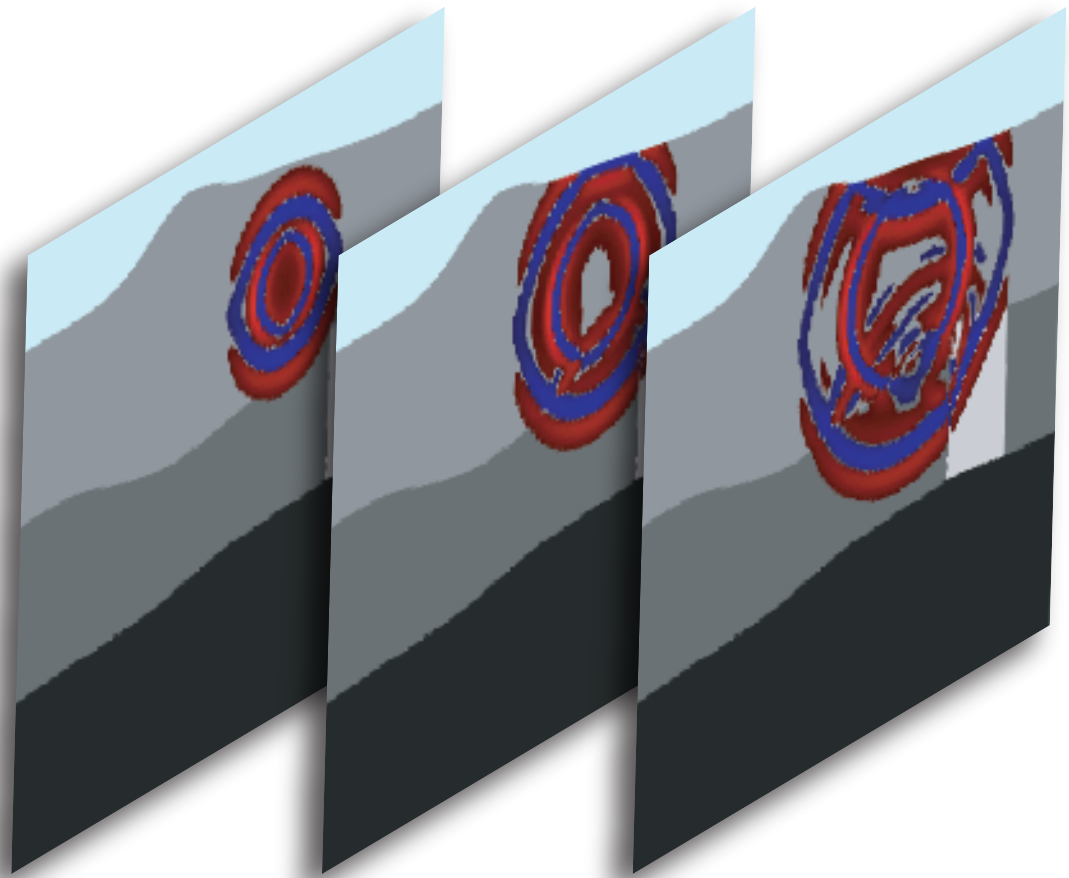


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# SPECFEM 2D

User Manual  
Version 6.1



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# **SPECFEM2D**

## **User Manual**

© Princeton University (USA) and  
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Version 6.1

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## Authors

The SPECFEM2D package was first developed by Dimitri Komatitsch and Jean-Pierre Vilotte at IPG in Paris, France from 1994 to 1997 and then by Dimitri Komatitsch from 1998 to 2005.

Since then it has been developed and maintained by a development team: in alphabetical order,

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Jeroen Tromp...

(add other developers here in the future, several are currently missing).

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# Chapter 1

## Introduction

SPECFEM2D facilitates 2D simulations of acoustic, (an)elastic, and poroelastic seismic wave propagation. With version 6.1, the 2D spectral-element solver accommodates regular and unstructured meshes, generated for example by Cubit (<http://cubit.sandia.gov>), Gmsh (<http://geuz.org/gmsh>) or GiD (<http://www.gid.cimne.upc.es>). Even mesh creation packages that generate triangles, for instance Delaunay-Voronoi triangulation codes, can be used because each triangle can then easily be decomposed into three quadrangles by linking the barycenter to the center of each edge; while this approach does not generate quadrangles of optimal quality, it can ease mesh creation in some situations and it has been shown that the spectral-element method can very accurately handle distorted mesh elements.

The solver has adjoint capabilities and can calculate finite-frequency sensitivity kernels for acoustic, (an)elastic, and poroelastic media. The package also considers 2D SH and P-SV wave propagation. Finally, the solver can run both in serial and in parallel. See SPECFEM2D (<http://www.geodynamics.org/cig/software/packages/seismo/specfem2d>) for the source code.

The SEM is a continuous Galerkin technique, which can easily be made discontinuous [Bernardi et al., 1994, Chaljub, 2000, Kopriva et al., 2002, Chaljub et al., 2003, Legay et al., 2005, Kopriva, 2006, Wilcox et al., 2010, Acosta Minolia and Kopriva, 2011]; it is then close to a particular case of the discontinuous Galerkin technique [Reed and Hill, 1973, Arnold, 1982, Falk and Richter, 1999, Hu et al., 1999, Cockburn et al., 2000, Giraldo et al., 2002, Rivière and Wheeler, 2003, Monk and Richter, 2005, Grote et al., 2006, Ainsworth et al., 2006, Bernacki et al., 2006, Dumbser and Käser, 2006, De Basabe et al., 2008, de la Puente et al., 2009, Wilcox et al., 2010, De Basabe and Sen, 2010, Étienne et al., 2010], with optimized efficiency because of its tensorized basis functions [Wilcox et al., 2010, Acosta Minolia and Kopriva, 2011]. In particular, it can accurately handle very distorted mesh elements [Oliveira and Seriani, 2011].

Note that in most geological models in the context of seismic wave propagation studies (except for fault dynamic rupture studies, in which very high frequencies need to be modeled near the fault, see e.g. de la Puente et al. [2009]) a discontinuous mesh is not needed because material property contrasts are not drastic and thus a continuous formulation is sufficient.

### 1.1 Citation

If you use this code for your own research, please cite at least one article written by the developers of the package, for instance:

Tromp et al. [2008] or Vai et al. [1999], Lee et al. [2009a, 2008, 2009b], Komatitsch et al. [2010a,b, 2009], Liu et al. [2004], Chaljub et al. [2007], Komatitsch and Vilotte [1998], Komatitsch and Tromp [1999], Komatitsch et al. [2004], Morency and Tromp [2008] and/or other articles from (<http://web.univ-pau.fr/~dkomatil/publications.html>)

If you use the kernel capabilities of the code, please cite at least one article written by the developers of the package, for instance:

Tromp et al. [2008] or Liu and Tromp [2006], Morency et al. [2009]

If you use the SCOTCH / CUBIT non-structured capabilities, please also cite:  
Martin et al. [2008]

The corresponding BibTeX entries may be found in file `doc/USER_MANUAL/bibliography.bib`.

## 1.2 Support

This material is based upon work supported by the USA National Science Foundation under Grants No. EAR-0406751 and EAR-0711177, by the French CNRS, French INRIA Sud-Ouest MAGIQUE-3D, French ANR NUMASIS under Grant No. ANR-05-CIGC-002, and European FP6 Marie Curie International Reintegration Grant No. MIRG-CT-2005-017461. Any opinions, findings, and conclusions or recommendations expressed in this material are those of the authors and do not necessarily reflect the views of the USA National Science Foundation, CNRS, INRIA, ANR or the European Marie Curie program.

## Chapter 2

# Getting Started

The SPECSEM2D software package comes in a gzipped tar ball. In the directory in which you want to install the package, type

```
tar -zxvf SPECSEM2D_6.1.1.tar.gz
```

The directory SPECSEM2D-6.1.1/ will then contain the source code. In the following, we will refer to this directory as the root directory SPECSEM2D/.

To configure the software for your system, run the `configure` shell script. This script will attempt to guess the appropriate configuration values for your system. However, at a minimum, it is recommended that you explicitly specify the appropriate command names for your Fortran90 compiler:

```
./configure FC=ifort
```

To optimize compilation of the executables on your specific system, please follow these steps:

- if you want to run in parallel, i.e., using more than one processor core, then you would type

```
./configure FC=ifort MPIFC=mpif90 --with-mpi
```

The SPECSEM2D software package relies on the SCOTCH library to partition meshes. The SCOTCH library [Pellegrini and Roman, 1996] provides efficient static mapping, graph and mesh partitioning routines. SCOTCH is a free software package developed by François Pellegrini et al. from LaBRI and INRIA in Bordeaux, France, downloadable from the web page <https://gforge.inria.fr/projects/scotch/>. It is more recent than METIS, actively maintained and performs better in many cases. A recent version of its source code is provided in directory `scotch_5.1.11/`. In case no SCOTCH libraries can be found on the system, the configuration will bundle this version for compilation. The path to an existing SCOTCH installation can be set explicitly with the option `--with-scotch-dir`. Just as an example:

```
./configure FC=ifort MPIFC=mpif90 --with-mpi --with-scotch-dir=/opt/scotch
```

For further details about the installation of SCOTCH, go to subdirectory `scotch_5.1.11/` and read `INSTALL.txt`. You may want to download more recent versions of SCOTCH in the future from ([http://www.labri.fr/perso/pelegrin/scotch/scotch\\_en.html](http://www.labri.fr/perso/pelegrin/scotch/scotch_en.html)). Support for the METIS graph partitioner has been discontinued because SCOTCH is more recent and performs better.

- edit the `Makefile` for more specific modifications. Especially, there are several options available :
  - DUSE\_MPI compiles with use of an MPI library.
  - DUSE\_SCOTCH enables use of graph partitioner SCOTCH.

After these steps, go back to the main directory of SPECSEM2D/ and type

```
make
```

to create all executables.

# Mesh Generation

The diagram illustrates the xmeshfem2D architecture. It features a vertical flow of data through four main stages, each represented by a grey rounded rectangle on the left, connected by horizontal lines to a central column of colored boxes. A large grey arrow points downwards on the far left, indicating the overall flow direction. At the bottom, a blue waveform is shown.

- Mesher:** The top stage, connected to a green box labeled "CUBIT, Gmsh, GiD".
- Partitioner:** The second stage, connected to a purple box labeled "SCOTCH".
- Databases:** The third stage, connected to a blue box labeled "xmeshfem2D".
- Solver:** The bottom stage, connected to a stack of blue boxes labeled "xspecfem2D".

A large blue box labeled "xmeshfem2D" is positioned to the right of the Partitioner and Databases stages, spanning both. A horizontal line connects the Solver stage to the right edge of the diagram.

To run the mesher, please follow these steps:

- 6



**materials\_file** is the number of the material for every elements : an integer ranging from 1 to nbmodels on each line.

**free\_surface\_file** is the file describing the edges forming the acoustic free surface : number of edges on the first line, then on each line number of the element, number of nodes forming the free surface (1 for a point, 2 for an edge), the nodes forming the free surface for this element. If you do not want free surface, just put 0 on the first line.

**absorbing\_surface\_file** is the file describing the edges forming the absorbing boundaries : the format is the same as the **free\_surface\_file**.

**tangential\_detection\_curve\_file** contains points describing the envelope, used for **source\_normal\_to\_surface** and **rec\_normal\_to\_surface**. Should be fine grained, and ordered clockwise. Number of points on the first line, then (x,z) coordinates on each line.

- if you have compiled with MPI, you must specify the number of processes.

Then type

```
./xmeshfem2D
```

to create the mesh (which will be stored in directory `OUTPUT_FILES/`). `xmeshfem2D` is serial; it will output several files called `Database??????`, one for each process.

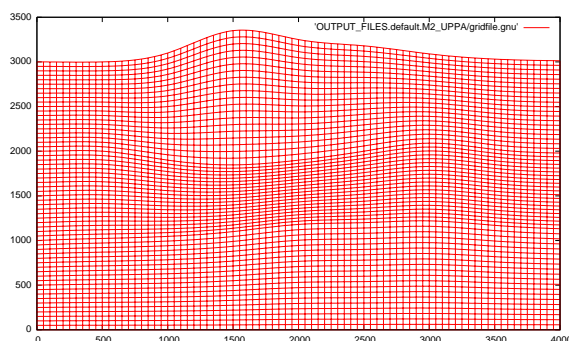


Figure 3.2: Example of a grid file generated by `xmeshfem2D` and visualized with `gnuplot`.

## 3.2 Controlling the quality of an external mesh

To examine the quality of the elements in your externally build mesh, type

```
./xcheck_quality_external_mesh
```

(and answer "3" to the first question asked). This code will tell you which element in the whole mesh has the worst quality (maximum skewness, i.e. maximum deformation of the element angles) and it should be enough to modify this element with the external software package used for the meshing, and to repeat the operation until the maximum skewness of the whole mesh is less or equal to about 0.75 (above is dangerous: from 0.75 to 0.80 could still work, but if there is a single element above 0.80 the mesh should be improved).

The code also shows a histogram of 20 classes of skewness which tells how many element are above the skewness = 0.75, and to which percentage of the total this amounts. To see this histogram, you could type:

```
gnuplot plot_mesh_quality_histogram.gnu
```

This tool is useful to estimate the mesh quality and to see it evolve along the successive corrections.

## Chapter 4

# Running the Solver xspecfem2D

To run the solver, type:

```
./xspeccfem2D
```

to run the main solver (use `mpirun` or equivalent if you compiled with parallel support). This will output the seismograms and snapshots of the wave fronts at different time steps in directory `OUTPUT_FILES/`. To visualize them, type `"gs OUTPUT_FILES/vect*.ps"` to see the Postscript files (in which the wave field is represented with small arrows, fluid/solid matching interfaces with a thick pink line, and absorbing edges with a thick green line) and `"gimp OUTPUT_FILES/image*.gif"` to see the color snapshot showing a pixelized image of one of the two components of the wave field (or pressure, depending on what you have selected for the output in `DATA/Par_file`).

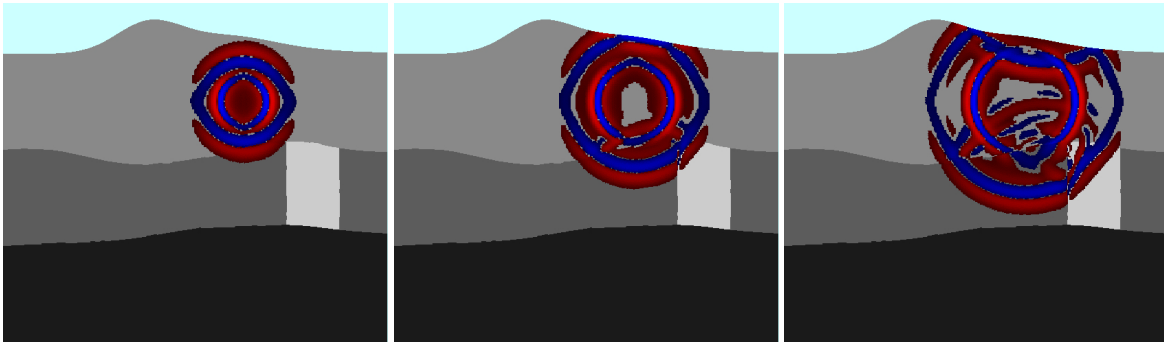


Figure 4.1: Wavefield snapshots of the default example generated by `xspeccfem2D` when parameter `output_color_image` is set to `.true..`

Please consider these following points, when running the solver:

- the `DATA/Par_file` given with the code works fine, you can use it without any modification to test the code
- the seismograms `OUTPUT_FILES/*.sem*` are simple ASCII files with two columns: time in the first column and amplitude in the second, therefore they can be visualized with any tool you like, for instance "gnuplot"
- if you set flag `assign_external_model` to `.true.` in `DATA/Par_file`, the velocity and density model that is given at the end of `DATA/Par_file` is then ignored and overwritten by the external velocity and density model that you define yourself in `define_external_model.f90`
- when compiling with Intel ifort, use `"-assume byterecl"` option to create binary PNM images displaying the wave field
- we do not have PML absorbing conditions implemented in the fluid/solid code yet. We use (older and less efficient) paraxial Clayton-Engquist or Sommerfeld equations instead. This is only by lack of time, we have

a developer who is currently implementing PML but the code is not fully ready. For now, since the paraxial conditions are less efficient, please use a larger model

- there are a few useful scripts and Fortran routines in directory `UTILS/`.
- if you find bugs (or if you have comments or suggestions) please send an email to `cig-seismo AT geodynamics.org` and the developers will try to fix them and send you an updated version
- you can find a Fortran code to compute the analytical solution for simple media that we use as a reference in benchmarks in many of our articles at (<http://www.spice-rtn.org/library/software/EX2DDIR>). That code is described in: Berg et al. [1994]

The `SOURCE` file located in the `DATA/` directory should be edited in the following way:

**source\_surf** Set this flag to `.true.` to force the source to be located at the surface of the model, otherwise the source will be placed inside the medium

**xs** source location x in meters

**zs** source location z in meters

**source\_type** Set this value equal to 1 for elastic forces or acoustic pressure, set this to 2 for moment tensor sources.

**time\_function\_type** Choose a source-time function: set this value to 1 to use a Ricker, 2 the first derivative, 3 a Gaussian, 4 a Dirac or 5 a Heaviside source-time function.

**f0** Set this to the dominant frequency of the source. For point-source simulations using a Heaviside source-time function (`time_function_type "5"`), we recommend setting the source frequency parameter `f0` equal to a high value, which corresponds to simulating a step source-time function, i.e., a moment-rate function that is a delta function.

The `half_duration` of a source is obtained by  $1/f0$ . If the code will use a Gaussian source-time function (`time_function_type "3"`) (i.e., a signal with a shape similar to a ‘smoothed triangle’, as explained in Komatitsch and Tromp [2002] and shown in Fig 4.2), the source-time function uses a half-width of `half_duration`. We prefer to run the solver with `half_duration` set to zero and convolve the resulting synthetic seismograms in post-processing after the run, because this way it is easy to use a variety of source-time functions. Komatitsch and Tromp [2002] determined that the noise generated in the simulation by using a step source time function may be safely filtered out afterward based upon a convolution with the desired source time function and/or low-pass filtering. Use the serial code `convolve_source_timefunction.f90` and the script `convolve_source_timefunction.csh` for this purpose, or alternatively use signal-processing software packages such as SAC ([www.llnl.gov/sac](http://www.llnl.gov/sac)). Type

```
make convolve_source_timefunction
```

to compile the code and then set the parameter `hdur` in `convolve_source_timefunction.csh` to the desired half-duration.

**t0** For single sources, set the time shift parameter `t0` equal to 0.0 (the solver will not run otherwise.) The time shift parameter would simply apply an overall time shift to the synthetics, something that can be done in the post-processing. This time shift parameter can be non-zero when using multiple sources.

**angleforce** Angle of the source (for a force only)

**Mxx,Mzz,Mxz** Moment tensor components (valid only for moment tensor sources, `source_type "2"`). Note that the units for the components of a moment tensor source are different in `SPECFEM2D` and in `SPECFEM3D`:

**SPECFEM3D:** in `SPECFEM3D` the moment tensor components are in `dyne*cm`

**SPECFEM2D:** in `SPECFEM2D` the moment tensor components are in `N*m`

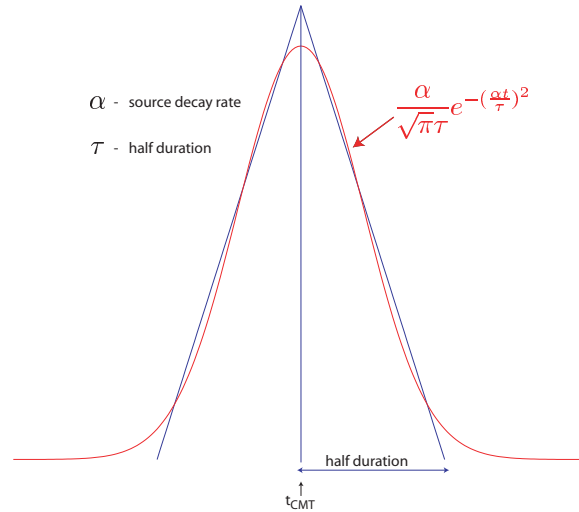


Figure 4.2: Comparison of the shape of a triangle and the Gaussian function actually used.

**factor** amplification factor

Note, the zero time of the simulation corresponds to the center of the triangle/Gaussian, or the centroid time of the earthquake. The start time of the simulation is  $t = -1.2 * \text{half duration} + t_0$  (the factor 1.2 is to make sure the moment rate function is very close to zero when starting the simulation; Heaviside functions use a factor 2.0), the half duration is obtained by  $1/f_0$ .

### Caution

See file `todo_list_please_dont_remove.txt` for a list of known bugs, problems, or missing options.

## Coupled Simulations

The code supports acoustic/elastic, acoustic/poroelastic, elastic/poroelastic, and acoustic,elastic/poroelastic simulations.

Elastic/poroelastic coupling supports anisotropy, but not attenuation for the elastic material.

## 4.1 How to run P-SV or SH (membrane) wave simulations

For elastic materials, you have these additional options:

**P-SV:** To run a P-SV waves calculation propagating in the x-z plane, set `p_sv = .true.` in the `Par_file`.

**SH:** To run a SH (membrane) waves calculation traveling in the x-z plane with a y-component of motion, set `p_sv = .false.`

This feature is only implemented for elastic materials and sensitivity kernels can be calculated (see Tape et al. [2007] for details on membrane surface waves).

A useful Python script called `SEM_save_dir.py`, written by Paul Cristini from Laboratoire de Mecanique et d'Acoustique, CNRS, Marseille, France, is provided. It allows one to automatically save all the parameters and results of a given simulation.

## 4.2 How to use Poroelasticity

Check the following new inputs in `Par_file`:

In section **"# geometry of model and mesh description"**:

`TURN_VISCATTENUATION_ON`, `Q0`, and `FREQ0` deal with viscous damping in a poroelastic medium. `Q0` is the quality factor set at the central frequency `FREQ0`. For more details see Morency and Tromp [2008].

In section **"# time step parameters"**:

`SIMULATION_TYPE` defines the type of simulations

(1) forward simulation

(2) adjoint method and kernels calculation

In section **"# source parameters"**:

The code now support multi sources. `NSOURCE` is the number of source. Parameters of the sources are displayed in the file `SOURCE`, which must be in the directory `DATA/`. The components of a moment tensor source must be given in N.m, not in dyne.cm as in the `DATA/CMTSOLUTION` source file of the 3D version of the code.

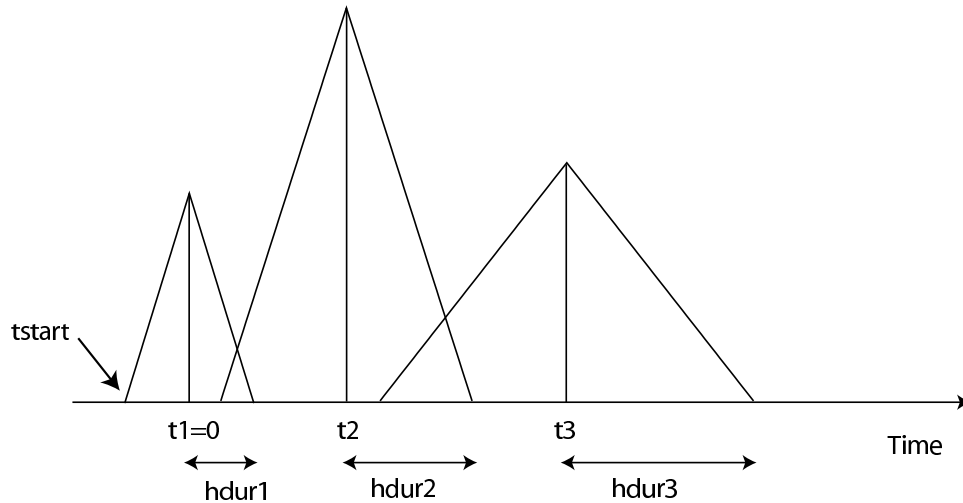


Figure 4.3: Example of timing for three sources. The center of the first source triangle is defined to be time zero. Note that this is NOT in general the hypocentral time, or the start time of the source (marked as `tstart`). The time shift parameter `t0` in the `SOURCE` file would be `t1(=0)`, `t2`, `t3` in this case, and the half-duration parameter, resp. `f0`, would be `hdur1=1/f0_1`, `hdur2=1/f0_2`, `hdur3=1/f0_3` for the sources 1, 2, 3 respectively.

In section **"# receiver line parameters for seismograms"**:

`SAVE_FORWARD` determines if the last frame of a forward simulation is saved (`.true.`) or not (`.false`)

In section **"# define models...."**:

There are three possible types of models:

I: (model\_number 1 rho Vp Vs 0 0 Qp Qs 0 0 0 0 0) or

II: (model\_number 2 rho c11 c13 c15 c33 c35 c55 0 0 0 0 0) or

III: (model\_number 3 rhos rhof phi c kxx kxz kzz Ks Kf Kfr etaf mufr Qs).

For isotropic elastic/acoustic material use I and set `Vs` to zero to make a given model acoustic, for anisotropic elastic use II, and for isotropic poroelastic material use III. The mesh can contain acoustic, elastic, and poroelastic models simultaneously. `rho_s` = solid density

`rho_f` = fluid density

`phi` = porosity

`tort` = tortuosity

permxx = xx component of permeability tensor  
permxz = xz,zx components of permeability tensor  
permzz = zz component of permeability tensor  
kappa\_s = solid bulk modulus  
kappa\_f= fluid bulk modulus  
kappa\_fr= frame bulk modulus  
eta\_f = fluid viscosity  
mu\_fr = frame shear modulus  
Qs = shear quality factor

Note: for the poroelastic case, mu\_s is irrelevant. For details on the poroelastic theory see Morency and Tromp [2008].

`get_poroelastic_velocities.f90` allows to compute cpI, cpII, and cs function of the source dominant frequency. Notice that for this calculation we use permxx and the dominant frequency of the first source , f0(1). Caution if you use several sources with different frequencies and if you consider anisotropic permeability.

## Chapter 5

# Adjoint Simulations

### 5.1 How to obtain Finite Sensitivity Kernels

1. Run a forward simulation:

```
=> SIMULATION_TYPE = 1
=> SAVE_FORWARD = .true.
=> seismotype = 1 (we need to save the displacement fields to later on derive the adjoint source. Note: if
the user forgets it, the program corrects it when reading the proper SIMULATION_TYPE and SAVE_FORWARD
combination and a warning message appears in the output file)
```

Important output files (for example, for the elastic case, P-SV waves):

```
absorb_elastic_bottom*****.bin
absorb_elastic_left*****.bin
absorb_elastic_right*****.bin
absorb_elastic_top*****.bin
lastframe_elastic*****.bin
S****.AA.BHX.semd
S****.AA.BHZ.semd
```

2. Define the adjoint source:

Use `adj_seismogram.f90`  
Edit to update `NSTEP`, `nrec`, `t0`, `deltat`, and the position of the cut to pick any given phase if needed (`tstart`, `tend`), add the right number of stations, and put one component of the source to zero if needed. The output files of `adj_seismogram.f90` are `S****.AA.BHX.adj` and `S****.AA.BHZ.adj`, for P-SV waves (and `S****.AA.BHY.adj`, for SH (membrane) waves). Note that you will need these three files (`S****.AA.BHX.adj`, `S****.AA.BHY.adj` and `S****.AA.BHZ.adj`) to be present in the `OUTPUT_FILES/` directory together with the `absorb_elastic_*****.bin` and `lastframe_elastic.bin` files to be read when running the adjoint simulation.

3. Run the adjoint simulation:

Make sure that the adjoint source files absorbing boundaries and last frame files are in the `OUTPUT_FILES/` directory.  

```
=> SIMULATION_TYPE = 2
=> SAVE_FORWARD = .false.
```

Output files (for example for the elastic case):

```
snapshot_rho_kappa_mu*****
snapshot_rho_alpha_beta*****
```

which are the primary moduli kernels and the phase velocities kernels respectively, in ascii format and at the local level, that is as "kernels(i,j,spec)".

### Caution

Please note that

- at the moment, adjoint simulations do not support anisotropy, attenuation, and viscous damping.
- you will need `S****.AA.BHX.adj`, `S****.AA.BHY.adj` and `S****.AA.BHZ.adj` to be present in directory `OUTPUT_FILES/` even if you are just running an acoustic or poroelastic adjoint simulation.  
`S****.AA.BHX.adj` is the only relevant component for an acoustic case.  
`S****.AA.BHX.adj` and `S****.AA.BHZ.adj` are the only relevant components for a poroelastic case.



# Acknowledgments

We thank Paul Cristini from Laboratoire de Mecanique et d’Acoustique of Marseille, France, for very carefully testing version 6.1 of the package and helping us locate and fix several important bugs.

The Gauss-Lobatto-Legendre subroutines in `gll_library.f90` are based in part on software libraries from the Massachusetts Institute of Technology, Department of Mechanical Engineering (Cambridge, Massachusetts, USA). The non-structured global numbering software was provided by Paul F. Fischer (Brown University, Providence, Rhode Island, USA, now at Argonne National Laboratory, USA).

Please e-mail your feedback, questions, comments, and suggestions to Jeroen Tromp (`jtromp-AT-princeton.edu`) or to the CIG Computational Seismology Mailing List (`cig-seismo@geodynamics.org`).

# Copyright

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# Appendix A

## Troubleshooting

### FAQ

Regarding the structure of some of the database files :

**Question:** Can anyone tell me what the columns of the SPECfEM2D boundary condition files in SPECfEM2D/DATA/Mesh\_canyon are?

SPECfEM2D/DATA/Mesh\_canyon/canyon\_absorbing\_surface\_file  
SPECfEM2D/DATA/Mesh\_canyon/canyon\_free\_surface\_file

**Answer:** canyon\_absorbing\_surface\_file refers to parameters related to the absorbing conditions:  
The first number (180) is the number of absorbing elements (nelemabs in the code). Then the columns are:  
column 1 = the element number  
column 2 = the number of nodes of this element that form the absorbing surface  
column 3 = the first node  
column 4 = the second node

canyon\_free\_surface\_file refers to the elements of the free surface (relevant for enforcing free surface condition for acoustic media): The first number (160) is the number of elements of the free surface. Then the columns are (similar to the absorbing case):  
column 1 = the element number  
column 2 = the number of nodes of this element that form the absorbing surface  
column 3 = the first node  
column 4 = the second node

Concerning the free surface description file, nodes/edges pertaining to elastic elements are discarded when the file is read (if for whatever reason it was simpler to include all the nodes/edges on one side of a studied area and that there are among them some elements that are elastic elements, only the nodes/edges of acoustic elements are kept).

These files are opened and read in meshfem2D.F90 using subroutines read\_abs\_surface() and read\_acoustic\_surface(), which are in part\_unstruct.F90