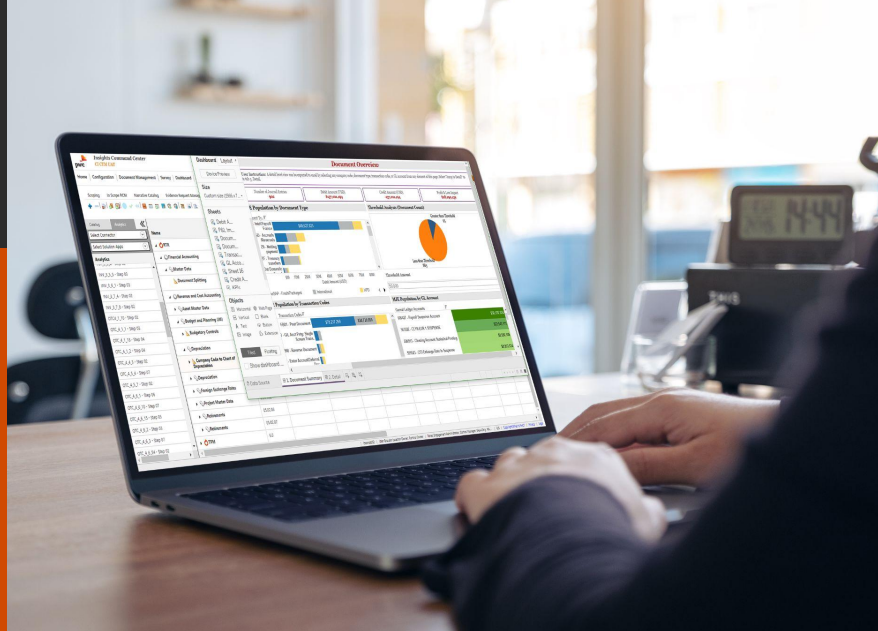


Reduce risks, automate controls, and lower the cost of compliance.



The challenge

50% of participants said their company has the right data, today, to anticipate and manage existing and emerging risk.

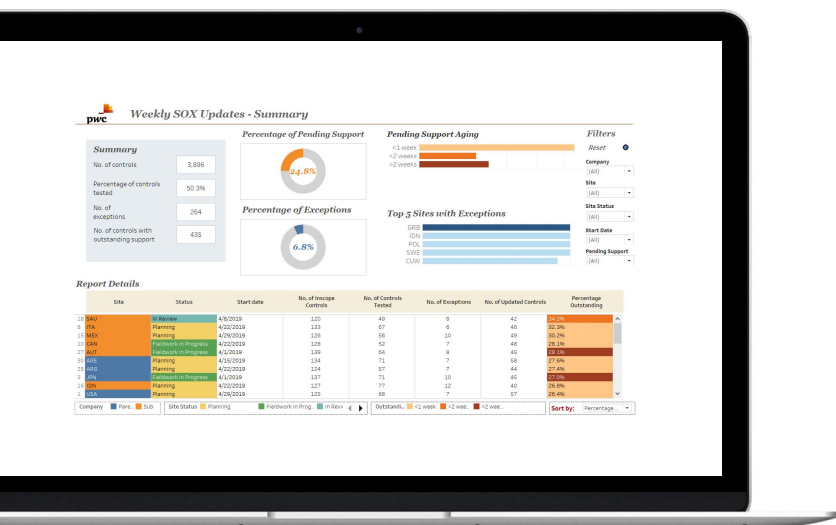
Only 33% said they have the right technology and tools to do so.

Source: PwC 2020 Global Risk Study

Our solution

A technology platform infused with trusted PwC knowledge to help automate the operation and testing of controls.

Based on PwC's risk and regulatory knowledge, our experience around enterprise applications and subsequent intellectual property can help to save you time and money in the way you manage and operate your internal controls.



Lower compliance costs

Automating the operation and testing of controls replaces time consuming manual processes, helping free your resources to focus on what matters most.

Control the unexpected

Trusted PwC intellectual property helps deliver transparency and timely discovery across your control environment.

Designate accountability

Route insights to the right business owner at the right time to help immediately identify risk anomalies or potential issues.

Benefits to your business stakeholders

Anticipate the future, help increase strategic impact



Management

Automate the operation of controls to help mitigate risks.



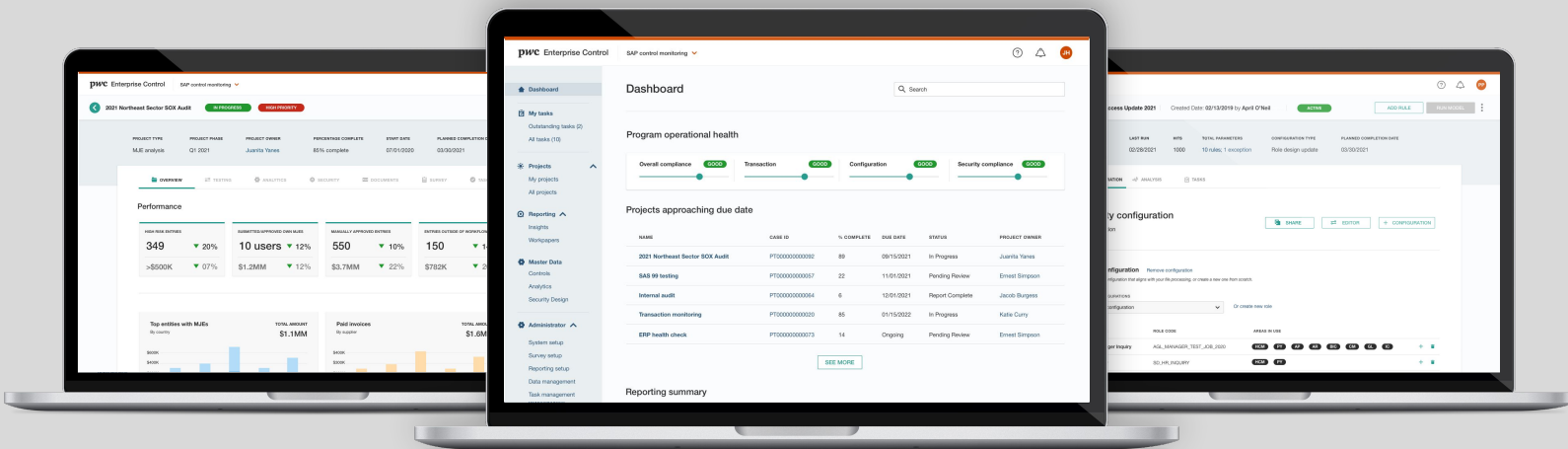
Compliance

Monitor controls to manage and reduce risks.



Internal Audit

End-to-end automation of audit activities and testing.



Enterprise Control can provide clear visibility into your risks and can help create an intelligent controls environment with the following capabilities:

ERP Control Design

Integrate technology enabled controls into and around your business application during the ERP implementation.

Security Design Modeling

Help accelerate and model your security design with PwC's design assistant, a library of pre-configured roles, to get security right from the start.

Risk & Control Management

Connect controls to tasks, assign individuals to workflows and help identify issues with remediation plans for transparency and accountability.

Automation of Internal Control Testing

Automate the extraction and analysis of relevant control evidence to automatically create test workpapers for internal controls.

Analysis of Transactions

Identify erroneous and/or unauthorized transactions in your system and help determine the qualitative and quantitative impact.

Automated System Monitoring

Continuously monitor your system configuration, master data, security or transactions and identify changes as they occur.

Infused with PwC knowledge and experience

Enterprise Control has been used to support thousands of clients across multiple sectors and includes a continuously-expanding library of capabilities that helps organizations manage a multitude of risks across their environment.

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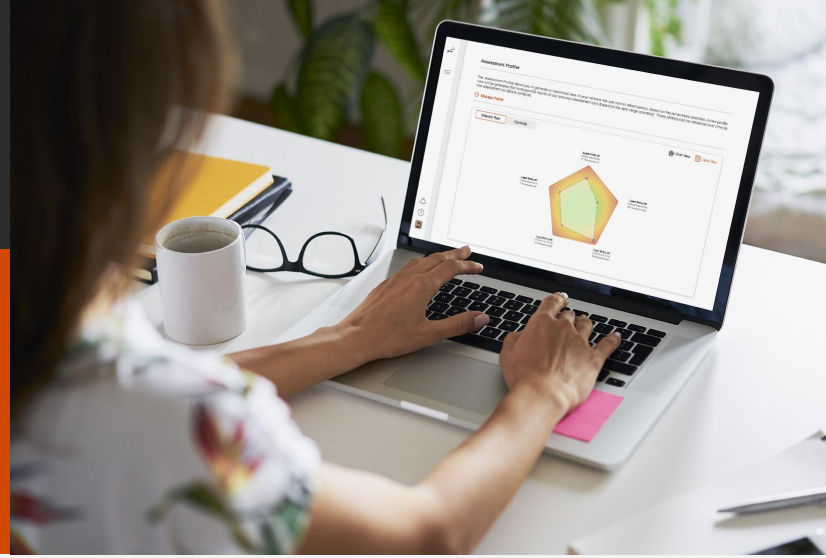




Ready Assess

A PwC Product

Prevent tomorrow's threats today



The challenge

The cost of risk is rising as fraud continues to evolve

\$42B

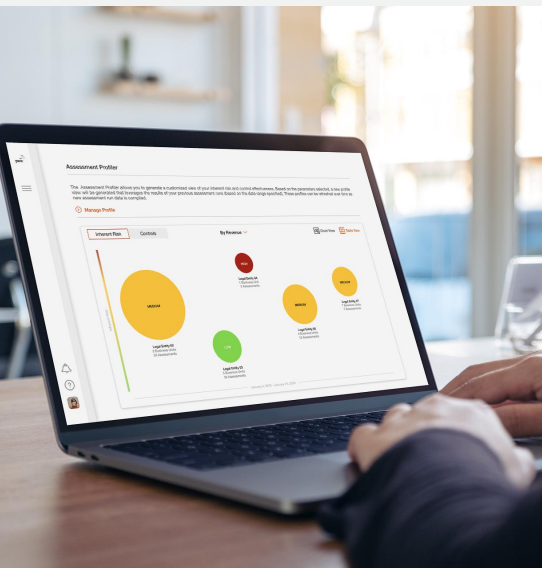
losses reported due to fraud in the past 24 months

Source: PwC's Global Economic Crime and Fraud Survey, 2020

Our solution

What if you could help assess and manage financial crime from one consolidated place?

Ready Assess, a PwC Product, empowers your organization to think faster and act quicker to stay on top of the ever-changing world of financial risk. It streamlines the disparate parts of risk assessments into a single centralized platform—making the entire process visual, interactive, trackable and actionable from set-up through analysis. Spend less time on manual tasks and more time knowing what risks and controls to focus on so you can help increase your protection.



Enhance workflow efficiency

Ready Assess helps transform the manual spreadsheet-based assessment process into a fully digital approach, further reducing the possibilities for human error and time wasted on repetitive work.

Improve consistency across your enterprise

With all your assessment questions organized into a template you control, your process can be standardized for more dependable scoring throughout your various lines of business.

Promote process accountability

Utilize a fully searchable audit history of actions taken on any assessment to understand who or what data drove a given response and gain a better view of your historic decision-making.

Be prepared with a data-driven approach

Access an ever-expanding library of assessment questions and other relevant content that adapts to ongoing disruptions and other significant changes in global financial crime.

The Ready Assess difference

Enabled by 30+ years of financial crimes experience



Get assessments on demand

The end-to-end risk assessment process is consolidated in one place digitally so you can see results whenever you want and know your resources are allocated to the right initiatives.



Customize to fit your methodology

Build a centralized approach tailored to your organization's needs with configurable assessment entities, scoring, questions, reporting and workflow.



See risk, differently

Interactive reporting allows you to segment data and create visually-rich charts so it's easy to understand the big picture of financial risk or drill deeper into the finer details for new insights.



How does Ready Assess help you identify, assess and respond to risk?

Start with a configurable approach

Ready Assess is simple to set up and can connect easily with your existing APIs and data files. It keeps users notified of any updates, making your assessments quickly adaptable to any changes.

Get more insights and less spreadsheets

Thanks to an automated scoring engine, your team is freed up to focus on core aspects—whether it's informing decisions on new markets or identifying opportunities within current markets.

Source smart, quick and seamlessly

From transaction monitoring systems to core banking systems, you'll get smooth integration with your third-party vendors. Access the data you need directly without leaving the platform.

Leverage a transparent roadmap

Get the flexibility to customize roles for every member of your team. With a clear view of your assessments, you can enable each user to focus on doing only what they are approved to do.

Keep track of everything

Facilitate continuous collaboration across your organization. The timeline feature tracks all actions, by all users, so internal teams can find changes that could have impacted any given assessment.

Monitor your progress in near real-time

Get up-to-date statistics on all your assessments across your risk and control categories. See which questions have been completed and how many are pending responses in a single glance.



Financial institutions should be thinking about how to modernize their financial crime workforce. PwC is clearly helping financial institutions navigate that journey, overcome internal resistance, change the culture, and get buy-in at all levels.

Steven D'Alfonso,
IDC Financial Insights

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Ready Assess

A PwC Product

Combat bribery and corruption risk with the power of AI



The challenge

78%

of chief executives do not believe their risk exposure data to be comprehensive enough to inform their decisions

Source: PwC, 22nd Annual Global CEO Survey, 2019

Our solution

What if you had a clearer view of your risk while lowering operational costs?

Risk Detect, a PwC Product, helps you get to key data points quickly and efficiently. From transactions, to loan document compliance, reviewing data to find the answer to a complex problem can be burdensome. This product does the heavy lifting for you. Target high-risk activities in rapidly changing markets and better allocate your resources accordingly through the application of advanced analytic algorithms and machine learning.



Proactive risk identification

Whether fighting fraud, or cracking down on bribery and corruption, the keys to a successful transaction monitoring program are; proactive monitoring (including alerts, taking you to the source of the problem), and action frameworks that enable alerts to be managed from identification through remediation.

Reduce stare and compare reviews

Reduce the time-consuming and costly aspects of compliance testing by automating the document review process in a single platform. Check your entire portfolio in a fraction of the time it would take to manually test a smaller set.

Effortlessly integrate diverse data streams

Collect data from popular cloud applications and sort your disparate data, pulling myriad data sources into one stream for pattern recognition that is presented in compelling visualizations.

The Risk Detect difference



Tested AI

We leverage advanced algorithms and AI, coupled with years of industry experience to help detect risks faster and with greater accuracy.



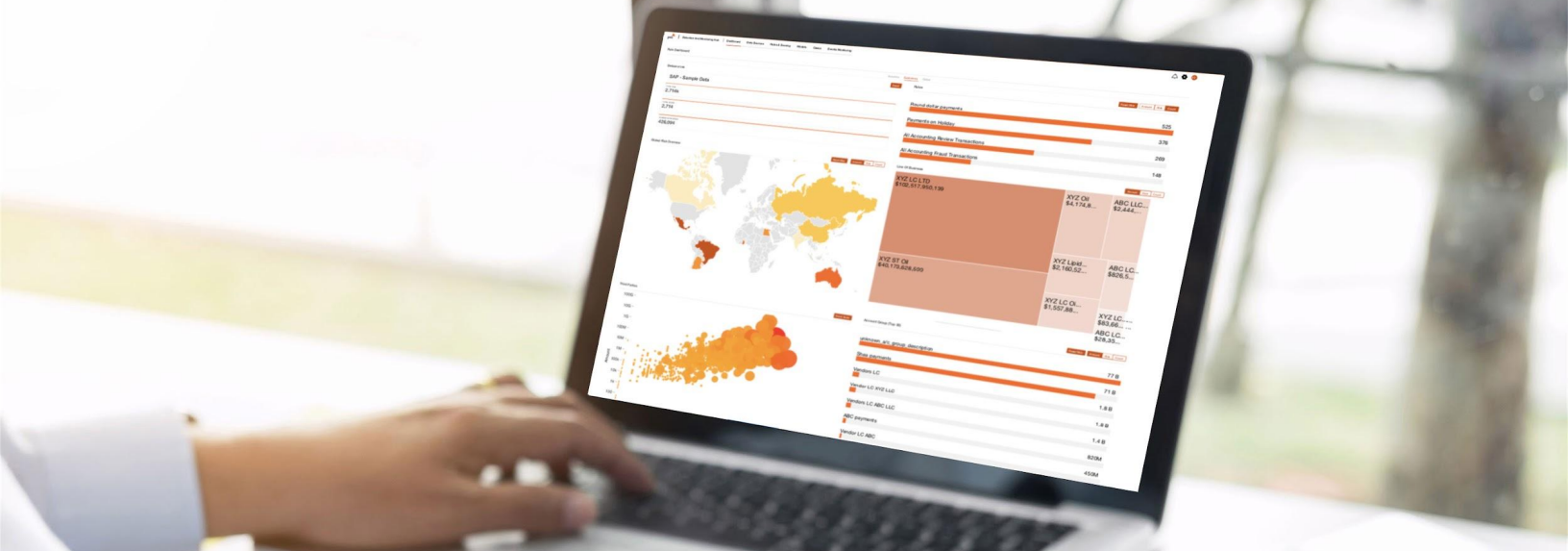
Rapid Deployment

Risk Detect connects data, systems and processes to form a single source of truth. It's built to get off the shelf and into action quickly.



Tailored Analytics

Control the focus of your analyses to one or multiple risk areas. Gain a total personalized view of what's necessary to help stay on top of your risk.



How does Risk Detect identify, assess and respond to risk?

Automate data ingestion and data enrichment

Use our data connectors to automate data ingestion from disparate sources such as ERP systems, payment hubs, custom-built applications, and third-party compliance technologies into a single system for a more holistic view of risk.

Leverage out-of-the-box risk indicators

Accelerate your team's ability to uncover potentially inappropriate behavior with our established risk indicator library, a collection of indicators developed from decades of experience and our understanding of industry trends and regulatory expectations.

Develop and deploy business rules

Develop customized business rules, use our library, or leverage our proprietary AI-driven Rule Extractor to develop rules that are optimized based on your data.

Create and optimize smarter models with ease

Build powerful machine learning and AI models with ease to help identify issues that are not visible to the human eye. Leverage our model optimizer to tune your analytics and enable continual enhancement. Import or export models for use in other channels and investments.

Generate alerts and investigate quickly

Empower your team to explore transaction data for further review. Use the case management and workflow capabilities to create a central virtual team room and to create a defensible record of actions.

Use the data to tell the story

Get powerful actionable insights on the performance of your risk management program and operations. Share reports on your overall risk program with key decision-makers.

“ We started with post-deal reviews and are now proactively identifying high-risk deals before entering into them to help prevent non-compliance before it happens.

Compliance Officer,
Fortune 100 Technology Company

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