

# Replacing SHA-2 with SHA-3 Enhances Generic Security of HMAC

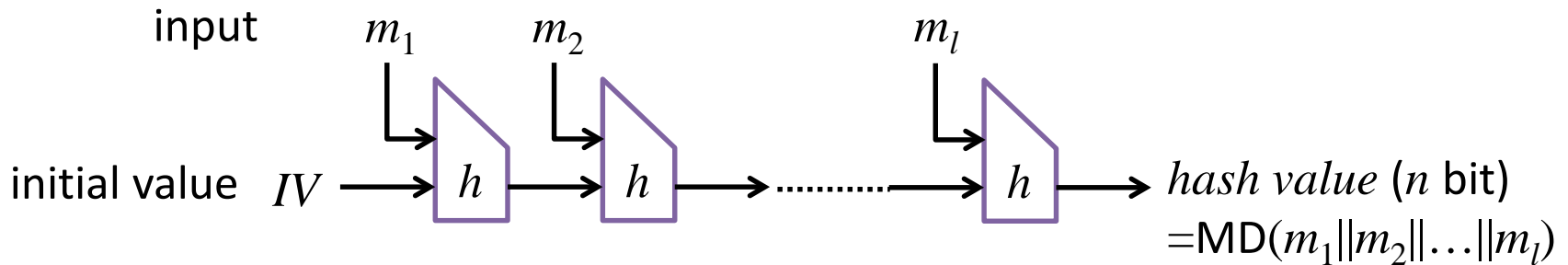
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Shanghai Jiao Tong University

- FIPS 180-4
- Inputs: arbitrary length
- Outputs: 224 bit, 256 bit, 384 bit, 512 bit
- Use Merkle-Damgard (MD) construction
  - Iterates a compression function  $h$

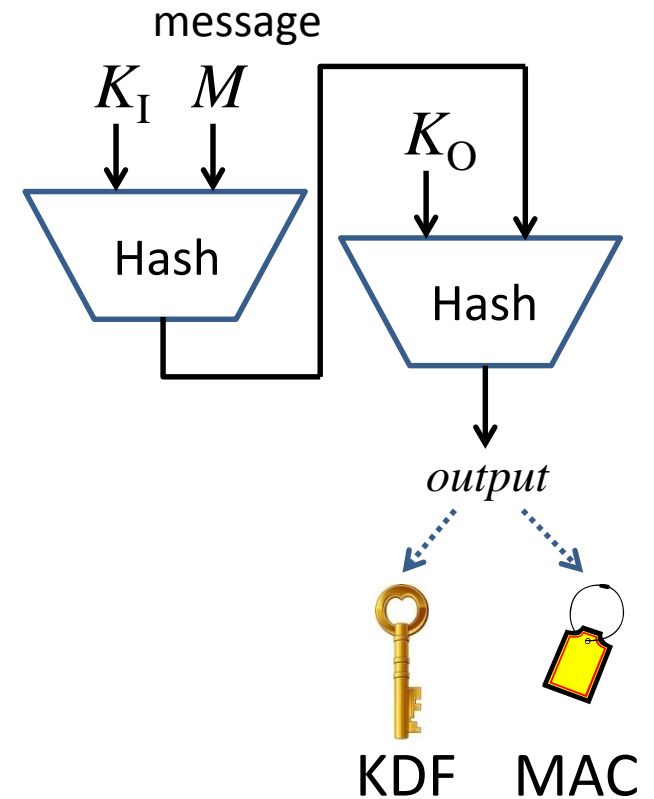


- Hash functions with MD are vulnerable to the length extension attack
- HMAC was designed to convert the hash function with MD into a secure keyed hash function

- FIPS standard keyed hash (FIPS 198-1)
- Call a hash function two times
- Used as
  - Key derivation function (KDF)
  - Message authentication code (MAC)
- Widely used in e.g.,
  - SSL, SSH, IPsec, TLS, IKE, etc

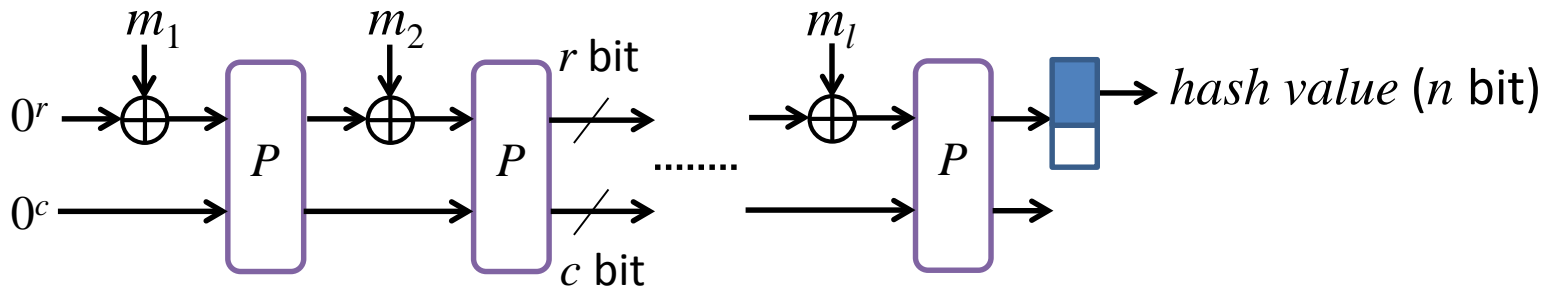
Secret key

$$K_I = K \oplus \text{ipad} \quad K_O = K \oplus \text{opad}$$



# SHA-3

- Standardized at FIPS 202 (Aug. 2015)
- Same interface as SHA-2
  - Inputs: arbitrary length
  - Outputs: 224-bit, 256-bit, 384-bit, 512-bit
- Use the sponge construction
  - Iterate a permutation  $P: \{0,1\}^{r+c} \rightarrow \{0,1\}^{r+c}$



## ■ FIPS 202

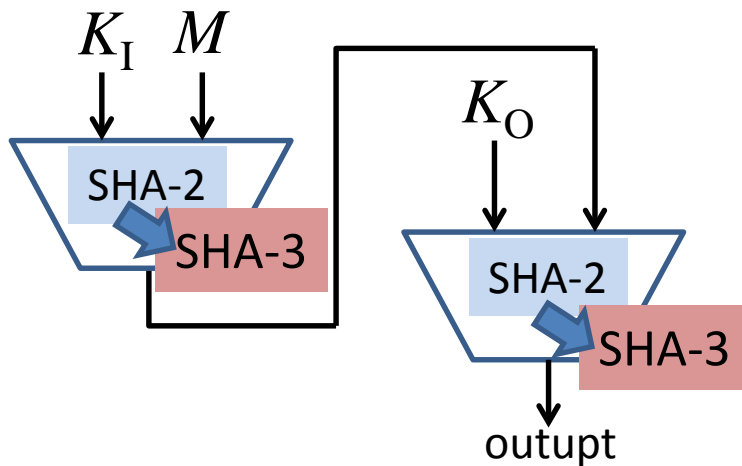
page 22

SHA3-224, SHA3-256, SHA3-384, and SHA3-512 are approved cryptographic hash functions. One of the approved uses of cryptographic hash functions occurs within the Keyed-Hash Message Authentication Code (HMAC). The input block size in bytes, denoted by  $B$  in the HMAC specification [10], is given in Table 3 below for the SHA-3 hash functions<sup>5</sup>:

page 24

The four SHA-3 hash functions are alternatives to the SHA-2 functions, and they are designed to

## ■ SHA-2 may be replaced with SHA-3 in HMAC



# Question

## Is there an advantage of replacing SHA-2 with SHA-3 in HMAC?

### Security

- PRF-security
- MAC-security (Unforgeability)

### Generic Security

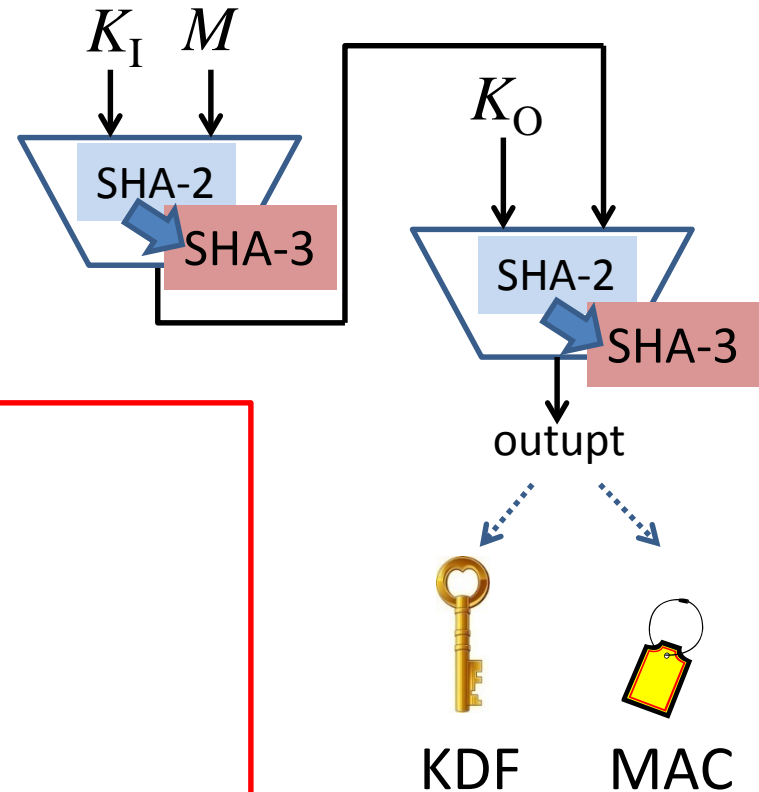
Assume that the underlying primitive has no structural flaw, i.e.,

- SHA-2 Case

➡ HMAC-MD using random oracle  $h$

- SHA-3 Case

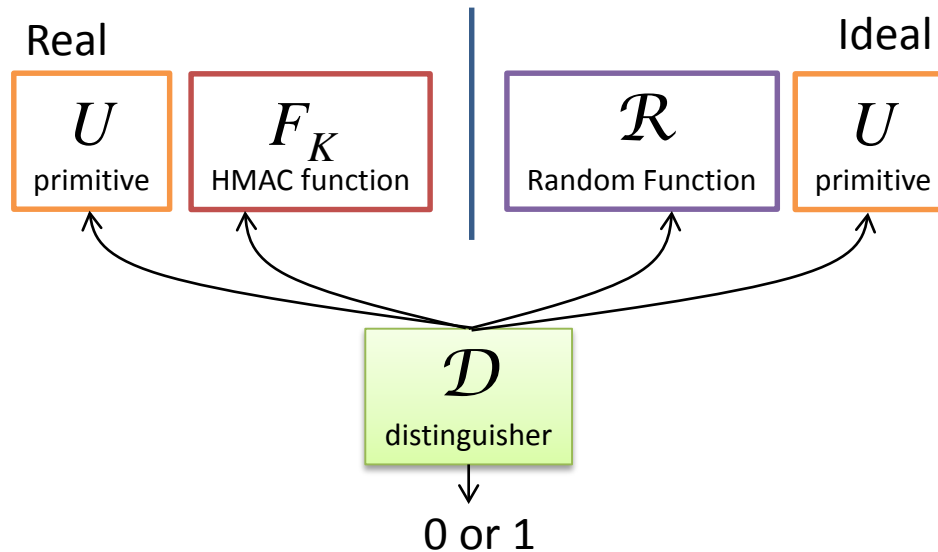
➡ HMAC-Sponge using random permutation  $P$



# Our Result

- Security of HMAC-MD (using random oracle  $h$ )
  - Proven in previous works
- Security of HMAC-Sponge (using random permutation  $P$ )
  - Not proven
- This paper
  - ✓ Prove the PRF- and MAC-security of HMAC-Sponge
  - ✓ Compare HMAC-Sponge with HMAC-MD in terms of the PRF- and MAC-security
  - ✓ Conclude that replacing SHA-2 with SHA-3 enhances the generic security of HMAC

# PRF-Security

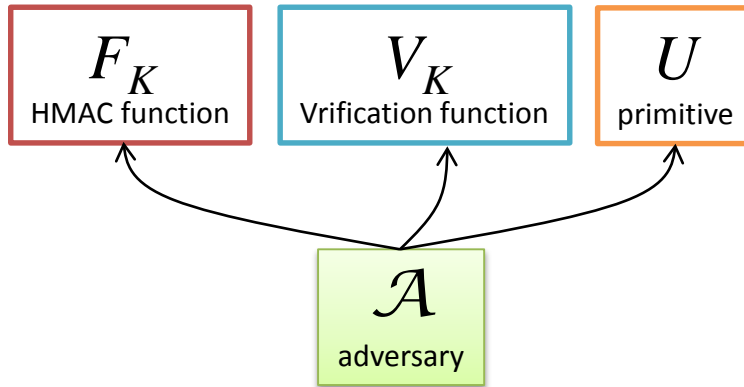


- Indistinguishability between the real world and the ideal world
- A distinguisher  $\mathcal{D}$  interacts with
  - $(F_K, U)$  in the real world
  - $(\mathcal{R}, U)$  in the ideal world
- The advantage function is defined as

$$\text{Adv}_{\text{HMAC}}^{\text{PRF}}(\mathcal{D}) := \Pr[\mathcal{D}=1 \text{ in the real world}] \\
 - \Pr[\mathcal{D}=1 \text{ in the ideal world}]$$



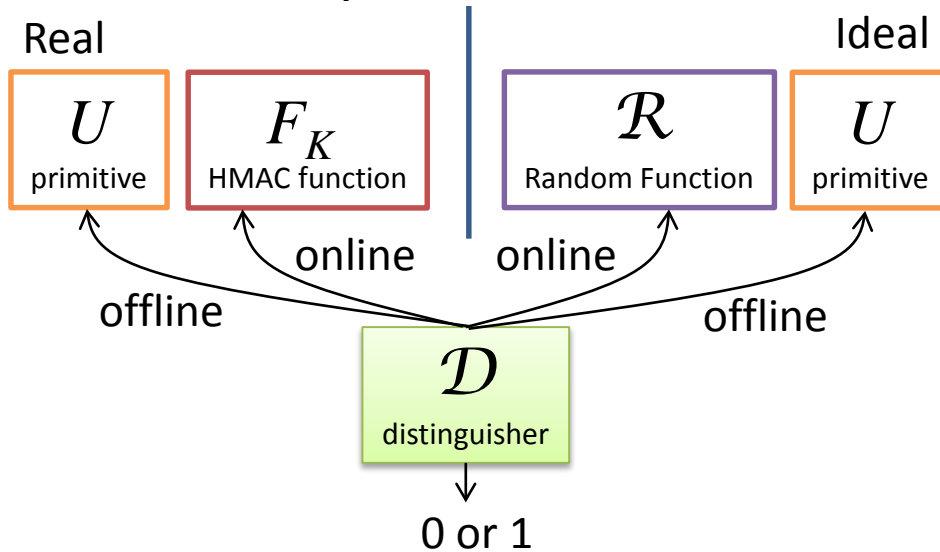
# MAC-Security



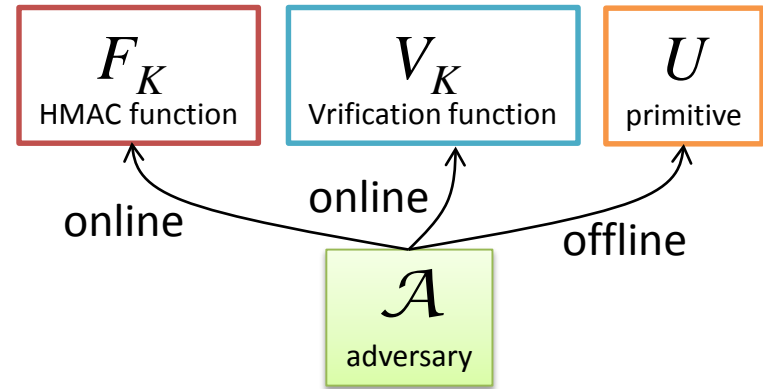
- An adversary  $\mathcal{A}$  can interacts with  $(F_K, V_K, U)$
- Verification function  $V_K$ 
  - accept a pair  $(M, tag)$
  - check the equality  $F_K(M) = tag$
  - return **accept** if the equality holds, and return **reject** otherwise
- $\mathcal{A}$  cannot make a trivial query  $(M, tag)$  to  $V_K$ ,  
that is,  $M$  has not been queried to  $F_K$
- The advantage function is defined as
$$\text{Adv}_{\text{HMAC}}^{\text{mac}}(\mathcal{A}) := \Pr[\mathcal{A} \text{ makes a query to } V_K \text{ s.t. } \mathbf{accept} \text{ is returned}]$$

# Security Parameters

## PRF-Security



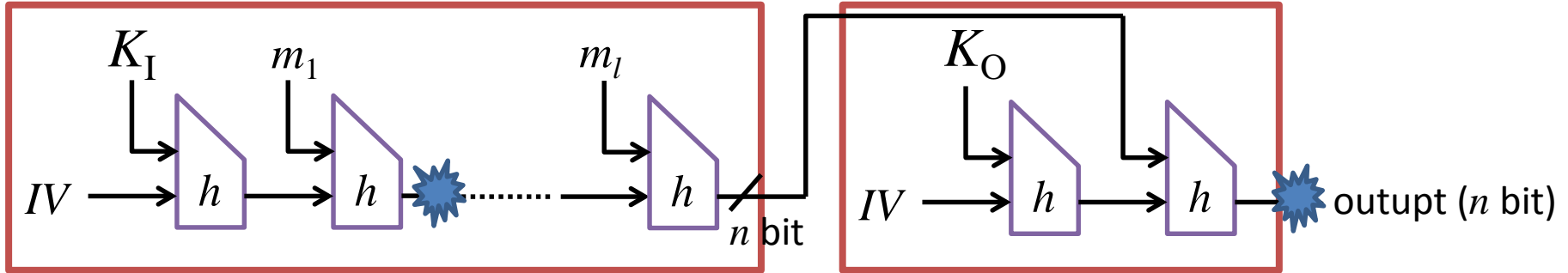
## MAC-Security



## Security Parameters

- $n$ : hash size
- $Q$ : number of offline queries (primitive queries)
- $q$ : number of online queries (construction queries)
- $\ell$ : maximum input length in blocks to HMAC

## HMAC-MD



■ The following bounds were proven.

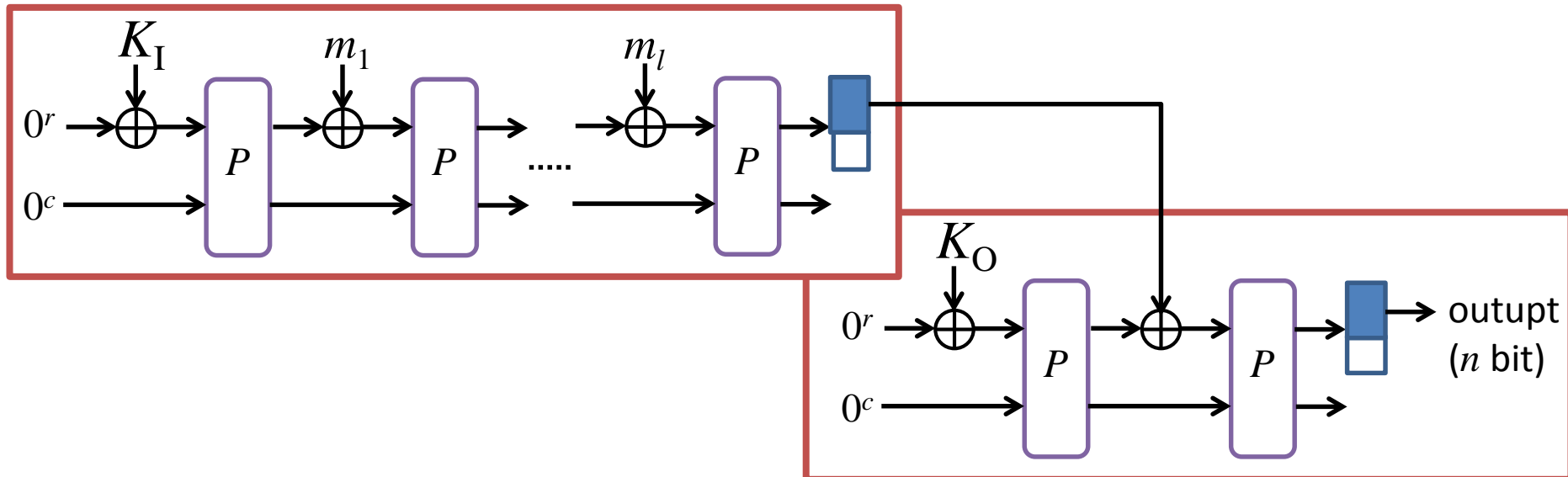
- $\forall \mathcal{D}: \text{Adv}_{\text{HMAC-MD}}^{\text{PRF}}(\mathcal{D}) \leq O(\ell q^2/2^n)$
- $\forall \mathcal{A}: \text{Adv}_{\text{HMAC-MD}}^{\text{mac}}(\mathcal{A}) \leq O(\ell q^2/2^n)$

$$\ell \times \underbrace{q^2/2^n}_{\text{Collision in } n\text{-bit internal states}}$$

■ HMAC-MD is PRF- and MAC-secure up to  $q = O(2^{n/2}/\ell^{1/2})$

# PRF- and MAC-Security of HMAC-Sponge

## ■ HMAC-Sponge



## ■ We prove that

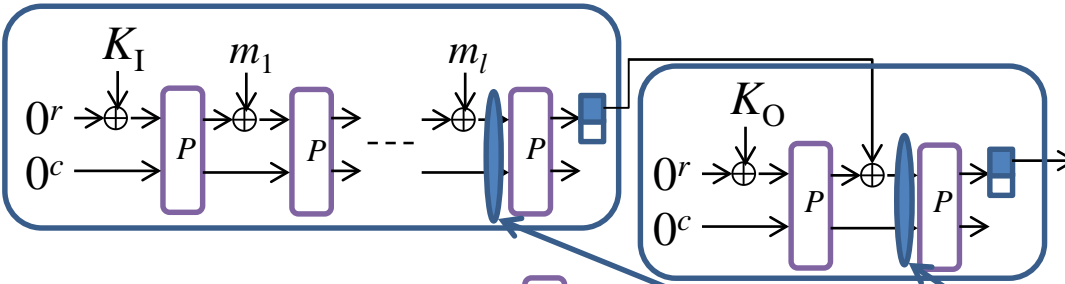
$$\bullet \forall \mathcal{D}: \text{Adv}_{\text{HMAC-Sponge}}^{\text{PRF}}(\mathcal{D}) \leq O(q^2/2^n + (\ell q)^2/2^{r+c} + \ell q Q/2^{r+c})$$

$$\bullet \forall \mathcal{A}: \text{Adv}_{\text{HMAC-Sponge}}^{\text{mac}}(\mathcal{A}) \leq O(nq/2^n + (\ell q)^2/2^{r+c} + \ell q Q/2^{r+c})$$

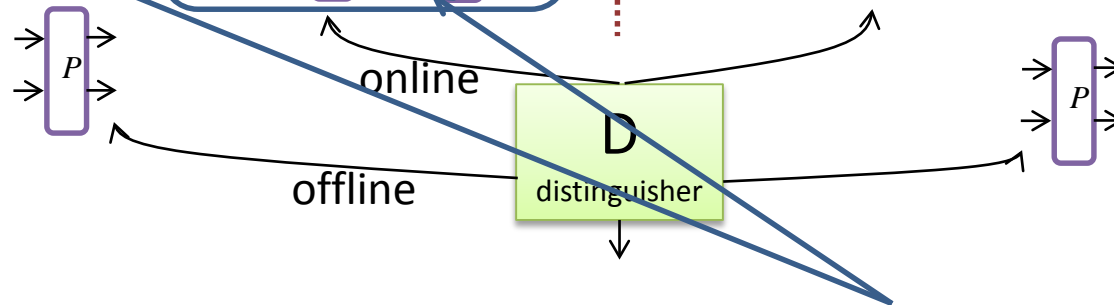
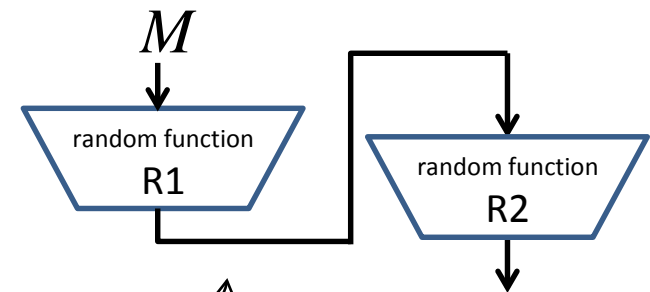
# Step 1: Ind. of HMAC-Sponge from HMAC-RF

## Step 1

### HMAC-Sponge



### HMAC-RF




- The outputs of Sponge in HMAC are randomly drawn if the inputs are new
- If the inputs are not new then one of the following events occurs
  - Collision in inputs to  $P$  in HMAC-Sponge :  $O((\ell q)^2/2^{r+c})$
  - Collision in inputs to  $P$  between online and offline queries:  $O(\ell q Q/2^{r+c})$
- Indistinguishable prob.:  $O((\ell q)^2/2^{r+c} + \ell q Q/2^{r+c})$

We can analyze the security of HMAC-Sponge by using HMAC-RF with the security loss  $O((\ell q)^2/2^{r+c} + \ell q Q/2^{r+c})$


# Step 2: The PRF- and MAC- Security of HMAC-RF

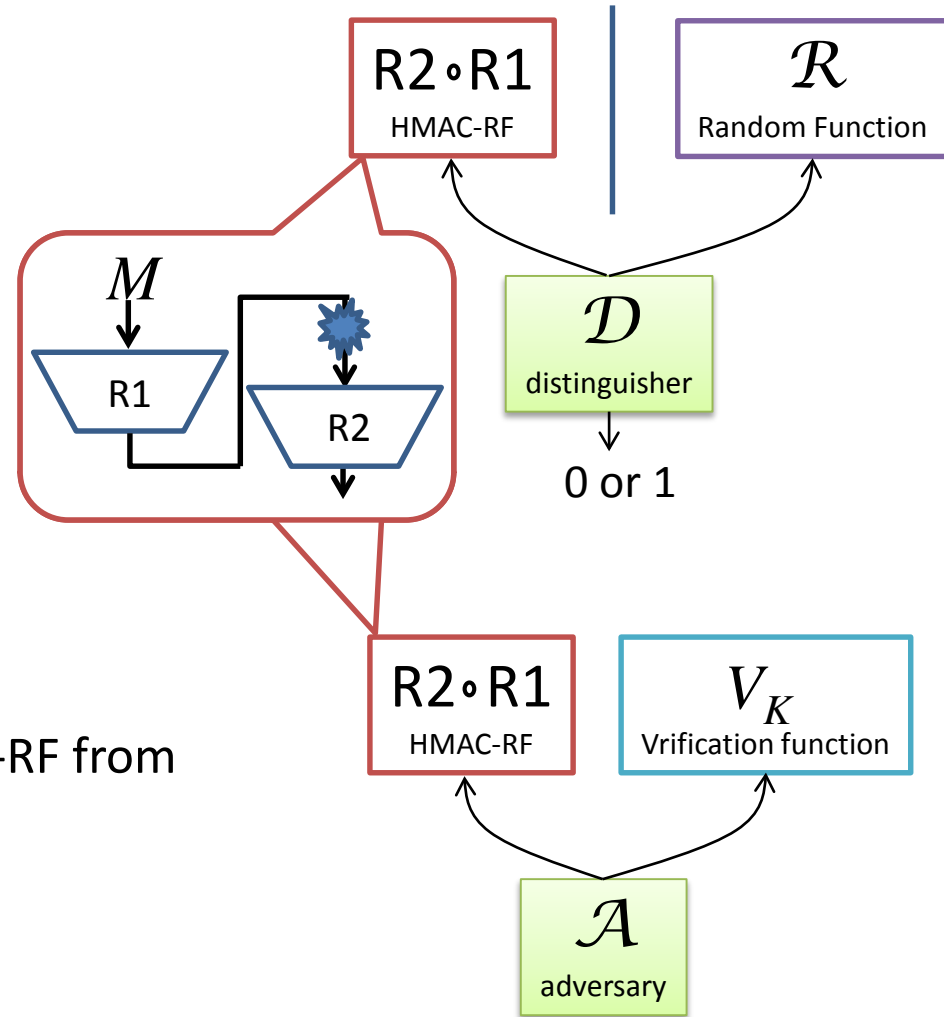
## Step 2

### PRF-Security of HMAC-RF

- If no collision occurs in  then all outputs of HMAC-RF are randomly drawn
- $\text{PRF-adv} \leq \text{collision prob. } O(q^2/2^n)$

### MAC-Security of HMAC-RF

- By an  $n$ -multi-collision analysis in   $\mathcal{A}$  needs to guess an output of HMAC-RF from at least  $2^n/n$  output candidates
- $\text{MAC-adv} \leq O(q \times n/2^n)$



## Step 3: Combining Step 1 and Step 2

### Step 3

#### PRF-Security of HMAC-Sponge

In SHA-3,  $2^n \ll 2^{r+c}$

$$\blacksquare \forall \mathcal{D}: \text{Adv}_{\text{HMAC-Sponge}}^{\text{PRF}}(\mathcal{D}) \leq \underbrace{O((\ell q)^2/2^{r+c} + \ell q Q/2^{r+c})}_{\text{from Step 1}} + \underbrace{q^2/2^n}_{\text{from Step 2}} = O(q^2/2^n)$$

➡ HMAC-Sponge is PRF-secure up to  $q = O(2^{n/2})$

#### MAC-Security of HMAC-Sponge

In SHA-3,  $2^n \ll 2^{r+c}$

$$\blacksquare \forall \mathcal{D}: \text{Adv}_{\text{HMAC-Sponge}}^{\text{MAC}}(\mathcal{D}) \leq \underbrace{O((\ell q)^2/2^{r+c} + \ell q Q/2^{r+c})}_{\text{from Step 1}} + \underbrace{nq/2^n}_{\text{from Step 2}} = O(nq/2^n)$$

➡ HMAC-Sponge is MAC-secure up to  $q = O(2^n/n)$

# Conclusion

- HMAC-MD is PRF- and MAC-secure up to  $q = O(2^{n/2}/\ell^{1/2})$
- HMAC-Sponge is
  - PRF-secure up to  $q = O(2^{n/2})$
  - MAC-secure up to  $q = O(2^n/n)$
- HMAC-SHA-2 vs. HMAC-SHA-3

## PRF-Security

Size n	HMAC-SHA-2 $O(\ell q^2/2^n)$	HMAC-SHA-3 $O(q^2/2^n)$
224	$\min\{2^{112}, 2^{128}/\ell^{1/2}\}$	$2^{112}$
256	$2^{128}/\ell^{1/2}$	$2^{128}$
384	$\min\{2^{192}, 2^{128}/\ell^{1/2}\}$	$2^{192}$
512	$2^{256}/\ell^{1/2}$	$2^{256}$

## MAC-Security (Unforgeability)

Size n	HMAC-SHA-2 $O(\ell q^2/2^n)$	HMAC-SHA-3 $O(nq/2^n)$
224	$\min\{2^{112}, 2^{128}/\ell^{1/2}\}$	$2^{216.192\dots}$
256	$2^{128}/\ell^{1/2}$	$2^{248}$
384	$\min\{2^{192}, 2^{128}/\ell^{1/2}\}$	$2^{375.415\dots}$
512	$2^{256}/\ell^{1/2}$	$2^{503}$

**Replacing SHA-2 with SHA-3 enhances generic security of HMAC!**



Thank You!

# Constrained PRFs for Unbounded Inputs

**Hamza Abusalah**, Georg Fuchsbauer, and Krzysztof Pietrzak



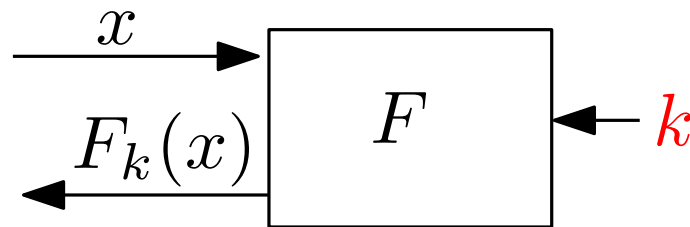
RSA Conference, 2016

# Outline

1. Constrained Pseudorandom Functions (CPRFs)
2. Identity-Based Non-interactive Key Exchange
3. Unbounded-Input CPRFs

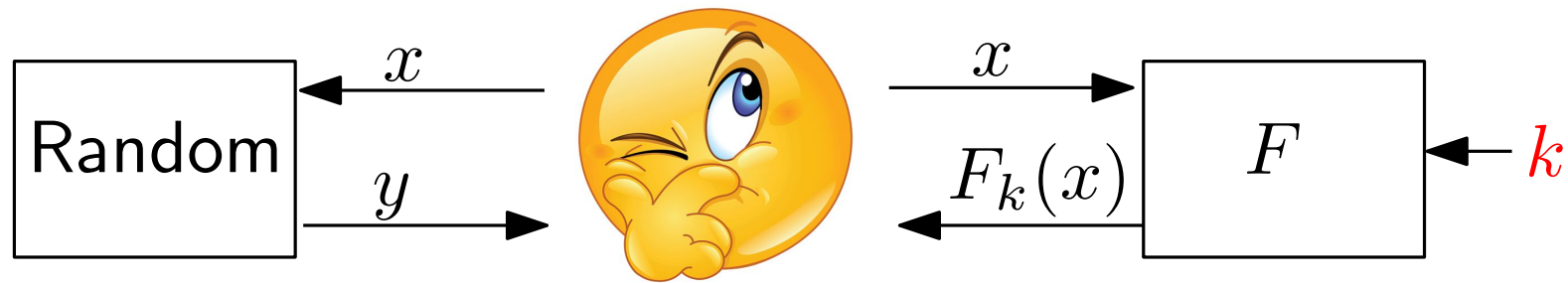
# Pseudorandom Functions (PRFs)

[GGM86]



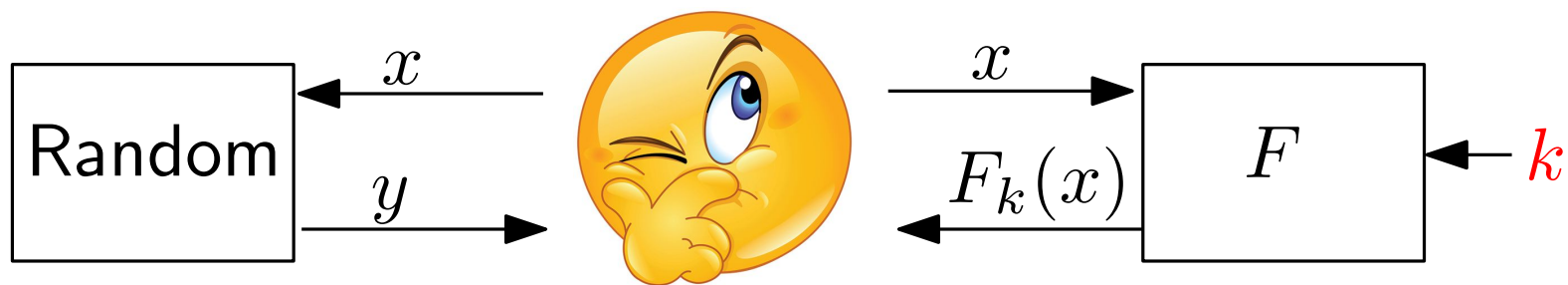
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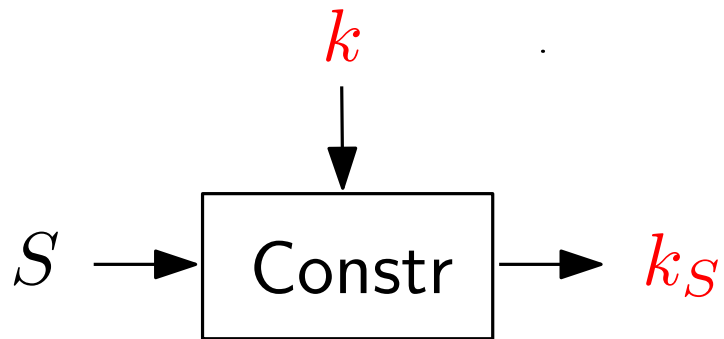
[GGM86]



Unbounded-input PRFs [Goldreich04]: supports  $x \in \{0, 1\}^*$

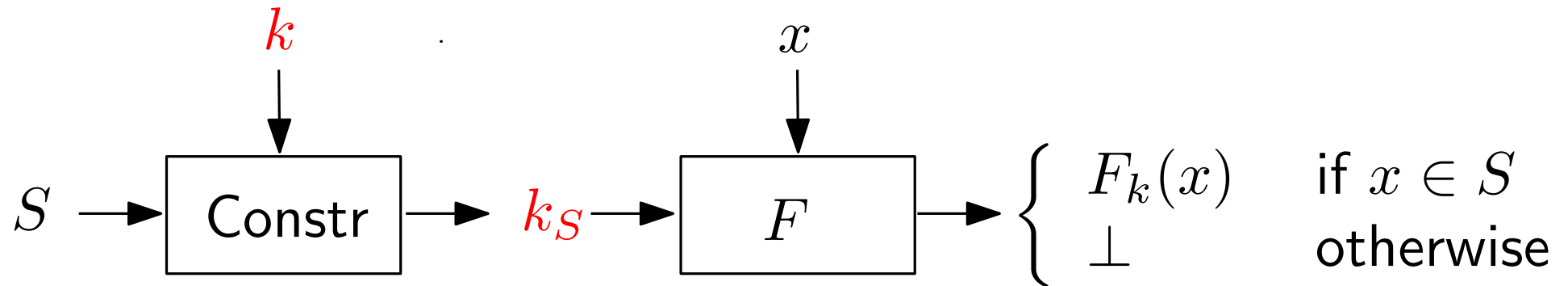
# Constrained Pseudorandom Functions (CPRFs)

[BW13],[KPTZ13],[BGI14]



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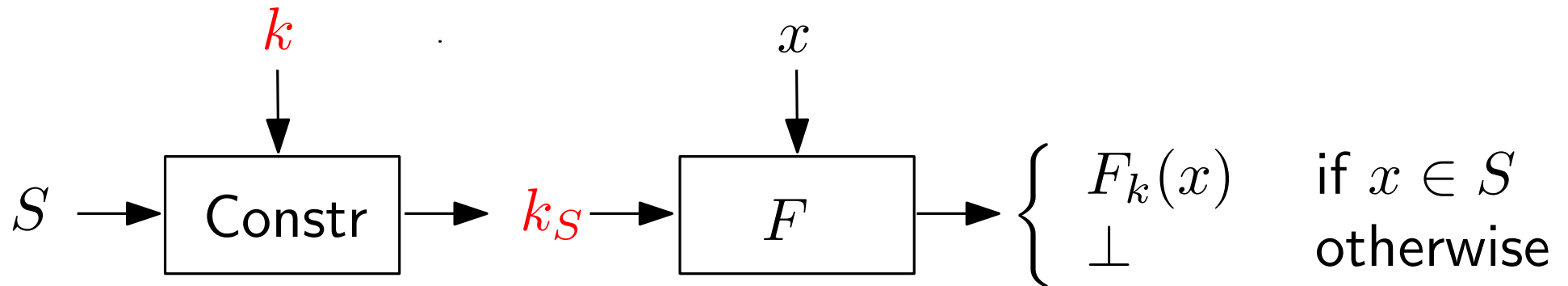
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# Constrained Pseudorandom Functions (CPRFs)

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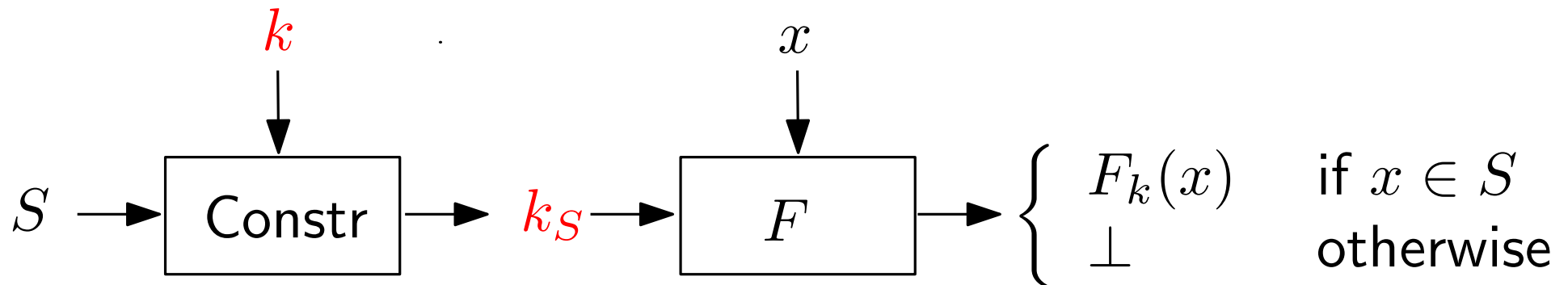


- Polynomial  $S$ : Any PRF  $F$  is a CPRF

$$S = \{x_1, \dots, x_p\}, \quad k_S = \{F_k(x_1), \dots, F_k(x_p)\}$$

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$$S = \{x_1, \dots, x_p\}, \quad k_S = \{F_k(x_1), \dots, F_k(x_p)\}$$

- Superpolynomial  $S$  with short description?

# Different Flavors of CPRFs

1. Puncturable [SW14].  $S : x'$

$$k_S \Rightarrow F_k(x) \text{ if } x \neq x'$$

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5. **This work:** Turing Machine (TM).  $S : \text{a TM } M$

$$k_S \Rightarrow F_k(x) \text{ if } M(x) = 1$$

Accepts unbounded inputs  $x \in \{0, 1\}^*$

# Identity-Based Non-interactive Key Exchange

a@mail



b@mail



c@mail



d@mail

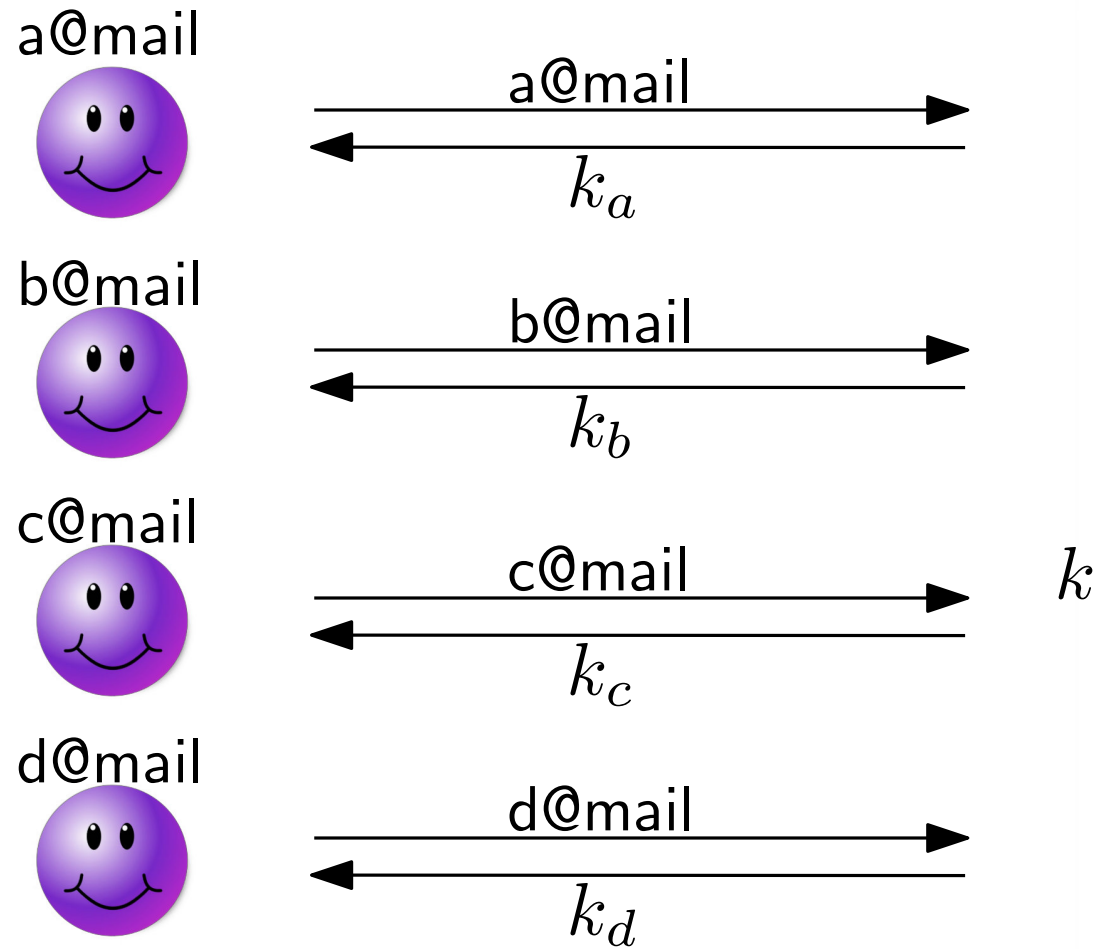


$k$

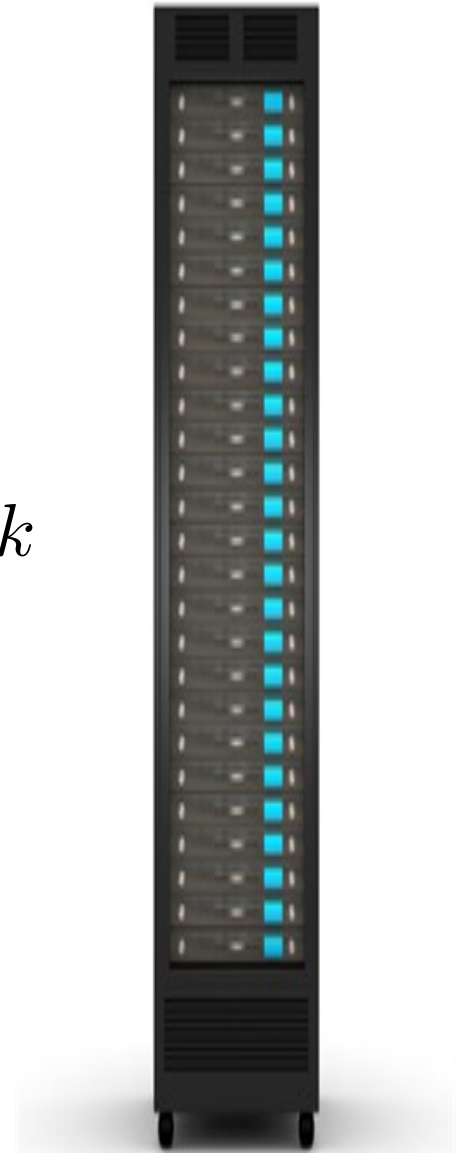
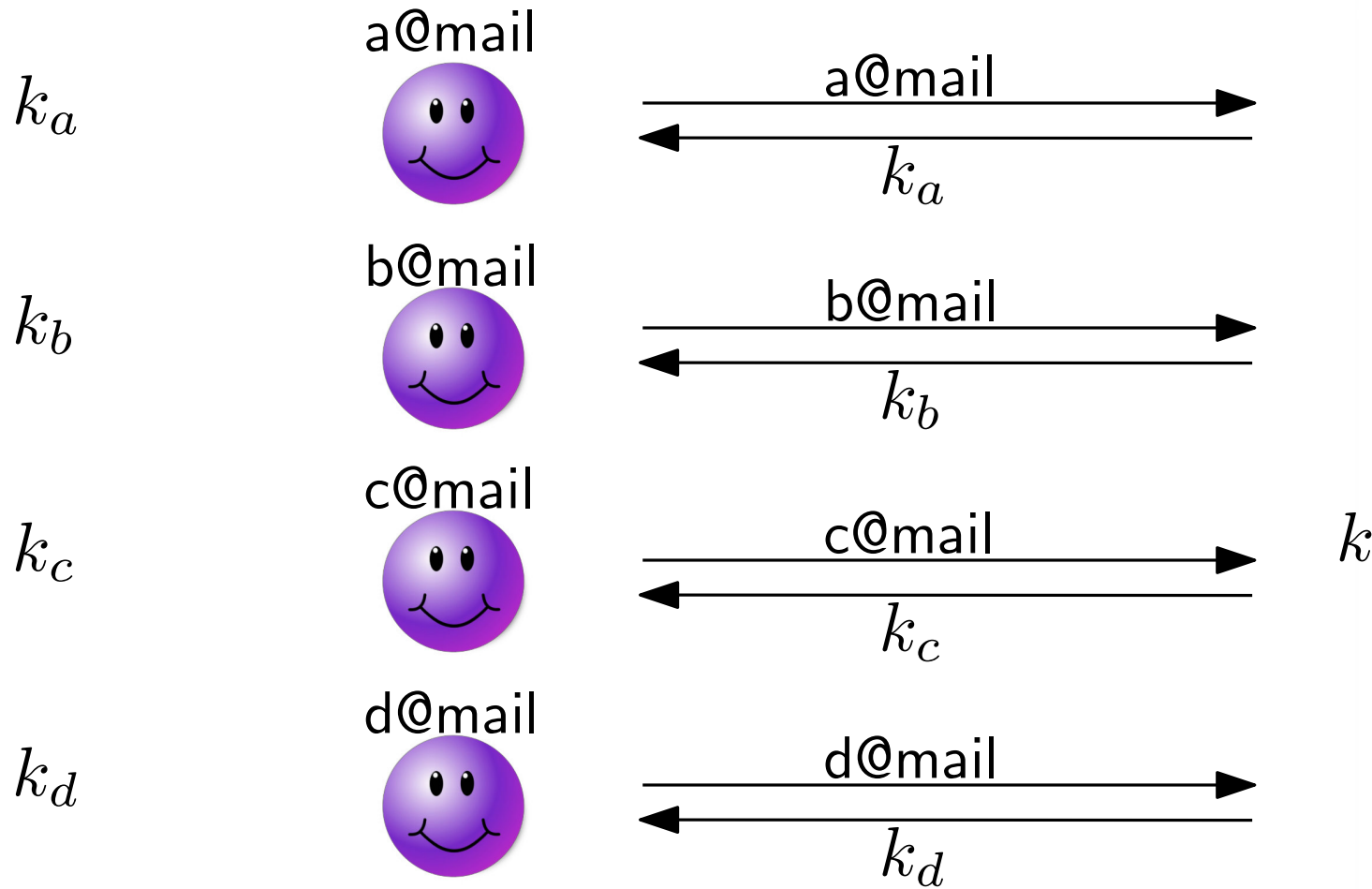




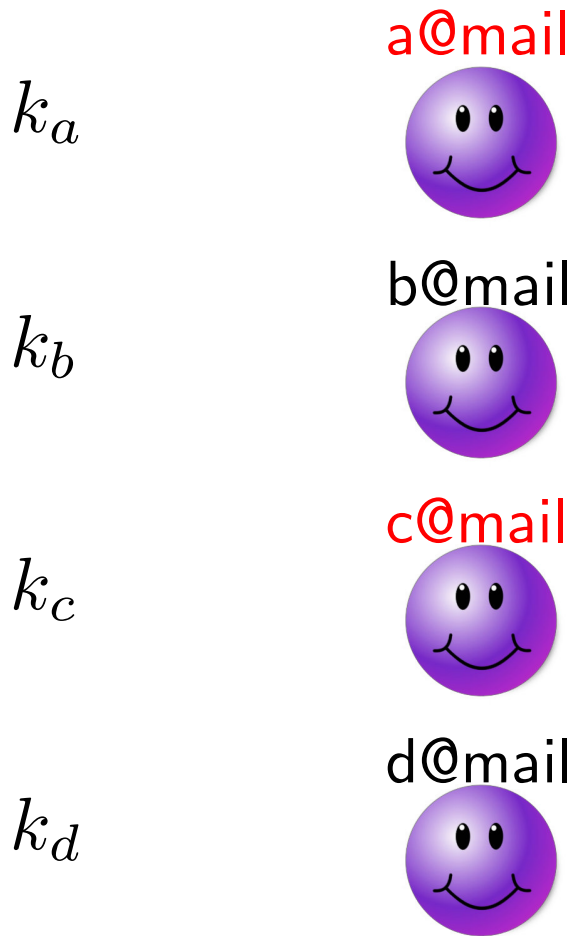
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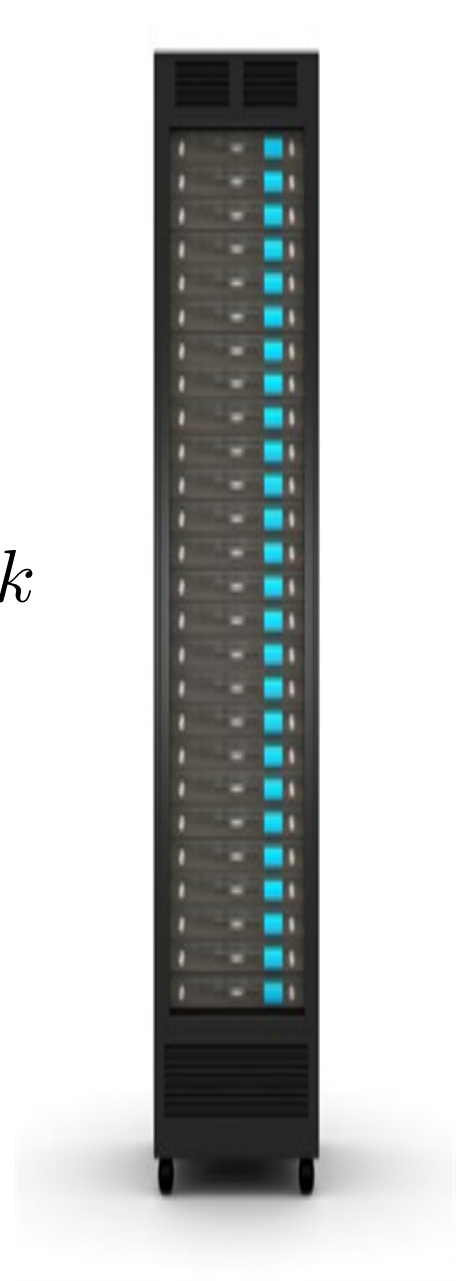
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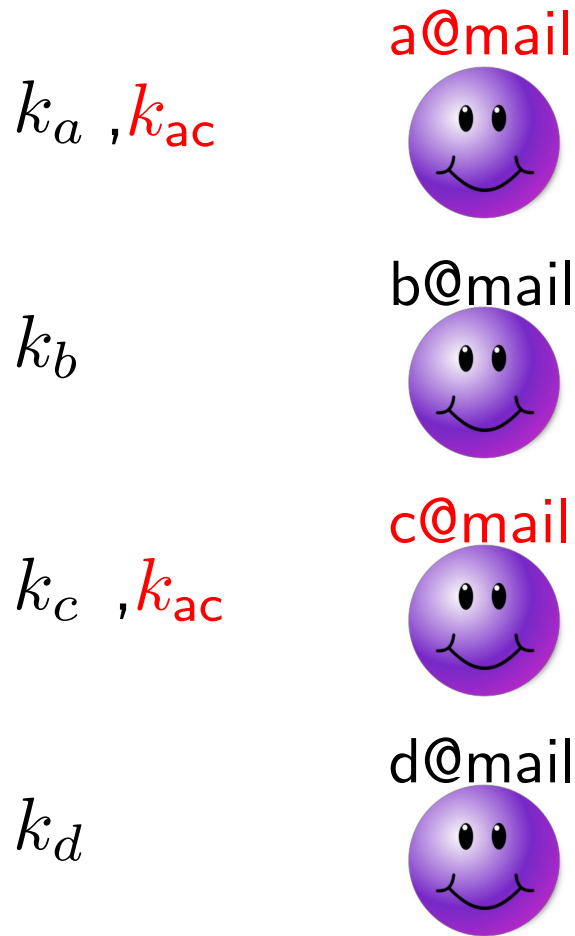
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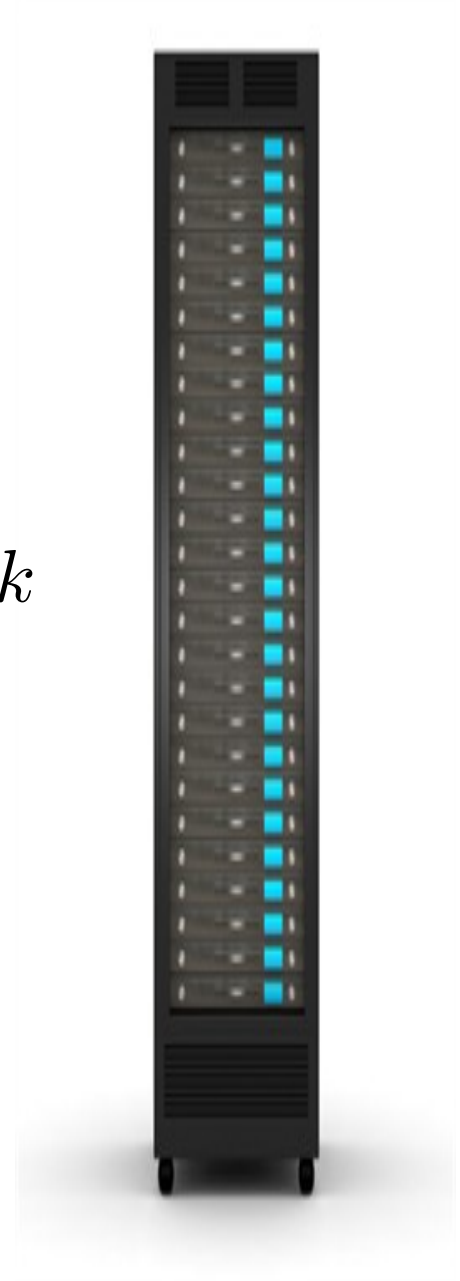
$k$



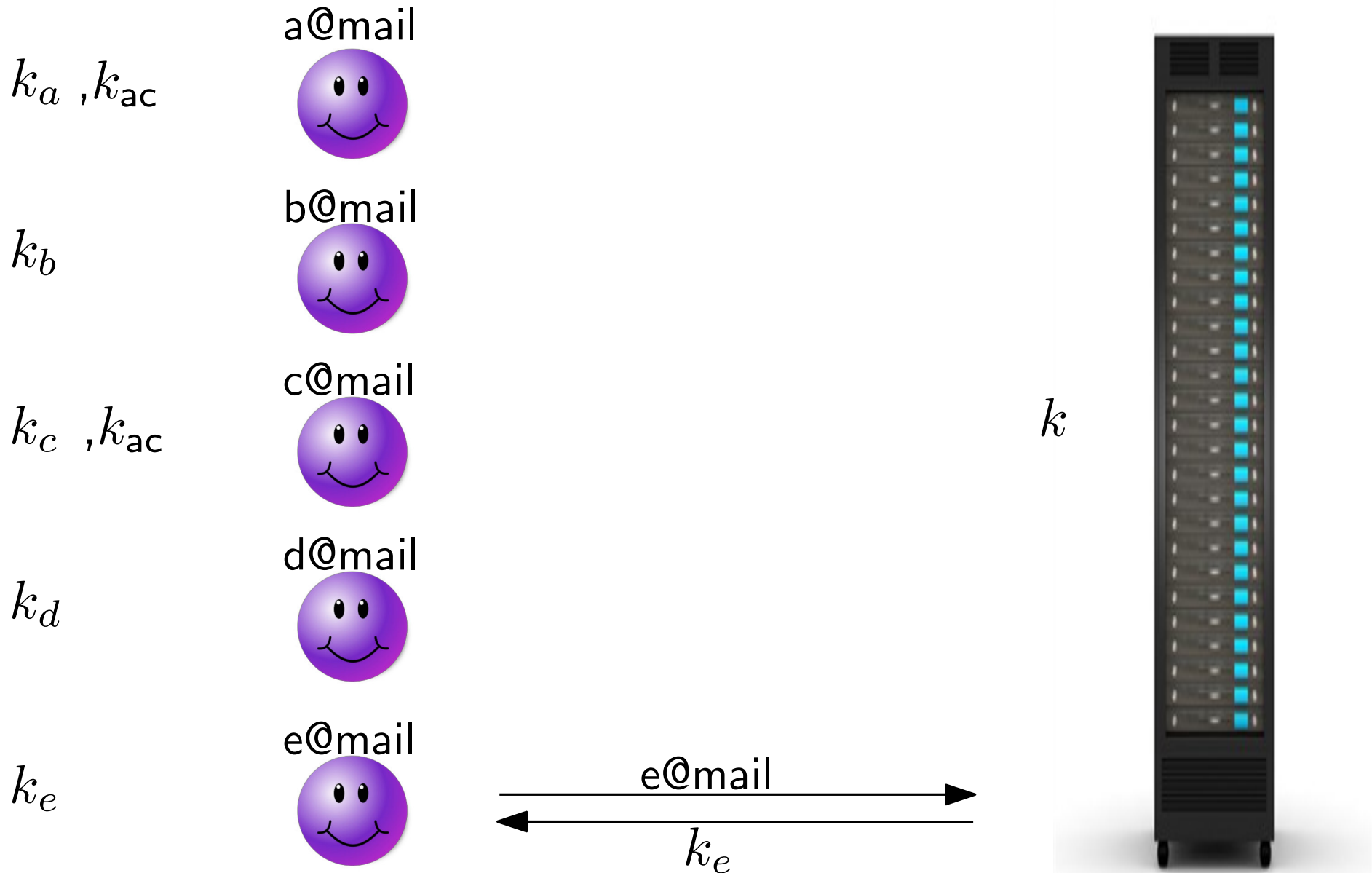
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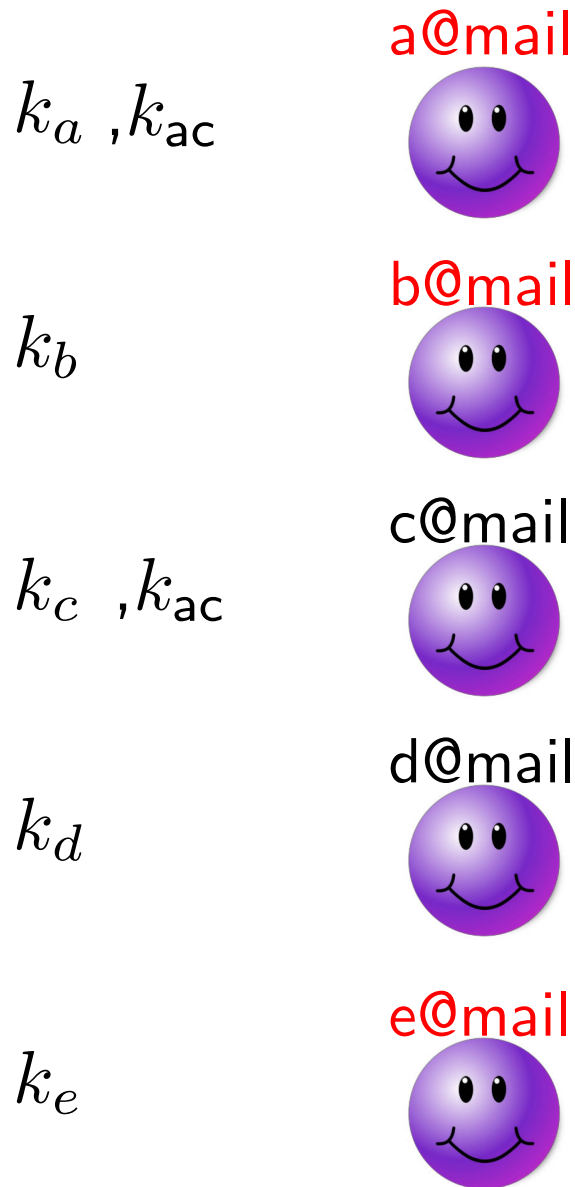
$k$



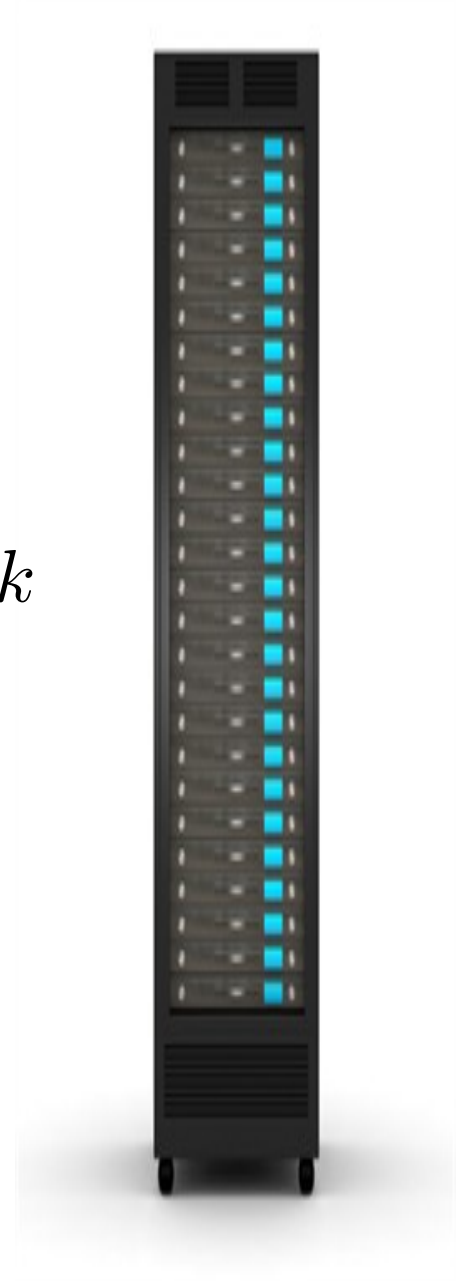
# Identity-Based Non-interactive Key Exchange



# Identity-Based Non-interactive Key Exchange



$k$



# Identity-Based Non-interactive Key Exchange

$k_a, k_{ac}, k_{abe}$  a@mail

$k_b, k_{abe}$  b@mail

$k_c, k_{ac}$  c@mail

$k_d$  d@mail

$k_e, k_{abe}$  e@mail

$k$



# Identity-Based Non-interactive Key Exchange

a@mail



b@mail



c@mail



d@mail



$$F_{\textcolor{red}{k}} : \{0, 1\}^* \rightarrow \{0, 1\}^m$$





# Identity-Based Non-interactive Key Exchange

a@mail



b@mail



c@mail



d@mail



$$F_{\textcolor{red}{k}} : \{0, 1\}^* \rightarrow \{0, 1\}^m$$

b@mail



# Identity-Based Non-interactive Key Exchange

a@mail



b@mail



c@mail



d@mail



$$F_{\textcolor{red}{k}} : \{0, 1\}^* \rightarrow \{0, 1\}^m$$

b@mail



$$M_{\text{b@mail}}(x) =$$

$$\begin{cases} 1 & \text{if } x = x' \parallel \text{b@mail} \parallel x'' \\ 0 & \text{otherwise} \end{cases}$$



# Identity-Based Non-interactive Key Exchange

a@mail



b@mail



c@mail



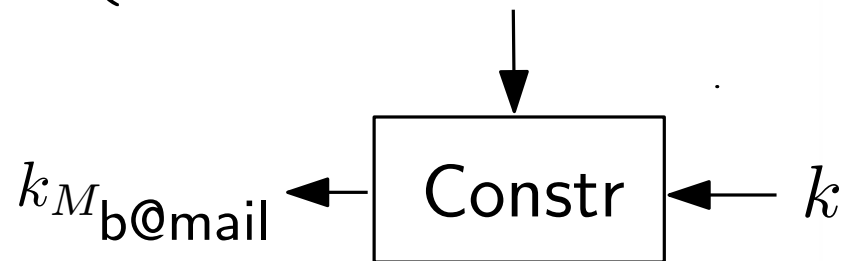
d@mail



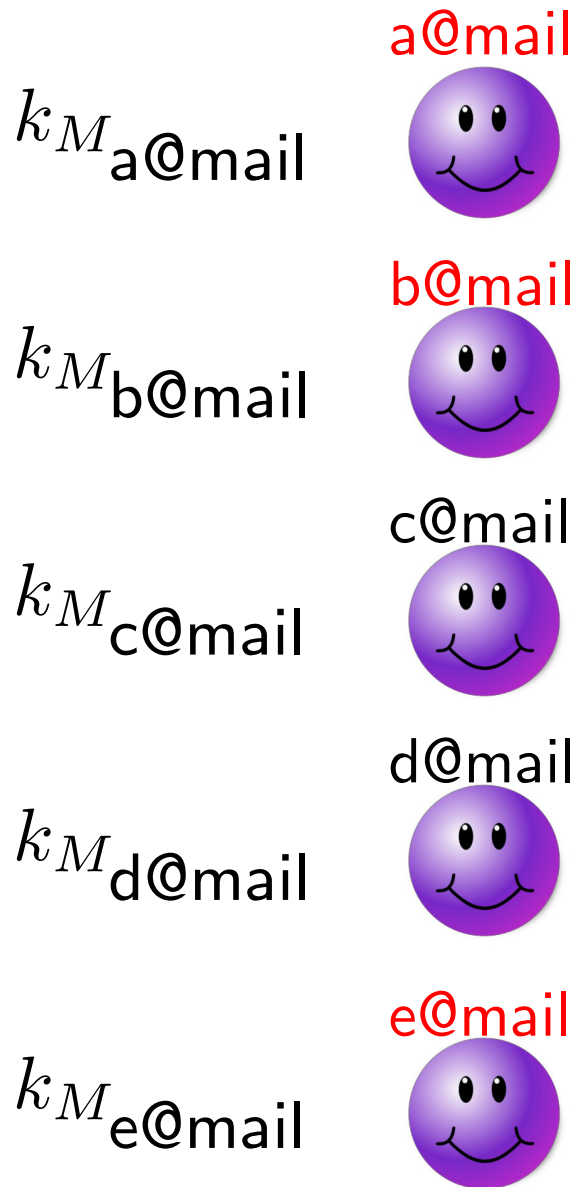
$$F_{\textcolor{red}{k}} : \{0, 1\}^* \rightarrow \{0, 1\}^m$$

b@mail

$$M_{\text{b@mail}}(x) = \begin{cases} 1 & \text{if } x = x' \parallel \text{b@mail} \parallel x'' \\ 0 & \text{otherwise} \end{cases}$$



# Identity-Based Non-interactive Key Exchange



$k$



# Identity-Based Non-interactive Key Exchange

$k_{M_{a@mail}}$    $a@mail$

$k_{M_{b@mail}}$    $b@mail$

$k_{M_{c@mail}}$    $c@mail$

$k_{M_{d@mail}}$    $d@mail$

$k_{M_{e@mail}}$    $e@mail$

$$k_{abe} := F_k(a@mail || b@mail || e@mail)$$

$k$



# Identity-Based Non-interactive Key Exchange

$k_{M_{a@mail}}$    $a@mail$

$k_{M_{b@mail}}$    $b@mail$

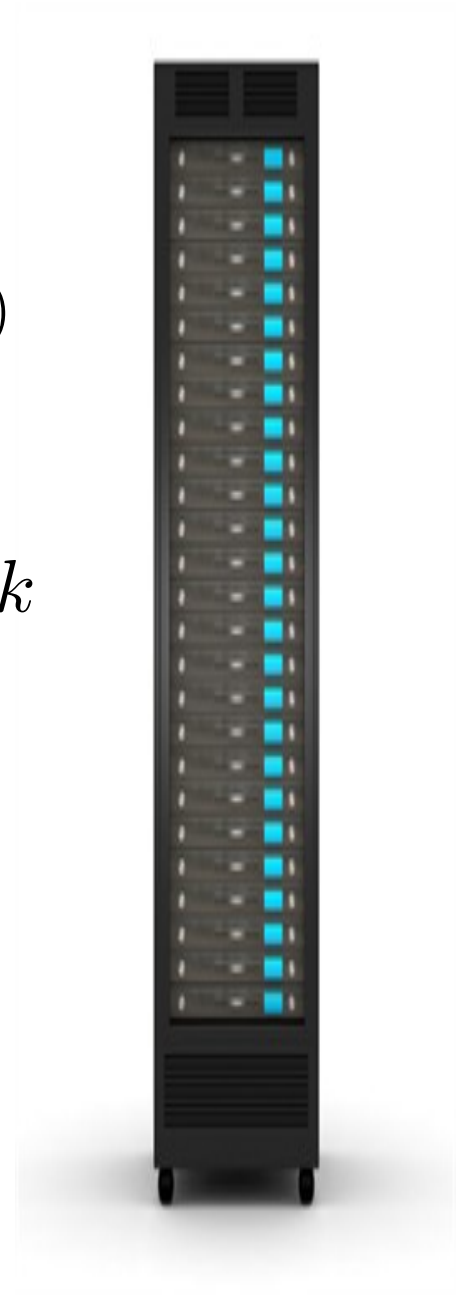
$k_{M_{c@mail}}$    $c@mail$

$k_{M_{d@mail}}$    $d@mail$

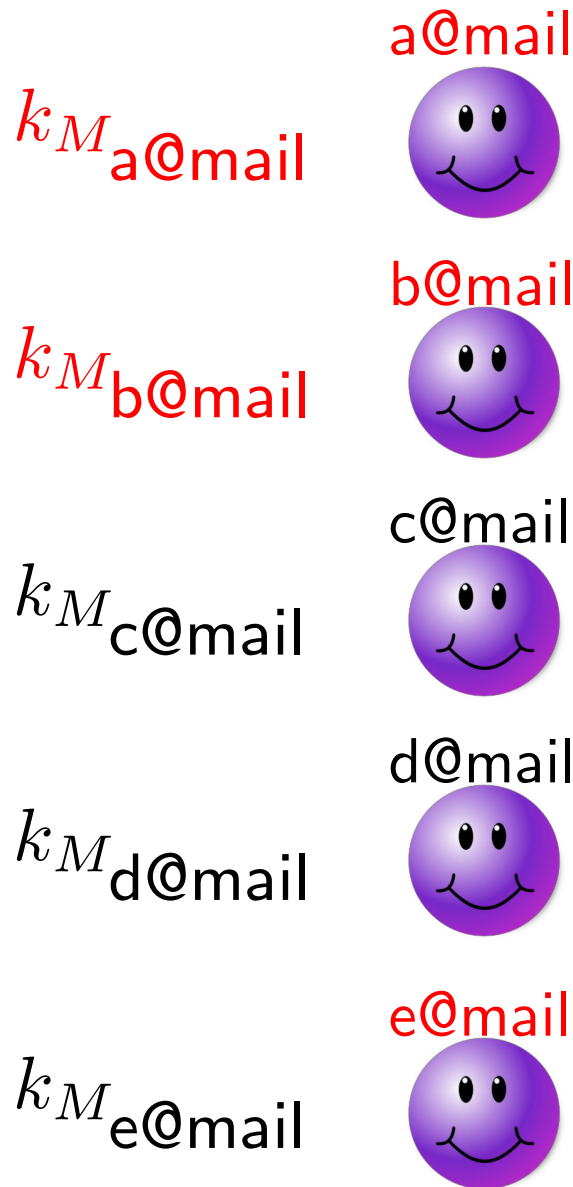
$k_{M_{e@mail}}$    $e@mail$

$$k_{abe} := F_k(a@mail || b@mail || e@mail)$$

$k$

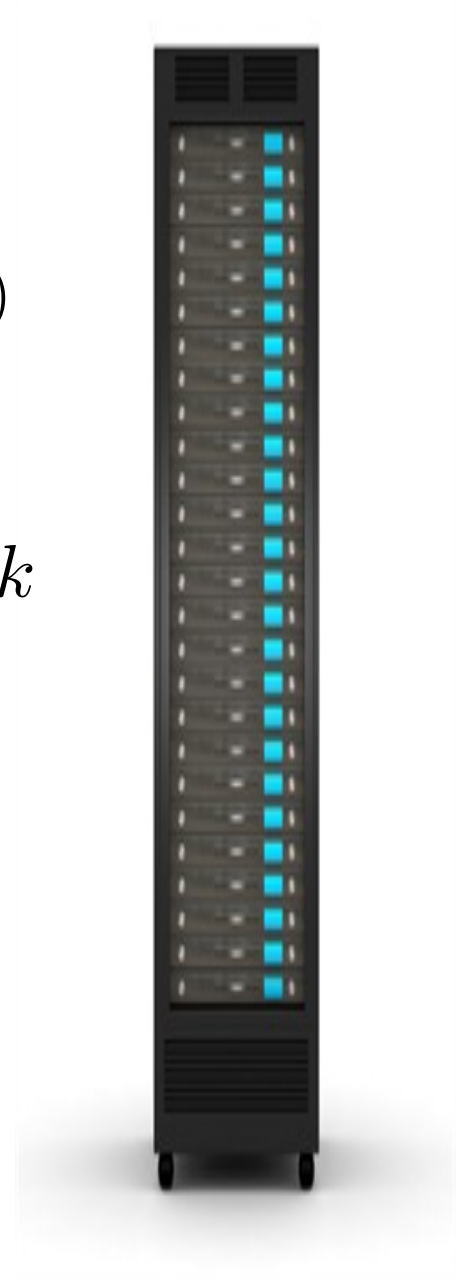


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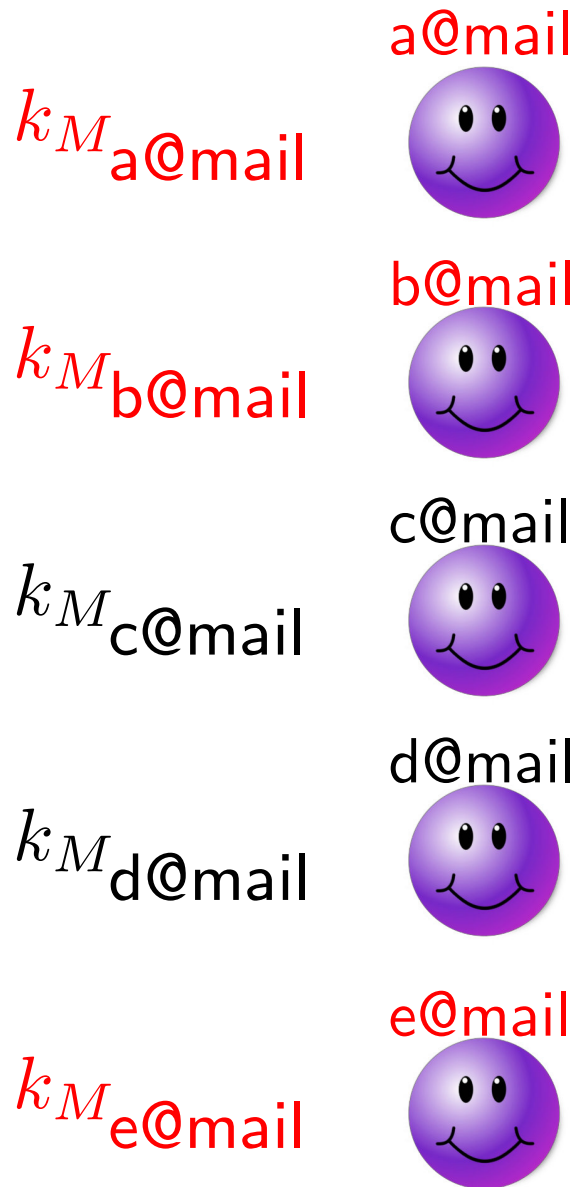


$$k_{abe} := F_k(a@mail || b@mail || e@mail)$$

$k$

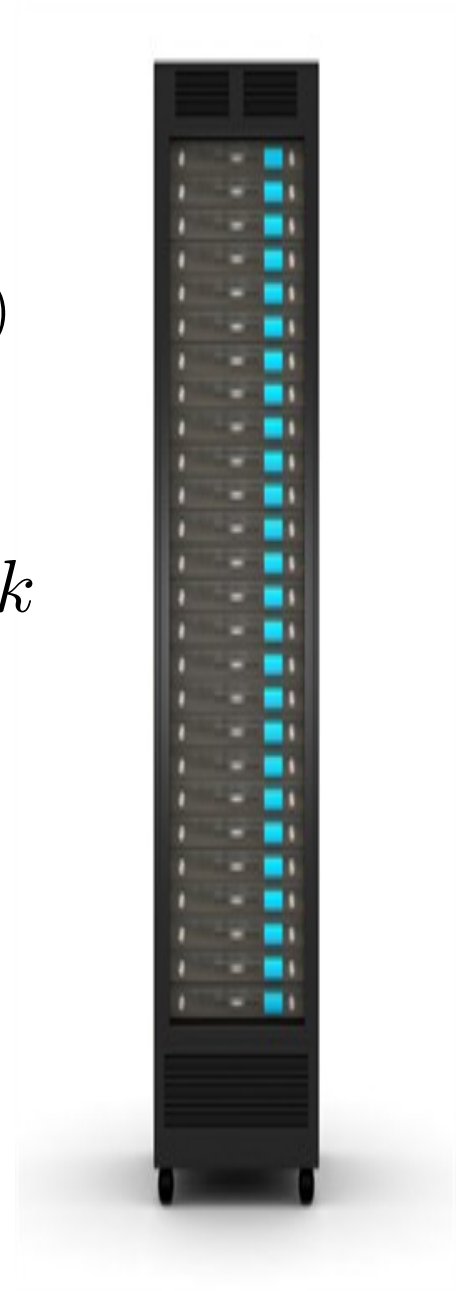


# Identity-Based Non-interactive Key Exchange



$$k_{abe} := F_k(a@mail || b@mail || e@mail)$$

$k$





# TM CPRFs

1) A warm-up: a simple **circuit** CPRF assuming

- Puncturable PRFs
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2) A TM CPRF assuming

- Punctured PRFs
- Public coin differing input obfuscation
- Succinct non-interactive arguments of knowledge (SNARKs)
- Collision resistant hashing

# Program Obfuscation

[BGI<sup>+</sup>01]

Virtual Black Box  
[BGI<sup>+</sup>01]

Differing Input  
[BGI<sup>+</sup>01],[BCP14]

Public Coin Differing Input  
[ISP15]

Indistinguishability  
[BGI<sup>+</sup>01], [GGH<sup>+</sup>13]



# Program Obfuscation

[BGI<sup>+</sup>01]

Virtual Black Box  
[BGI<sup>+</sup>01]

Impossible  
[BGI<sup>+</sup>01]

Differing Input  
[BGI<sup>+</sup>01],[BCP14]

Implausible    TM-impossible  
[GGH<sup>+</sup>14]    [BSW16]

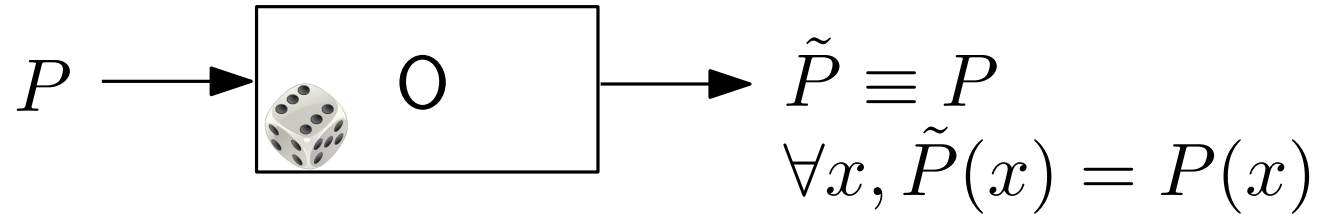
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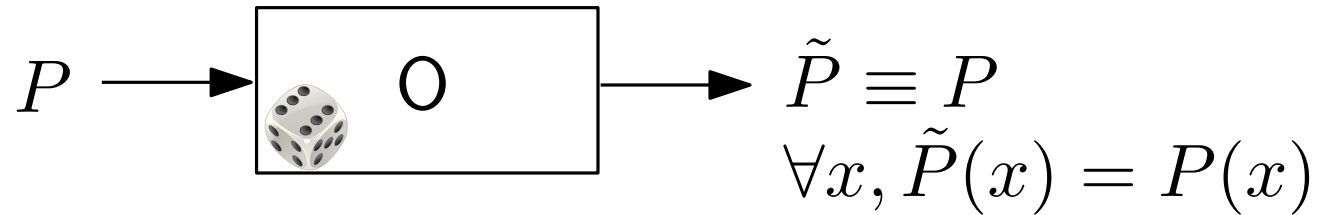
# Program Obfuscation (1)

1) Functionality:

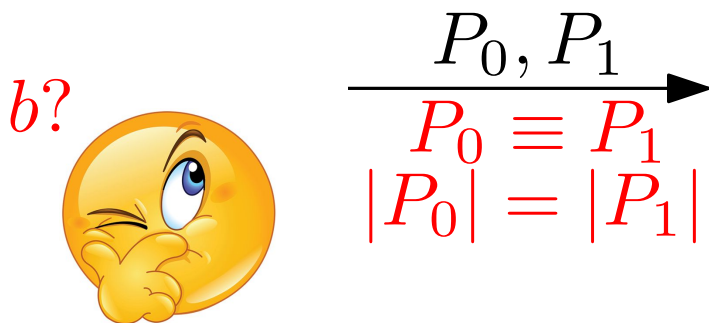


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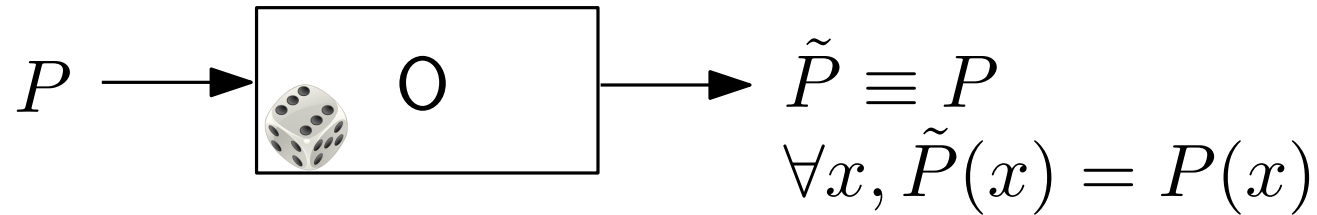


2) Indistinguishability obfuscation:

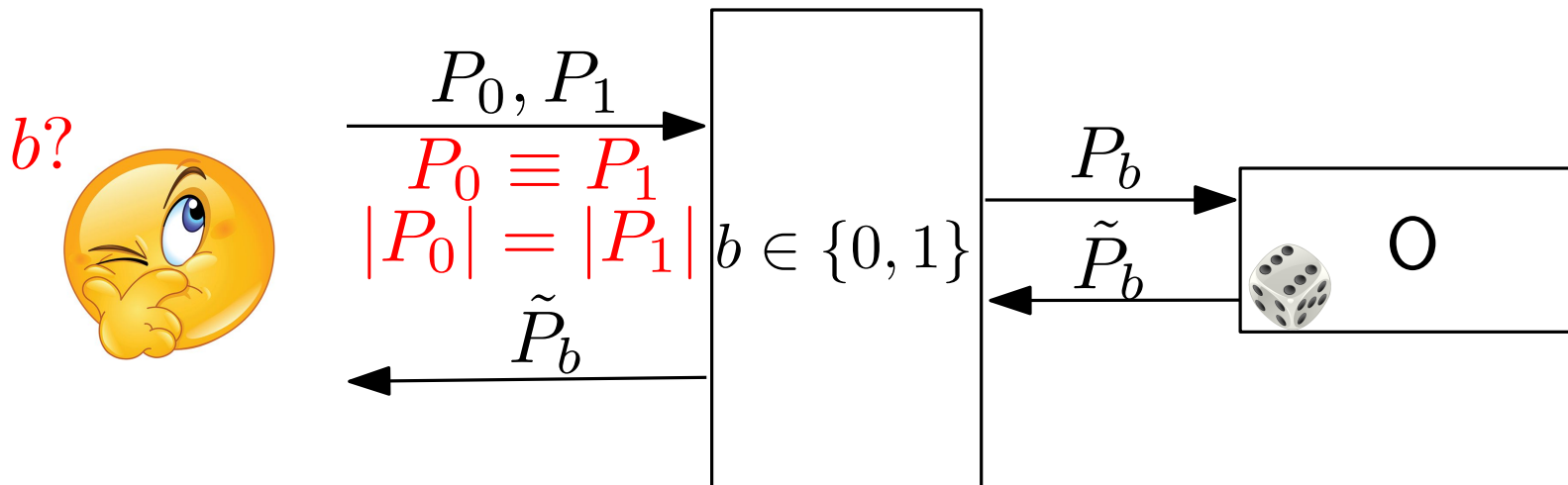


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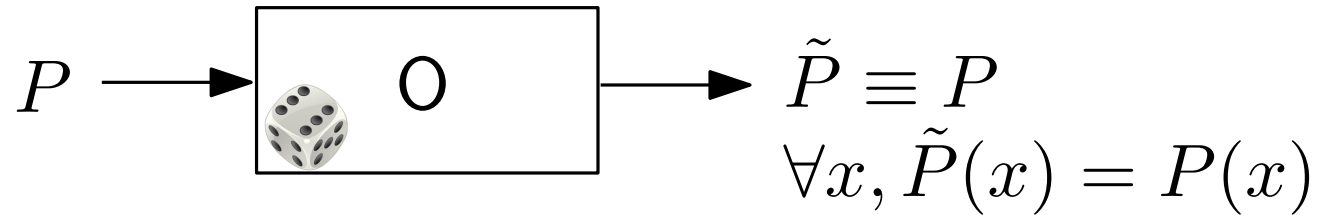


2) Indistinguishability obfuscation: hard to guess  $b$

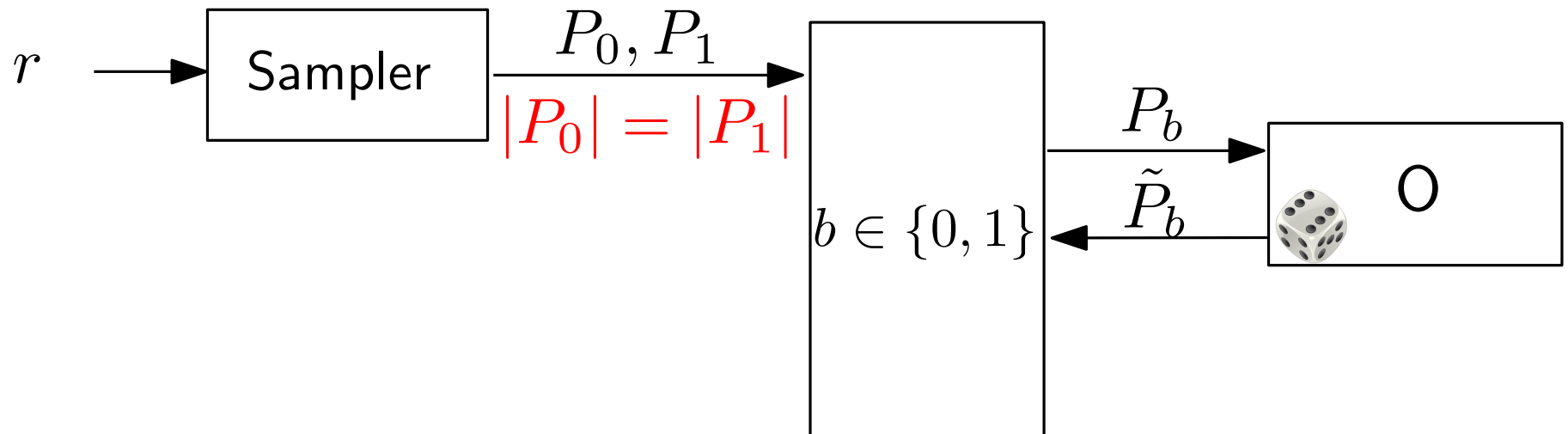


# Program Obfuscation (2)

1) Functionality:



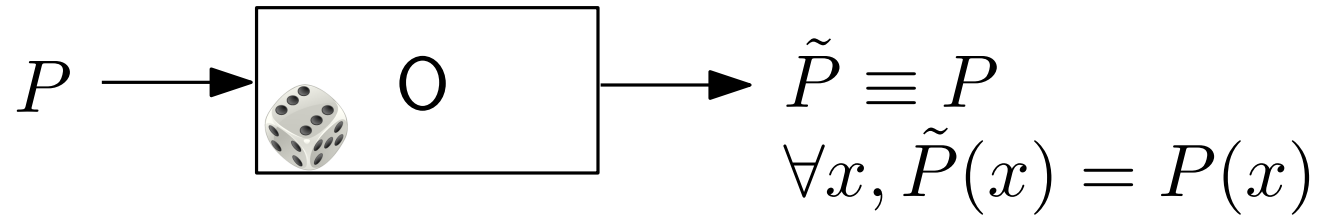
2) Differing input obfuscation:



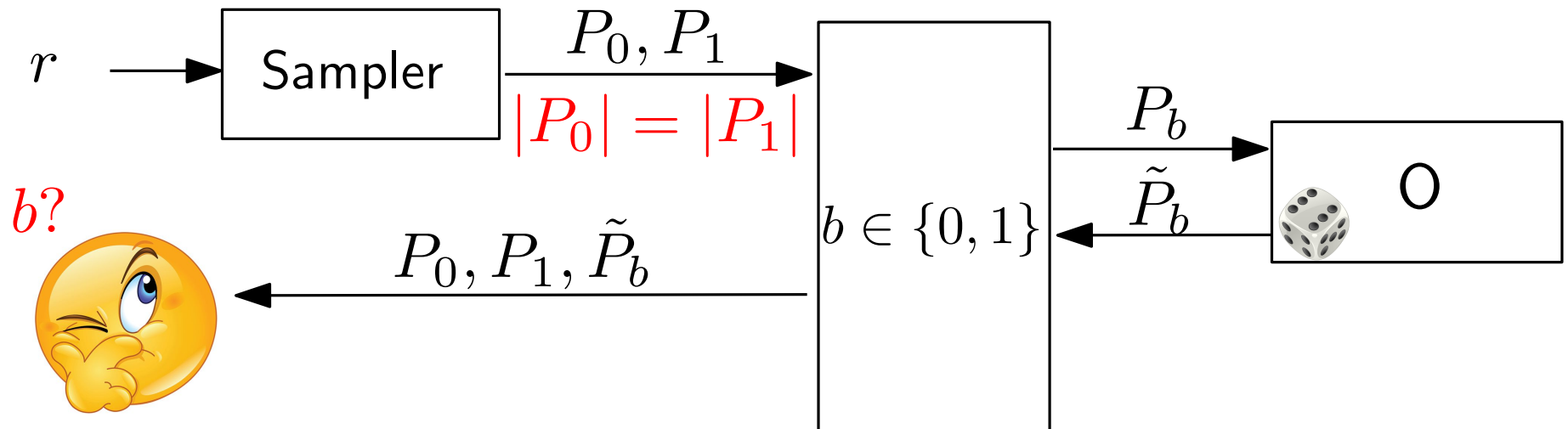


# Program Obfuscation (2)

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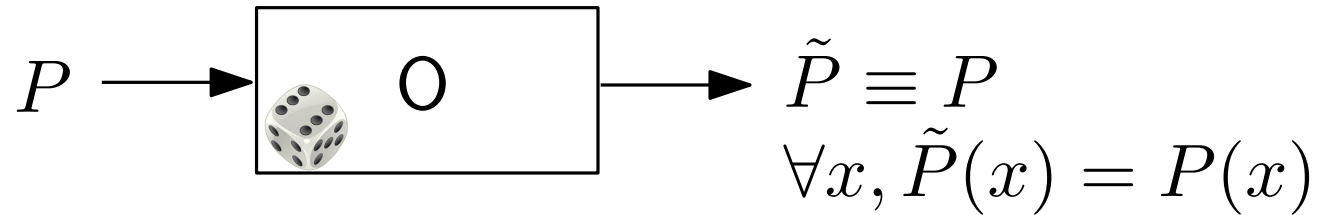


2) Differing input obfuscation: hard to guess  $b$  if it's hard to find  $x$ , s.t.  $P_0(x) \neq P_1(x)$

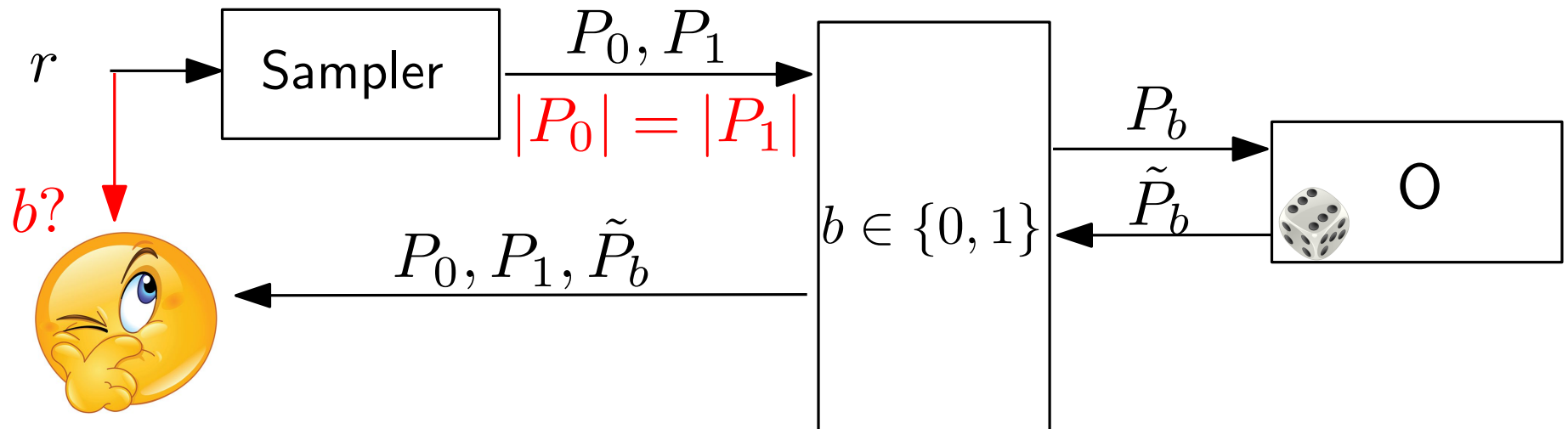


# Program Obfuscation (3)

1) Functionality:



2) **Public coin** differing input obfuscation: hard to guess  $b$  if it's hard to find  $x$ , s.t.  $P_0(x) \neq P_1(x)$



# A Circuit CPRF

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**Thm 1.**  $F$  is a secure circuit CPRF.

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Constrained keys  $k_C$ :

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# Towards a TM CPRF (1)

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$$\text{iO} \left( P_{k, \textcolor{red}{M}}(x) := \begin{cases} \text{PF}_k(x) & \text{if } \underbrace{\textcolor{red}{M}(x) = 1}_{\text{Input Consistency}} \\ \perp & \text{otherwise} \end{cases} \right)$$

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iO for Turing machines [\[KLW15\]](#)

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Security Proof:  $\text{iO}(P_{k, M}) \approx_c \text{iO}(P_{\textcolor{red}{k}_{x'}, M})$

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1)  $P_{k, M} \equiv P_{k_{x'}, M}$

2)  $|P_{k, M}| \stackrel{?}{=} |P_{k_{x'}, M}|$ : For  $x' \in \{0, 1\}^*$ ,  $k_{x'}$  unbounded

## Towards a TM CPRF (2)

Let  $H: \{0, 1\}^* \rightarrow \{0, 1\}^n$  be a hash function.

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Security proof: Public-coin diO for **Turing machines** [ISP15]

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If  $\pi$  is a Succinct Non-interactive Argument of Knowledge (SNARK):

Security proof: Public-coin diO for **circuits** [ISP15]

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- $\text{PF}_k : \{0, 1\}^n \rightarrow \{0, 1\}^m$  a puncturable PRF
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