Sets and recursive definitions Choosing the right abstraction Product and sum types Processing set elements, functoriality A set for anything

Binary Search Trees

(the sum, the product and the functor)

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Contents

- Sets and recursive definitions.
- Choosing the right abstraction.
- Product and sum types.
- Processing set elements, functoriality.
- A set for anything.

A set is an unordered collection of objects, called *elements* or *members* of a set.

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A set is said to *contain* its elements.

The $a \in A$ notation denotes that a is an element of set A.

The $a \notin A$ notation denotes that a is not an element of set A.

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Set properties

• Sets are *unordered* collections.

Set properties

- Sets are *unordered* collections.
- Sets contain no duplicate elements.

Problem statement

- Create a data abstraction for representing a finite set of elements.
- Implement set operations:
 - adjoin(x, S) produces a new set that has all elements of set S and element x;
 - contains?(x, S) a predicate that checks if $x \in S$;

Examples of sets

$$S = \{2, 1, 3, 4\}$$

$$\bullet \ [a,b] = \{x \, | \, a \leqslant x \leqslant b\}$$

$$\bullet \mathbb{N}_0 = \{0, 1, 2, \ldots\}$$

Recursive definition of sets and other structures

Basis step:

Specify the initial collection of elements.

Recursive step:

Specify the rules for forming new elements from already present ones.

Basis step:

 $3 \in S$.

Recursive step:

if
$$x \in S$$
 and $y \in S$ then $(x + y) \in S$

Basis step:
$$S_0 = \{3\}$$

Basis step:
$$S_0 = \{3\}$$

Recursive step 1:
$$S_1 = \{3, 6\}$$

$$3 + 3$$

Basis step:
$$S_0 = \{3\}$$

Recursive step 1:
$$S_1 = \{3, 6\}$$
 3 + 3

Recursive step 2:
$$S_2 = \{3, 6, 9, 12\}$$
 $3 + 6$ and $6 + 6$

Basis step:
$$S_0 = \{3\}$$

Recursive step 1:
$$S_1 = \{3, 6\}$$

$$S_2 = \{3, 6, 9, 12\}$$
 $3+6 \text{ and } 6+6$

Recursive step 2:
$$S_2 = \{3, 6, 9, 12\}$$
 3 + 6 and 6 + 6

Recursive step 3:
$$S_4 = \{3, 6, 9, 12, 15, 18, 21, 24\}$$
 $3+12, 6+9, 6+12, 9+12$ and $12+12$

3 + 3

Basis step:
$$S_0 = \{3\}$$

Recursive step 1:
$$S_1 = \{3, 6\}$$
 3 + 3

Recursive step 2:
$$S_2 = \{3, 6, 9, 12\}$$
 $3 + 6$ and $6 + 6$

Recursive step 3:
$$S_4 = \{3, 6, 9, 12, 15, 18, 21, 24\}$$
 $3+12, 6+9, 6+12, 9+12$ and $12+12$

...

Structural induction

Basis step:

Show that statement P holds for instances that represents our structure at its basis step.

Inductive step:

Inductive hypothesis: P holds for all instances of out structure after applying k recursive steps.

Show that P(x) holds for all new instances formed by applying the k+1 recursive step.

Problem statement, simplified

- Create a data abstraction for representing a finite set of *integers*.
- Implement set operations:
 - adjoin(x, S) produces a new set that has all elements of set S and element x;
 - contains?(x, S) a predicate that checks if $x \in S$;

```
type IntSet = List[Int]
def contains_?(x: Int, set: IntSet): Boolean = ???
def adjoin(x: Int, set: IntSet): IntSet = ???
```

```
idef contains_?(x: Int, set: IntSet): Boolean =
if (set.isEmpty)
false
velse
x.equals(set.head) || contains_?(x, set.tail)
```

```
1 def adjoin(x: Int, set: IntSet): IntSet =
2    if (contains_?(x, set))
3        set
4    else
5        x:: set
```

But what is the complexity of these operations?

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- Linear time search to check (contains?) if a number is present in a set, O(n).

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- Linear time inclusion (adjoin) of a new number into a set, O(n).
- Linear time search to check (contains?) if a number is present in a set, O(n).
- Linear space growth characteristics, O(n).

The advantages of using a binary search tree as the data structure for implementing a set:

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- Logarithmic time inclusion (adjoin) of a new number into a set, $O(\lceil log_2 n \rceil)$.
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The advantages of using a binary search tree as the data structure for implementing a set:

- Logarithmic time inclusion (adjoin) of a new number into a set, $O([log_2 n])$.
- Logarithmic time search to check (contains?) if a number is present in a set, $O(\lceil log_2 n \rceil)$.
- Linear space growth characteristics, O(n).

$$|S| = 15$$

$$|S| = 15$$

15 vs. 4

$$|S| = 15$$

$$|S| = 1,000,000,000$$

$$|S| = 15$$

$$|S| = 1,000,000,000$$

Recursive definition of tree structures, full binary tree

Basis step:

A single node forms a full binary tree.

Recursive step:

If T_1 and T_2 are disjoint full binary trees then there is a full binary tree $T_1 \cdot T_2$, which consists of a root node r together with edges connecting this root to the roots of the left subtree T_1 and the right subtree T_2 . Sets and recursive definitions

Choosing the right abstraction

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A set for anything

Recursive definition of tree structures, full binary tree

Basis step: •

Recursive definition of tree structures, full binary tree

Basis step:

Recursive step 1:

Basis step:

Recursive step 1:



Recursive step 2:



Basis step:

Recursive step 1:



Recursive step 2:



Basis step:

Recursive step 1:



Recursive step 2:





Basis step:

Recursive step 1:



Recursive step 2:

. . .

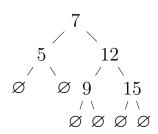






Full binary search tree

$$S = \{5, 7, 9, 12, 15\}$$



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Recursively defined functions for recursively defined structures

Let's introduce some functions on full binary trees.

Recursively defined functions for recursively defined structures

Let's introduce some functions on full binary trees.

- $h: T_{FB} \to \mathbb{N}_0$ to compute the height of a full binary tree.
- $n: T_{FB} \to \mathbb{N}$ to compute the number of nodes in a full binary tree.

Recursive definition of h(T)

Basis step:

If T is a full binary tree consisting only of a root node then its height is 0.

That is h(T) = 0.

Recursive definition of h(T)

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If T is a full binary tree consisting only of a root node then its height is 0.

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Recursive step:

If T_1 and T_2 are full binary trees then a full binary tree $T = T_1 \cdot T_2$ has the height of $h(T) = 1 + max(h(T_1), h(T_2))$.

Recursive definition of n(T)

Basis step:

If T is a full binary tree consisting only of a root node then n(T) = 1.

Recursive definition of n(T)

Basis step:

If T is a full binary tree consisting only of a root node then n(T) = 1.

Recursive step:

If T_1 and T_2 are full binary trees then a full binary tree $T = T_1 \cdot T_2$ has $n(T) = 1 + n(T_1) + n(T_2)$ nodes.

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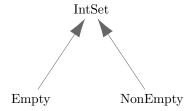
Recursively defined functions for recursively defined structures

Observation: functions on hierarchical recursively defined structures can only be defined recursively.

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Hierarchical data by means of type hierarchies

Hierarchical data by means of type hierarchies



IntSet

```
type Set = IntSet
sealed trait IntSet {
    def contains_?(x: Int): Boolean
    def adjoin(x: Int): IntSet
}
```

Empty set

```
case object Empty extends IntSet {
    def contains_?(x: Int): Boolean =
        false

def adjoin(x: Int): IntSet =
    NonEmpty(x, Empty, Empty)
}
```

Non empty set, operation contains?

```
case class NonEmpty(el: Int,
left: IntSet,
right: IntSet) extends IntSet {

def contains_?(x: Int): Boolean =
if (x < el) left contains_? x
else if (x > el) right contains_? x
else true

def adjoin(x: Int): IntSet = ...

IntSet = ...
```

Non empty set, operation adjoin

IntSet in action

```
scala> val set = NonEmpty(7, Empty, Empty)
set: NonEmpty = NonEmpty(7, Empty, Empty)
```

IntSet in action

```
scala> val set = NonEmpty(7, Empty, Empty)
set: NonEmpty = NonEmpty(7, Empty, Empty)
```

```
scala > val set 2 = set adjoin 5 adjoin 12
set 2: NonEmpty = NonEmpty(7, NonEmpty(5, Empty, Empty), NonEmpty(12, E
```

Empty and non empty set, improving toString

```
case object Empty extends IntSet {
    ...
    override def toString = "."
}
```

Empty and non empty set, improving toString

```
case object Empty extends IntSet {
    ...
    override def toString = "."
}
```

IntSet back in action

```
scala> val set = NonEmpty(7, Empty, Empty)
set: NonEmpty = {.7.}
```

IntSet back in action

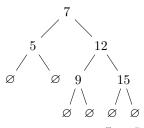
```
scala> val set = NonEmpty(7, Empty, Empty)
set: NonEmpty = {.7.}
```

```
scala > val set2 = set adjoin 5 adjoin 12
 set2: IntSet = \{\{.5.\}7\{.12.\}\}
```

```
def adjoin(x: Int): IntSet = NonEmpty(x, Empty, Empty)

def adjoin(x: Int): IntSet =
    if (x < el) NonEmpty(el, left adjoin x, right)
    else if (x > el) NonEmpty(el, left, right adjoin x)
    else this
}

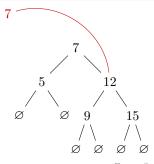
set adjoin 3
```



```
def adjoin(x: Int): IntSet = NonEmpty(x, Empty, Empty)

def adjoin(x: Int): IntSet =
   if (x < el) NonEmpty(el, left adjoin x, right)
   else if (x > el) NonEmpty(el, left, right adjoin x)
   else this
}

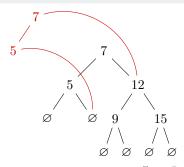
set adjoin 3
```



```
def adjoin(x: Int): IntSet = NonEmpty(x, Empty, Empty)

def adjoin(x: Int): IntSet =
    if (x < el) NonEmpty(el, left adjoin x, right)
    else if (x > el) NonEmpty(el, left, right adjoin x)
    else this
}

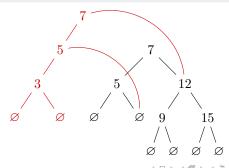
set adjoin 3
```



```
def adjoin(x: Int): IntSet = NonEmpty(x, Empty, Empty)

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    if (x < el) NonEmpty(el, left adjoin x, right)
    else if (x > el) NonEmpty(el, left, right adjoin x)
    else this
}

set adjoin 3
```



Implementing h(T) and n(T)

Basis step:

$$h(T) = 0$$

Recursive step:

$$h(T) = 1 + max(h(T_1), h(T_2))$$

Implementing h(T) and n(T)

Basis step:

$$h(T) = 0$$

Recursive step:

$$h(T) = 1 + max(h(T_1), h(T_2))$$

Basis step:

$$n(T) = 1$$

Recursive step:

$$n(T) = 1 + n(T_1) + n(T_2)$$

Which subtype represents an empty set?

```
sealed trait IntSet {
    def isEmpty: Boolean
case object Empty extends IntSet {
    def isEmpty: Boolean = true
case class NonEmpty (...) extends IntSet {
    def isEmpty: Boolean = false
```

Cartesian product (Set Theory):

$$A = \{1, 3\}, B = \{1, 2\}$$

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 $A \times B = \{(1, 1), (1, 2), (3, 1), (3, 2)\}$

Cartesian product (Set Theory):

$$A = \{1, 3\}, B = \{1, 2\}$$

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Product type (Type Theory):

$$A \times B$$

Cartesian product (Set Theory):

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 $\mathtt{Rational} = \mathtt{Int} \times \mathtt{Int}$

Cartesian product (Set Theory):

$$A = \{1, 3\}, B = \{1, 2\}$$

 $A \times B = \{(1, 1), (1, 2), (3, 1), (3, 2)\}$

Product type (Type Theory):

$$A \times B$$

 $Rational = Int \times Int$

 ${\tt NonEmpty} = {\tt Int} \times {\tt IntSet} \times {\tt IntSet}$

Union (Set Theory):

$$A=\{1,3\},\,B=\{1,2\}$$

Union (Set Theory):

$$A = \{1, 3\}, B = \{1, 2\}$$

 $A \cup B = \{1, 3, 2\}$

Union (Set Theory):

$$A=\{1,3\},\,B=\{1,2\}$$

$$A \bigcup B = \{1, 3, 2\}$$

Disjoint union or tagged union (Set Theory):

$$A = \{1, 3\}, B = \{1, 2\}$$

Union (Set Theory):

$$A = \{1, 3\}, B = \{1, 2\}$$

 $A \mid JB = \{1, 3, 2\}$

Disjoint union or tagged union (Set Theory):

$$A = \{1, 3\}, B = \{1, 2\}$$

 $A^* = \{(1, a), (3, a)\}, B^* = \{(1, b), (2, b)\}$

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$$A^* = \{(1, a), (3, a)\}, B^* = \{(1, b), (2, b)\}$$

$$A \coprod B = A^* \bigcup B^* = \{(1, a), (3, a), (1, b), (2, b)\}$$

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$$A + B$$

Union (Set Theory):

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Disjoint union or tagged union (Set Theory):

$$A = \{1,3\}, B = \{1,2\}$$

$$A^* = \{(1,a), (3,a)\}, B^* = \{(1,b), (2,b)\}$$

$$A \coprod B = A^* \bigcup B^* = \{(1,a), (3,a) (1,b), (2,b)\}$$

$$A + B$$
IntSet = Empty + NonEmpty

Union (Set Theory):

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$$A+B$$

$$\label{eq:another model} \mbox{IntSet} = \mbox{Empty} + \mbox{NonEmpty}$$

$$\mbox{IntSet} = \mbox{Empty} \mid \mbox{NonEmpty}(\mbox{O, Empty, Empty}) \mid \dots$$

$$\mbox{Boolean} = \mbox{true} \mid \mbox{false}$$

Union (Set Theory):

$$A = \{1, 3\}, B = \{1, 2\}$$

 $A \mid JB = \{1, 3, 2\}$

Disjoint union or tagged union (Set Theory):

$$A = \{1,3\}, B = \{1,2\}$$

$$A^* = \{(1,a), (3,a)\}, B^* = \{(1,b), (2,b)\}$$

$$A \coprod B = A^* \bigcup B^* = \{(1,a), (3,a) (1,b), (2,b)\}$$

$$A+B$$

IntSet = Empty + NonEmpty
IntSet = Empty | NonEmpty(0, Empty, Empty) | ...
Boolean = true | false
Int = 1 | 2 | 3 | ...

Sealed type hierarchies for Sum and Prod types

```
sealed trait IntSet {
case object Empty extends IntSet {
case class NonEmpty(el: Int
                    left: IntSet,
                    right: IntSet) extends IntSet {
```

Decomposition of compound data, pattern matching

```
e match {
    case p1 => e1
    case p2 => e2
    ...
    case pn => en
}
```

http://www.scala-lang.org/files/archive/spec/2.12/08-pattern-matching.html

Implementing h(T) with pattern matching

```
def height(set: IntSet): Int = set match {
   case Empty =>
      0
   case NonEmpty(_, left, right) =>
      1 + Math.max(height(left), height(right))
   }
}
```

Implementing n(T) with pattern matching

```
def size(set: IntSet): Int = set match {
case Empty =>
0
case NonEmpty(_, left, right) =>
1 + size(left) + size(right)
}
```

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Processing elements in sets

How do we go about doing something with elements in our IntSet?

Double each element

```
def double(set: IntSet): IntSet = set match {
    case Empty =>
        set
    case NonEmpty(el, left, right) =>
        NonEmpty(2 * el, double(left), double(right))
}
```

Double each element in action

Square each element

```
def square(set: IntSet): IntSet = set match {
   case Empty =>
        set
   case NonEmpty(el, left, right) =>
        NonEmpty(el * el, square(left), square(right))
}
```

Square each element in action

Processing elements in sets

Can processing of elements in IntSet be generalised?

Mapping elements

```
sealed trait IntSet {
    def contains_?(x: Int): Boolean
    def adjoin(x: Int): IntSet

def map(f: Int => Int): IntSet = this match {
    case Empty =>
        this
    case NonEmpty(el, left, right) =>
        NonEmpty(f(el), left map f, right map f)
}
NonEmpty(f(el), left map f, right map f)
}
```

Mapping elements in action

```
scala> val set = Empty include 7 include 5 include ( 12) include 9 include 15 set: IntSet = \{\{.5.\}7\{\{.9.\}12\{.15.\}\}\}\ scala> set map (x=>2*x) res10: IntSet = \{\{.10.\}14\{\{.18.\}24\{.30.\}\}\} scala> set map (x=>x*x) res11: IntSet = \{\{.25.\}49\{\{.81.\}144\{.225.\}\}\}
```

Mapping elements in action, alternative syntax

```
scala> set map { x=>2*x } res12: IntSet = {{.10.}14{{.18.}24{.30.}}}} scala> set map { 2*_ } res13: IntSet = {{.10.}14{{.18.}24{.30.}}}
```

Mapping is not easy...

The presented implementation of map is invalid!

Mapping is not easy...

The presented implementation of map is invalid!

```
scala > val set = Empty include 7 include 5 ... include 15 set: IntSet = \{\{.5.\}7\{\{.9.\}12\{.15.\}\}\}\ scala > set map \{x \Rightarrow if (x > 7) - x else x \} res14: IntSet = \{\{.5.\}7\{\{.-15\{.-12.\}\} - 9.\}\}
```

Mapping is not easy...

The presented implementation of map is invalid!

```
scala > val set = Empty include 7 include 5 ... include 15 set: IntSet = \{\{.5.\}7\{\{.9.\}12\{.15.\}\}\}\ scala > set map \{x \Rightarrow if (x > 7) - x else x\} res14: IntSet = \{\{.5.\}7\{\{.-15\{.-12.\}\} - 9.\}\}
```

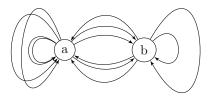
```
scala> set map { x \Rightarrow 1 }
res14: IntSet = {{.1.}1{{.1.}}}}
```

Category theory, simplistic view

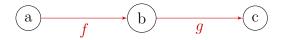
Major tools in the categorical toolbox:

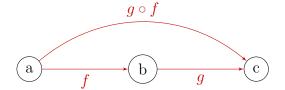
- Abstraction
- Composition
- Identity

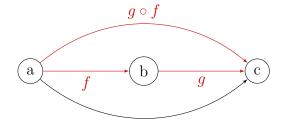
A category is a bunch of objects with morphisms between them.

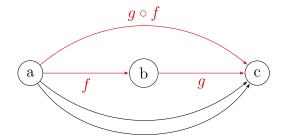


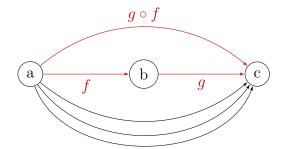
- Objects are primitives, have no structure or properties.
- **Arrows** morphisms, are primitives, join objects, have the beginning and the end.



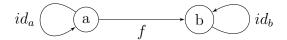








• Identity – for every object there is an identity arrow.



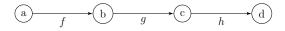
Category theory, axioms

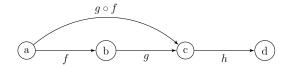
• Left identity $id_a \circ f = f$

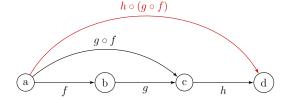


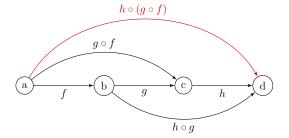
• Right identity $f \circ id_a = f$

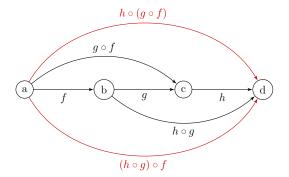












Category theory and programming

- Objects are types.
- Arrows are functions.

Sets and recursive definitions
Choosing the right abstraction
Product and sum types
Processing set elements, functoriality
A set for anything

Morphisms, intuition

A **morphism** is a structure-preserving map from one mathematical object to another.

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Homomorphisms, intuition

A **homomorphism** is a structure-preserving map between two algebraic structures of the same type.

Functors, intuition

A **functor** is a *homomorphism* between categories in the category theory.

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Functors, intuition

What defines the structure in a category?

Functors, intuition

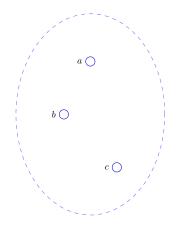
What defines the structure in a category?

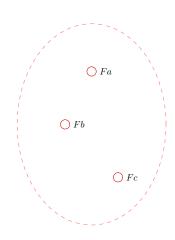
Arrows and their composition!

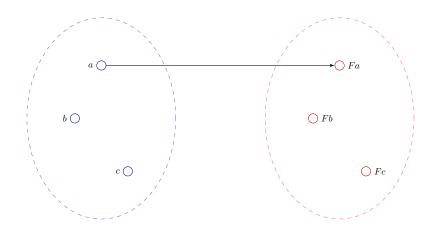
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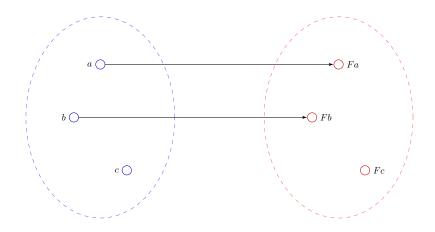
Functors, intuition

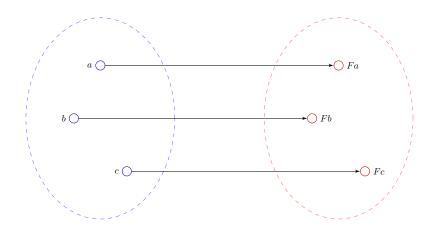
Functors must map objects to objects and arrows to arrows while preserving composition!

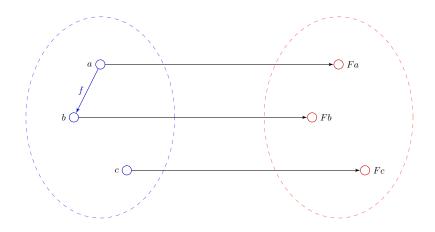


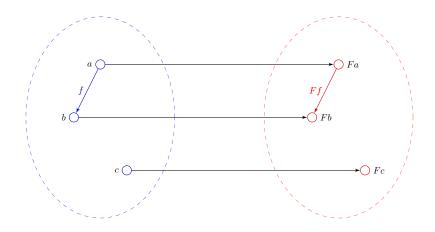


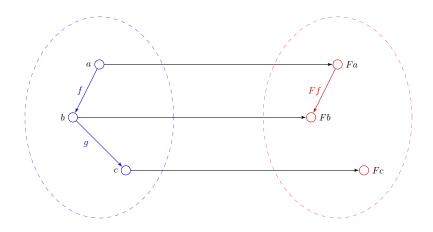


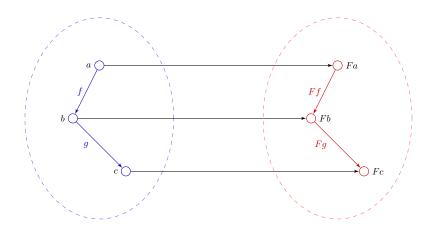


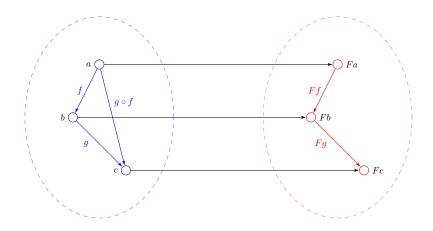


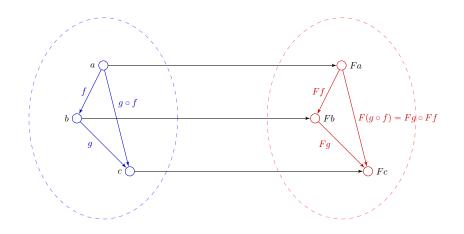


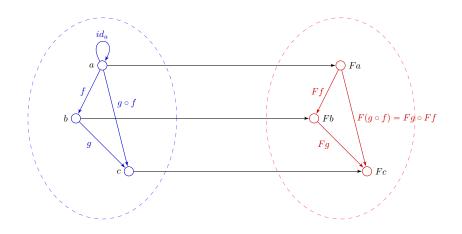


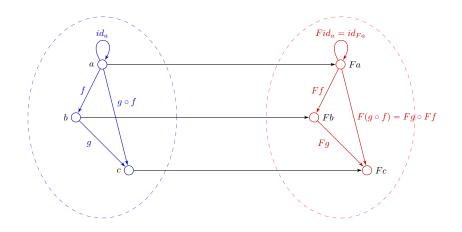












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Functors, further intuition

A Functor is a collection of many morphisms for mapping all objects and all arrows.

Functors, programming

Objects are **types**, arrows are **functions** between types.

Functors, programming

Objects are **types**, arrows are **functions** between types.

Functors are mappings between types and functions.

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Functors, Int and IntSet

 $Int \bigcirc$

 $\bigcap IntSet$

Functors, Int and IntSet



Functors, Int and IntSet



Functors, Int and IntSet



Functors, Int and IntSet, wishful thinking

```
scala > val set = Empty include 7 include 5 ... include 15 set: IntSet = \{\{.5.\}7\{\{.9.\}12\{.15.\}\}\}\ scala > set map \{x \Rightarrow x\} res23: IntSet = \{\{.5.\}7\{\{.9.\}12\{.15.\}\}\}\
```

Functors, Int and IntSet, wishful thinking

```
scala > val set = Empty include 7 include 5 ... include 15 set: IntSet = \{\{.5.\}7\{\{.9.\}12\{.15.\}\}\}\ scala > set map \{x \Rightarrow x\} res23: IntSet = \{\{.5.\}7\{\{.9.\}12\{.15.\}\}\}\
```

```
\begin{array}{l} \text{scala> set map } \{x \Longrightarrow 1\} \\ \text{res} 24: \text{IntSet} = \{.1.\} \end{array}
```

Making IntSet functorial

```
1 sealed trait IntSet {
2    ...
3    def map(f: Int ⇒ Int): IntSet = this match {
4        case Empty ⇒
5        this
6        case NonEmpty(el, left, right) ⇒
7        ????
8    }
9 }
```

Making IntSet functorial

```
1 sealed trait IntSet {
2    ...
3    def map(f: Int => Int): IntSet = this match {
4        case Empty =>
5        this
6        case NonEmpty(el, left, right) => {
7        val l = left map f
8        val v = f(el)
9        val r = right map f
10        l union r adjoin v
11       }
12    }
13 }
```

```
1 sealed trait IntSet {
       def union (other: IntSet): IntSet
  case object Empty extends IntSet {
      def union(other: IntSet): IntSet = other
10
  case class NonEmpty(...) extends IntSet {
12
      def union(other: IntSet): IntSet = ???
13
14 }
```

Properties of a functor

Properties of a functor

• set map
$$\{x \Rightarrow x\} == set$$

Properties of a functor

```
• set map \{x \Rightarrow x\} == set
```

Functors, functoriality

IntSet is functorial!

Generic sets

```
type Set = IntSet
trait IntSet {...}
```

Generic sets

```
type Set = IntSet
trait IntSet {...}
```

```
type Set[+A] = Tree[A]

trait Tree[+A] {...}
```

Generic sets, type hierarchy

```
1 type Set[+A] = Tree[A]
3 sealed trait Tree[+A] {
  case object Empty extends Tree[Nothing] {
    override def toString = "."
  case class NonEmpty [A] (a: A,
                           left: Tree[A],
11
                           right: Tree[A]) extends Tree[A] {
12
    override def toString: String =
13
       "{" + left + a + right + "}"
14
15 }
```

Generic sets, operations

Generic sets, operation adjoin

Generic sets, operation contains?

Generic sets, operation union

Generic sets, operation map

 $A \bigcirc$

 $\bigcap Tree[A]$

 $B \subset$

 $\bigcap Tree[B]$

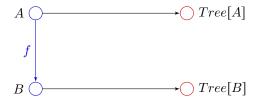


 $B \bigcirc$

 $\bigcap Tree[B]$



$$B \bigcirc \longrightarrow \bigcirc Tree[B]$$





 $String \bigcirc$

 \bigcirc Tree[String]

Int ()

 $\bigcap Tree[Int]$









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A bit of coding...

Let's run some code!

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Some final thoughts on binary search trees

Full binary search trees become unbalanced over time, and may even degenerate into a list.

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• They require maintenance – rebalancing.

Some final thoughts on binary search trees

Full binary search trees become unbalanced over time, and may even degenerate into a list.

- They require maintenance rebalancing.
- There are more efficient structures: B-trees and red-black trees.

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Looking back

What have we touched on today?

• The representation problem.

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- ADTs and how sealed type hierarchies of traits, case objects and case classes can model them.

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- Used both more OO and more functional approach to modelling data structures and their operations.

- The representation problem.
- ADTs and how sealed type hierarchies of traits, case objects and case classes can model them.
- Persistent data structures.
- Functoriality and what it means.
- Used both more OO and more functional approach to modelling data structures and their operations.
- Scala objects as modules.
- Implicit function arguments.



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Q&A