

# A Continuous Life-years Gained Priority Score for Ventilator Allocation

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## 1 Theory

## 2 Simulation using CDC data



# Theory

# Military triage- save as many soldiers as possible

In military triage situations, a utilitarian framework is employed to save the greatest number of wounded soldiers in a mass casualty event

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- 3 Rank order patients who will die without critical care by  $P(ICU\text{Survival})$  (Red > Yellow)
- 4 Treat as many patients as possible in order of  $P(ICU\text{Survival})$



# Problems with military triage approach in the COVID-19 Pandemic

## Three patients with COVID-19



28 year old female

- SOFA: 30% survival



80 year old male

- SOFA: 75% survival



60 year old male

- SOFA: 50% survival

*Who gets the one remaining ventilator?*

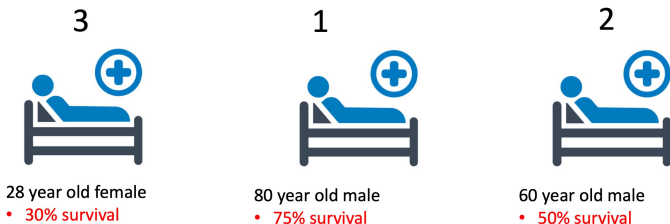
# New York ventilator allocation policy

| Step 2 – Mortality Risk Assessment Using SOFA <sup>1</sup>   |  |
|--|--|
| Color Code and Level of Access   | Assessment of Mortality Risk/<br>Organ Failure   |
| <p>Blue</p> <p>No ventilator provided.<br/>Use alternative forms of medical intervention and/or<br/>palliative care or discharge.</p> <p>Reassess if ventilators become available.</p> | <p>Exclusion criterion</p> <p>OR</p> <p>SOFA &gt; 11</p>   |
| <p>Red</p> <p>Highest</p> <p>Use ventilators as available</p>  | <p>SOFA &lt; 7</p> <p>OR</p> <p>Single organ failure<sup>2</sup></p>                             |
| <p>Yellow</p> <p>Intermediate</p> <p>Use ventilators as available</p>  | <p>SOFA 8 – 11</p>   |
| <p>Green</p> <p>Use alternative forms of medical intervention or<br/>defer or discharge.</p> <p>Reassess as needed.</p>  | <p>No significant organ failure</p> <p>AND/OR</p> <p>No requirement for lifesaving resources</p> |

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## Priority rankings under NY triage system



Goes against “youngest first” allocation principles and does not maximize life-years saved

# Multiprinciple approach

*Table 3. Illustration of a Multiprinciple Strategy to Allocate Ventilators During a Public Health Emergency*

| Principle                        | Specification   | Point System*  |   |   |  |
|----------------------------------|---|--|---|---|--|
|                                  |   | 1  | 2   | 3   | 4  |
| Save the most lives              | Prognosis for short-term survival (SOFA score)  | SOFA score <6  | SOFA score, 6–9   | SOFA score, 10–12   | SOFA score >12   |
| Save the most life-years         | Prognosis for long-term survival (medical assessment of comorbid conditions)                | No comorbid conditions that limit long-term survival | Minor comorbid conditions with small impact on long-term survival | Major comorbid conditions with substantial impact on long-term survival | Severe comorbid conditions; death likely within 1 year |
| Life-cycle principl <sup>†</sup> | Prioritize those who have had the least chance to live through life's stages (age in years) | Age 12–40 y  | Age 41–60 y   | Age 61–74 y   | Age ≥75 y  |

SOFA = Sequential Organ Failure Assessment.

\* Persons with the lowest cumulative score would be given the highest priority to receive mechanical ventilation and critical care services.

† Pediatric patients may need to be considered separately, because their small size may require the use of different mechanical ventilators and personnel.

White

et al, Ann Internal Medicine, 2009 **What justification for relative weight of each category? Why categorical?**

# Maximizing life-years gained

An alternative **utilitarian** approach is to maximize life-years gained

Priority Score that maximizes life-years gained

$$PriorityScore = P(ICUSurvival) * (100 - age)$$

## Example: Maximizing life-years

### Life-years gained allocation



28 year old female

- SOFA: 30% survival
- $100 - 28 = 72$  years of life left
- **22 life-years gained with vent**

1



80 year old male

- SOFA: 75% survival
- $100 - 80 = 20$  years of life left
- **15 life-years gained with vent**

2

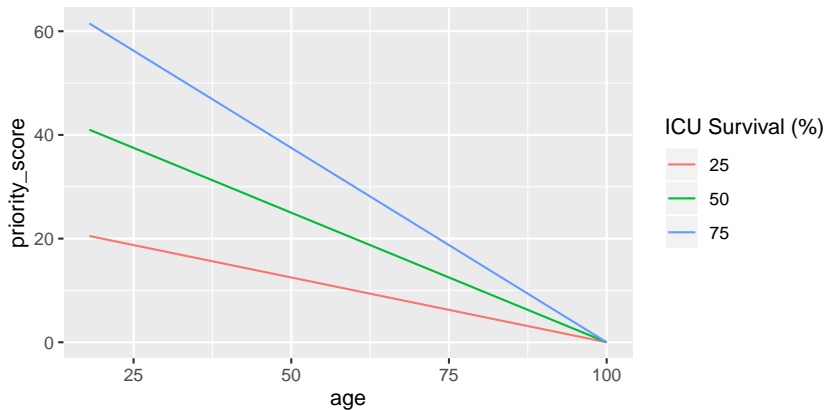


60 year old male

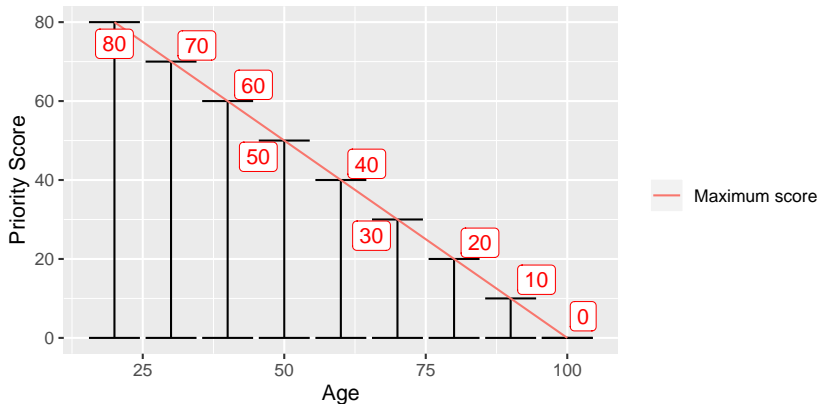
- SOFA: 50% survival
- $100 - 60 = 40$  years of life left
- **20 life-years gained with vent**

3

# Priority Score vs. Patient Age, by Probability of ICU Survival



## Range of possible priority scores by patient age

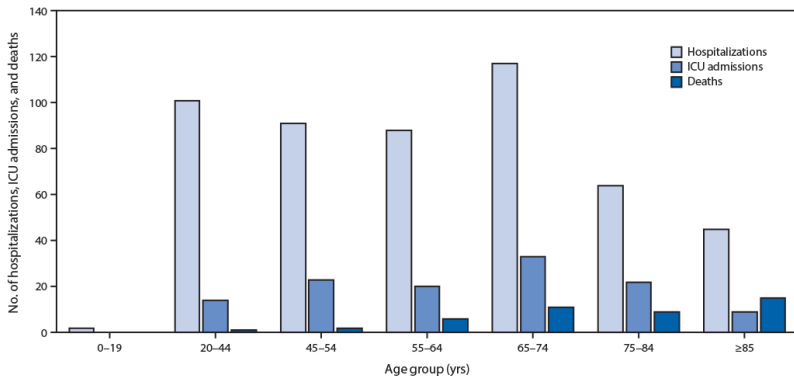




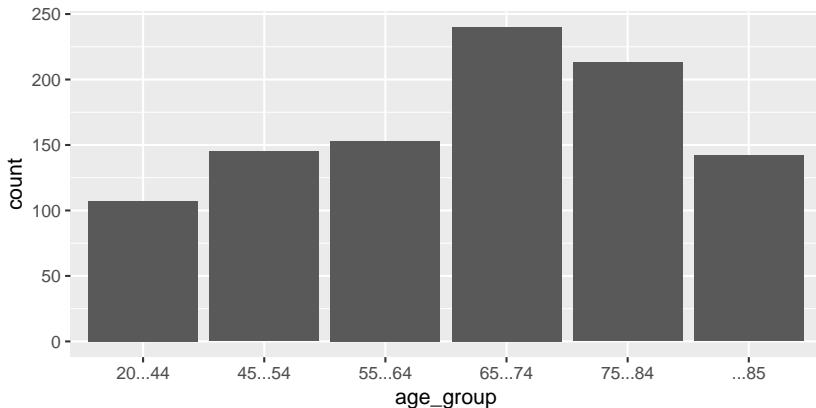
## Simulation using CDC data

## Data sources

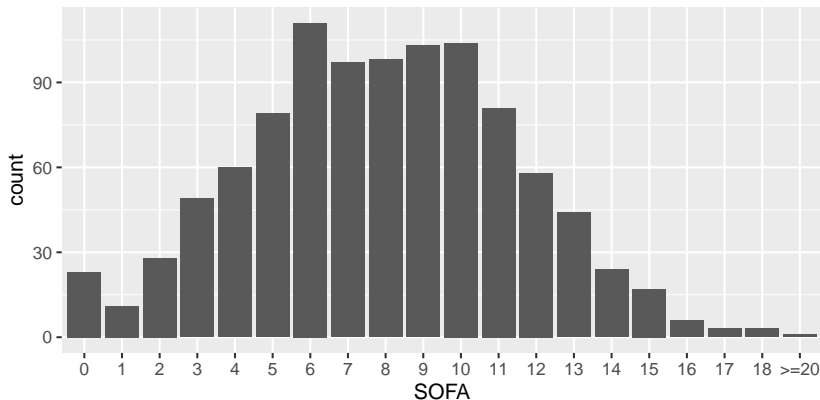
We took data from the CDC report Severe Outcomes Among Patients with Coronavirus Disease 2019 — United States, February 12–March 16, 2020



# Simulated ICU population from CDC data distribution



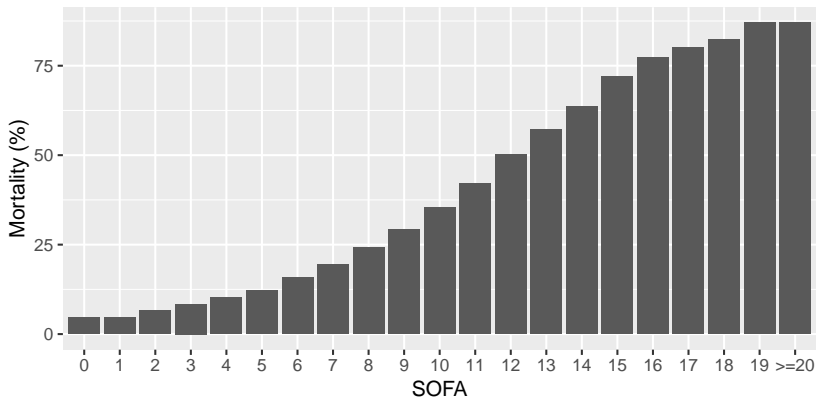
## Simulated SOFA score distribution



Currently drawn from  $f(SOFA|age) = N(8 + \frac{age-65}{30}, 3.5)$ , need to replace with a distribution estimated from real data.

## Calibration of the SOFA score

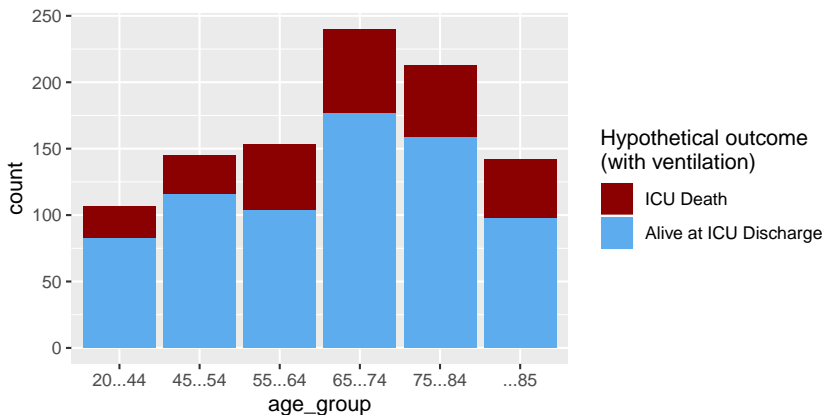
The Sequential Organ Failure Assessment (SOFA) score is a validated bedside predictor of ICU mortality. The calibration of SOFA scores is drawn from *Raith et al. JAMA, 2017*



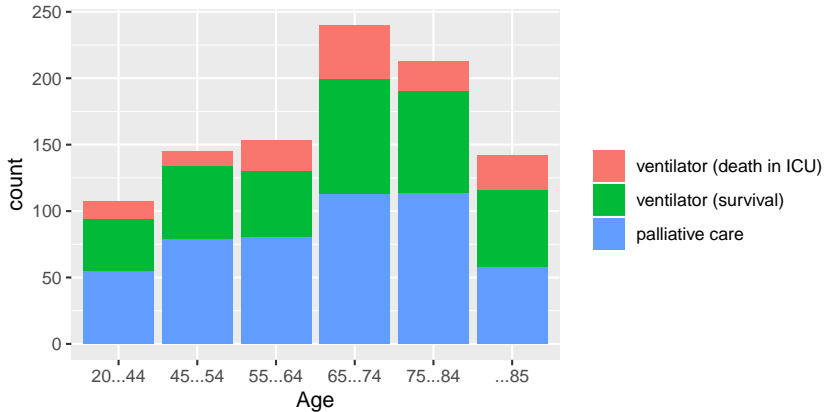
## SOFA Score by Age

| Age   | Mean SOFA | Survival with Ventilator |
|-------|-----------|--------------------------|
| 20–44 | 6.9       | 77%                      |
| 45–54 | 7.0       | 76%                      |
| 55–64 | 8.2       | 71%                      |
| 65–74 | 7.7       | 73%                      |
| 75–84 | 8.5       | 70%                      |
| 85    | 8.7       | 68%                      |

# Simulated Hypotehtical Outcomes by Age

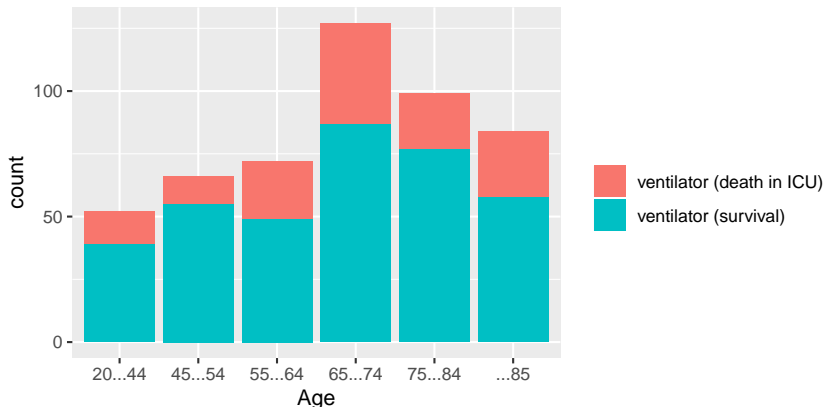


# Lottery allocation



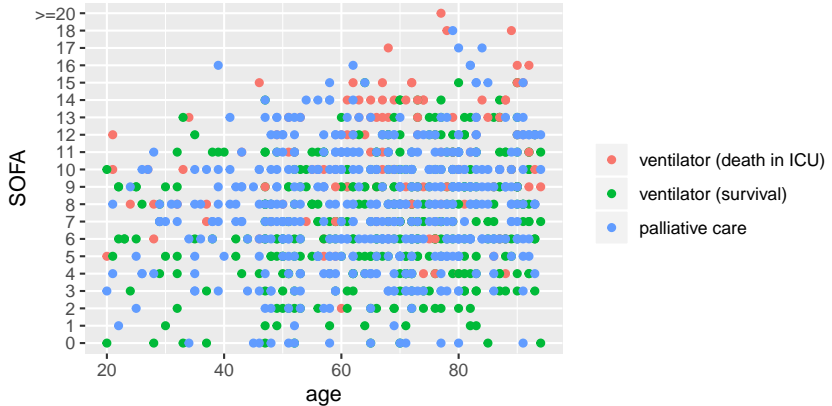


## lottery allocation - ICU outcomes

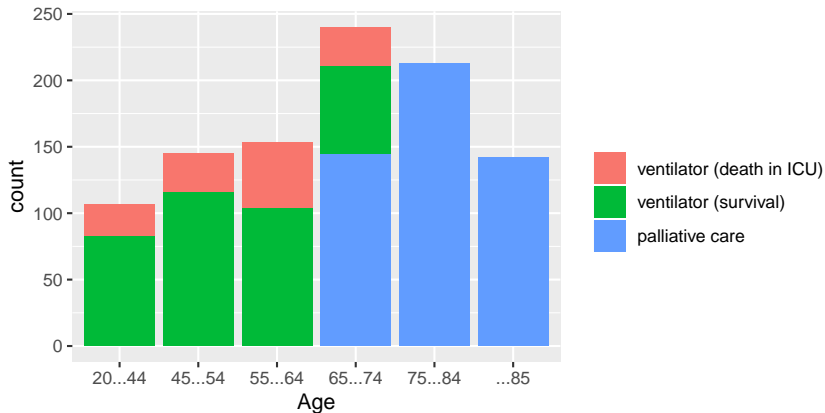


A random allocation of 500 ventilators would save 365 out of 1000 who were in need of mechanical ventilation. A lottery saves 12,268 (36%) out of a total of possible 33,927 life years.

# Lottery- age vs. SOFA



## Youngest first allocation

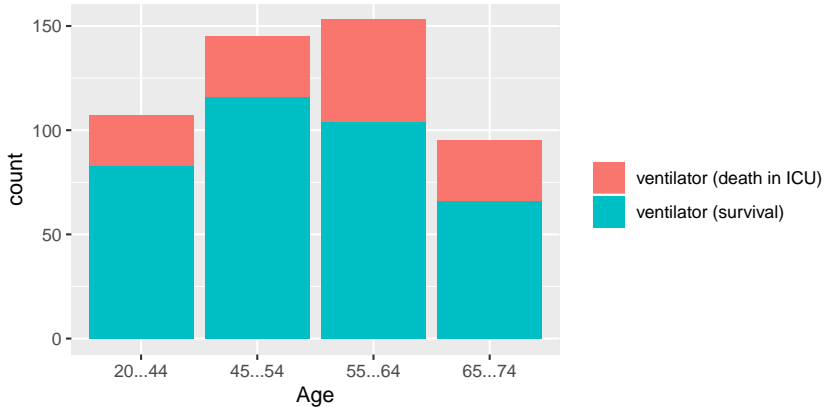


Youngest first allocation 500 ventilators would save 369 out of 1000 who were in need of mechanical ventilation. Youngest first saves 17.846 (53%) out of a total of possible 33.927 life years.

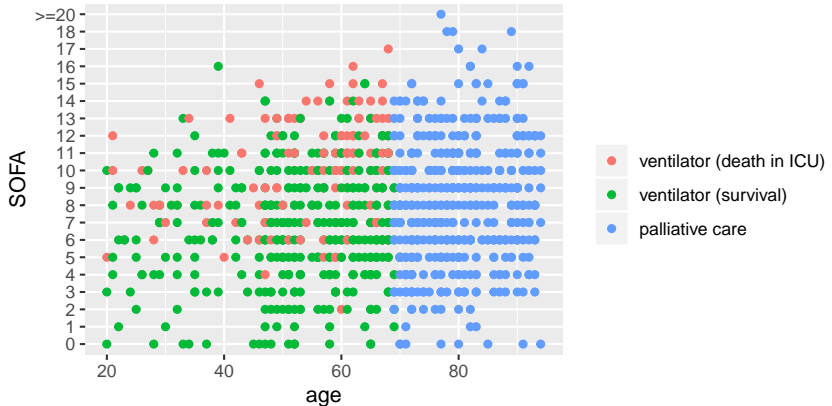
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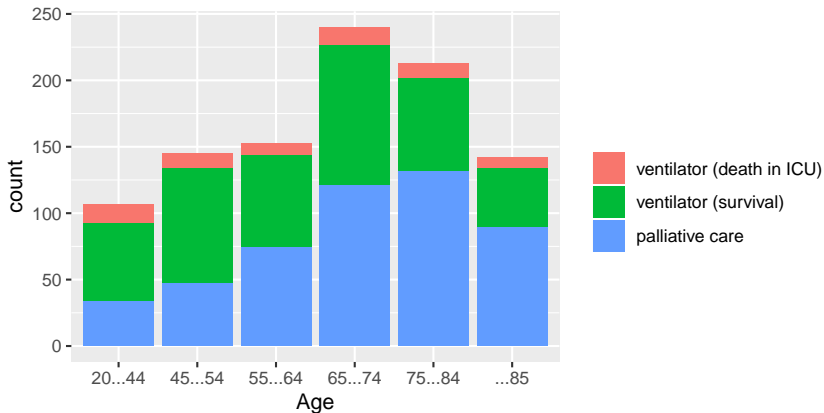
## Youngest first - ICU allocation



# Youngest first allocation- age vs. SOFA



## Maximizing ICU survival

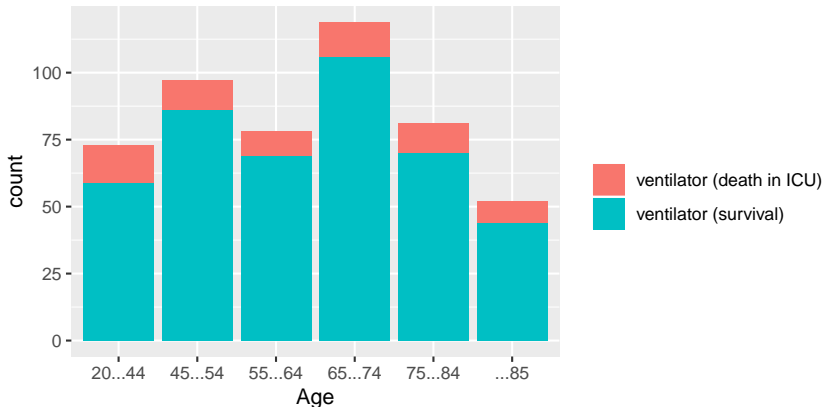


<<<<<<< HEAD A  $P(ICU\ survival)$  triage system of 500 ventilators would save 434 out of 1000 patients in need. Max ICU survival saves 16.295 out of a total of possible 33.927 (48%)

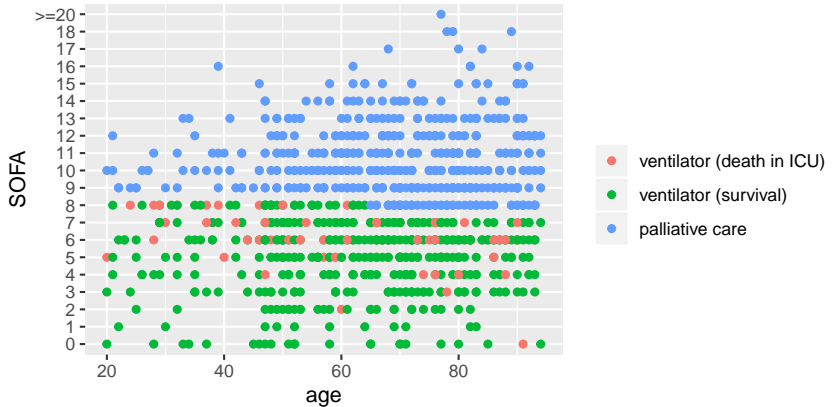
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# Maximizing ICU survival- ICU Outcomes

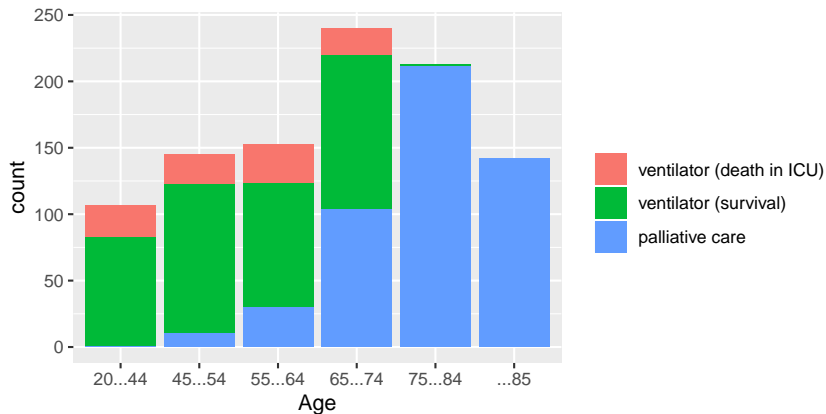


# Max ICU survival- age vs. SOFA





## Maximizing Life-years gained

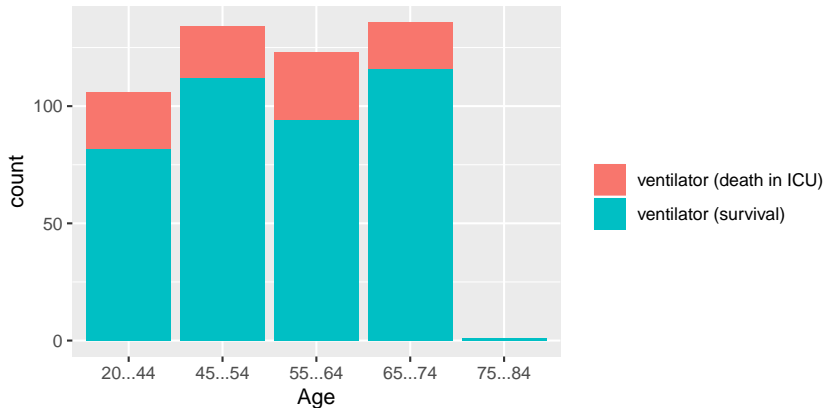


Prioritizing life-years for 500 ventilators would save 405 out of 1000 patients admitted to the ICU. Maximizing life-years gained saves 18,601 out of a total of possible 33,927 (55%) life-years.

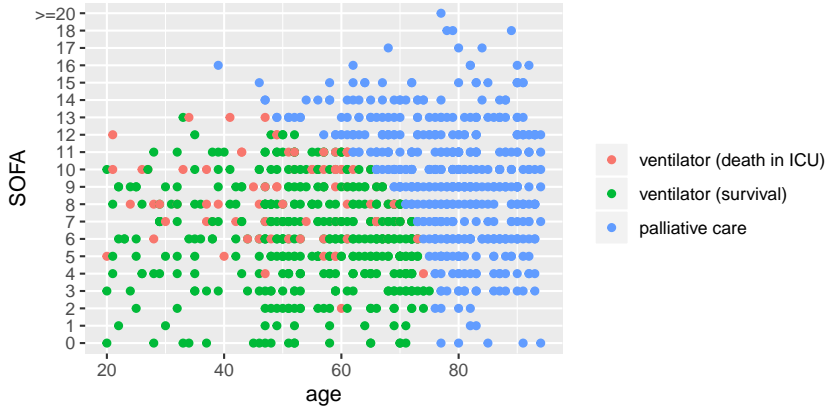
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# Max Life Years- ICU Outcomes by Age



# Max life years- age vs. SOFA



# Maximizing life-years vs. ICU survival

Prioritizing young sick patients over old healthy patients leads to more ICU deaths in exchange for more life-years gained.

## The Tradeoff

Prioritizing life-years gained over ICU survival saves an additional 2,306 life-years for this 1000 patient sample, at a cost of 29 more deaths in the ICU.