



# File System

Computer Operating Systems  
BLG 312E

2016-2017 Spring

# Longterm Storage

- need to store very large amounts of data
- Stored data should not be lost after process terminates
- processes should be able to share access to the stored data

# File System Functions

- file naming
- file access
- file use
- protection and sharing
- implementation

# File System Properties

- from the point of view of the user

- file contents
- file names
- file protection and sharing
- file operations
- ...

⇒ User interface

- from the point of view of the designer

- implementation of files
- free space handling
- logical block size
- ....

⇒ File system implementation

# File Types

- Files
  - ASCII files
  - binary files
- Directories
  - in most operating systems directory  $\approx$  file



# Access within a File

- sequential access
- random access

# File Attributes

- information stored in directory structure (resides in secondary storage)
- directory entry: file name and unique id (used to locate file attributes)
  - name: symbolic file name
  - identifier: unique tag used for identification in file system
  - type: for systems that support different types of files
  - location: pointer to device and location of file on device
  - size: current size of file (in bytes, words or blocks) and maximum allowed size
  - protection: access control information (who can read/write/execute, etc)
  - time, date and used identification: for creation, last modification, last use

# File Operations

- create / delete
- rename
- open / close / truncate
- read / write / append
- position the file pointer
- query/change file attributes

⇒ through system calls (*open, creat, read, write, close, .....*)



# Operating System Tables

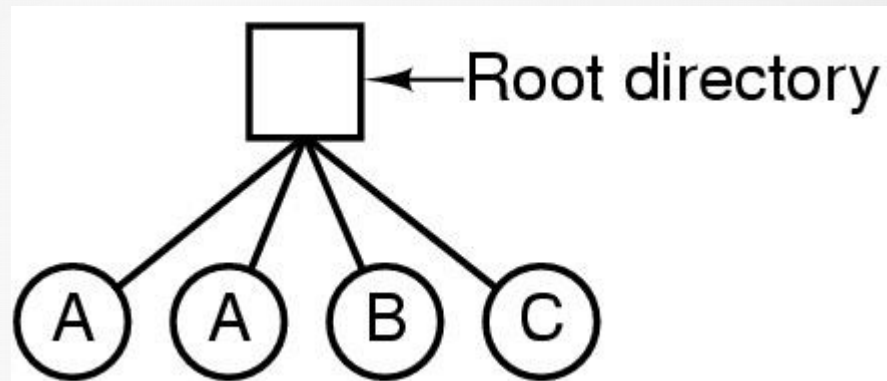
- operating system keeps *open-file* table
  - *system-wide table*: contains process independent info (e.g. location of file on disk, access dates, file size, open count, ...)
  - *per-process table*: keeps track of all files opened by process (info stored: current file pointer, access rights, accounting info, ...)
- each entry in the per-process table, points to an entry in the system-wide open-file table
- when a process opens a file
  - an entry is added to the system-wide open-file table
  - open count is incremented
  - an entry is added to the per-process open-file table, pointing to the entry in the system-wide open-file table
- upon each file close
  - open count is decremented
  - pointer in the per-process open-file table is removed
  - if open count is zero, the entry is removed from the system-wide open-file table

# Directories

- can be viewed as a symbol table that translates file names into their directory entries
- operations:
  - searching for a file
  - create / delete a file
  - list a directory
  - rename a file
  - traverse the file system
- logical structure of a directory: single-level, two-level, tree structure, ...

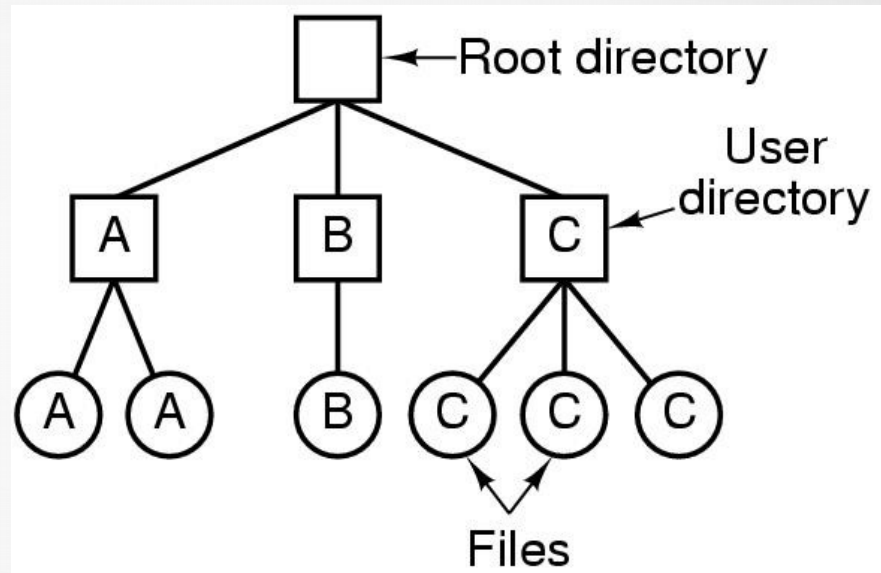
# Single-Level Directory Systems

- Provides fast access
- Not suitable for multi-user systems  
(problem if different users create files with same name)
- May be suitable for embedded systems  
(e.g. store driver profiles in a car)



# Two-Level Directory Systems

- A directory per user (hence users may have files with same name)
- May be suitable personal computers with multi-users
- System login with a user name and password may be possible

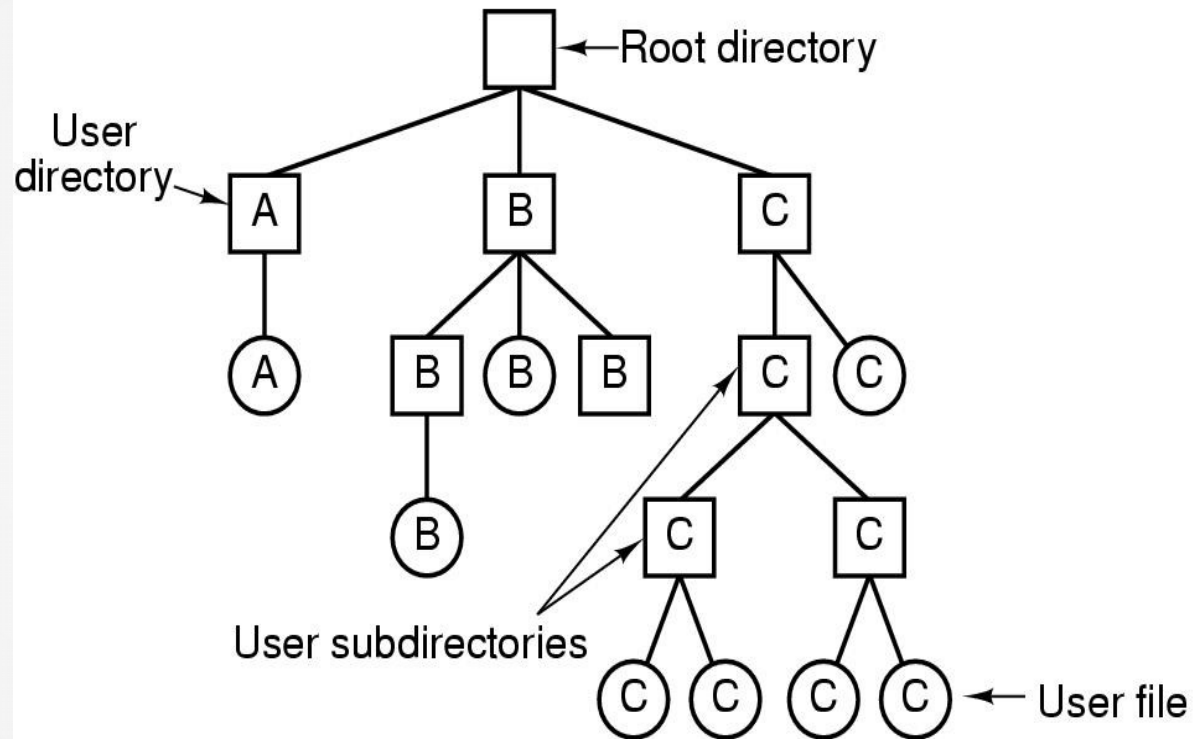


(**Note:** letters show the owners of the files/directories)



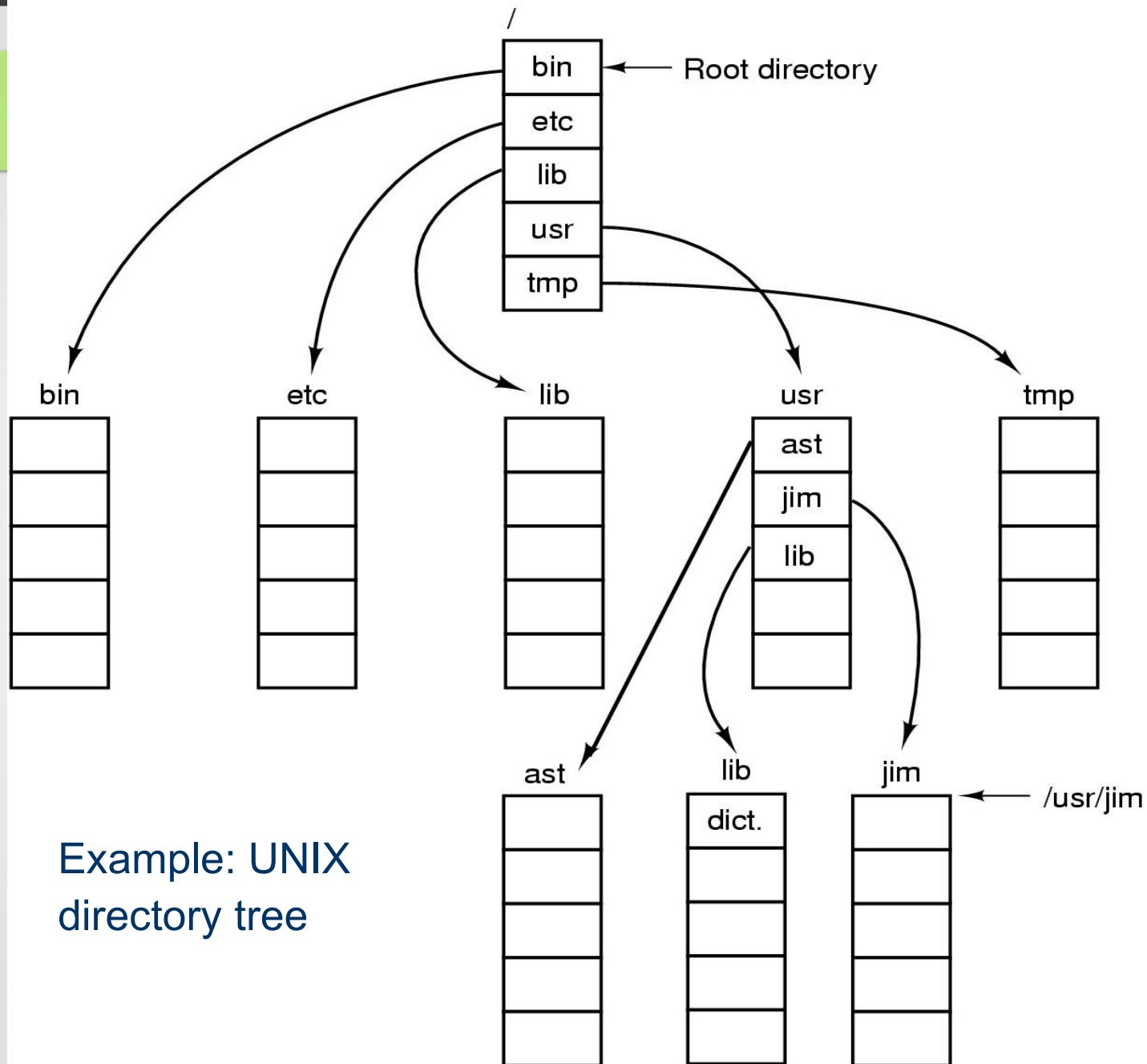
# Hierarchical Directory Systems (tree structure)

- users wish to keep their files in a logical grouping
- directory tree
- used in modern operating systems



(**Note:** letters show the owners of the files/directories)





Example: UNIX  
directory tree

# File System Implementation

file system has a layered structure:

application programs (*top level*)

logical file system

file-organization module

basic file system

I/O control (*lowest level*)

devices

## I/O Control Level

- consists of device drivers and interrupt handlers
- device driver translates high-level commands such as “retrieve block 123” into hardware-specific instructions used by hardware controller (interface of I/O device to system)

# Basic File System

- issues generic commands to appropriate device driver
- manages memory buffers and caches holding file-system, directory and data blocks
- a block in the buffer is allocated before a disk block transfer can occur

# File-Organization Module

- knows about files' logical and physical blocks
- translates logical block addresses to physical block addresses
- also manages free space: keeps track of unallocated blocks



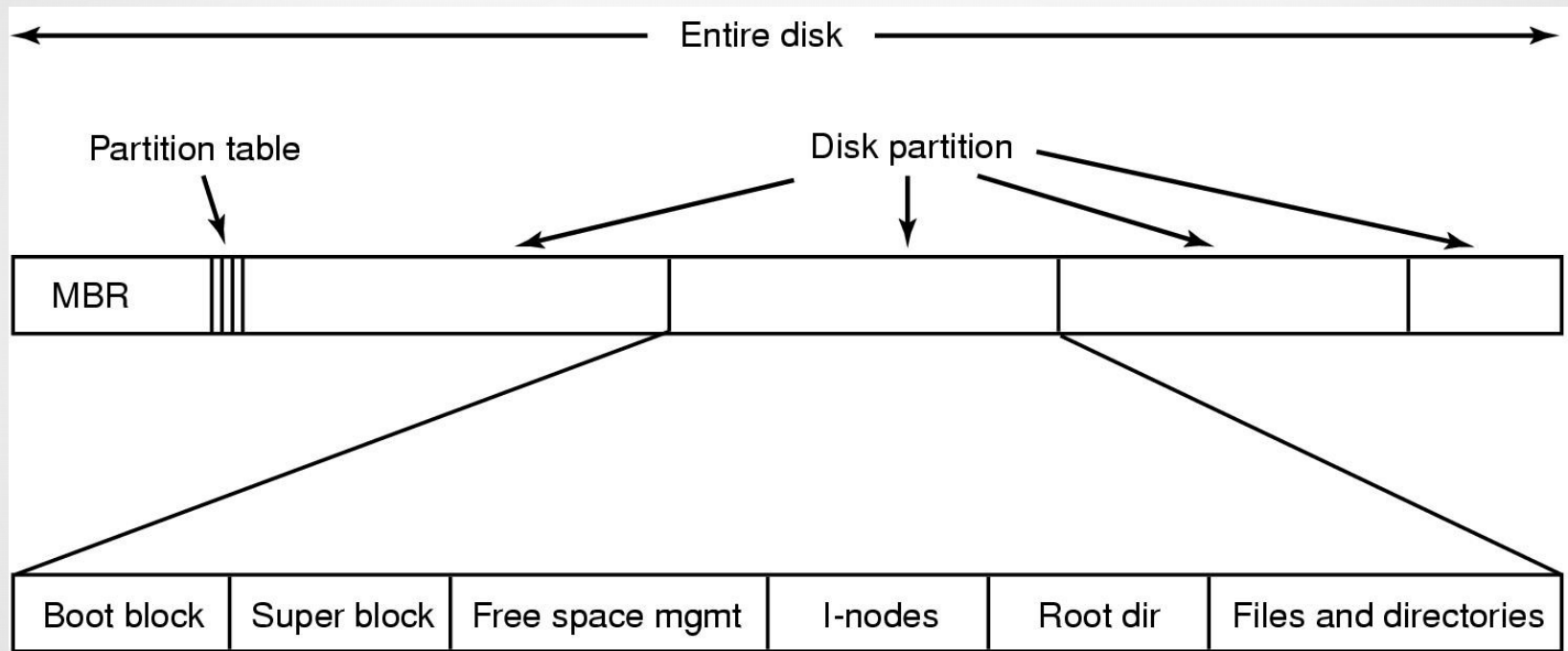
# Logical File System

- manages meta-data information
  - meta-data: all of the file system structure except the contents of the files
- manages the directory structure
  - provides the file-organization module with the necessary info when given a symbolic file name
- maintains file structure via file control blocks (FCB)
  - a.k.a. *inode* in UNIX systems
  - FCB contains info on file, such as ownership, permissions, location of file contents, ...
- also responsible for protection and security

# Layered File System Discussion

- duplication of code minimized: I/O control and sometimes the basic file system can be used by multiple file systems
- introduces operating system overhead, decreasing performance
- decision to use layering and the number of layers including each layer's responsibilities is an operating system design issue

# File System Implementation



Example file system structure (UNIX file system UFS)

# File System Implementation

- Boot control block (per volume)
  - info needed by system to boot an operating system from that volume
  - if no operating system on volume, block is empty (raw disk e.g. swap space in UNIX can use a raw partition)
  - typically the first block of a volume
  - in UFS: boot block
  - in NTFS: partition boot sector



# File System Implementation

- Volume control block (per volume)
  - contains volume (or partition) details (e.g. no of blocks in partition,
  - size of blocks, free block count, free block pointers, free FCB count and free FCB pointers, ...
  - in UFS: superblock
  - in NTFS: stored in the master file table



# File System Implementation

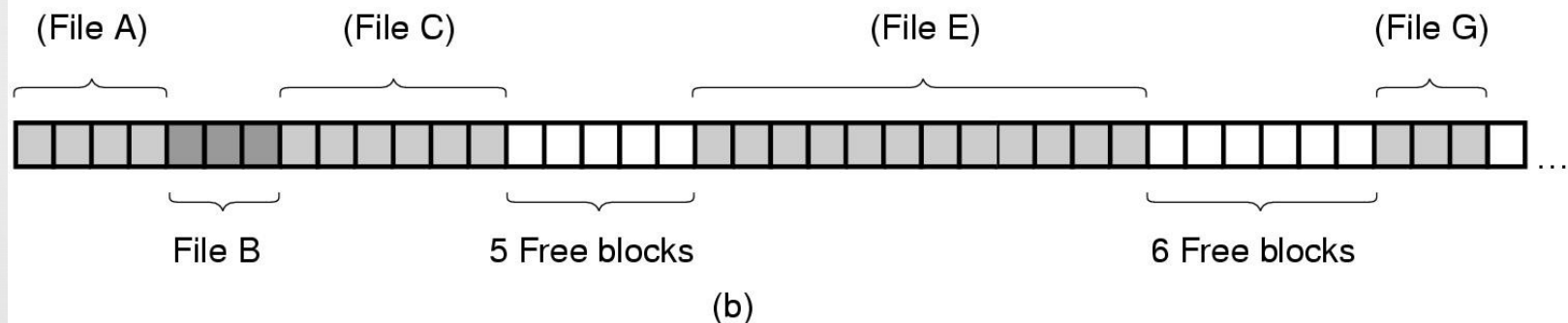
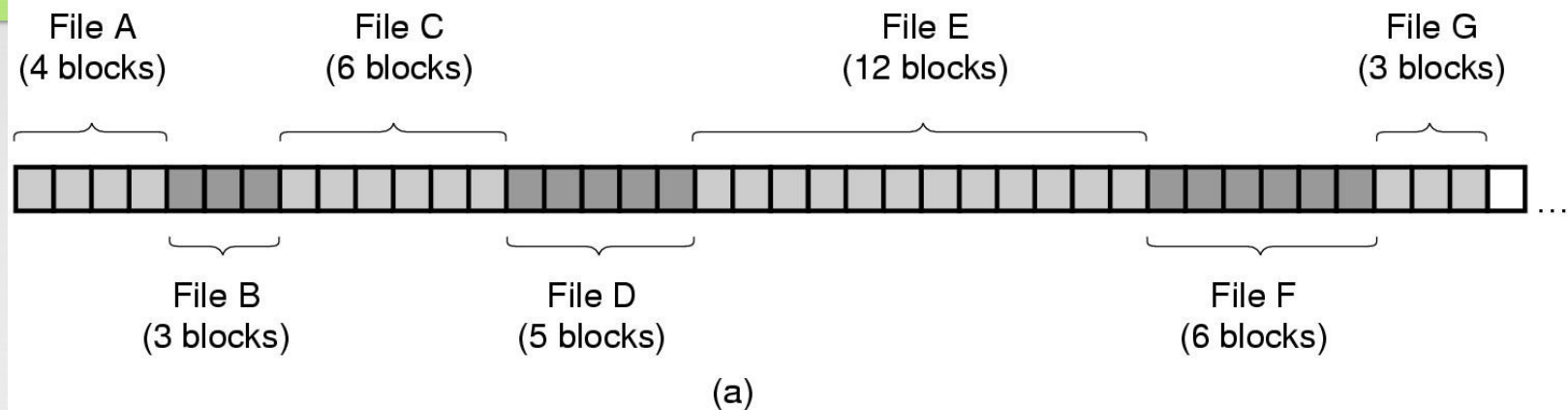
- Directory structure (per file system)
  - for organizing files
  - in UFS: includes file names and associated inode numbers
  - in NTFS: stored in the master file table

# File System Implementation

- per-file FCB
  - contains details about file
  - has a unique id to associate with a directory entry
  - inodes in UFS
  - in NTFS: stored in the master file table which uses a relational database structure with a row per file

# File System Implementation

- using contiguous allocation
  - disk addresses define a linear ordering on the disk
  - keep a list of addresses of first blocks and number of blocks for each file
  - advantages
    - easy implementation
    - more efficient “read” operation
  - disadvantages
    - fragmentation on disk (need to compact disk)
    - keep a list of free spaces
      - file size must be known at creation (cannot change)
      - limited maximum file size
  - good for CD-ROM file systems (only one write)



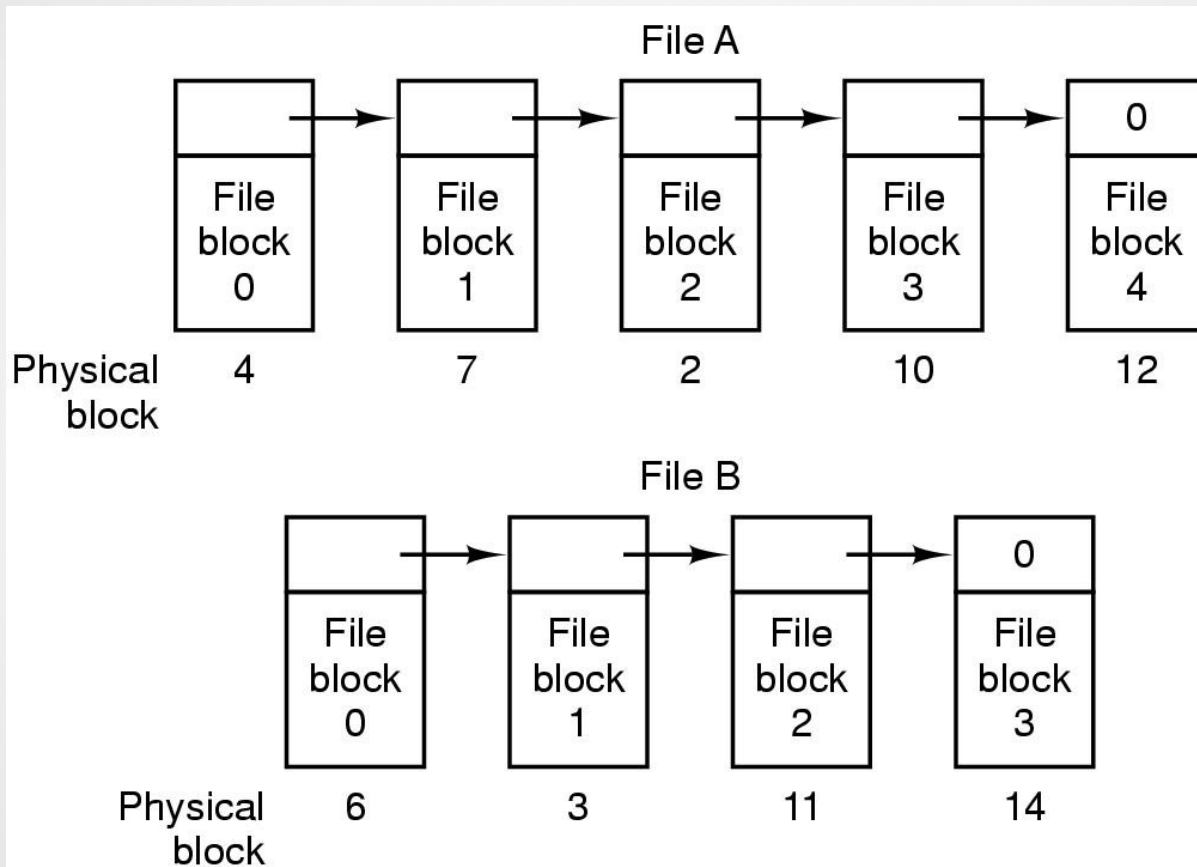
(a) contiguous allocation example: 7 files

(b) view of the disk after files *D* and *E* have been deleted

# File System Implementation

- using linked lists
  - first word of each block is a pointer to the next block
  - no fragmentation (internal fragmentation only in the last block)
  - only the address of the first block of a file is kept
  - access to data in a file: easy sequential access; random access is harder
  - data size in blocks are no longer a power of 2: few bytes taken up by pointer
  - most reads performed in sizes as powers of 2 (need to read two blocks to achieve the required amount of data)

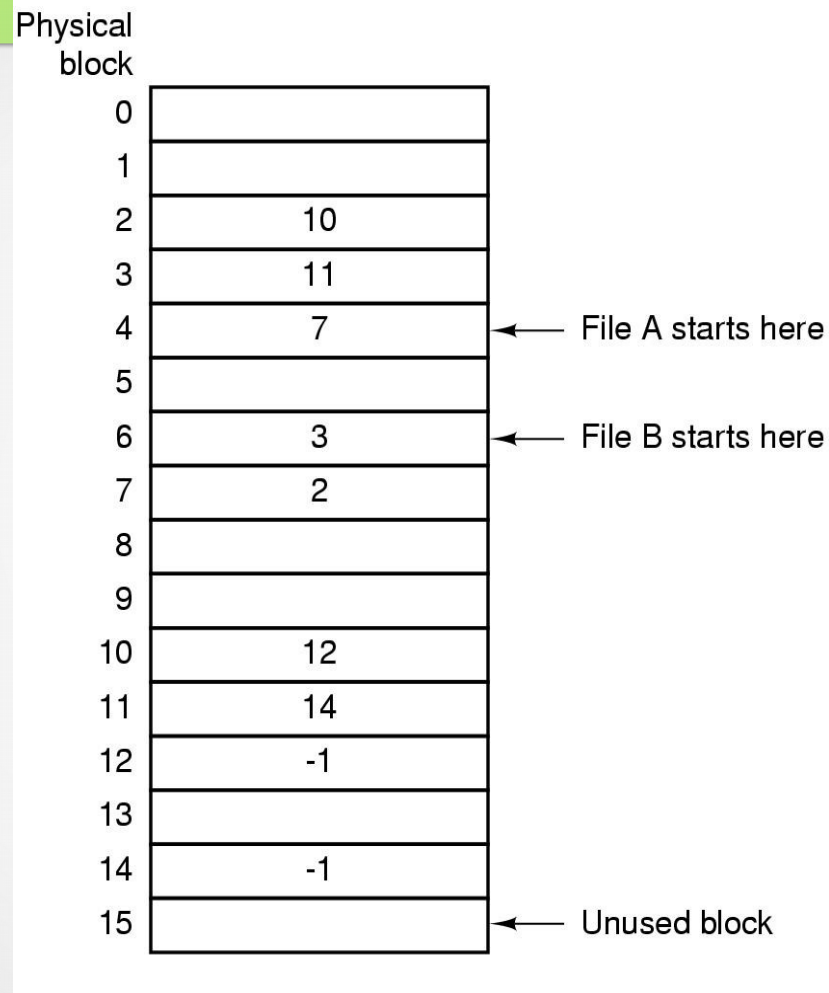




Using linked lists

# File System Implementation

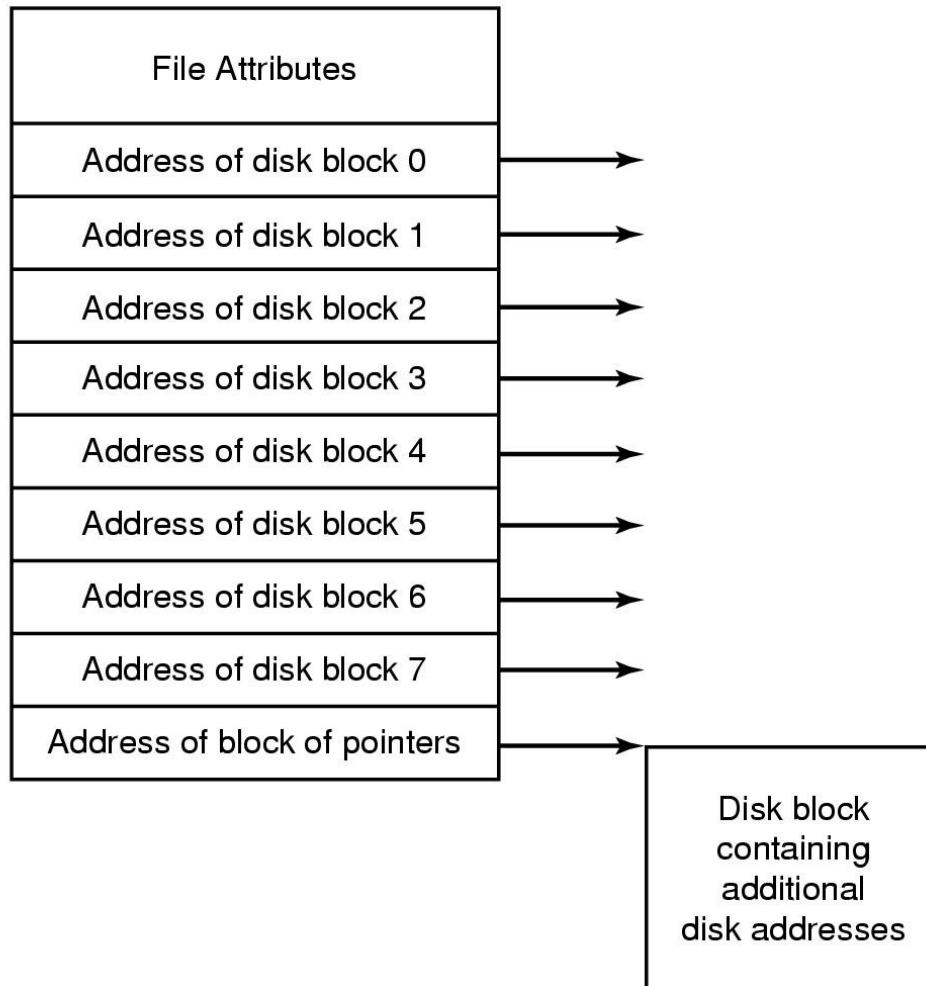
- using file tables in memory
  - keep the pointers in a table in memory (instead of in the blocks on the disk)
  - FAT (File Allocation Table) (used e.g. in MS-DOS)
  - section of disk at the beginning of each volume set for FAT
  - easier random access
    - since table is in memory
  - only need to know the address of the starting block
  - the whole table must be in memory
  - size of table depends on size of disk
    - e.g.: for a 20 GB disk and a block size 1K: need 20 million records of a minimum of 3 bytes in the table (20MB)



using file tables in memory

# File System Implementation

- keep an i-node (index-node) for each file
  - contains file attributes
  - contains disk addresses of blocks
- keep only the i-nodes of open files in memory
  - total memory size needed is proportional to the number of maximum files allowed to be open at the same time
- in the simplest implementation, the maximum number of blocks for a file is limited
  - solution: reserve the last entry of the i-node for a pointer to a block containing more block addresses



example i-node

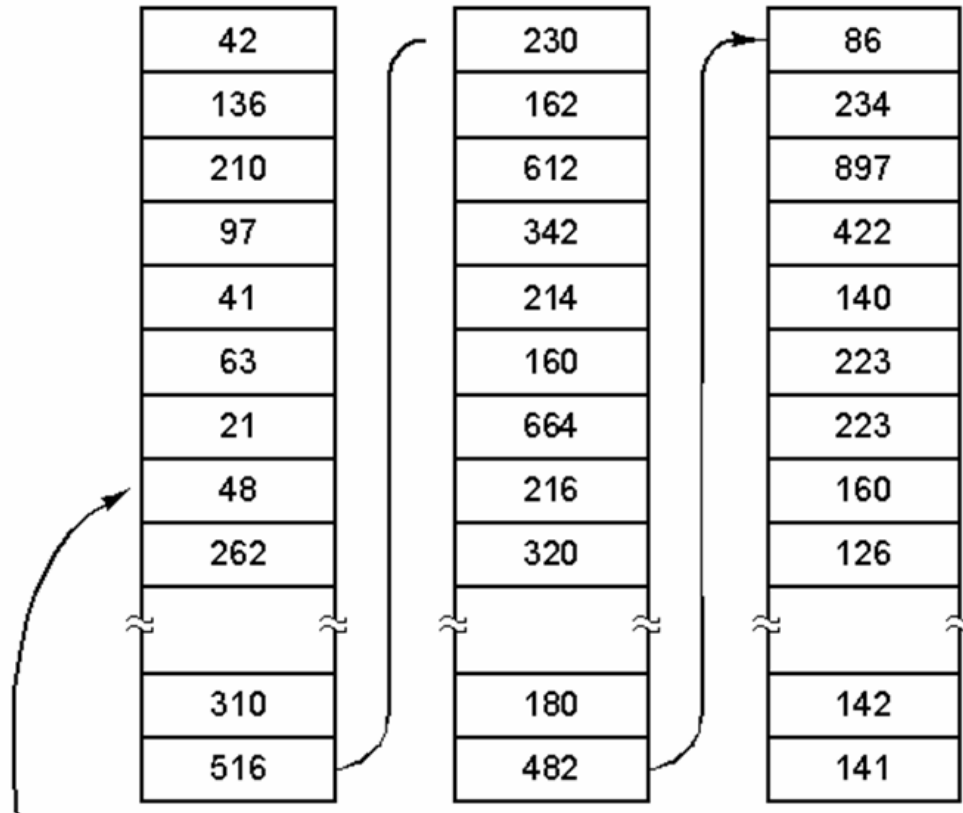


# Disk Space Management

- files split up into blocks of fixed size which do not need to be adjacent on disk
- what should the block size be (unit of allocation) ?
  - same as sector, track, cylinder size?
    - device dependent
  - selection of the size of blocks is crucial
    - performance and efficient disk space usage are contradictory objectives
    - better to choose depending on average file size
    - size is usually pre-determined for each system
      - UNIX systems: usually 1K

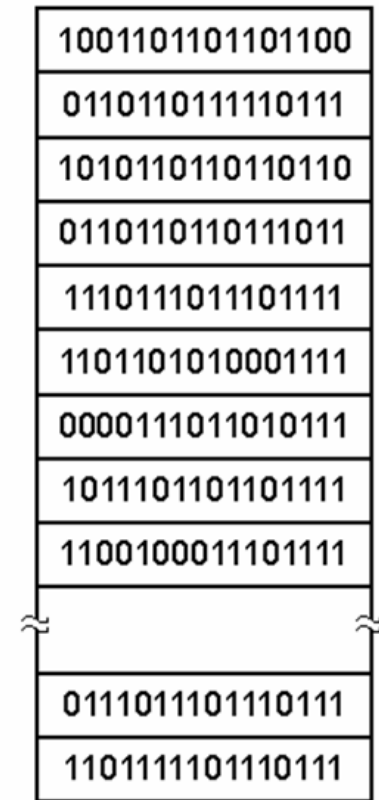
# Keeping Track of Free Blocks on Disk (Free-Space List)

Free disk blocks: 16, 17, 18



A 1 KB disk block can hold 256  
32-bit disk block numbers

(a)

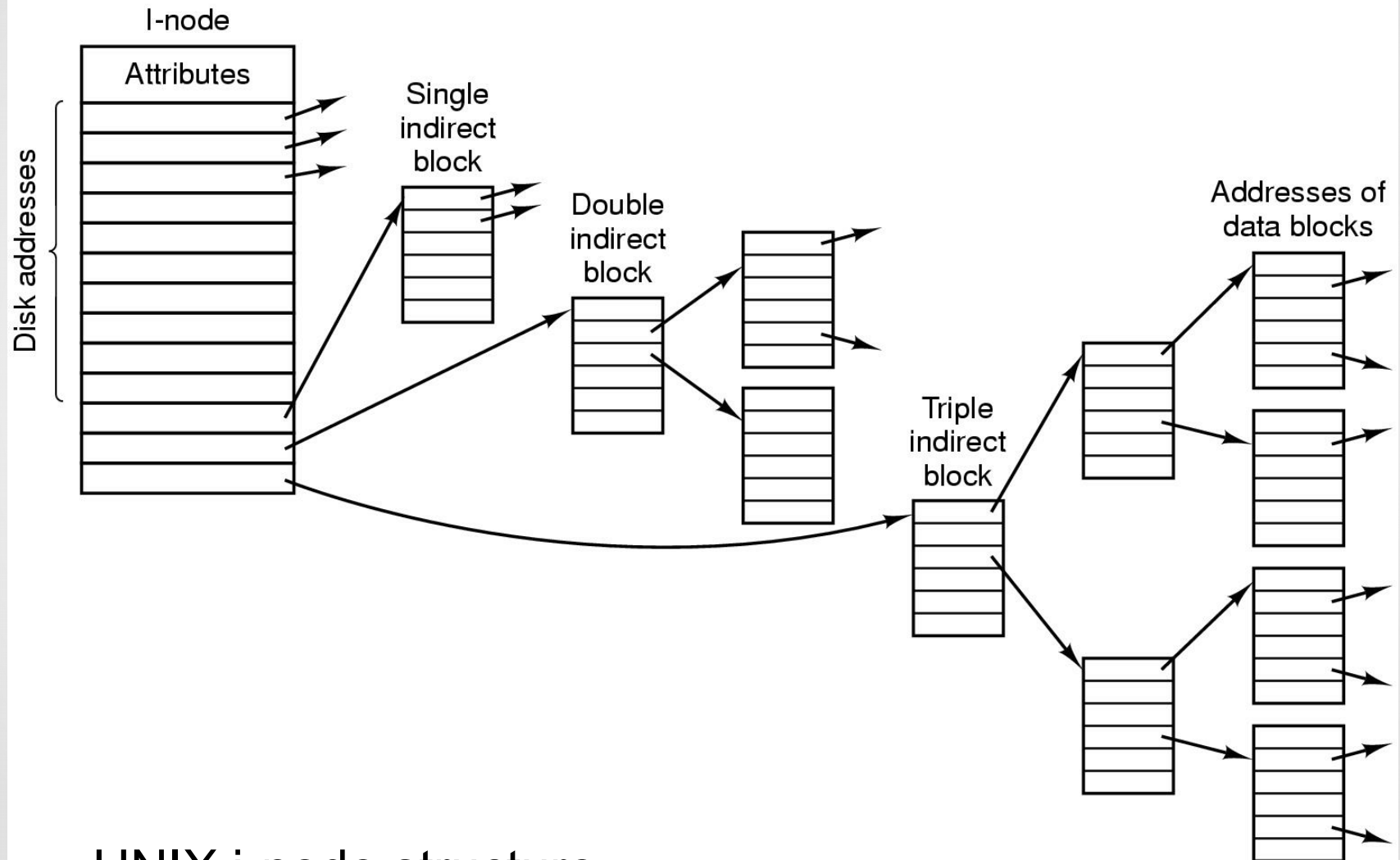


A bit map

(b)

Storing the free space info: (a) in a linked list (b) as a bitmap

# UNIX File System (UFS)



UNIX i-node structure

## Example:

Consider a UNIX-like file system that uses i-nodes to represent files. Disk blocks are 8 KB in size, and a pointer to a disk block requires 4 bytes. This file system has 12 direct disk blocks, as well as single, double and triple indirect disk blocks (as shown in the previous slide).

What is the maximum size of a file that can be stored in this file system?