#### ITP 30002 Operating System

# Swapping

OSTEP Chapters 21 and 22

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### **Beyond Physical Memory**

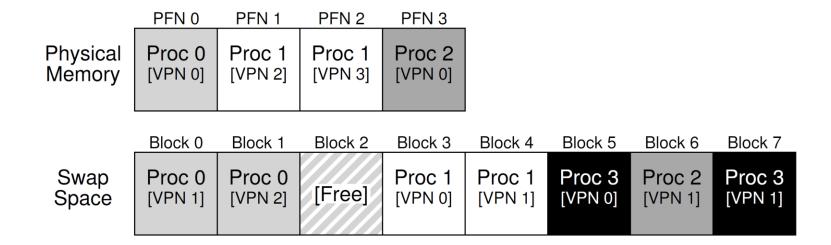
- What if the amount of the allocated pages in running processes exceed the physical memory capacity?
- OS stashes away some pages that are not in great demand at the moment
  - usually to a swap space in HDD or SSD
- OS brings a stored pages back to the main memory before a process accesses data on the page
  - by stashing other pages

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#### **Swap Space**

- reserve swap space on the disk for moving pages back and forth
  - swap space is a collection of page-sized blocks
  - OS needs to store the location on a swap space where a page is stored

• Ex.



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### Page Fault

- A page table entry has the present bit to indicate whether the page is present in physical memory or stored in swap space
- A page fault is raised by an architecture if the present bit is off,
   so that the page-fault handler is invoked to serve the page fault
  - the location of the page in swap space is stored at a page table
  - the process will be blocked during the I/O for stashing and reloading
- Page fault and consequent page-in and page-out operations are all taken placed transparently to the process

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#### Page Fault Control Flow Algorithm

```
VPN = (VirtualAddress & VPN MASK) >> SHIFT
    (Success, TlbEntry) = TLB Lookup(VPN)
    if (Success == True) // TLB Hit
3
        if (CanAccess(TlbEntry.ProtectBits) == True)
            Offset = VirtualAddress & OFFSET MASK
            PhysAddr = (TlbEntry.PFN << SHIFT) | Offset
6
            Register = AccessMemory(PhysAddr)
        else
8
            RaiseException (PROTECTION_FAULT)
9
                           // TLB Miss
    else
10
        PTEAddr = PTBR + (VPN * sizeof(PTE))
11
        PTE = AccessMemory (PTEAddr)
12
        if (PTE. Valid == False)
13
            RaiseException (SEGMENTATION_FAULT)
14
        else
15
            if (CanAccess(PTE.ProtectBits) == False)
16
                RaiseException (PROTECTION_FAULT)
17
            else if (PTE.Present == True)
18
                // assuming hardware-managed TLB
19
                TLB_Insert(VPN, PTE.PFN, PTE.ProtectBits)
20
                RetryInstruction()
21
            else if (PTE.Present == False)
22
                RaiseException (PAGE_FAULT)
23
```

#### Swapping

#### Page Replacement

- Before page in a page, OS must page out some pages to make a ro om if the memory is almost fully used
  - most OS's have high and low watermarks for a page daemon to proactively st art page out in background
- OS must have a proper page replacement policy because unnecess ary page-in or page-out incurs significant runtime cost
  - A disk access takes 10000 to 100000 times longer than a memory access
  - Average Memory Access Time (AMAT) =  $T_{Mem}$  +  $p_{Miss}$  ×  $T_{Disk}$ 
    - E.g.,  $T_{Mem}$ =100 ns,  $T_{Disk}$ =10 ms,  $p_{Miss}$ =10% : AMAT = 1.01 ms

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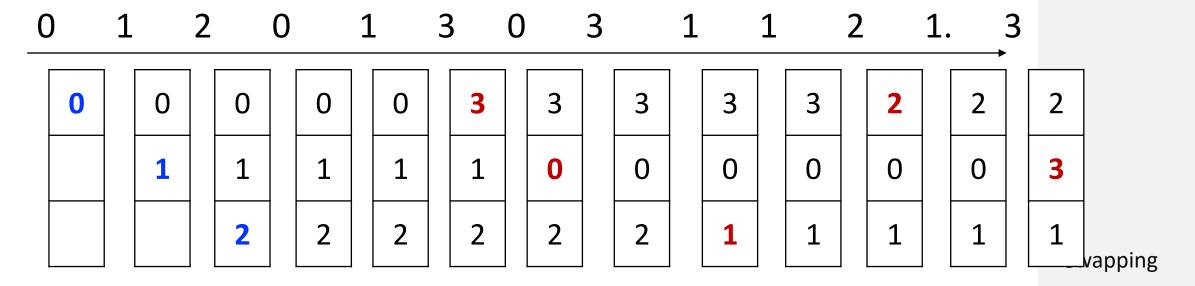
#### Page Replacement Policies

- Policies
  - FIFO
  - Random
  - Optimal (ideal)
  - Least Recently Used (LRU)
  - Least Frequently Used (LFU)
- Simple cost model
  - -There's only one process in the system, which uses all frames
  - A workload is represented as a sequence of VPN accesses
  - A page-out occurs only when the memory is full
  - -The time cost of workload is measured as the total number of page-in and page-out operations with the workload

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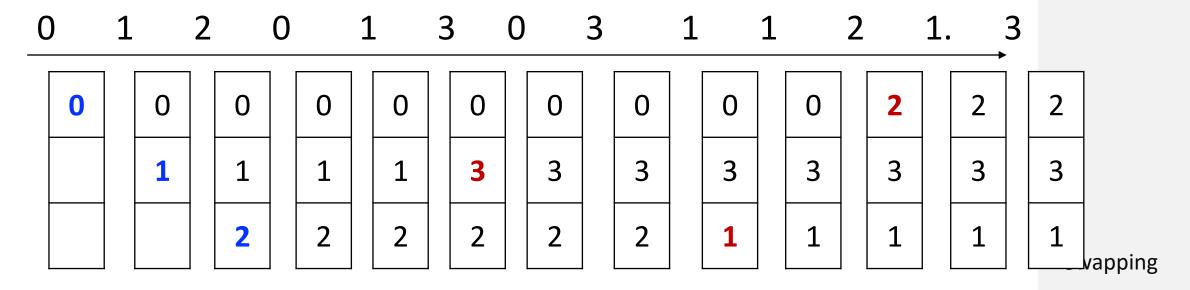
#### **FIFO**

- Page out the one that was paged in first (i.e., stayed longest time)
- Example: 3 frames, 4 pages (0 to 3)



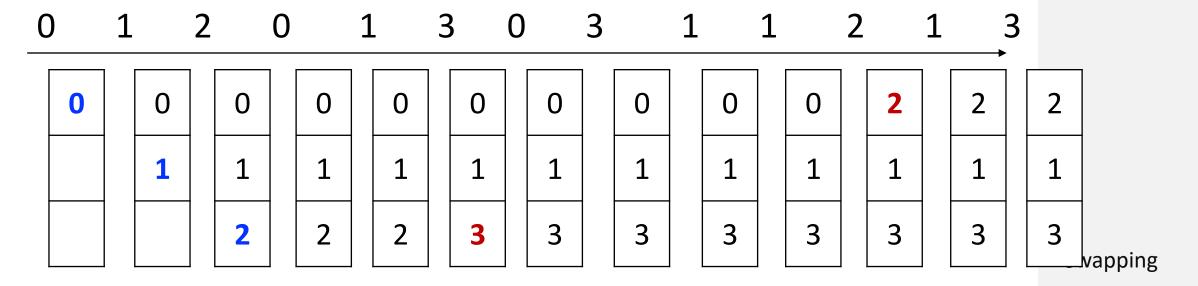
#### Random

- Pick a random page at a page replacement decision
- Example: 3 frames, 4 pages (0 to 3)



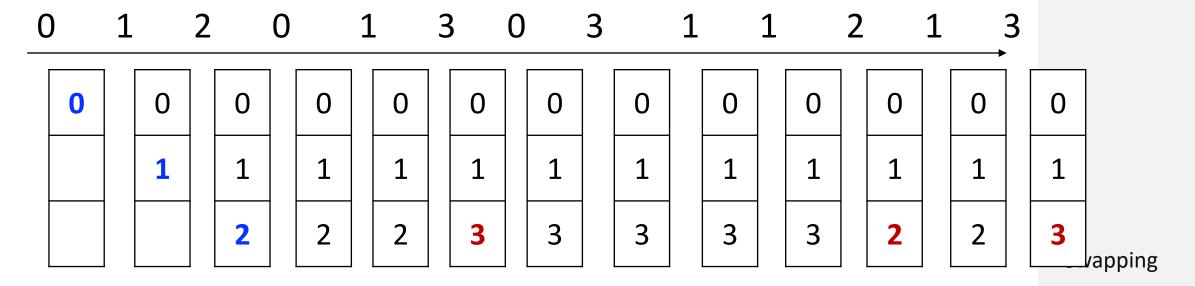
### **Optimal**

- Select a page whose next use is furthest in future
- Example: 3 frames, 4 pages (0 to 3)



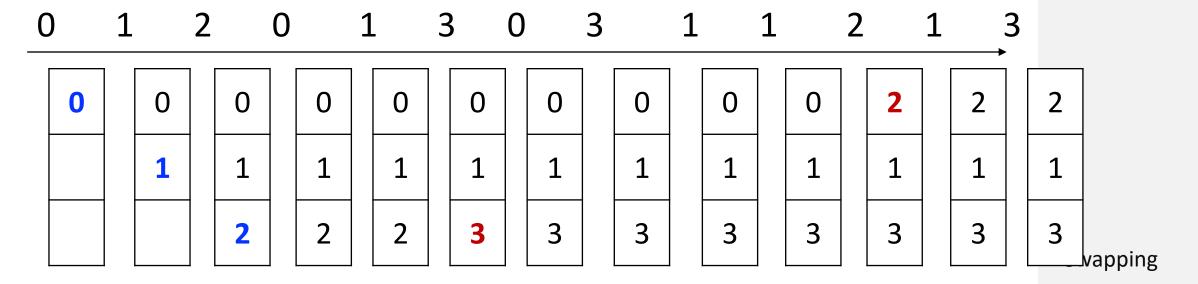
#### Least Frequently Used (LFU)

- Select a page which has been used least
- Example: 3 frames, 4 pages (0 to 3)



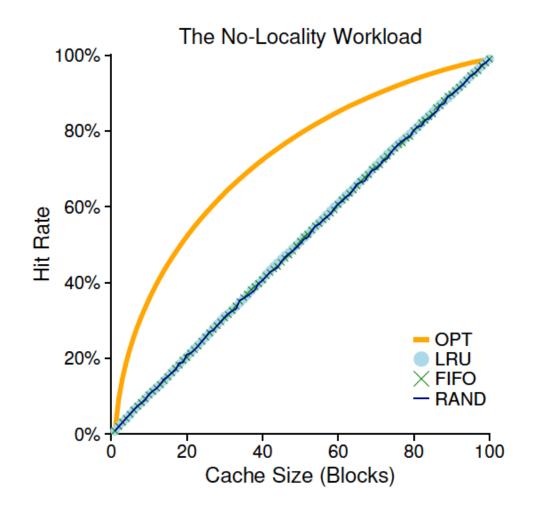
#### Least Recently Used (LRU)

- Select a page whose last use is furthest in past
- Example: 3 frames, 4 pages (0 to 3)



### Workload Example – No Locality

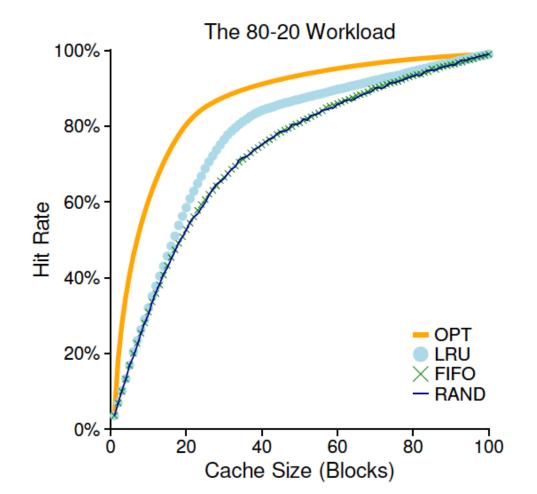
A random workload with 10000 accesses on 100 pages



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#### Workload Example – 80:20 Workload

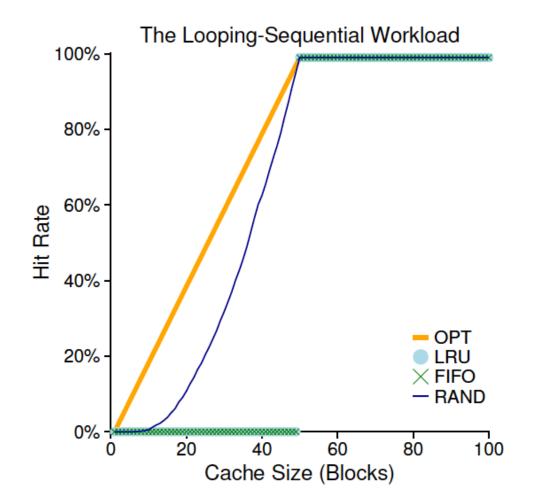
• 10000 accesses on 100 pages where 80% of accesses are on a 20% of the pages



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#### Workload Example – Looping Sequential

- 10000 accesses on 50 pages
- repeating sequential accesses on 0, 1, 2, ..., 49 for 200 times



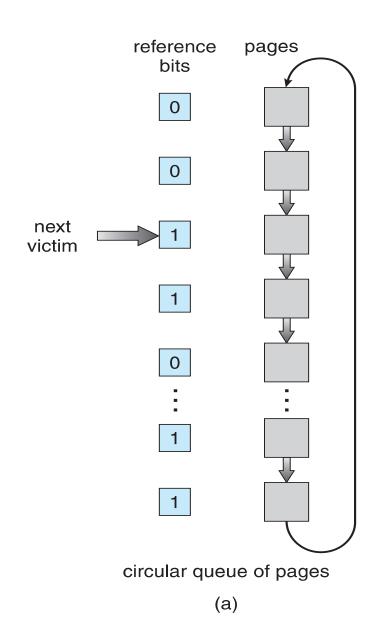
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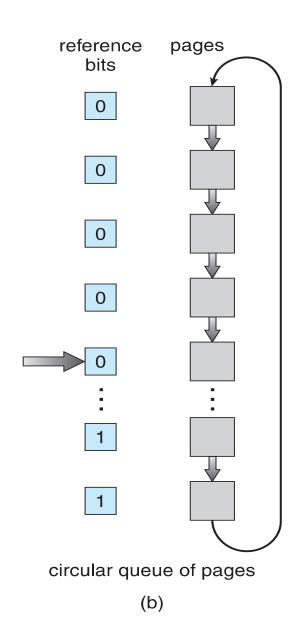
# **Approximating LRU**

- An exact implementation of LRU is expensive
  - update last access time of a page at every memory access
- Clock (second-chance) algorithm using reference bit
  - -a reference bit (use bit) is given to each page table entry, such that the reference bit is set to 1 by the hardware at a memory access
  - pages are maintained in a circular list with one clock hand
  - -the clock hand iterates over the list to choose the first node with the reference bit off
    - -the clock hand turns off the reference bit when it passes over the node with the reference bit on.

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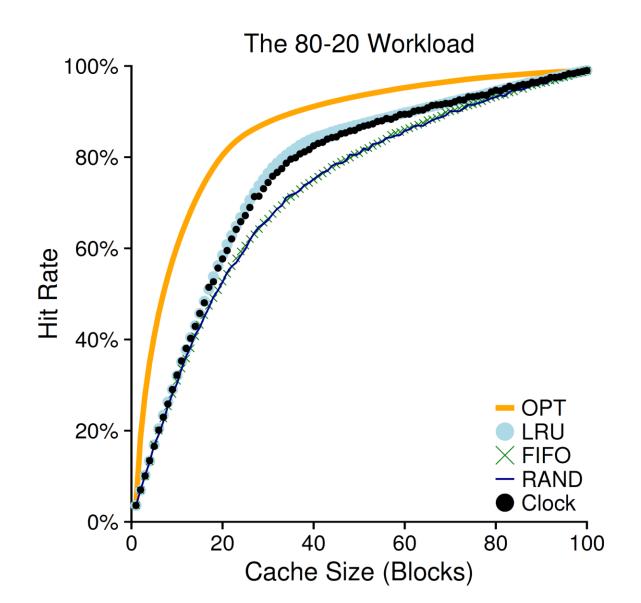
## Clock Algorithm Example





**Swapping** 

## **Clock Algorithm Performance**



#### Swapping

#### **Considering Modified Bit**

- A page table entry has a modified bit (dirty bit) which is turned on by a hardware if the page is ever written
- It is more efficient to evict a clean page than a modified page, because there is no need to write back (page out) the content to the storage
  - (reference bit, modified bit)
    - (0, 0) : best page to replace
    - (0, 1): not quite as good, must write out before replacement
    - (1, 0): probably will be used again soon
    - (1, 1): probably will be used again soon and need to write out

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### Other Virtual Memory Policies for Efficiency

• **Demand paging**: brings the page into memory when it is actually accessed

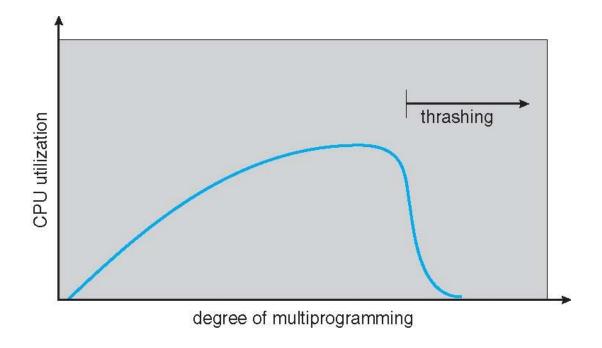
• **Prefetching**: brings the page ahead of its use time if it is likely to be used soon

• **Clustering**: collect a number of dirty pages and write them once to the storage

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#### Thrashing

- A system is in **thrashing** when it is trapped by serial pagings for the working sets of the processes exceed an available number of frames
  - page out an existing page, but quickly the page gets paged in again
  - frequent swapping results low CPU utilization, which leads the system to increase the degree of multiprogramming
- A system resolves thrashing by running only a subset of the processes or killing some of the running processes



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