



One Place to Manage and Streamline Your Compliance Programs

Streamline information security and privacy compliance across the enterprise — including SOC, ISO, PCI, NIST, CMMC, GDPR, NYDFS, and more — in one integrated solution.

- SIMPLIFY MANAGEMENT
- INCREASE EFFICIENCY
- IMPROVE COLLABORATION



Assess Once and Comply with Multiple Frameworks

- **Create Common Controls** — Easily link framework requirements, controls, and risks to create common controls across frameworks and standards.
- **Reduce Duplicative Work** — Leverage the common controls crosswalk to visualize the overlap across frameworks and avoid audit fatigue.
- **Streamline Gap Analysis** — Become compliant with additional frameworks more quickly by easily identifying compliance gaps between your organization and frameworks or industry standards.

Framework Crosswalk				
Domain	Control	PCI DSS	ISO/IEC 27001	NIST
AC Access Control	AC.3.C10 User Access Reviews. Review user access (e.g., employees, contractors, customers, business partners, and/or supplier relationships) to data and organizationally-owned or managed (physical and virtual) applications, infrastructure systems, and network components shall be authorized by the organization's management prior to access being granted and appropriately restricted per established policies and procedures.	PCI DSS: 6.4.2 PCI DSS: 6.4.1	ISO/IEC 27001: A8.1.1	NIST
ID Identify	ID.BE.C03 Priorities are established. For organizational mission, objectives, and activities are established and communicated. Priorities for organizational mission, objectives, and activities are established and communicated.	PCI DSS: 6.4.2 PCI DSS: 7.2 PCI DSS: 7.1	ISO/IEC 27001: A12.2.1 ISO/IEC 27001: A13.2.3 ISO/IEC 27001: A8.2.3	NIST
RC Recover	RC.CO.C01 Public relations are managed. Public relations are managed properly and reputation after an event is repaired.	PCI DSS: 6.2	ISO/IEC 27001: A14.1.2 ISO/IEC 27001: A14.1.3	NIST
PR Protect	PR.DS.C04 Adequate capacity to ensure availability is maintained. Adequate capacity to ensure availability is maintained for all critical systems.	PCI DSS: 4.1 PCI DSS: 4.3 PCI DSS: 4.2	ISO/IEC 27001: A14.1.2 ISO/IEC 27001: A14.1.3	NIST

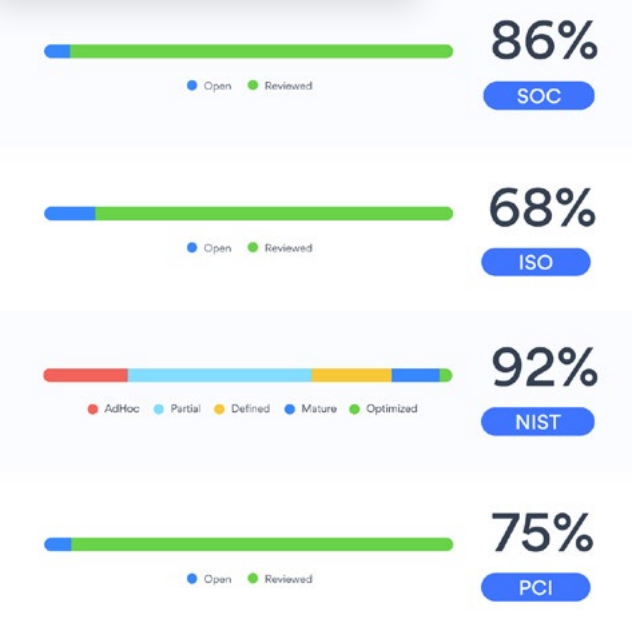
☒ Projects

Self-Assessments

- SOC Audit Readiness Self-Assessment
- ISO Audit Readiness Self-Assessment
- NIST Audit Readiness Self-Assessment
- PCI Audit Readiness Self-Assessment

Audit Readiness

Information Security Surveys



SIMPLIFY TESTING TO AVOID AUDIT FATIGUE



In our work across our required audits, such as SOX, ISO 27001, and SOC 1, there is a fair amount of overlap in controls resulting in duplicative testing.

Through the use of AuditBoard, we have visibility to the common set of controls across all required audits, and can easily export a matrix of the coverage and testing performed across all domains.

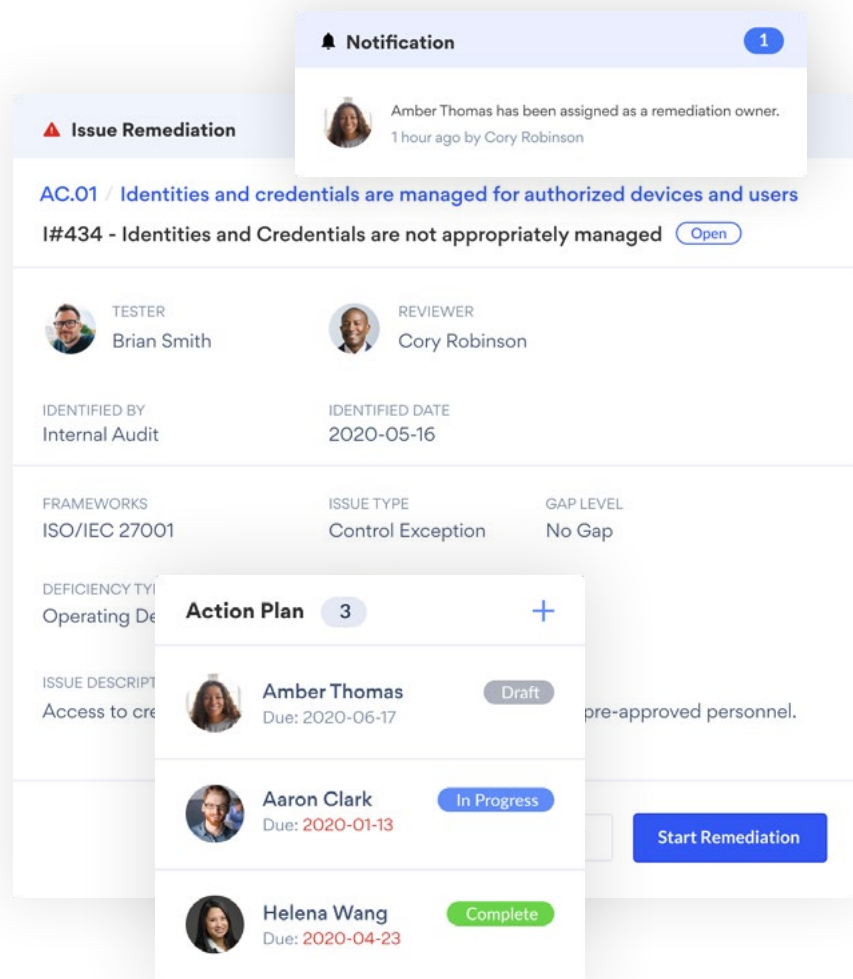
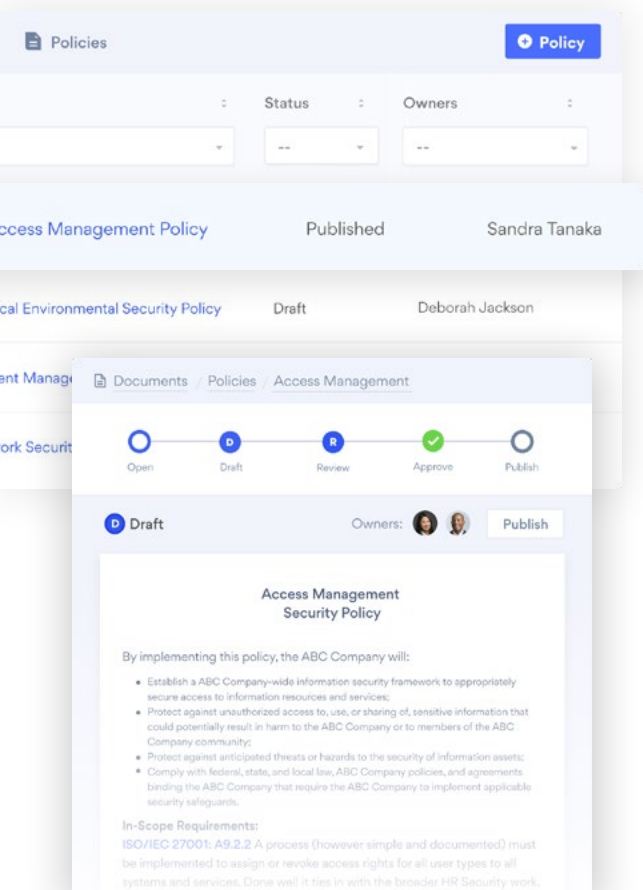
JOYLINE JACOB-WARNEKE | CSG
Internal Audit Manager

Keep Assessments and Tasks on Track

- **Real-Time Status** — Get visibility into the results of assessments across frameworks and save time creating status reports.
- **Built-in Workflow** — Leverage built-in workflows to streamline self-assessments, audit readiness assessments, and controls testing.
- **Automate Evidence Collection** — Save administrative time spent on evidence collection and follow-ups. Once approved, evidence can be easily shared with external assessors.
- **Robust User Permissions** — Reduce time liaising with consultants, auditors, and regulators by providing them role-based access to the platform.

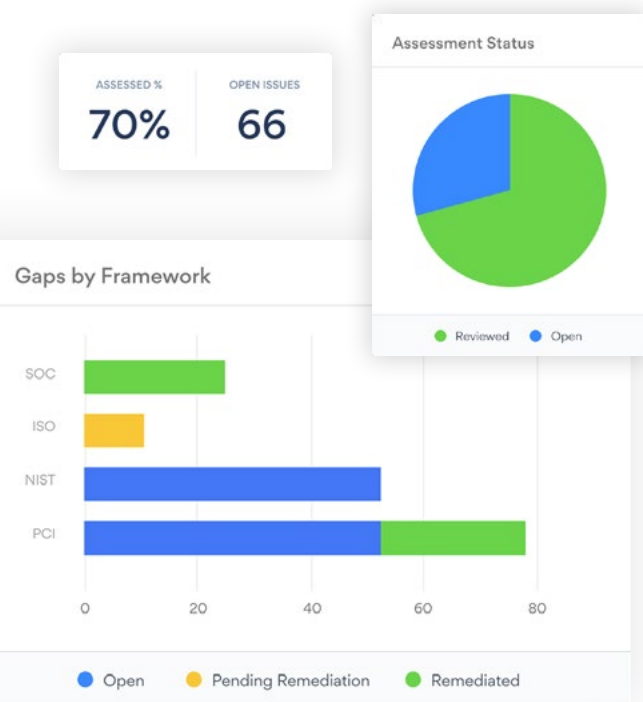
Establish Ownership and Oversight for Issues and Exceptions

- **Create Accountability** — Engage remediation owners to validate issues and put action plans into place. Eliminate manual follow-ups with automated notifications and reminders.
- **Update Once, Update Everywhere** — Save time by updating documentation one time. The platform will instantly update associated control pages, issue logs, and reports.
- **Simplify Action Plans** — Leverage workflows to create action plans, track milestones, and manage remediation.



Manage the Entire Policy Lifecycle

- **Centralize Policy Management** — Simplify how you manage policies by centralizing policy creation, review, approval, and dissemination to all stakeholder groups.
- **Streamline Policy Review** — Ensure policies stay up to date with a built-in change management workflow and automated reminders. Obtain and track approvals from key stakeholders, including your organization's board or committee.
- **Perform Application Mapping** — Get visibility into the relationships between policies, controls, and requirements. Quickly identify policy gaps through a singular matrix view that highlights unmapped framework requirements.
- **Improve Version Control** — Quickly determine which policy is in effect, and as of when. Easily reference prior versions as needed.



Get Real-Time Insights into Your Compliance Program

- **Automate Status Reporting** — Save time creating operational and executive-level reports and dashboards. View the status and results of assessments at a glance. Quickly identify bottlenecks and assign corrective actions.
- **View Control Maturity Trends** — Implement your maturity model and measure control performance against your desired maturity level.
- **Track Issues in Real Time** — Get visibility into identified issues, gaps, vulnerabilities, and action plans with visual dashboards and powerful reports. Drill down into specific frameworks to slice and dice as desired.
- **Visualize Program Impact** — Leverage state-of-the-art business intelligence and data visualization to demonstrate the business value added through assessments, issues resolved, and risks mitigated.

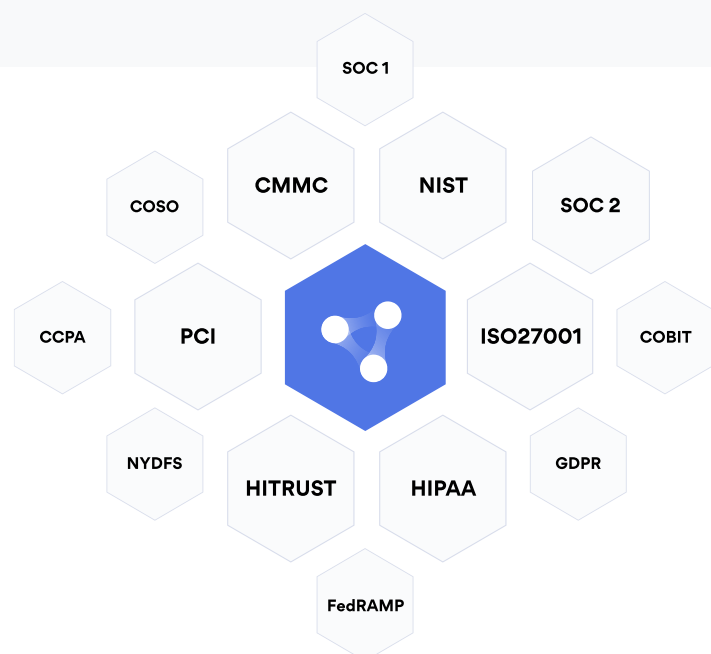
SAVE TIME ON ADMINISTRATIVE TASKS

“AuditBoard’s Jira integration has helped us reduce manual efforts involved in our quarterly user access review process. They continue to be a great partner in helping us achieve our goal of automating our compliance process as much as humanly possible.

EDWIN MIRZAYANS | The Trade Desk
Senior Manager, Technical Compliance

Manage Compliance Across Controls and Frameworks

With AuditBoard CrossComply, you can simplify compliance management across a broad array of industry standards and requirements, including:





Elevate Your Risk Management Programs

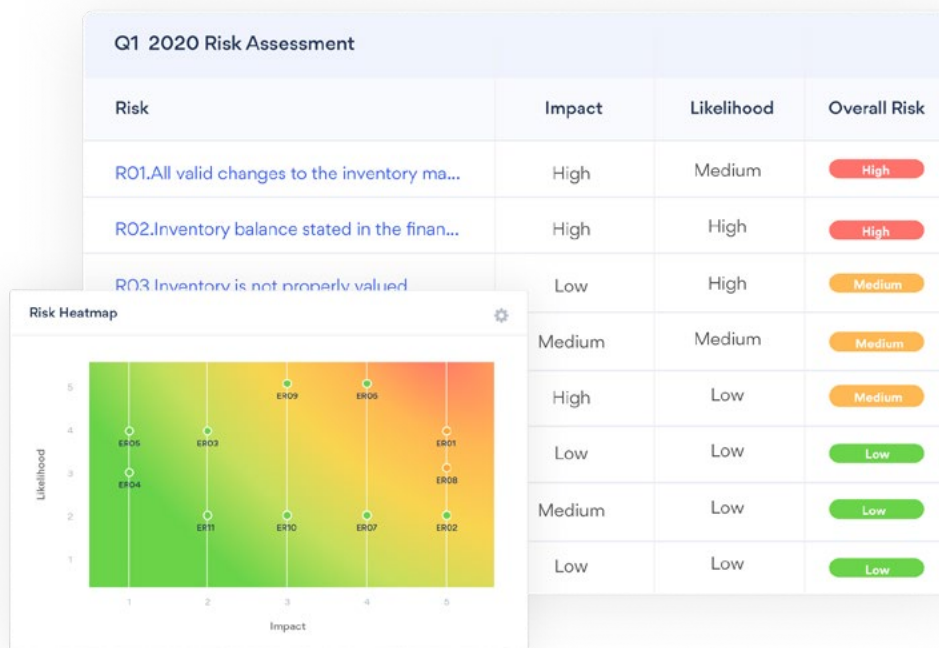
Execute the identification, assessment, response, mitigation, and monitoring of risks — in a highly integrated, visual, and intuitive way.

- **CENTRALIZE RISK MANAGEMENT**
- **GAIN VISIBILITY INTO RISKS**
- **STREAMLINE RISK ACTIVITIES**
- **STAY AHEAD OF RISK**



Centralize and Unify Risk Data

- **Standardize Risks** — Increase collaboration and create a common language for risk across the organization.
- **Provide Confidence** — Ensure the timeliness, quality, and availability of risk data and trends.
- **Increase Visibility** — Unify and visualize risk data in one central location to gain a holistic view of your risk environment.
- **Save Time** — Link risks, controls, and mitigating activities across the AuditBoard platform to avoid needing to copy/paste changes throughout documentation.



GAIN A HOLISTIC PICTURE OF RISK

“This is exactly what I’d been looking for! RiskOversight gives us a more sophisticated view into our risk universe by centralizing all the risk information into a single place.”

STEVE HIZAK | United Bankshares
Senior Audit Manager

Streamline Your Risk Management Program

- **Automate Risk Assessments** — Distribute assessments to appropriate stakeholders in just a few clicks.
- **Dynamically Score Risk** — Collect impact, likelihood, controls strength, and other risk criteria ratings.
- **Customize for Your Process** — Flexibly perform risk surveys or interviews to fit your unique risk assessment process.
- **See Real-Time Responses** — Easily aggregate risk assessment results with a real-time visual summary of survey responses.
- **Increase Accountability** — Engage business owners with award-winning user experience and unlimited user licenses.

Q1 2020 Enterprise Risk Survey

1. **Impact** Average Score: 4

Inherent Risk refers to the risk posed by an event before a company addresses it (i.e., the risk to the company in the absence of any internal business processes, controls, or other actions it might take to either reduce the likelihood of the event or mitigate the severity of its impact on enterprise value). Use the following as a guide to rate the Impact: Risk Rating Scale.

RATING VALUES

- (1) Low: < \$500K, may result in some undesirable outcomes; no significant impact on company objectives.
- (2) Medium: < \$1M, creates challenges for achieving one or more company objectives.
- (3) Moderate: < \$10M, may affect company's ability to achieve multiple objectives.
- (4) High: > \$50 Million, may affect company's ongoing existence.

Criteria	Low	Medium	Moderate	High
Business Costs, Fines and/or Penalties	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>
Potential Reputational Harm	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>
Harm to Consumers	<input type="radio"/>	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>
Regulatory Action	<input checked="" type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Litigation Risk	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input checked="" type="radio"/>

Gain Visibility into Top Risks, Risk Trends, and Risk Appetite

- **Drive Key Decisions** — Inform audit, risk, and compliance programs with dashboards and heatmaps that visualize top risks.
- **Gain Risk Coverage** — Easily link controls or mitigating activities being performed by audit or compliance across the AuditBoard platform.
- **Visualize Outcomes** — Perform trend analysis and measure the effectiveness of your efforts through real-time visualizations.
- **Proactively Manage Risk** — Monitor key risk indicators and early warning indicators to address potential issues before they arise.



EASILY SURFACE KEY INFORMATION

“RiskOversight enhances our annual audit planning process and allows us to leverage information that would otherwise be left in emails and spreadsheets.”

LAURA THIELEN | PetSmart
Senior Corporate Internal Auditor

Issue Remediation

RO#01 / Inadequate controls around liquidity management and monitoring
AP#120 - Liquidity Assessment [Open](#)

TESTER
Brian Smith

REVIEWER
Cory Robinson

Identified By
Management

Identified Date
2020-09-06

Description
Put control measures in place around liquidity management and monitoring.

Action Plan 3

- Amber Thomas
Due: 2020-10-22 [Draft](#)
- Aaron Clark
Due: 2020-07-04 [In Progress](#)
- Helena Wang
Due: 2020-08-02 [Complete](#)

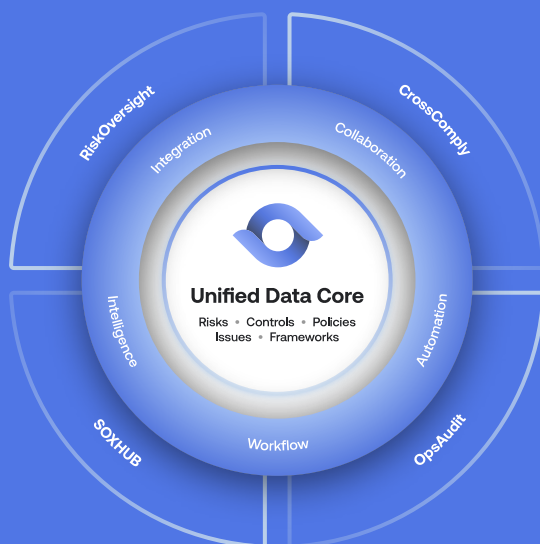
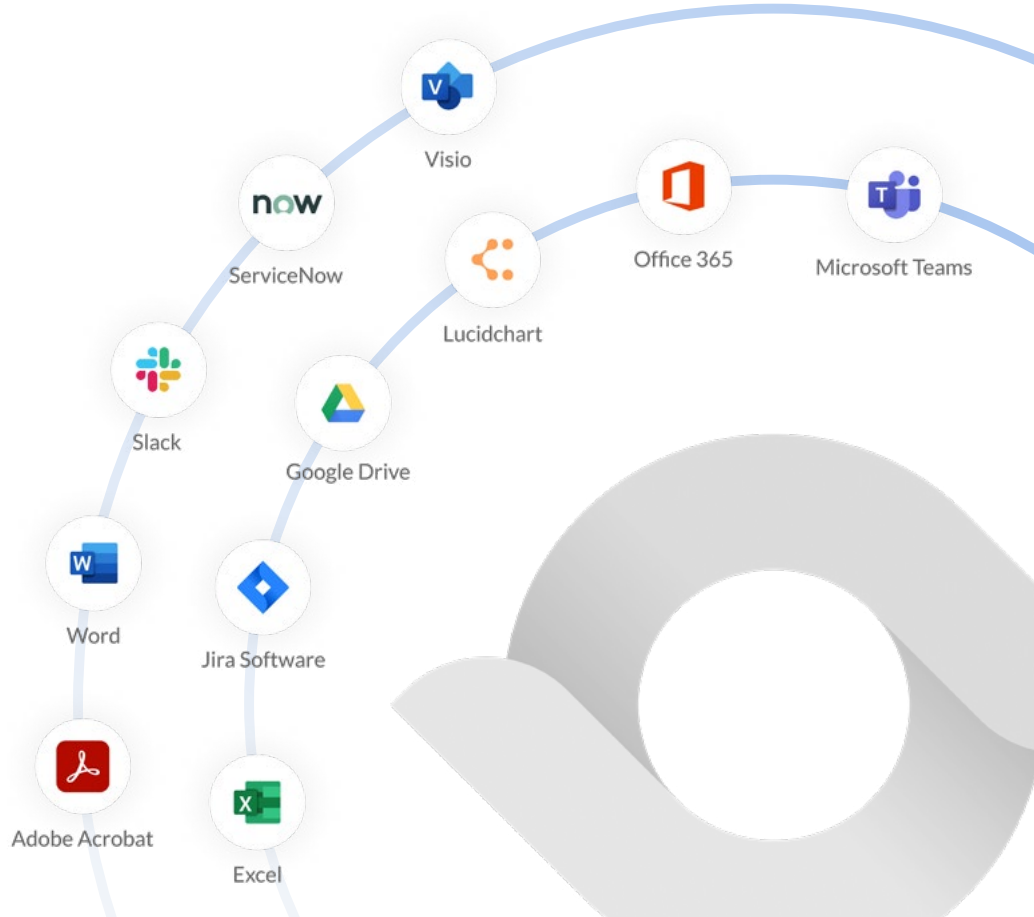
[Revise](#) [Start](#)

Drive Mitigation Action Plans

- **Simplify Assignments** — Create and assign action plans to owners with a single click.
- **Streamline Workflow** — Leverage built-in workflows to manage action plans with speed and efficiency.
- **Easily Track Progress** — Get instant visibility into remediation progress and automate follow up on outstanding tasks.

Integrate With Your Technology Ecosystem

Leverage the industry's most modern platform to connect with the other applications your organization uses. Whether you use one of our pre-built integrations or our flexible API, the possibilities are endless.



The Modern Connected Risk Platform

Elevate your audit, risk, and compliance teams with the intelligent, collaborative, connected risk management platform.

With audit, risk, and compliance data all in a single system of record, not only do you have a complete view of risk across the enterprise, but now your teams are **connected and aligned, and can collaborate like never before.**

Deploy the complete platform or only the solutions you need.

SOXHUB

Centralize and streamline SOX documentation, testing, and reporting in one simple solution that brings together audit teams, external auditors, control owners, and executives.

OpsAudit

Streamline audit planning, fieldwork, testing, and reporting in one intuitive platform, and enable your team to take on more strategic projects.

RiskOversight

Elevate your risk management programs — including the identification, assessment, response, mitigation, and monitoring of risks — in a highly integrated, visual, and intuitive way.