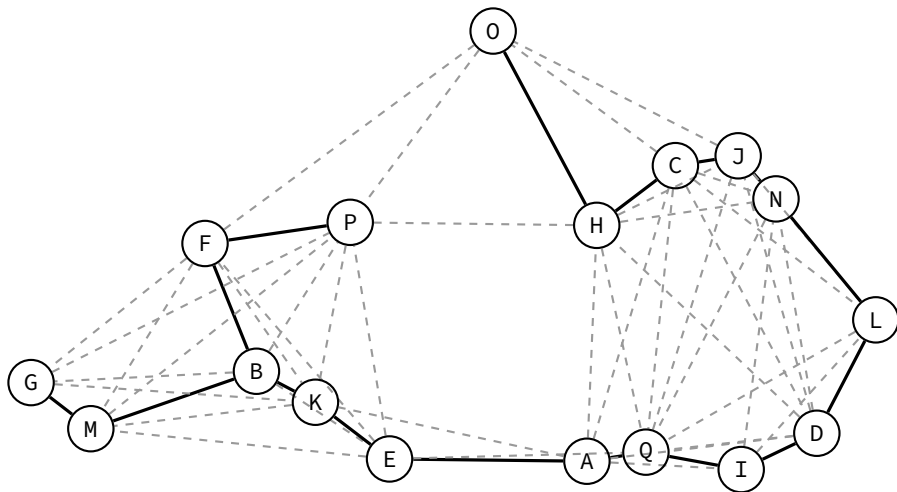
# Kruskal's algorithm example



# Kruskal's algorithm example

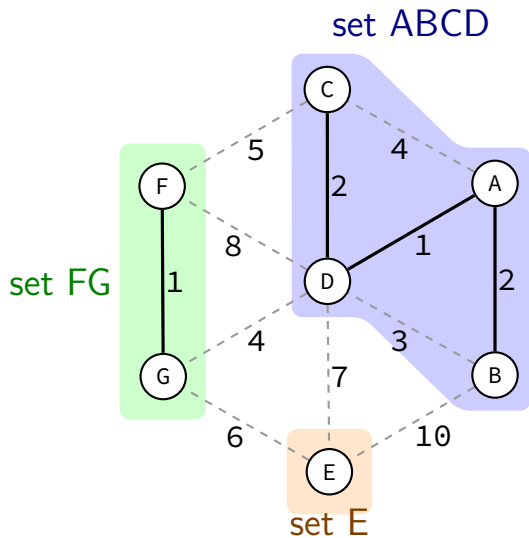


# Kruskal's algorithm example





# Kruskal: tracking sets (1)

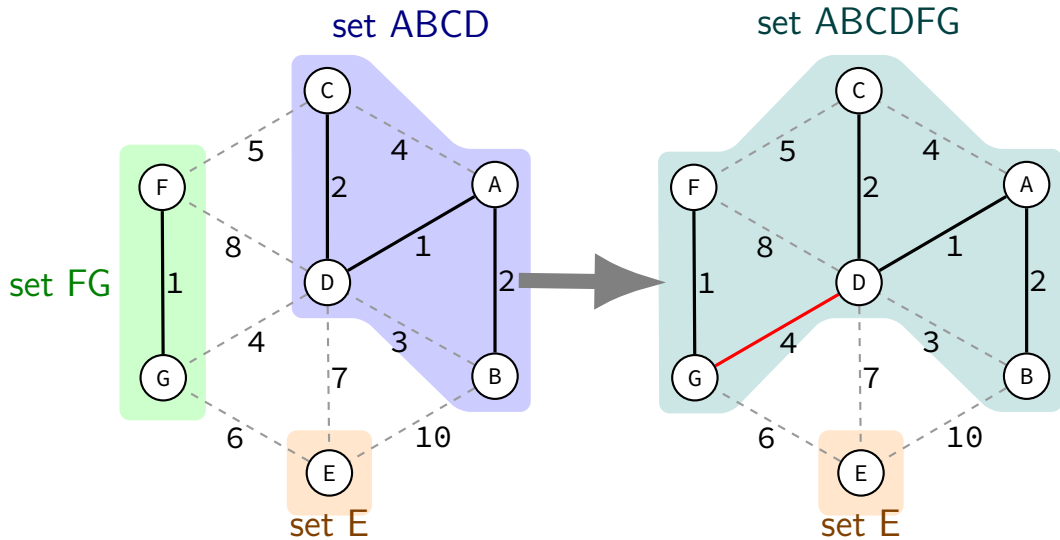


track **sets of edges**

same set — already connected

goal: add edges that connect distinct

## Kruskal: tracking sets (2)



# Kruskal pseudocode

```
SetTracker setTracker;  
for (Vertex v : vertices) {  
    setTracker.createNewSetFor(v);  
}  
vector<Edge> result;  
for (Edge e : sortByWeight(edges)) {  
    // check if adding edge would connect unconnected sets  
    if (setTracker.setIdOf(e.from) != setTracker.setIdOf(e.to)) {  
        result.push_back(e);  
        setTracker.mergeSets(  
            setTracker.setIdOf(e.from),  
            setTracker.setIdOf(e.to)  
        );  
    }  
    if (result.size() == vertices.size() - 1) break;  
}  
return result;
```

# Kruskal runtime

need to sort all edges ( $|E| \log |E|$  time)

for each edge: ( $|E|$  times)

two “find the set something is in” operations

for each edge added: ( $|V| - 1$  times)

one “merge two sets” operations

# union-find data structure

SetTracker called a “union-find datastructure” or “disjoint-set datastructure”

best implementation: slightly worse than amortized constant time per operation

amortized  $O(\alpha(n))$  time where  $\alpha(n)$  is the inverse of the Ackermann function

$\alpha(n)$  is asymptotically smaller than  $\log(n)$

# Kruskal runtime

need to sort all edges ( $|E| \log |E|$  time)

for each edge: ( $|E|$  times)  $O(|E|\alpha(|V|))$

two “find the set something is in” operations

for each edge added: ( $|V| - 1$  times)  $O(|V|\alpha(|V|))$

one “merge two sets” operations

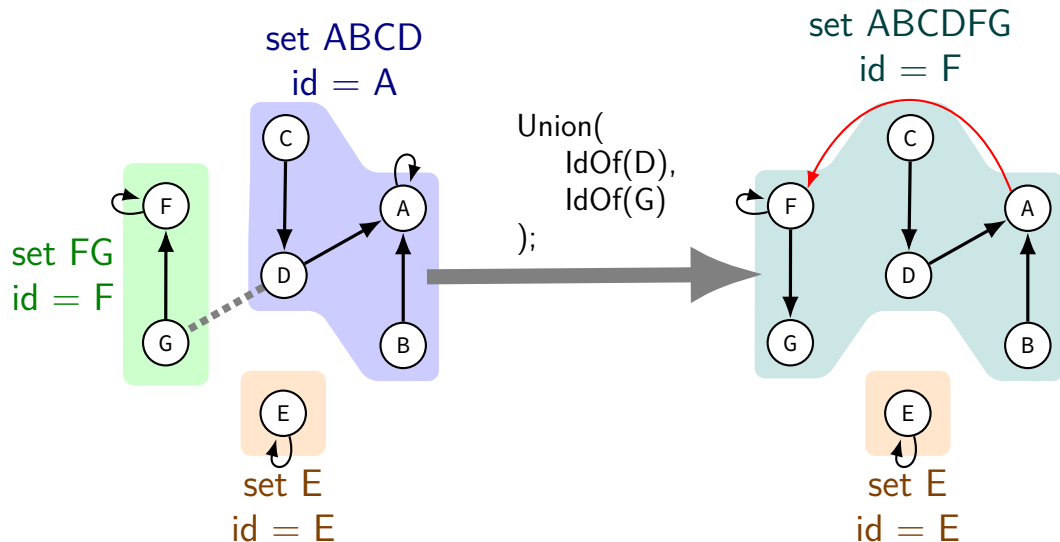
overall:  $\Theta(|E| \log |E|) = \Theta(|E| \log |V|)$  time

aside:  $\log |V| \in \Theta(\log |E|)$  since  $|V|^2 \geq |E| \geq |V| - 1$

# implementing union-find: naive/slow

```
map<Vertex, Vertex> parentOf;
MakeInitialSets() {
    for (Vertex v : vertices)
        parentOf[v] = v;
}
// Each set represented by its "root" vertex
Vertex FindSetOf(Vertex v) {
    if (v == parentOf[v]) {
        return v;
    } else {
        return FindSetOf(parentOf[v]);
    }
}
UnionSets(Vertex u, Vertex v) {
    parentOf[v] = u;
}
```

# union-find graphs





# implementing union-find: path compression

```
...  
FindSetOf(Vertex v) {  
    if (v == parentOf[v]) {  
        return v;  
    } else {  
        parentOf[v] = FindSetOf(parentOf[v]);  
        return parentOf[v];  
    }  
}
```

# implementing union-find: union by size

```
map<Vertex, int> sizeOf;  
MakeInitialSets() {  
    ...  
    sizeOf[v] = 1;  
}  
  
UnionOf(Vertex u, Vertex v) {  
    if (sizeOf[u] > sizeOf[v]) {  
        (u,v) = (v,u);  
    }  
    // attach lower size to higher size  
    parentOf[u] = v;  
  
    // update size  
    sizeOf[v] += sizeOf[u];  
}
```