## Hashes

## lists

operation	array/vector	linked list
find (by value)	$\Theta(n)$	$\Theta(n)$
insert (end)	amortized $O(1)$	$\Theta(1)$
insert (beginning/middle)	$\Theta(n)$	$\Theta(1)$
remove (by value)	$\Theta(n)$	$\Theta(n)$
find (by index)	$\Theta(1)$	$\Theta(1)$

## stacks

operation	array/vector	linked list
push	amortized $O(1)$	$\Theta(1)$
pop	$\Theta(1)$	$\Theta(1)$
top	$ \begin{array}{c} \Theta(1) \\ \Theta(1) \\ \Theta(1) \end{array} $	$\Theta(1)$
isEmpty	$\Theta(1)$	$\Theta(1)$

## queues

operation	array/vector	linked list
	amortized $O(1)$	$\Theta(1)$
dequeue	$\Theta(1)$	$\Theta(1)$

```
abstract data type with subset of list operations:
    find (by value)
    insert (unspecified location)
    remove (by value)

omits:
    find (by index)
    insert at particular location
```

operation	BST	AVL or	vector	hash table
		red-black		
find (by value)	$\Theta(\text{height})^*$	$\Theta(\log n)$	$\Theta(n)$	$O(1)\dagger$
insert	$\Theta(\text{height})^*$	$\Theta(\log n)$	amortized $O(1)$	$O(1)\dagger$
remove	$\Theta(height)^*$	$\Theta(\log n)$	$\Theta(1)$	$O(1)\dagger$
find max/min	$\Theta(height)^*$	$\Theta(\log n)$	$\Theta(n)$	$\Theta(n)$

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insert	$\Theta(\text{height})^*$	$\Theta(\log n)$	amortized $O(1)$	$O(1)\dagger$
remove	$\Theta(\text{height})^*$	$\Theta(\log n)$	$\Theta(1)$	$O(1)\dagger$
find max/min	$\Theta(\text{height})^*$	$\Theta(\log n)$	$\Theta(n)$	$\Theta(n)$

<sup>\*</sup>BST: height is "often"  $\Theta(\log n)$ , but can be  $\Theta(n)$ 

†hash table — O(1) "usually", but  $\Theta(n)$  worst case

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find max/min	$\Theta(\text{height})^*$	$\Theta(\log n)$	$\Theta(n)$	$\Theta(n)$

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remove	$\Theta(height)^*$	$\Theta(\log n)$	$\Theta(1)$	$O(1)\dagger$
find max/min	$\Theta(height)^*$	$\Theta(\log n)$	$\Theta(n)$	$\Theta(n)$

- \*BST: height is "often"  $\Theta(\log n)$ , but can be  $\Theta(n)$  how to get worst case: insert in sorted order
- †hash table O(1) "usually", but  $\Theta(n)$  worst case how to get worst case: insert specially chosen set of items can design hash table to make this really rare

## maps

abstract data type with key-value pairs

#### examples:

```
key=computing ID, value=grade
key=word, value=definition
key=user ID, value=object with many fields
```

#### operations:

find value by key insert(key, value) remove by key

## map with vector

```
class KeyValuePair {
public:
    string key;
    int value;
};
class VectorMap {
public:
    void insert(const string& key, int value);
    int find(const string& key); // XXX value if not found?
    void remove(const string& key);
private:
    vector<KeyValuePair> data;
};
```

## maps

operation	BST	AVL or red-black	vector	hash table
find (by key)	$\Theta(\text{height})^*$	$\Theta(\log n)$	$\Theta(n)$	$O(1)\dagger$
insert	$\Theta(height)^*$	$\Theta(\log n)$	amortized $O(1)$	$O(1)\dagger$
remove (by key)	$\Theta(height)^*$	$\Theta(\log n)$	$\Theta(1)$	$O(1)\dagger$

<sup>\*</sup>BST: height is "often"  $\Theta(\log n)$ , but can be  $\Theta(n)$ 

†hash table — O(1) "usually", but  $\Theta(n)$  worst case

## aside: standard library

```
std::map — balanced tree-based map
std::unordered_map — hashtable-based map
unordered map<string, double> grades;
grades["cr4bd"] = 85.0;
if (grades.count("mst3k") > 0) {
    cout << "mst3k_has_a_grade_assigned\n";</pre>
for (unordered_map<string, double>::iterator it = grades.begin();
     it != grades.end(); ++it) {
    cout << it->first << "_" << it->second << "\n";</pre>
```

std::unordered\_set — hashtable-based set

std::set — balanced tree-based set

## key-value pairs

sets are special maps — map where values are ignored

### hashtable

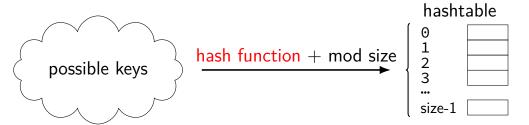
array of some size

larger than # of total elements usually prime size

hash function: map keys to array indices

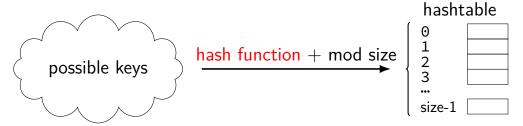


# hash function properties (1)



input: key type (e.g. string)  $\rightarrow$  output: unsigned integer then take typically — then take mod of the table size result is the "bucket" used to store info for that key

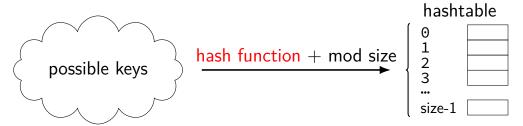
# hash function properties (2)



must be deterministic

each key assigned to exactly one "bucket"

# hash function properties (2)



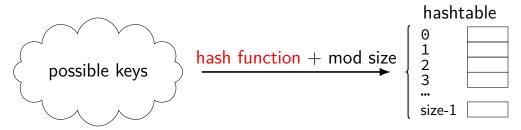
#### must be deterministic

each key assigned to exactly one "bucket"

#### should be evenly distributed

two keys *unlikely* to share bucket each bucket about as used as each other bucket

# hash function properties (2)



#### must be deterministic

each key assigned to exactly one "bucket"

#### should be evenly distributed

two keys *unlikely* to share bucket each bucket about as used as each other bucket

should be fast

## activity

```
hash students here by birthday or choose arbitrary date — just be consistent
```

#### four options:

```
decade of birth year ((year/10)%10) last digit of birth year (year%10) last digit of birth month (month%10) last digit of birth day (day%10)
```

#### exercise

```
hashtable: birthdate \rightarrow info about person w/birthdate
which option is best?
    A. birth year (year)
    B. birth day (day)
    C. days between now and birthdate ((date - today()).days())
    D. year*128 + month*32 + day
    E. year+month+day
    F. vear*(month-1)*(day-1)
```

recall: deterministic, evenly distributed, fast

key: integers

table size: 10

hash function: h(k) = k; hash+mod:  $k \mod 10$ 

insert 7, 18, 41, 34

ndex	keys
9 1	
<u>2</u> 3	
4	
5 6	
7	
9	

key: integers

table size: 10

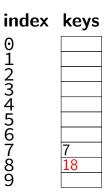
hash function: h(k) = k; hash+mod:  $k \mod 10$ 

insert 7, 18, 41, 34

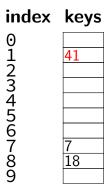
7,  $h(7) \mod 10 = 7$  — use bucket 7

ndex	keys
9	
L 2	
<u>2</u> 3	
<del>1</del> 5	
<u> </u>	
7	7
2	

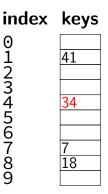
```
key: integers table size: 10 hash function: h(k)=k; hash+mod: k \mod 10 insert 7, 18, 41, 34 7, h(7) mod 10 = 7 — use bucket 7 18, h(18) mod 10 = 8 — use bucket 8 ...
```



```
key: integers table size: 10 hash function: h(k)=k; hash+mod: k \mod 10 insert 7, 18, 41, 34 7, h(7) mod 10 = 7 — use bucket 7 18, h(18) mod 10 = 8 — use bucket 8 …
```



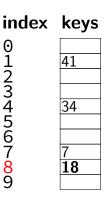
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```



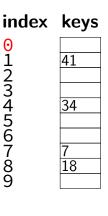
```
key: integers
table size: 10
hash function: h(k) = k; hash+mod: k \mod 10
insert 7, 18, 41, 34
    7, h(7) \mod 10 = 7 — use bucket 7
     18, h(18) \mod 10 = 8 — use bucket 8
```

# index keys 0 1 41 2 3 4 5 6 7 8 18 9

```
key: integers
table size: 10
hash function: h(k) = k; hash+mod: k \mod 10
insert 7, 18, 41, 34
    7, h(7) \mod 10 = 7 — use bucket 7
     18, h(18) \mod 10 = 8 — use bucket 8
find 34, 28, 90
    34, h(34) \mod 10 = 4 — use bucket 4 — found
    28, h(28) \mod 10 = 8 — use bucket 8 — not a match
```



```
key: integers
table size: 10
hash function: h(k) = k; hash+mod: k \mod 10
insert 7, 18, 41, 34
    7, h(7) \mod 10 = 7 — use bucket 7
     18, h(18) \mod 10 = 8 — use bucket 8
find 34, 28, 90
    34, h(34) \mod 10 = 4 — use bucket 4 — found
    28, h(28) \mod 10 = 8 — use bucket 8 — not a match
     90, h(90) \mod 10 = 0 — use bucket 0 — nothing there
```



## hashtable algorithms

```
find (by key k): compute i = h(k) \mod table size, check bucket at index i
```

need to check key — other keys may use same bucket

insert/remove (by key k): compute  $i = h(k) \mod$  table size, use bucket at index i

but what if bucket is used by another key?

find max/min: check all buckets (linear time)

## hashing strings

???

```
unsigned long hashTableIndex(const string &s, unsigned long ta
    return hash(s) % tableSize;
}
unsigned long hash(const string &s) {
```

## some proposals (1)

```
unsigned long hash(const string &s) {
    return s[0];
unsigned long hash(const string &s) {
    unsigned long sum = 0;
    for (int i = 0; i < s.size(); ++i) {
        sum += s[i];
    return sum;
```

## some proposals (2)

```
unsigned long hash(const string &s) {
   unsigned long sum = 0;
   for (int i = 0; i < s.size(); ++i) {
        // deliberate use of wraparound on overflow
        sum *= 37;
        sum += s[i];
   }
   return sum;
}</pre>
```

key: strings

table size: 11

hash function:  $h(k) = \sum_{i} k_i$  (ASCII codes)

hash+mod:  $h(k) \mod 11 = \sum_{i} k_i \mod 11$ 

insert "foo", "bar", "baz"

ndex	keys
9	
1	
2 3 4 5 7	
4	
5	
o 7	
8	
9	
10	

find "baz", "quux"

key: strings

table size: 11

hash function:  $h(k) = \sum_{i} k_i$  (ASCII codes)

hash+mod:  $h(k) \mod 11 = \sum_{i} k_i \mod 11$ 

insert "foo", "bar", "baz"  $h("foo") = 324 - bucket 324 \mod 11 = 5$ 

find "baz", "quux"

```
key: strings
```

table size: 11

hash function:  $h(k) = \sum_{i} k_i$  (ASCII codes)

hash+mod:  $h(k) \mod 11 = \sum_{i} k_i \mod 11$ 

```
insert "foo", "bar", "baz"

h("foo") = 324 — bucket 324 \mod 11 = 5

h("bar") = 309 — bucket 309 \mod 11 = 1
```

find "baz", "quux"



# example (2)

```
key: strings
```

table size: 11

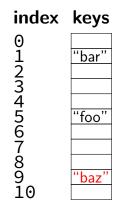
hash function:  $h(k) = \sum_{i} k_i$  (ASCII codes)

hash+mod:  $h(k) \mod 11 = \sum_{i} k_i \mod 11$ 

insert "foo", "bar", "baz"

h("foo") = 324 — bucket  $324 \mod 11 = 5$  h("bar") = 309 — bucket  $309 \mod 11 = 1$ h("baz") = 317 — bucket  $317 \mod 11 = 9$ 

find "baz", "quux"



# example (2)

```
key: strings
```

table size: 11

hash function:  $h(k) = \sum_{i} k_i$  (ASCII codes)

hash+mod:  $h(k) \mod 11 = \sum_{i} k_i \mod 11$ 

insert "foo", "bar", "baz"

$$h("foo") = 324$$
 — bucket  $324 \mod 11 = 5$   
 $h("bar") = 309$  — bucket  $309 \mod 11 = 1$   
 $h("baz") = 317$  — bucket  $317 \mod 11 = 9$ 

find "baz", "quux"



# example (2)

key: strings

table size: 11 hash function:  $h(k) = \sum_{i} k_i$  (ASCII codes)

insert "foo", "bar", "baz"  
$$h("foo") = 324 - bucket 324 \mod 11 = 5$$

 $\mathsf{hash} + \mathsf{mod} : h(k) \mod 11 = \sum_{i} k_i \mod 11$ 

 $h("quux") = 317 - bucket 467 \mod 11 = 5$ 

$$h("bar") = 309$$
 — bucket  $309 \mod 11 = 1$   
 $h("baz") = 317$  — bucket  $317 \mod 11 = 9$ 

index keys

"bar"

"foo"

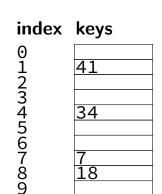
# example (1b)

key: integers

table size: 10

hash function: h(k) = k; hash+mod:  $k \mod 10$ 

insert 7, 18, 41, 34, 11



# example (1b)

```
key: integers
```

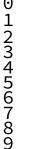
table size: 10

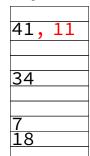
hash function: h(k) = k; hash+mod:  $k \mod 10$ 

insert 7, 18, 41, 34, 11

11,  $h(11) \mod 10 = 1$ 

# index keys 0





#### hashtable algorithms

```
find (by key k): compute i = h(k) \mod table size, check bucket at index i
```

need to check key — other keys may use same bucket

insert/remove (by key k): compute  $i = h(k) \mod$  table size, use bucket at index i

but what if bucket is used by another key?

find max/min: check all buckets (linear time)

# option 1: separate chaining

```
next:
class HashTableBucket {
                                     index
                                                        NULL
    int key;
    HashTableBucket *next;
                                     123456
    // ... + value?
                                                    key: 26
                                                               key: 59
};
                                                     next:
                                                                 next
class HashTable {
private:
    vector<HashTableBucket> data;
                                                    key: 7
        // could also use
        // vector<HashTableBucket*>10
                                                     next
};
                                                      NULL
// insert {26 (bucket 4), 7 (bucket 7),
          22 (bucket 0), 59 (bucket 4)}
```

key: 22

#### option 1: separate chaining (alt) key: 22 next: class HashTableBucket { index NULL int key; HashTableBucket \*next; // ... + value? key: 26 key: 59 **}**; next: next class HashTable { private: vector<HashTableBucket\*> data; & key: 7 // could also use // vector<HashTableBucket> 10 next **}**; NULL // insert {26 (bucket 4), 7 (bucket 7),

22 (bucket 0), 59 (bucket 4)}

### load factors and chaining

$$\textit{load factor: } \lambda = \frac{\# \text{ elements}}{\text{table size}}$$

average number of elements per bucket:  $\lambda$ 

#### find performance

```
average* time for find:
```

unsuccessful: check  $\lambda$  items successful: check  $1 + \lambda/2$  items (half of list)

\*assuming we choose random keys?

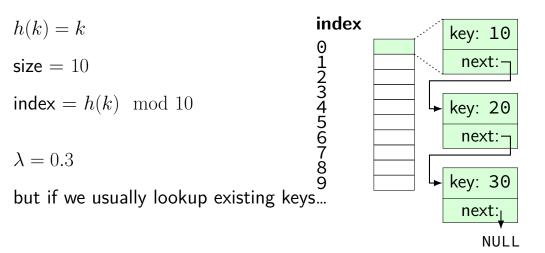
#### find performance

```
average* time for find:
```

unsuccessful: check  $\lambda$  items successful: check  $1 + \lambda/2$  items (half of list)

\*assuming we choose random keys?

### maybe our keys aren't average



#### why use a linked list?

#### one item/bucket usually

if not — we should use a balanced tree (or change hash functions?)

when not one, probably two or three

linked list — probably most efficient

typical space overhead: one NULL pointer

typical time overhead: check the one pointer

#### linked list alternatives

```
vector — way too much extra space
size, capacity
extra space reserved in array
remember: typically just one element
```

#### balanced trees

two pointers about same comparisons as linked list for size 2, 3

#### find performance revisited

with ideal hash function:  $\Theta(\lambda)$  (load factor) typically: adjust hashtable size so  $\lambda$  remains approximately constant

actual worst case:  $\Theta(n)$  (I choose all the wrong keys)

#### insert performance

 $\Theta(1)$  assuming we don't care about checking for a duplicate don't care about sorting the linked list insert at head

#### delete performance

```
need to do a find to get the bucket then linked list removal \Theta(1) (if singly linked list — track previous while finding)
```

#### rehashing

how big should the table be?

$$\begin{array}{l} C \times \text{ number of items} \\ \text{typical } C - \frac{1}{2} \text{ to } 1 \end{array}$$

#### rehashing

how big should the table be?

$$\begin{array}{l} C \times \text{ number of items} \\ \text{typical } C - \frac{1}{2} \text{ to } 1 \end{array}$$

as number of change: want to resize it!

called rehashing

...because we recompute every key's hash

#### when to rehash?

load factor  $\lambda = \text{elements/table size}$ 

typical policy: resize table when  $\lambda >$  threshold

java.util policy: when  $\lambda > 0.75$ 

alternatives:

only when insert fails?

#### rehashing big-oh

#### worst case:

everything hashes to same bucket

 $\Theta(n)$  time per insert

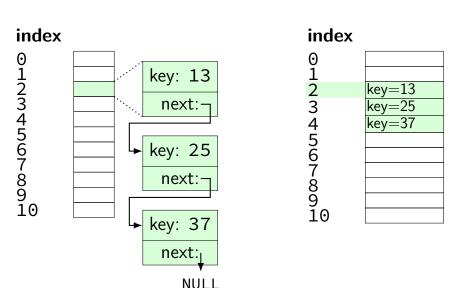
 $\Theta(n)$  inserts

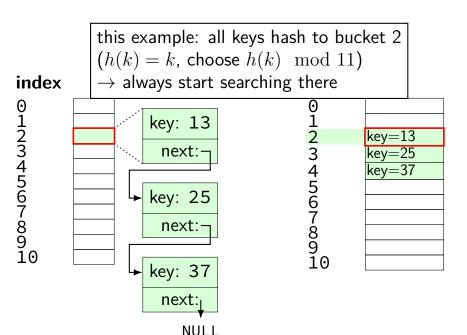
 $\Theta(n^2)$  total time

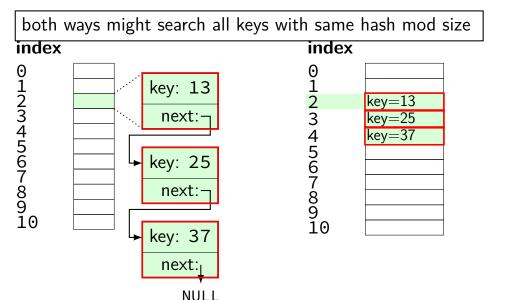
if keys are well spread out between buckets "about" linear time

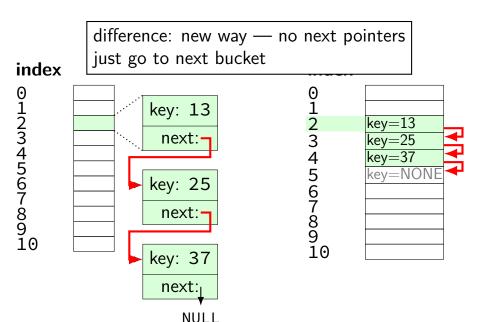
#### avoiding linked lists

```
class HashTableBucket {
    int key;
                             // 4 bytes
    int value;
                 // 4 bytes
    HashTableBucket *next; // 8 bytes
};
gosh, that's a lot of overhead
...even though "usually" one item/bucket
```



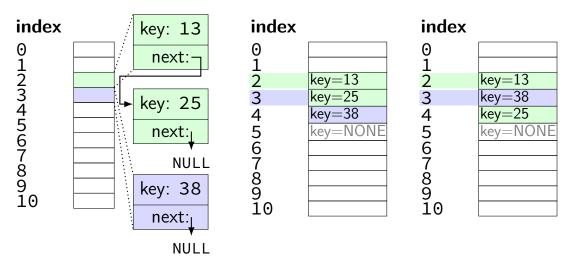






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#### but what if...



### open addressing generally

search  $h(k)+f(0) \mod size$  then  $h(k)+f(1) \mod size$  then  $h(k)+f(2) \mod size$  ...

linear probing: f(i) = i

### probing possibilities

$$h(k) + f(i) \mod size$$

linear: f(i) = i — previous diagram

quadratic:  $f(i) = i^2$ 

double hashing  $f(i) = i \times h_2(k)$  (second hash function)

### probing possibilities

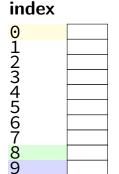
$$h(k) + f(i) \mod size$$

linear: f(i) = i — previous diagram

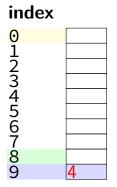
quadratic:  $f(i) = i^2$ 

double hashing  $f(i) = i \times h_2(k)$  (second hash function)

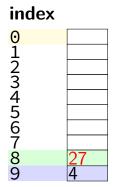
$$h(k) = 3k + 7$$
 
$$\mathsf{index} = h(k) \mod 10$$
 
$$\mathsf{then} \ \mathsf{check} \ h(k) + 1 \mod 10, \ h(k) + 2 \mod 10, \ \mathsf{etc}.$$
 
$$\mathsf{insert} \ 4, \ 27, \ 37, \ 14, \ 21$$
 
$$h(k) = \mathsf{19}, \ \mathsf{88}, \ \mathsf{118}, \ \mathsf{49}, \ \mathsf{70}$$



$$h(k) = 3k + 7$$
 
$$\mathsf{index} = h(k) \mod 10$$
 
$$\mathsf{then} \ \mathsf{check} \ h(k) + 1 \mod 10, \ h(k) + 2 \mod 10, \ \mathsf{etc}.$$
 
$$\mathsf{insert} \ \textbf{4,} \ 27, \ 37, \ 14, \ 21$$
 
$$h(k) = \textbf{19,} \ 88, \ 118, \ 49, \ 70$$

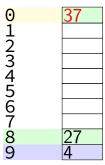


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$$h(k) = 19, \ 88, \ 118, \ 49, \ 70$$

#### index



$$h(k) = 3k+7$$
 
$$\mathsf{index} = h(k) \mod 10$$
 
$$\mathsf{then} \ \mathsf{check} \ h(k)+1 \mod 10, \ h(k)+2 \mod 10, \ \mathsf{etc}.$$
 
$$\mathsf{insert} \ 4,\ 27,\ 37,\ 14,\ 21$$
 
$$h(k)=19,\ 88,\ 118,\ 49,\ 70$$

#### index



$$h(k) = 3k + 7$$
 
$$\mathsf{index} = h(k) \mod 10$$
 
$$\mathsf{then} \ \mathsf{check} \ h(k) + 1 \mod 10, \ h(k) + 2 \mod 10, \ \mathsf{etc}.$$
 
$$\mathsf{insert} \ 4, \ 27, \ 37, \ 14, \ 21$$
 
$$h(k) = 19, \ 88, \ 118, \ 49, \ 70$$

#### index



#### the clumping

we tend to get "clumps" of used buckets reason why linear probing isn't the only way

# probing possibilities

$$h(k) + f(i) \mod size$$

linear: f(i) = i — previous diagram

quadratic:  $f(i) = i^2$ 

double hashing  $f(i) = i \times h_2(k)$  (second hash function)

$$h(k) = 3k + 7$$
 index =  $h(k) \mod 10$  then check  $h(k) + 1^2 \mod 10$ ,  $h(k) + 2^2 \mod 10$ , etc. 5 insert 4, 27, 14, 37, 22, 34 
$$h(k) = 19, 88, 49, 118, 73, 109$$
 8



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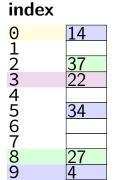


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### probing possibilities

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$$h(k) = k$$

$$index = h(k) \mod 10$$

then check  $h(k)+h_2(k) \mod 10$ ,  $h(k)+2h_2(k) \mod 10$ , etc.

...where 
$$h_2(k) = 7 - (k \mod 7)$$

insert 89, 18, 58, 49, 69, 60

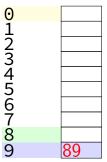


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$$h(k)=k$$
 index  $=h(k) \mod 10$  then check  $h(k)+h_2(k) \mod 10$ ,  $h(k)+2h_2(k) \mod 10$ , etc. ...where  $h_2(k)=7-(k \mod 7)$ 

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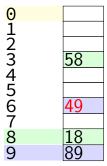


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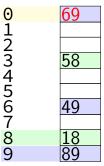


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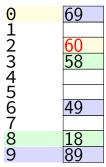
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# double hashing thrashing

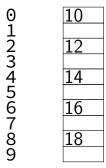
$$h(k) = k$$
;  $h_2(k) = (k \mod 5) + 1$   
index =  $h(k) \mod 10$   
then check  $h(k) + h_2(k) \mod 10$ ,  $h(k) + 2h_2(k) \mod 10$ , etc.  
insert 10, 12, 14, 16, 18, 36



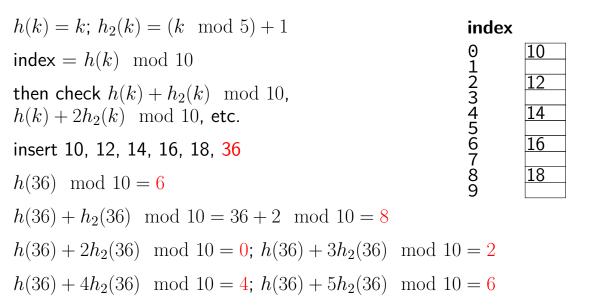
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insert 10, 12, 14, 16, 18, 36





# double hashing thrashing



#### why prime sizes

prime sizes prevent this problem

 $h_2(k)$  (2) was not relatively prime to table size (10)

result: didn't use all elements of table

similar issues with  $i^2$ , etc. (but not as bad)

# why prime sizes (2)

often use prime sizes w/o open addressing why — more forgiving for not great hash functions example: h(k)=k are most keys even? — oops if table size is even are most keys 10k? — oops if table size is multiple of 5

#### handling removal

```
with chaining: easy
remove from linked list

with open addressing: hard
need to not disrupt searches
option 1: rehash every time (super-expensive)
option 2: placeholder value + rehash eventually
option 3: disallow deletion (lab 6)
```

#### cryptographic hashes

example: SHA-256

input: any string of bits

output: 256 bits

have security properties normal hashes don't:

collision resistence preimage resistence

#### cryptographic hashes

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#### collision resistence

security property of a cryptographic hash

it's very hard to find keys  $k_1$  and  $k_2$  so  $h(k_1) = h(k_2)$ 

note: why SHA-256's output is so big (256 bits) otherwise, just generate lots of hashes...

example application: verify download with hash of file contents it's very hard to find two files with the same hash even if you're trying

#### exercise: collision non-resistence

exercise: how to construct two strings with same hash?

```
unsigned int hash(const string &s) {
    unsigned int sum = 0;
    for (int i = 0; i < s.size(); ++i) {
        // deliberate use of wraparound on overflow
        sum *= 37;
        sum += s[i];
    return sum;
```

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#### exercise: collision non-resistence

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        sum *= 37;
        sum += s[i];
    return sum;
```

one idea:  $\{ {\rm 60,}\ x \}$  and  $\{ {\rm 59,}\ x + 37 \}$  have the same hash

exercise: how to construct two strings with same hash?

#### cryptographic hashes

example: SHA-256

input: any string of bits

output: 256 bits

have security properties normal hashes don't:

collision resistence

preimage resistence

#### preimage resistence

security property of a cryptographic hash if given V, very hard to find k so h(k)=V collision resistence usually implies preimage resistence

#### some cryptographic hash applications

#### verifying downloads

get short hash from trusted source get big file from less trusted source use hash to make sure it's the right big file

#### message authentication

did message 'X' really come from where I thought? usually: "magic" math operation that works on small amount of data hash turns big message into small amount of data