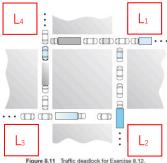
# Operating System Homework 7 & 8

Jinyan Xu, 3160101126, Information Security

- **8.12** Consider the traffic deadlock depicted in Figure 8.11.
- a. Show that the four necessary conditions for deadlock hold in this example.
- b. State a simple rule for avoiding deadlocks in this system.



#### Answer:

a. Regard roadways as resources and cars as processes.

Mutual Exclusion: Only one line of cars at a time can use the roadway.

Hold-and-wait: Each line of cars holds one roadway and wait for the next roadway.

No preemption: The roadway can't be released until the whole line of cars have passed it.

Circular wait: There're 4 lines of cars, L<sub>1</sub> waits for L<sub>2</sub>, L<sub>2</sub> waits for L<sub>3</sub>, L<sub>3</sub> waits for L<sub>4</sub>, L<sub>4</sub> waits for L<sub>1</sub>.

**b.** Each car detects the intersection before entering, if it can't pass, it will wait until the intersection is free.

**8.18** Which of the six resource-allocation graphs shown in Figure 8.12 illustrate deadlock? For those situations that are deadlocked, provide the cycle of threads and resources. Where there is not a deadlock situation, illustrate the order in which the threads may complete execution.

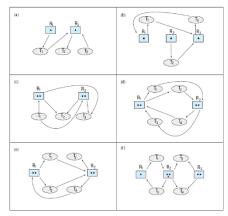


Figure 8.12 Resource-allocation graphs for Exercise 8.18

## Answer:

Note: I added a resource to R<sub>2</sub> in graph (f).

Graph (a): No deadlock, possible order of thread execution: T2, T1, T3.

**Graph (b)**: Deadlock, because there is a cycle:  $T_1 \rightarrow R_3 \rightarrow T_3 \rightarrow R_1 \rightarrow T_1$ .

Graph (c): No deadlock, possible order of thread execution: T2, T3, T1.

**Graph (d)**: Deadlock, there're two cycle:  $T_1 \rightarrow R_2 \rightarrow T_3 \rightarrow R_1 \rightarrow T_1$ ,  $T_2 \rightarrow R_2 \rightarrow T_4 \rightarrow R_1 \rightarrow T_2$ .

**Graph (e)**: Possible deadlock, there're two cycles:  $T_1 \rightarrow R_2 \rightarrow T_4 \rightarrow R_1 \rightarrow T_1$ ,  $T_3 \rightarrow R_2 \rightarrow T_4 \rightarrow R_1 \rightarrow T_3$ , if  $T_2$  releases  $R_2$ , the deadlock can be avoided.

**Graph (f)**: Possible deadlock, the cycle is:  $T_1 \rightarrow R_2 \rightarrow T_3 \rightarrow R_1$ , if  $T_2$  or  $T_4$  release  $R_2$ , the deadlock can be avoided.

**8.22** Consider a system consisting of four resources of the same type that are shared by three threads, each of which needs at most two resources. Show that the system is deadlock free.

# Answer:

In any case, there is always a thread can have 2 resources, which means this thread will release its resources in bounded time, so the other threads which wait for the resource can always get what they want. In conclusion, this system is deadlock free.

- **8.23** Consider a system consisting of m resources of the same type being shared by n threads. A thread can request or release only one resource at a time. Show that the system is deadlock free if the following two conditions hold:
- a. The maximum need of each thread is between one resource and m resources.
- b. The sum of all maximum needs is less than m + n.

## Answer:

From a & b, we can get this:  $1 \le \sum_{i=1}^n Max_i \le m + n$ .

Consider deadlock happens:  $\sum_{i=1}^{n} Allocation_i = m$ .

In this case,  $1 \leq \sum_{i=1}^n Need_i + \sum_{i=1}^n Allocation_i = \sum_{i=1}^n Need_i + m = \sum_{i=1}^n Max_i \leq m+n$ . So,  $1-m \leq \sum_{i=1}^n Need_i \leq n$ , there must exist some threads that their needs are 0. For these threads, when they finish, it can release at least 1 resource. So, the other threads waiting for the resource can always get the resource they need. In conclusion, this system is deadlock free.

**8.28** Consider the following snapshot of a system:

	<u>Allocation</u>	Max	<u>Available</u>
	ABCD	ABCD	ABCD
$T_0$	3 1 4 1	6473	2224
$T_0 \\ T_1$	2102	4232	
$T_2$	2413	2533	
$T_2$ $T_3$ $T_4$	4110	6332	
$T_4$	2221	5675	

Answer the following questions using the banker's algorithm:

- a. Illustrate that the system is in a safe state by demonstrating an order in which the threads may complete.
- b. If a request from thread T<sub>4</sub> arrives for (2, 2, 2, 4), can the request be granted immediately?
- c. If a request from thread T<sub>2</sub> arrives for (0, 1, 1, 0), can the request be granted immediately?
- d. If a request from thread T₃ arrives for (2, 2, 1, 2), can the request be granted immediately?

# Answer:

a. The content of the matrix Need:

	<u>Allocation</u>	Max	<u>Available</u>	Need
	ABCD	ABCD	ABCD	ABCD
$T_0$	3141	6473	2224	3332
$egin{array}{c} T_0 \ T_1 \ T_2 \ T_3 \ T_4 \end{array}$	2102	4232		2130
$T_2$	2413	2533		0120
$T_3$	4110	6332		2222
$T_4$	2221	5675		3 4 5 4

Both  $T_2$  &  $T_3$  can run. Let  $T_2$  run first.

T<sub>2</sub> gets the resource.

$T_0$	Allocation ABCD 3141	<u>Max</u> A B C D 6 4 7 3	Available ABCD 4637	Need A B C D 3 3 3 2
$T_1$ $T_3$ $T_4$	2102 4110 2221	4232 6332 5675		2 1 3 0 2 2 2 2 3 4 5 4

Then, the remains threads can run in any order, one possible order is:  $T_2$ ,  $T_0$ ,  $T_1$ ,  $T_3$ ,  $T_4$ . So, the system is in safe state.

**b.** A request from thread  $T_4$  arrives for (2, 2, 2, 4).

	Allocation	Max	Available	Need
	ABCD	ABCD	ABCD	ABCD
$T_0$	3141	6473	0000	3332
$T_0$ $T_1$	2102	4232		2130
$T_2$	2413	2533		0120
$T_3$	4110	6332		2222
$T_4$	4445	5675		1230

Then the available matrix is equal to 0, neither thread can run. So, the system is in unsafe state, which means this request can't be granted immediately.

**c.** A request from thread  $T_2$  arrives for (0, 1, 1, 0).

	Allocation	Max	<u>Available</u>	Need
	ABCD	ABCD	ABCD	ABCD
$T_0$	3 1 4 1	6473	2114	3332
$T_0$ $T_1$	2102	4232		2130
$T_2$	2523	2533		0010
$T_3$	4110	6332		2222
$T_4$	2221	5675		3 4 5 4

Threads can run in this order:  $T_2$ ,  $T_3$ ,  $T_0$ ,  $T_1$ ,  $T_4$ . So, the system is in safe state, which means this request can be granted immediately.

**d.** A request from thread  $T_3$  arrives for (2, 2, 1, 2).

	Allocation	Max	<u>Available</u>	Need
	ABCD	ABCD	ABCD	ABCD
$T_0$	3 1 4 1	6473	0012	3332
$T_0 \\ T_1$	2102	4232		2130
$T_2$	2413	2533		0120
$T_3$	6322	6332		0010
$T_4$	2221	5675		3 4 5 4

Threads can run in this order:  $T_3$ ,  $T_0$ ,  $T_1$ ,  $T_2$ ,  $T_4$ . So, the system is in safe state, which means this request can be granted immediately.