

A pair of glasses with a dark frame and light-colored lenses is resting on a piece of white paper. The background is a soft, out-of-focus yellow and orange gradient.

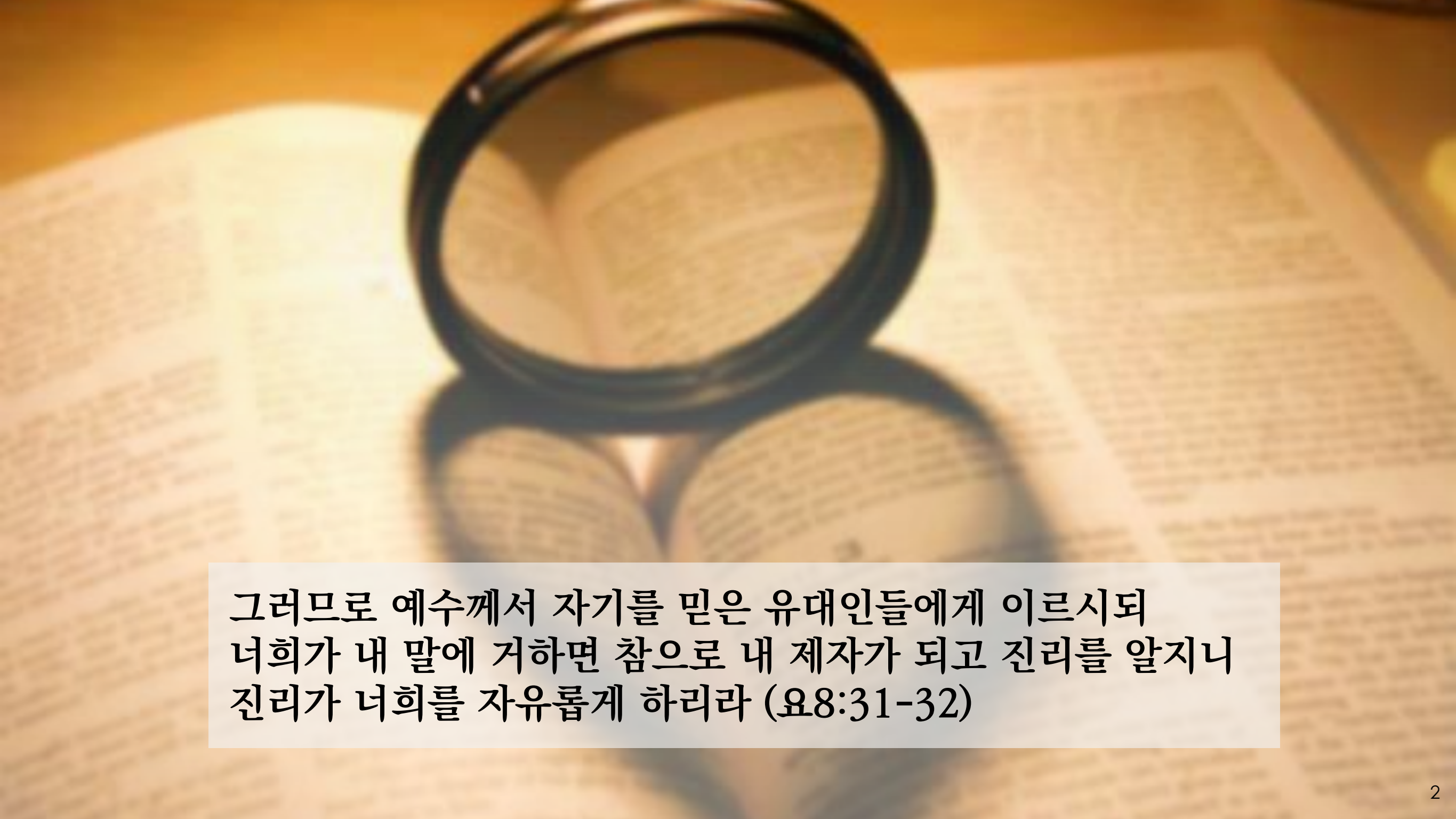
## Data Structures Chapter 2

### 1. Recurrence Relations

- Recurrence Equations
- Folding
- Telescoping

### 2. Discrete Math

### 3. Structures

A magnifying glass with a black handle and frame is positioned over an open book. The book's pages are filled with text, which is slightly blurred due to a shallow depth of field. The magnifying glass's lens is focused on the text directly beneath it, making that area clearer than the surrounding pages. The overall lighting is warm and soft, creating a calm and studious atmosphere.

그러므로 예수께서 자기를 믿은 유대인들에게 이르시되  
너희가 내 말에 거하면 참으로 내 제자가 되고 진리를 알지니  
진리가 너희를 자유롭게 하리라 (요8:31-32)

# Recurrence Relations

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- **Recurrence relation** is an equation that recursively defines a sequence or multidimensional array of values, once one or more initial terms are given: each further term of the sequence or array is defined as a function of the preceding terms.

# Recurrence Relations: Sequence Example

- Consider the sequence 1, 3, 6, 10, 15, 21, 28, 36, ... Each term is obtained from the previous by adding the number that shows the position of the current term to the previous term, e.g. 10 is in position 4 and the previous term is 6:  $10 = 6 + 4$ . **What is a formula for this sequence in terms of  $n$  or  $a(n)$ ?**

$$a(1) = 1$$

$$a(2) = 3$$

$$a(3) = 6$$

$$a(4) = 10$$

...

$$a(10) = 55$$

$$a(100) = 5050$$

$$a(n) = ?$$

$$a(2) = a(1) +$$

$$a(3) = a(2) +$$

$$a(4) = a(3) +$$

$$a(n) = a(n - 1) + n$$

# Recurrence Relations: Sequence Example

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- Consider the sequence 1, 3, 6, 10, 15, 21, 28, 36, ... Each term is obtained from the previous by adding the number that shows the position of the current term to the previous term, e.g. 10 is in position 4 and the previous term is 6:  $10 = 6 + 4$ . **What is a formula for this sequence in terms of  $n$  or  $a(n)$ ?**
  - $a(1) = 1$  for  $n = 1$
  - $a(n) = a(n - 1) + n$  for  $n = 1, 2, 3, \dots$
- What is  $a(n)$  in a **closed form** of  $n$ ?



# Recurrence Relations: Sequence Example

## ■ Telescoping:

open form

$$a(n) = a(n-1) + n$$

$$\text{since } a(n-1) = a((n-1)-1) + (n-1) = a(n-2) + (n-1)$$

- $a(n-1) = a(n-2) + (n-1)$
- $a(n-2) = a(n-3) + (n-2)$
- ....
- $a(4) = a(3) + 4$
- $a(3) = a(2) + 3$
- $a(2) = a(1) + 2$

## ■ Add the left and right sides:

$$\begin{aligned} & a(n) + a(n-1) + a(n-2) + \dots + a(3) + a(2) = \\ & \quad a(n-1) + a(n-2) + \dots + a(2) + a(1) + 2 + 3 + 4 + (n-1) + n \end{aligned}$$

## ■ Cancel equal terms on both sides:

- $a(n) = a(1) + 2 + 3 + \dots + (n-1) + n$
- $a(n) = 1 + 2 + 3 + \dots + (n-1) + n$
- $= n(n+1) / 2$

## ■ Therefore, $a(n) = n(n+1) / 2$

closed form

# Recurrence Relations

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- **For example,**

we may describe that the time complexity of the linear search is

- $T(1) = c_0$  // the time to process an array of 1 element is 1
- $T(n) = T(n - 1) + c$  // to process  $n$  items is to process  $(n-1)$  elements + 1 element

We may express the constants  $c_0$  and  $c$  as 1, respectively, for our purpose. The expressions become:

- $T(1) = 1$
- $T(n) = T(n - 1) + 1$

# Recurrence Relations

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In general, there are two ways to solve **recurrence relations**:

- **“Unfolding”**
  - It does **repeated substitutions** applying the recursive rule until the base case is reached.
- **“Telescoping”** consists in the following:
  1. **Rewrite the recurrence relation** for subsequent **smaller values of  $n$**  so that the left side of the rewritten relation is equal to the first term of the right side of the previous relation. Rewrite the relation until a relation involving the initial condition is obtained.
  2. Add the left sides and the right sides of the relations. Cancel the equal terms on the left and the right side.
  3. Add the remaining terms on the right side. The sum will give the general formula (or **closed form**) of the sequence.



# Recurrence Relations: Linear search

- **Unfolding:** repeated substitutions

open form

$$T(n) = T(n - 1) + 1,$$

- $T(n) = T(n - 2) + 1 + 1 = T(n - 2) + 2$

- $T(n) = T(n - 3) + 1 + 2 = T(n - 3) + 3$

- $T(n) = T(n - 4) + 1 + 3 = \mathbf{T(n - 4) + 4}$

- ...

- $T(n) = T(n - (n - 2)) + (n - 2) = T(2) + n - 2$

- $T(n) = T(n - (n - 1)) + (n - 1) = T(1) + n - 1$

$$\text{Since } \mathbf{T(n - 1)} = T((n - 1) - 1) + 1 = \mathbf{T(n - 2) + 1}$$

$$\text{Since } \mathbf{T(n - 2)} = T((n - 2) - 1) + 1 = \mathbf{T(n - 3) + 1}$$

$$\text{Since } T(n) = T(1) + n - 1$$

- $= 1 + n - 1$

- $= n$

closed form

- Therefore,  $T(n) = O(n)$ .

# Recurrence Relations: Linear search

- **Telescoping:** Rewrite the equation for a smaller value of  $n$

open form

$$T(n) = T(n - 1) + 1,$$

Replace  $n$  with  $n - 1$  both sides, it becomes

- $T(n - 1) = T(n - 2) + 1$
- $T(n - 2) = T(n - 3) + 1$
- ...
- $T(2) = T(1) + 1$
- Add the left and right sides:
  - $T(n) + T(n - 1) + T(n - 2) + \dots + T(2) = T(n - 1) + T(n - 2) + \dots + T(1) + 1 + 1 + \dots + 1$
- Cancel equal terms on both sides:
  - $T(n) = T(1) + 1 + 1 + \dots + 1$
  - How many  $n$ 's are there?
  - $T(n) = T(1) + n - 1$
  - $= 1 + n - 1$
  - $= n$
- Therefore,  $T(n) = O(n)$ .

closed form

# Recurrence Relations: Selection sort

- The time complexity of the selection sort or bubble sort can be expressed in terms of recurrence relation as shown below:
  - $T(1) = 1$  // the time to process an array of 1 element is 1
  - $T(n) = n + T(n - 1)$  // to process n items is to n comparisons + (n-1) items processing
- **Unfolding:**
  - $T(n) = n + T(n - 1).$  Since  $T(n - 1) = (n - 1) + T(n - 2)$ , it becomes
  - $T(n) = n + (n - 1) + T(n - 2)$  Since  $T(n - 2) = (n - 2) + T(n - 3)$ , it becomes
  - $T(n) = n + (n - 1) + (n - 2) + T(n - 3)$
  - ...
  - $T(n) = n + (n - 1) + (n - 2) + \dots + 2 + T(1)$
  - $T(n) = n + (n - 1) + (n - 2) + \dots + 2 + 1$
- Therefore,  $T(n) = n(n + 1) / 2$ ;  $\rightarrow g(n) = n^2$   
 $T(n)$  is big O of  $n^2$  or  $T(n) = O(n^2)$
- The time complexity of selection sort is  $O(n^2)$ .

# Recurrence Relations: Selection sort

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- **Telescoping:**

- $T(n) = n + T(n - 1)$
  - $T(n - 1) = (n - 1) + T(n - 2)$
  - $T(n - 2) = (n - 2) + T(n - 3)$
  - ...
  - $T(3) = 3 + T(2)$
  - $T(2) = 2 + T(1)$
- Add all terms in each side and cancel the equal terms, then it becomes
    - $T(n) = n + (n - 1) + \dots + 2 + T(1)$
  - Therefore,  $T(n) = n(n + 1) / 2$ ;
  - The time complexity of selection sort is  $O(n^2)$ .

# Recurrence Relations: Binary search

- The time complexity of binary search can be expressed in terms of recurrence relation as shown below:

**Base case:**  $T(1) = 1$

**Recurrence:**  $T(n) = 1 + T\left(\frac{n}{2}\right)$

```
int _binarySearch(int *a, int key, int lo, int hi) {
    int mid = (hi + lo)/2;

    if (lo == hi) return -1;
    if (a[mid] == key) return k;

    if (a[mid] < key) return _binarySearch(a, key, mid+1, hi);
    else return _binarySearch(a, key, lo, mid-1);
}

// returns the index of key in the array if found, -1 if not found.
boolean binarySearch(int *a, int key, size){ // *a must be sorted.
    return _binarySearch(a, key, 0, size-1);
}
```



# Recurrence Relations: Binary search

- Telescoping:

$$T(n) = 1 + T(n/2)$$

$$T(n/2) = 1 + T(n/4)$$

$$T(n/4) = 1 + T(n/8)$$

...

$$T(4) = 1 + T(2)$$

$$T(2) = 1 + T(1)$$

- Sum up the left and right sides of the equations above:

$$T(n) = 1 + 1 + \dots + 1 + T(1)$$

- How many 1's on the right side?

How many times do you need to divide  $n$  by 2 to reach 1?

$$T(n) = 1 + 1 + \dots + 1 + T(1)$$

$$T(n) = \log(n) + T(1)$$

$$T(n) = \log(n) + 1$$

- The time complexity of selection sort is  $T(n) = O(\log(n))$ .

$$\begin{aligned}\frac{n}{2^k} &= 1 \\ n &= 2^k \\ \log_2 n &= \log_2 2^k \\ \log_2 n &= k\end{aligned}$$

# Recurrence Relations: Binary search

## Unfolding:

- $T(n) = 1 + T(n/2)$   
 $= 1 + 1 + T(n/4)$   
 $= 1 + 1 + 1 + T(n/8)$   
 $= 1 + 1 + 1 + 1 + T(n/16)$   
 $= 1 + 1 + \dots + 1 + T(n/n)$   
 $= 1 + 1 + \dots + 1 + T(1)$   
 $= 1k + T\left(\frac{n}{2^k}\right)$   
  
*Since  $T(n/2) = 1 + T(n/4)$*
- How many 1's on the right side?  
How many times do you need to divide n by 2 to reach 1?  
$$T(n) = 1 + 1 + \dots + 1 + T(1)$$
$$T(n) = \log(n) + T(1)$$
$$T(n) = \log(n) + 1$$
- The time complexity of selection sort is  $T(n) = O(\log(n))$ .

$$\begin{aligned}\frac{n}{2^k} &= 1 \\ n &= 2^k \\ \log_2 n &= \log_2 2^k \\ \log_2 n &= k\end{aligned}$$

# Asymptotic Analysis

- Suppose that two algorithms, A and B, solving the same problem have the running time of  $O(n)$  and  $O(n^2)$ , respectively. Then this implies that algorithm A is **asymptotically better** than algorithm B.
- We can use the **big-Oh** notation to order classes of functions by **asymptotic growth rate**. Seven functions below are often used and ordered by increasing growth rate.

※  $O(1) < O(\log n) < O(n) < O(n \log n) < O(n^2) < O(n^3) < O(2^n)$

n	log n	n	n log n	$n^2$	$n^3$	$2^n$
1	0	1	0	1	1	2
2	1	2	2	4	8	4
4	2	4	8	16	64	16
8	3	8	24	64	512	256
16	4	16	64	256	4,096	65,536
32	5	32	160	1,024	32,768	4,294,967,296
64	6	64	384	4,096	262,144	$1.84 \times 10^{19}$
128	7	128	896	16,384	2,097,152	$3.40 \times 10^{38}$
256	8	256	2,048	65,536	16,777,216	$1.15 \times 10^{77}$

※ Even if we achieve a dramatic speed-up in hardware, we still cannot overcome the handicap of an asymptotically slow program.

# Asymptotic Analysis – Quiz

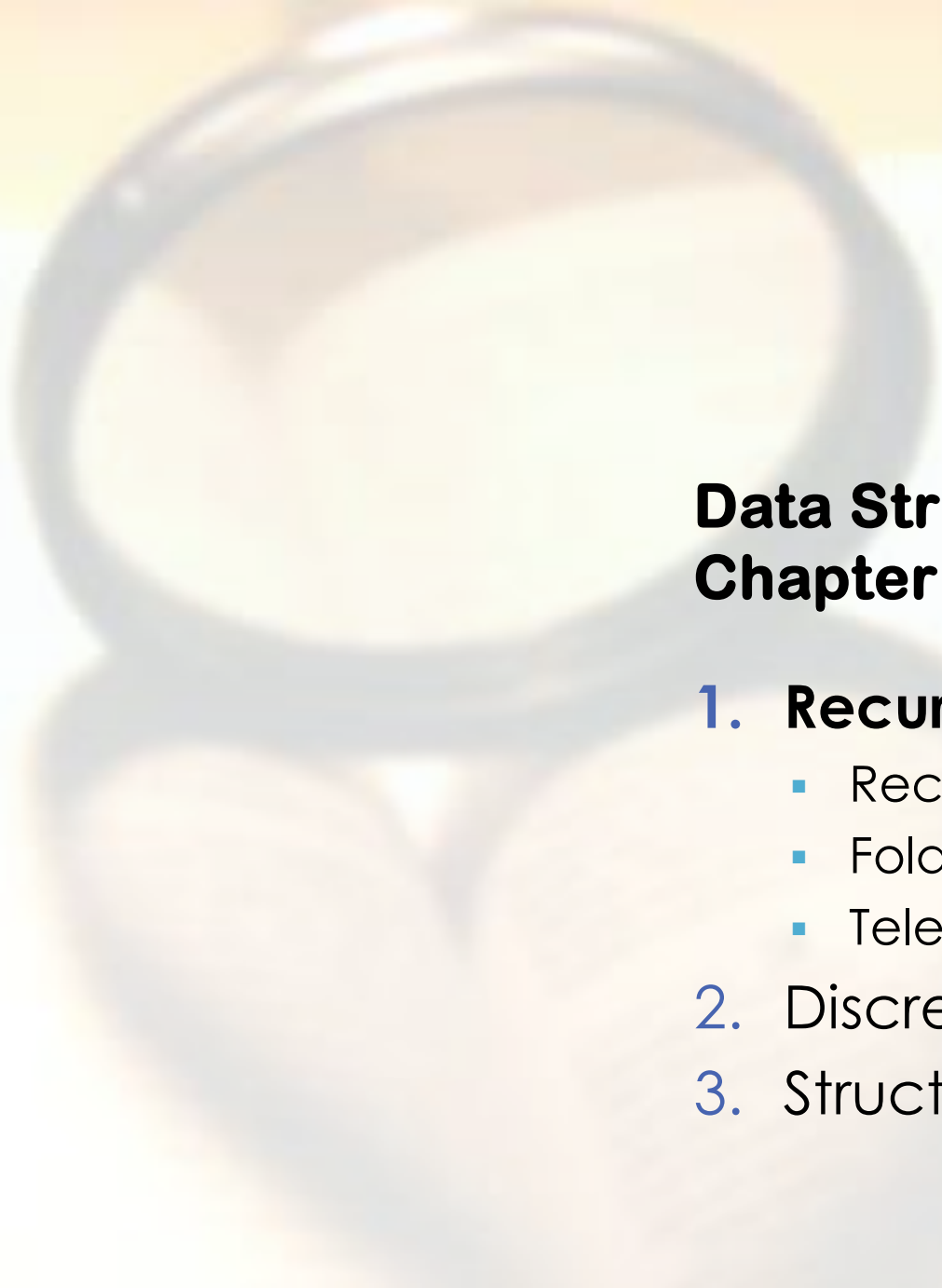
- Example: Running time estimates - empirical analysis
  - Personal computer executes  $10^9$  compares/second
  - Super computer executes  $10^{13}$  compares/second

	Selection sort ( $N^2$ )			Merge sort ( $N \log_2 N$ )		
N	Million	10 million	Billion	Million	10 million	Billion
PC	16.7 min			instant	0.2 sec	
Super Com	0.1 sec			Instant	Instant	Instant

$\log_{10} 2 \cong 0.3$   
86,400sec/day  
instant < 0.1 sec

Use a reasonable or understandable time units.  
Do not say, for example, "3660 days" nor "1220 seconds",  
but 10.0 years or 20.3 min, respectively.

※ **Bottom line:** Good algorithms are better than supercomputers.



# Data Structures

## Chapter 2

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- Recurrence Equations
- Folding
- Telescoping

### 2. Discrete Math

### 3. Structures