

# RSA<sup>®</sup>Conference2019

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**BETTER.**

SESSION ID: CRYPT-R03

## Poly-Logarithmic Side Channel Rank Estimation via Exponential Sampling

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# Side Channel Attack

- Reveals the **secret key** of an **encryption algorithm**
- by using the **side information** that **leaks** from its **implementation**.
- For example:
  - Power consumption
  - Electro-magnetic radiation
  - Sound
  - Cache
  - ...

# Side Channel Attack



**Secret Key**

128 bits

\$%\$#@!@#\$%^&\* & ^%\$%^&%^%#@ \$%^&\$#@ \$%^&#@!#\$!~!#%&\$\* &!^

# Side Channel Attack



It is difficult to reveal all 128 bits in one try...



**Secret Key**

128 bits

\$%\$#@!@#\$%^&\* & ^%\$%^&%^%#@ \$%^&\$#@ \$%^&#@!#\$!~!#%&\$\* &!^

# Side Channel Attack



The attacker **reveals a small part** of bits each time

- Denoted by subkeys



**Secret Key**

8 bits	8 bits	8 bits	8 bits	8 bits	8 bits	8 bits	8 bits	8 bits	8 bits	8 bits	8 bits	8 bits	8 bits	8 bits	8 bits	8 bits																																		
\$	%	\$	#	@	!	@	#	\$	%	^	&	*	&	^	%	\$	%	^	&	%	^	%	#	@	\$	%	^	&	\$	#	@	\$	%	^	&	#	@	!	#	\$	~	!	#	%	&	\$	*	&	!	^

# Side Channel Attack

Secret Key

8 bits      8 bits      8 bits

\$%\$#@!@#    @\$%^#&\*!    ^\*\$\*\$&@%

The first Subkey

Subkeys

Probabilities

00000000	→	0.00001
00000001	→	0.00004
00000010	→	0.00005
00000011	→	0.002
00000100	→	0.004
00000101	→	0.003
00000110	→	0.001
00000111	→	0.003
00001000	→	0.002
...		
11111110	→	0.0004
11111111	→	0.0002

# Side Channel Attack

Secret Key

8 bits      8 bits      8 bits

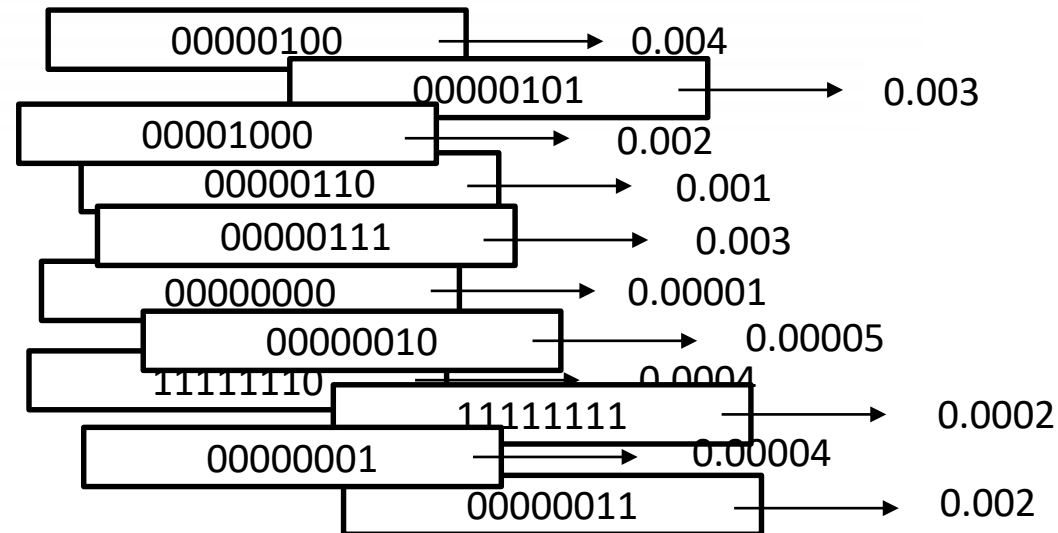
\$%\$#@!@#    @\$%^#&\*!    ^\*\$\*\$&@%

The first Subkey

Subkeys

Probabilities

We sort the subkeys according to their probabilities in decreasing order...





# Side Channel Attack

Secret Key

8 bits      8 bits      8 bits

\$%\$#@!@#    @\$%^#&\*!    ^\*\$\*\$&@%

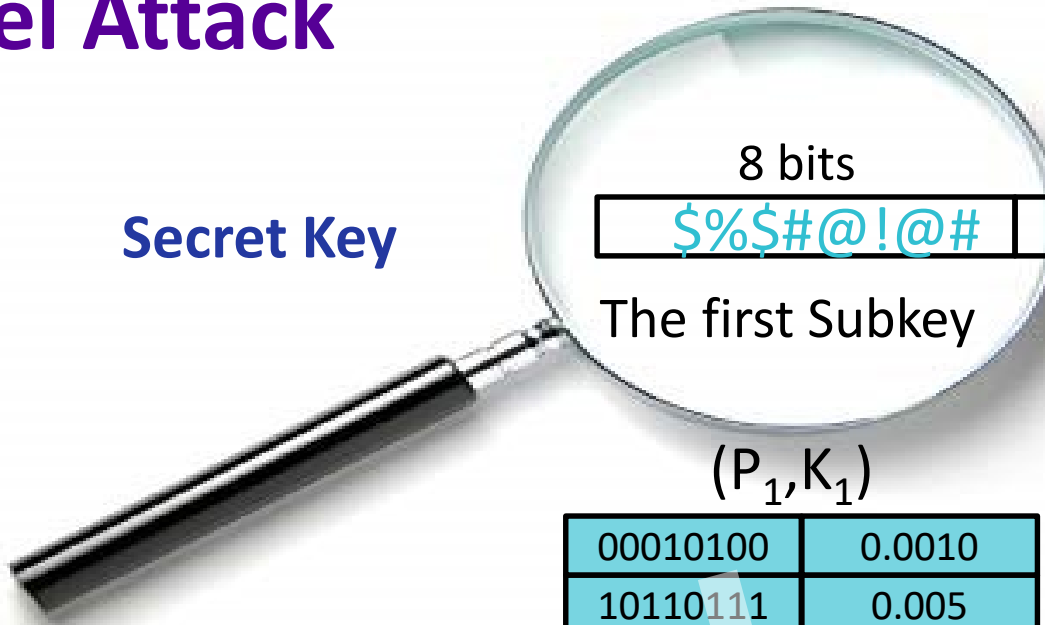
The first Subkey

$(P_1, K_1)$

00010100	0.0010
10110111	0.005
11011011	0.005
01000011	0.0045
01110000	0.0043
11011010	0.003
10101110	0.003
01001111	0.002
10100110	0.0015

00000000	0.000001
11111111	0.000001

Sorted subkeys  
in decreasing  
order of  
probabilities





# Side Channel Attack

Secret Key



Sorted subkeys  
in decreasing  
order of  
probabilities

8 bits      8 bits      8 bits

\$%\$#@!@#    @\$%^#&\*!    ^\*\$\*\$&@%

The Second Subkey

$(P_1, K_1)$

$(P_2, K_2)$

00010100	0.0010
10110111	0.005
11011011	0.005
01000011	0.0045
01110000	0.0043
11011010	0.003
10101110	0.003
01001111	0.002
10100110	0.0015

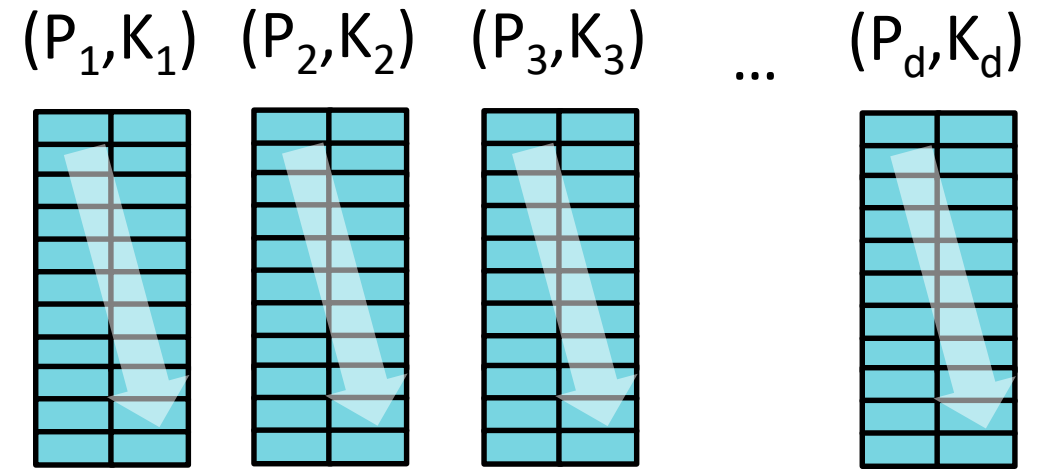
00010100	0.0010
10110111	0.005
11011011	0.005
01000011	0.0045
01110000	0.0043
11011010	0.003
10101110	0.003
01001111	0.002
10100110	0.0015

...	...
00000000	0.000001
11111111	0.000001

...	...
00000000	0.000001
11111111	0.000001

# Side Channel Attack

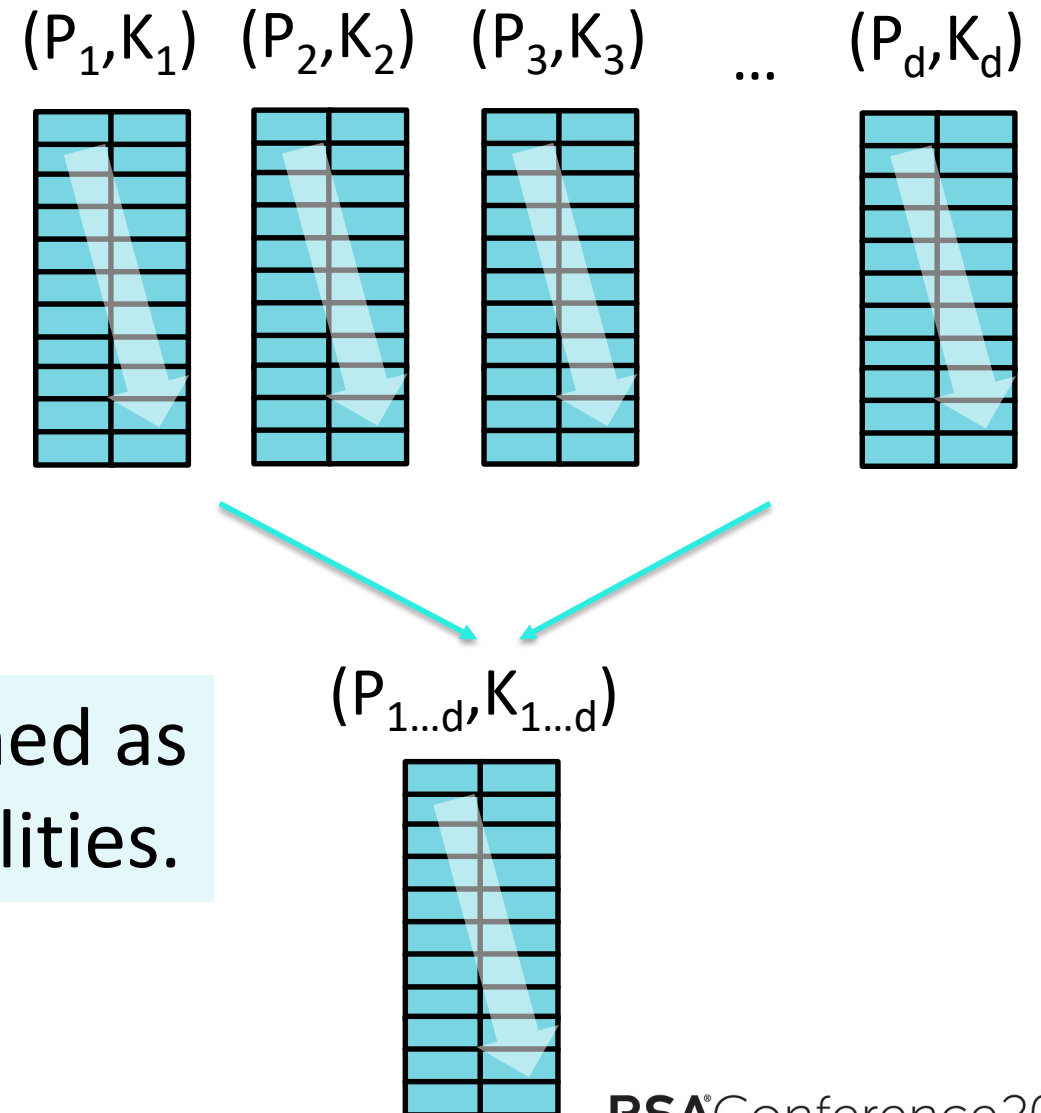
- **d independent subkey spaces**  $(K_i, P_i)$  each of size N
- **sorted** in decreasing order of probabilities.



# Side Channel Attack

- The attacker **goes over the full keys**
- in **sorted order** from the most likely to the least,
- till he reaches the correct key.

The probability of a full key is defined as the product of its subkey's probabilities.

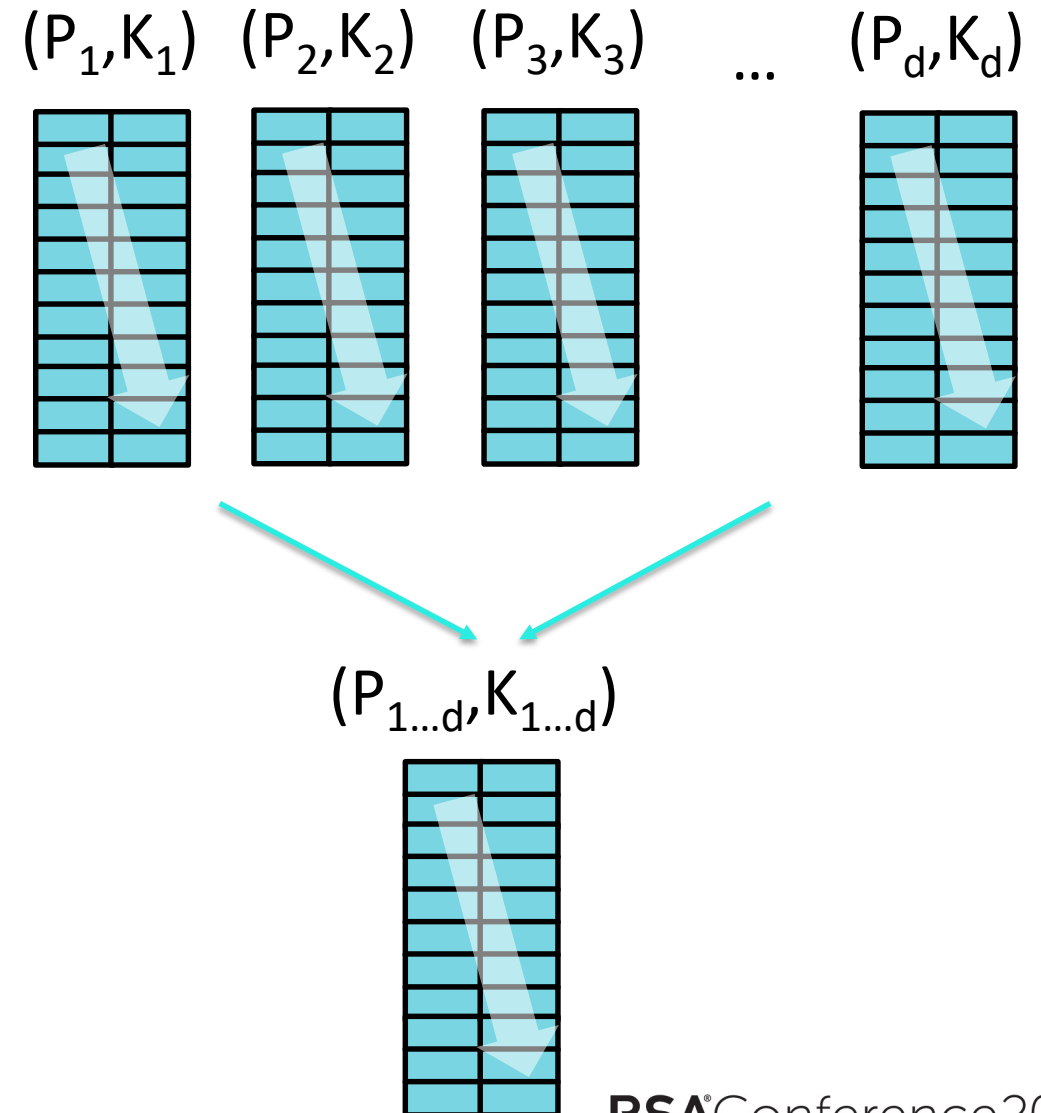


# Side Channel Attack

An important question is:

**How many full keys** the attacker needs to **try before he reaches the correct key**.

This allows **estimating the strength of the chosen secret key** after an attack has been performed.



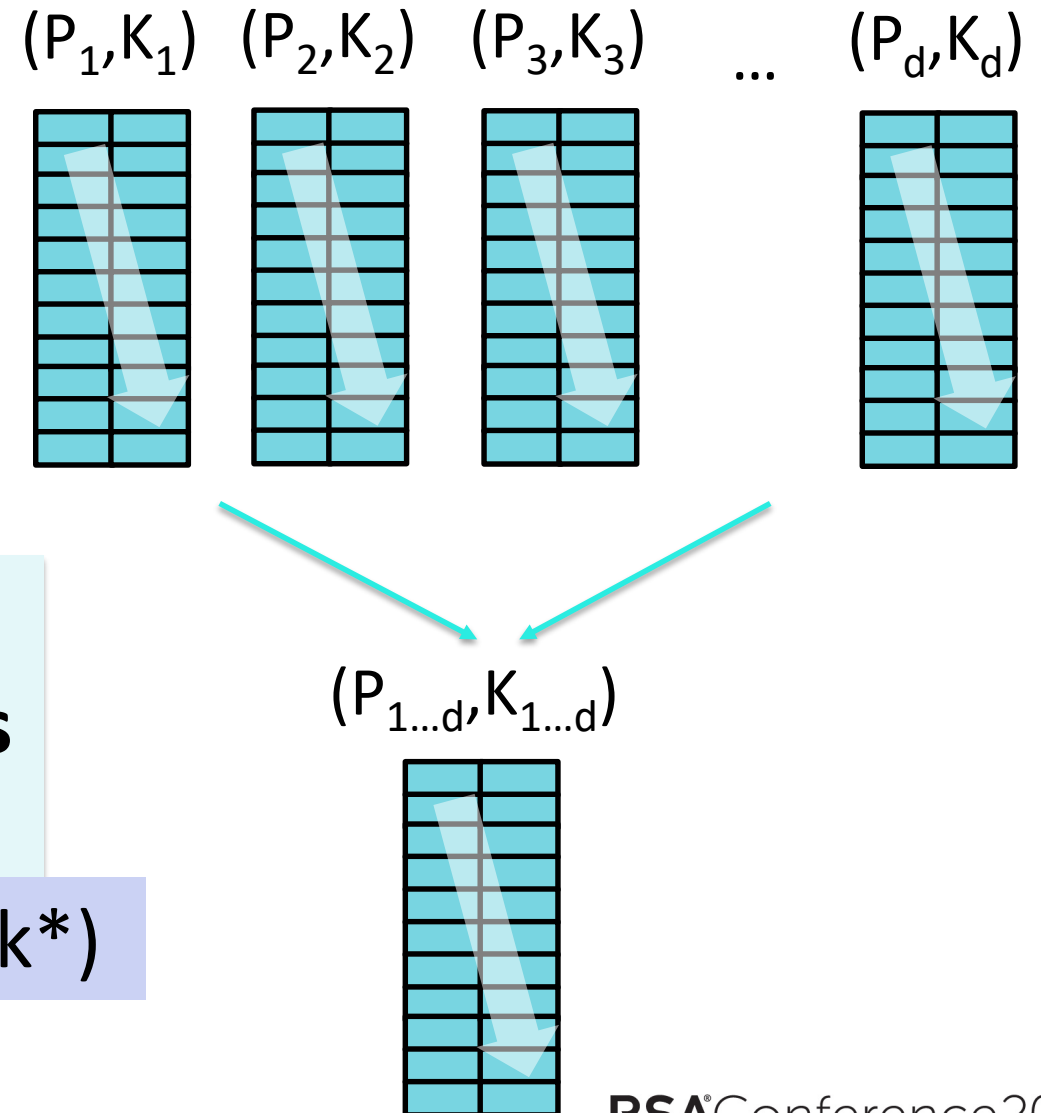
# Side Channel Attack

So assume we **know**

- The **correct key**  $k^*$  and its probability  $p^*$
- The **d subkey spaces**  $(K_i, P_i)$

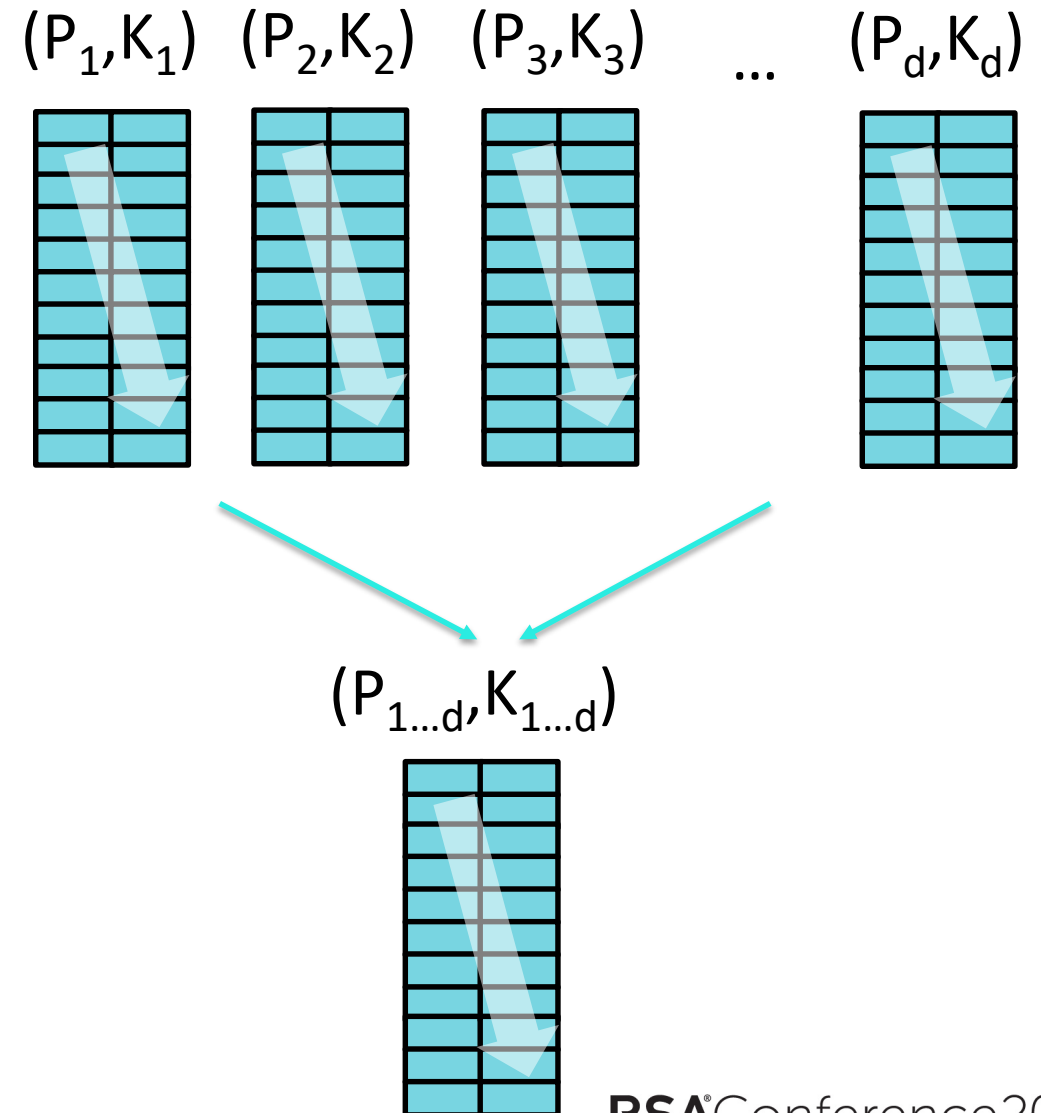
The goal :  
to estimate the **number of full keys**  
with **probability higher than  $p^*$**

This is  $\text{rank}(k^*)$



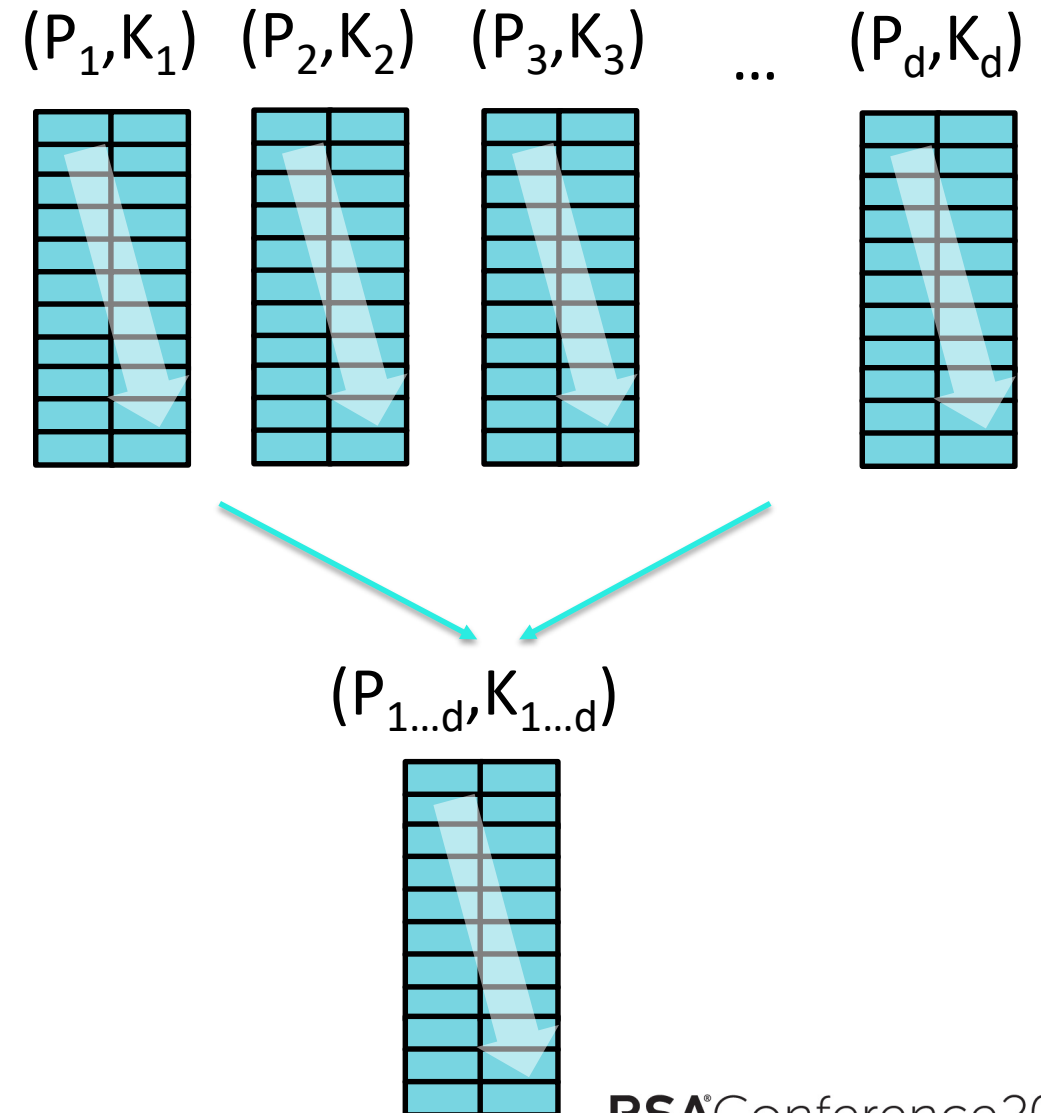
# Side Channel Attack

- The optimal solution
- **enumerates** and **counts** the full keys in optimal-order
- till reaches to  $k^*$



# Side Channel Attack

- However, key space size is  $2^{128}$
- **Enumerating the whole key space in optimal-order is impossible**
- Hence, estimating a rank **without enumeration** is of great interest.



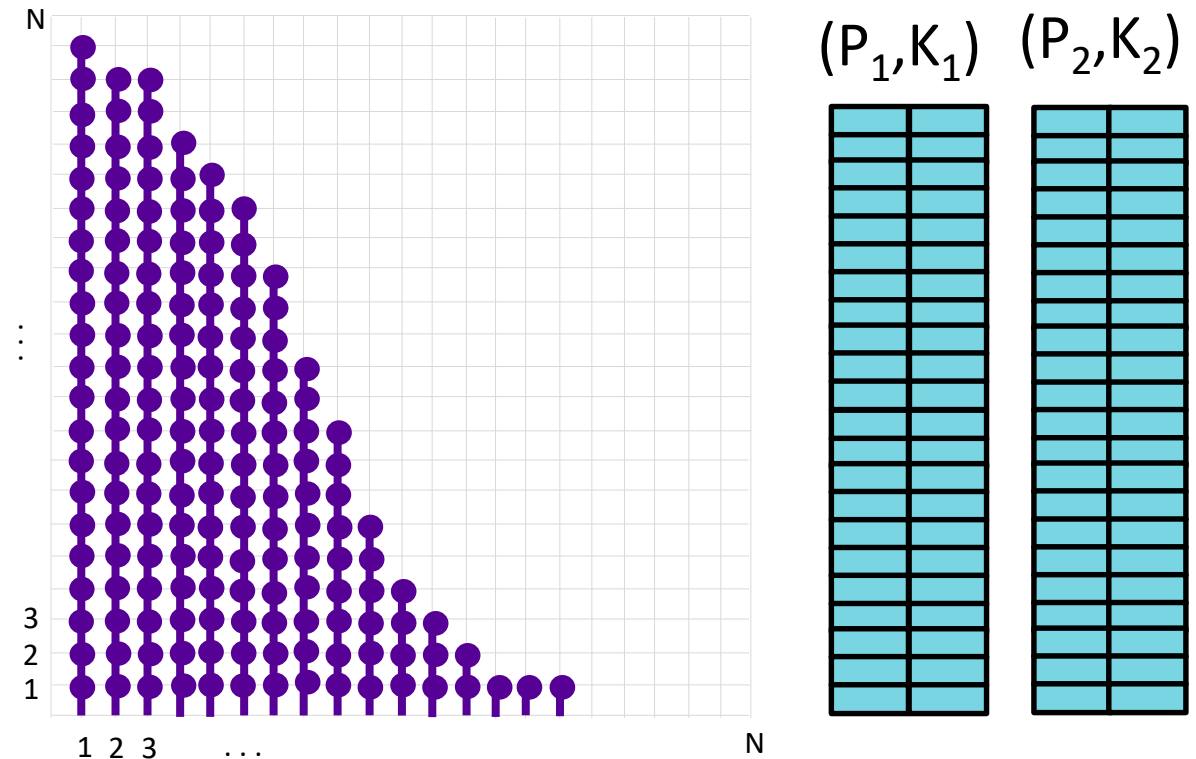


# Our ESrank Algorithm: For $d=2$

Given

- The correct key  $k^*$  whose probability is  $p^*$
- 2 subkeys  $(P_1, K_1), (P_2, K_2)$

rank ( $k^*$ ) is the number of the pairs  $(i, j)$  such that  
 $P_1[i] \cdot P_2[j] \geq p^*$

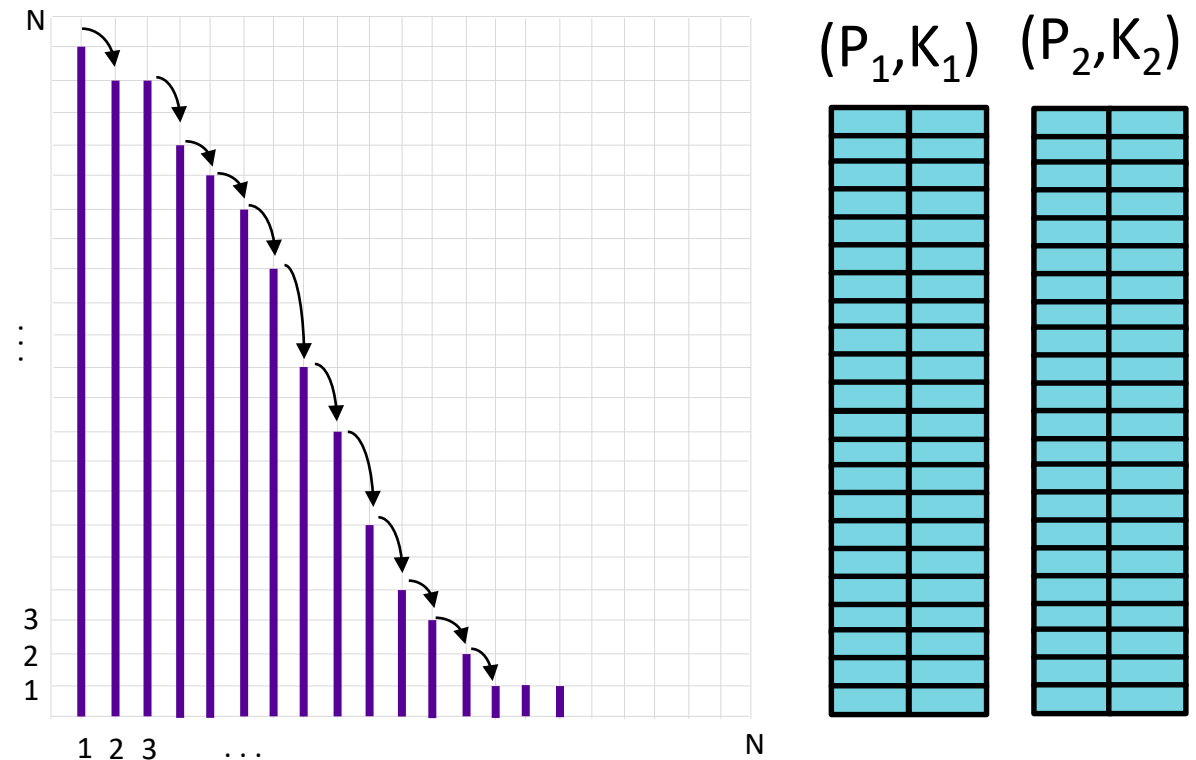


# Our ESrank Algorithm: For $d=2$

We sum the length of bars :

Start with  $i=1, j=N$ ,  
decrease  $j$  till  $P_1[i] \cdot P_2[j] \geq p^*$   
then increment  $i$  and repeat  
till  $i=N$  or  $j=1$

$O(N)$  running time.



# Our ESRank Algorithm: For $d=2$ with Sampling

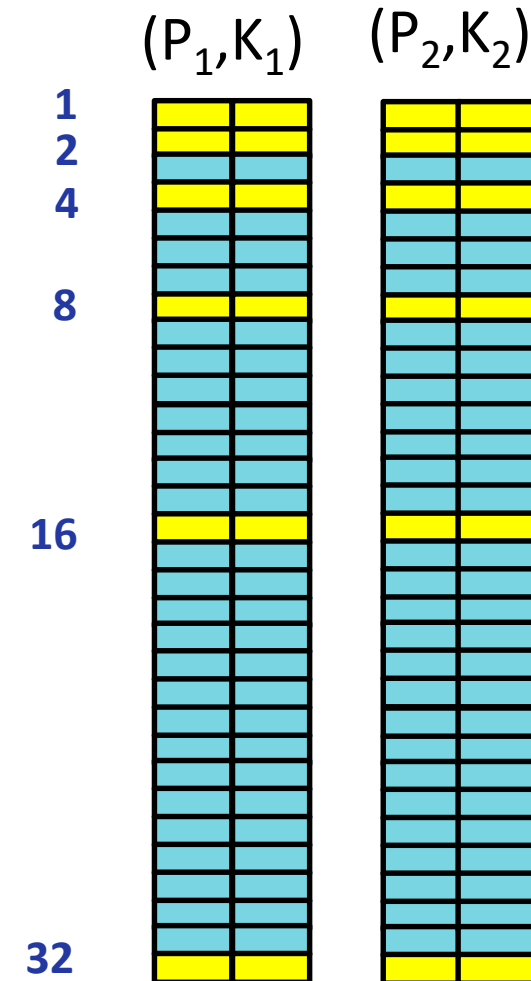
To make this algorithm faster,  
we use **exponential sampling**.

- Intuitively, sample indices at powers of  $\gamma > 1$ .

For example,

If  $\gamma=2$  the sampled indices are:

1,2,4,8,16,32,...



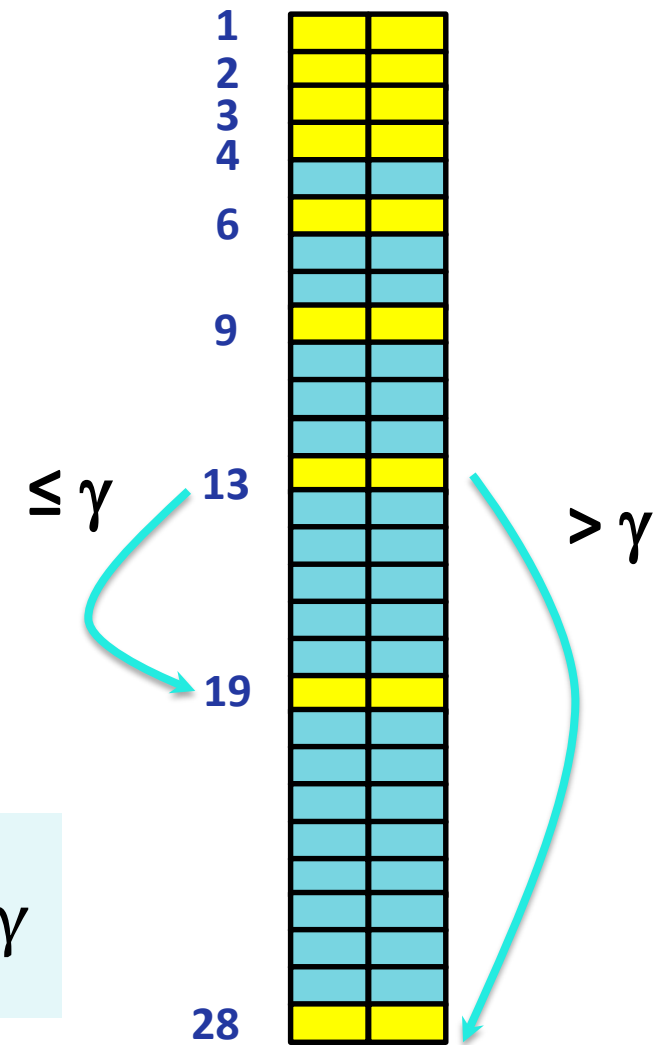
# Our ESRank Algorithm: For $d=2$ with Sampling

Since the power of  $\gamma$  are not necessarily integers

- **maintain an invariant**  
on the **ratio between** sampled indices:

$$\frac{\text{i'th sampled index}}{\text{(i-1)'th sampled index}} \leq \gamma$$

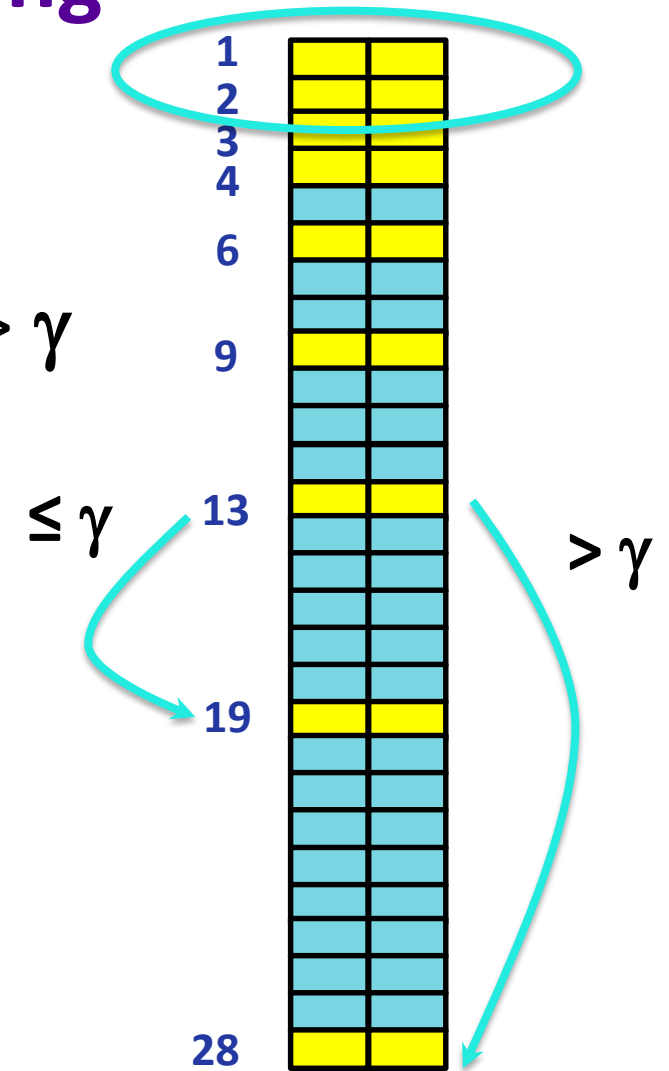
$$\frac{\text{(i+1)'th sampled index}}{\text{(i-1)'th sampled index}} > \gamma$$



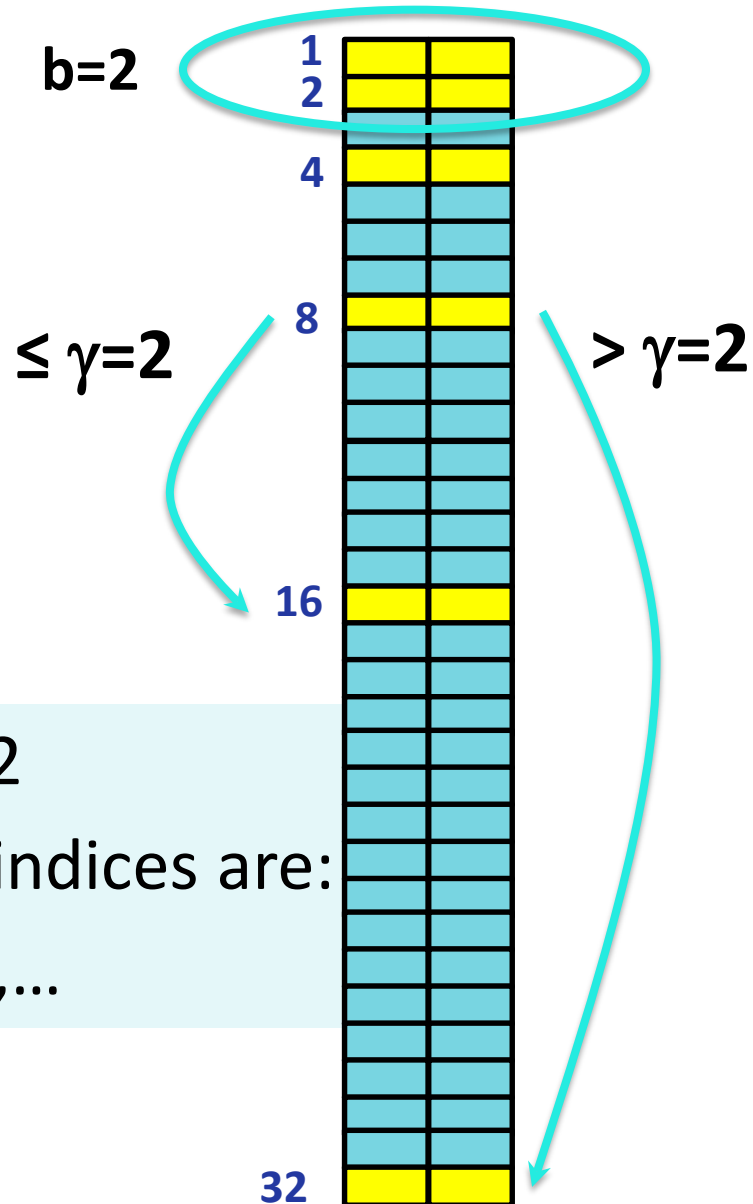
# Our ESrank Algorithm: For $d=2$ with Sampling

The first indices might not maintain the invariant

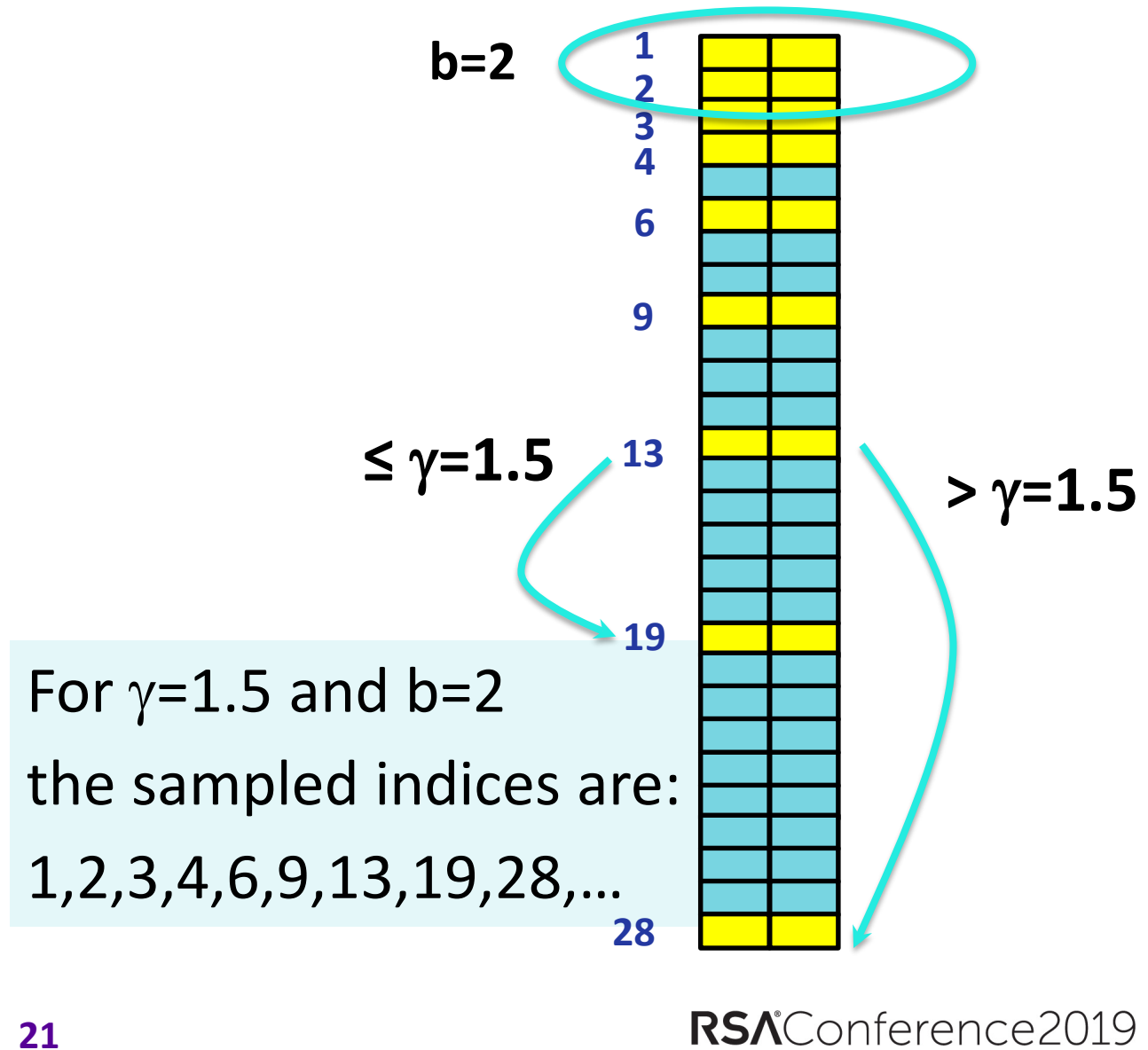
- since the ratio between consecutive indices is  $> \gamma$
- Let **b** be the **smallest index** that **maintains the invariant**.
- Take the **first b indices without sampling**
- **Sample** the rest **with ratio  $\gamma$**



# Our ESRank Algorithm: For $d=2$ with Sampling



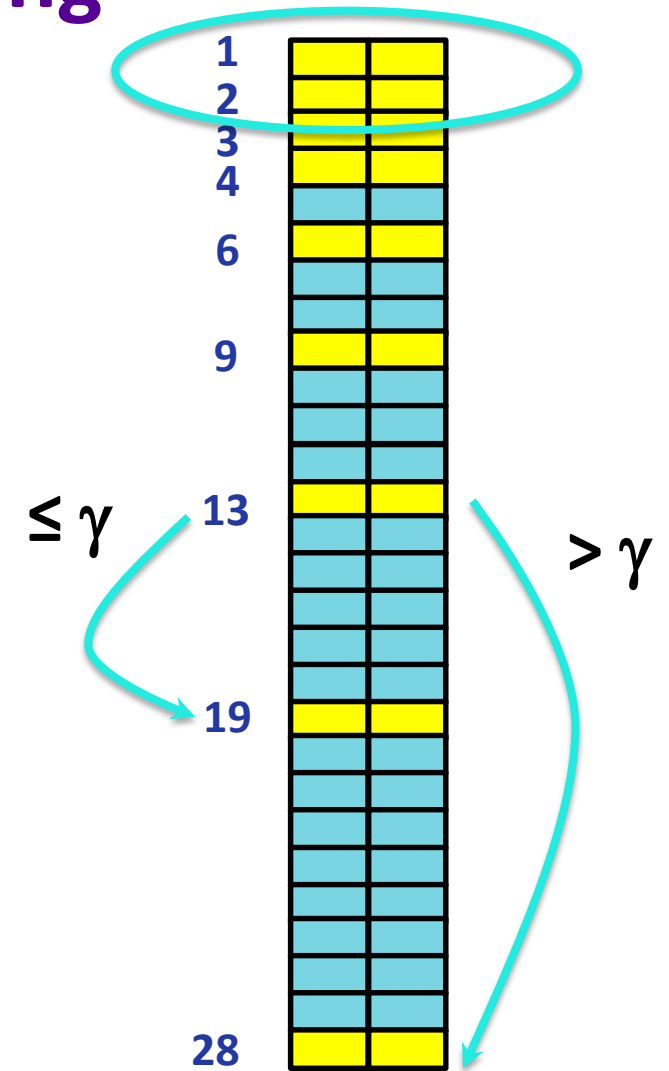
If  $\gamma=2$  and  $b=2$   
the sampled indices are:  
1, 2, 4, 8, 16, 32, ...



For  $\gamma=1.5$  and  $b=2$   
the sampled indices are:  
1, 2, 3, 4, 6, 9, 13, 19, 28, ...

# Our ESrank Algorithm: For $d=2$ with Sampling

The **sampled** distribution is of size  $O(\log_\gamma N)$

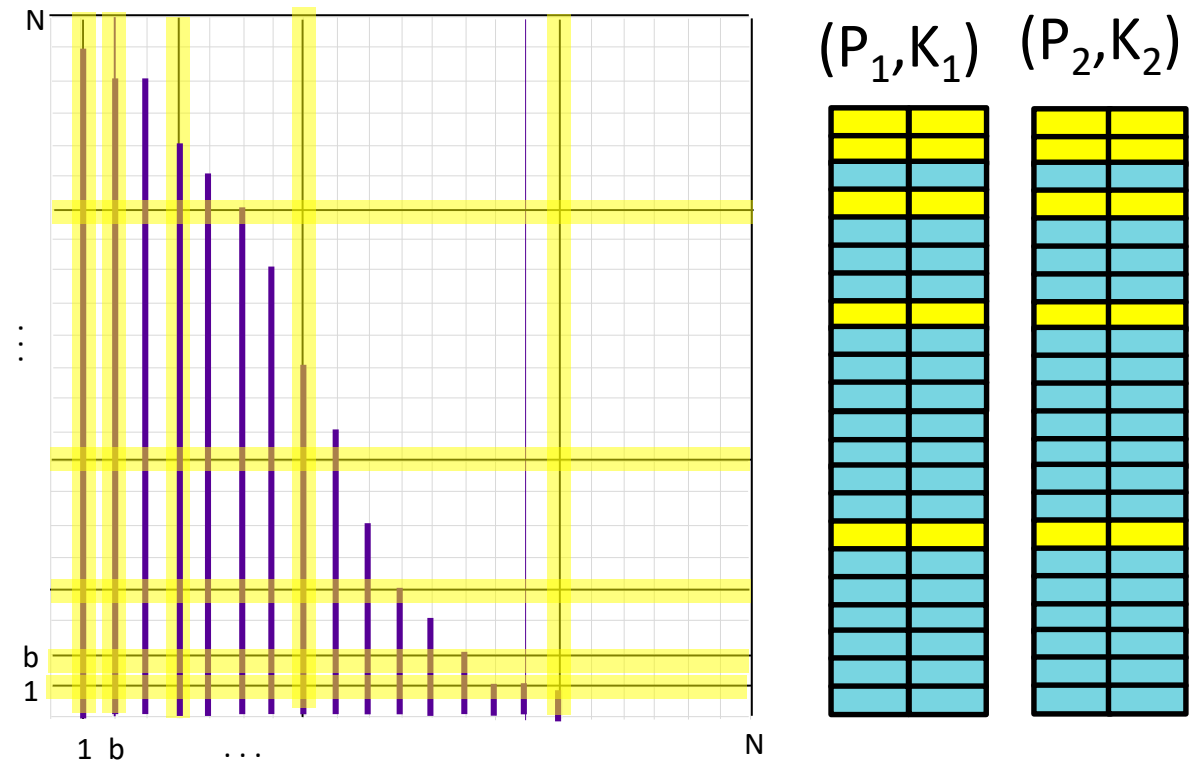




# Our ESrank Algorithm: For $d=2$ with Sampling

Now, given  
**two sampled distributions**  
 and the **correct key  $k^*$**

The goal:  
 To calculate  **$\text{rank}(k^*)$**

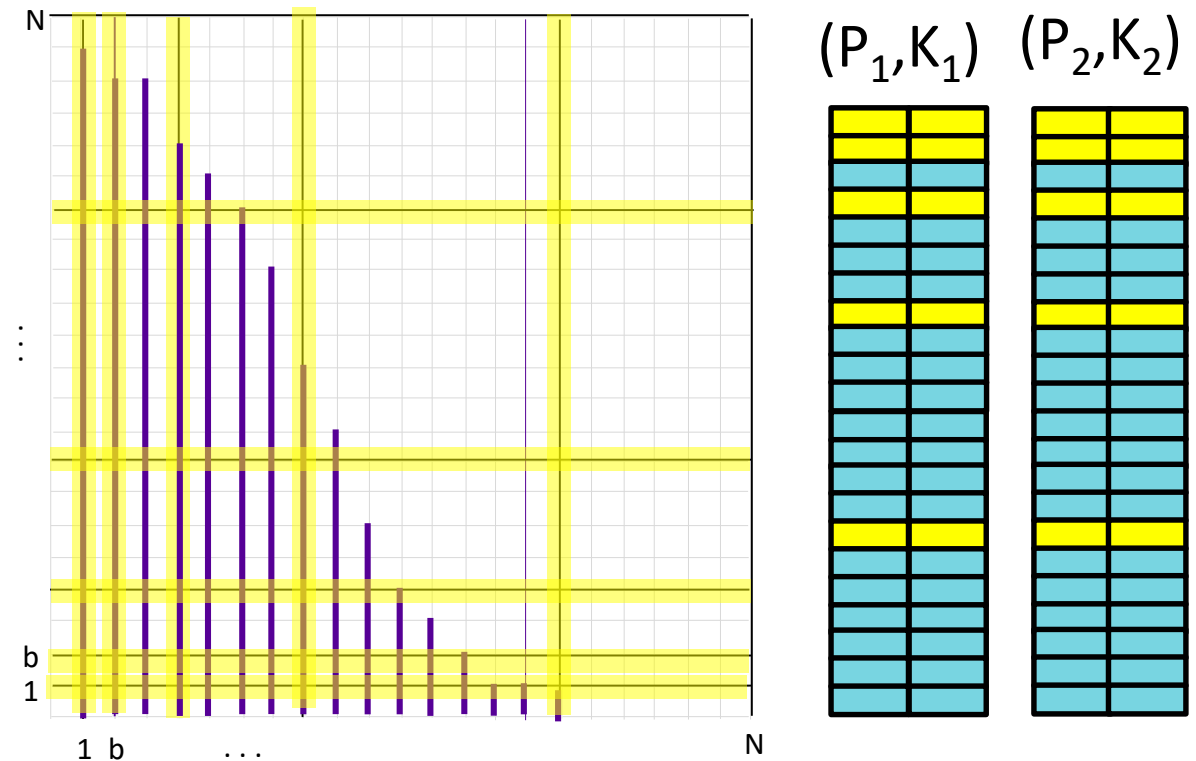


# Our ESrank Algorithm: For $d=2$ with Sampling

We only have an **access** to the **sampled indices**

Therefore, we calculate:

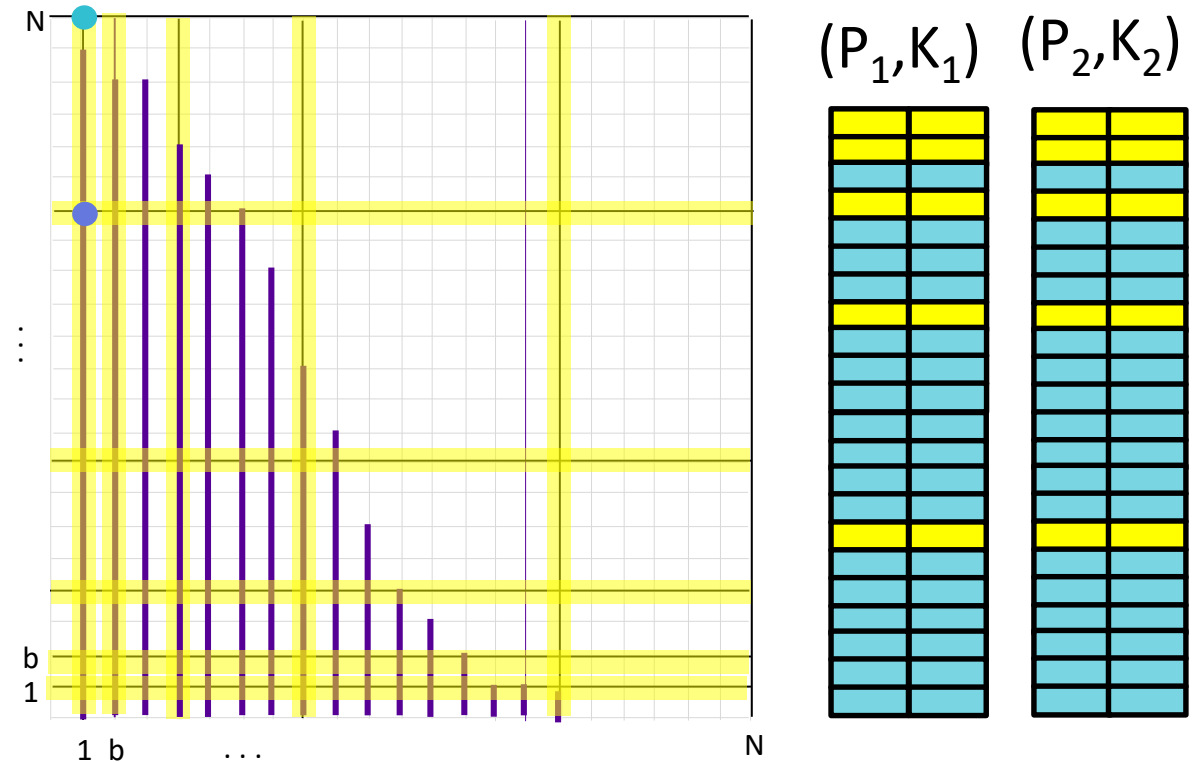
- **upper** bound for  $\text{rank}(k^*)$
- **lower** bound for  $\text{rank}(k^*)$



# Our ESrank Algorithm: For $d=2$ with Sampling

We will calculate:

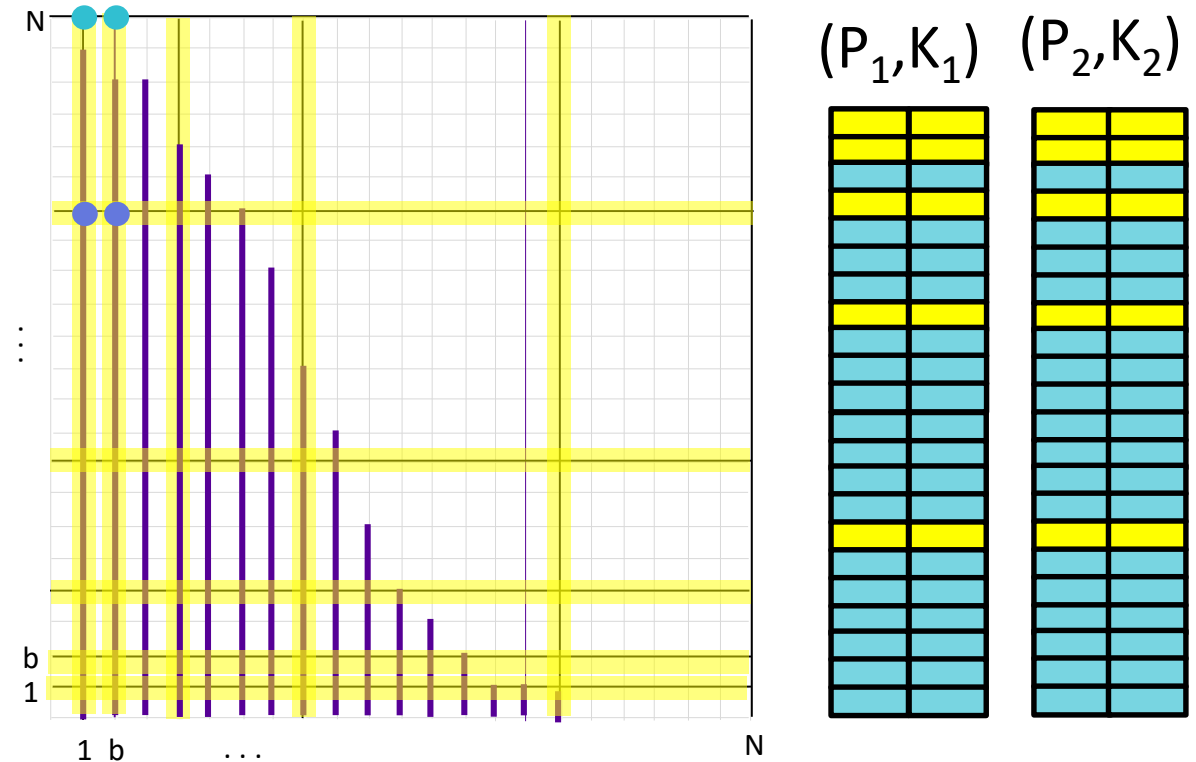
- upper bound for  $\text{rank}(p^*)$
- lower bound for  $\text{rank}(p^*)$



# Our ESrank Algorithm: For $d=2$ with Sampling

We will calculate:

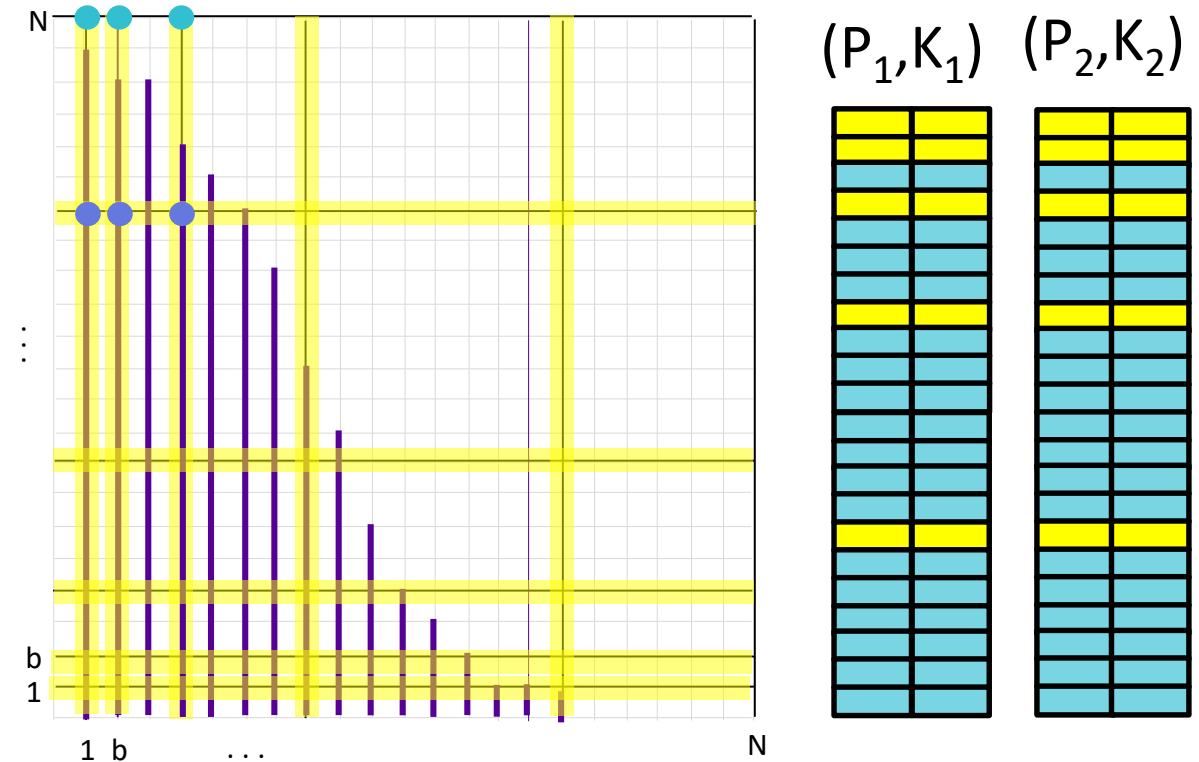
- upper bound for  $\text{rank}(p^*)$
- lower bound for  $\text{rank}(p^*)$



# Our ESrank Algorithm: For $d=2$ with Sampling

We will calculate:

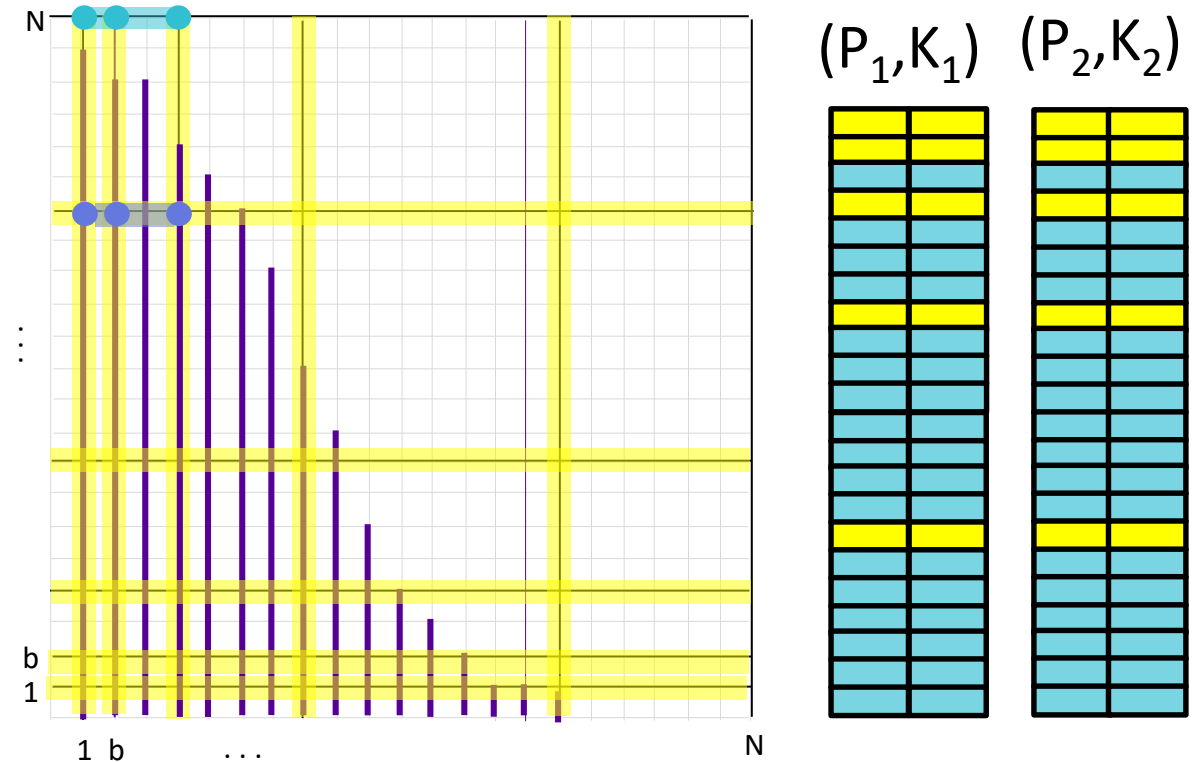
- upper bound for  $\text{rank}(p^*)$
- lower bound for  $\text{rank}(p^*)$



# Our ESrank Algorithm: For $d=2$ with Sampling

We will calculate:

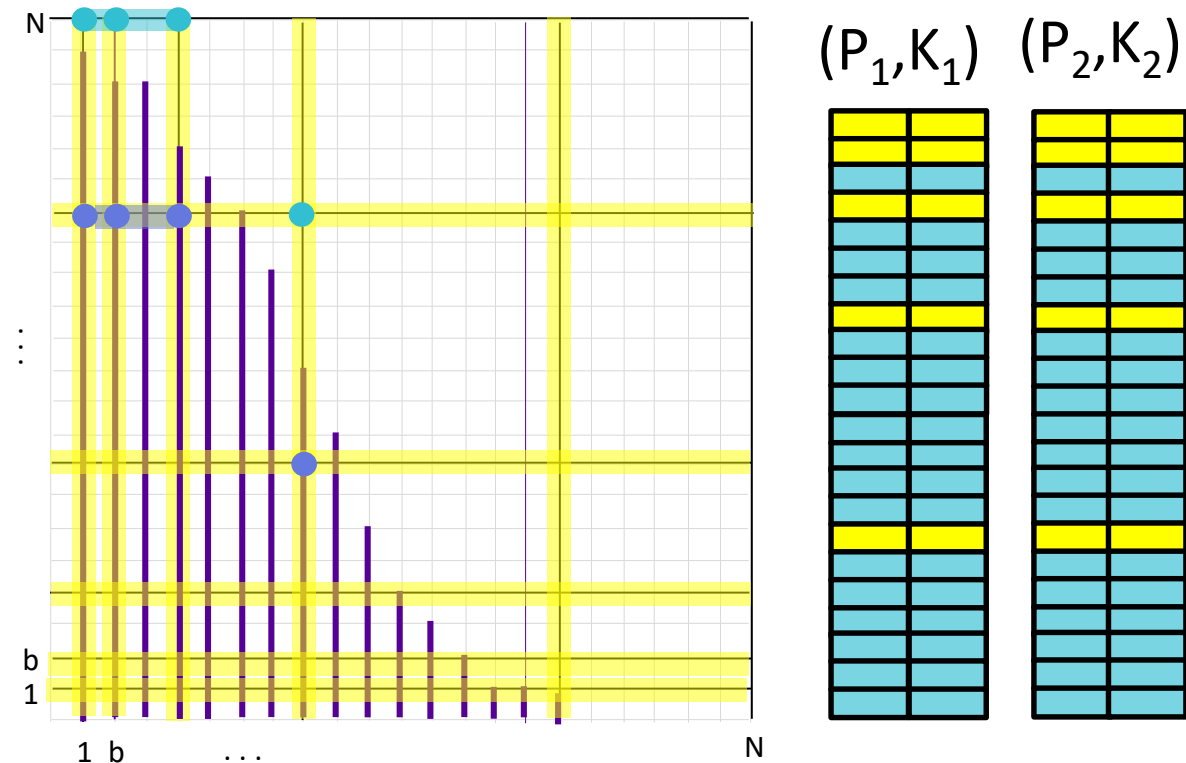
- upper bound for  $\text{rank}(p^*)$
- lower bound for  $\text{rank}(p^*)$



# Our ESrank Algorithm: For $d=2$ with Sampling

We will calculate:

- upper bound for  $\text{rank}(p^*)$
- lower bound for  $\text{rank}(p^*)$

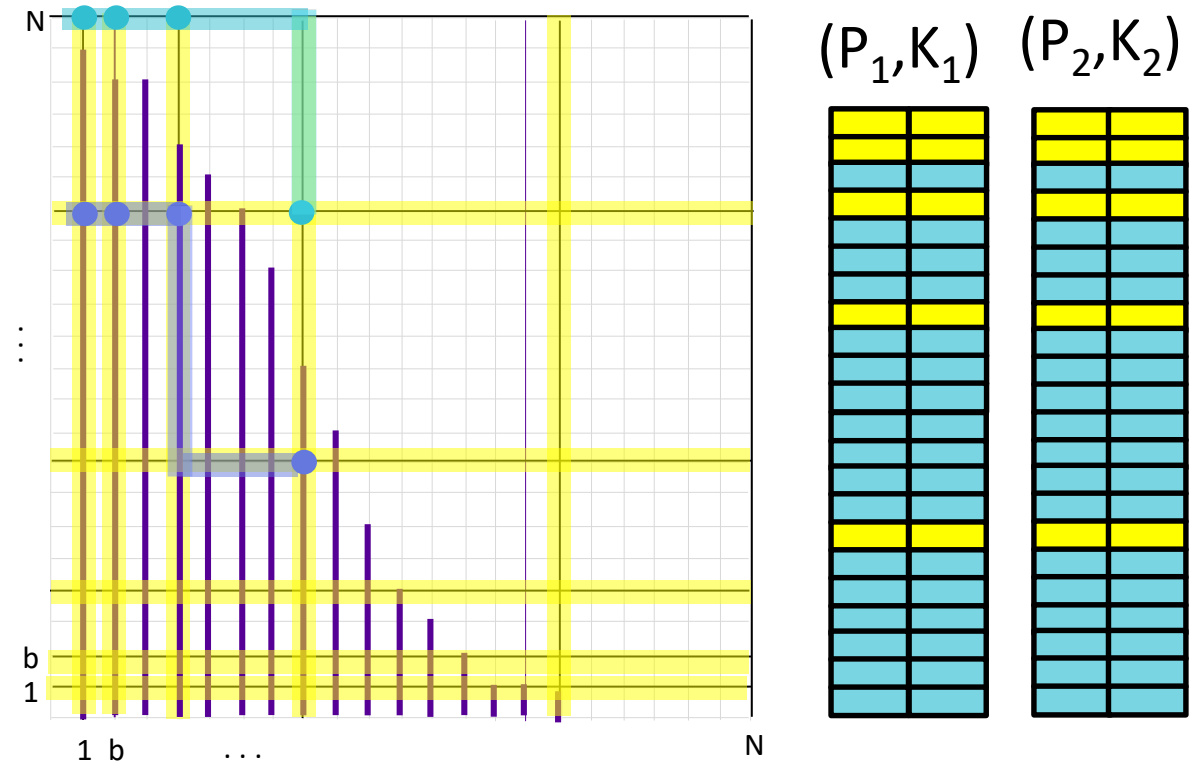




# Our ESrank Algorithm: For $d=2$ with Sampling

We will calculate:

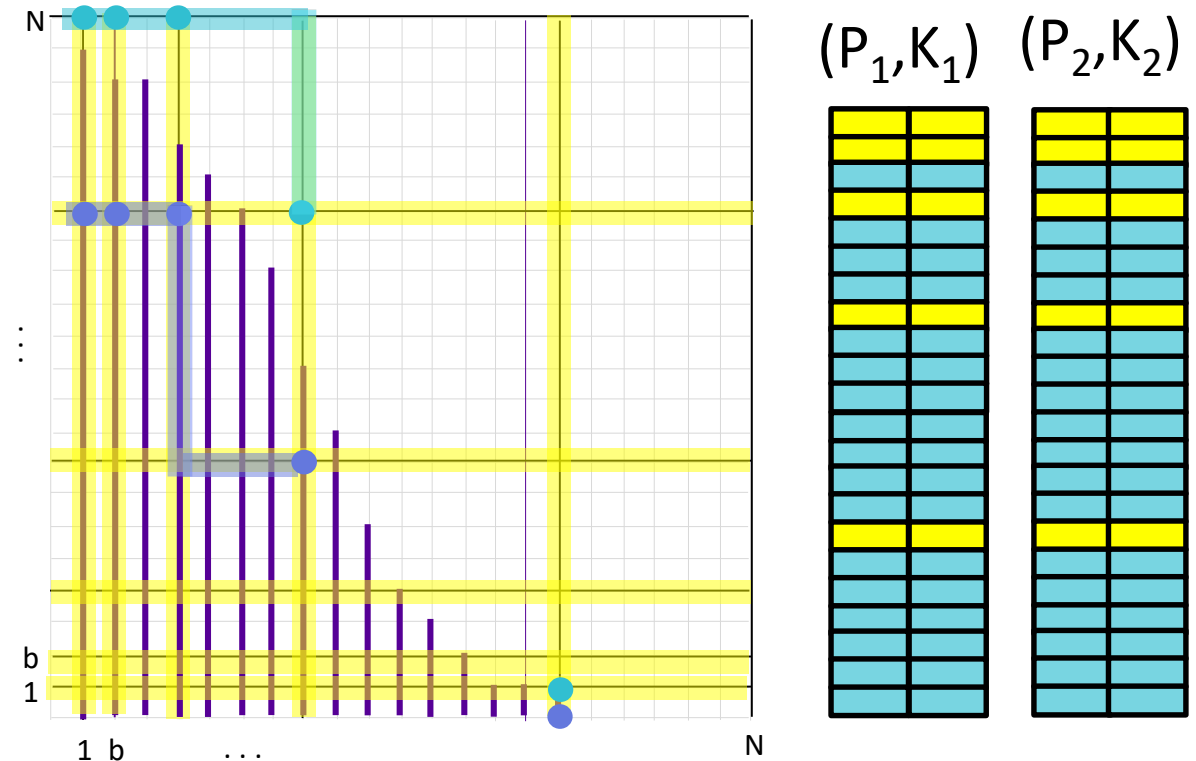
- upper bound for  $\text{rank}(p^*)$
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# Our ESrank Algorithm: For $d=2$ with Sampling

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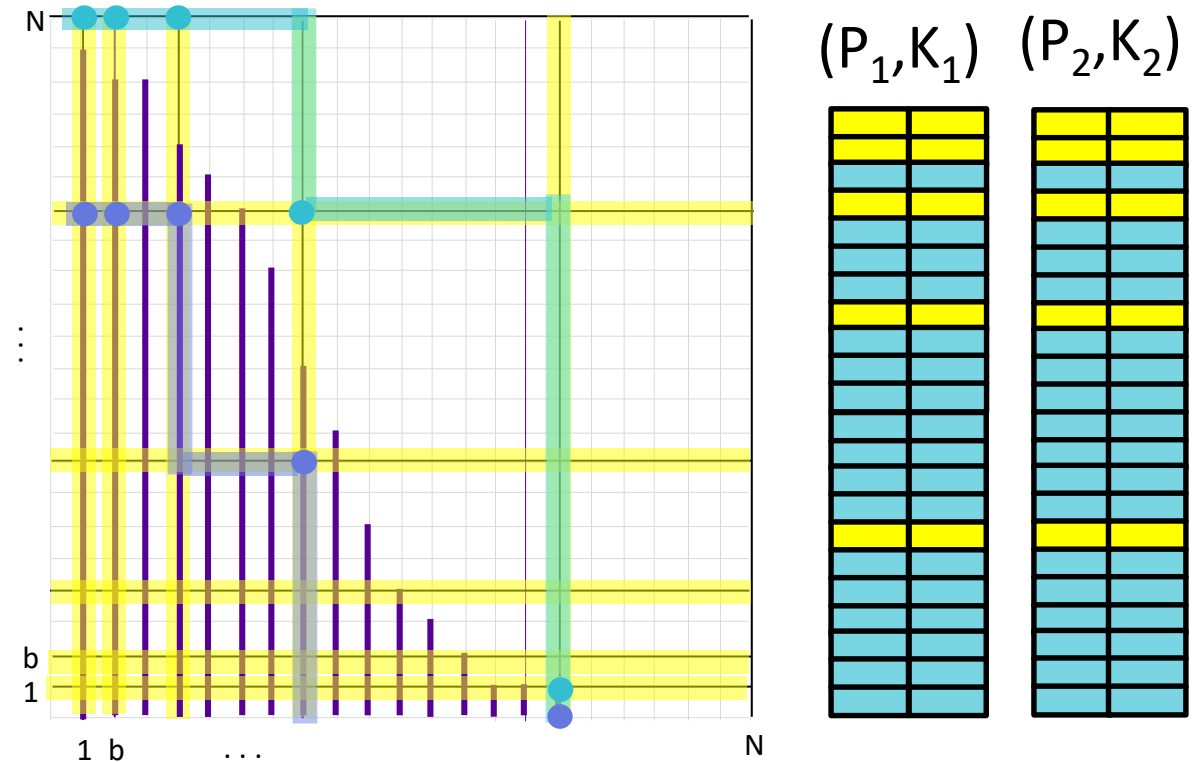
- upper bound for  $\text{rank}(p^*)$
- lower bound for  $\text{rank}(p^*)$



# Our ESrank Algorithm: For $d=2$ with Sampling

We will calculate:

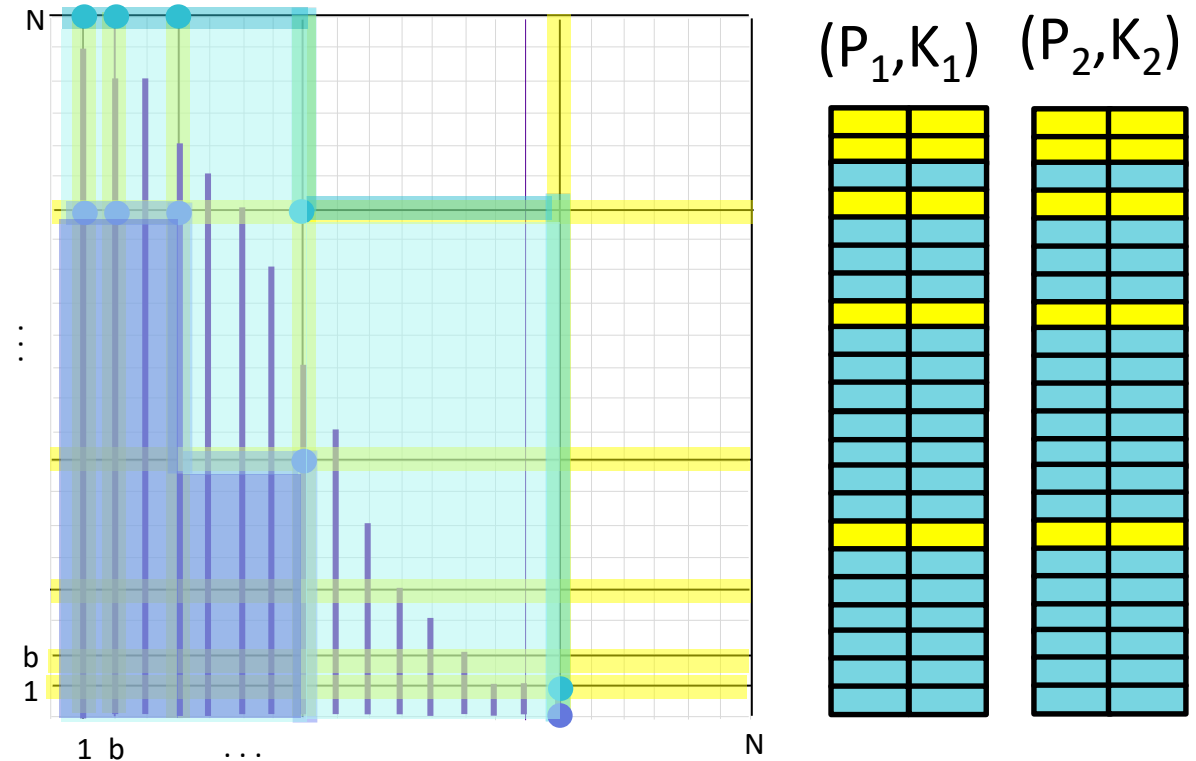
- upper bound for  $\text{rank}(p^*)$
- lower bound for  $\text{rank}(p^*)$



# Our ESrank Algorithm: For $d=2$ with Sampling

We will calculate:

- upper bound for  $\text{rank}(p^*)$
- lower bound for  $\text{rank}(p^*)$

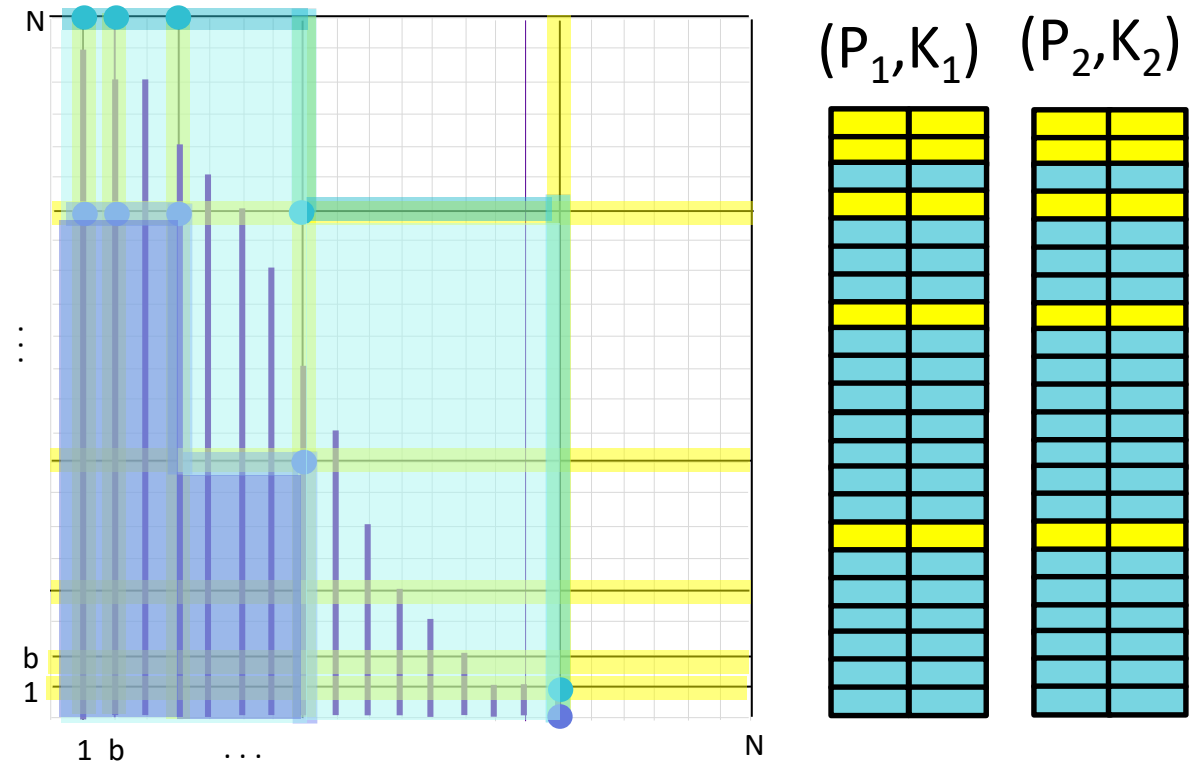


# Our ESrank Algorithm: For $d=2$ with Sampling

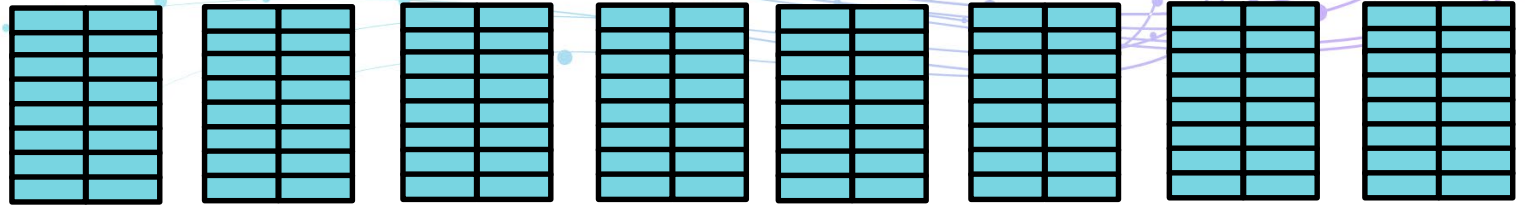
The **running time** is **linear**  
in the **size** of the  
**sampled** distribution,

Therefore  $O(\log_\gamma N)$

The **ratio** between  
the **upper** and the **lower** bounds  
for a given correct key  
is **bounded by**  $< \gamma^2$

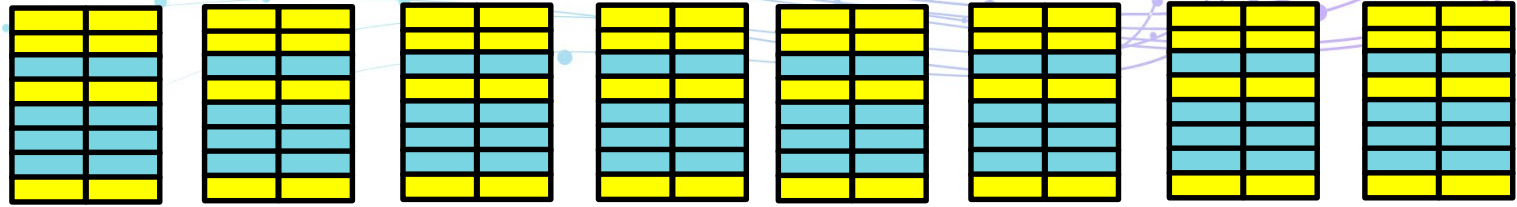


# ERank For $d > 2$



d subkey distributions

## ERank For $d > 2$



**Sample** each distribution s.t.

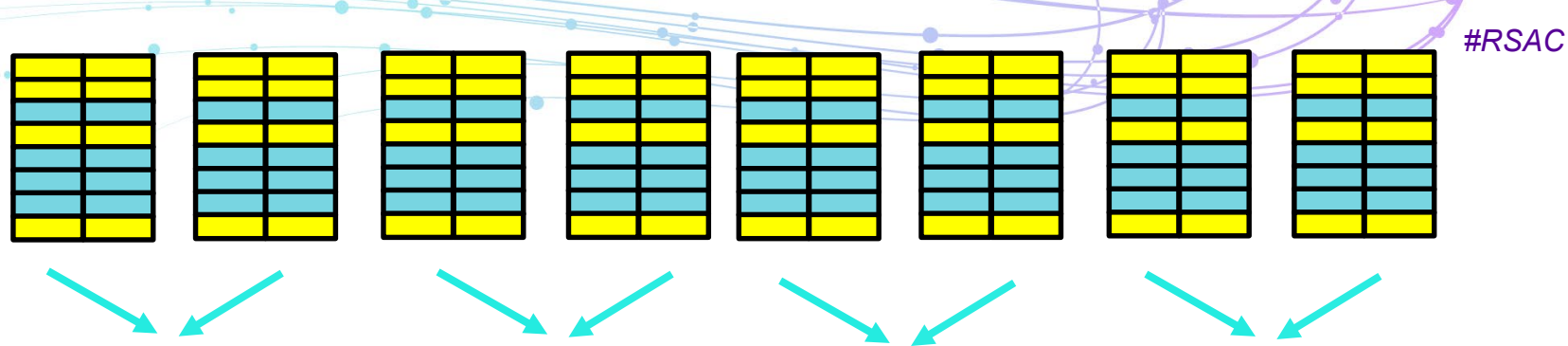
the **sampled indices** maintain  
the **invariant with  $\gamma$  and  $\mathbf{b}$**

Therefore, each of size  $\log_{\gamma} N$

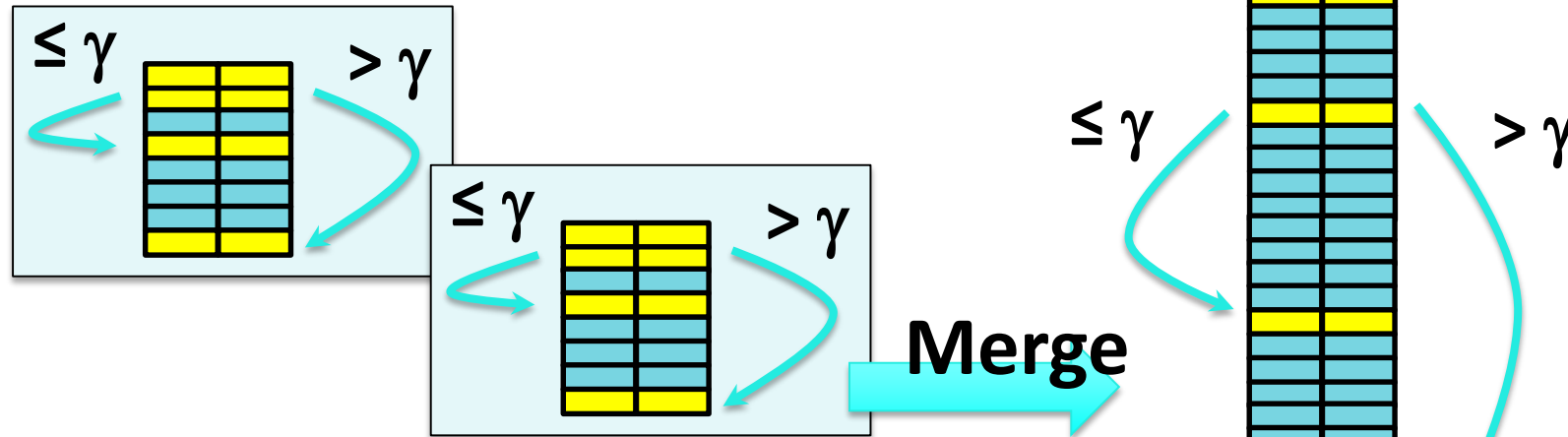


## ERank For $d > 2$

**Merge each  
two sampled  
distributions**



# ERank For $d > 2$



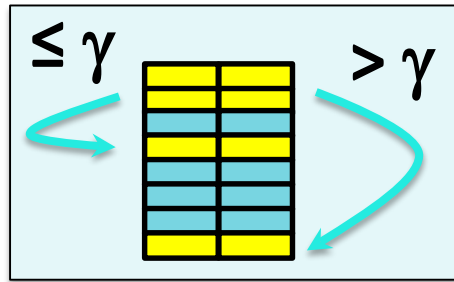
## Two sampled distributions

- Each maintains the **sampling invariant with  $\gamma$  and  $b$**
- $\log_{\gamma} N$

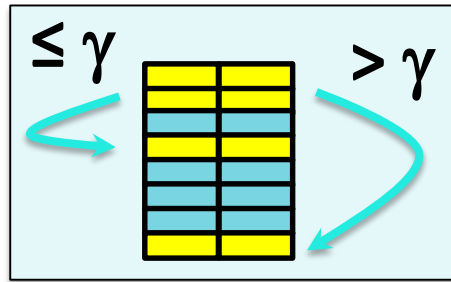
## One sampled distribution

- maintains the **sampling invariant with  $\gamma$  and  $b$**
- $\log_{\gamma} N^2 = 2 \log_{\gamma} N$

# ERank For $d > 2$

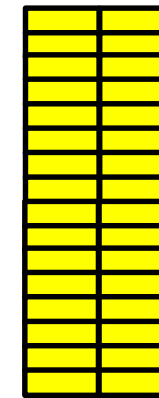


$$\log_\gamma N$$



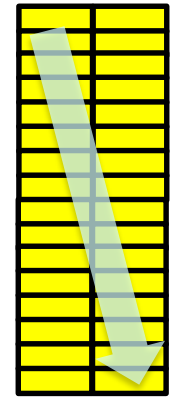
$$\log_\gamma N$$

**Calculate** the probabilities of all the  $(x,y)$  pairs



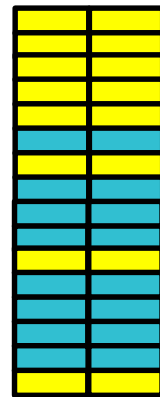
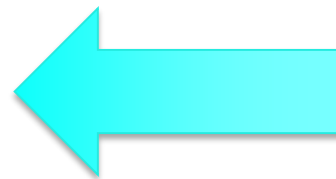
$$(\log_\gamma N)^2$$

**Sort** the pairs in decreasing order of probabilities

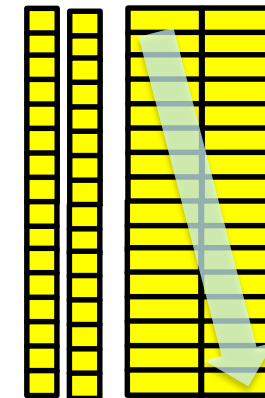


$$(\log_\gamma N)^2$$

**Sample with  $\gamma$  and  $b$**



$$\log_\gamma N^2 = 2 \log_\gamma N$$

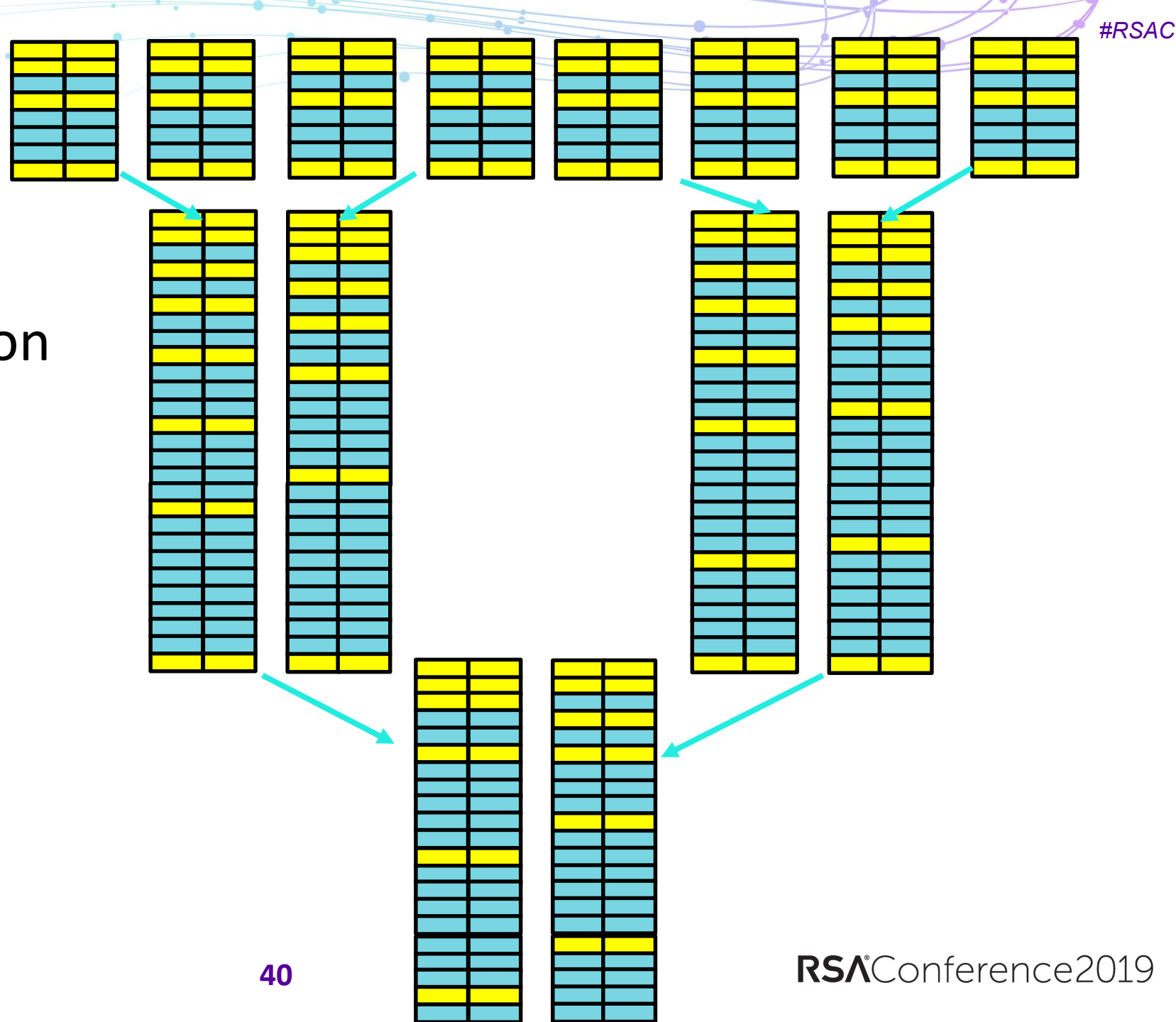


$$(\log_\gamma N)^2$$

**Calculate** the **upper** and **lower** bounds of the pairs in **accumulative way**, in **one linear pass over the pairs**

# ERank For $d > 2$

Merge each  
two sampled distribution  
with  $\gamma$  and  $b$   
into one sampled  
merged distribution  
with  $\gamma$  and  $b$



# ERank For $d > 2$

The **ratio between** the final **upper** and **lower bounds** of a given key is bounded by

$$< \gamma^{2d-2}$$



Apply ESRank for two-dimensions



# Results

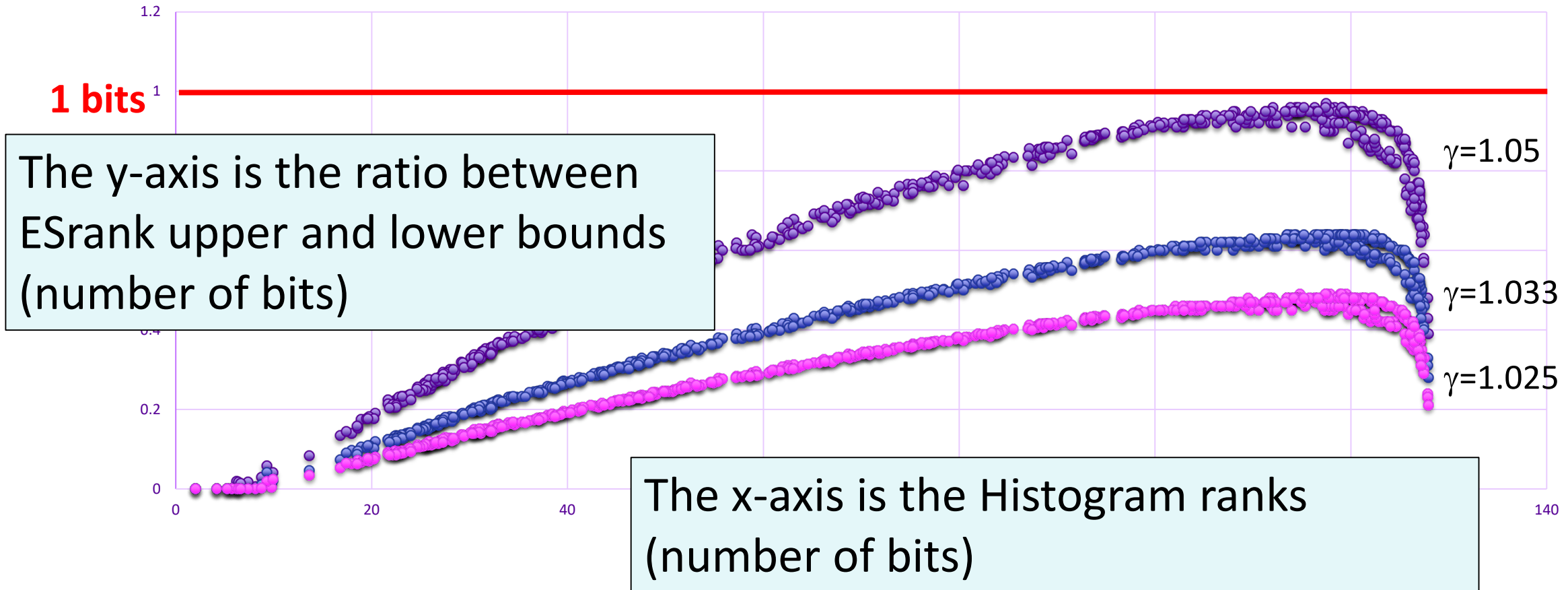
- Our probability distributions are gathered from a specific SCA against AES with 128-bits keys.
- It contains  $d = 16$  probability lists each of size  $N = 2^8$
- We merge them into  $d = 8$  lists each of size  $N = 2^{16}$
- The distributions are sorted in non-increasing order of probability.

# Results

- We measured the **upper bound**, **lower bound**, **time** and **space** for each trace using **ESrank** and the **Histogram** rank estimation of Glowacz et al. [GGPSS15].
- We run with different values of  $\gamma$  and histogram size  $B$ .

# Results - Accuracy

Excellent accuracy of less than 1 bit margin  
between ESrank lower and upper bounds





# Results – Time and Space

ESrank performance is on-par with the Histogram algorithm:

	Time (Seconds)	Space (MB)	Accuracy < 1 bit (%)
$\gamma = 1.025$	0.59	6.48	100
$\gamma = 1.033$	0.3	3.68	100
$\gamma = 1.05$	0.16	1.60	99.83
$\gamma = 1.065$	0.05	0.96	56.95
$B = 50K$	0.62	3.20	100
$B = 35K$	0.29	2.24	100
$B = 20K$	0.12	1.28	100
$B = 5K$	0.01	0.32	99.83

**ESrank algorithm gives:**

- **Excellent accuracy of less than 1 bit**
- **in less than 1 second**
- **on a standard laptop using 6.5 MB RAM.**

# Conclusion

- In this paper we propose a **new, simple and effective new rank estimation** method called ESrank.
- Our main idea is to use **exponential sampling to drastically reduce** the algorithm's **complexity**.
- We prove ESrank has a **poly-logarithmic time- and space-complexity**.
- ESrank is **simple to build from scratch**, and requires no algorithmic tools beyond a sorting function.