

# Domain Specific Languages of Mathematics: Lecture Notes

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## Abstract

These notes aim to cover the lectures and exercises of the recently introduced course “Domain-Specific Languages of Mathematics” (at Chalmers and University of Gothenburg). The course was developed in response to difficulties faced by third-year computer science students in learning and applying classical mathematics (mainly real and complex analysis). The main idea is to encourage the students to approach mathematical domains from a functional programming perspective: to identify the main functions and types involved and, when necessary, to introduce new abstractions; to give calculational proofs; to pay attention to the syntax of the mathematical expressions; and, finally, to organize the resulting functions and types in domain-specific languages.

## 1 Week 1

This lecture is partly based on the paper [Ionescu and Jansson, 2016] from the International Workshop on Trends in Functional Programming in Education 2015. We will implement certain concepts in the functional programming language Haskell and the code for this lecture is placed in a module called *DSLsofMath.W01* that starts here:

**module** *DSLsofMath.W01* **where**

### 1.1 A case study: complex numbers

We will start by an analytic reading of the introduction of complex numbers in Adams and Essex [2010]. We choose a simple domain to allow the reader to concentrate on the essential elements of our approach without the distraction of potentially unfamiliar mathematical concepts. For this section, we bracket our previous knowledge and approach the text as we would a completely new domain, even if that leads to a somewhat exaggerated attention to detail.

Adams and Essex introduce complex numbers in Appendix 1. The section *Definition of Complex Numbers* begins with:

We begin by defining the symbol  $i$ , called **the imaginary unit**, to have the property

$$i^2 = -1$$

Thus, we could also call  $i$  the square root of  $-1$  and denote it  $\sqrt{-1}$ . Of course,  $i$  is not a real number; no real number has a negative square.

At this stage, it is not clear what the type of  $i$  is meant to be, we only know that  $i$  is not a real number. Moreover, we do not know what operations are possible on  $i$ , only that  $i^2$  is another name for  $-1$  (but it is not obvious that, say  $i * i$  is related in any way with  $i^2$ , since the operations of multiplication and squaring have only been introduced so far for numerical types such as  $\mathbb{N}$  or  $\mathbb{R}$ , and not for symbols).

For the moment, we introduce a type for the value  $i$ , and, since we know nothing about other values, we make  $i$  the only member of this type:

```
data ImagUnits = I
i :: ImagUnits
i = I
```

We use a capital  $I$  in the **data** declaration because a lowercase constructor name would cause a syntax error in Haskell.

Next, we have the following definition:

**Definition:** A **complex number** is an expression of the form

$$a + bi \quad \text{or} \quad a + ib,$$

where  $a$  and  $b$  are real numbers, and  $i$  is the imaginary unit.

This definition clearly points to the introduction of a syntax (notice the keyword “form”). This is underlined by the presentation of *two* forms, which can suggest that the operation of juxtaposing  $i$  (multiplication?) is not commutative.

A profitable way of dealing with such concrete syntax in functional programming is to introduce an abstract representation of it in the form of a datatype:

```
data ComplexA = CPlus1  $\mathbb{R}$   $\mathbb{R}$  ImagUnits
              | CPlus2  $\mathbb{R}$  ImagUnits  $\mathbb{R}$ 
```

We can give the translation from the abstract syntax to the concrete syntax as a function *showCA*:

```
showCA :: ComplexA → String
showCA (CPlus1 x y i) = show x ++ " + " ++ show y ++ "i"
showCA (CPlus2 x i y) = show x ++ " + " ++ "i" ++ show y
```

Notice that the type  $\mathbb{R}$  is not implemented yet and it is not really even exactly implementable but we want to focus on complex numbers so we will approximate  $\mathbb{R}$  by double precision floating point numbers for now.

```
type  $\mathbb{R}$  = Double
```

The text continues with examples:

For example,  $3 + 2i$ ,  $\frac{7}{2} - \frac{2}{3}i$ ,  $i\pi = 0 + i\pi$ , and  $-3 = -3 + 0i$  are all complex numbers. The last of these examples shows that every real number can be regarded as a complex number.

The second example is somewhat problematic: it does not seem to be of the form  $a + bi$ . Given that the last two examples seem to introduce shorthand for various complex numbers, let us assume that this one does as well, and that  $a - bi$  can be understood as an abbreviation of  $a + (-b) i$ .

With this provision, in our notation the examples are written as:

```
testC1 :: [ComplexA]
testC1 = [ CPlus1 3 2 I, CPlus1 (7 / 2) (-2 / 3) I
          , CPlus2 0 I π, CPlus1 (-3) 0 I
          ]
testS1 = map showCA testC1
```

We interpret the sentence “The last of these examples ...” to mean that there is an embedding of the real numbers in *ComplexA*, which we introduce explicitly:

```
toComplex :: ℝ → ComplexA
toComplex x = CPlus1 x 0 i
```

Again, at this stage there are many open questions. For example, we can assume that *i1* stands for the complex number  $CPlus_2 0 i 1$ , but what about *i* by itself? If juxtaposition is meant to denote some sort of multiplication, then perhaps 1 can be considered as a unit, in which case we would have that *i* abbreviates *i1* and therefore  $CPlus_2 0 i 1$ . But what about, say,  $2 i$ ? Abbreviations with *i* have only been introduced for the *ib* form, and not for the *bi* one!

The text then continues with a parenthetical remark which helps us dispel these doubts:

(We will normally use  $a + bi$  unless *b* is a complicated expression, in which case we will write  $a + ib$  instead. Either form is acceptable.)

This remark suggests strongly that the two syntactic forms are meant to denote the same elements, since otherwise it would be strange to say “either form is acceptable”. After all, they are acceptable by definition.

Given that  $a + ib$  is only “syntactic sugar” for  $a + bi$ , we can simplify our representation for the abstract syntax, eliminating one of the constructors:

```
data ComplexB = CPlusB ℝ ℝ ImagUnits
```

In fact, since it doesn’t look as though the type *ImagUnits* will receive more elements, we can dispense with it altogether:

```
data ComplexC = CPlusC ℝ ℝ
```

(The renaming of the constructor to *CPlusC* serves as a guard against the case we have suppressed potentially semantically relevant syntax.)

We read further:

It is often convenient to represent a complex number by a single letter; *w* and *z* are frequently used for this purpose. If *a*, *b*, *x*, and *y* are real numbers, and  $w = a + bi$  and  $z = x + yi$ , then we can refer to the complex numbers *w* and *z*. Note that  $w = z$  if and only if  $a = x$  and  $b = y$ .

First, let us notice that we are given an important semantic information: *CPlusC* is not just syntactically injective (as all constructors are), but also semantically. The equality on complex numbers is what we would obtain in Haskell by using **deriving Eq**.

This shows that complex numbers are, in fact, isomorphic with pairs of real numbers, a point which we can make explicit by re-formulating the definition in terms of a **newtype**:

```
type ComplexD = ComplexSem ℝ
newtype ComplexSem r = CS (r, r) deriving Eq
```

The point of the somewhat confusing discussion of using “letters” to stand for complex numbers is to introduce a substitute for *pattern matching*, as in the following definition:

**Definition:** If  $z = x + yi$  is a complex number (where  $x$  and  $y$  are real), we call  $x$  the **real part** of  $z$  and denote it  $Re\ (z)$ . We call  $y$  the **imaginary part** of  $z$  and denote it  $Im\ (z)$ :

$$\begin{aligned} Re\ (z) &= Re\ (x + yi) = x \\ Im\ (z) &= Im\ (x + yi) = y \end{aligned}$$

This is rather similar to Haskell’s *as-patterns*:

```
re :: ComplexSem r → r
re z@(CS (x, y)) = x
im :: ComplexSem r → r
im z@(CS (x, y)) = y
```

a potential source of confusion being that the symbol  $z$  introduced by the as-pattern is not actually used on the right-hand side of the equations.

The use of as-patterns such as “ $z = x + yi$ ” is repeated throughout the text, for example in the definition of the algebraic operations on complex numbers:

### The sum and difference of complex numbers

If  $w = a + bi$  and  $z = x + yi$ , where  $a$ ,  $b$ ,  $x$ , and  $y$  are real numbers, then

$$\begin{aligned} w + z &= (a + x) + (b + y) i \\ w - z &= (a - x) + (b - y) i \end{aligned}$$

With the introduction of algebraic operations, the language of complex numbers becomes much richer. We can describe these operations in a *shallow embedding* in terms of the concrete datatype *ComplexSem*, for example:

```
(+.) :: Num r ⇒ ComplexSem r → ComplexSem r → ComplexSem r
(CS (a, b)) +. (CS (x, y)) = CS ((a + x), (b + y))
```

or we can build a datatype of “syntactic” complex numbers from the algebraic operations to arrive at a *deep embedding* as seen in the next section.

Exercises:

- implement  $(*.)$  for *ComplexSem*

## 1.2 A syntax for arithmetical expressions

So far we have tried to find a datatype to represent the intended *semantics* of complex numbers. That approach is called “shallow embedding”. Now we turn to the *syntax* instead (“deep embedding”).

We want a datatype *ComplexE* for the abstract syntax tree of expressions. The syntactic expressions can later be evaluated to semantic values:

$$\text{evalE} :: \text{ComplexE} \rightarrow \text{ComplexD}$$

The datatype *ComplexE* should collect ways of building syntactic expression representing complex numbers and we have so far seen the symbol *i*, an embedding from  $\mathbb{R}$ , plus and times. We make these four *constructors* in one recursive datatype as follows:

```
data ComplexE = ImagUnit
               | ToComplex  $\mathbb{R}$ 
               | Plus   ComplexE ComplexE
               | Times  ComplexE ComplexE
deriving (Eq, Show)
```

And we can write the evaluator by induction over the syntax tree:

```
evalE ImagUnit      = CS (0, 1)
evalE (ToComplex r) = CS (r, 0)
evalE (Plus c1 c2)  = evalE c1 +. evalE c2
evalE (Times c1 c2) = evalE c1 *. evalE c2
```

We also define a function to embed a semantic complex number in the syntax:

```
fromCS :: ComplexD → ComplexE
fromCS (CS (x, y)) = Plus (ToComplex x) (Times (ToComplex y) ImagUnit)
testE1 = Plus (ToComplex 3) (Times (ToComplex 2) ImagUnit)
testE2 = Times ImagUnit ImagUnit
```

There are certain laws we would like to hold for operations on complex numbers. The simplest is perhaps  $i^2 = -1$  from the start of the lecture,

```
propImagUnit :: Bool
propImagUnit = Times ImagUnit ImagUnit === ToComplex (-1)
(===) :: ComplexE → ComplexE → Bool
z === w = evalE z == evalE w
```

and that *fromCS* is an embedding:

```
propFromCS :: ComplexD → Bool
propFromCS c = evalE (fromCS c) == c
```

but we also have that *Plus* and *Times* should be associative and commutative and *Times* should distribute over *Plus*:

```
propAssocPlus x y z = Plus (Plus x y) z === Plus x (Plus y z)
propAssocTimes x y z = Times (Times x y) z === Times x (Times y z)
propDistTimesPlus x y z = Times x (Plus y z) === Plus (Times x y) (Times x z)
```

These three laws actually fail, but not because of the implementation of *evalE*. We will get back to that later but let us first generalise the properties a bit by making the operator a parameter:

```
propAssocA :: Eq a => (a -> a -> a) -> a -> a -> a -> Bool
propAssocA (+?) x y z = (x +? y) +? z == x +? (y +? z)
```

Note that *propAssocA* is a higher order function: it takes a function (a binary operator) as its first parameter. It is also polymorphic: it works for many different types *a* (all types which have an *==* operator).

Thus we can specialise it to *Plus*, *Times* and other binary operators. In Haskell there is a type class *Num* for different types of “numbers” (with operations (+), (\*), etc.). We can try out *propAssocA* for a few of them.

```
propAssocAInt = propAssocA (+) :: Int -> Int -> Int -> Bool
propAssocADouble = propAssocA (+) :: Double -> Double -> Double -> Bool
```

The first is fine, but the second fails due to rounding errors. QuickCheck can be used to find small examples - I like this one best:

```
notAssocEvidence :: (Double, Double, Double, Bool)
notAssocEvidence = (lhs, rhs, lhs - rhs, lhs == rhs)
  where lhs = (1 + 1) + 1 / 3
        rhs = 1 + (1 + 1 / 3)
```

For completeness: this is the answer:

```
(2.3333333333333335      -- Notice the five at the end
, 2.3333333333333333,    -- which is not present here.
, 4.440892098500626e-16  -- The difference
, False)
```

This is actually the underlying reason why some of the laws failed for complex numbers: the approximative nature of *Double*. But to be sure there is no other bug hiding we need to make one more version of the complex number type: parameterise on the underlying type for  $\mathbb{R}$ . At the same time we generalise *ToComplex* to *FromCartesian*:

```
data ComplexSyn r = FromCartesian r r
                  | ComplexSyn r :+: ComplexSyn r
                  | ComplexSyn r *: ComplexSyn r

toComplexSyn :: Num a => a -> ComplexSyn a
toComplexSyn x = FromCartesian x (fromInteger 0)

evalCSyn :: Num r => ComplexSyn r -> ComplexSem r
evalCSyn (FromCartesian x y) = CS (x, y)
evalCSyn (l :+: r) = evalCSyn l +. evalCSyn r
evalCSyn (l *: r) = evalCSyn l *. evalCSyn r

instance Num a => Num (ComplexSyn a) where
  (+) = (:+:)
  (*) = (:*)
  fromInteger = fromIntegerCS
  -- TODO: add a few more operations (hint: extend ComplexSyn as well)
  -- TODO: also extend eval

fromIntegerCS :: Num r => Integer -> ComplexSyn r
fromIntegerCS = toComplexSyn o fromInteger
```

### 1.3 TODO[PaJa]: Textify

Here are some notes about things scribbled on the blackboard during the first two lectures. At some point this should be made into text for the lecture notes.

#### 1.3.1 Pitfalls with traditional mathematical notation

**A function or the value at a point?** Mathematical texts often talk about “the function  $f(x)$ ” when “the function  $f$ ” would be more clear. Otherwise there is a clear risk of confusion between  $f(x)$  as a function and  $f(x)$  as the value you get from applying the function  $f$  to the value bound to the name  $x$ .

**Scoping** Scoping rules for the integral sign:

$$\begin{aligned} f(x) &= x^2 \\ g(x) &= \int_x^{2x} f(x)dx &= \int_x^{2x} f(y)dy \end{aligned}$$

The variable  $x$  bound on the left is independent of the variable  $x$  “bound under the integral sign”.

**From syntax to semantics and back** We have seen evaluation functions from abstract syntax to semantics ( $eval :: Syn \rightarrow Sem$ ). Often a partial inverse is also available:  $embed :: Sem \rightarrow Syn$ . For our complex numbers we have TODO: fill in a function from  $ComplexSem\ r \rightarrow ComplexSyn\ r$ .

The embedding should satisfy a round-trip property:  $eval\ (embed\ s) == s$  for all  $s$ . Exercise: What about the opposite direction? When is  $embed\ (eval\ e) == e$ ?

We can also state and check properties relating the semantic and the syntactic operations:

$a + b = eval\ (Plus\ (embed\ a)\ (embed\ b))$  for all  $a$  and  $b$ .

**Variable names as type hints** In mathematical texts there are often conventions about the names used for variables of certain types. Typical examples include  $i, j, k$  for natural numbers or integers,  $x, y$  for real numbers and  $z, w$  for complex numbers.

The absence of explicit types in mathematical texts can sometimes lead to confusing formulations. For example, a standard text on differential equations by Edwards, Penney and Calvis Edwards et al. [2008] contains at page 266 the following remark:

The differentiation operator  $D$  can be viewed as a transformation which, when applied to the function  $f(t)$ , yields the new function  $D\{f(t)\} = f'(t)$ . The Laplace transformation  $\mathcal{L}$  involves the operation of integration and yields the new function  $\mathcal{L}\{f(t)\} = F(s)$  of a new independent variable  $s$ .

This is meant to introduce a distinction between “operators”, such as differentiation, which take functions to functions of the same type, and “transforms”, such as the Laplace transform, which take functions to functions of a new type. To the logician or the computer scientist, the way of phrasing this difference in the quoted text sounds strange: surely the *name* of the independent variable does not matter: the Laplace transformation could very well return a function of the “old” variable  $t$ . We can understand that the name of the variable is used to carry semantic meaning about its type (this is also common in functional programming, for example with the conventional use of  $as$  to denote a list of  $as$ ). Moreover, by using this (implicit!) convention, it is easier to deal with cases such as that of the Hartley transform (a close relative of the Fourier transform), which

does not change the type of the input function, but rather the *interpretation* of that type. We prefer to always give explicit typings rather than relying on syntactical conventions, and to use type synonyms for the case in which we have different interpretations of the same type. In the example of the Laplace transformation, this leads to

```

type T = Real
type S = ℂ
L : (T → ℂ) → (S → ℂ)

```

### 1.3.2 Other

**Lifting operations to a parameterised type** When we define addition on complex numbers (represented as pairs of real and imaginary components) we can do that for any underlying type  $r$  which supports addition.

```

type CS = ComplexSem -- for shorter type expressions below
liftPlus :: (r → r → r) →
            (CS r → CS r → CS r)
liftPlus (+) (CS (x, y)) (CS (x', y')) = CS (x + x', y + y')

```

Note that *liftPlus* takes (+) as its first parameter and uses it twice on the RHS.

**Laws** TODO: Associative, Commutative, Distributive, ...

**TODO[PaJa]: move earlier** Table of examples of notation and abstract syntax for some complex numbers:

Mathematics	Haskell
$3 + 2i$	<code>CPlus<sub>1</sub> 3 2 i</code>
$7/2 - 2/3 i = 7/2 + (-2/3) i$	<code>CPlus<sub>1</sub> (7 / 2) (-2 / 3) i</code>
$i \pi = 0 + i \pi$	<code>CPlus<sub>2</sub> 0 i π</code>
$-3 = -3 + 0 i$	<code>CPlus<sub>1</sub> (-3) 0 i</code>

## 1.4 Questions and answers from the exercise sessions week 1

### 1.4.1 Function composition

The infix operator `.` in Haskell is an implementation of the mathematical operation of function composition.

$$f \circ g = \lambda x \rightarrow f (g x)$$

The period is an ASCII approximation of the composition symbol  $\circ$  typically used in mathematics. (The symbol  $\circ$  is encoded as U+2218 and called RING OPERATOR in Unicode, &#8728 in HTML, `\circ` in `TeX`, etc.)

The type is perhaps best illustrated by a diagram with types as nodes and functions (arrows) as directed edges:

In Haskell we get the following type:

$$(\circ) :: (b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow (a \rightarrow c)$$

which may take a while to get used to.



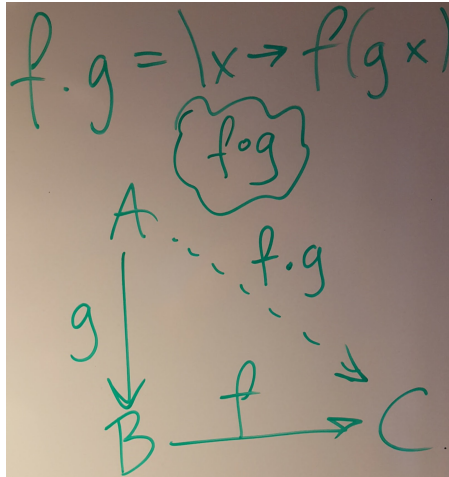


Figure 1: Function composition diagram

#### 1.4.2 fromInteger (looks recursive)

Near the end of the lecture notes there was an instance declaration including the following lines:

```
instance Num r  $\Rightarrow$  Num (ComplexSyn r) where
  -- ... several other methods and then
  fromInteger = toComplexSyn  $\circ$  fromInteger
```

This definition looks recursive, but it is not. To see why we need to expand the type and to do this I will introduce a name for the right hand side (RHS): *fromIntC*.

```
--           ComplexSyn r <----- r <----- Integer
fromIntC =           toComplexSyn . fromInteger
```

I have placed the types in the comment, with “backwards-pointing” arrows indicating that *fromInteger* :: *Integer*  $\rightarrow$  *r* and *toComplexSyn* :: *r*  $\rightarrow$  *ComplexSyn r* while the resulting function is *fromIntC* :: *Integer*  $\rightarrow$  *ComplexSyn r*. The use of *fromInteger* at type *r* means that the full type of *fromIntC* must refer to the *Num* class. Thus we arrive at the full type:

```
fromIntC :: Num r  $\Rightarrow$  Integer  $\rightarrow$  ComplexSyn r
```

#### 1.4.3 type / newtype / data

There are three keywords in Haskell involved in naming types: **type**, **newtype**, and **data**.

**type – abbreviating type expressions** The **type** keyword is used to create a type synonym - just another name for a type expression.

```
type Heltal = Integer
type Foo = (Maybe [String], [[Heltal]])
type BinOp = Heltal  $\rightarrow$  Heltal  $\rightarrow$  Heltal
type Env v s = [(v, s)]
```

The new name for the type on the RHS does not add type safety, just readability (if used wisely). The *Env* example shows that a type synonym can have type parameters.

**newtype – more protection** A simple example of the use of **newtype** in Haskell is to distinguish values which should be kept apart. A simple example is

```
newtype Age = Ag Int -- Age in years
newtype Shoe = Sh Int -- Shoe size (EU)
```

Which introduces two new types, *Age* and *Shoe*, which both are internally represented by an *Int* but which are good to keep apart.

The constructor functions  $Ag :: Int \rightarrow Age$  and  $Sh :: Int \rightarrow Shoe$  are used to translate from plain integers to ages and shoe sizes.

In the lecture notes we used a newtype for the semantics of complex numbers as a pair of numbers in the cartesian representation but may also be useful to have another newtype for complex as a pair of numbers in the polar representation.

**data – for syntax trees** Some examples:

```
data N = Z | S N
```

This declaration introduces

- a new type *N* for unary natural numbers,
- a constructor  $Z :: N$  to represent zero, and
- a constructor  $S :: N \rightarrow N$  to represent the successor.

Examples values:  $zero = Z$ ,  $one = S\ Z$ ,  $three = S\ (S\ one)$

```
data E = V String | P E E | T E E
```

This declaration introduces

- a new type *E* for simple arithmetic expressions,
- a constructor  $V :: String \rightarrow E$  to represent variables,
- a constructor  $P :: E \rightarrow E \rightarrow E$  to represent plus, and
- a constructor  $T :: E \rightarrow E \rightarrow E$  to represent times.

Example values:  $x = V\ "x"$ ,  $e1 = P\ x\ x$ ,  $e2 = T\ e1\ e1$

If you want a constructor to be used as an infix operator you need to use symbol characters and start with a colon:

```
data E' = V' String | E' : + E' | E' : * E'
```

Example values:  $y = V\ "y"$ ,  $e1 = y : +\ y$ ,  $e2 = x : * e1$

Finally, you can add one or more type parameters to make a whole family of datatypes in one go:

```
data ComplexSy v r = Var v
    | FromCart r r
    | ComplexSy v r : ++ ComplexSy v r
    | ComplexSy v r : ** ComplexSy v r
```

The purpose of the first parameter *v* here is to enable a free choice of type for the variables (be it *String* or *Int* or something else) and the second parameter *r* makes it possible to express “complex numbers over” different base types (like *Double*, *Float*, *Integer*, etc.).

#### 1.4.4 *Env*, *Var*, and variable lookup

The type synonym

```
type Env v s = [(v, s)]
```

is one way of expressing a partial function from  $v$  to  $s$ .

Example value:

```
env1 :: Env String Int
env1 = [("hej", 17), ("du", 38)]
```

The *Env* type is commonly used in evaluator functions for syntax trees containing variables:

```
evalCP :: Eq v => Env v (ComplexSem r) -> (ComplexSy v r -> ComplexSem r)
evalCP env (Var x) = case lookup x env of
  Just c -> undefined  -- ...
  -- ...
```

Notice that *env* maps “syntax” (variable names) to “semantics”, just like the evaluator does.

## 1.5 Some helper functions

```
propAssocAdd :: (Eq a, Num a) => a -> a -> a -> Bool
propAssocAdd = propAssocA (+)

(*) :: Num r => ComplexSem r -> ComplexSem r -> ComplexSem r
CS (ar, ai) *. CS (br, bi) = CS (ar * br - ai * bi, ar * bi + ai * br)

instance Show r => Show (ComplexSem r) where
  show = showCS

showCS :: Show r => ComplexSem r -> String
showCS (CS (x, y)) = show x ++ " + " ++ show y ++ "i"
```

## 2 Week 2

Course learning outcomes:

- Knowledge and understanding
  - design and implement a DSL (Domain Specific Language) for a new domain
  - organize areas of mathematics in DSL terms
  - explain main concepts of elementary real and complex analysis, algebra, and linear algebra
- Skills and abilities
  - develop adequate notation for mathematical concepts
  - perform calculational proofs
  - use power series for solving differential equations

- use Laplace transforms for solving differential equations
- Judgement and approach
  - discuss and compare different software implementations of mathematical concepts

This week we focus on “develop adequate notation for mathematical concepts” and “perform calculational proofs” (still in the context of “organize areas of mathematics in DSL terms”).

**module** *DSLsofMath.W02* **where**

## 2.1 A few words about pure set theory

One way to build mathematics from the ground up is to start from pure set theory and define all concepts by translation to sets. We will only work with this as a mathematical domain to study, not as “the right way” of doing mathematics. The core of the language of pure set theory has the Empty set, the one-element set constructor Singleton, set Union, and Intersection. There are no “atoms” or “elements” to start from except for the empty set but it turns out that quite a large part of mathematics can still be expressed.

**Natural numbers** To talk about things like natural numbers in pure set theory they need to be encoded. Here is one such encoding (which is explored further in the first hand-in assignment).

$$\begin{aligned} \text{vonNeumann } 0 &= \text{Empty} \\ \text{vonNeumann } (n + 1) &= \text{Union } (\text{vonNeumann } n) \\ &\quad (\text{Singleton } (\text{vonNeumann } n)) \end{aligned}$$

**Pairs** Definition: A pair  $(a, b)$  is encoded as  $\{\{a\}, \{a, b\}\}$ .

## 2.2 Propositional Calculus

Now we turn to the main topic of this week: logic and proofs.

TODO: type up the notes + whiteboard photos

Swedish: Satslogik

False, True, And, Or, Implies

## 2.3 First Order Logic (predicate logic)

TODO: type up the notes + whiteboard photos

Swedish: Första ordningens logik = predikatlogik

Adds term variables and functions, predicate symbols and quantifiers (sv: kvantorer).

## 2.4 Basic concepts of calculus

**Limit point** TODO: transcribe the 2016 notes + 2017 black board pictures into notes.

*Definition* (adapted from ?, page 28): Let  $X$  be a subset of  $\mathbb{R}$ . A point  $p \in \mathbb{R}$  is a limit point of  $X$  if for every  $\epsilon > 0$ , there exists  $q \in X$  such that  $q \neq p$  and  $|q - p| < \epsilon$ .

$$\begin{aligned} \text{Limp} : \mathbb{R} &\rightarrow \mathcal{P} \mathbb{R} \rightarrow \text{Prop} \\ \text{Limp } p \ X &= \forall \epsilon > 0. \ \exists q \in X - \{p\}. \ |q - p| < \epsilon \end{aligned}$$

Notice that  $q$  depends on  $\epsilon$ . Thus by introducing a function we can move the  $\exists$  out.

$$\begin{aligned} \text{type } Q &= \mathbb{R}_- \{>0\} \rightarrow (X - \{p\}) \\ \text{Limp } p \ X &= \exists q : Q. \ \forall \epsilon > 0. \ |q \ \epsilon - p| < \epsilon \end{aligned}$$

Next: introduce the “disk function”  $Di$ .

$$\begin{aligned} Di : \mathbb{R} &\rightarrow \mathbb{R}_- \{>0\} \rightarrow \mathcal{P} \mathbb{R} \\ Di \ c \ r &= \{x \mid |x - c| < r\} \end{aligned}$$

Then we get

$$\text{Limp } p \ X = \exists q : Q. \ \forall \epsilon > 0. \ q \ \epsilon \in Di \ p \ \epsilon$$

Example: limit outside the set  $X$

$$X = \{1 / n \mid n \in \mathbb{N}_{>0}\}$$

Show that 0 is a limit point. Note that  $0 \notin X$ .

We want to prove  $\text{Limp } 0 \ X$

$$q \ \epsilon = 1 / n \ \text{where } n = \text{ceiling } (1 / \epsilon)$$

(where the definition of  $n$  comes from a calculation showing the property involving  $Di$  is satisfied.)

Exercise: prove that 0 is the *only* limit point of  $X$ .

*Proposition:* If  $X$  is finite, then it has no limit points.

$$\forall p \in \mathbb{R}. \ \neg (\text{Limp } p \ X)$$

Good exercise in quantifier negation!

$$f : (q : Q) \rightarrow \mathbb{R}_{>0} \ \{-\text{such that } \text{let } \epsilon = f \ q \ \text{in } q \ \epsilon \notin Di \ p \ \epsilon -\}$$

Note that  $q \ \epsilon$  is in (TODO: To be cont.)

**The limit of a sequence** TODO: transcribe the 2016 notes + 2017 black board pictures into notes.

$$P \ a \ \epsilon \ L = (\epsilon > 0) \rightarrow \exists N : \mathbb{Z}. \ (\forall n : \mathbb{N}. \ (n \geq N) \rightarrow (|a_n - L| < \epsilon))$$

## 2.5 Questions and answers from the exercise sessions week 2

**Variables, *Env* and *lookup*** This was a frequently source of confusion already the first week so there is already a question + answers earlier in this text. But here is an additional example to help clarify the matter.

```
data Rat v = RV v | FromI Integer | RPlus (Rat v) (Rat v) | RDiv (Rat v) (Rat v)
  deriving (Eq, Show)
newtype RatSem = RSem (Integer, Integer)
```

We have a type *Rat v* for the syntax trees of rational number expressions and a type *RatSem* for the semantics of those rational number expressions as pairs of integers. The constructor *RV* :: *v* → *Rat v* is used to embed variables with names of type *v* in *Rat v*. We could use *String* instead of *v* but with a type parameter *v* we get more flexibility at the same time as we get better feedback from the type checker. To evaluate some *e* :: *Rat v* we need to know how to evaluate the variables we encounter. What does “evaluate” mean for a variable? Well, it just means that we must be able to translate a variable name (of type *v*) to a semantic value (a rational number in this case). To “translate a name to a value” we can use a function (of type *v* → *RatSem*) so we can give the following implementation of the evaluator:

```
evalRat1 :: (v → RatSem) → (Rat v → RatSem)
evalRat1 ev (RV v)      = ev v
evalRat1 ev (FromI i)   = fromISem i
evalRat1 ev (RPlus l r) = plusSem (evalRat1 ev l) (evalRat1 ev r)
evalRat1 ev (RDiv l r)  = divSem (evalRat1 ev l) (evalRat1 ev r)
```

Notice that we simply added a parameter *ev* for “evaluate variable” to the evaluator. The rest of the definition follows a common pattern: recursively translate each subexpression and apply the corresponding semantic operation to combine the results: *RPlus* is replaced by *plusSem*, etc.

```
fromISem :: Integer → RatSem
fromISem i = RSem (i, 1)

plusSem :: RatSem → RatSem → RatSem
plusSem = undefined -- TODO: exercise

-- Division of rational numbers
divSem :: RatSem → RatSem → RatSem
divSem (RSem (a, b)) (RSem (c, d)) = RSem (a * d, b * c)
```

Often the first argument *ev* to the eval function is constructed from a list of pairs:

```
type Env v s = [(v, s)]
envToFun :: (Show v, Eq v) ⇒ Env v s → (v → s)
envToFun [] v = error ("envToFun: variable " ++ show v ++ " not found")
envToFun ((w, s) : env) v
  | w == v    = s
  | otherwise = envToFun env v
```

Thus, *Env v s* can be seen as an implementation of a “lookup table”. It could also be implemented using hash tables or binary search trees, but efficiency is not the point here. Finally, with *envToFun* in our hands we can implement a second version of the evaluator:

```
evalRat2 :: (Show v, Eq v) ⇒ (Env v RatSem) → (Rat v → RatSem)
evalRat2 env e = evalRat1 (envToFun env) e
```

**The law of the excluded middle** Many had problems with implementing the “law of the excluded middle” in the exercises and it is indeed a tricky property to prove. The key to implementing it lies in double negation and as that is encoded with higher order functions it gets a bit hairy.

TODO[Daniel]: more explanation

**SET and PRED** Several groups have had trouble grasping the difference between *SET* and *PRED*. This is understandable, because we have so far in the lectures mostly talked about term syntax + semantics, and not so much about predicate syntax and semantics. The one example of terms + predicates covered in the lectures is Predicate Logic and I never actually showed how `eval` (for the expressions) and `check` (for the predicates) is implemented.

As an example we can take our terms to be the rational number expressions defined above and define a type of predicates over those terms:

```

type Term v = Rat v
data RPred v = Equal    (Term v) (Term v)
              | LessThan (Term v) (Term v)
              | Positive  (Term v)
              | And      (RPred v) (RPred v)
              | Not      (RPred v)
deriving (Eq, Show)

```

Note that the first three constructors, *Eq*, *LessThan*, and *Positive*, describe predicates or relations between terms (which can contain term variables) while the two last constructors, *And* and *Not*, just combine such relations together. (Terminology: I often mix the words “predicate” and “relation”).

We have already defined the evaluator for the *Term v* type but we need to add a corresponding “evaluator” (called *check*) for the *RPred v* type. Given values for all term variables the predicate checker should just determine if the predicate is true or false.

```

checkRP :: (Eq v, Show v) => Env v RatSem -> RPred v -> Bool
checkRP env (Equal    t1 t2) = eqSem      (evalRat2 env t1) (evalRat2 env t2)
checkRP env (LessThan t1 t2) = lessThanSem (evalRat2 env t1) (evalRat2 env t2)
checkRP env (Positive  t1)   = positiveSem (evalRat2 env t1)
checkRP env (And p q)  = (checkRP env p) & (checkRP env q)
checkRP env (Not p)    = not (checkRP env p)

```

Given this recursive definition of *checkRP*, the semantic functions *eqSem*, *lessThanSem*, and *positiveSem* can be defined by just working with the rational number representation:

```

eqSem      :: RatSem -> RatSem -> Bool
lessThanSem :: RatSem -> RatSem -> Bool
positiveSem :: RatSem -> Bool
eqSem      = error "TODO"
lessThanSem = error "TODO"
positiveSem = error "TODO"

```

## 2.6 More general code for first order languages

“överkurs”

It is possible to make one generic implementation which can be specialised to any first order language.

TODO: add explanatory text

- $Term$  = Syntactic terms
- $n$  = names (of atomic terms)
- $f$  = function names
- $v$  = variable names
- $WFF$  = Well Formed Formulas
- $p$  = predicate names

```

data  $Term\ n\ f\ v =$ 
   $N\ n \mid F\ f\ [Term\ n\ f\ v] \mid V\ v$ 
deriving  $Show$ 
data  $WFF\ n\ f\ v\ p =$ 
   $P\ p\ [Term\ n\ f\ v]$ 
   $\mid Equal\ (Term\ n\ f\ v)\ (Term\ n\ f\ v)$ 
   $\mid And\ (WFF\ n\ f\ v\ p)\ (WFF\ n\ f\ v\ p)$ 
   $\mid Or\ (WFF\ n\ f\ v\ p)\ (WFF\ n\ f\ v\ p)$ 
   $\mid Equiv\ (WFF\ n\ f\ v\ p)\ (WFF\ n\ f\ v\ p)$ 
   $\mid Impl\ (WFF\ n\ f\ v\ p)\ (WFF\ n\ f\ v\ p)$ 
   $\mid Not\ (WFF\ n\ f\ v\ p)$ 
   $\mid FORALL\ v\ (WFF\ n\ f\ v\ p)$ 
   $\mid EXISTS\ v\ (WFF\ n\ f\ v\ p)$ 
deriving  $(Show)$ 

```

### 3 Week 3

```

{-# LANGUAGE FlexibleInstances #-}
module  $DSLsofMath.W03$  where

```

#### 3.1 Types in mathematics

Types are sometimes mentioned explicitly in mathematical texts:

- $x \in \mathbb{R}$
- $\sqrt{\phantom{x}} : \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}_{\geq 0}$
- $(\_)^2 : \mathbb{R} \rightarrow \mathbb{R}$  or, alternatively but *not* equivalently
- $(\_)^2 : \mathbb{R} \rightarrow \mathbb{R}_{\geq 0}$

The types of “higher-order” operators are usually not given explicitly:



- $\lim : (\mathbb{N} \rightarrow \mathbb{R}) \rightarrow \mathbb{R}$  for  $\lim_{n \rightarrow \infty} \{a_n\}$
- $d/dt : (\mathbb{R} \rightarrow \mathbb{R}) \rightarrow \mathbb{R} \rightarrow \mathbb{R}$
- sometimes, instead of  $df/dt$  one sees  $f'$  or  $\dot{f}$  or  $D f$
- $\partial f / \partial x_i : (\mathbb{R}^n \rightarrow \mathbb{R}) \rightarrow \mathbb{R}^n \rightarrow \mathbb{R}$
- we mostly see  $\partial f / \partial x$ ,  $\partial f / \partial y$ ,  $\partial f / \partial z$  etc. when, in the context, the function  $f$  has been given a definition of the form  $f(x, y, z) = \dots$
- a better notation which doesn't rely on the names given to the arguments was popularised by Landau in Landau (1934) (English edition Landau (2001)):  $D_1$  for the partial derivative with respect to  $x_1$ , etc.
- Exercise: for  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$  define  $D_1$  and  $D_2$  using only  $D$ .

### 3.2 Typing Mathematics: partial derivative

As an example we will try to type the elements of a mathematical definition.

For example, on page 169 of Mac Lane [1986], we read

[...] a function  $z = f(x, y)$  for all points  $(x, y)$  in some open set  $U$  of the cartesian  $(x, y)$ -plane. [...] If one holds  $y$  fixed, the quantity  $z$  remains just a function of  $x$ ; its derivative, when it exists, is called the *partial derivative* with respect to  $x$ . Thus at a point  $(x, y)$  in  $U$  this derivative for  $h \neq 0$  is

$$\partial z / \partial x = f'_x(x, y) = \lim_{h \rightarrow 0} (f(x + h, y) - f(x, y)) / h$$

What are the types of the elements involved? We have

$U \subseteq \mathbb{R} \times \mathbb{R}$  -- cartesian plane  
 $f : U \rightarrow \mathbb{R}$   
 $z : U \rightarrow \mathbb{R}$  -- but see below  
 $f_x : U \rightarrow \mathbb{R}$

The  $x$  in the subscript of  $f'_x$  is *not* a real number, but a symbol (a *Char*).

The expression  $(x, y)$  has several occurrences. The first two denote variables of type  $U$ , the third is just a name ( $(x, y)$ -plane). The third denotes a variable of type  $U$ , it is bound by a universal quantifier

$$\forall (x, y) \in U$$

The variable  $h$  appears to be a non-zero real number, bound by a universal quantifier, but that is incorrect. In fact,  $h$  is used as a variable to construct the arguments of a function, whose limit is then taken at 0.

That function, which we can denote by  $\varphi$  has the type  $\varphi : U \rightarrow (\mathbb{R} - \{0\}) \rightarrow \mathbb{R}$  and is defined by

$$\varphi(x, y) h = (f(x + h, y) - f(x, y)) / h$$

The limit is then  $\lim(\varphi(x, y)) 0$ . Note that 0 is a limit point of  $\mathbb{R} - \{0\}$ , so the type of  $\lim$  is the one we have discussed:

$$\lim : (X \rightarrow \mathbb{R}) \rightarrow \{p \mid p \in \mathbb{R}, p \text{ limit point of } X\} \rightarrow \mathbb{R}$$

$z = f(x, y)$  probably does not mean that  $z \in \mathbb{R}$ , although the phrase “the quantity  $z$ ” suggests this. A possible interpretation is that  $z$  is used to abbreviate the expression  $f(x, y)$ ; thus, everywhere we can replace  $z$  with  $f(x, y)$ . In particular,  $\partial z / \partial x$  becomes  $\partial f(x, y) / \partial x$ , which we can interpret as  $\partial f / \partial x$  applied to  $(x, y)$  (remember that  $(x, y)$  is bound in the context by a universal quantifier). There is the added difficulty that, just like  $x$ , the  $x$  in  $\partial x$  is not the  $x$  bound by the universal quantifier, but just a symbol.

### 3.3 Type inference and understanding: Lagrangian case study

From (Sussman and Wisdom 2013):

A mechanical system is described by a Lagrangian function of the system state (time, coordinates, and velocities). A motion of the system is described by a path that gives the coordinates for each moment of time. A path is allowed if and only if it satisfies the Lagrange equations. Traditionally, the Lagrange equations are written

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}} - \frac{\partial L}{\partial q} = 0$$

What could this expression possibly mean?

To start answering the question, we start typing the elements involved:

1.  $\partial L / \partial q$  suggests that  $L$  is a function of at least a pair of arguments:

$$L : \mathbb{R}^n \rightarrow \mathbb{R}, n \geq 2$$

This is consistent with the description: “Lagrangian function of the system state (time, coordinates, and velocities)”. So we can take  $n = 3$ :

$$L : \mathbb{R}^3 \rightarrow \mathbb{R}$$

2.  $\partial L / \partial q$  suggests that  $q$  is the name of a real variable, one of the three arguments to  $L$ . In the context, which we do not have, we would expect to find somewhere the definition of the Lagrangian as

$$L(t, q, v) = \dots$$

3. therefore,  $\partial L / \partial q$  should also be a function of a triple of arguments:

$$\partial L / \partial q : \mathbb{R}^3 \rightarrow \mathbb{R}$$

It follows that the equation expresses a relation between *functions*, therefore the 0 on the right-hand side is *not* the real number 0, but rather the constant function 0:

$$\begin{aligned} \text{const } 0 : \mathbb{R}^3 &\rightarrow \mathbb{R} \\ \text{const } 0(t, q, v) &= 0 \end{aligned}$$

4. We now have a problem:  $d/dt$  can only be applied to functions of *one* real argument  $t$ , and the result is a function of one real argument:

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}} : \mathbb{R} \rightarrow \mathbb{R}$$

Since we subtract from this the function  $\partial L / \partial q$ , it follows that this, too, must be of type  $\mathbb{R} \rightarrow \mathbb{R}$ , contradiction.

5. The expression  $\partial L / \partial \dot{q}$  appears to also be malformed. We would expect a variable name where we find  $\dot{q}$ , but  $\dot{q}$  is the same as  $dq/dt$ , a function.
6. Looking back at the description above, we see that the only candidate for an application of  $d/dt$  is “a path that gives the coordinates for each moment of time”. Thus, the path is a function of time, let us say

$$w : \mathbb{R} \rightarrow \mathbb{R}, \text{ where } w(t) \text{ is a coordinate at time } t$$

We can now guess that the use of the plural form “equations” might have something to do with the use of “coordinates”. In an  $n$ -dimensional space, a position is given by  $n$  coordinates. A path would be a function

$$w : \mathbb{R} \rightarrow \mathbb{R}^n$$

which is equivalent to  $n$  functions of type  $\mathbb{R} \rightarrow \mathbb{R}$ . We would then have an equation for each of them. We will use  $n = 1$  for the rest of this example.

7. The Lagrangian is a “function of the system state (time, coordinates, and velocities)”. If we have a path, then the coordinates at any time are given by the path. The velocity is the derivative of the path, also fixed by the path:

$$\begin{aligned} q &: \mathbb{R} \rightarrow \mathbb{R} \\ q \ t &= w \ t \\ \dot{q} &: \mathbb{R} \rightarrow \mathbb{R} \\ \dot{q} \ t &= dw / dt \end{aligned}$$

The equations do not use a function  $L : \mathbb{R}^3 \rightarrow \mathbb{R}$ , but rather

$$L \circ \text{expand } w : \mathbb{R} \rightarrow \mathbb{R}$$

where the “combinator” *expand* is given by

$$\begin{aligned} \text{expand} &: (\mathbb{R} \rightarrow \mathbb{R}) \rightarrow \mathbb{R} \rightarrow \mathbb{R}^3 \\ \text{expand } w \ t &= (t, w \ t, D \ w \ t) \end{aligned}$$

8. Similarly, using  $D_1$ ,  $D_2$ ,  $D_3$  instead of  $\partial L / \partial t$  etc., we have that, instead of  $\partial L / \partial q$  what is meant is

$$D_2 \ L \circ \text{expand } w : \mathbb{R} \rightarrow \mathbb{R}$$

and instead of  $\partial L / \partial \dot{q}$

$$D_3 \ L \circ \text{expand } w : \mathbb{R} \rightarrow \mathbb{R}$$

The equation becomes

$$D \ (D_3 \ L \circ \text{expand } w) - D_2 \ L \circ \text{expand } w = 0$$

a relation between functions of type  $\mathbb{R} \rightarrow \mathbb{R}$ . In particular, the right-hand 0 is the constant function

$$\text{const } 0 : \mathbb{R} \rightarrow \mathbb{R}$$

## 4 Types in Mathematics (Part II)

### 4.1 Type classes

The kind of type inference we presented in the last lecture becomes automatic with experience in a domain, but is very useful in the beginning.

The “trick” of looking for an appropriate combinator with which to pre- or post-compose a function in order to make types match is often useful. It is similar to the casts one does automatically in expressions such as  $4 + 2.5$ .

One way to understand such casts from the point of view of functional programming is via *type classes*. As a reminder, the reason  $4 + 2.5$  works is because floating point values are members of the class *Num*, which includes the member function

*fromInteger* :: *Integer* → *a*

which converts integers to the actual type *a*.

Type classes are related to mathematical structures which, in turn, are related to DSLs. The structuralist point of view in mathematics is that each mathematical domain has its own fundamental structures. Once these have been identified, one tries to push their study as far as possible *on their own terms*, i.e., without introducing other structures. For example, in group theory, one starts by exploring the consequences of just the group structure, before one introduces, say, an order structure and monotonicity.

The type classes of Haskell seem to have been introduced without relation to their mathematical counterparts, perhaps because of pragmatic considerations. For now, we examine the numerical type classes *Num*, *Fractional*, and *Floating*.

```
class (Eq a, Show a) => Num a where
  (+), (-), (*) :: a -> a -> a
  negate      :: a -> a
  |·|, signum  :: a -> a
  fromInteger :: Integer -> a
```

TODO: insert proper citation ?

This is taken from the Haskell documentation<sup>1</sup> but it appears that *Eq* and *Show* are not necessary, because there are meaningful instances of *Num* which don't support them:

```
instance Num a => Num (x -> a) where
  f + g      = λx -> f x + g x
  f - g      = λx -> f x - g x
  f * g      = λx -> f x * g x
  negate f   = negate ∘ f
  |f|        = |·| ∘ f
  signum f   = signum ∘ f
  fromInteger = const ∘ fromInteger
```

Next we have *Fractional* for when we also have division:

```
class Num a => Fractional a where
  (/) :: a -> a -> a
```

---

<sup>1</sup>Fig. 6.2 in section 6.4 of the Haskell 2010 report: <https://www.haskell.org/onlinereport/haskell2010/haskellch6.html>.

```

recip :: a → a
fromRational :: Rational → a

```

and *Floating* when we can implement the “standard” funtions from calculus:

```

class Fractional a ⇒ Floating a where
  π :: a
  exp, log, √ · :: a → a
  (**), logBase :: a → a → a
  sin, cos, tan :: a → a
  asin, acos, atan :: a → a
  sinh, cosh, tanh :: a → a
  asinh, acosh, atanh :: a → a

```

We can instantiate these type classes for functions in the same way we did for *Num*:

```

instance Fractional a ⇒ Fractional (x → a) where
  recip f = recip ∘ f
  fromRational = const ∘ fromRational

```

```

instance Floating a ⇒ Floating (x → a) where
  π = const π
  exp f = exp ∘ f
  f ** g = λx → (f x) ** (g x)
  -- and so on

```

Exercise: complete the instance declarations.

These type classes represent an abstract language of algebraic and standard operations, abstract in the sense that the exact nature of the elements involved is not important from the point of view of the type class, only from that of its implementation.

## 4.2 Computing derivatives

The “little language” of derivatives:

```

D (f + g) = D f + D g
D (f * g) = D f * g + f * D g
D (f ∘ g) x = D f (g x) * D g x -- the chain rule
D (const a) = const 0
D id = const 1
D (n) x = (n − 1) * (x^(n − 1))
D sin x = cos x
D cos x = − (sin x)
D exp x = exp x

```

and so on.

We observe that we can compute derivatives for any expressions made out of arithmetical functions, standard functions, and their compositions. In other words, the computation of derivatives is based on a DSL of expressions (representing functions in one variable):

```

expression ::= const ℝ
              | id

```

```

      | expression + expression
      | expression * expression
      | exp expression
      | ...

```

etc.

We can implement this in a datatype:

```

data FunExp = Const Double
           | Id
           | FunExp :+: FunExp
           | FunExp **: FunExp
           | Exp FunExp
           -- and so on
deriving Show

```

The intended meaning of elements of the *FunExp* type is functions:

```

eval :: FunExp      → Double → Double
eval (Const alpha) = const alpha
eval Id             = id
eval (e1 :+: e2)    = eval e1 + eval e2 -- note the use of “lifted +”
eval (e1 **: e2)    = eval e1 * eval e2 -- “lifted *”
eval (Exp e1)       = exp (eval e1)     -- and “lifted exp”
-- and so on

```

We can implement the derivative of such expressions using the rules of derivatives. We want to implement a function *derive* :: *FunExp* → *FunExp* which makes the following diagram commute:

$$\begin{array}{ccc}
 \text{FunExp} & \xrightarrow{\text{eval}} & \text{Func} \\
 \downarrow \text{derive} & & \downarrow D \\
 \text{FunExp} & \xrightarrow{\text{eval}} & \text{Func}
 \end{array}$$

In other words, for any expression *e*, we want

$$\text{eval} (\text{derive } e) = D (\text{eval } e)$$

For example, let us derive the *derive* function for *Exp e*:

$$\begin{aligned}
 & \text{eval} (\text{derive} (\text{Exp } e)) \\
 = & \text{\{-specification of } \text{derive} \text{ above -}\} \\
 & D (\text{eval} (\text{Exp } e)) \\
 = & \text{\{-def. } \text{eval} \text{ -}\} \\
 & D (\text{exp} (\text{eval } e)) \\
 = & \text{\{-def. } \text{exp} \text{ for functions -}\} \\
 & D (\text{exp} \circ \text{eval } e) \\
 = & \text{\{-chain rule -}\} \\
 & (D \text{ exp} \circ \text{eval } e) * D (\text{eval } e) \\
 = & \text{\{-D rule for } \text{exp} \text{ -}\} \\
 & (\text{exp} \circ \text{eval } e) * D (\text{eval } e)
 \end{aligned}$$

$$\begin{aligned}
&= \{-\text{specification of } \textit{derive} \text{ -}\} \\
&\quad (\textit{exp} \circ \textit{eval} \textit{ e}) * (\textit{eval} (\textit{derive} \textit{ e})) \\
&= \{-\text{def. of } \textit{eval} \text{ for } \textit{Exp} \text{ -}\} \\
&\quad (\textit{eval} (\textit{Exp} \textit{ e})) * (\textit{eval} (\textit{derive} \textit{ e})) \\
&= \{-\text{def. of } \textit{eval} \text{ for } \textit{:}* \text{ -}\} \\
&\quad \textit{eval} (\textit{Exp} \textit{ e} \textit{:}* \textit{derive} \textit{ e})
\end{aligned}$$

Therefore, the specification is fulfilled by taking

$$\textit{derive} (\textit{Exp} \textit{ e}) = \textit{Exp} \textit{ e} \textit{:}* \textit{derive} \textit{ e}$$

Similarly, we obtain

$$\begin{aligned}
\textit{derive} (\textit{Const} \textit{ alpha}) &= \textit{Const} \textit{ 0} \\
\textit{derive} \textit{ Id} &= \textit{Const} \textit{ 1} \\
\textit{derive} (\textit{e1} \textit{:}+ \textit{e2}) &= \textit{derive} \textit{ e1} \textit{:}+ \textit{derive} \textit{ e2} \\
\textit{derive} (\textit{e1} \textit{:}* \textit{e2}) &= (\textit{derive} \textit{ e1} \textit{:}* \textit{e2}) \textit{:}+ (\textit{e1} \textit{:}* \textit{derive} \textit{ e2}) \\
\textit{derive} (\textit{Exp} \textit{ e}) &= \textit{Exp} \textit{ e} \textit{:}* \textit{derive} \textit{ e}
\end{aligned}$$

Exercise: complete the *FunExp* type and the *eval* and *derive* functions.

### 4.3 Shallow embeddings

The DSL of expressions, whose syntax is given by the type *FunExp*, turns out to be almost identical to the DSL defined via type classes in the first part of this lecture. The correspondence between them is given by the *eval* function.

The difference between the two implementations is that the first one separates more cleanly from the semantical one. For example, *:+* *stands for* a function, while *+* *is* that function.

The second approach is called “shallow embedding” or “almost abstract syntax”. It can be more economical, since it needs no *eval*. The question is: can we implement *derive* in the shallow embedding?

Note that the reason the shallow embedding is possible is that the *eval* function is a *fold*: first evaluate the sub-expressions of *e*, then put the evaluations together without reference to the sub-expressions. This is sometimes referred to as “compositionality”.

We check whether the semantics of derivatives is compositional. The evaluation function for derivatives is

$$\begin{aligned}
\textit{eval}' &:: \textit{FunExp} \rightarrow \textit{Double} \rightarrow \textit{Double} \\
\textit{eval}' &= \textit{eval} \circ \textit{derive}
\end{aligned}$$

For example:

$$\begin{aligned}
&\textit{eval}' (\textit{Exp} \textit{ e}) \\
&= \{-\text{def. } \textit{eval}', \text{ function composition -}\} \\
&\quad \textit{eval} (\textit{derive} (\textit{Exp} \textit{ e})) \\
&= \{-\text{def. } \textit{derive} \text{ for } \textit{Exp} \text{ -}\} \\
&\quad \textit{eval} (\textit{Exp} \textit{ e} \textit{:}* \textit{derive} \textit{ e}) \\
&= \{-\text{def. } \textit{eval} \text{ for } \textit{:}* \text{ -}\}
\end{aligned}$$

$$\begin{aligned}
& \text{eval } (\text{Exp } e) :: \text{eval } (\text{derive } e) \\
= & \{-\text{def. eval for Exp -}\} \\
& \text{exp } (\text{eval } e) * \text{eval } (\text{derive } e) \\
= & \{-\text{def. eval' -}\} \\
& \text{exp } (\text{eval } e) * \text{eval' } e
\end{aligned}$$

and the first  $e$  doesn't go away. The semantics of derivatives is not compositional.

Or rather, *this* semantics is not compositional. It is quite clear that the derivatives cannot be evaluated without, at the same time, being able to evaluate the functions. So we can try to do both evaluations simultaneously:

$$\begin{aligned}
\text{evalD} &:: \text{FunExp} \rightarrow (\text{Double} \rightarrow \text{Double}, \text{Double} \rightarrow \text{Double}) \\
\text{evalD } e &= (\text{eval } e, \text{eval' } e)
\end{aligned}$$

Is *evalD* compositional?

We compute, for example:

$$\begin{aligned}
& \text{evalD } (\text{Exp } e) \\
= & \{-\text{specification of evalD -}\} \\
& (\text{eval } (\text{Exp } e), \text{eval' } (\text{Exp } e)) \\
= & \{-\text{def. eval for Exp and reusing the computation above -}\} \\
& (\text{exp } (\text{eval } e), \text{exp } (\text{eval } e) * \text{eval' } e) \\
= & \{-\text{introduce names for subexpressions -}\} \\
& \text{let } f = \text{eval } e \\
& \quad f' = \text{eval' } e \\
& \text{in } (\text{exp } f, \text{exp } f * f') \\
= & \{-\text{def. evalD -}\} \\
& \text{let } (f, f') = \text{evalD } e \\
& \text{in } (\text{exp } f, \text{exp } f * f')
\end{aligned}$$

This semantics *is* compositional. We can now define a shallow embedding for the computation of derivatives, using the numerical type classes.

```

instance Num a => Num (a -> a, a -> a) where
  (f, f') + (g, g') = (f + g, f' + g')
  (f, f') * (g, g') = (f * g, f' * g + f * g')
  fromInteger n = (fromInteger n, const 0)

```

Exercise: implement the rest

## 5 Week 4

```

{-# LANGUAGE FlexibleInstances, GeneralizedNewtypeDeriving #-}
module DSLsofMath.W04 where
import Prelude hiding (Monoid)

```



## 5.1 A simpler example of a non-compositional function

Consider a very simple datatype of integer expressions:

```
data E = Add E E | Mul E E | Con Integer deriving Eq
e1, e2 :: E
e1 = Add (Con 1) (Mul (Con 2) (Con 3))
e2 = Mul (Add (Con 1) (Con 2)) (Con 3)
```

When working with expressions it is often useful to have a “pretty-printer” to convert the abstract syntax trees to strings like “1+2\*3”.

```
pretty :: E → String
```

We can view *pretty* as an alternative *eval* function for a semantics using *String* as the semantic domain instead of the more natural *Integer*. We can implement *pretty* in the usual way as a “fold” over the syntax tree using one “semantic constructor” for each syntact constructor:

```
pretty (Add x y) = prettyAdd (pretty x) (pretty y)
pretty (Mul x y) = prettyMul (pretty x) (pretty y)
pretty (Con c)   = prettyCon c
prettyAdd :: String → String → String
prettyMul :: String → String → String
prettyCon :: Integer → String
```

Now, if we try to implement the semantic constructors without thinking too much we would get the following:

```
prettyAdd sx sy = sx ++ "+" ++ sy
prettyMul sx sy = sx ++ "*" ++ sy
prettyCon i     = show i

p1, p2 :: String
p1 = pretty e1
p2 = pretty e2

trouble :: Bool
trouble = p1 == p2
```

Note that both *e1* and *e2* are different but they pretty-print to the same string. There are many ways to fix this, some more “pretty” than others, but the main problem is that some information is lost in the translation.

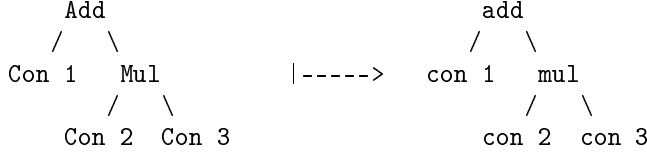
TODO(perhaps): Explain using two pretty printers: for a sum of terms, for a product of factors, ... then combine them with the tupling transform just as with *evalD*.

Exercise: Another way to make this example go through is to refine the semantic domain from *String* to *Precedence* → *String*. (This can be seen as another variant of the result after the tupling transform: if *Precedence* is an *n*-element type then *Precedence* → *String* can be seen as an *n*-tuple.)

## 5.2 Compositional semantics in general

In general, for a syntax *Syn*, and a possible semantics (a type *Sem* and an *eval* function of type *Syn* → *Sem*), we call the semantics *compositional* if we can implement *eval* as a fold. Informally a “fold” is a recursive function which replaces each abstract syntax constructor *Ci* of *Syn* with a “semantic constructor” *ci*.

TODO: Picture to illustrate



As an example we can define a general *foldE* for the integer expressions:

```

foldE :: (t -> t -> t) -> (t -> t -> t) -> (Integer -> t) ->
      E -> t
foldE add mul con = rec
  where rec (Add x y) = add (rec x) (rec y)
        rec (Mul x y) = mul (rec x) (rec y)
        rec (Con i)   = con i

```

Notice that *foldE* has three function arguments corresponding to the three constructors of *E*. The “natural” evaluator to integers is then easy:

```

evalE1 :: E -> Integer
evalE1 = foldE (+) (*) id

```

and with a minimal modification we can also make it work for other numeric types:

```

evalE2 :: Num a => E -> a
evalE2 = foldE (+) (*) fromInteger

```

Another thing worth noting is that if we replace each abstract syntax constructor with itself we get the identity function (a “deep copy”):

```

idE :: E -> E
idE = foldE Add Mul Con

```

Finally, it is often useful to capture the semantic functions (the parameters to the fold) in a type class:

```

class IntExp t where
  add :: t -> t -> t
  mul :: t -> t -> t
  con :: Integer -> t

```

In this way we can make “hide” the arguments to the fold:

```

foldIE :: IntExp t => E -> t
foldIE = foldE add mul con

instance IntExp E where
  add = Add
  mul = Mul
  con = Con

instance IntExp Integer where
  add = (+)
  mul = (*)
  con = id

idE' :: E -> E
idE' = foldIE

evalE' :: E -> Integer
evalE' = foldIE

```

### 5.3 Back to derivatives and evaluation

Review section 4.3 again with the definition of *eval'* being non-compositional (just like *pretty*) and *evalD* a more complex, but compositional, semantics.

## 6 Algebraic Structures and DSLs

In this lecture, we continue exploring the relationship between type classes, mathematical structures, and DSLs.

### 6.1 Algebras, homomorphisms

From Wikipedia:

In universal algebra, an algebra (or algebraic structure) is a set  $A$  together with a collection of operations on  $A$ .

Example:

```
class Monoid a where
  unit :: a
  op    :: a -> a -> a
```

After the operations have been specified, the nature of the algebra can be further limited by axioms, which in universal algebra often take the form of identities, or *equational laws*.

Example: Monoid equations

$$\begin{aligned} \forall x : a. & \text{ (unit 'op' } x == x \wedge x \text{ 'op' unit} == x) \\ \forall x, y, z : a. & \text{ (x 'op' (y 'op' z)) == (x 'op' y) 'op' z) } \end{aligned}$$

A homomorphism between two algebras  $A$  and  $B$  is a function  $h : A \rightarrow B$  from the set  $A$  to the set  $B$  such that, for every operation  $fA$  of  $A$  and corresponding  $fB$  of  $B$  (of arity, say,  $n$ ),  $h(fA(x_1, \dots, x_n)) = fB(h(x_1), \dots, h(x_n))$ .

Example: Monoid homomorphism

$$\begin{aligned} h \text{ unit} &= \text{unit} \\ h(x \text{ 'op' } y) &= h x \text{ 'op' } h y \end{aligned}$$

```
newtype ANat    = A Int deriving (Show, Num, Eq)
instance Monoid ANat where
  unit      = A 0
  op (A m) (A n) = A (m + n)
newtype MNat    = M Int deriving (Show, Num, Eq)
instance Monoid MNat where
  unit      = M 1
  op (M m) (M n) = M (m * n)
```

Exercise: characterise the homomorphisms from *ANat* to *MNat*.

Solution:

Let  $h : ANat \rightarrow MNat$  be a homomorphism. Then

$$\begin{aligned} h\ 0 &= 1 \\ h\ (x + y) &= h\ x * h\ y \end{aligned}$$

For example  $h\ (x + x) = h\ x * h\ x = (h\ x)^2$  which for  $x = 1$  means that  $h\ 2 = (h\ 1)^2$ .

More generally, every  $n$  in  $ANat$  is equal to the sum of  $n$  ones:  $1 + 1 + \dots + 1$ . Therefore

$$h\ n = (h\ 1)^n$$

Every choice of  $h\ 1$  “induces a homomorphism”. This means that the value of the function  $h$  is fully determined by its value for 1.

## 6.2 Homomorphism and compositional semantics

Last time, we saw that *eval* is compositional, while *eval'* is not. Another way of phrasing that is to say that *eval* is a homomorphism, while *eval'* is not. To see this, we need to make explicit the structure of *FunExp*:

```
instance Num FunExp where
  (+)      = (:+ :)
  (*)      = (:* :)
  fromInteger = Const o fromInteger
instance Fractional FunExp where
instance Floating FunExp where
  exp      = Exp
```

and so on.

Exercise: complete the type instances for *FunExp*.

For instance, we have

$$\begin{aligned} eval\ (e1\ \texttt{:*}\ e2) &= eval\ e1 * eval\ e2 \\ eval\ (Exp\ e) &= exp\ (eval\ e) \end{aligned}$$

These properties do not hold for *eval'*, but do hold for *evalD*.

The numerical classes in Haskell do not fully do justice to the structure of expressions, for example, they do not contain an identity operation, which is needed to translate *Id*, nor an embedding of doubles, etc. If they did, then we could have evaluated expressions more abstractly:

$$eval :: GoodClass\ a \Rightarrow FunExp \rightarrow a$$

where *GoodClass* gives exactly the structure we need for the translation.

Exercise: define *GoodClass* and instantiate *FunExp* and  $Double \rightarrow Double$  as instances of it. Find another instance of *GoodClass*.

Therefore, we can always define a homomorphism from *FunExp* to *any* instance of *GoodClass*, in an essentially unique way. In the language of category theory, *FunExp* is an initial algebra.

Let us explore this in the simpler context of *Monoid*. The language of monoids is given by

```
type Var    = String
```

**data**  $MExpr = Unit \mid Op \ MExpr \ MExpr \mid V \ Var$

Alternatively, we could have parametrised  $MExpr$  over the type of variables.

Just as in the case of FOL terms, we can evaluate an  $MExpr$  in a monoid instance if we are given a way of interpreting variables, also called an assignment:

$evalM :: Monoid \ a \Rightarrow (Var \rightarrow a) \rightarrow (MExpr \rightarrow a)$

Once given an  $f :: Var \rightarrow a$ , the homomorphism condition defines  $evalM$ :

$evalM \ f \ Unit = unit$   
 $evalM \ f \ (Op \ e1 \ e2) = op \ (evalM \ f \ e1) \ (evalM \ f \ e2)$   
 $evalM \ f \ (V \ x) = f \ x$

(Observation: In *FunExp*, the role of variables was played by *Double*, and the role of the assignment by the identity.)

The following correspondence summarises the discussion so far:

Computer Science	Mathematics
DSL	structure (category, algebra, ...)
deep embedding, abstract syntax	initial algebra
shallow embedding	any other algebra
semantics	homomorphism from the initial algebra

The underlying theory of this table is a fascinating topic but mostly out of scope for the DSLsof-Math course. See Category Theory and Functional Programming for a whole course around this.

### 6.3 Other homomorphisms

Last time, we defined a *Num* instance for functions with a *Num* codomain. If we have an element of the domain of such a function, we can use it to obtain a homomorphism from functions to their codomains:

$Num \ a \Rightarrow x \rightarrow (x \rightarrow a) \rightarrow a$

As suggested by the type, the homomorphism is just function application:

$apply :: a \rightarrow (a \rightarrow b) \rightarrow b$   
 $apply \ a = \lambda f \rightarrow f \ a$

Indeed, writing  $h = apply \ c$  for some fixed  $c$ , we have

$h \ (f + g)$   
 $= \{-def. \ apply \ -\}$   
 $(f + g) \ c$   
 $= \{-def. \ + \text{ for functions } -\}$   
 $f \ c + g \ c$   
 $= \{-def. \ apply \ -\}$   
 $h \ f + h \ g$

etc.

Can we do something similar for  $FD$ ?

The elements of  $FD$  are pairs of functions, so we can take

$$\begin{aligned} apply &:: a \rightarrow FD\ a \rightarrow (a, a) \\ apply\ c &\quad (f, f') = (f\ c, f'\ c) \end{aligned}$$

We now have the domain of the homomorphism  $(FD\ a)$  and the homomorphism itself  $(apply\ c)$ , but we are missing the structure on the codomain, which now consists of pairs  $(a, a)$ . In fact, we can *compute* this structure from the homomorphism condition. For example:

$$\begin{aligned} &h\ ((f, f') * (g, g')) \\ = &\{-\text{def. } * \text{ for } FD\ a\ -\} \\ &h\ (f * g, f' * g + f * g') \\ = &\{-\text{def. } h = apply\ c\ -\} \\ &((f * g)\ c, (f' * g + f * g')\ c) \\ = &\{-\text{def. } * \text{ and } + \text{ for functions } -\} \\ &(f\ c * g\ c, f'\ c * g\ c + f\ c * g'\ c) \\ = &\{-\text{homomorphism condition from step 1 } -\} \\ &h\ (f, f') * h\ (g, g') \\ = &\{-\text{def. } h = apply\ c\ -\} \\ &(f\ c, f'\ c) * (g\ c, g'\ c) \end{aligned}$$

The identity will hold if we take

$$(x, x') * (y, y') = (x * y, x' * y + x * y')$$

Exercise: complete the instance declarations for  $(Double, Double)$ .

## 7 Signals and Shapes

Shallow and deep embeddings of a DSL

TODO: textify DSL/

## 8 Some helper functions

```
instance Num E where    -- Some abuse of notation
  (+) = Add
  (*) = Mul
  fromInteger = Con
```

## References

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