



# RISC-V Trace Control Interface Specification

RISC-V N-Trace Task Group

Version 1.0.0\_rc6, July 05, 2023: Frozen state (before Architecture Committee review)

# Table of Contents

Preamble.....	1
Change Log.....	2
Version 1.0.0_rc6.....	2
Copyright and license information.....	3
Contributors.....	4
1. Introduction.....	5
2. Trace Control and Trace Protocols.....	6
3. Trace System Overview.....	7
3.1. Glossary.....	7
3.2. Trace Encoder Types.....	7
3.3. Branch Trace Messaging.....	8
3.4. Branch History Messaging.....	8
3.5. Other Optimizations.....	8
3.6. Trace Sinks.....	8
3.6.1. SRAM Sink.....	8
3.6.2. System Memory Sink.....	8
3.6.3. PIB Sink.....	9
3.7. ATB Bridge.....	9
3.8. Trace Funnel.....	9
4. Trace Control Interface Overview.....	10
4.1. Trace Components.....	10
4.1.1. Connections Between Components.....	11
4.1.2. Example Component Connection Diagrams.....	12
4.2. Accessing Trace Control Registers.....	14
4.3. Trace Component Register Map.....	14
4.3.1. Summary of Trace Encoder Registers.....	15
4.3.2. Summary of Trace RAM Sink Registers.....	17
4.3.3. Summary of Trace PIB Sink Registers.....	17
4.3.4. Summary of Trace Funnel Registers.....	17
4.3.5. Summary of Trace ATB Bridge Registers.....	18
5. Versioning of Components.....	19
6. Trace Encoder Control Interface.....	20
6.1. Timestamp Unit.....	26
6.2. Trace Encoder Triggers.....	28
6.2.1. Debug Module Triggers.....	28
6.2.2. External Trace Triggers.....	29
6.2.3. Triggers Precedence.....	31
6.3. Trace Encoder Filter Registers.....	31

7. Trace RAM Sink .....	37
7.1. Accessing and Detecting RAM Sink Registers .....	41
8. Trace Funnel .....	43
8.1. Timestamp Unit .....	44
9. Trace PIB Sink .....	45
9.1. Order of bits and bytes .....	47
9.2. PIB Parallel Protocol .....	47
9.2.1. PIB Clock Center .....	48
9.3. Calibration Mode .....	49
9.4. SWT Manchester Protocol .....	49
9.5. SWT UART Protocol .....	50
10. Trace ATB Bridge .....	51
11. Minimal Implementation .....	53
12. Reset and Discovery .....	55
13. Enabling and Disabling .....	57
14. Legacy Interface Version .....	59
14.1. Original Version Disclaimer .....	59

# Preamble



*This document is in the [Frozen state](#)*

Change is extremely unlikely.

# Change Log

PDF generated on: 2023-07-05 16:57:44 UTC

## Version 1.0.0\_rc6

- 2023-07-05
  - The pre-public review version (older history removed)

# Copyright and license information

This RISC-V Trace Control Interface specification is © 2019-2023 RISC-V international

This document is released under a Creative Commons Attribution 4.0 International License.  
[creativecommons.org/licenses/by/4.0/](https://creativecommons.org/licenses/by/4.0/).

Please cite as: “RISC-V Trace Control Interface Specification”, RISC-V International

# Contributors

Key contributors to RISC-V Trace Control Interface specification in alphabetical order:

Bruce Ableidinger (SiFive) ⇒ Initial SiFive donation, reviews

Robert Chyla (IAR, SiFive) ⇒ Most topics, editing, publishing

Ernie Edgar (SiFive) ⇒ Initial SiFive donation, reviews

Jay Gamoneda (NXP) ⇒ Reviews

Markus Goehrle (Lauterbach) ⇒ Reviews, updates

Iain Robertson (UltraSoC, Siemens) ⇒ E-Trace compatibility, filtering chapter, reviews

Nino Vidovic (Segger) ⇒ Reviews

# Chapter 1. Introduction

This document presents a standardized control interface for RISC-V trace infrastructure (such as trace encoders, trace funnels, trace sinks, ...) for the *Efficient Trace for RISC-V Specification Version 2.0* and for the RISC-V N-Trace specification version 1.0. Both Trace Working Groups agreed to standardize the control interface so that trace control software development tools can be used interchangeably with any RISC-V device implementing processor and/or data trace.

Instruction Trace is a system that collects a history of processor execution, along with other events. The trace system may be set up and controlled using a register-based interface. Hart execution activity appears on the Ingress Port and feeds into a Trace Encoder where it is compressed and formatted into trace messages. The Trace Encoder transmits trace messages to a Trace Sink. In multi-core systems, each hart has its own Trace Encoder, and typically all will connect to a Trace Funnel that aggregates the trace data from multiple sources and sends the data to a single destination.

This specification does not define the hardware interconnection between the hart and Trace Encoder, as this is defined in the *Efficient Trace for RISC-V Specification Version 2.0*. This document also does not define the hardware interconnection between the Trace Encoder and Trace Funnel, or between the Trace Encoder/Funnel and Trace Sink.

This specification allows a wide range of implementations including low-gate-count minimal instruction trace and systems with only instrumentation trace. Implementation choices include whether to support branch trace, data trace, instrumentation trace, timestamps, external triggers, various trace sink types, and various optimization tradeoffs between gate count, features, and bandwidth requirements.



# Chapter 2. Trace Control and Trace Protocols

This specification defines many registers, but not all trace protocols/encoders must support all bits/fields/options. However it is important to define some small subset which is REQUIRED.

This document includes chapter 'Minimal Implementation' which describes the smallest possible set of registers/fields, but each message protocol supported by this standard must clarify the exact meaning of supported registers/fields and bits as some of them define.

There are two standard trace protocols which will utilize this RISC-V Trace Control Interface:

- [Efficient Trace for RISC-V](#) Specification - it describes RISC-V Trace Ingress Port signals.
  - At the moment of this writing this is version 2.0 (ratified May 5-th 2022).
- [RISC-V N-Trace \(Nexus-based Trace\)](#) Specification.

This document (together with details provided in any of above documents) should be considered as a complete guideline for particular trace implementation.



It is suggested to start from one of documents referenced above as these are master documents referencing other related documents (including this one).

# Chapter 3. Trace System Overview

This section briefly describes features of the Trace Encoder and other trace components as background for understanding some of the control interface register fields.

## 3.1. Glossary

**Trace Encoder** - Hardware module that accepts execution information from a hart and generates a stream of trace messages/packets.

**Trace Message/Packet** - Depending on protocol different names can be used, but it means the same. It is considered as a continuous sequence of (usually bytes) describing program and/or data flow.

**Trace Funnel** - Hardware module that combines trace streams from multiple Trace Encoders into a single output stream.

**Trace Sink** - Hardware module that accepts a stream of trace messages and records them in memory or forwards them onward in some format.

**Trace Decoder** - Software program that takes a recorded trace (from Trace Sink) and produces readable execution history.

**R** - Denotes read-only bit/field - it does not mean it will return the same value each time when read.

**RW** - Denotes read-write bit/field - value being read may not be the same as what was written as some fields may change their values because of other reasons.

**RW1C** - Denotes bit/field, which can be read but you must write 1 to clear it (writing 0 will be ignored). It is used for sticky status bits to assure that these are cleared by deliberate action (write 1).

**WARL** - Denotes Write any, read legal bit/field. If a non-legal value is written, the written value must be ignored and the register will keep previous, legal value. Used by debugger to determine system capabilities. See Discovery chapter.

**ATB** - Advanced Trace Bus, a protocol described in ARM document IHI0032B.

**PIB** - Pin Interface Block, a parallel or serial off-chip trace port feeding into a trace probe.

## 3.2. Trace Encoder Types

By monitoring the Ingress Port, the Trace Encoder determines when a program flow discontinuity has occurred and whether the discontinuity is inferable or non-inferable. An inferable discontinuity is one for which the Trace Decoder can statically determine the destination, such as a direct branch instruction in which the destination or offset is included in the opcode. Non-inferable discontinuities include all other types as interrupt, exception, and indirect jump instructions.

### 3.3. Branch Trace Messaging

Branch Trace Messaging is the simplest form of instruction trace. Each program counter discontinuity results in one trace message, either a Direct or Indirect Branch Message. Linear instructions (or sequences of linear instructions) do not result in any trace messages/packets.

Indirect Branch Messages normally contain a compressed address to reduce bandwidth. The Trace Encoder emits a Branch With Sync Message containing the complete instruction address under certain conditions. This message type is a variant of the Direct or Indirect Branch Message and includes a full address and a field indicating the reason for the Sync.

### 3.4. Branch History Messaging

Both the Efficient Trace for RISC-V (E-Trace) Specification and the Nexus standard define systems of messages intended to improve compression by reporting only whether conditional branches are taken by encoding each branch outcome in a single taken/not-taken bit. The destinations of non-inferable jumps and calls are reported as compressed addresses. Much better compression can be achieved, but an Encoder implementation will typically require more hardware.

### 3.5. Other Optimizations

Several other optimizations are possible to improve trace compression. These are optional for any Trace Encoder and there should be a way to disable optimizations in case the trace system is used with code that does not follow recommended API rules. Examples of optimizations are a Return-address stack, Branch repetition, Statically-inferable jump, and Branch prediction.

### 3.6. Trace Sinks

The Trace Encoder transmits completed messages to a Trace Sink. This specification defines a number of different sink types, all optional, and allows an implementation to define other sink types. A Trace Encoder must have at least one sink attached to it.



Trace messages/packets are sequences of bytes. In case of wider sink width, some padding/idle bytes (or additional formatting) may be added by particular sink. Nexus format allows any number of idle bytes between messages.

#### 3.6.1. SRAM Sink

The Trace Encoder packs trace messages into fixed-width trace words (usually bytes). These are then stored in a dedicated RAM, typically located on-chip, in a circular-buffer fashion. When the RAM has filled, it may optionally be stopped, or it may wrap and overwrite earlier trace data.

#### 3.6.2. System Memory Sink

The Trace Encoder packs trace messages into fixed-width trace words. These are then stored in a range of system memory reserved for trace using a DMA-type bus master in a circular-buffer fashion. When the memory range has been filled, it may optionally be stopped, or it may wrap and

overwrite earlier trace data. This type of sink may also be used to transmit trace off-chip through, for example, a PCIe or USB port.

### 3.6.3. PIB Sink

The Trace Encoder sends trace messages to the PIB Sink. Each message is transmitted off-chip (as sequence of bytes) using a specific protocol described later.

## 3.7. ATB Bridge

The ATB Bridge transmits bytes of RISC-V trace messages as an ATB bus master.

ATB width is byte aligned (8, 16, 32, 64, 128) which is well matching packet=sequence-of-bytes definition.

## 3.8. Trace Funnel

The Trace Encoder may send trace messages to a Trace Funnel. The Funnel aggregates the trace from each of its inputs (either RISC-V Trace Encoder or another Trace Funnel) and sends the combined trace stream to its designated Trace Sink or ATB Bridge, which is one or more of the sink types above.



It is assumed that each input to the funnel (Trace Encoder or another Trace Funnel) has a unique message source ID defined (`trTeSrcID` field in the `trTeControl` register).

# Chapter 4. Trace Control Interface Overview

The Trace Control interface consists of a set of 32-bit registers. The control interface can be used to set up and control a trace session, retrieve collected trace, and control any trace system components.

## 4.1. Trace Components

This specification defines the following trace components:

*Table 1. Trace Components*

Component Name	Component Type (value=symbol)	Base Address (symbol)	Description
Trace Encoder	0x1=TRCOMP_ENCODER	trBaseEncoder	Accepts execution information from a hart (via Trace Ingress Port) and generates a stream of RISC-V trace messages/packets.
Trace Funnel	0x8=TRCOMP_FUNNEL	trBaseFunnel	Accepts several RISC-V trace message/packet streams (from multiple Trace Encoder[s] or Trace Funnel[s]) and merges them into a single stream of trace messages/packets.
Trace RAM Sink	0x9=TRCOMP_RAMSink	trBaseRamSink	Accepts RISC-V trace messages/packets (from Trace Encoder or Trace Funnel) and stores them into RAM buffer (either dedicated SRAM or System Bus).
Trace PIB Sink	0xA=TRCOMP_PIBSink	trBasePibSink	Accepts RISC-V trace messages/packets (from Trace Encoder or Trace Funnel) and sends them via a set of pins (parallel or serial).
Trace ATB Bridge	0xE=TRCOMP_ATBBRIDGE	trBaseAtbBridge	Accepts RISC-V trace messages/packets (from Trace Encoder or Trace Funnel) and forwards them to ATB bus in a system.



This specification is NOT addressing discovery of base addresses of trace components. These base addresses (symbols in above table) must be specified as part of trace tool configuration. Connections between different trace components must be also defined. Future versions of this specification may allow a single base address to be sufficient to access all components in the system.

Each Trace Component is controlled by a set of 32-bit registers occupying up to a 4KB space. Base address of each trace component must be aligned on the 4KB boundary.

Each hart being traced must have its own separate Trace Encoder control component. This also applies to multiple harts that belong to the same core. A system with multiple harts must allow generating messages with a field indicating which hart is responsible for that message.

#### 4.1.1. Connections Between Components

Different components must be connected via internal busses and/or FIFO buffers. This specification does not define this interconnect logic, but the following rules must be followed:

- Each component sending a trace message/packet must assure the entire packet can be accepted by the destination component (or pushed into the FIFO buffer).
  - Sending a partial packet is NEVER allowed as it will not be possible to process and decode such a trace.
- If a component cannot send an entire message/packet it must wait until it will be possible to do so.
- Tracing is typically required to be non-intrusive, and if the Trace Encoder cannot keep up with the hart it must drop the packet and wait for the receiver to be ready.
  - Once trace is allowed to resume it must issue an instruction trace synchronization message/packet so the decoder will be aware that some (unknown) amount of trace has been lost.
  - It is advisable to drain the trace pipeline to some hysteresis level before resuming - otherwise a lot of short chunks of trace may be produced.
- Optionally (and if acceptable to the user), the Trace Encoder may be configured to stall the hart in order to avoid packet loss.
- Easiest way to prevent trace overflows is to assure FIFO capable of holding several trace messages/packets is placed AFTER Trace Encoder and/or use wider internal busses to provide more bandwidth.
  - Bandwidth at input to the sink must be in general larger than the data being produced.
  - Amount of data being generated can be limited by creating tracing windows with the help of triggers.

**Table 2. Allowed Connections Between Components**

Input	Output	Description
Ingress Port	Trace Encoder	Ingress Port (from hart) providing raw trace to be encoded
Trace Encoder	Trace RAM Sink	Single hart tracing to RAM buffer
Trace Encoder	Trace PIB Sink	Single hart tracing via pins
Trace Encoder	Trace ATB Bridge	Single hart tracing to Arm ATB infrastructure

Input	Output	Description
Trace Encoder	Trace Funnel	Sending trace from single hart to Trace Funnel (to be combined from other RISC-V trace)
Trace Funnel	Trace Funnel	Sending combined trace from multiple harts to higher level Trace Funnel (to be combined from other RISC-V trace)
Trace Funnel	Trace RAM Sink	Sending combined trace from multiple harts to RAM buffer
Trace Funnel	Trace PIB Sink	Sending combined trace from multiple harts via pins
Trace Funnel	Trace ATB Bridge	Sending combined trace from multiple harts to Arm ATB infrastructure
Trace ATB Bridge	Arm ATB bus	Sending trace to ATB (to combine RISC-V trace with other Arm components on the system)



Sending to Arm ATB infrastructure is allowed (via ATB Bridge), but this specification does not specify how to transport trace data from (possible) Arm components in the system using RISC-V Trace sub-system. One of possible ways of doing so would be to create a custom trace component, configure it to encapsulate it as custom Nexus trace messages and connect it as input to one of trace funnels.

#### 4.1.2. Example Component Connection Diagrams

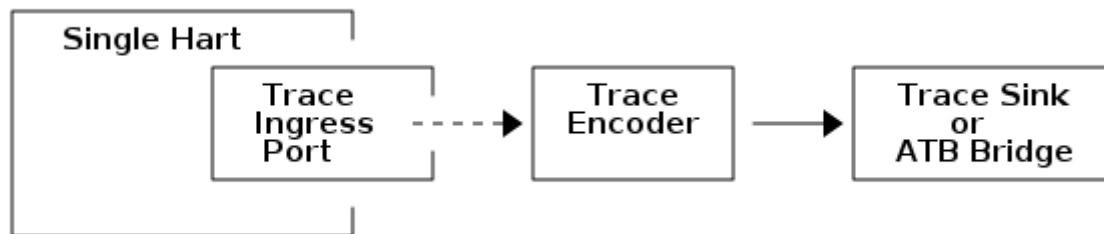


Figure 1. Simplest trace: Single Hart, Trace Encoder and Trace Sink/Bridge

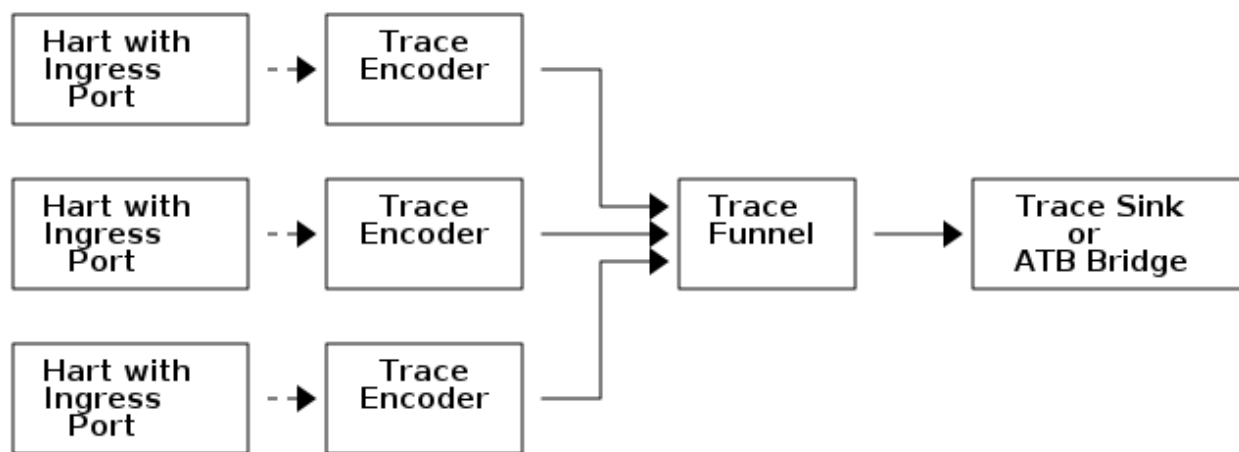


Figure 2. Multi-hart trace: Three harts, three Encoders, single Funnel and single Sink/Bridge

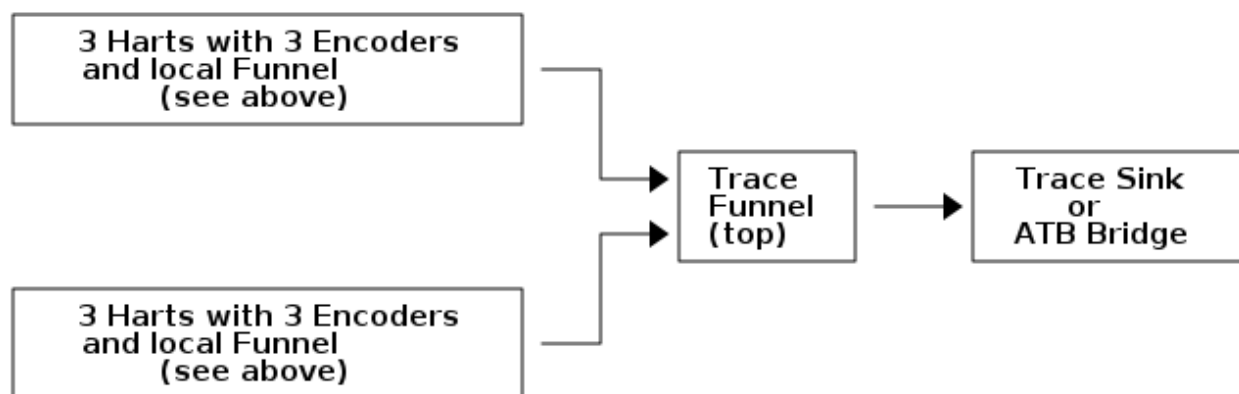


Figure 3. Multi-cluster trace: two three-hart clusters with top-level Funnel and Sink/Bridge

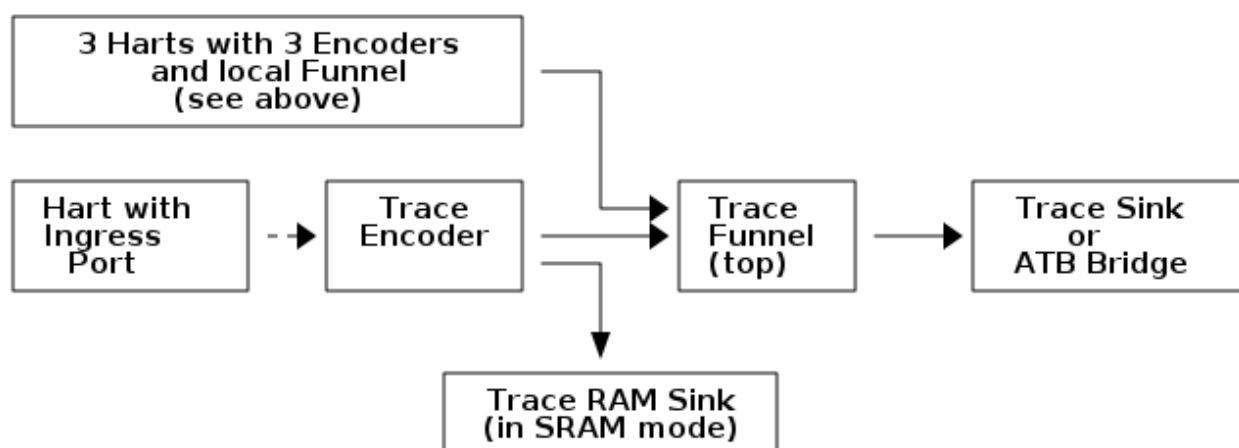


Figure 4. Local RAM Sink: Three-hart cluster plus extra hart with own RAM Sink (in SRAM mode)



In above configuration top Trace Funnel should allow disabling an input from an extra Trace Encoder so trace from 3-hart cluster may go to Trace Sink only and



trace from an extra hart may go to Trace RAM Sink only.

## 4.2. Accessing Trace Control Registers

For the access method to the trace control registers, it makes a difference whether these registers shall be accessed by an external debug/trace tool, or by an internal debugger running on the chip.

Trace control register access by an external debugger (this is the most common use case):

- External debuggers must be able to access all trace control registers independent of whether the traced harts are running or halted. That is why for external debuggers, the recommended access method for memory-mapped control registers is memory accesses through the RISC-V debug module using SBA (System Bus Access) as defined in the RISC-V Debug Specification.

Trace control register access by an internal debugger:

- Through loads and stores performed by one or more harts in the system. Mapping the control interface into physical memory accessible from a hart allows that hart to manage a trace session independently from an external debugger. A hart may act as an internal debugger or may act in cooperation with an external debugger. Two possible use models are collecting crash information in the field and modifying trace collection parameters during execution. If a system has physical memory protection (PMP), a range can be configured to restrict access to the trace system from hart(s).



Additional control path(s) may also be implemented, such as extra JTAG registers or devices, a dedicated DMI debug bus or message-passing network. Such an access (which is NOT based on System Bus) may require custom implementation by trace probe vendors as this specification only mandates probe vendors to provide access via SBA commands.

## 4.3. Trace Component Register Map

Each block of 32-bit registers (for each component) has the following layout:

*Table 3. Register Layout for Component*

Address Offset	Register Name	Compliance	Description
0x000	tr??Control	Required	Main control register for this trace component
0x004	tr??Impl	Required	Trace Implementation information for this trace component
0x008	tr??Control2	Optional	Additional controls for this trace component (can be named differently)
0x00C	tr??Control3	Optional	Additional controls for this trace component (can be named differently)

Address Offset	Register Name	Compliance	Description
0x010 - 0xDFF	—	Optional	Additional registers (specific for particular type of component). All not used registers are reserved and should read as 0 and ignore writes.
0xE00 - 0xFFF	—	Optional	Registers reserved for implementation/vendor specific details. May allow identification of components on a system bus.



Each component has a **tr??Active** bit in the **tr??Control** register. Accesses to other registers are unpredictable when the **tr??Active** bit is 0.

Each trace component has a **tr??Impl** register (at address offset 0x4) where trace component version and trace component type can be identified. This register allows debug tools to verify provided base addresses of components and potentially adjust tool behavior by looking at component versions.



Each component may have a different version. Initial version of this specification defines all components to specify component version as 1.0 (major=1, minor=0).

Registers in the 4KB range that are not implemented are reserved and read as 0 and ignore writes.

Most trace control registers are optional. Some WARL fields may be hard-coded to any value (including 0). It allows different implementations to provide different functionality.

Both N-Trace and E-Trace encoders are controlled by the same set of bits/fields in the same 'trTe???' registers - as almost every register/field/bit is optional this provides good flexibility in implementation.

All other trace components are shared between different trace encoders (N-Trace and E-Trace).

### 4.3.1. Summary of Trace Encoder Registers

Table 4. Trace Encoder Registers (trTe??, trTs??)

Address Offset	Register Name	Compliance	Description
0x000	trTeControl	Required	Trace Encoder control register
0x004	trTeImpl	Required	Trace Encoder implementation information
0x008	trTeInstFeatures	Optional	Extra instruction trace encoder features and trace source IDs
0x00C	trTeInstFilters	Optional	Determine which filters qualify an instruction trace
<b>Data trace control (trTeData??)</b>			
0x010	trTeDataControl	Optional	Data trace control and features

Address Offset	Register Name	Compliance	Description
0x014 - 0x018	—	Reserved	Reserved for more data trace related registers
0x01C	trTeDataFilters	Optional	Determine which filters qualify data trace
<b>Reserved</b>			
0x020 - 0x03F	—	Reserved	Reserved for more registers/sub-components
<b>Timestamp control (trTs??)</b>			
0x040	trTsControl	Optional	Timestamp control register
0x044	—	Optional	Reserved
0x048	trTsCounterLow	Optional	Lower 32 bits of timestamp counter
0x04C	trTsCounterHigh	Optional	Upper bits of timestamp counter
<b>Trigger control (trTeTrig??)</b>			
0x050	trTeTrigDbgControl	Optional	Debug Triggers control register
0x054	trTeTrigExtInControl	Optional	External Triggers Input control register
0x058	trTeTrigExtOutControl	Optional	External Triggers Output control register
<b>Reserved</b>			
0x060 - 0x0DF	—	Reserved	Reserved for more registers/sub-components
<b>Discovery related</b>			
0x0E0 - 0x0FF	trTeDiscovery0.. trTeDiscovery7	Optional	Protocol dependent configuration/discovery-related registers
<b>Reserved</b>			
0x100 - 0x3FF	—	Reserved	Reserved for more registers/sub-components
<b>Filters &amp; comparators (trTeFilter??, trTeComp??)</b>			
0x400 - 0x5FF	trTeFilter??	Optional	Trace Encoder Filter Registers
0x600 - 0x7FF	trTeComp??	Optional	Trace Encoder Comparator Registers

Examples of possible additional sub-components in Trace Encoder are:

- PC Sampling
- Instrumentation Trace

### 4.3.2. Summary of Trace RAM Sink Registers

Table 5. Trace RAM Sink Registers (trRam??)

Address Offset	Register Name	Compliance	Description
0x000	trRamControl	Required	RAM Sink control register
0x004	trRamImpl	Required	RAM Sink Implementation information
0x008 - 0x00F	—	Reserved	Reserved for more control registers
0x010	trRamStartLow	Required	Lower 32 bits of start address of circular trace buffer
0x014	trRamStartHigh	Optional	Upper bits of start address of circular trace buffer
0x018	trRamLimitLow	Required	Lower 32 bits of end address of circular trace buffer
0x01C	trRamLimitHigh	Optional	Upper bits of end address of circular trace buffer
0x020	trRamWPLow	Required	Lower 32 bits of current write location for trace data in circular buffer
0x024	trRamWPHigh	Optional	Upper bits of current write location for trace data in circular buffer
0x028	trRamRPLow	Optional	Lower 32 bits of access pointer for trace readback
0x02C	trRamRPHigh	Optional	Upper bits of access pointer for trace readback
0x040	trRamData	Optional	Read/write access to SRAM trace memory (32-bit data)
0x044 - 0x07F	—	Optional	Reserved for bigger read buffer

### 4.3.3. Summary of Trace PIB Sink Registers

Table 6. Trace PIB Sink Registers (trPib??)

Address Offset	Register Name	Compliance	Description
0x000	trPibControl	Required	Trace PIB Sink control register
0x004	trPibImpl	Required	Trace PIB Sink Implementation information

### 4.3.4. Summary of Trace Funnel Registers

Table 7. Trace Funnel Registers (trFunnel??, trTs??)

Address Offset	Register Name	Compliance	Description
0x000	trFunnelControl	Required	Trace Funnel control register
0x004	trFunnelImpl	Required	Trace Funnel Implementation information

Address Offset	Register Name	Compliance	Description
0x000	trFunnelControl	Required	Trace Funnel control register
0x004	trFunnelImpl	Required	Trace Funnel Implementation information
0x008	trFunnelDisInput	Optional	Disable individual funnel inputs
<b>Timestamp control (trTs??)</b>			
0x040	trTsControl	Optional	Timestamp control register
0x044	—	Reserved	Reserved for extra timestamp control
0x048	trTsCounterLow	Optional	Lower 32 bits of timestamp counter
0x04C	trTsCounterHigh	Optional	Upper bits of timestamp counter



Funnels may optionally be a source of timestamp and/or forward timestamp to Trace Encoders in the system. This way several Trace Encoders may share timestamp and trace from several harts may be time-correlated.

### 4.3.5. Summary of Trace ATB Bridge Registers

Table 8. Trace ATB Bridge Registers (trAtbBridge??)

Address Offset	Register Name	Compliance	Description
0x000	trAtbBridgeControl	Required	Trace ATB Bridge control register
0x004	trAtbBridgeImpl	Required	Trace ATB Bridge Implementation information

# Chapter 5. Versioning of Components

Each component has a `tr??Impl` register, which includes two 4-bit `tr??VerMinor` and `tr??VerMajor` fields. These fields are guaranteed to be present in all future revisions of a standard, so trace tools will be able to discover a component version and act accordingly.

- Value 0 as `tr??VerMajor` is NOT allowed (due to compatibility reasons).
- Different components may report different versions (as some components may be updated more often than others).
- The major version `tr??VerMajor` field should change, when some incompatible (which will break older trace software) change is introduced.
- The minor version `tr??VerMinor` field should change, when change is considered a compatible extension (for example adding a new field) - for that reason software should always write 0 to undefined bits in registers.
- Version 15.x is reserved for non-compatible version encoding.
- Version n.15 should be used as experimental (in development) implementation.

Versions must be always reported as two decimal numbers *major.minor* - initial version of this specification is defined as **1.0**.

Trace software should handle versions as follows (let's assume hypothetical version 2.3 was defined as current version in moment of release of trace software)

- 0.x ⇒ Reject as not supported or generate a warning and handle as legacy version 0.
- 2.3 ⇒ Accept silently.
- 2.2 ⇒ Accept silently (and trim features or not allow users to set newer features).
- 2.4 ⇒ Generate a warning but continue using 2.3 features.
- 2.15 ⇒ Generate an "experimental version" warning but continue using 2.3 features.
- 1.x ⇒ Generate a warning and continue or reject as an obsolete (referring to last debugger supporting this version).
- 3.x ⇒ Abort with an error that this future version is not compatible with existing software and possibly redirect to the tool update page.



Displayed messages should report component name, component base address and current and supported version numbers. It is suggested to display the full hexadecimal value of `tr??Impl` register as it may aid in debugging of possibly incorrect/incompatible component configuration.

# Chapter 6. Trace Encoder Control Interface

Many features of the Trace Encoder (TE for short) are optional. In most cases, optional features are enabled using a WARL (write any, read legal) register field. A debugger can determine if an optional feature is present by writing to the register field and reading back the result.

Table 9. Register: trTeControl: Trace Encoder Control Register (trBaseEncoder+0x000)

Bit	Field	Description	RW	Reset
0	trTeActive	Primary enable/reset for the TE. When 0, the TE may have clocks gated off or be powered down, and other register locations may be inaccessible. Hardware may take an arbitrarily long time to process power-up and power-down and will indicate completion when the read value of this bit matches what was written.	RW	0
1	trTeEnable	<b>1:</b> Trace Encode is enabled. Allows trTeInstTracing and trTeDataTracing to turn tracing on and off. Setting trTeEnable to 0 flushes any queued trace data to the sink or funnel attached to this encoder. This bit can be set to 1 only by direct writing to it. This write of 1 should be done after all other settings are done.	RW	0
2	trTeInstTracing	<b>1:</b> Instruction trace is being generated. Written from a trace tool (after a write to trTeEnable) or controlled by triggers. When trTeInstTracing=1, instruction trace data may be subject to additional filtering in some implementations (additional trTeInstMode settings).	RW	0
3	trTeEmpty	Reads as 1 when all generated trace have been emitted.	R	1

Bit	Field	Description	RW	Reset
6-4	trTeInstMode	<p>Main instruction trace generation mode</p> <p><b>0:</b> Full Instruction trace is disabled, but trace may still emit some records.</p> <p><b>1-2:</b> Reserved for subsets of Branch Trace (for example periodic PC sampling).</p> <p><b>3:</b> Generate instruction trace using Branch Trace (each taken branch generates a trace message).</p> <p><b>4-5:</b> Reserved for subset of Branch History Trace.</p> <p><b>6:</b> Generate instruction trace using Branch History Trace (each taken or not taken branch adds single history bit).</p> <p><b>7:</b> Reserved for vendor-defined instruction trace mode.</p>	WARL	SD <sup>(1)</sup>
8-7	—	Reserved for future modes	—	0
9	trTeContext	Send Ownership messages to indicate processor context when scontext, mcontext, v, or prv changes and full context information immediately after all Sync messages.	WARL	SD
10	—	Reserved	WARL	SD
11	trTeInstTrigEnable	<b>1:</b> Allows <b>trTeInstTracing</b> to be set or cleared by Trace-on and Trace-off Debug module or External triggers respectively	WARL	0
12	trTeInstStallOrOverflow	Written to 1 by hardware when an overflow message is generated or when the TE requests a hart stall. Clears to 0 at TE reset or when the trace is enabled ( <b>trTeEnable</b> set to 1). Write 1 to clear.	RW1C	0
13	trTeInstStallEna	<p><b>0:</b> If TE cannot send a message, the message is dropped. The protocol dependent overflow instruction trace synchronization message/packet is generated when the trace is restarted, so the decoder will know that trace is lost and must reset any internal decoder state.</p> <p><b>1:</b> If TE cannot send a message, the hart is stalled until it can. With this option execution of instructions by the hart may be intrusively affected, but in many cases it is acceptable.</p>	WARL	SD
14	—	Reserved	—	0



Bit	Field	Description	RW	Reset
15	trTeInhibitSrc	<b>0:</b> Trace source field (of <b>trTeSrcBits</b> width) is added to every trace message to indicate which trace encoder generated each message. If <b>trTeSrcBits</b> is 0, this bit is not active. <b>1:</b> Disable source field in trace messages.	WARL	SD
17-16	trTeInstSyncMode	Select periodic instruction trace synchronization message/packet generation mechanism. At least one non-zero mechanism must be implemented. <b>0:</b> Off <b>1:</b> Count trace messages/packets <b>2:</b> Count hart clock cycles <b>3:</b> Count instruction half-words (16-bit) Once the periodic counter is reached, an instruction trace synchronization message/packet should be generated at the nearest opportunity.	WARL	SD
19-18	—	Reserved	—	0
23-20	trTeInstSyncMax	The maximum interval (in units determined by <b>trTeInstSyncMode</b> ) between instruction trace synchronization messages/packets. Generate synchronization when count reaches $2^{(\text{trTeInstSyncMax}+4)}$ . If an instruction trace synchronization message/packet is generated for another reason, the internal counter should be reset.	WARL	SD
26-24	trTeFormat	Trace recording format: <b>0:</b> Format defined by Efficient Trace for RISC-V (E-Trace) Specification <b>1:</b> N-Trace messages with 6 MDO + 2 MSEO bits <b>2-6:</b> Reserved for future formats <b>7:</b> Vendor-specific format	WARL	SD
31-27	—	Reserved	—	0

SD<sup>(1)</sup> = System-Dependent, but these fields should always have same values at reset (**trTeActive** = 0)

Table 10. Register: trTeImpl: Trace Encoder Implementation Register (trBaseEncoder+0x004)

Bit	Field	Description	RW	Reset
3-0	trTeVerMajor	Trace Encoder Major Version. Value 1 means the component is compliant with this document. Value 0 means legacy version - see 'Legacy Interface Version' chapter at the end.	R	1
7-4	trTeVerMinor	Trace Encoder Minor Version. Value 0 means the component is compliant with this document.	R	0
11-8	trTeCompType	Trace Encoder Component Type (Trace Encoder)	R	0x1
15-12	—	Reserved for future versions of this standard	—	0
19-16	trTeProtocolMajor	Trace Protocol Major Version. Value of this field is defined by a document which defines a trace encoding protocol.	R	SD
23-20	trTeProtocolMinor	Trace Protocol Minor Version. Value of this field is defined by a document which defines a trace encoding protocol.	R	SD
31-24	—	Reserved for vendor specific implementation details	—	SD



**trTeProtocol??** fields are separated from **trTeVer??** as we may have the same control interface, but protocol itself may be extended with new packets/ messages/ fields.

**Table 11. Register: trTeInstFeatures: Trace Instruction Features Register (trBaseEncoder+0x008)**

Bit	Field	Description	RW	Reset
0	trTeInstNoAddrDiff	Do not send differential addresses when set (always full address is sent)	WARL	0
1	trTeInstNoTrapAddr	When set, do not sent trap handler address in trap packets	WARL	0
2	trTeInstEnSequentialJump	Treat sequentially inferrable jumps as inferable PC discontinuities when set.	WARL	0
3	trTeInstEnImplicitReturn	Treat returns as inferable PC discontinuities when returning from a recent call on a stack. Field <b>trTeInstImplicitReturnMode</b> below provides more details.	WARL	0
4	trTeInstEnBranchPrediction	Branch predictor enabled when set.	WARL	0
5	trTeInstEnJumpTargetCache	Jump target cache enabled when set.	WARL	0

Bit	Field	Description	RW	Reset
7-6	trTeInstImplicitReturnMode	<p>Defines how the decoder is handling stack of return addresses (if enabled by trTeInstEnImplicitReturn bit):</p> <p><b>0:</b> Implicit Return mode is not supported or implementation is not reporting how it is implemented.</p> <p><b>1:</b> Simple level counting without the return address compare (cheapest to implement, but not recommended as it may lead to incorrect trace in case RTOS or stack unwinding is used).</p> <p><b>2:</b> Partial (LSB portion of return address) compare (smaller logic cost than 3 below, but in most cases adequate as chances to have an incorrect return address with same LSB bits is very slim).</p> <p><b>3:</b> Full address compare (always assures skipped return addresses are the same as addresses deducted from call instruction). Implementation may take advantage of RAS (Return Address Stack) if implemented by the hart.</p>	R	SD
8	trTeInstEnRepeatedHistory	Enable repeated branch history detection when set.	WARL	0
9	trTeInstEnAllJumps	Emit trace message or add history bit for direct unconditional/inferable control flow changes (jumps or calls). Normally these instructions do not generate any trace as the decoder is able to determine the next instruction. Trace will not compress well but timestamp accuracy will be better - may be used when profiling loops.	WARL	0
10	trTeInstExtendAddrMSB	When set, allow extension of virtual address MSB bits (RISC-V Sv39/48/57 virtual address modes assume MSB bits to be all identical). Encoding details are trace protocol dependent.	WARL	0
15-11	—	Reserved for additional instruction trace control/status bits	—	0
27-16	trTeSrcID	This TE source ID. If <b>trTeSrcBits</b> >0 and trace source is not disabled by <b>trTeInhibitSrc</b> , then trace messages from this TE will all include a trace source field of <b>trTeSrcBits</b> bits and all messages from this TE will use this value as trace source field. May be fixed or variable.	WARL	SD

Bit	Field	Description	RW	Reset
31-28	trTeSrcBits	The number of bits in the trace source field (0..12), unless disabled by <b>trTeInhibitSrc</b> . May be fixed or variable. Some trace protocols may require that this field is identical for all enabled trace encoders within the same trace stream.	WARL	SD



Applicability of different **trTeInst??** fields for each trace encoding protocol is described in a document which defines the protocol (and not all fields are applicable to all protocols).

**Table 12. Register: trTeInstFilters: Trace Instruction Filters Register (trBaseEncoder+0x00C)**

Bit	Field	Description	RW	Reset
15-0	trTeInstFilters	Determine which filters qualify an instruction trace. If bit <b>n</b> is a 1 then instructions will be traced when filter <b>n</b> matches. If all bits are 0, all instructions are traced.	WARL	0

**Table 13. Register: trTeDataControl: Data Trace Control Register (trBaseEncoder+0x010)**

Bit	Field	Description	RW	Reset
0	trTeDataImplemented	Read as 1 if data trace is implemented.	R	SD
1	trTeDataTracing	<b>1</b> : Data trace is being generated. Written from a trace tools or controlled by triggers. When <b>trDataTracing=1</b> , data trace may be subject to additional filtering in some implementations.	WARL	SD
2	trTeDataTrigEnable	Global enable/disable for data trace triggers	WARL	0
3	trTeDataStallOrOverflow	Written to 1 by hardware when an overflow message is generated or when the TE requests a hart stall due to data trace. Clears to 0 at TE reset or when the trace is enabled ( <b>trTeEnable</b> set to 1). Write 1 to clear.	RW1C	0
4	trTeDataStallEna	<b>0</b> : If TE cannot send data trace messages, an overflow message is generated when the trace is restarted. <b>1</b> : If TE cannot send data trace messages, the hart is stalled until it can.	WARL	0
5	trTeDataDrop	Written to 1 by hardware when the data trace packet was dropped (if enabled). Clears to 0 at TE reset or when the trace is enabled ( <b>trTeEnable</b> set to 1). Write 1 to clear.	RW1C	0

Bit	Field	Description	RW	Reset
6	trTeDataDropEna	<b>1:</b> Allow dropping data trace to avoid instruction trace overflows. Setting this bit will not guarantee that instruction trace overflows will not happen.	WARL	0
15-7	—	Reserved for additional data trace control/status bits.	—	0
16	trTeDataNoValue	Omit data values from data trace packets when set.	WARL	SD
17	trTeDataNoAddr	Omit data address from data trace packets when set.	WARL	SD
19-18	trTeDataAddrCompress	Data trace address compression selection: <b>0:</b> Only send full (unmodified) addresses <b>1:</b> Use XOR compression <b>2:</b> Use differential compression <b>3:</b> Dynamically select XOR or differential on a per-packet basis in order to minimize packet length	WARL	SD



Applicability of different **trTeData??** fields for each trace encoding protocol is described in a document which defines the protocol (and not all fields are applicable to all protocols).

Table 14. Register: **trTeDataFilters: Trace Data Filters Register (trBaseEncoder+0x01C)**

Bit	Field	Description	RW	Reset
15-0	trTeDataFilters	Determine which filters qualify data trace. If bit <b><i>n</i></b> is a 1 then data accessed will be traced when filter <b><i>n</i></b> matches. If all bits are 0, all data accesses are traced.	WARL	0

## 6.1. Timestamp Unit

Timestamp Unit is an optional sub-component present in either Trace Encode or Trace Funnel. An implementation may choose from several types of timestamp units:

- **Internal System** - fixed clock in a system (such as bus clock) is used to increment the timestamp counter
- **Internal Core** - core clock is used to increment the timestamp counter (only applicable to Trace Encoders)
- **Shared** - shares timestamp with another Trace Encoder or Trace Funnel
- **External** - accepts a binary timestamp value from an outside source such as ARM CoreSight™ trace

Implementations may have no timestamp, one timestamp type, or more than one type. The WARL field `trTsType` is used to determine the system capability and to set the desired type.

The width of the timestamp is implementation-dependent, typically 40 or 48 bits (40 bit timestamp will overflow every 4.7 minutes assuming 1GHz timestamp clock).

In a system with Funnels, typically all the Funnels are built with a Timestamp Unit. The top-level Funnel is the source of the timestamp (Internal System or External) and all the Encoders and other Funnels have a Shared timestamp. This assures that all timestamps in the system are the same and trace from different harts may be time-correlated. To perform the forwarding function, the mid-level Funnels must be programmed with `trFunnelActive` = 1 (which is natural as all trace messages must pass through that funnel).

An Internal Timestamp Unit may include a prescaler divider, which can extend the range of a narrower timestamp and uses less power but has less resolution.

In a system with an Internal Core timestamp counter (implemented in Trace Encoder associated with a hart), it may be desirable to stop the counter when the hart is halted by a debugger. An optional control bit is provided for this purpose, but it may or may not be implemented.

**Table 15. Register: `trBaseEncoder/Funnel+0x040 trTsControl`: Timestamp Control Register**

Bit	Field	Description	RW	Reset
0	<code>trTsActive</code>	Primary reset/enable for timestamp unit	RW	0
1	<code>trTsCount</code>	Internal timestamp only. <b>1</b> : counter runs, <b>0</b> : counter stopped	RW	0
2	<code>trTsReset</code>	Internal timestamp only. Write 1 to reset the timestamp counter	W1	0
3	<code>trTsRunInDebug</code>	Internal Core timestamp only. <b>1</b> : counter runs when hart is halted (in debug mode), <b>0</b> : stopped	WARL	0
6-4	<code>trTsType</code>	Type of Timestamp unit <b>0</b> : None <b>1</b> : External <b>2</b> : Internal System <b>3</b> : Internal Core <b>4</b> : Shared <b>5-7</b> : Vendor-specific type	WARL	SD
7	—	Reserved	—	0
9-8	<code>trTsPrescale</code>	Internal timestamp only. Prescale timestamp clock by $2^{2n}$ (1, 4, 16, 64).	WARL	0
14-10	—	Reserved	—	0
15	<code>trTsEnable</code>	Global enable for timestamp field in trace messages/packets (for Trace Encoder only).	WARL	0

Bit	Field	Description	RW	Reset
23-16		System-dependent fields to control what message/packet types include timestamp fields.	WARL	0
29-24	trTsWidth	Width of timestamp in bits (0..63)	R	SD

Table 16. Register: trTsCounterLow: Timestamp Counter Lower Bits (trBaseEncoder/Funnel+0x048)

Bit	Field	Description	RW	Reset
31-0	trTsCounterLow	Lower 32 bits of timestamp counter.	R	0

Table 17. Register: trTsCounterHigh: Timestamp Counter Upper Bits (trBaseEncoder/Funnel+0x04C)

Bit	Field	Description	RW	Reset
31-0	trTsCounterHigh	Upper bits of timestamp counter, zero-extended.	R	0

## 6.2. Trace Encoder Triggers

### 6.2.1. Debug Module Triggers

Debug triggers are signals from the hart that a trigger (breakpoint or watchpoint) was hit, but the action associated with that trigger is a trace-related action. Action identifiers 2-5 are reserved for trace actions in the RISC-V Debug Spec, where triggers are defined. Actions 2-4 are defined by the Efficient Trace for RISC-V (E-Trace) Specification. The desired action is written to the action field of the Match Control mcontrol CSR (0x7a1). Not all harts support trace actions; the debugger should read back mcontrol CSR after setting one of these actions to verify that the option exists.

Table 18. Debug Trigger Actions

Action (from debug spec)	Effect
0	Breakpoint exception
1	Debug exception
2	<b>Trace-on action</b>  When <code>trTeInstTrigEnable</code> = 1 it will start instruction tracing ( <code>trTeInstTracing</code> → 1).  When <code>trTeDataTrigEnable</code> = 1 it will start data tracing ( <code>trTeDataTracing</code> → 1).

Action (from debug spec)	Effect
3	<b>Trace-off action</b>  When <code>trTeInstTrigEnable</code> = 1 it will stop instruction tracing ( <code>trTeInstTracing</code> → 0).  When <code>trTeDataTrigEnable</code> = 1 it will stop data tracing ( <code>trTeDataTracing</code> → 0).
4	<b>Trace-notify action</b>  It will cause the encoder to generate a packet with the current PC (and possibly timestamp).  If trace is not active ( <code>trTeInstTracing</code> = 0) it should be ignored.
5	<b>Vendor-specific action</b> (optional)

If there are vendor-specific features that require control, the `trTeTrigDbgControl` register is used.

*Table 19. Register: trTeTrigDbgControl: Debug Trigger Control Register (trBaseEncoder+0x050)*

Bit	Field	Description	RW	Reset
31-0	trTeTrigDbgControl	Vendor-specific trigger setup	WARL	0

### 6.2.2. External Trace Triggers

The TE may be configured with up to 8 external trigger inputs for controlling trace. These are in addition to the external triggers present in the Debug Module when Halt Groups are implemented. The specific hardware signals comprising an external trigger are implementation-dependent.

External Trigger Outputs may also be present. A trigger out may be generated by trace starting, trace stopping, a watchpoint, or by other system-specific events.

*Table 20. Register: trTeTrigExtInControl: External Trigger Input Control Register (trBaseEncoder+0x054)*



Bit	Field	Description	RW	Reset
3-0	trTeTrigExtInAction0	<p>Select action to perform when external trigger input 0 fires. If external trigger input 0 does not exist, then its action is fixed at 0.</p> <p><b>0:</b> No action  <b>1:</b> Reserved  <b>2: Trace-on action.</b> When <code>trTeInstTrigEnable</code> = 1 it will start instruction tracing (<code>trTeInstTracing</code> → 1). When <code>trTeDataTrigEnable</code> = 1 it will start data tracing (<code>trTeDataTracing</code> → 1).  <b>3: Trace-off action.</b> When <code>trTeInstTrigEnable</code> = 1 it will stop instruction tracing (<code>trTeInstTracing</code> → 0). When <code>trTeDataTrigEnable</code> = 1 it will stop data tracing (<code>trTeDataTracing</code> → 0).  <b>4: Trace-notify action.</b> It will cause the encoder to generate a packet with the current PC (and possibly timestamp). If trace is not active (<code>trTeInstTracing</code> = 0) it should be ignored.  <b>5-15:</b> Reserved</p>	WARL	0
31-4	trTeTrigExtInAction <i>n</i>	Select actions (as defined for bits 3-0) for external trigger input <i>n</i> (1..7). If an external trigger input does not exist, then its action is fixed at 0.	WARL	0

**Table 21. Register: trTeTrigExtOutControl: External Trigger Output Control Register (trBaseEncoder+0x058)**

Bit	Field	Description	RW	Reset
3-0	trTeTrigExtOutEvent0	<p>Bitmap to select which event(s) cause external trigger 0 output to fire. If external trigger output 0 does not exist, then all bits are fixed at 0. Bits 2 and 3 may be fixed at 0 if the corresponding feature is not implemented.</p> <p><b>Bit 0:</b> Start trace transition (<code>trTeInstTracing</code> 0 → 1) will fire the trigger.  <b>Bit 1:</b> Stop trace transition (<code>trTeInstTracing</code> 1 → 0) will fire the trigger.  <b>Bit 2-3:</b> Vendor-specific event (optional)</p>	WARL	0
31-4	trTeTrigExtOutEvent <i>n</i>	Select events for external trigger output <i>n</i> (1..7). If an external trigger output does not exist, then its event bits are fixed at 0	WARL	0

### 6.2.3. Triggers Precedence

It is implementation-dependent what happens when debug triggers or external triggers with conflicting actions occur simultaneously or if debug triggers or external triggers occur too frequently to process.

## 6.3. Trace Encoder Filter Registers

All registers with offsets 0x400 .. 0x7FC are reserved for additional trace encoder filter options (context, addresses, modes, etc.).

Trace encoder filters are an optional feature that can be used to control the generated trace in various ways.

The registers below divide the filter logic into filters and comparators to provide maximum flexibility at low cost. The number of filters and comparators depends on the system. Each filter unit can specify filtering against instruction and optionally against data trace inputs from the hart. When filter *i* is implemented, the registers `trTeFilteriControl` and `trTeInstFilters` must be implemented to enable it. And to apply filter *i* to the data trace, the `trTeDataFilters` register must also be present. And if a match bit in the `trTeFilteriControl` register can be set to 1 (= enabling a filter option), the corresponding register from the bit's description must have a valid value. Each of the mentioned comparator units is actually a pair of comparators (primary and secondary, or P and S), so a limited range can be matched with a single comparator unit if needed.



Filter and comparator registers refer to values of some signals (as **priv**, **itype**, **ecause**, **dtype**, **'dsize**, ...) available on Trace Ingress Port. See E-Trace specification for details of encoding of these values.

Table 22. Register: `trTeFilter??`: Trace Encoder Filter Registers (`trBaseEncoder+0x400..0x5FF`)

Address Offset	Register Name	Compliance	Description
0x400 + 0x20*i	<code>trTeFilteriControl</code>	Optional	Filter <i>i</i> control
0x404 + 0x20*i	<code>trTeFilteriMatchInst</code>	Optional	Filter <i>i</i> instruction match control
0x408 + 0x20*i	<code>trTeFilteriMatchEcause</code>	Optional	Filter <i>i</i> Ecause match control
0x40C + 0x20*i	—	Optional	Reserved
0x410 + 0x20*i	<code>trTeFilteriMatchValueImpdef</code>	Optional	Filter <i>i</i> impdef value
0x414 + 0x20*i	<code>trTeFilteriMatchMaskImpdef</code>	Optional	Filter <i>i</i> impdef mask
0x418 + 0x20*i	<code>trTeFilteriMatchData</code>	Optional	Filter <i>i</i> Data trace match control

Address Offset	Register Name	Compliance	Description
0x41C + 0x20*i	—	Optional	Reserved

**Table 23. Register: trTeComp??: Trace Encoder Comparator Registers (trBaseEncoder+0x600..0x6FF)**

0x600 + 0x20*j	trTeCompjControl	Optional	Comparator j control
0x604 + 0x20*j	—	Optional	Reserved
0x608 + 0x20*j	—	Optional	Reserved
0x60c + 0x20*j	—	Optional	Reserved
0x610 + 0x20*j	trTeCompjPmatchLow	Optional	Comparator j primary match (bits 31:0)
0x614 + 0x20*j	trTeCompjPmatchHigh	Optional	Comparator j primary match (bits 63:32)
0x618 + 0x20*j	trTeCompjSmatchLow	Optional	Comparator j secondary match (bits 31:0)
0x61c + 0x20*j	trTeCompjSmatchHigh	Optional	Comparator j secondary match (bits 63:32)

**Table 24. Register: trTeFilteriControl : Filter i Control Register (trBaseEncoder+0x400 + 0x20i)**

Bit	Field	Description	RW	Reset
0	trTeFilterEnable	Overall filter enable for filter #i	WARL	0
1	trTeFilterMatchPrivilege	When set, match privilege levels specified by trTeFilterMatchChoicePrivilege field for filter #i.	WARL	0
2	trTeFilterMatchEcause	When set, start matching from exception cause codes specified by trTeFilterMatchChoiceEcause field for filter #i, and stop matching upon return from the 1st matching exception.	WARL	0
3	trTeFilterMatchInterrupt	When set, start matching from a trap with the interrupt level codes specified by trTeFilterMatchValueInterrupt field for filter #i, and stop matching upon return from the 1st matching trap.	WARL	0
4	trTeFilterMatchComp1	When set, the output of the comparator selected by trTeFilterComp1 must be true in order for the filter to match.	WARL	0

Bit	Field	Description	RW	Reset
7-5	trTeFilterComp1	Specifies the comparator unit to use for the 1st comparison.	WARL	SD
8	trTeFilterMatchComp2	When set, the output of the comparator selected by <b>trTeFilterComp2</b> must be true in order for the filter to match.	WARL	0
11-9	trTeFilterComp2	Specifies the comparator unit to use for the 2nd comparison.	WARL	SD
12	trTeFilterMatchComp3	When set, the output of the comparator selected by <b>trTeFilterComp3</b> must be true in order for the filter to match.	WARL	0
15-13	trTeFilterComp3	Specifies the comparator unit to use for the 3rd comparison.	WARL	SD
16	trTeFilterMatchImpdef	When set, match <b>impdef</b> values as specified by <b>trTeFilterMatchValueImpdef</b> and <b>trTeFilterMatchMaskImpdef</b> fields for filter #i.	WARL	0
23-17	—	Reserved	—	0
24	trTeFilterMatchDtype	When set, match <b>dtype</b> values as specified by <b>trTeFilterMatchChoiceDtype</b> field for filter #i.	WARL	0
25	trTeFilterMatchDsize	When set, match <b>dsize</b> values as specified by <b>trTeFilterMatchChoiceDsize</b> field for filter #i.	WARL	0

**Table 25. Register: trTeFilteriMatchInst : Filter i Instruction Match Control Register (trBaseEncoder+0x404 + 0x20i)**

Bit	Field	Description	RW	Reset
7-0	trTeFilterMatchChoicePrivilege	When <b>trTeFilterMatchPrivilege</b> field for filter #i is set, match all privilege levels for which the corresponding bit is set. For example, if bit N is 1, then match if the <b>priv</b> value is N	WARL	SD
8	trTeFilterMatchValueInterrupt	When <b>trTeFilterMatchInterrupt</b> field for filter #i is set, match <b>itype</b> of 2 or 1 depending on whether this bit is 1 or 0 respectively.	WARL	SD

**Table 26. Register: trTeFilteriMatchEcause : Filter i Ecause Match Control Register (trBaseEncoder+0x408 + 0x20i)**

Bit	Field	Description	RW	Reset
31-0	trTeFilterMatchChoiceEcause	When <b>trTeFilterMatchEcause</b> field for filter #i is set, match all exception causes for which the corresponding bit is set. For example, if bit N is 1, then match if the <b>ecause</b> is N.	WARL	SD

**Table 27. Register: trTeFilteriMatchValueImpdef : Filter i Impdef Match Value Register (trBaseEncoder+0x410 + 0x20i)**

Bit	Field	Description	RW	Reset
31-0	trTeFilterMatchValueImpdef	When <b>trTeFilterMatchimpdef</b> field for filter #i is set, match if ( <b>impdef</b> & <b>trTeFilterMatchMaskImpdef</b> ) == ( <b>trTeFilterMatchValueImpdef</b> & <b>trTeFilterMatchMaskImpdef</b> ).	WARL	SD

**Table 28. Register: trTeFilteriMatchMaskImpdef : Filter i Impdef Match Mask Register (trBaseEncoder+0x414 + 0x20i)**

Bit	Field	Description	RW	Reset
31-0	trTeFilterMatchMaskImpdef	When <b>trTeFilterMatchimpdef</b> field for filter #i is set, match if ( <b>impdef</b> & <b>trTeFilterMatchMaskImpdef</b> ) == ( <b>trTeFilterMatchValueImpdef</b> & <b>trTeFilterMatchMaskImpdef</b> ).	WARL	SD

**Table 29. Register: trTeFilteriMatchData : Filter i Data Match Control Register (trBaseEncoder+0x418 + 0x20i)**

Bit	Field	Description	RW	Reset
15-0	trTeFilterMatchChoiceDtype	When <b>trTeFilterMatchDtype</b> field for filter #i is set, match all data access types for which the corresponding bit is set. For example, if bit N is 1, then match if the <b>dtype</b> value is N.	WARL	SD
23-16	trTeFilterMatchChoiceDsize	When <b>trTeFilterMatchDsize</b> field for filter #i is set, match all data access sizes for which the corresponding bit is set. For example, if bit N is 1, then match if the <b>dsize</b> value is N.	WARL	SD

**Table 30. Register: trTeCompjControl : Comparator j Control Register (trBaseEncoder+0x600 + 0x20j)**

Bit	Field	Description	RW	Reset
1-0	trTeCompPInput	Determines which input to compare against the primary comparator. <b>0: iaddr</b> <b>1: context</b> <b>2: tval</b> <b>3: daddr</b>	WARL	SD
3-2	trTeCompSInput	Determines which input to compare against the secondary comparator. Same encoding as <b>trTeCompPInput</b> .	WARL	SD

Bit	Field	Description	RW	Reset
6-4	trTeCompPFunction	<p>Selects the primary comparator function. Primary result is true if input selected via <code>trTeCompPInput</code> is:</p> <p><b>0:</b> equal to <code>trTeCompPMatch</code></p> <p><b>1:</b> not equal to <code>trTeCompPMatch</code></p> <p><b>2:</b> less than to <code>trTeCompPMatch</code></p> <p><b>3:</b> less than or equal to <code>trTeCompPMatch</code></p> <p><b>4:</b> greater than to <code>trTeCompPMatch</code></p> <p><b>5:</b> greater than or equal to <code>trTeCompPMatch</code></p> <p><b>6:</b> Result always false (input ignored). Prime latch to 1 if <code>trTeCompMatchMode</code> is 3</p> <p><b>7:</b> Result always true (input ignored)</p>	WARL	SD
7	—	Reserved	—	0
10-8	trTeCompSFunction	<p>Selects the secondary comparator function. Secondary result is true if input selected via <code>trTeCompSInput</code> is:</p> <p><b>0:</b> equal to <code>trTeCompSMatch</code></p> <p><b>1:</b> not equal to <code>trTeCompSMatch</code></p> <p><b>2:</b> less than to <code>trTeCompSMatch</code></p> <p><b>3:</b> less than or equal to <code>trTeCompSMatch</code></p> <p><b>4:</b> greater than to <code>trTeCompSMatch</code></p> <p><b>5:</b> greater than or equal to <code>trTeCompSMatch</code></p> <p><b>6:</b> Result always true (input ignored). Use <code>trTeCompSMatch</code> as a mask for <code>trTeCompPMatch</code></p> <p><b>7:</b> Result always true (input ignored)</p>	WARL	SD
11	—	Reserved	—	0
13-12	trTeCompMatchMode	<p>Selects the match condition used to assert the overall comparator output</p> <p><b>0:</b> primary result true</p> <p><b>1:</b> primary and secondary result both true: (P &amp;&amp; S)</p> <p><b>2:</b> Either primary or secondary result does not match: !(P &amp;&amp; S)</p> <p><b>3:</b> Set when primary result is true and continue to assert until instruction after secondary result is true</p>	WARL	SD
14	trTeCompPNotify	Generate a trace packet explicitly reporting the address of the final instruction in a block that causes a primary match (requires <code>trTeCompPInput</code> to be 0). This is also known as a watchpoint.	WARL	SD

Bit	Field	Description	RW	Reset
15	trTeCompSNotify	Generate a trace packet explicitly reporting the address of the final instruction in a block that causes a secondary match (requires <b>trTeCompSInput</b> to be 0). This is also known as a watchpoint.	WARL	SD

**Table 31. Register: trTeCompjPMatchLow : Comparator j Primary match (low) Register (trBaseEncoder+0x610 + 0x20j)**

Bit	Field	Description	RW	Reset
31-0	trTeCompPMatchLow	The match value for the primary comparator (bits 31:0).	WARL	SD

**Table 32. Register: trTeCompjPMatchHigh : Comparator j Primary match (high) Register (trBaseEncoder+0x614 + 0x20j)**

Bit	Field	Description	RW	Reset
31-0	trTeCompPMatchHigh	The match value for the primary comparator (bits 63:32).	WARL	SD

**Table 33. Register: trTeCompjSMatchLow : Comparator j Secondary match (low) Register (trBaseEncoder+0x618 + 0x20j)**

Bit	Field	Description	RW	Reset
31-0	trTeCompSMatchLow	The match value for the secondary comparator (bits 31:0).	WARL	SD

**Table 34. Register: trTeCompjSMatchHigh : Comparator j Secondary match (high) Register (trBaseEncoder+0x61C + 0x20j)**

Bit	Field	Description	RW	Reset
31-0	trTeCompSMatchHigh	The match value for the secondary comparator (bits 63:32).	WARL	SD

# Chapter 7. Trace RAM Sink

Trace RAM Sink may be instantiated or configured to support storing trace into dedicated SRAM or system memory. SRAM mode is using dedicated local memory inside of RAM sink, while system memory mode (SMEM mode) is accessing memory via system bus (care should be taken to not overwrite application code or data - it is usually done by reserving part of system memory for trace). Dedicated SRAM memory must be read via dedicated `trRamData` register, while memory in SMEM mode should be read as any other memory on system bus - for example using SBA (System Bus Access) access mode as defined in the RISC-V Debug Specification.

Trace data is placed in memory in LSB order (first byte of trace packet/data is placed on LSB).

Be aware that in case trace memory wraps around some protocols may require additional synchronization data - it is usually done by periodically generating a sequence of alignment synchronization bytes which cannot be part of any valid packet. Specification of each trace protocol must define it.

**Table 35. Register: trRamControl: Trace RAM Sink Control Register (trBaseRam+0x000)**

Bit	Field	Description	RW	Reset
0	trRamActive	Primary enable/reset for Trace RAM Sink. When 0, the Trace RAM Sink may have clocks gated off or be powered down, and other register locations may be inaccessible. Hardware may take an arbitrarily long time to process power-up and power-down and will indicate completion when the read value of this bit matches what was written.	RW	0
1	trRamEnable	<b>1:</b> Trace RAM Sink enabled. Setting <code>trRamEnable</code> to 0 flushes any queued trace data to memory (idle bytes/packet may be appended after the last message/packet to assure memory access alignment). Enabling trace CANNOT change any of <code>trRamStart/Limit/WP/RP??</code> registers. Disabling trace may update <code>trRamWP??</code> as a result of flushing.	RW	0
2	—	Reserved	—	0
3	trRamEmpty	Reads 1 when Trace RAM Sink internal buffers are empty, which means that all trace data is flushed.	R	1
4	trRamMode	<b>0:</b> This RAM Sink will operate in SRAM mode <b>1:</b> This RAM Sink will operate in SMEM mode	WARL	SD
7-5	—	Reserved	—	0
8	trRamStopOnWrap	<b>1:</b> Disable storing trace to RAM ( <code>trRamEnable</code> → 0) when the circular buffer gets full.	WARL	0



Bit	Field	Description	RW	Reset
10-9	trRamMemFormat	<b>0:</b> Memory is formatted as plain bytes <b>1-2:</b> Reserved for future formats <b>3:</b> Reserved for custom memory format	WARL	SD
11	—	Reserved	—	0
14-12	trRamSinkAsyncFreq	<b>0:</b> Alignment synchronization packets disabled (may be the only choice for some protocols) <b>1-7:</b> Different levels of alignment synchronization (bigger number, bigger distance). Details should be defined in definition of each trace protocol.	WARL	SD

**Table 36. Register: trRamImpl: Trace RAM Sink Implementation Register (trBaseRamSink+0x004)**

Bit	Field	Description	RW	Reset
3-0	trRamVerMajor	Trace RAM Sink Component Major Version. Value 1 means the component is compliant with this document.	R	1
7-4	trRamVerMinor	Trace RAM Sink Component Minor Version. Value 0 means the component is compliant with this document.	R	0
11-8	trRamCompType	Trace RAM Sink Component Type (RAM Sink)	R	0x9
12	trRamHasSRAM	This RAM Sink supports SRAM mode	R	SD
13	trRamHasSMEM	This RAM Sink supports SMEM (System Memory) mode	R	SD
23-14	—	Reserved for future versions of this standard	—	0
31-24	—	Reserved for vendor specific implementation details	—	SD



Single RAM Sink may support both SRAM and SMEM modes, but not both of them may be enabled at the same time. It is also possible to have more than one RAM Sink in a system.

**Table 37. Register: trRamStartLow: Trace RAM Sink Start Register (trBaseRamSink+0x010)**

Bit	Field	Description	RW	Reset
1-0	—	Always 0 (two LSB of 32-bit address)	R	0
31-2	trRamStartLow	Byte address of start of trace sink circular buffer. It is always aligned on at least a 32-bit/4-byte boundary. An SRAM sink will usually have <b>trRamStartLow</b> fixed at 0.	WARL	Undef or fixed to 0

For a bus with an address larger than 32-bit, corresponding **High** registers define the MSB part of such a larger address.

**Table 38. Register: trRamStartHigh: Trace RAM Sink Start High Bits Register (trBaseRamSink+0x014)**

Bit	Field	Description	RW	Reset
31-0	trRamStartHigh	High order bits (63:32) of <b>trRamStart</b> register.	WARL	Undef

**Table 39. Register: trRamLimitLow: Trace RAM Sink Limit Register (trBaseRamSink+0x018)**

Bit	Field	Description	RW	Reset
1-0	—	Always 0 (two LSB of 32-bit address)	R	0
31-2	trRamLimitLow	Highest absolute 32-bit part of address of trace circular buffer. The <b>trRamWP</b> register is reset to <b>trRamStart</b> after a trace word has been written to this address.	WARL	Undef

**Table 40. Register: trRamLimitHigh: Trace RAM Sink Limit High Bits Register (trBaseRamSink+0x01C)**

Bit	Field	Description	RW	Reset
31-0	trRamLimitHigh	High order bits (63:32) of <b>trRamLimit</b> register.	WARL	Undef

**Table 41. Register: trRamWPLow: Trace RAM Sink Write Pointer Register (trBaseRamSink+0x020)**

Bit	Field	Description	RW	Reset
0	trRamWrap	Set to 1 by hardware when <b>trRamWP</b> wraps. It is only set to 0 if <b>trRamWPLow</b> is written	WARL	0
1	—	Always 0 (bit B1 of 32-bit address)	R	0
32-2	trRamWPLow	Absolute 32-bit part of address in trace sink memory where next trace message will be written. Fixed to a natural boundary. After a trace word write occurs while <b>trRamWP</b> = <b>trRamLimit</b> , <b>trRamWP</b> is set to <b>trRamStart</b> .	WARL	Undef

**Table 42. Register: trRamWPHigh: Trace RAM Sink Write Pointer High Bits Register (trBaseRamSink+0x024)**

Bit	Field	Description	RW	Reset
31-0	trRamWPHigh	High order bits (63:32) of <b>trRamWP</b> register.	WARL	Undef

**Table 43. Register: trRamRPLow: Trace RAM Sink Read Pointer Register (trBaseRamSink+0x028)**

Bit	Field	Description	RW	Reset
1-0	—	Always 0 (two LSB of 32-bit address)	R	0
31-2	trRamRPLow	Absolute 32-bit part of address in trace circular memory buffer visible through <b>trRamData</b> . <b>trRamRP</b> auto-increments following an access to <b>trRamData</b> . After a trace word read occurs while <b>trRamRP</b> = <b>trRamLimit</b> , <b>trRamRP</b> is set to <b>trRamStart</b> . Required for SRAM mode and optional for SMEM mode.	WARL	Undef

**Table 44. Register: trRamRPHigh: Trace RAM Sink Read Pointer High Bits Register (trBaseRamSink+0x02C)**

Bit	Field	Description	RW	Reset
31-0	trRamRPHigh	High order bits (63:32) of <b>trRamRP</b> register.	WARL	Undef

**Table 45. Register: trRamData: Trace RAM Sink Data Register (trBaseRamSink+0x040)**

Bit	Field	Description	RW	Reset
31-0	trRamData	Read (and optional write) value for trace sink memory access. SRAM is always accessed by 32-bit words through this path regardless of the actual width of the sink memory. Required for SRAM mode and optional for SMEM mode.	R or RW	Undef



When trace capture was wrapped around (**trRamWrap** = 1) beginning of trace is not available and oldest packets/messages in the trace buffer (starting at address in **trRamWP**) will be most likely not complete. Trace decoders must look for the start of a message. Also when trace is stopped on wrap around, the very last message recorded in trace memory may not be complete.

Implementations when a trace buffer in system memory will be bigger than 4GB is desired will be unlikely, so in most cases trace tools would not need to use **trRamWPHigh** and **trRamRPHigh** registers.

Table below shows typical Trace RAM Sink configurations. Implementing other configurations is not suggested as trace tools may not support it without adjustments.

**Table 46. Typical Trace RAM Sink Configurations**

Mode	trRamStart	trRamLimit	trRamWP	trRamRP	trRamData
SRAM	0	Hard coded to max size ( $2^M$ ) at reset, but can be possibly trimmed	Required	Required	Required
SMEM Generic	Any ( $2^N$ aligned)	Any ( <b>trRamStart</b> + $2^M - A$ ) - must be set by trace tool	Required	Not implemented	Not implemented

Mode	trRamStart	trRamLimit	trRamWP	trRamRP	trRamData
SMEM Fixed	Fixed ( $2^N$ aligned)	Fixed to max size at reset ( $\text{trRamStart} + 2^N - A$ ), but can be possibly trimmed	Required	Not implemented	Not implemented



Value  $A$  means alignment which depends on memory access width. If we have memory access width of 32-bits,  $A=4$  and value of `trRamLimit` register should be `0x...FC`. Some implementations may impose bigger alignment of trace data (to allow more efficient transfer rates) for SMEM mode. For SRAM mode  $A$  must be 4 as access to trace via `trRamData` is always 32-bits wide.

## 7.1. Accessing and Detecting RAM Sink Registers

Trace tool should start interacting with Trace RAM Sink by releasing RAM Sink from reset by setting `trRamActive` = 1 and waiting for this bit to be set. After that it should verify `trRamEmpty` = 1, read `trRamImpl` and verify `trRamCompType` and `trRamVer??` fields. Values of `trRamHasSRAM/SMEM` fields will provide main types of RAM Sink being implemented.

Later `trRamMode` should be set (depending on desired RAM Sink mode). It is important to set this field first as other registers may behave differently for SRAM and SMEM modes.

In SRAM mode, the trace memory is dedicated for trace storage and `trRamStart??` registers should be settable (usually both not implemented and return 0). `trRamLimitLow` registers may be either hardcoded (to reflect physical SRAM size) or writable (allowing trimming RAM size allowing faster wrap-around or sharing same memory with some other components in the system).

Detection of valid ranges of each `trRamStart??` and `trRamLimit??` registers should be performed by writing 0 and `0xFFFFFFFF`. After setting 0, the lowest possible value must be set. After setting `0xFFFFFFFF` the highest possible value must be set. If the highest value for `trRamStartHigh` or `trRamLimitHigh` is 0, it means the register is NOT implemented.

Some implementations may provide different limits for different start addresses, so the trace tool should always set `trRamStart??` registers first - this option can be used when a particular implementation has two different RAM regions (each with different physical memory size).

Not every value may be settable in `trRamStart/Limit` registers. Value written may be trimmed (for example aligned on a particular  $2^N$  boundary) and a trace tool should verify values being written. In case accepted values are different from what was provided by the user, a message should be printed which may allow the user to adjust (possibly suboptimal) settings.

Registers `trRamStart??` and `trRamLimit??` are usually set at the beginning of a debug/trace session and never changed.



In SMEM mode (`trRamMode` = 1) trace tool should never set `trRamStart??` and `trRamLimit??` registers outside of range provided by the user as otherwise raw trace being written to memory may corrupt running code and/or data or stack. This type of errors may be very difficult to diagnose as in complex system code (or data) being overwritten by trace may be used way, way later after actual corruption was

made.

Having both `trRamStart/Limit??` registers set, the tool should try to set `trRamRP??` to the same value as `trRamLimit??`. If it is settable it means that the `trRamData` register should be used to read the trace. Otherwise collected trace must be read using normal, physical memory accesses (in range defined by `trRamStart/Limit??` registers).

Before enabling RAM Trace Sink (by setting `trRamEnable` = 1) the trace tool should set `trRamWP??` registers (usually to the same values as in `trRamStart??` register). Enabling trace must NOT change any of `trRamStart/Size/WP/RP??` registers. Just after the trace is enabled `trRamWP??` may change as a result of trace being added to trace memory.

After trace is enabled and active (`trRamEnable` = 1 or `trRamEmpty` = 0), the trace tool should NOT write any of `trRamStart/Limit/WP??` registers.

Setting `trRamRP` and reading `trRamData` may be attempted while trace is active, but support for reading SRAM trace while trace is active may not always be implemented. In such a case write to `trRamRP` must be ignored and `trRamData` read must not advance `trRamRP`. Reading the trace in the SMEM mode via normal memory reads is always allowed.



Even if reading trace (while trace is active) is implemented, circular trace buffer may be overwritten even several times, so values being read by `trRamData` will be of no use. However when trace is started/stopped by infrequent triggers, reading SRAM trace may be useful. However the very last packet in memory may be incomplete as the last trace word may be buffered inside (and `trRamEmpty` = 0 will be observed).



Trace RAM Sink may implement writing trace by writing to `trRamData`, but this mode is usable only for testing, so will most likely not be implemented. Trace tool is not required to support writing to the `trRamData` register.

# Chapter 8. Trace Funnel

The Trace Funnel combines messages/packets from multiple sources into a single trace stream. It is implementation-dependent how many incoming messages/packets are accepted before it is switching to another input cycle and in what order. But a continuous stream of messages/packets at one input cannot cause other inputs to not be handled. Most fair implementation would be to process just a single message/packet from each input in round-robin fashion.

**Table 47. Register: trFunnelControl: Trace Funnel Control Register (trBaseFunnel+0x000)**

Bit	Field	Description	RW	Reset
0	trFunnelActive	Primary enable/reset for trace funnel. When 0, the Trace Funnel may have clocks gated off or be powered down, and other register locations may be inaccessible. Hardware may take an arbitrarily long time to process power-up and power-down and will indicate completion when the read value of this bit matches what was written.	RW	0
1	trFunnelEnable	<b>1:</b> Trace Funnel enabled. Setting <b>trFunnelEnable</b> to 0 flushes any queued trace data to output.	RW	0
2	—	Reserved	—	0
3	trFunnelEmpty	Reads 1 when Trace Funnel internal buffers are empty	R	1

**Table 48. Register: trFunnelImpl: Trace Funnel Implementation Register (trBaseFunnel+0x004)**

Bit	Field	Description	RW	Reset
3-0	trFunnelVerMajor	Trace Funnel Component Major Version. Value 1 means the component is compliant with this document.	R	1
7-4	trFunnelVerMinor	Trace Funnel Component Minor Version. Value 0 means the component is compliant with this document.	R	0
11-8	trFunnelCompType	Trace Funnel Component Type (Trace Funnel)	R	0x8
23-12	—	Reserved for future versions of this standard	—	0
31-24	—	Reserved for vendor specific implementation details	—	SD

**Table 49. Register: trFunnelDisInput: Disable Individual Funnel Inputs (trBaseFunnel+0x008)**

Bit	Field	Description	RW	Reset
15-0	trFunnelDisInput	<b>1:</b> Funnel input #n is disabled (incoming messages are ignored).	WARL	0



`trFunnelDisInput` register is optional. When not implemented (or never set) it will read as 0, which means that all inputs are always enabled. When implemented, it can be set to 0xFFFF to detect which inputs maybe disabled in that trace funnel. Disabling inputs is needed when a single trace encoder may provide output to more than one possible active destination/sink. This register can be also used by trace tools to easily configure a trace in complex systems. Without the ability to disable individual funnel inputs, the trace tool must assure all trace sources which should not be traced are disabled.

## 8.1. Timestamp Unit

Trace Funnel may optionally include Timestamp Unit. It is described inside of the Trace Encoder chapter above.

# Chapter 9. Trace PIB Sink

Trace data may be sent to chip pins through an interface called the Pin Interface Block (PIB). This interface typically operates at a few hundred MHz and can sometimes be higher with careful constraints and board layout or by using LVDS or other high-speed signal protocol. PIB may consist of just one signal and in this configuration may be called SWT (Serial-Wire Trace). Alternative configurations include a trace clock (TRC\_CLK) and 1/2/4/8/16 parallel trace data signals (TRC\_DATA) timed to that trace clock. WARL register fields are used to determine specific PIB capabilities.

The modes and behavior described here are intended to be compatible with trace probes available in the market.

## PIB Register Interface

Table 50. Register: trPibControl: PIB Sink Control Register (trBasePib+0x000)

Bit	Field	Description	RW	Reset
0	trPibActive	Primary enable/reset for PIB Sink component. When 0, the PIB Sink may have clocks gated off or be powered down, and other register locations may be inaccessible. Hardware may take an arbitrarily long time to process power-up and power-down and will indicate completion when the read value of this bit matches what was written.	RW	0
1	trPibEnable	<b>0:</b> PIB does not accept input but holds output(s) at idle state defined by pibMode. <b>1:</b> Enable PIB to generate output	RW	0
2	—	Reserved	—	0
3	trPibEmpty	Reads 1 when PIB internal buffers are empty	R	1
7-4	trPibMode	Select mode for output pins. Allowed values are described in the <a href="#">Allowed PIB Configurations</a> table below.	WARL	0
8	trPibClkCenter	In parallel modes, adjust TRC_CLK timing to the center of the bit period. This can be set only if <a href="#">trPibMode</a> selects one of the parallel protocols. Optional.	WARL	SD
9	trPibCalibrate	Set this to 1 to generate a repeating calibration pattern to help tune a probe's signal delays, bit rate, etc. The calibration pattern is described below. Optional.	WARL	0
11-10	—	Reserved	—	0



Bit	Field	Description	RW	Reset
14-12	trPibAsyncFreq	<b>0:</b> Alignment synchronization packets disabled (may be the only choice for some protocols) <b>1-7:</b> Different levels of alignment synchronization (bigger number, bigger distance). Details should be defined in definition of each trace protocol.	WARL	SD
15	—	Reserved	—	0
31-16	trPibDivider	Timebase selection for the PIB module. The input clock is divided by <b>trPibDivider</b> + 1. PIB data is sent at either this divided rate or 1/2 of this rate, depending on <b>trPibMode</b> . Width is implementation-dependent. After the PIB reset value of this pin should be set to safe setting for a particular SoC. Trace tools may set smaller values in order to utilize higher bandwidth.	WARL	SD

**Table 51. Register: trPibImpl: Trace PIB Implementation Register (trBasePib+0x004)**

Bit	Field	Description	RW	Reset
3-0	trPibVerMajor	Trace PIB Sink Component Major Version. Value 1 means the component is compliant with this document.	R	1
7-4	trPibVerMinor	Trace PIB Sink Component Minor Version. Value 0 means the component is compliant with this document.	R	0
11-8	trPibCompType	Trace PIB Sink Component Type (PIB Sink)	R	0xA
23-12	—	Reserved for future versions of this standard	—	0
31-24	—	Reserved for vendor specific implementation details	—	SD

Software can determine what modes are available by attempting to write each mode setting to the WARL field **trPibMode** and reading back to see if the value was accepted.

**Table 52. Allowed PIB Configurations**

Mode	trPibMode	trPibClkCenter	Bit rate
Off	0	X	—
SWT Manchester	4	X	1/2
SWT UART	5	X	1

Mode	trPibMode	trPibClkCenter	Bit rate
TRC_CLK + 1 TRC_DATA	8	0	1
TRC_CLK + 2 TRC_DATA	9	0	1
TRC_CLK + 4 TRC_DATA	10	0	1
TRC_CLK + 8 TRC_DATA	11	0	1
TRC_CLK + 16 TRC_DATA	12	0	1
TRC_CLK + 1 TRC_DATA	8	1	1/2
TRC_CLK + 2 TRC_DATA	9	1	1/2
TRC_CLK + 4 TRC_DATA	10	1	1/2
TRC_CLK + 8 TRC_DATA	11	1	1/2
TRC_CLK + 16 TRC_DATA	12	1	1/2

Since the PIB supports many different modes, it is necessary to follow a particular programming sequence:

- Activate the PIB by setting `trPibActive`.
- Set the `trPibMode`, `trPibDivider`, `trPibClkCenter`, and `trPibCalibrate` fields. This will set the TRC\_DATA outputs to the quiescent state (whether that is high or low depends on `trPibMode`) and start TRC\_CLK running.
- Activate the receiving device, such as a trace probe. Allow time for PLL to sync up, if using a PLL with a parallel PIB mode.
- Set `trPibEnable`. This enables the PIB to generate output either immediately (calibration mode) or when the Trace Encoder or Trace Funnel begins sending trace messages/packets.

## 9.1. Order of bits and bytes

- Trace messages/packets are considered as sequences of bytes and are always transmitted with LSB bits/bytes first.
- In 16-bit mode the first byte of message/packet is transmitted on LSB bits #0-#7 and second/odd byte will be transmitted on MSB bits#8-#15.
- Idle sequences (no message/packet to be sent) are transmitted between messages.
  - Idle sequence depends on trace protocol and must be defined in a way allowing detection of the start of first byte of message/packet following the idle sequence.
  - Idle sequences are defined in documents where trace protocols are defined.

## 9.2. PIB Parallel Protocol

Traditionally, off-chip trace has used this protocol. There are a number of parallel data signals (TRC\_DATA0..15) and one continuously-running trace clock (TRC\_CLK). The data rate of several parallel signals can be much higher than either of the serial-wire protocols.

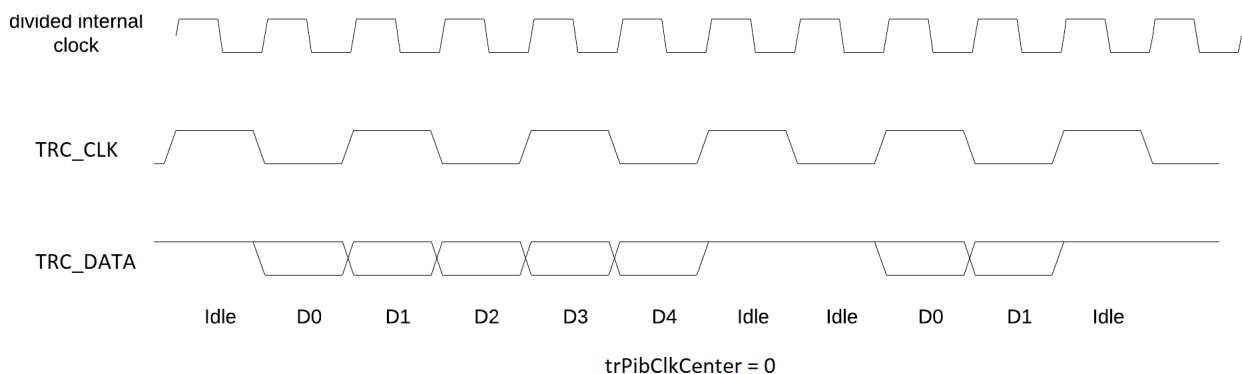
This protocol is oriented to send full, variable length trace messages/packets rather than fixed-width trace words.

When a message start is detected, this sample and possibly the next few (depending on the width of TRC\_DATA) are collected until a complete byte has been received. Bytes are transmitted LSB first, with TRC\_DATA[0] representing the LSB in each beat of data. The receiver continues collecting bytes until a complete message has been received. The criteria for this depends on the trace format. After the last byte of a message, the data signals may then go to their idle state or a new message may begin in the next bit period.

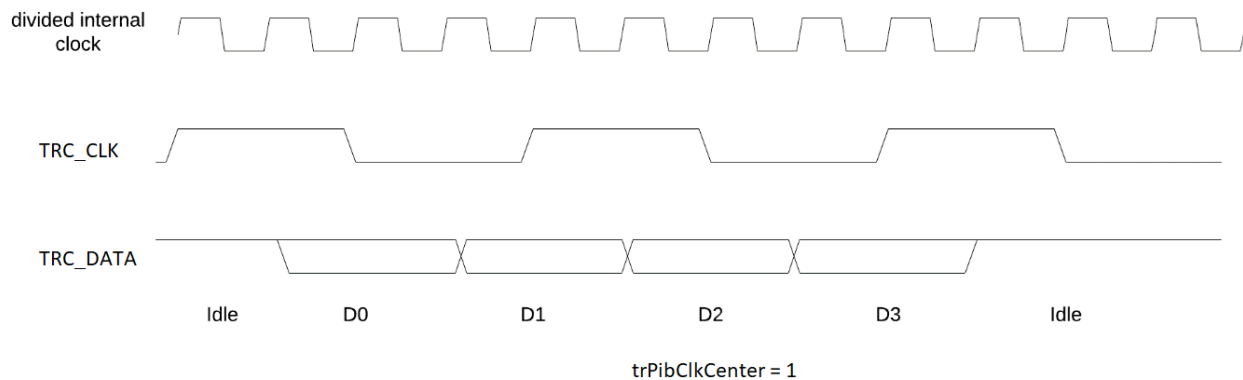
### 9.2.1. PIB Clock Center

The trace clock, TRC\_CLK, normally has edges coincident with the TRC\_DATA edges. Typically, a trace probe will delay trace data or use a PLL to recover a sampling clock that is twice the frequency of TRC\_CLK and shifted 90 degrees so that its rising edges occur near the center of each bit period. If the PIB implementation supports it, the debugger can set `trPibClkCenter` to change the timing of TRC\_CLK so that there is a TRC\_CLK edge at the center of each bit period on TRC\_DATA. Note that this option cuts the data rate in half relative to normal parallel mode and still requires the probe to sample TRC\_DATA on both edges of TRC\_CLK.

This example shows 8-bit parallel mode with `trPibClkCenter = 0` transmitting a 5-byte message/packet followed by a 2-byte message/packet.



And an example showing 8-bit parallel mode transmitting a 4-byte packet with `trPibClkCenter = 1`



## 9.3. Calibration Mode

In optional calibration mode, the PIB transmits a repeating pattern. Probes can use this to automatically tune input delays due to skew on different PIB signal lines and to adjust to the transmitter's data rate (`trPibDivider` and `trPibClkCenter`). Calibration patterns for each mode are listed below.

*Table 53. PIB Calibration Patters*

Mode	Calibration Bytes	Wire Sequence
UART, Manchester	AA 55 00 FF	alternating 1/0, then all 0, then all 1
1-bit parallel	AA 55 00 FF	alternating 1/0, then all 0, then all 1
2-bit parallel	66 66 CC 33	2, 1, 2, 1, 2, 1, 2, 1, 0, 3, 0, 3, 3, 0, 3, 0
4-bit parallel	5A 5A F0 0F	A, 5, A, 5, 0, F, F, 0
8-bit parallel	AA 55 00 FF	AA, 55, 00, FF
16-bit parallel	AA AA 55 55 00 00 FF FF	AAAA, 5555, 0000, FFFF



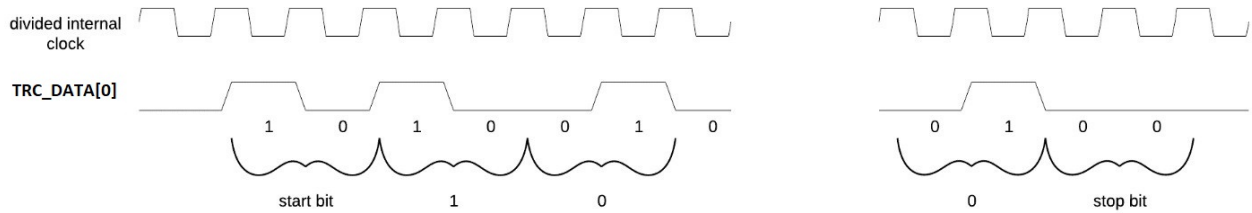
Calibration mode may be used even by probes which do not support calibration of trace just to assure trace routing on PCB is correct and PIB is correctly enabled. It may be also possible to use calibration mode to check trace signal routing from SoC using scope or logic analyzer.

## 9.4. SWT Manchester Protocol

In this mode, the PIB outputs complete trace messages encapsulated between a start bit and a stop bit. Each bit period is divided into 2 phases and the sequential values of the TRC\_DATA[0] pin during those 2 phases denote the bit value. Bits of the message are transmitted LSB first. The idle state of TRC\_DATA[0] is low in this mode.

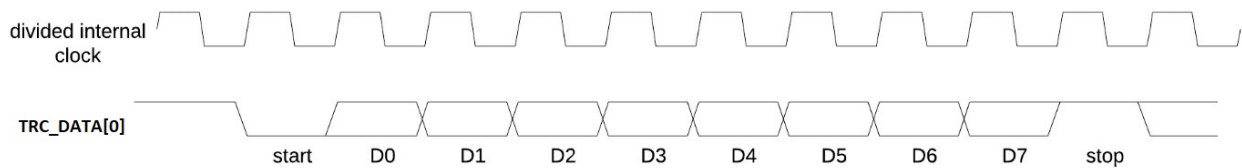
*Table 54. Manchester Encoding Patterns*

Bit	Phase 1	Phase 2
start	1	0
logic 0	0	1
logic 1	1	0
stop/idle	0	0



## 9.5. SWT UART Protocol

In UART protocol, the PIB outputs bytes of a trace message encapsulated in a 10-bit packet consisting of a low start bit, 8 data bits, LSB first, and a high stop bit. Another packet may begin immediately following the stop bit or there may be an idle period between packets. When no data is being sent, TRC\_DATA[0] is high in this mode.



# Chapter 10. Trace ATB Bridge

Some SoCs may have an Advanced Trace Bus (ATB) infrastructure to manage trace produced by other components. In such systems, it is feasible to route RISC-V trace output to the ATB through an ATB Bridge. This module manages the interface to ATB, generating ATB trace records that encapsulate RISC-V trace produced by the Trace Encoder or Trace Funnel. There is a control register that includes trace on/off control and a field allowing software to set the Trace Source ID to be used on the ATB. This Trace Source ID allows software to extract RISC-V trace from the combined trace. This interface is compatible with AMBA 4 ATB v1.1.

**Table 55. Register: trAtbBridgeControl: ATB Bridge Control Register (trAtbBridgeBase+0x000)**

Bit	Field	Description	RW	Reset
0	trAtbBridgeActive	Primary enable for the ATB Bridge. When 0, the ATB Bridge may have clocks gated off or be powered down, and other register locations may be inaccessible. Hardware may take an arbitrarily long time to process power-up and power-down and will indicate completion when the read value of this bit matches what was written.	RW	0
1	trAtbBridgeEnable	<b>1:</b> ATB Bridge enabled. Setting <b>trAtbBridgeEnable</b> to 0 flushes any queued trace data to ATB.	RW	0
2	—	Reserved	—	0
3	trAtbBridgeEmpty	Reads 1 when ATB Bridge internal buffers are empty	R	1
7-4	—	Reserved	—	0
14-8	trAtbBridgeID	ID of this node on ATB. Values of 0x00 and 0x70-0x7F are reserved by the ATB specification and should not be used.	RW	0

**Table 56. Register: trAtbBridgeImpl: ATB Bridge Implementation Register (trAtbBridgeBase+0x004)**

Bit	Field	Description	RW	Reset
3-0	trAtbBridgeVerMajor	ATB Bridge Component Major Version. Value 1 means the component is compliant with this document.	R	1
7-4	trAtbBridgeVerMinor	ATB Bridge Component Minor Version. Value 0 means the component is compliant with this document.	R	0
11-8	trAtbBridgeCompType	ATB Bridge Component Type (ATB Bridge)	R	0xE

Bit	Field	Description	RW	Reset
14-12	trAtbBridgeAsyncFreq	<b>0:</b> Alignment synchronization packets disabled (may be the only choice for some protocols) <b>1-7:</b> Different levels of alignment synchronization (bigger number, bigger distance). Details should be defined in definition of each trace protocol.	WARL	SD
23-15	—	Reserved for future versions of this standard	—	0
31-24	—	Reserved for vendor specific implementation details	—	SD

An implementation determines the data widths of the connection from the Trace Encoder or Trace Funnel and of the ATB port.

# Chapter 11. Minimal Implementation

This (non-normative) chapter gives an of what needs to be done to put together complete RISC-V trace implementation without getting familiar with every detail of every register.

## Minimal General Registers/Fields

These requirements are applicable to the entire trace sub-system.

- One **Trace Encoder** per hart being traced is required.
- At least one of Trace RAM or Trace PIB sinks or Trace ATB Bridge is required as the final destination of an encoded RISC-V trace.
  - Implementations providing custom transport only are NOT considered fully compliant with this specification as custom trace tools will be needed.
- Each trace component in a system is required to implement **tr??Control** and **tr??Impl** registers.
  - **tr??Active** bit must be settable to 0 or 1, although reset itself is NOT required.
  - **tr??Enable** bit must be settable to 0 or 1 and must support flushing (if applicable) when changed from 1 to 0.
  - **tr??Empty** bit must read as 0 when the trace component has some trace data internally buffered (may be hard-coded to 1).
  - **tr??VerMajor**, **tr??VerMinor** and **tr??CompType** must be implemented.

## Minimal Trace Encoder Register/Fields

- Bit **trTeInstTracing** must be implemented (to start/stop instruction trace output from Trace Encoder).
- One of **trTeInstMode** = 3 (Branch Trace) or 6 (History Trace) must be implemented (can be a hard-coded value).
- At least one of the non-0 values of **trTeInstSyncMode** must be settable (or hard-coded).
- Field **trTeFormat** must correspond to implemented trace protocol (0 for E-Trace or 1 for N-Trace).
- Fields **trTeProtocolMajor** and **trTeProtocolMinor** must return versions of implemented protocol.
- All other registers/fields/bits may be tied to 0.

## Minimal Trace RAM Sink Register/Fields

SRAM mode only:

- Bit **trRamHasSRAM** must be tied to 1 and **trRamMode** must be tied to 0.
- Bit **trRamWrap** must be implemented.
- Register **trRamLimitLow** must be implemented, but can be hard coded to value '2^M-4' (address 0x..FC).
- Register **trRamWPLow** must at least accept a write of 0.
- Register **trRamRPLow** must accept any 32-bit aligned value in inclusive range < 0 .. **trRamLimitLow** >.



- If width of access to SRAM is wider than 32-bits any 32-bit aligned value of `trRamRP` must be allowed and reads must be buffered.
- Register `trRamData` must be implemented for reading only.
- All other registers/fields/bits may be tied to 0.

SMEM mode only:

- Bit `trRamHasSMEM` must be tied to 1 and `trRamMode` must be tied to 1.
- Bit `trRamWrap` must be implemented.
- Register `trRamStart` must be implemented, but can be hard coded to value '2^N' (address 0x..00).
- Register `trRamLimit` must be implemented, but can be hard coded to value '2^N + 2^M-4' (address 0x..FC).
- Registers `trRamWP` must accept any 32-bit aligned value in inclusive range  $< \text{trRamStart} .. \text{trRamLimit} >$ .
- All other registers/fields/bits may be tied to 0.

### Minimal Trace PIB Sink Register/Fields

It is hard to define required mode as it depends on SoC bandwidth requirements and capabilities, but some general guidance may be provided.

- 4-bit mode is supported by most (if not all) trace probes and less expensive MIPI20 connectors can be used.
  - 1-bit and 2-bit modes should be only used when there are critical constraints on the number of MCU pins. Not all trace probes may support these modes.
- Serial mode should be only considered when either limited trace is required or cores run slowly. Not all trace probes may support this mode and max allowed speeds may vary.
  - Manchester encoding is self-synchronizing and may provide a more reliable trace. However UART mode may provide better bandwidth. It is suggested to support both.
- 8-bit and 16-bit modes will provide better bandwidth, but require a more expensive Mictor connector and only more advanced trace probe models may support it.
- It is suggested to provide as fast as possible trace logic clock, and allow a trace tool to set the divider in the `trPibDivider` field.
- For TRC\_CLK frequencies higher than 50MHz, it is suggested to provide a calibration mode.
  - If possible implement `trPibClkCenter` for better flexibility.

### Minimal ATB Bridge Register/Fields

- Field `trAtbBridgeID` must be settable by trace tool (hard-coded ID may not be handled by all trace tools).

# Chapter 12. Reset and Discovery

This chapter describes what trace tools should do to reset and discover trace features.



Trace tools must be provided with base addresses of all trace components.

There are several (independent) reset bits defined by this specification

- `trTeActive` - reset for Trace Encoder component (this will disable encoder from single hart)
- `trFunnelActive` - reset for Trace Funnel component
- `trPibActive` - reset for PIB component (resets Pin Interface Block only)
- `trRamActive` - reset for RAM component (resets RAM Sink only)
- `trAtbBridgeActive` - resets ATB Bridge component (resets ATB Bridge interface)

These reset bits should (when kept low) reset most of other registers/fields/bits to defined reset values.

Releasing components from reset may take time - debug tools should monitor (with reasonable timeout) if the appropriate bit actually changed from 0 to 1. Other fields/bits should remain unchanged (as were set during reset).



Some of the reset values are defined as `SD` (system dependent) and these values should reset as well and each time to the same value as would be after power-up.



Some bigger registers (holding RAM addresses) may not reset - debugger is expected to write to them before enabling trace. These registers have `Undef` in reset the field. It should not prevent some implementations from resetting these.

Reset and Discovery should be performed as follows:

- Reset the component by setting `tr??Active` = 0.
- Read-back and wait until `tr??Active` = 0 is read.
- Save `tr??Control` register as it holds all reset values (it may prevent a trace tool to do read-modify operation later).
- Release from reset by setting `tr??Active` = 1 and wait for `tr??Active` = 1 to be read (to confirm component is not in reset).



When performing a write which is setting `tr??Active` = 1, no other bits should be changed.

- Handle `tr??VerMinor/Major` as described in 'Versioning of Components' chapter.
  - If `tr??VerMajor` is 0 (for Trace Encoder component) either handle it as legacy version 0 or abort with an error.
- Read `tr??Impl` and compare `tr??ComType` field with expected value.

- Set some WARL fields and read back to discover supported component configuration - make sure the component is NOT enabled (by setting `tr??Enable = 1`) by mistake.
- Configure some initial values in all needed registers/fields. Read-back each one to assure these are set properly.

As we are dealing with several independent components, it is important to assure that the component which is in reset (or powered down) is keeping its outputs on safe values, so garbage trace data is not emitted.

In general it is safer to power-up and enable components starting from sinks/bridges, followed by Funnel and Encoder as last. Each implementation should test this sequence.

# Chapter 13. Enabling and Disabling

Enabling should work as follows:

- Release all needed components from reset by setting `tr??Active = 1` as described above.
- Set desired mode and verify if that mode is set (regardless of discovery results).
- For RAM Sink:
  - Setup needed addresses (if possible and desired) as these may not reset.
- For PIB Sink:
  - Calibrate PIB (if possible and desired).
  - Start physical trace capture (trace probe dependent).
- Configure RAM Sink/PIB Sink/ATB Bridge in appropriate mode.
  - Verify if a particular mode is set.
- Set main enable for RAM Sink/PIB Sink/ATB Bridge component by setting `tr??Enable = 1`.
  - Read back and wait for confirmation (`tr??Enable = 1`).
- Enable Trace Funnel[s] in the same way.
- Configure and Enable Trace Encoder[s] in the same way (last should be write of `trTeEnable = 1` followed by read to verify that it is set).
- Either manually set `trTeInstTracing=1` and/or `trTeDataTracing=1` bits or set triggers to start the trace.
- Start hart[s] to be traced (hart could be already running as well - in this case trace will be generated in the moment when `trTeInstTracing` or `trTeDataTracing` bit is set).
- Periodically read `trTeControl` for status of trace (as it may stop by itself due to triggers).
  - If RAM Sink was configured with `trRamStopOnWrap = 1`, read `trRamEnable` to see if RAM capture was stopped.



Discovery may not be necessary to enable and test the trace during development of SoC. However discovery must be possible and should be tested by SoC designer - this is necessary for trace tools to work with that SoC without any customization.



Trace tools may verify a particular setting once per session, so subsequent starts of trace may be faster.



Trace tools should provide configuration settings allowing more verbose logging mode during discovery and initialization, so potential compatibility issues may be solved.

Disabling the trace should work as follows:

- It is essential to disable the trace from encoders associated with stopped harts as entering debug mode is NOT flushing any trace pipelines.

- Disable and flush trace starting from Encoders, then Funnels and finally Sinks or Bridges.
  - Set `tr??Enable` = 0 and wait for `tr??Enable` = 0 and `tr??Empty` = 1 for each trace component.
  - It is important to do it in that order as otherwise data may be lost.
- Stop physical capture if PIB sink was enabled (trace probe dependent).
- Read the trace.
  - For RAM Trace Sink read `trRamWP` - depending on `trRamWrap` bit, you may read trace from two ranges.
  - For RAM Trace Sink in SRAM mode, set `trRamRP` and read `trRamData` multiple times.
  - For RAM Trace Sink in SMEM mode, read trace from system memory using memory read.
  - For PIB Trace Sink read trace from trace probe.
  - For ATB Bridge, read trace using Coresight components (ETB/TMC/TPIU).

## Decoding trace

- Decoder (in most cases) must have an access to code which is running on device either by reading it from device or from a file containing the code (binary/hex/srec/ELF).
- The trace collected by trace probes can be read and decoded while a trace is being captured (this is called trace streaming mode).
  - There is no guarantee that the last trace packet is completed until the trace is properly flushed and disabled.
- Decoding of the trace should never affect code being traced.

# Chapter 14. Legacy Interface Version

Value of `trTeVerMajor` as 0 means this is the original version of this interface.

Initially this specification was kept somewhat compatible, but after the split of components into 4K regions it was hard to list all changes.

In general the migration path from 'ver 0' (for both IP providers and tool vendors) should not be hard as the main concepts remain.

The best reference for this is the original donation from SiFive:

[lists.riscv.org/g/tech-nexus/files/RISC-V-Trace-Control-Interface-Proposed-20200612.pdf](https://lists.riscv.org/g/tech-nexus/files/RISC-V-Trace-Control-Interface-Proposed-20200612.pdf)



Not all trace tools may support legacy version 0. But all such tools should reject the version 0 with a very clear message.

## 14.1. Original Version Disclaimer

This document was converted to ADOC from original proposal by SiFive hosted here:

[lists.riscv.org/g/tech-nexus/files/RISC-V-Trace-Control-Interface-Proposed-20200612.pdf](https://lists.riscv.org/g/tech-nexus/files/RISC-V-Trace-Control-Interface-Proposed-20200612.pdf)

Here is original disclaimer from this PDF document:

Document Version 20200612

Copyright © 2020 SiFive, Inc.

This document is released under a Creative Commons Attribution 4.0 International License

[creativecommons.org/licenses/by/4.0/](https://creativecommons.org/licenses/by/4.0/)

You are free to copy and redistribute the material in any medium or format.

You may remix, transform, and build on the material for any purpose, including commercial.

No warranties are implied.