

Ch. 4 SYNTAX ANALYSIS / PARSER

- Only Context free Grammar used to build parser.

Benefits of

- Representing any rule will be easier
- Parser built for this grammar will be optimised

- Types of ~~Parser~~ ^{parser can be built for} Parser:

i) Universal → any Grammar

ii) Topdown → LL grammar

iii) Bottom up → LR grammar

LL → left to right scanning
L → left to right derivation

LR → right to left derivation

- Role of Parser : It should detect the error as soon as possible and gives error message. (error recovery)
The error handler should not slow down the process of compilation.

ERROR RECOVERY TECHNIQUES IN PARSER

i) Error productions

ii) Global Correction,

iii) Panic Mode : → On discovery of any error it discards (skips) the input symbols, one at a time until it encounters a synchronizing token.

eg: a let ; be a synchronous symbol.

int a, b;

here after 'a' it encounters syntax error so it discards everything till it reads ; ∴ only int a; is read which is correct.

ii) Phrase level: → On discovering error it replaces remaining part of i/p with some other string.
(insert a char, delete a char, replace a char)

eg: int a, b,, c;

here it skips the second comma.

→ Before doing this it reports the error.

phrase level
better
than panic

iii) Error Productions: you write the production for commonly occurring error.

eg: we commonly forget semicolon.

so, p1: Sd → DT id-list

here, semicolon missing so if that is

error correction the case -

EC: Insert ;

Report ; missing

iv) Global Correction: → Algorithms available for choosing a minimal sequence of changes to obtain a globally least cost correction.
↓
practically impossible to implement

→ Algo which calculates the cost associated with transforming the ~~incorrect~~ input into a related correct ^{parsable tree} by insertion, deletion of tokens, etc.

see video imports
2u/1

↑
eg:

CONTEXT FREE GRAMMARS

- Consists of terminals, nonterminals, start symbol, and production

$$\text{G1: } \begin{array}{c} p_1 & p_2 & p_3 \\ E \rightarrow E + E & | & E * E & | \text{ num} \end{array}$$

Non-terminals - E

Terminals - num, +, *

$$G2: E \rightarrow E + T \mid T$$

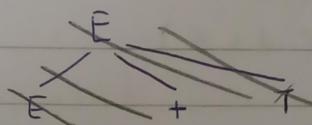
$$T \rightarrow T * F \mid F$$

$$F \rightarrow \text{Num}$$

input: num + num * num using left most derivation
on G2

G2, leftmost

$$\begin{aligned} E &\xrightarrow{\text{lm}} \underline{E} + T \\ &\Rightarrow \underline{T} + T \\ &\Rightarrow \underline{F} + T \\ &\Rightarrow \text{Num} + \underline{T} \\ &\Rightarrow \text{Num} + \underline{I} * F \\ &\Rightarrow \text{Num} + \underline{F} + F \\ &\Rightarrow \text{Num} + \text{Num} * \underline{F} \\ &\Rightarrow \text{Num} + \text{Num} * \text{Num} \end{aligned}$$



solved
this way
so * done
first

in G1 it is
not clear if
* should be
done first or +
This is not correct
in terms of math
as we always
want * to be
done first.

But in G2, the
bottom most step is

* which means
it will be executed
first.

G1

$$\begin{aligned} E &\xrightarrow{\text{lm}} E + E \\ &\Rightarrow \underline{E} + E + E \\ &\Rightarrow \underbrace{E + E}_{\text{here}} + E \end{aligned}$$

if we do leftmost deriv.
then + will be

the bottom most ∴ it

will be executed first

This is wrong mathematically.

here you can say we can use p_2 first instead of p_1 to get * calculated
first but there is no way to impose such a rule

- G_2 is ~~not~~ imposing in such a way that $*$ will be calculated first always.
- ∴ G_2 is an ambiguous grammar as there are multiple leftmost derivations for same input.
(seen in next page)

$$g \quad E \rightarrow E+E \mid E * E \mid -E \mid (E) \mid id$$

input : $- (id + id)$

Sol" Leftmost Derivation

$$\begin{aligned} E &\xrightarrow{\text{lm}} -E \\ &\xRightarrow{\text{lm}} - (E) \\ &\xRightarrow{\text{lm}} - (E+E) \\ &\xRightarrow{\text{lm}} - (id+E) \\ &\xrightarrow{\text{lm}} - (id+id) \end{aligned}$$

Rightmost Derivation

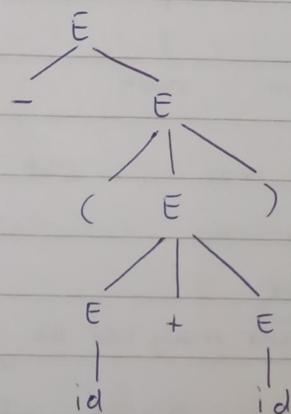
$$\begin{aligned} E &\xrightarrow{\text{rm}} -E \\ &\xrightarrow{\text{rm}} - (E) \\ &\xrightarrow{\text{rm}} - (E+E) \\ &\xrightarrow{\text{rm}} - (E+id) \\ &\xrightarrow{\text{rm}} - (id+id) \end{aligned}$$

→ Parse Tree : Terminals are at leaf nodes & non-terminals are internal nodes.

In syntax tree, operands are leaf nodes & operators are internal nodes.

PARSE TREES & DERIVATION

→ Parse Tree for $\text{prev } g$

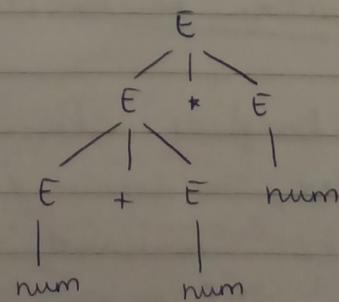
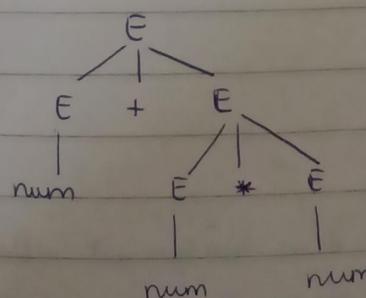


This is top down approach.

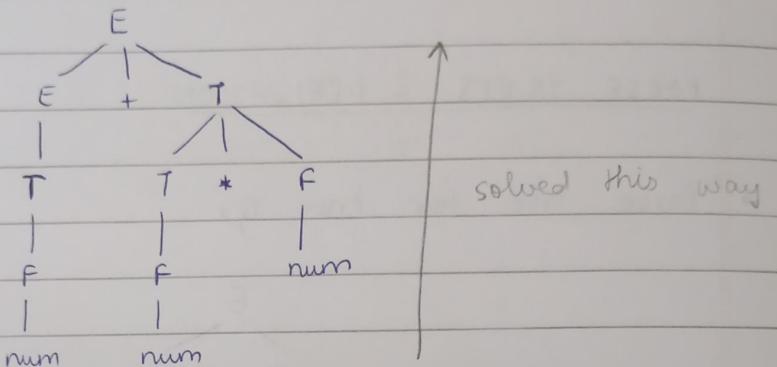
Q Build a parse tree , top to down approach using G_1 & G_2 grammar (done earlier) to obtain input: ~~id * id~~ num + num * num

Solⁿ G_1 has multiple parse trees ∴ ambiguous grammar

$$G_1: E \rightarrow E+E \mid E+E \mid \text{num}$$



$$G_2 : \begin{aligned} E &\rightarrow E + T \mid T \\ T &\rightarrow T * F \mid F \\ F &\rightarrow \text{num} \end{aligned}$$



only one tree \therefore not ambiguous.

Writing A GRAMMAR

→ Sometimes a given grammar may not be suitable to build parser. Some techniques need to be applied to transform the grammar:

Technique 1) Eliminating Ambiguity

Technique 2) Eliminating left Recursion

Technique 3) Performing left Factoring

always follow this order.

if ambiguous \rightarrow technique 1

else if left recursive \rightarrow technique 2

else if common prefix \rightarrow technique 3

→ These techniques are used if you want to perform top down parser

→ No need for bottom up parser as they are strong enough to deal with this.

I ELIMINATING AMBIGUITY

→ The Dangling "else" Problem.

Consider, The grammar for if - else

Statement \rightarrow if Expr then Statement else Statement
 if Expr then Statement
 OtherStatement

Terminals: if, then, else, Expr, OtherStatement

→ A correct input string is

if Expr then if Expr then OtherStatement else OtherStatement

here 2 possible interpretations:

1) if Expr then
 if Expr then
 • OtherStatement
 else
 OtherStatement

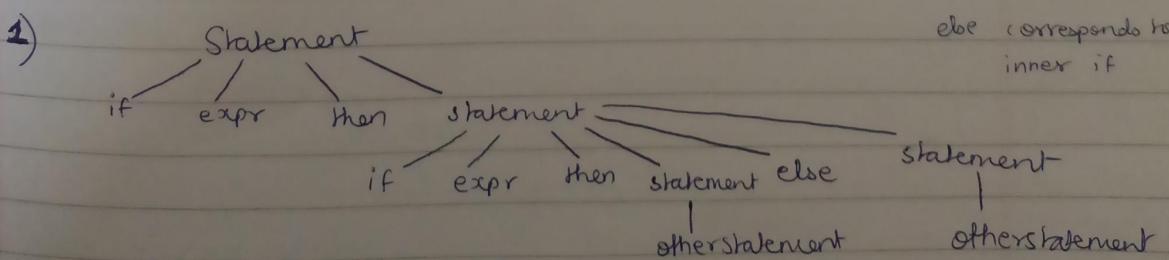
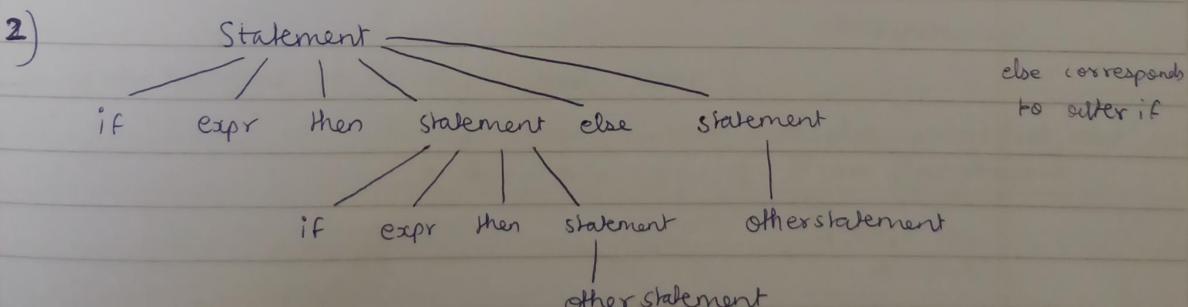
2) if Expr then
 if Expr then
 OtherStatement
 else ~~else~~
 OtherStatement

in, 1) the else statement corresponds to inner if

2) " " " " " outer if

∴ it is ambiguous which if does the else correspond to.

→ Parse Tree for the given input string:



- Since there are 2 parse trees for same input, grammar is ambiguous.
- There are 2 ways to eliminate ambiguity:

Method 1: Match else with the closest unmatched then

Method 2: Rewriting grammar so that the resultant grammar is not ambiguous. (be careful that new grammar accepts same language as old grammar)

In our dangling else problem if we use method 2:

- ① We rewrite unambiguous grammar by permitting only a "matched-stmt" (which is a new non-terminal introduced) to come before an else
- ② Matched-stmt has if then else construct
- ③ Only matched-stmt can lead to a non-terminal.

∴ New grammar:

$$\begin{aligned}
 & \text{if then else} \quad \text{if then} \\
 \text{stmt} \rightarrow & \text{matched-stmt} \mid \text{unmatched-stmt} \\
 \text{matched-stmt} \rightarrow & \text{if expr then matched-stmt else matched-stmt} \mid \\
 & \text{otherstatement} \\
 \text{unmatched-stmt} \rightarrow & \text{if expr then stmt} \mid \\
 & \text{if expr then matched-stmt else unmatched-stmt} \\
 & \quad \rightarrow \text{This takes care of if expr then otherstmt} \\
 & \quad \text{else} \\
 & \quad \quad \quad \text{if expr then} \\
 & \quad \quad \quad \text{otherstmt}
 \end{aligned}$$

- This grammar ensures that if and else are always matched correctly.

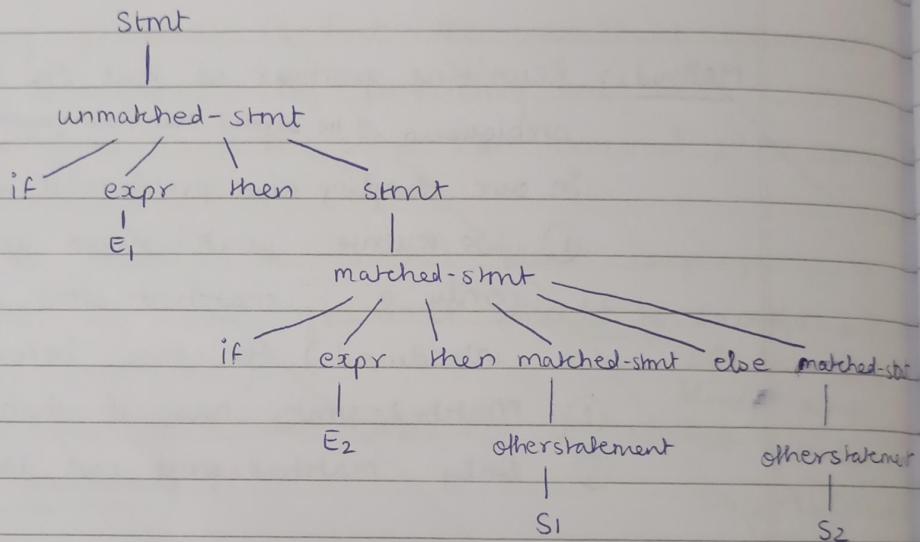
Q) Write Parse Tree for:

① if E_1 then if E_2 then S_1 , else S_2

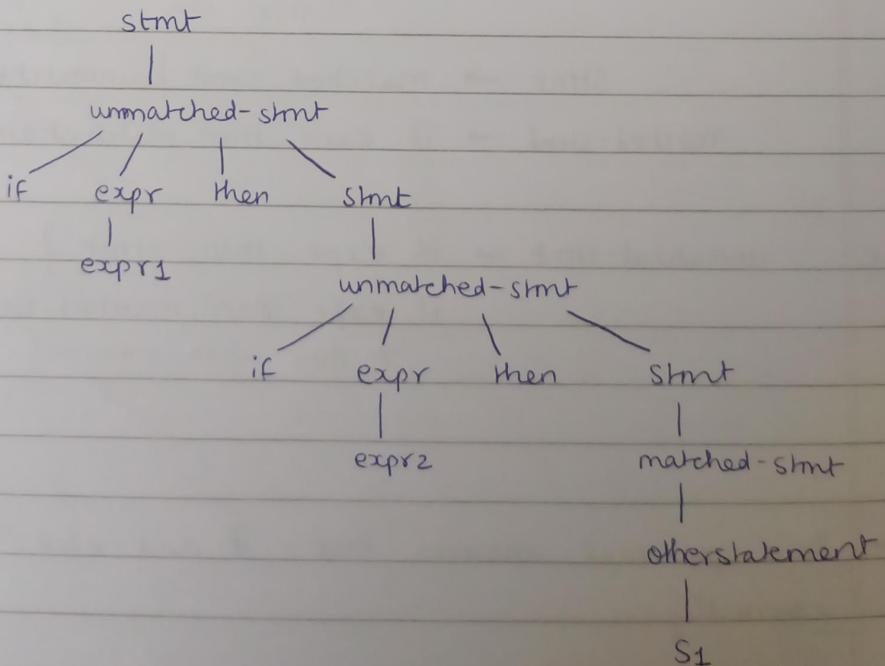
② if expr1 then if expr2 then S_1

Sol'

①



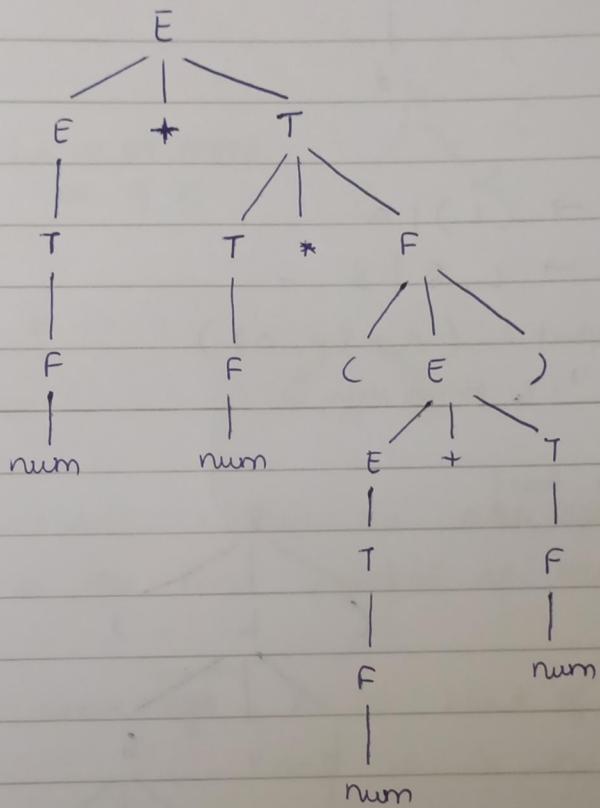
②



Q) Derive a string using Parse Tree which contains 3 operators.

Grammar : $E \rightarrow E + T \mid T$
 $T \rightarrow T * F \mid F$
 $F \rightarrow (E) \mid \text{num}$

Solⁿ one possibility,



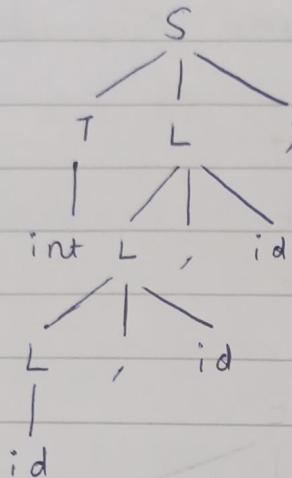
String obtained : $\text{num} + \text{num} * (\text{num} + \text{num})$

→ read from left to right leaf nodes

many such strings can be obtained

Q i) Grammar : $S \rightarrow TL$;
 $T \rightarrow \text{int} \mid \text{float}$;
 $L \rightarrow L, \text{id} \mid \text{id}$

Obtain string : int id, id, id ;

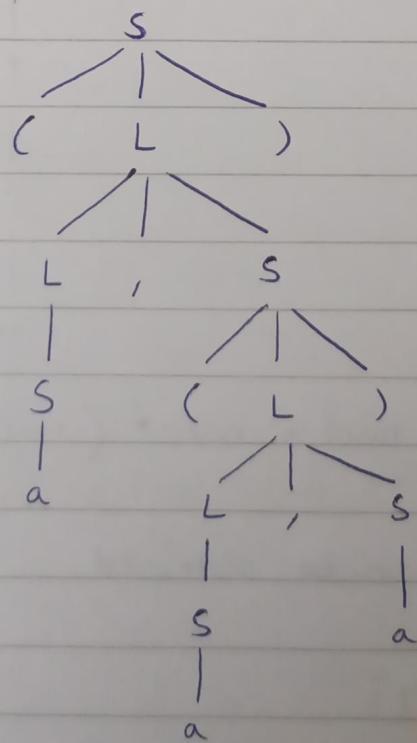


ii) $S \rightarrow (L) | a$

$L \rightarrow L, S | S$

input: $(a, (a, a))$

' i.e. obtain string



writing grammar 2nd technique

classmate

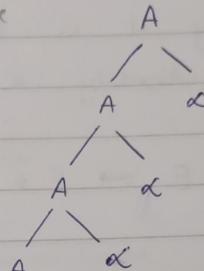
II ELIMINATION OF LEFT RECURSION

~~eg:~~ $A \rightarrow A\alpha \mid B$ here, α & B can be terminals or non terminals

→ general form

$$\begin{array}{l} A \xrightarrow{\text{lm}} A\alpha \\ \xrightarrow{\text{lm}} \underline{A\alpha\alpha} \end{array}$$

of left recursive grammar

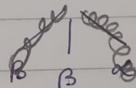


$$\xrightarrow{\text{lm}} \underline{A\alpha\alpha\alpha}$$

i.e. 0 or more no. of α

$$\xrightarrow{\text{lm}} \underline{B\alpha^*}$$

✓
B or $B\alpha$ or $B\alpha\alpha$ or ...



- Left Recursive Grammar : When the ~~non-terminal~~ in the production appears as the first symbol on the body of the production.

in above ~~eg~~ ^{grammar}, A is head of production and it is the first symbol in the body

$$\begin{array}{c} A \rightarrow A\alpha \\ \downarrow \quad \downarrow \\ \text{head} \quad \text{first symbol} \end{array}$$

- This could cause infinite loop

- Rewriting the grammar:

$$A \rightarrow BA'$$

$$A' \rightarrow \alpha A' \mid \epsilon$$

↑ epsilon

} remember this formula

→

Page _____

Q Rewrite

$$\begin{array}{c}
 \text{head} \quad \text{body} \\
 \nearrow \qquad \uparrow \\
 \underline{E} \rightarrow \underline{E} + T \mid T \\
 \underline{T} \rightarrow \underline{T} * F \mid F \\
 \text{head} \leftarrow \text{body} \\
 \underline{F} \rightarrow (E) \mid \text{id}
 \end{array}$$

Sol" Clearly it is left recursive.
now identify α and β

$$E \rightarrow E \overset{\alpha}{+} \overset{\beta}{T} \mid T \Rightarrow E \rightarrow TE' \\
 E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow T \overset{\alpha}{*} \overset{\beta}{F} \mid F \Rightarrow T \rightarrow FT' \\
 T' \rightarrow *FT' \mid \epsilon$$

\therefore New grammar : $E \rightarrow TE'$
 $E' \rightarrow +TE' \mid \epsilon$
 $T \rightarrow FT'$
 $T' \rightarrow *FT' \mid \epsilon$
 $F \rightarrow (E) \mid \text{id}$ → remains same as
not left recursive.

~~XX~~ NOTE: In general,

$$A \rightarrow A\alpha_1 \mid A\alpha_2 \mid \dots \mid A\alpha_m \mid \beta_1 \mid \beta_2 \mid \dots \mid \beta_n$$

\downarrow rewrite

$$\begin{aligned}
 A &\rightarrow \beta_1 A' \mid \beta_2 A' \mid \beta_3 A' \mid \dots \mid \beta_n A' \\
 A' &\rightarrow \alpha_1 A' \mid \alpha_2 A' \mid \dots \mid \alpha_m A' \mid \epsilon
 \end{aligned}$$

Q Rewrite ① $S \rightarrow Aa \mid b$
 $A \rightarrow AC \mid Aad \mid bd \mid e$
 ② $S \rightarrow a \mid \uparrow \mid (\tau) \mid \tau$
 $\tau \rightarrow \tau, S \mid a \mid \uparrow \mid (\tau)$

① $A \rightarrow AC \mid \underbrace{Aad}_{\alpha_1} \mid \underbrace{bd}_{\beta_1} \mid \underbrace{e}_{\beta_2}$
 \Downarrow
 $A \rightarrow bda' \mid ea'$
 $A' \rightarrow CA' \mid adA' \mid \epsilon$ remains same
 $\therefore S \rightarrow Aa \mid b$
 Q $A \rightarrow bda' \mid ea'$
 $A' \rightarrow CA' \mid adA' \mid \epsilon$

② $\tau \rightarrow \tau, S \mid \underbrace{a}_{\alpha_1} \mid \underbrace{\uparrow}_{\beta_1} \mid \underbrace{(\tau)}_{\beta_2} \mid \underbrace{\tau}_{\beta_3}$
 \Downarrow
 $\tau \rightarrow a\tau' \mid \uparrow\tau' \mid (\tau)\tau'$
 $\tau' \rightarrow , ST' \mid \epsilon$ remains same
 $\therefore S \rightarrow a \mid \uparrow \mid (\tau) \mid \tau$
 $\tau \rightarrow a\tau' \mid \uparrow\tau' \mid (\tau)\tau'$
 $\tau' \rightarrow , ST' \mid \epsilon$

III LEFT FACTORING

→ Some productions may have a common prefix. This makes it difficult to decide which production to choose.

e.g.: $A \Rightarrow \underbrace{bcd}_{\text{both start with B}} \mid \underbrace{bdD}_{\text{so its confusing}}$

- This is solved using left factoring by introducing new non terminal.
- This helps in removing conflict and also delaying the decision.

eg: $A \rightarrow Bcd \mid Bdd$

$$\Downarrow$$

$$A \rightarrow BA' \xrightarrow{\text{new non terminal } A'} \\ A' \rightarrow cd \mid dd$$

g) Dangling else grammar

$$\text{stmt} \rightarrow \underbrace{\text{if } \text{expr} \text{ then stmt}}_{\text{other}} \text{ else stmt} \mid \underbrace{\text{if } \text{expr} \text{ then stmt}}_{\text{common prefix}}$$

(For this grammar we should only use ambiguity technique as it is ambiguous but for eg: sake we will do left factoring)

Q^n

$$\text{stmt} \rightarrow \text{if expr then stmt stmt}' \mid \text{other}$$

$$\text{stmt}' \rightarrow \text{else stmt} \mid \epsilon$$

-X: In general, if

$$A \rightarrow \alpha B_1 \mid \alpha B_2 \mid \gamma_1$$

$$\Downarrow$$

$$A \rightarrow \alpha A' \mid \gamma_1$$

$$A' \rightarrow B_1 \mid B_2$$

$A \rightarrow \alpha$

8 Perform left factoring

$$E \rightarrow E \text{ sub } E \sup E \mid E \text{ sub } E \mid E \sup E \mid \{E\} \mid e$$



(satisfies left recursion but for eg: sake let's do
left factoring)

Solⁿ Method 1: $E \rightarrow \underbrace{E \text{ sub } E}_{\alpha} \sup E \mid \underbrace{E \text{ sub } E}_{\alpha} \mid \underbrace{E \sup E}_{\beta_1} \mid \{E\} \mid e$

$$E \rightarrow EE' \mid \{E\} \mid e$$

$$E' \rightarrow \underbrace{\text{sub } E}_{\alpha} \sup E \mid \underbrace{\text{sub } E}_{\alpha} \mid \underbrace{\sup E}_{\beta_2} \downarrow \beta_2 = e \quad Y_1 \quad Y_2$$



$$E' \rightarrow \text{sub } EE'' \mid \sup E$$

$$E'' \rightarrow \sup E \mid e$$

$$\therefore E \rightarrow EE' \mid \{E\} \mid e$$

$$E' \rightarrow \text{sub } EE'' \mid \sup E$$

$$E'' \rightarrow \sup E \mid e$$

Method 2: $E \rightarrow \underbrace{E \text{ sub } E}_{\alpha} \sup E \mid \underbrace{E \text{ sub } E}_{\alpha} \mid \underbrace{E \sup E}_{\beta_1} \mid \{E\} \mid e$

$$E \rightarrow \underbrace{E \text{ sub } E}_{\beta_1} E' \mid \underbrace{E \sup E}_{\beta_2} \mid \{E\} \mid e$$

$$E' \rightarrow \sup E \mid e$$



$$E \rightarrow EE'' \mid \{E\} \mid e$$

$$E' \rightarrow \sup E \mid e$$

$$E'' \rightarrow \text{sub } EE' \mid \sup E$$

TOP DOWN PARSING (only for LL grammar)

- 2 types in which it can be done:
 - i) Backtracking (powerful but not practical)
 - ii) Predictive Parsing
- Parse Tree is built from start symbol of grammar & each non terminal is expanded

i) Backtracking

Note: in parsing end of input string is taken as \$ symbol

(consider grammar,

$$S \rightarrow CA d$$

$$A \rightarrow ab \mid a$$

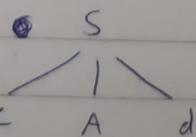
input string: cad \$

in the list

In backtracking the first production, is used to expand a non terminal, if that is not a match we backtrack and choose next production & so on.

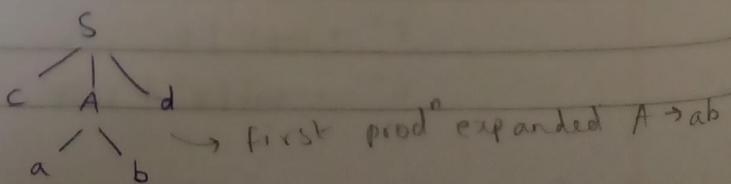
(i.e. $A \rightarrow ab \mid a$, ab will be chosen first.)

① \downarrow
cad \$



c matches first symbol move forward.

② \downarrow
cad \$



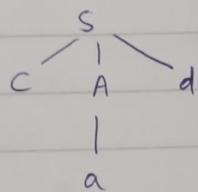
input parse tree
a |
a matches a

c a d \$

input parse tree
d doesn't match b

Backtrack A and choose next production in list $A \rightarrow a$

③ c a d \$



a matches a
cad \$

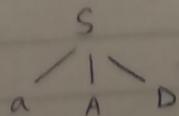
d matches d

\therefore string is accepted.

$$\begin{array}{l} q \quad S \rightarrow aAD \mid aAC \mid aCD \\ \quad \quad A \rightarrow bD \mid bC \mid E \\ \quad \quad C \rightarrow b \mid bDa \\ \quad \quad D \rightarrow d \mid \epsilon \end{array}$$

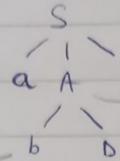
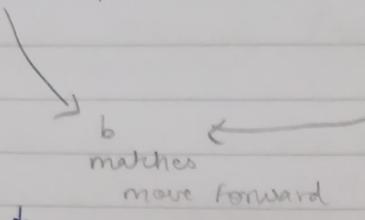
input: abda \$

Solⁿ ① abda \$



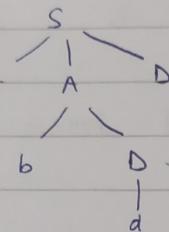
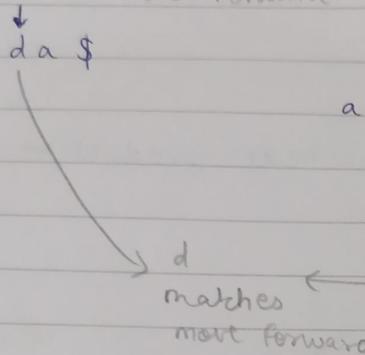
a matches first symbol, move forward

② abda\$



expand with
first prodⁿ of A

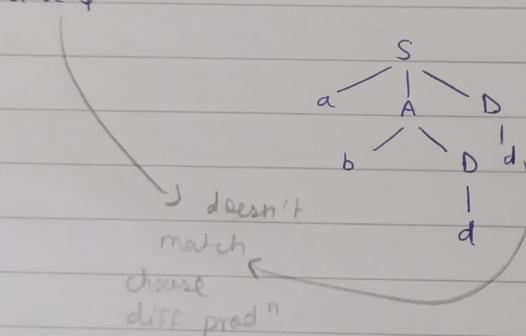
③ abda\$



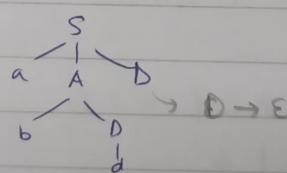
→ expand first prodⁿ of D

b matches move forward

④ abda\$



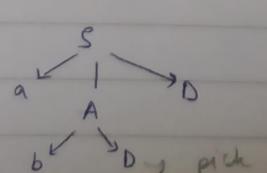
→ doesn't
match
choose
diff prodⁿ



$D \rightarrow E$

→ no match
more back track

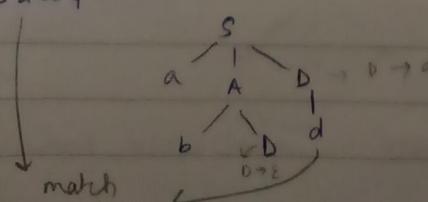
⑤ abda\$



$D \rightarrow E$, pick second prodⁿ for D

$D \rightarrow E$

⑥ abda\$



match

keep going until all prodⁿ
exhausted or string accepted.

ii) Predictive Parsing

- 2 types:
 - Recursive Descent
 - LL(1) or table driven
- Both methods use first and follow for lookahead.
- Recursive Descent
- It looks ahead and then picks a ^{suitable} production unlike backtracking i.e based on input string which production of a non terminal is best, that is chosen.

eg: $E \rightarrow \text{num } T$

$T \rightarrow * \text{ num } T \mid E$

Write pseudo code for recursive descent.

- for terminal we check whether it matches with input symbol.
- for non terminal we call this function
- E handled using first & follow
- lookahead pointer pointing to i/p symbol

Procedure T → i.e call this f" when T encountered

{

if lookahead = '*' {

}

lookahead = next token

if lookahead = 'num' {

}

 lookahead = nexttoken();

 T();

}

else

error; → i.e * not followed by num is not an
accepted string

} else NULL;

} → means ϵ

Procedure F ()

{

check slides.

if (lookahead = num) then

{

match (num); lookahead = next token ;

T();

}

else

error;

if (lookahead = \$)

{

// start symbol so check if once
everything is parsed is final symbol

\$

declare success;

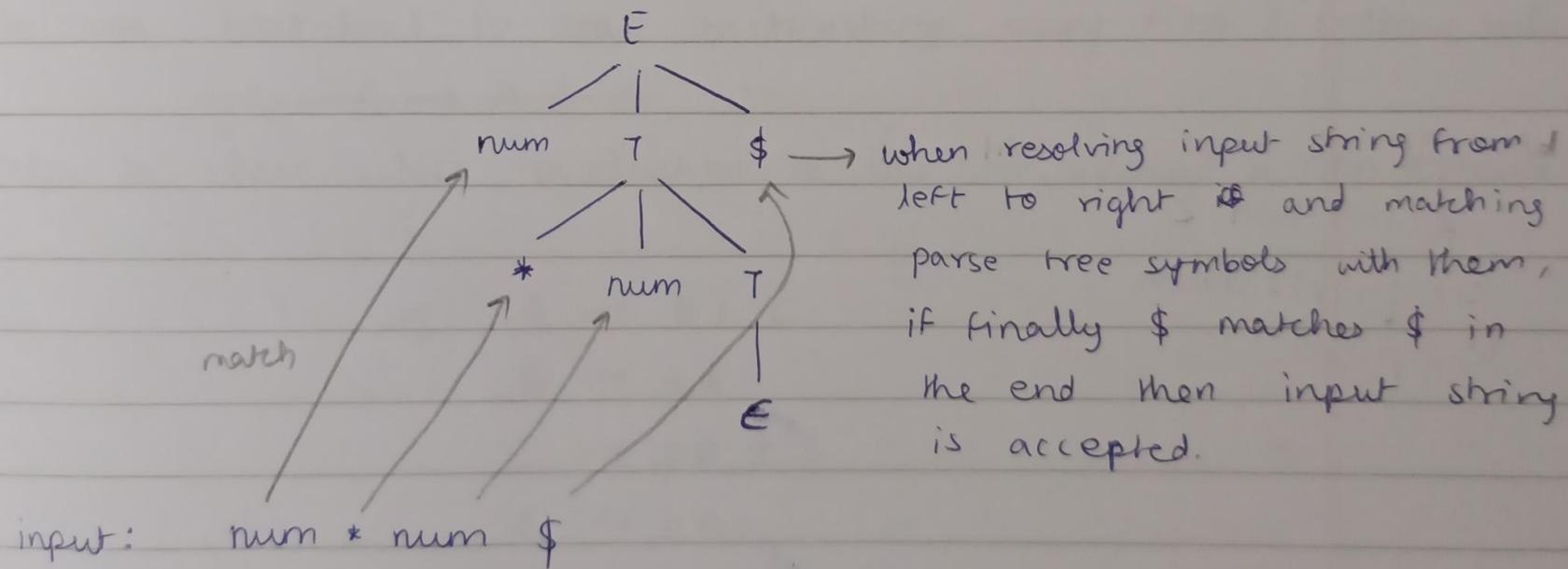
}

else

error;

}

→ Draw parse tree for input: num * num \$, using ~~predictive parsing~~ recursive descent fn's defined in prev. page.



FLEX (lab 1 slides) it is part of theory portion

FIRST AND FOLLOW (used in predictive parsing)

- both first and follow are set of terminals.
- we use lookahead to avoid backtracking using first & follow sets
eg: $A \rightarrow B \mid C$
- Helps to choose which production to use to expand a non terminal.

eg: $A \rightarrow B \mid C$

$B \rightarrow xA$

$C \rightarrow yz$

$z \rightarrow yB$

when expanding A, just by looking at B & C we cannot tell which production is best.

~~This is because~~ Hence we look at first terminal given by each of the non terminals

like, B gives x first

$C \rightarrow z \rightarrow y$ gives $\therefore C$ gives y first.

- Consider $a \rightarrow \alpha \mid \beta$
- To choose among two alternatives based on input symbol.
- FIRST(α) is set of terminals that can be beginning of the strings, derived from α
- FOLLOW(A) is set of terminals that occurs to right of A

Rules for Calculation of FIRST

- 1) if ' α ' is a terminal 'a' then $\text{FIRST}(\alpha) = \{a\}$
- 2) if ' α ' is a non terminal 'X' and $X \rightarrow a\beta$ then
 $\text{FIRST}(X) = \{a\}$
- 3) if ' α ' is a non terminal 'X' and $X \rightarrow \epsilon$ then $\text{FIRST}(X) = \{\epsilon\}$
- 4) if $X \rightarrow y_1 y_2 y_3 \dots y_k$ then place $\text{FIRST}(y_1)$ in $\text{FIRST}(X)$

- i) if $\text{FIRST}(y_1)$ has ϵ then add $\text{FIRST}(y_2)$ and so on
- ii) if ϵ is in $\text{FIRST}(y_j)$ where $j = 1, 2, \dots, k$ then add ϵ to $\text{FIRST}(x)$. \rightarrow i.e. everything from $\text{FIRST}(y_1)$ to $\text{FIRST}(y_k)$ is ϵ

RULES FOR FOLLOW SET

- 1) whenever you want to find follow of a symbol, find the symbol in RHS.
- 2) if symbol is start symbol, add $\$$ to follow set.
- 3) if symbol is followed by a terminal, straightforwardly add the terminal to the follow set.
- 4) if the symbol is followed by non-terminal, find the first of the non-terminal.
 - i) if the first set contains ϵ , exclude ϵ from follow sets & replace it in the production.
 - ii) if even after replacement by ϵ , it is followed by terminal or non-terminal, repeat the process.
- 5) if the symbol is last one in the production, then add follow of head into follow set.

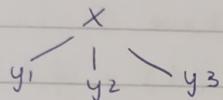
Note: Follow set never contains ϵ .

$$\begin{aligned}
 & \text{Q} \quad x \rightarrow y_1 y_2 y_3 \\
 & y_1 \rightarrow a \mid \epsilon \\
 & y_2 \rightarrow b \mid \epsilon \\
 & y_3 \rightarrow c \mid \epsilon
 \end{aligned}$$

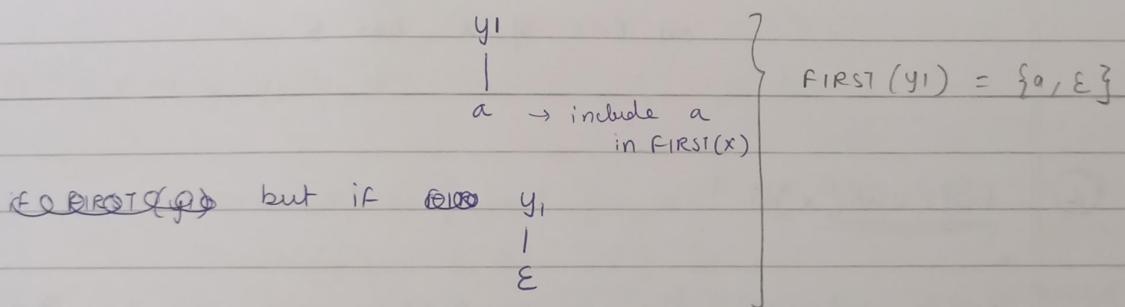
Write first and follow sets for all Non-terminals.

Non Terminal	First	Follow
x	{a, b, c, ϵ }	{\\$}
y ₁	{a, ϵ }	{b, c, \\$}
y ₂	{b, ϵ }	
y ₃	{c, ϵ }	

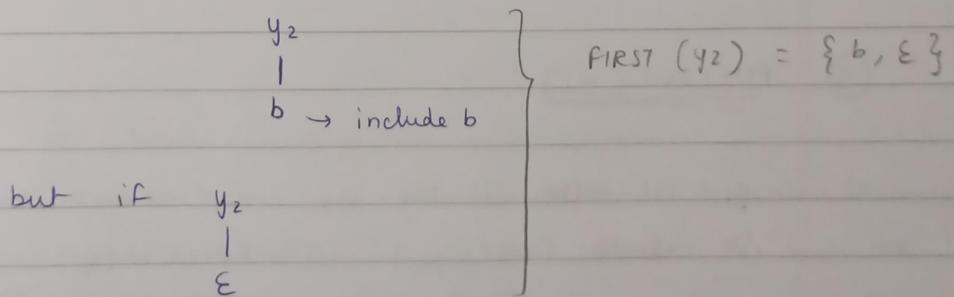
① FIRST(x):



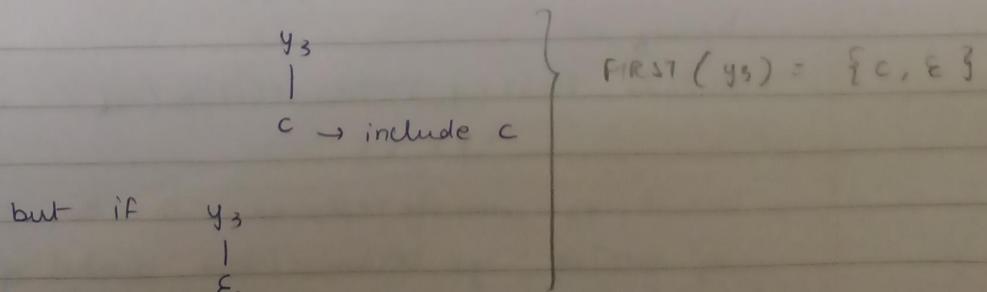
FIRST(x) will have FIRST(y₁)



Then we move to FIRST(y₂)



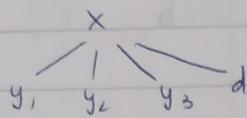
Then we move to FIRST(y₃)



This would mean FIRST(y₁), FIRST(y₂), FIRST(y₃) all have ϵ so, FIRST(x) also has ϵ

let's say if $X \rightarrow y_1 y_2 y_3 d$

now,



then, even though $\text{FIRST}(y_1)$, $\text{FIRST}(y_2)$ & $\text{FIRST}(y_3)$
have ϵ

$$\text{FIRST}(d) = \{d\}$$

it does not have ϵ $\therefore \text{FIRST}(X)$ will not have ϵ

$$\therefore \text{FIRST}(X) = \{a, b, c, d\}$$

i.e. $\text{FIRST}(X)$ will only have ϵ if, ^{FIRST of} everything
on RHS of X has ϵ .

② FOLLOW(X):

→ Start symbol is X so its follow set includes $\$$

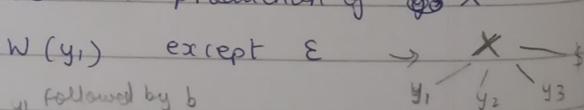
→ X does not appear in any other production so it won't
be followed by anything else.

③ FOLLOW(y₁):

y_1 is followed by y_2 as seen in production of ~~X~~

\therefore include $\text{FIRST}(y_2)$ in $\text{FOLLOW}(y_1)$ except ϵ

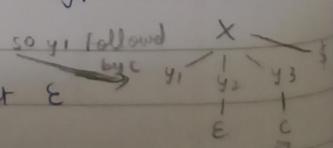
$$\hookrightarrow \{b, \epsilon\}$$



but if y_2 was replaced with ϵ then y_1 is followed
by y_3

\therefore include $\text{FIRST}(y_3)$ in $\text{FOLLOW}(y_1)$ except ϵ

$$\hookrightarrow \{c, \epsilon\}$$



but if y_3 was also replaced with ϵ then y_1 is followed
by nothing, y_1 becomes last symbol of production. So

FOLLOW of its parent i.e. $\text{FOLLOW}(X)$ is added to $\text{FOLLOW}(y_1)$

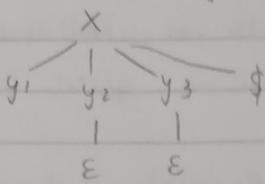
$\rightarrow X \rightarrow y_1$ X is parent of y_1

Note: if a terminal is not followed by anything add ~~it to~~ first follow of its parent (head of prodn) to the terminal's follow set. (As seen in case of y_1)

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i.e. $\{\$\}$



y_1 , followed by $\$$ when both

similarly

$$\therefore \text{FOLLOW}(y_1) = \{b, c, \$\}$$

y_2 followed by y_3

similarly, $\text{FOLLOW}(y_2) = \{c, \$\}$ when y_3 is ϵ , nothing is followed by y_2 so take parent X follow set

$$\text{FOLLOW}(y_3) = \{\$\}$$

nothing follows y_3

so only parent follow set added

$X \rightarrow y_1 y_2 y_3$ ↗ nothing follows y_3

if, $X \rightarrow y_1 y_2 y_3 d$

$$\text{FOLLOW}(X) = \{ \$ \} \quad \text{if } y_2 \text{ & } y_3 \text{ both are } \epsilon \quad \text{still } y_1 \text{ followed by } d$$

$$\text{FOLLOW}(y_1) = \{ b, c, d \}$$

$$\text{FOLLOW}(y_2) = \{ c, d \} \quad \text{if } y_3 \text{ is } \epsilon, y_2 \text{ followed by } d$$

$$\text{FOLLOW}(y_3) = \{ d \}$$

↗ y_3 always followed by d .

- FIRST is used to choose among the productions.
- FOLLOW is used to check if we should expand the non-terminal with an ϵ production or not, if we do choose ϵ but the follow does not contain any symbol matching the current symbol then we can correctly report error.

eg: input: ax for prev grammar
 ↗ cur symbol
 y_1

- FIRST used to calc FOLLOW

→ Steps needed to be followed for constructing a parse table & to parse a given input string

- Eliminate left Recursion (if any) in the grammar G .
- Perform left factoring on G .
- Find FIRST and FOLLOW on the symbols in G .
- Construct predictive parse table
- Check if the input string is accepted by parser using the parse table entries.

$$Q \quad E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid id$$

Find FIRST & FOLLOW set

Sol" Step 1: Remove left Recursion

i) $E \rightarrow E^{\alpha} T^{\beta} \mid T$

↓

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

ii) $T \rightarrow T^{\alpha} F^{\beta} \mid F$

↓

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

New
grammar

$$\therefore E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid id$$

Step 2: There is no left factoring (ie, common prefix not there)

Step 3:

Non Terminals	First	Follow
E	{ (, id }	{ \$,) }
E'	{ +, ε }	{ \$,) }
T	{ (, id }	{ +, \$,) }
T'	{ *, ε }	{ +, \$,) }
F	{ (, id }	{ *, +, \$,) }

$\text{FOLLOW}(E) : \$ \text{ and in } F \rightarrow (E)$

here E followed by)

$\therefore \{ \$,) \}$

$\text{FOLLOW}(E') : \text{ in none of the productions } E' \text{ is followed by anything so, } \text{FOLLOW}(E') = \text{FOLLOW}(E) \text{ (parent)}$

here parent is E.

$\therefore \{ \$,) \}$

$\text{FOLLOW}(T) : T \text{ is followed by } E'$

so FIRST(E') added $\{ + \} \rightarrow \text{no } E$

if E' was replaced with E then T would be

followed by nothing

so, $\overset{\text{FOLLOW}}{\text{FIRST}}(E') \& \overset{\text{FOLLOW}}{\text{FIRST}}(E)$ added
 parents ✓
 of T

$\therefore \{ +, \$,) \}$

$\text{FOLLOW}(T') : T' \text{ is followed by nothing in all of the productions}$

$\therefore \text{FOLLOW}(T') = \text{FOLLOW}(T) \text{ ↳ parent}$

$\therefore \{ +, \$,) \}$

$\text{FOLLOW}(F)$: F is followed by T' so
 add $\text{FIRST}(T')$ i.e. $\{\ast, +\} \rightarrow^{\text{no } E}$
 if T' replaced by E then nothing follows
 F so, $\text{FOLLOW}(T)$ & $\text{FOLLOW}(T')$ added
 → parent ↙
 $\therefore \{\ast, +, \$, \emptyset\}$

TABLE DRIVEN PARSERS / LL(1) PARSERS

(comes under predictive parsing which is under top down parsing)

→ Points to remember while constructing Parse Table

- Make an empty table in which rows are labelled with the non terminals & cols with the terminals of the grammar
- $\$$ is explicitly added as ~~one~~ one of the col. headers in every parse table
- Never add E as ~~add~~ col. header in parse table

→ Construction of Predictive Parse Table

Algorithm: Construction of Predictive Parse Table

Input: Grammar G

Output: Parsing Table M

Method:

- ① For each production of the form $A \rightarrow x$ of the grammar, do ② & ③
- ② For each terminal symbol 'a' in $\text{FIRST}(x)$, add $A \rightarrow x$ to $M[A, a] \rightarrow$ i.e. row header A & col header a
- ③ IF E is in $\text{FIRST}(x)$ add $A \rightarrow x$ to $M[A, b]$ for each terminal b in $\text{FOLLOW}(A)$, ~~add A to all cells of A & \$~~ IF E
- ④ Make each undefined entry of M to be error if $\$$ is in $\text{FOLLOW}(A)$, add $A \rightarrow x$ to $M[A, \$]$.
- ⑤ Make each undefined entry of M to be error.

→ LL(1) Parser / Table Driven Parser

- 1) Check if grammar is ambiguous. If so, eliminate
- 2) Remove left recursion, if required
Perform ~~Recursion~~ left factoring, if required
- 3) Compute first & follow
- 4) Construct predictive parse table
- 5) Check if i/p string is accepted by parser using the parse table entries.

Q $A \rightarrow BC$

$B \rightarrow S$

$C \rightarrow ; A | \epsilon$

Construct LL(1) Parser for the given grammar & show parsing action for the i/p string $S;S\$$

- 1) No ~~left~~ ambiguity
- 2) No left recursion
- 3) Left factoring not needed

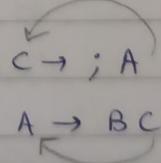
4)

Symbol	FIRST	FOLLOW
A	{ S }	{ \$ }
B	{ S }	{ ;, \$ }
C	{ ;, ε }	{ \$ }

here, FOLLOW(A) = FOLLOW(C)

and FOLLOW(C) = FOLLOW(A)

∴ deadlock.



as both
are last symbols
in each other's
pred"

Since, A is start symbol so, FOLLOW(A) includes \$ ∴ FOLLOW(A) = FOLLOW(C) = \$



5) Parse Table

	Non Terminal ↓	S	;	\$
A		$A \rightarrow BC$		
B		$B \rightarrow S$		
C		$C \rightarrow ;A$	$C \rightarrow \epsilon$	

For prod " $A \rightarrow BC$, $\alpha = B$

we see $\text{FIRST}(B) = \{S\}$

∴ from rule ② of parse table construction method

add $A \rightarrow BC$ to $M[A, S]$

→ here $\alpha = B$

For prod " $B \rightarrow S$, $\alpha = S$

use $\text{FIRST}(S) = \{S\}$ as S is ~~non~~ terminal

∴ add $B \rightarrow S$ to $M[B, S]$

For prod " $C \rightarrow ;A$, $\alpha = ;$

$\text{FIRST}(;) = \{;\}$

add $C \rightarrow ;A$ to $M[C, ;]$

For prod " $C \rightarrow \epsilon$, $\alpha = \epsilon$

$\text{FIRST}(\epsilon) = \{\epsilon\}$

so, check $\text{FOLLOW}(C) = \{\$\}$

∴ add $C \rightarrow \epsilon$ to $M[C, \$]$

∴ Only for E prod " we need to check FOLLOW set of head. If the ^{terminal} symbol is in FOLLOW set we can add E prod " in that column.

6) Parsing i/p string

<u>Stack</u>	<u>input buffer</u>	<u>Action</u>
<u>A</u> \$	<u>S</u> ; S \$	$A \rightarrow BC$
<u>BC</u> \$	<u>S</u> ; S \$	$B \rightarrow s$
<u>SC</u> \$	<u>S</u> ; S \$	Match
<u>C</u> \$	<u>;</u> S \$	$C \rightarrow ; A$
<u>;</u> A \$	<u>;</u> S \$	Match
<u>A</u> \$	<u>S</u> \$	$A \rightarrow BC$
<u>BC</u> \$	<u>S</u> \$	$B \rightarrow s$
<u>SC</u> \$	<u>S</u> \$	Match
<u>C</u> \$	<u>E</u> \$	$C \rightarrow E$
\$	\$	Accept

- Stack Starts with start symbol A (\$ will already be there)
so, A \$
- In each step we are comparing top of stack with first symbol in input buffer. We put the corresponding parse table entry in action.
- eg: $M[A, S] = A \rightarrow BC$
Since an entry exists we ~~push~~ pop the symbol from stack and push its corresponding prod".
 \therefore pop A and push BC
- When a terminal is on top of stack and it matches the first symbol in i/p buffer then Action becomes Match and we pop the terminal from stack and increment input buffer pointer to next symbol.
- When \$ matches \$ we accept the input string.

$$\begin{array}{l} \text{S} \rightarrow a \mid \uparrow \mid (\text{T}) \\ \text{T} \rightarrow \text{T}, \text{S} \mid \text{S} \end{array}$$

input string: (a, a) \$

1) ~~No~~ Left Recursion present

$$S \rightarrow a \mid \uparrow \mid (\text{T})$$

$$\text{T} \rightarrow \text{T}, \underset{\alpha}{\text{S}} \mid \underset{\beta}{\text{S}}$$

↓

$$S \rightarrow a \mid \uparrow \mid (\text{T})$$

$$\text{T} \rightarrow \text{ST}'$$

$$\text{T}' \rightarrow , \text{ST}' \mid \epsilon$$

2) No left factoring needed

FIRST &
FOLLOW

Symbols	FIRST	FOLLOW
S	{ a, \uparrow , (}	{ , , \$ }
T	{ a, \uparrow , (}	{) }
T'	{ , , ϵ }	{) }

parse
table 4)

NT \downarrow	a	\uparrow	()	,	\$
S	$S \rightarrow a$	$S \rightarrow \uparrow$	$S \rightarrow (\text{T})$			
T	$T \rightarrow \text{ST}'$	$T \rightarrow \text{ST}'$	$T \rightarrow \text{ST}'$			
T'				$T' \rightarrow \epsilon$	$T' \rightarrow \text{ST}'$	

for prod " $T \rightarrow \text{ST}'$ ", $\alpha = S$

$$\text{FIRST}(S) = \{ a, \uparrow, (\}$$

$\therefore M[T, a], M[T, \uparrow] \text{ and } M[T, (] \text{ are all } T \rightarrow \text{ST}'$

~~No~~

5)

Stack	Input buffer	Action
<u>S</u> \$	(a, a) \$	$S \rightarrow (T) \leftarrow M[S, C]$
<u>(T)</u> \$	(a, a) \$	Match
<u>I</u> \$	a, a) \$	$T \rightarrow ST'$
<u>ST'</u> \$	a, a) \$	$S \rightarrow a$
<u>aT'</u> \$	a, a) \$	Match
<u>T'</u> \$	a) \$	$T' \rightarrow ST'$
<u>ST'</u> \$	a) \$	Match
<u>ST'</u> \$	a) \$	$S \rightarrow a$
<u>aT'</u> \$	a) \$	Match
<u>T'</u> \$) \$	$T' \rightarrow \epsilon$
<u>)</u> \$) \$	Match
<u>\$</u>	\$	Accept

NOTE: LL(1) is called so, because we are looking ahead by 1 character only.

If it is not clear or ambiguous whether which production to choose by looking ahead by just one character then it is not LL(1) grammar (i.e $M[A, a]$ has multiple entries)

Q Construct table driven parser for the given grammar & show parsing actions for string ba\$

$$S \rightarrow AaAb \mid BbBa$$

$$A \rightarrow \epsilon$$

$$B \rightarrow \epsilon$$

Solⁿ

- 1) No left recursion
- 2) No left factoring needed

Symbols	FIRST	FOLLOW
S	{a, b}	{\$}
A	{ε}	{a, b}
B	{ε}	{a, b}

4) Parse Table

NT \ T	a	b	\$
S @	$S \rightarrow AaAb$	$S \rightarrow BbBa$	
A	$A \rightarrow \epsilon$	$A \rightarrow \epsilon$	
B	$B \rightarrow \epsilon$	$B \rightarrow \epsilon$	

Stack	Input Buffer	Action
<u>S</u> \$	<u>b</u> a \$	$S \rightarrow BbBa$
<u>B</u> b Ba \$	<u>b</u> a \$	$B \rightarrow \epsilon$
<u>b</u> Ba \$	<u>b</u> a \$	Match
<u>B</u> a \$	<u>a</u> \$	$B \rightarrow \epsilon$
<u>a</u> \$	<u>a</u> \$	Match
\$	\$	Accept

Now, parse input aba\$

Stack	Input Buffer	Action
<u>S</u> \$	<u>a</u> b a \$	$S \rightarrow AaAb$
<u>A</u> a Ab \$	<u>a</u> b a \$	$A \rightarrow \epsilon$
<u>a</u> Ab \$	<u>a</u> b a \$	Match
<u>A</u> b \$	<u>b</u> a \$	$A \rightarrow \epsilon$
<u>b</u> \$	<u>b</u> a \$	Match
\$	<u>a</u> \$	Unsuccessful

here, \$ and a both ~~are~~ terminals, do not match.
 \therefore string rejected

check out $E \rightarrow E+E | T$ problem in rockbook

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$$S \rightarrow UVW$$

$$U \rightarrow (S) \mid aSB \mid d$$

$$V \rightarrow aV \mid \epsilon$$

$$W \rightarrow cW \mid \epsilon$$

i/p string: daacc \$

solⁿ 1) No left recursion

2) No left factoring needed

Symbol	FIRST	FOLLOW
S	{(, a, d}	{\$,), b}
U	{(, a, d}	{a, c, \$,), b}
V	{a, ε}	{c, \$,), b}
W	{c, ε}	{\$,), b}

NT	T	(a	c	d	b)	\$
S	$S \rightarrow UVW$	$S \rightarrow UVW$			$S \rightarrow UVW$			
U	$U \rightarrow (S)$	$U \rightarrow aSB$			$U \Rightarrow d$			
V		$V \rightarrow aV$	$V \rightarrow \epsilon$			$V \rightarrow \epsilon$	$V \rightarrow \epsilon$	$V \rightarrow \epsilon$
W			$W \rightarrow cW$			$W \rightarrow \epsilon$	$W \rightarrow \epsilon$	$W \rightarrow \epsilon$

Stack	Input Buffer	Action
<u>S</u> \$	daacc \$	$S \rightarrow UVW$
<u>UVW</u> \$	daacc \$	$U \rightarrow d$
<u>dVW</u> \$	daacc \$	Match
<u>VW</u> \$	aacc \$	$V \rightarrow aV$
<u>avw</u> \$	aacc \$	Match
<u>vW</u> \$	acc \$	$V \rightarrow aV$
<u>avw</u> \$	acc \$	Match
<u>vW</u> \$	cc \$	$V \rightarrow \epsilon$
<u>w</u> \$	cc \$	$W \rightarrow cW$
<u>cn</u> \$	cc \$	Match



Stack	Input	Action
<u>W \$</u>	<u>C \$</u>	$W \rightarrow CW$
<u>C W \$</u>	<u>C \$</u>	Match
<u>W \$</u>	<u>\$</u>	$W \rightarrow \epsilon$
<u>\$</u>	<u>\$</u>	Accept

slight
change
from
prev
q

$$S \rightarrow UVW$$

$$U \rightarrow (S) \mid a \mid b \mid d \mid \epsilon$$

$$V \rightarrow aV \mid \epsilon$$

$$W \rightarrow CW \mid \epsilon$$

ip/shiny : da acc \$

Sol"

Symbol	FIRST	FOLLOW
S	{(, a, d, c, ε)}	{\$,), b}
U	{(, a, d, ε)}	{a, c, \$,), b}
V	{a, ε}	{c, \$,), b}
W	{c, ε}	{\$,), b}

NT	a	b	c	d	()	\$
S	$S \rightarrow UVW$	$S \rightarrow UVN$	$S \rightarrow UVW$	$S \rightarrow Uvw$	$S \rightarrow UVW$	$S \rightarrow UVW$	$S \rightarrow UVW$
U	$U \rightarrow asb$	$U \rightarrow \epsilon$	$U \rightarrow \epsilon$	$U \rightarrow d$	$U \rightarrow (S)$	$U \rightarrow \epsilon$	$U \rightarrow \epsilon$
V	$V \rightarrow aV$		$V \rightarrow \epsilon$			$V \rightarrow \epsilon$	$V \rightarrow \epsilon$
W		$W \rightarrow \epsilon$	$W \rightarrow CW$			$W \rightarrow \epsilon$	$W \rightarrow \epsilon$

$$S \rightarrow UVW , \text{ FIRST}(V) \text{ has } \epsilon$$

$$\text{and FOLLOW}(S) = \{ \$,), b \}$$

so all of them will have $S \rightarrow UVW$.

X M[V, a] has 2 entries \therefore This is not LL(1)
grammar

TOP DOWN VS BOTTOM UP PARSING

- In top down parsing you expand non terminals with their productions.
 In the end we match all the leaf nodes from left to right with input string. (head of prod" expanded to body of prod")

- In bottom up parsing we start with the input string (consisting of only terminals)
 We reduce non terminals to non terminals using their productions (body of production reduced to head of prod")

e.g.: Bottom up parsing

Grammar:

$$e \rightarrow (e + e)$$

$$e \rightarrow (e * e)$$

$$e \rightarrow v$$

$$v \rightarrow x$$

$$v \rightarrow y$$

Input : $(x + (x * y))$

①

$$(x + (x * y))$$

^ ^ ^
↓ ↓ ↓

check for v

②

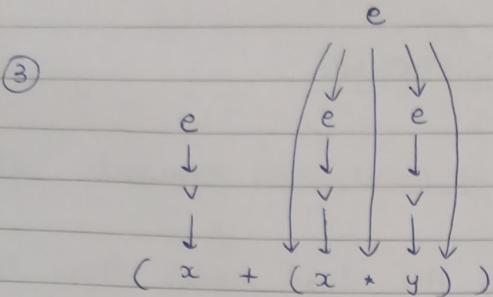
$$(x + (x * y))$$

e e e
↓ ↓ ↓
v v v
↓ ↓ ↓



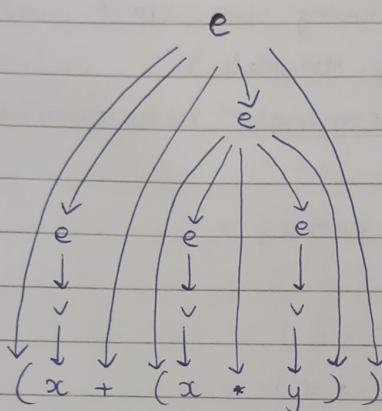
Now check for v in RHS, only $e \rightarrow v$ so reduce

Now, we can reduce $(e * e)$ to e



So on until,

Final Parse Tree :



∴ we were able to obtain start symbol e from input string.

HANDLE PRUNING

- Handle is the substring which matches right side of the production and we can reduce such string by a non terminal on the RHS of the production.
- Reduction of a string or handle by a suitable Non terminal is called pruning.

Note: Bottom up parsing uses LR(1) grammar

TOP DOWN (cont.)

use for
NCG →
for 3N
draw
parse
table

Predictive parsers can be constructed for a class of grammars LL(1) where 1 symbol is used for lookahead.

QUESTION

→ A grammar G is LL(1) if and only if the following conditions hold good for $A \rightarrow \alpha | \beta$:

- i) For no terminal 'a' do both α & β derive strings beginning with 'a'.
i.e. $\text{FIRST}(\alpha)$ and $\text{FIRST}(\beta)$ should be disjoint.
- ii) Atmost 1 of α & β can derive empty string.
- iii) If $\beta \xrightarrow{*} \epsilon$ (if β derives ϵ in one or more steps) then α doesn't derive any strings beginning with the terminal in $\text{FOLLOW}(A)$. i.e. $\text{FIRST}(\alpha)$ & $\text{FOLLOW}(A)$ are disjoint
(\because it'll be ambiguous to choose which prod")

e.g:

~~$A \rightarrow \alpha | \beta$~~

$S \rightarrow A | B$

$A \rightarrow) | X$

$X \rightarrow (S)$

$B \rightarrow \epsilon$

here, S can be expanded to B or A

→ if B is chosen we get ϵ so we check
 $\text{FOLLOW}(S)$.

$\text{FOLLOW}(S)$ is $)$ as seen in prod" X

→ if A is chosen we get $)$ again.
 $\rightarrow \text{FIRST}(A)$

\therefore it becomes ambiguous whether to choose B or A
as both give $)$

here, ~~$B \rightarrow \alpha$~~ A is α and S is A

~~$A \rightarrow \beta$~~ B is β from $A \rightarrow \alpha | \beta$

here, $B \xrightarrow{*} \epsilon$ ~~$\text{FOLLOW}(S)$~~ ^{and} $\text{FOLLOW}(S)$ & $\text{FIRST}(A)$ are not disjoint \therefore this is not LL(1) grammar

LR(1) Grammar

→ Shift Reduce Parsers

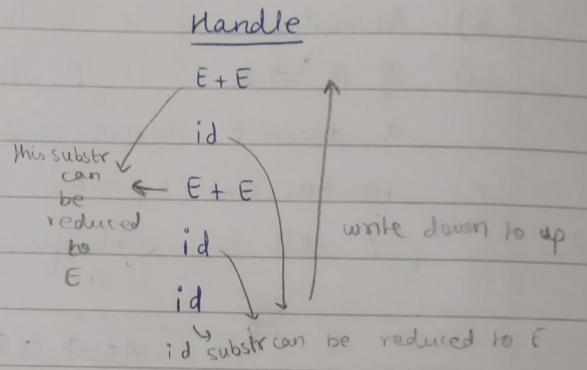
$$G: E \rightarrow E + E$$

$$E \rightarrow id$$

input string: id + id + id

→ rightmost derivation

$$\begin{aligned}
 E &\xrightarrow{rm} E + \underline{E} \\
 &\xrightarrow{rm} \underline{E} + id \\
 &\xrightarrow{rm} E + \underline{E} + id \\
 &\xrightarrow{rm} \underline{E} + id + id \\
 &\xrightarrow{rm} id + id + id
 \end{aligned}$$



Basically from bottom to top we checked if any substr of the input is a handle of any prod" and accordingly reduced it.

Note: In bottom up parser, in stack the \$ is written on the left as compared to top down where its written on the right.

→ 4 operations in top down parsing:

1) Shift - Shifting symbol from input and pushing it to stack.

2) Reduce - Reducing the handle by the non-terminal
↓ which is on top of stack

i.e. replace handle with head of prod".

3) error (stack empty but if buffer still has some symbols left)

4) accept. (\$ matches \$)

Shift - Reduce Conflict - Sometimes a handle is ready to be reduced but if we shift instead that could also be reduced to some other prod". (check danyb the problem in slides)

Reduce - Reduce Conflict - When i/p substring appears as handle in more than one production. So it'll be ambiguous which non-terminal to reduce to.

- Its difficult for parsers to eliminate reduce-reduce conflict.

LR PARSER

- Shift reduce parser is general class of bottom up parser.
- 1 level down in hierarchy, LR parser
- Types of LR parsers

- SLR parser - simple LR (basic)
- Canonical LR parser
- LALR parser - lookahead

powerful in
this order

- Bottom up parser is more powerful than top down.
- More complex, so difficult to construct by hand.
- LR parser generator is usually used.

- LR parser ~~can~~ have shift-reduce conflict but no reduce-reduce conflict.

WHY LR PARSERS?

- LR parser can be constructed to recognize most of the programming languages for which CFA can be written
- LR parser works using non shift-reduce technique.
- LR parser can detect a syntactic error as soon as possible
- Class of grammar that can be parsed by LR parser is a superset of class of grammars that can be parsed using predictive parsing.
(i.e. all ~~top-down~~ grammars with top down parsers can have bottom up parsers but not vice versa)
↳ e.g.: ambiguous grammars like dangling else can be done using bottom up but not top down
(i.e. grammars that can be parsed using LL can be parsed using LR but not vice versa)

SLR(1) PARSING

ITEMS AND LR(0) AUTOMATON

- Items & LR(0) automaton used to avoid shift-reduce conflict
↳ i.e. DFA
- LR parser maintains states to keep track of where we are in a parse to avoid shift-reduce conflict.
- Each state represents a set of "items".
- An LR(0) item of a grammar G is a prodⁿ of G with a dot at some position of the body.
↳ This indicates how much of that prodⁿ has been parsed.
- ∴ an item indicates how much of a prodⁿ we have seen at a given point in the parsing process.

eg: Production - $A \rightarrow XYZ$

then, Items are

- 1) $A \rightarrow \cdot XYZ$ means nothing parsed yet
- 2) $A \rightarrow X \cdot YZ$ means X has been parsed
- 3) $A \rightarrow XY \cdot Z$ means XY have been parsed
- 4) $A \rightarrow XYZ \cdot$ means XYZ have been parsed. Now that whole production is parsed, XYZ can be reduced to A .
∴ when \cdot reaches the end, we are ready to reduce.

∴ if a state contains items of type 4 only then we reduce
if a state contains items of type 1, 2, 3 we shift
thus avoiding shift-reduce conflict.

eg: $A \rightarrow E$, what is the item?

since E means we are not consuming input, we are
ready to reduce immediately.

∴ $A \rightarrow \cdot$ is the item.

$$f \quad S' \rightarrow S$$

$$S \rightarrow (S)S \mid E$$

Note: This is an augmented grammar i.e a new start symbol
^{introduced} ~~symbol~~ as the original start symbol was appearing in

the RHS of prod" creating confusion.

$$\text{so, } S \rightarrow (S)S \mid E$$

↓

$$S' \rightarrow S$$

$$S \rightarrow (S)S \mid E$$

now S' is the start symbol

we did this because when S appears in the parse tree, we

Why
augmentation?
given
in slides

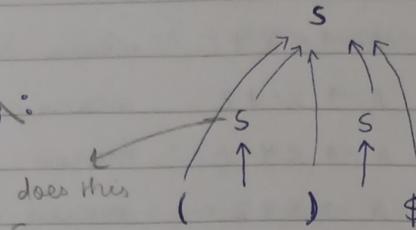
can't tell if that is the start symbol S or the S which is part of prod".

Steps in Bottom up Parsing:

i) Augment the grammar

∴ all items for given G are:

- i) $S' \rightarrow \cdot S$
- ii) $S' \rightarrow S \cdot$
- iii) $S \rightarrow \cdot (S) S$
- iv) $S \rightarrow (\cdot S) S$
- v) $S \rightarrow (S \cdot) S$
- vi) $S \rightarrow (S) \cdot S$
- vii) $S \rightarrow (S) S \cdot$
- viii) $S \rightarrow \cdot$



does this
S mean
we have
reached end of parsing i.e start symbol
found or is there more? This eg:
is simple so you can't tell but
for complex grammars it becomes
very confusing to understand.

CLOSURE OF ITEM SETS

→ I - set of items for G (grammar)

→ Closure (I) - 2 rules

i) Initially add every item in I to closure(I).

ii) If $A \rightarrow \alpha \cdot B \beta$ is in closure(I) and $B \rightarrow \gamma$ is a production then add item $B \rightarrow \cdot \gamma$. (basically if B is non terminal add all its prod")

~~eg~~ $s \rightarrow e$ (augmented)

$e \rightarrow e + v \mid v$

$v \rightarrow x \mid y$

What is closure($\{s \rightarrow \cdot e\}$)?

$s \rightarrow \cdot e$ ← include item itself

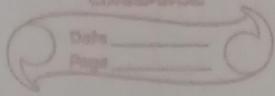
$e \rightarrow \cdot e + v$? $s \rightarrow \cdot e$ when taken of the form $A \rightarrow \alpha \cdot B \beta$

$e \rightarrow \cdot v$ $B = e$ since e is a non terminal we add its prod" also with dot

$v \rightarrow \cdot x$ followed by e but e prod" already in closure so ignore

$v \rightarrow \cdot y$ followed by v and v is non terminal so add all its prod" $v \rightarrow \cdot x \& v \rightarrow \cdot y$. Since $x \& y$ are terminals no prod" added for them

LR(0) automaton (DFA) is made of LR(0) items.



- Closure of item of start production gives start state of LR(0) DFA automaton. \therefore CLOSURE($\{s \rightarrow \cdot e\}$) is s_0

Note: Basically to obtain closure of an item or set of items first include all these items in the closure. Then for each item check symbol after (\cdot) dot

- if symbol is terminal do nothing
- " " " non-terminal add the productions for that non terminal with dot (\cdot) in start.

GOTO FUNCTIONS

- used to obtain the transitions of a DFA

→ $\text{Goto}(I, x)$

I - set of items

x - grammar symbol (non terminal or terminal)

- It is the closure of the set of all items such that $[A \rightarrow \dots x \dots]$ is in I.
 $[A \rightarrow x \dots x B]$

- $\text{Goto}(I, x)$ specifies the transition from state I (i.e state consisting of items I) when encountering input x.

Q $\text{GOTO}(s_0, e) ? \quad I = s_0, e = x$

Soln $s_0 = \{ s \rightarrow \cdot e \rightarrow \text{only these 2} \}$
 $e \rightarrow \cdot e + v \rightarrow \text{are of the form } A \rightarrow \alpha \cdot x \beta$
 $e \rightarrow \cdot v$
 $v \rightarrow \cdot x$
 $v \rightarrow \cdot y$

$\therefore \{s \rightarrow e \cdot, s \rightarrow e \cdot + v\}$ is the state you go to from s_0 on encountering e. This state can be s_1 .

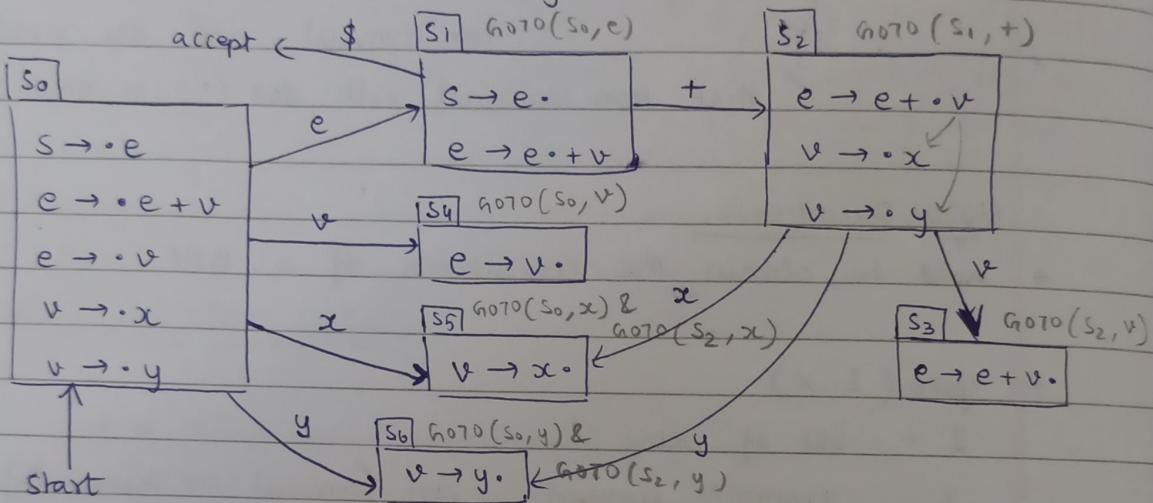
$\text{GOTO}(s_0, e) = \text{CLOSURE}(\{s \rightarrow e \cdot, e \rightarrow e \cdot + v\})$
 $= \{s \rightarrow e \cdot, s \rightarrow e \cdot + v\}$

→ add all 3 items in closure initially
→ No symbol after \cdot in $s \rightarrow e \cdot$.
So do nothing
→ in $s \rightarrow e \cdot + v$, $+$ is after \cdot and it is non terminal so do nothing

→ similarly we calculate $GOTO$ for every X followed by \cdot recursively until we complete our $LR(0)$ Automaton and obtain all its states and transitions.

So, get $GOTO(S_0, \$)$, $GOTO(S_0, x)$, $GOTO(S_0, y)$
 $GOTO(S_1, +)$ and so on for all states.

∴ $LR(0)$ Automaton for prev Q is



When we are in S_1 , we have item $s \rightarrow e \cdot$. which means we have reached end of start prod" $s \rightarrow e$.

∴ After that if we encounter $\$$ the input is accepted.
 To do this only we augmented our grammar

Q) $E \rightarrow E + n \mid n$

Sol" ① Augment the grammar

$$E' \rightarrow E$$

$$E \rightarrow E + n$$

$$E \rightarrow n$$

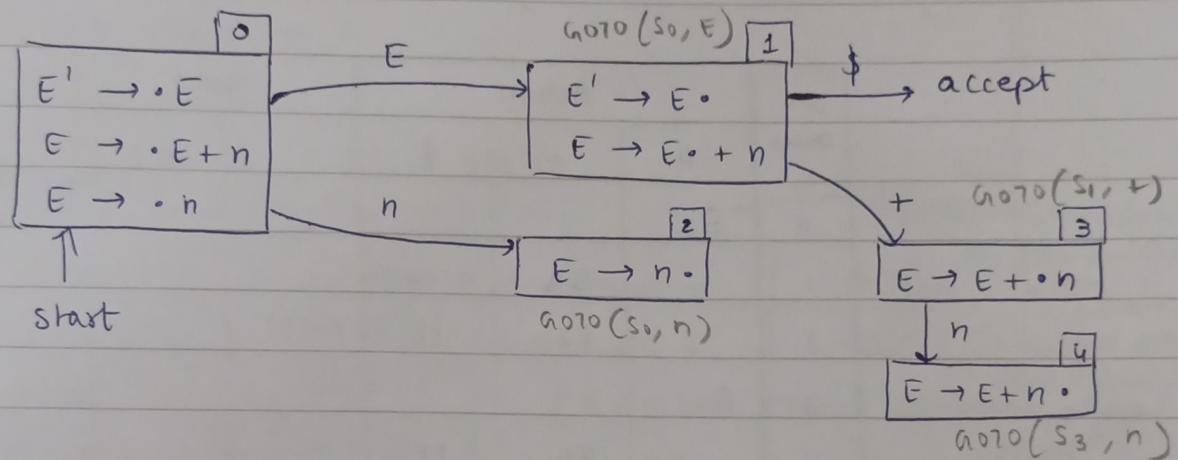
② Start state of $LR(0)$ automaton (DFA)

CLOSURE ($\{E' \rightarrow \cdot E\}$)

↳ start prod"

$$\begin{aligned}
 &= \{ \quad E' \rightarrow \cdot E \\
 &\quad E \rightarrow \cdot E + n \\
 &\quad E \rightarrow \cdot n \\
 &\quad \}
 \end{aligned}$$

③ LR(0) automata



Note: Error recovery in predictive parsing - self study
also read algo & pseudo codes to perform parsing.

CONSTRUCTION OF PARSE TABLE FOR SLR(1)

- Write states of DFA as rows.
 - Has two parts - action & goto
 - Under action, make columns for all terminals
 - Under goto, make columns for all non-terminals
 - For each state, refer DFA & fill table with:
 - shift
 - reduce
 - accept
 - error
 - goto entries
- } for action columns } for goto columns



SLR(1) Parse Table

- eg:
- 0) $E' \rightarrow E$
 - 1) $E \rightarrow E + n$
 - 2) $E \rightarrow n$
- } DFA drawn earlier

DFA States	Action			Goto	
	n	+	\$	E	E'
consume Symbol n	S ₂			1	
Shift (s)		S ₃	accept		
The input pointer to next symbol (push to stack and change	2	r ₂	r ₂		
State to state 2.	3	S ₄			
	4	r ₁	r ₁		

→ no input symbol consumed just change state to state 1

- 2,4 are reduce states as next step for them would be reduction i.e dot (•) has reached end of production.
- 1 is not a reduce state as even though dot reaches end $E \rightarrow n$, it is a final accepting state

Note: Shift entries are associated with state numbers.

i.e S₂ means shift input pointer & move to state 2
and push symbol to stack

Reduce entries are associated with production numbers

→ i.e r₂ means reduce the input to production 2.
↳ here 2) $E \rightarrow n$

∴ in State 2 we know that n is reduced to E as $E \rightarrow n$. ∴ after $E \rightarrow n$ which is state 2 we check $\text{Follow}(E) = \{\$, +\}$

If any symbol from $\text{Follow}(E)$ is encountered then n can be reduced to E i.e r₂. (* input pointer is not reduced to prod 2 shifted it remains in same place)

↓ line goes

∴ In general if we are in a reduce state which includes item for prodⁿ k then if a symbol from FOLLOW(A) $A \rightarrow BC\cdot$

is encountered then do rk where $r \rightarrow$ reduce

$k \rightarrow$ production no.

i.e. BC is reduced to A.

→ let i/p string be: $n + n + n \$$

Stack		start state	Symbols	Input	Action
\$	0		shifts n from input to symbols	<u>n</u> + n + n \$	Shift
\$	0 2	because we moved no state	n	<u>+</u> n + n \$	Reduce by E $\rightarrow n$ → Action [2, +]
\$	0 1	2	E \rightarrow n reduced to E	<u>+</u> n + n \$	Shift → Action [1, +] = S3 so shift + to symbols, push 3 to stack & inc input ptr to n
		pop 2 from stack			

after reducing. so

we get \$0

immediately after reduce always check goto for so, $goto(0, E) = 1$, so add 1 to stack

top of stack and symbol input pointer is not incremented

\$0 1 3 E + n + n \$

Shift → Action [3, n] = S4

\$0 1 3 4 E + n + n \$

Reduce by E \rightarrow E + n

\$0 1 3 4 E + n \$

here, length of handle = 3 ∴ on reduce pop 3 items from stack 1, 3, 4
i.e. no. of symbols belonging to RHS of prodⁿ

\$0 1 E + n \$

Shift → Action [1, +] = S3

goto (0, E) = 1 so add 1 to stack

\$0 1 3 E + n \$

Shift → Action [3, n] = S4

\$0 1 3 4 E + n \$

Reduce by E \rightarrow E + n

\$0 1 E \$

accept

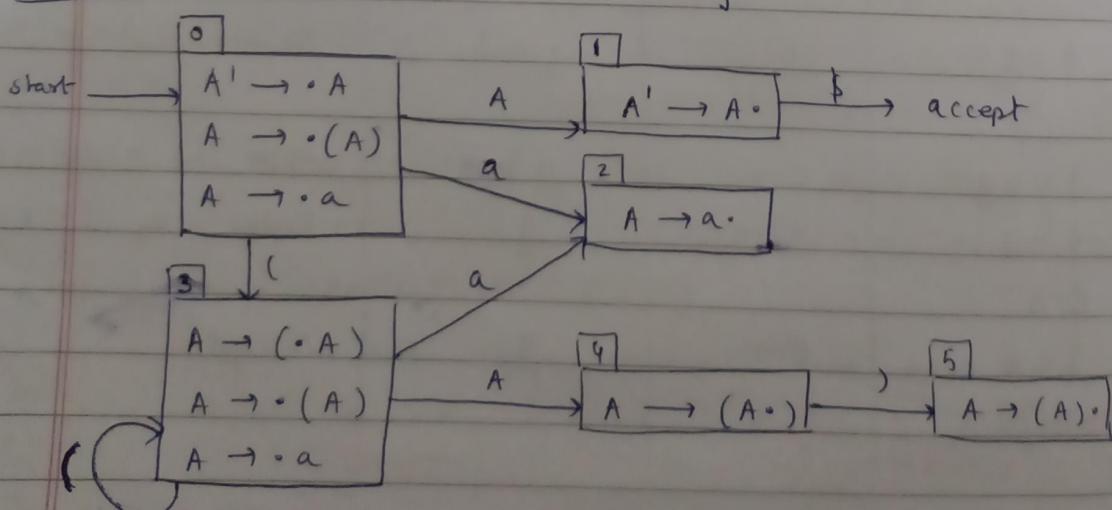
goto (0, E) = 1

- In Table Driven parser we had terminals & non terminals in stack but in SLR we have states
- Initial config stack is always \$ 0
- When shifting, shift input symbol to symbols and add state given by Action entry of parse table to the stack. Also increment input pointer
- When reducing, pop stack by length of handle (symbols)
 - i.e no. of symbols getting reduced)
 - i.e not length of total symbols, only those symbols which are getting reduced
- After reducing, always perform goto on top of stack and top of symbols (i.e rightmost state & rightmost symbol)
- Goto & reduce don't consume input (i.e i/p phr does not increment)

Q $A' \rightarrow A$ Input : ((a))
 $A \rightarrow (A) | a$

Solⁿ Closure ($\{A' \rightarrow \cdot A\}$) = { $A' \rightarrow \cdot A$
 $A \rightarrow \cdot (A)$ → start state
 $A \rightarrow \cdot a$ }

LR(0) automaton



Goto(3, () = 3 i.e self loop

- 2, 5 are reduce states → FOLLOW(A') = { \$ }
- 1 is accept state

$$\text{FOLLOW}(A) = \{ \}, \$ \}$$

Parse Table

States	Action				goto	
	(a)	\$	A [*]	A'
0	S3	S2			1	
1				accept		
2			r ₂	r ₂		
3	S3	S2			4	
4				s ₅		
5			r ₁	r ₁		

↓ A → (A)^{*}

$$\text{FOLLOW}(A) = \{ \}, \$$$

Stack	Symbols	Input	Action
\$		((a)) \$	Shift
\$ 0	((a) \$	Shift
\$ 0 3	((<u>a</u>) \$	Shift
\$ 0 3 3	((a) \$	Reduce by A → a From stack as handle length = 1 i.e. only 'a' is getting reduced
\$ 0 3 3 2	^{only pop '2'} ((a)) \$		
\$ 0 3 3 4	((A) \$	shift
goto(3, A)			
\$ 0 3 3 4 5	((A)) \$	Reduce by A → (A)
	^{pop 3 states, 3 4 5 and replace (A) by A}		
\$ 0 3 4	(A) \$	Shift
goto(3, A)			
\$ 0 3 4 5	(A)	\$	Reduce by A → (A)
\$ 0 0 1	^{pop 3 states, 3 4 5} A	\$	Accept
goto(0, A)			

Note: When I say handle length it means
length of RHS of prod" add by which
we are reducing. eg: Reduce by $A \rightarrow (A)$
handle length = length of $(A) = 3$

Now try input: (a)\$

Stack	Symbol	Input	Action
\$		(a) \$	Shift
\$ 0	(a) \$	Shift
\$ 0 3	(a) \$	Reduce by A → a
\$ 0 3 2	(A) \$	Shift
\$ 0 3 4	(A)	\$	Reduce by A → (A)
\$ 0 3 4 5	A	\$	Accept
\$ 0 1			
as handle len=3	goto(0, A)		

Note: for LR Parse you don't need to check for left recursion or left factoring. Bottom up parsers are strong. Only do this for top down.

$\varphi \quad s \rightarrow (s)s \mid \varepsilon$

Solⁿ ① $s' \rightarrow s$ (augmentation)

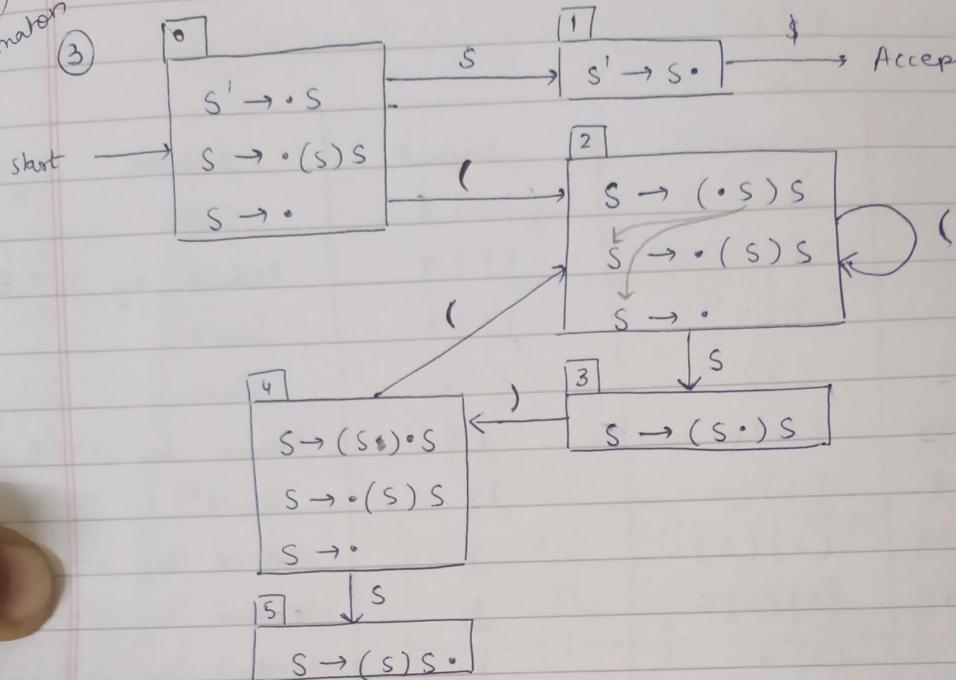
$$S \rightarrow (S)S$$

$$S \rightarrow \Sigma$$

start
state

$$\textcircled{2} \quad \text{closure}(\{ s' \rightarrow \cdot s_0 \}) = \{ \begin{aligned} & s' \rightarrow \cdot s \\ & s \rightarrow \cdot (s) s \\ & s \rightarrow \cdot \end{aligned}$$

$L(0)$
automaton



Parse
Table

④ Reduce states : 0, ~~2~~, 4, 5 $\xrightarrow{S \rightarrow \cdot}$ $S \rightarrow (S)S \cdot$

all these have
a prod" with
· in the end.
hence reduce state

Accept state : 1

$$\text{FOLLOW}(S') = \{ \$ \}$$

means) & \$ column will
have reduce entries for reduce
states containing productions with
S as head.

- ① $S' \rightarrow S$
- ② ~~(S)~~ $S \rightarrow (S)S$
- ③ $S \rightarrow E$

	States	Action			Goto	
		()	\$	S	S'
① $S' \rightarrow S$	0	r_2	r_2	r_2	1	
② (S) $S \rightarrow (S)S$	1			Accept		
③ $S \rightarrow E$	2	r_2	r_2	r_2	3	
	3		r_4			
	4	r_2	r_2	r_2	5	
	5	r_1	r_1	r_1		

Basically reduce entries are made in columns with terminals in
Follow of head of that prod" which has dot (·) in the
end in that state

Parsing

⑤ Input : ()()

Stack	Symbol	Input	Action
\$0		() () \$	Shift
\$02	() () \$	Reduce by $S \rightarrow \epsilon$
\$023	\downarrow empty is reduced (S)) () \$	Shift
\$0234	(S)	() \$	Shift
\$02342	(S)() \$	Reduce by $S \rightarrow \epsilon$
\$023423	(S)(S)) \$	Shift
\$0234234	(S)(S)	\$	Reduce by $S \rightarrow \epsilon$
\$02342345	(S)(S)S	\$	Reduce by $S \rightarrow (S)S$
\$02345	(S) S	\$	Reduce by $S \rightarrow (S)S$
\$01	S	\$	Accept

Q $S \rightarrow Aa \mid bAc \mid dc \mid bda$
 $A \rightarrow d$

Solⁿ ① Argument (here even though S is not appearing on RHS of prodⁿ but still we augment as S has multiple prodⁿ, how do we know which prodⁿ was chosen as start)
 So if we don't augment we'll have multiple accept states.

$$S' \rightarrow S$$

$$S \rightarrow Aa$$

$$S \rightarrow bAc$$

$$S \rightarrow dc$$

$$S \rightarrow bda$$

$$A \rightarrow d$$

Note: If while drawing LL(1) parse table or LR parse table you ~~not~~ have conflict in an entry, don't stop.

classmate

Date _____

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Still continue with parsing and only if during parsing means more than 1 entries in a cell

Start state

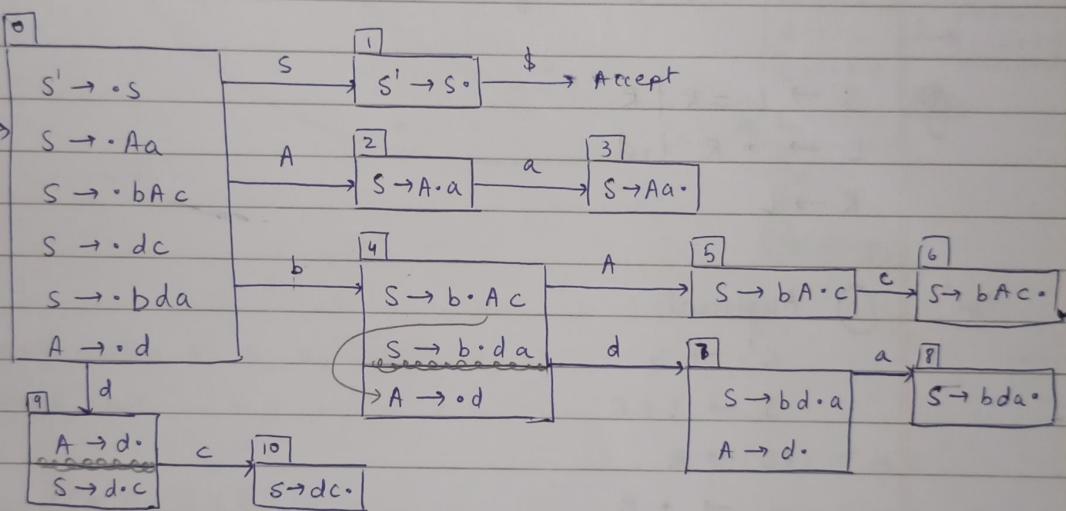
$$\text{② } \text{CLOSURE}(\{ S^1 \rightarrow \cdot s \}) = \{ \begin{array}{l} S^1 \rightarrow \cdot s \\ S \rightarrow \cdot Aa \\ S \rightarrow \cdot bA^c \\ S \rightarrow \cdot dc \\ S \rightarrow \cdot bda \\ A \rightarrow \cdot d \end{array}$$

you have to consult the conflicting entry then stop else keep going.

}

LR(0)
automaton

③



④ SRL(0) Parse Table

because lookahead i.e. follows is being used.

	States	Action	Goto
0) $S^1 \rightarrow S$			
1) $S \rightarrow Aa$		a	
2) $S \rightarrow bA^c$			
3) $S \rightarrow dc$	0	b	
4) $S \rightarrow bda$	0	c	
5) $A \rightarrow d$	1	d	
		\$	
		s	
		A	
		S^1	
Reduce states: 3, 6, 7, 8,			
Accept state: 1			
$\text{follow}(S^1) = \{ \$ \}$	6		
$\text{follow}(S) = \{ \$ \}$	7	y5	x5
$\text{follow}(A) = \{ a, c \}$	8		y4
	9	y5	
	10		y3

- ∴ 2 of the cells have shift-reduce conflict
 - ∴ Clearly SLR(1) is not able to completely eliminate shift-reduce conflict.
- But still SLR(1) parser is stronger than shift-reduce ~~state~~ parser.

So the given grammar cannot be parsed using SLR(1) parser ∴ we say its not SLR(1) grammar.

$$\textcircled{G} \quad \begin{aligned} S &\rightarrow L=R \mid R \\ L &\rightarrow *R \mid id \\ R &\rightarrow L \end{aligned}$$

Sol ① Augment

$$S' \rightarrow .S$$

$$S \rightarrow L=R$$

$$S \rightarrow R$$

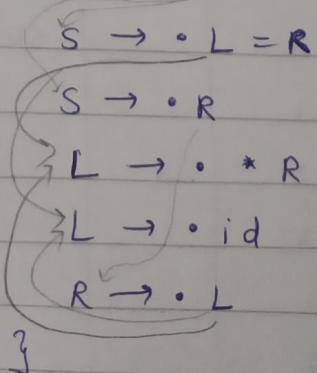
$$L \rightarrow *R$$

$$L \rightarrow id$$

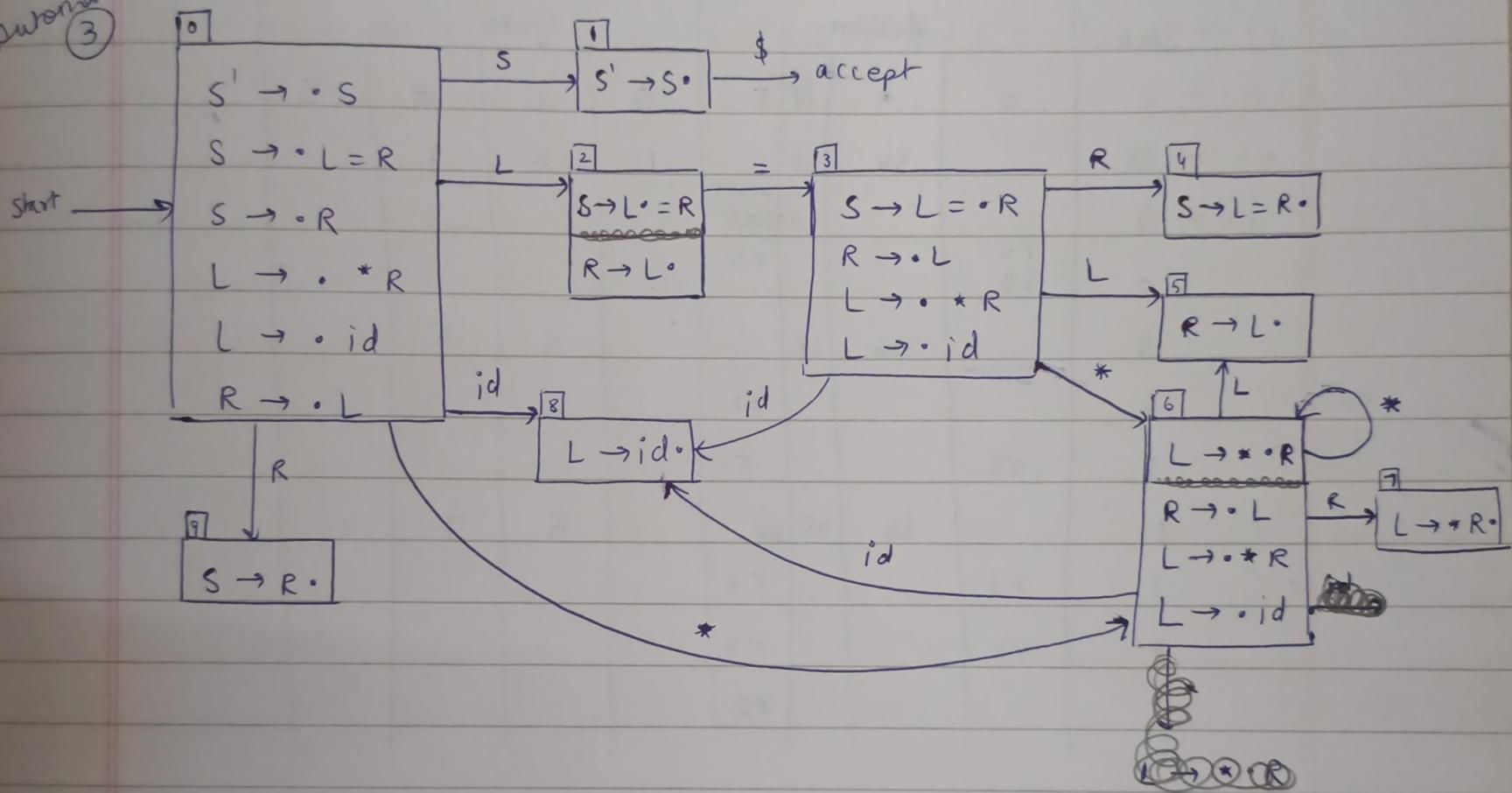
$$R \rightarrow L$$

start state

$$\textcircled{2} \quad \text{closure}(\{ S' \rightarrow .S \}) = \{ S' \rightarrow .S$$



L^L
parser
③



parse
table ④

Reduce states : 2, 4, 5, 7, 8, 9

Accept state : 1

$$\text{FOLLOW}(S') = \{\$\}$$

$$\text{FOLLOW}(S) = \{\$\}$$

$$\text{FOLLOW}(L) = \{=, \$\}$$

$$\text{FOLLOW}(R) = \{=, \$\}$$

- 0) $S' \rightarrow S$
- 1) $S \rightarrow L = R$
- 2) $S \rightarrow R$
- 3) $L \rightarrow \star R$
- 4) $L \rightarrow id$
- 5) $R \rightarrow L$



States	Action				Goto				
	=	*	id	\$	S	L	R	S'	
0			S6	S8	1 accept accept	2	9		
1		r5			r5				
2		S3							
3			S6	S8		5	4		
4					r1				
5		r5			r5				
6			S6	S8	5	7			
7		r3			r3				
8		r4			r4				
9					r2				

∴ Thus also has shift-reduce conflict on M(2, =)
 So, it is not SLR(1) grammar.

8

$$S \rightarrow BCD$$

$$B \rightarrow a/b$$

$$C \rightarrow x/y$$

get start state of LR(0) automaton

Sol

No need to augment as S only has one prodⁿ

CLOSURE $(\{ S \rightarrow^* BCD \}) =$

always
just
augment

① Augment

$$S' \rightarrow S$$

$$S \rightarrow BCD$$

$$B \rightarrow a$$

$$B \rightarrow b$$

$$C \rightarrow x$$

$$C \rightarrow y$$

② start state , CLOSURE ($\{ S' \rightarrow^* S \}$) = $\{ S' \rightarrow^* S$

$S \rightarrow^* B C D$

$B \rightarrow^* a$

$B \rightarrow^* b$

}

Note: This tells us that start state need not have all productions . like here $C \rightarrow^* x$ & $C \rightarrow^* y$ are not included in start state .