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PhD Track 4 Year project

# Consensus in blockchain applications

June 7th 2022



**Basics of consensus**



**Creating Consensus**



**Proofs of Something**



**Questions & Answers**

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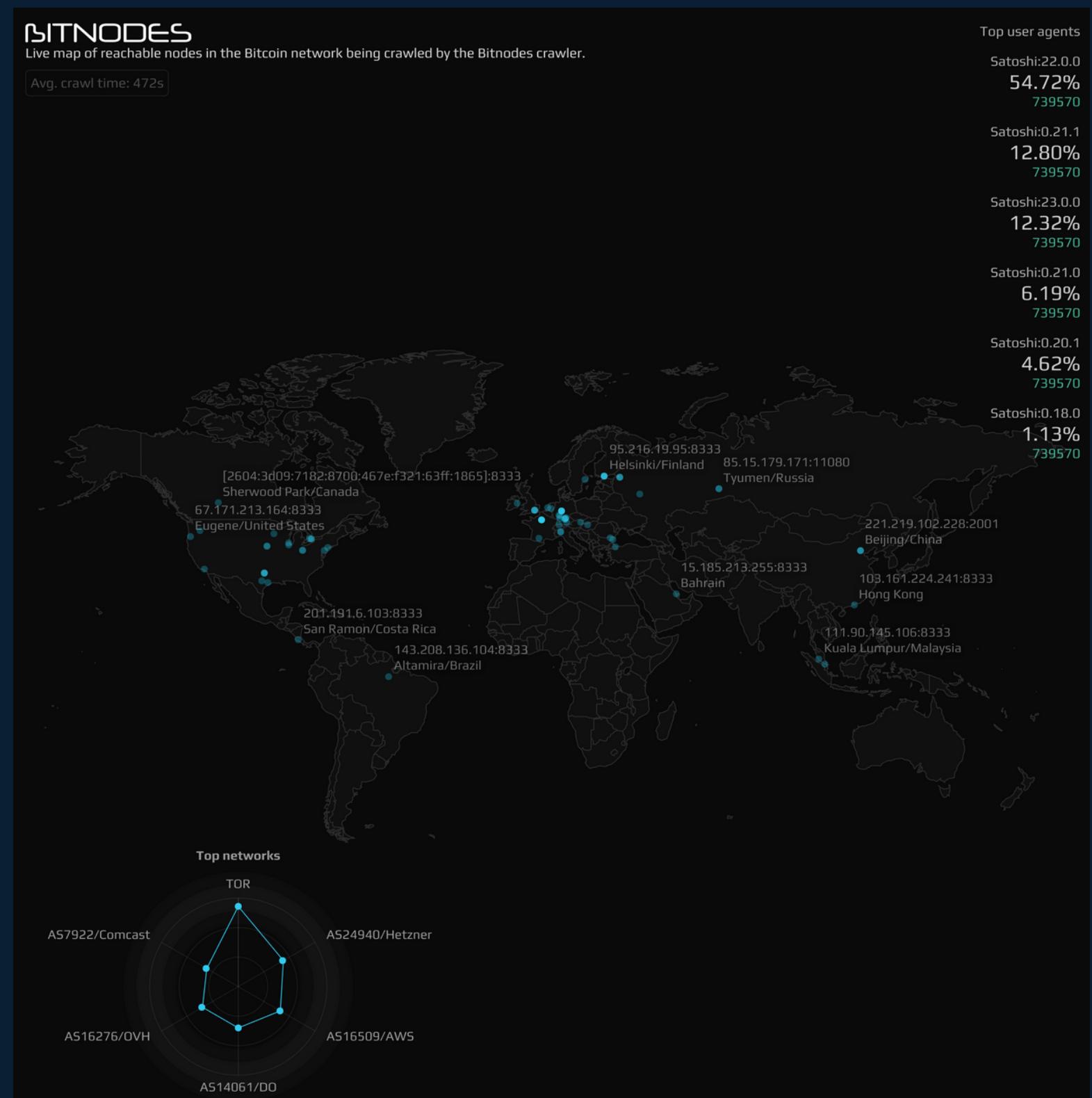
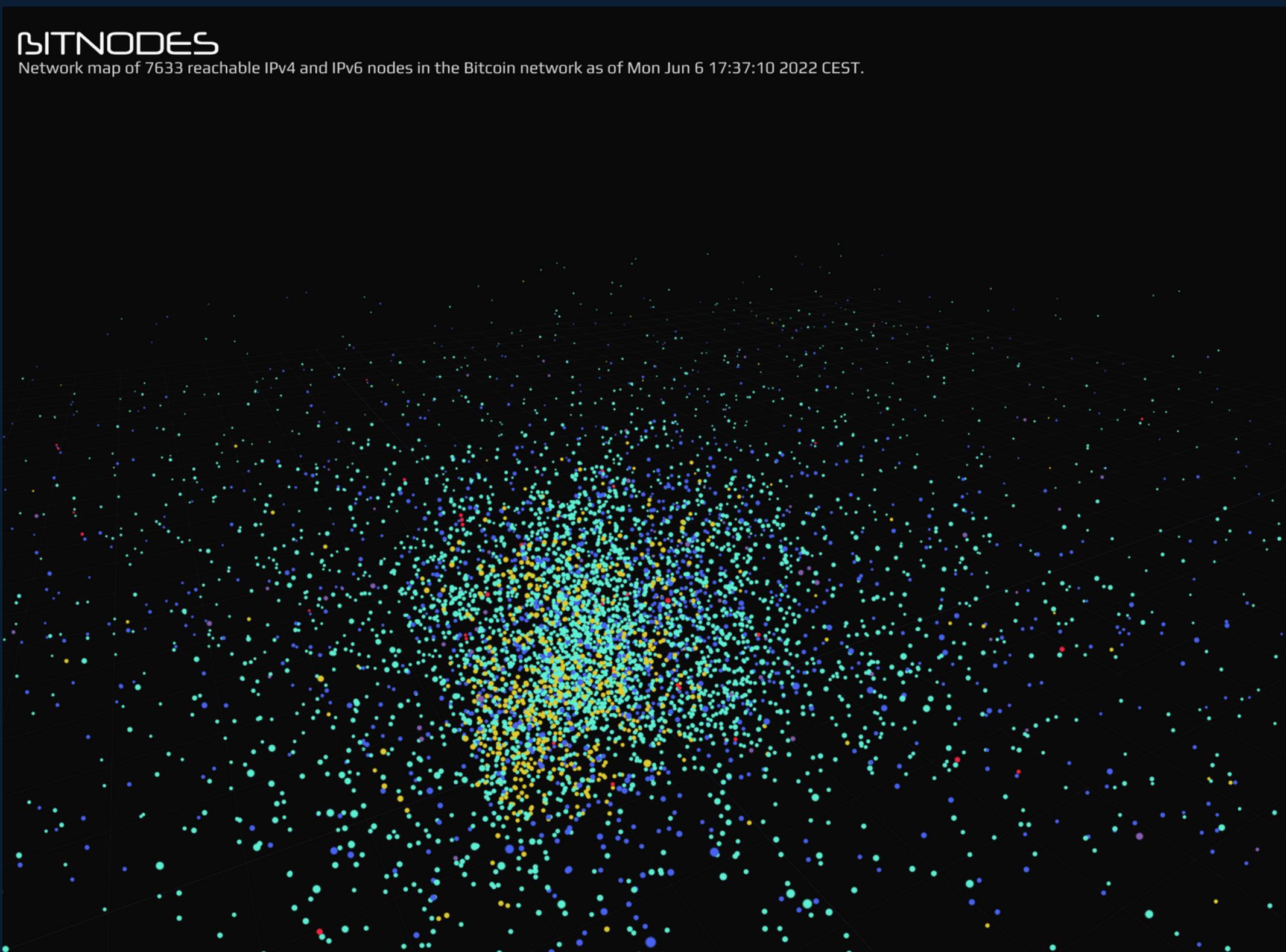




# What is consensus

By definition, and in practice

# What is consensus



# Consensus:

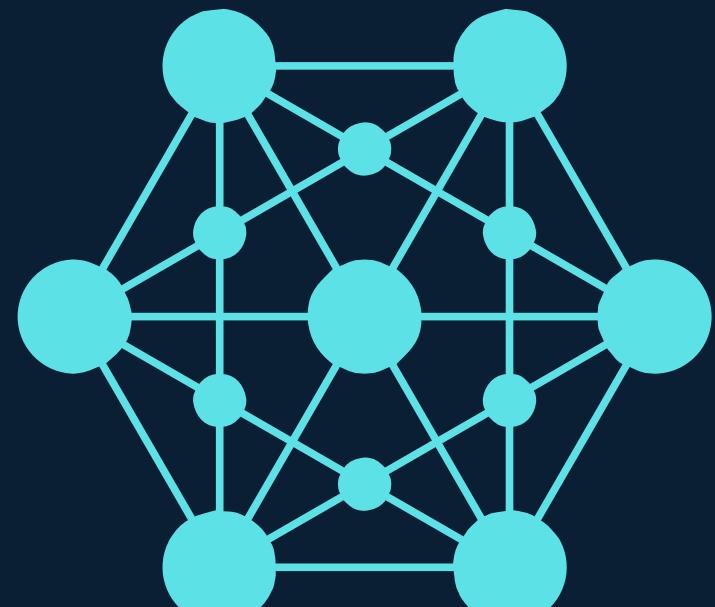
1 - general agreement :  
UNANIMITY

2 - The judgment  
arrived at by most of  
those concerned

3 - group solidarity in  
sentiment and belief

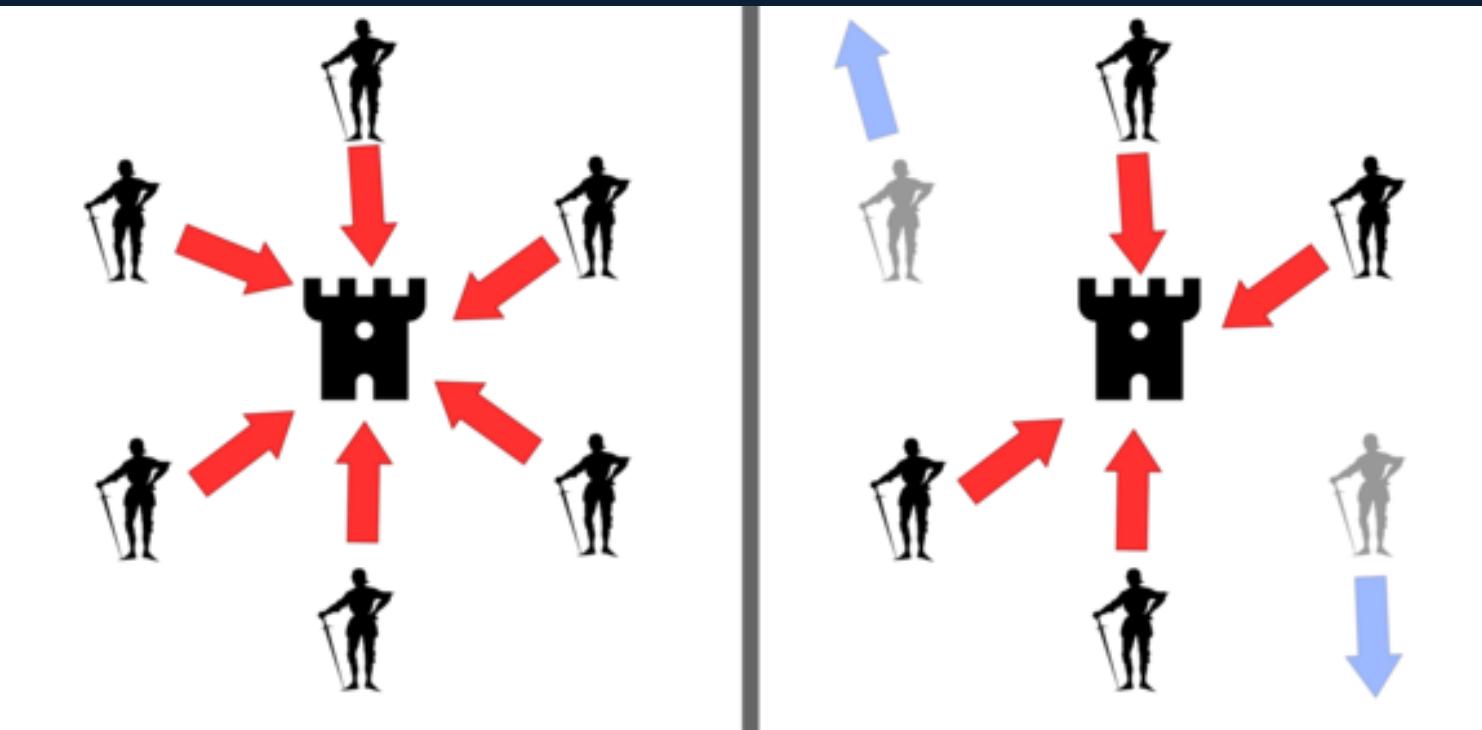
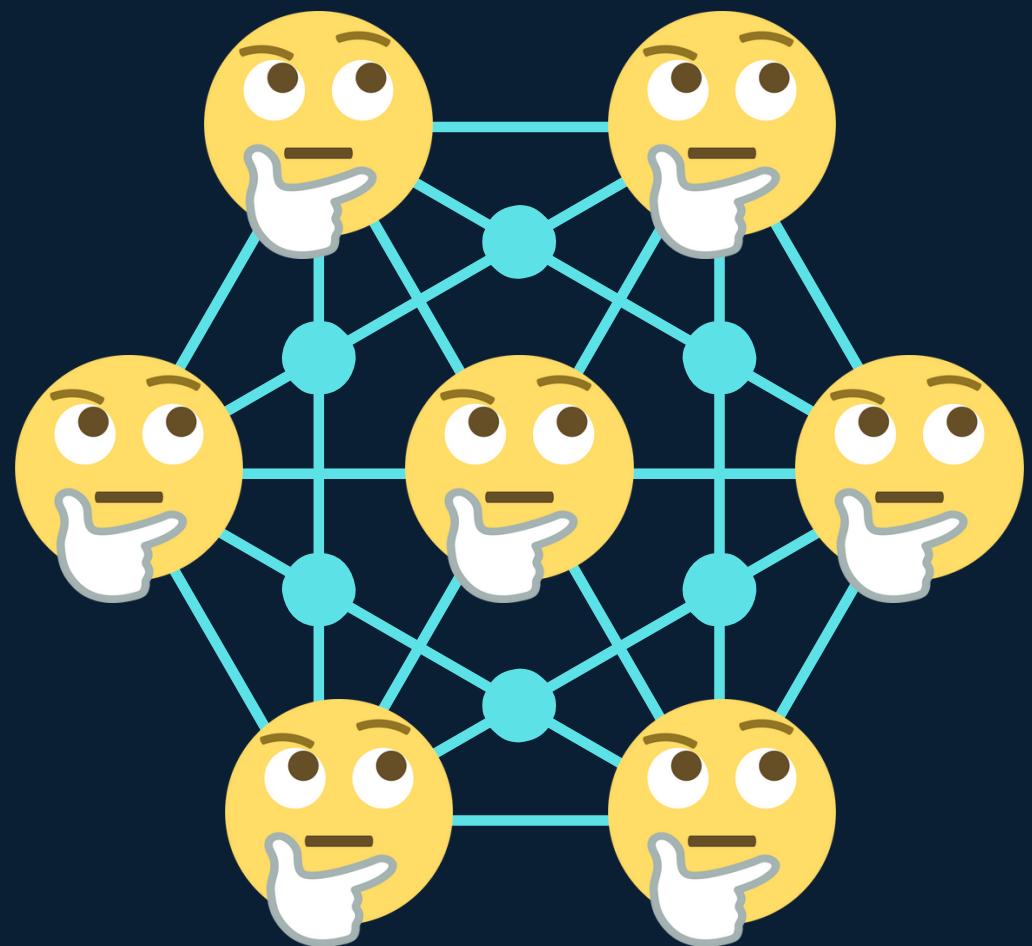
Overall reliability vs fault  
tolerance

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- <https://www.larousse.fr/dictionnaires/francais/consensus/18357>



# Byzantine Generals

Byzantine fault tolerance (BFT)



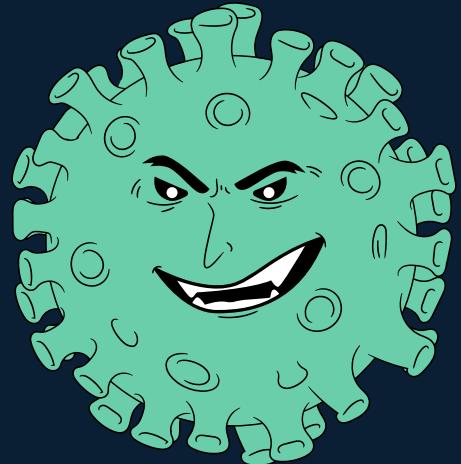
- Lamport, L.; Shostak, R.; Pease, M. (1982). "The Byzantine Generals Problem" (PDF). ACM Transactions on Programming Languages and Systems. 4 (3): 387–389.
- Matthias Fitzi (2002). "Generalized Communication and Security Models in Byzantine Agreement" (PDF). ETH Zurich [https://en.wikipedia.org/wiki/Byzantine\\_fault](https://en.wikipedia.org/wiki/Byzantine_fault).



# Creating Consensus

How to ensure consensus

# Consensus?



## First Past the Post

First Past the Post is the name for the electoral system used to elect Members of Parliament (MPs) to Westminster.

[Read More →](#)

### WHERE IT'S USED

- Westminster
- United States
- India

PROPORTIONALITY ★★★★☆

VOTER CHOICE ★★★★☆

LOCAL REPRESENTATION ★★★★☆

## Single Transferable Vote

With the Single Transferable Vote, the strength of the parties matches the strength of their support in the country, and representatives - for example, Members of Parliament - have a strong connection to their local area.

[Read More →](#)

### WHERE IT'S USED

- Ireland
- Scottish Local Elections
- Northern Ireland Assembly
- Malta

PROPORTIONALITY ★★★★★

VOTER CHOICE ★★★★★

LOCAL REPRESENTATION ★★★★★

## Additional Member System

The Additional Member System uses a mix of first past the post constituencies and party lists.

[Read More →](#)

### WHERE IT'S USED

- Scottish Parliament
- Senedd Cymru / Welsh Parliament
- Germany
- New Zealand

PROPORTIONALITY ★★★★★

VOTER CHOICE ★★★★★

LOCAL REPRESENTATION ★★★★★

## Alternative Vote Plus

Recommended by the Jenkins Commission in 1998, the Alternative Vote Plus (AV+) system has not been used anywhere in the world.

[Read More →](#)

### WHERE IT'S USED

- Nowhere

PROPORTIONALITY ★★★★☆

VOTER CHOICE ★★★★★

LOCAL REPRESENTATION ★★★★★

## Two-Round System

The top two candidates go through to a second election and voters choose their favourite.

[Read More →](#)

### WHERE IT'S USED

- Presidents of many countries
- France

PROPORTIONALITY ★★★★★

VOTER CHOICE ★★★★★

LOCAL REPRESENTATION ★★★★★

## Alternative Vote

With the Alternative Vote (AV) your constituency gets a Member of Parliament (MP) the majority support.

[Read More →](#)

### WHERE IT'S USED

- Australia
- Irish President

PROPORTIONALITY ★★★★★

VOTER CHOICE ★★★★★

LOCAL REPRESENTATION ★★★★★

## Supplementary Vote

With the Supplementary Vote, candidates have to campaign to get a broader base of support.

[Read More →](#)

### WHERE IT'S USED

- UK Mayors
- Police and Crime Commissioners
- Mayor of London

PROPORTIONALITY ★★★★☆

VOTER CHOICE ★★★★★

LOCAL REPRESENTATION ★★★★★

## Borda Count

A rarely used points based electoral system.

[Read More →](#)

### WHERE IT'S USED

- Nauru
- Kiribati
- Eurovision

PROPORTIONALITY ★★★★★

VOTER CHOICE ★★★★★

LOCAL REPRESENTATION ★★★★★

## Party List Proportional Representation

In Party List systems, seats in parliament closely match how many votes each party receives, but there is often a weaker constituency link.

[Read More →](#)

### WHERE IT'S USED

- Israel
- Brazil
- South Africa

PROPORTIONALITY ★★★★★

VOTER CHOICE ★★★★★

LOCAL REPRESENTATION ★★★★★



# BFT protocols

public-key cryptography



$n > 3t$

pBFT

PRACTICAL BYZANTINE  
FAULT TOLERANCE

pBFT consensus rounds (views):

1. **client** request to **leader** node
2. **leader** broadcasts the request
3. **nodes** reply to **client**.
4. **client** awaits  $f+1$  similar replies

$f \rightarrow$  maximum number of potentially faulty nodes.

# pBFT

Implementations:  
**Hyperledger Fabric**  
**Zilliqa**



**Transaction finality**  
**Energy Efficiency**  
**Low reward variance**



**Hyperledger:**  
blockchain consortium  
under The Linux  
Foundation

# Advances Solutions

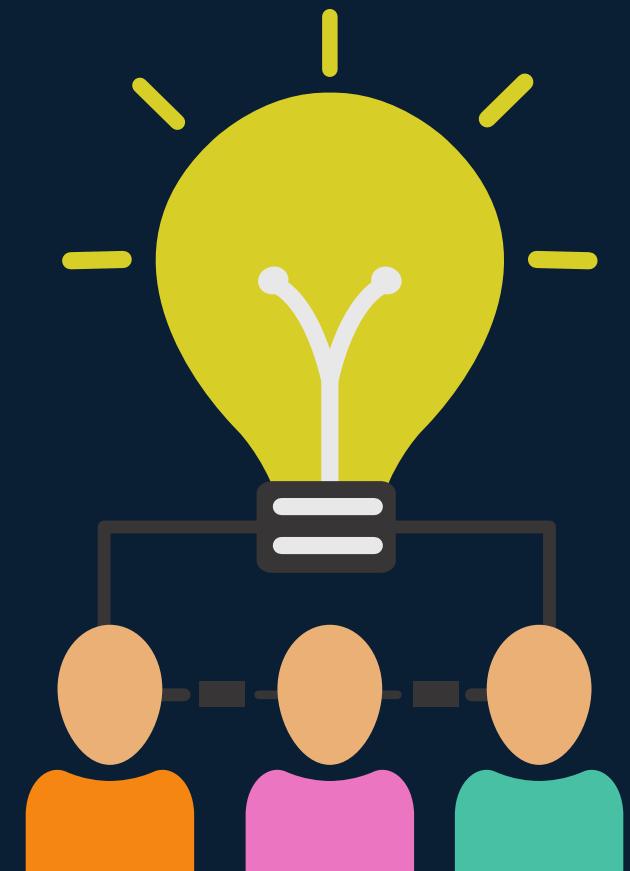
performance and cost: **Q/U, HQ, Zyzzyva, ABsTRACTs**

robustness: **Aardvark** and **RBFT**

Multiple: Adapt

reduce replicas: **A2M-PBFT-EA** and **MinBFT**

PoS: **endermint BFT**





# Proofs of Something

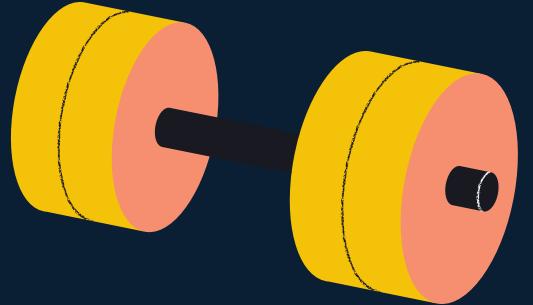
cryptographic proof

# What ?

Blockchain: distributed ledger technology

- Security
- Accountability
- Decentralization
- Transparency
- Immutability

# Proof of Work (PoW)



Well known: solve a simple random puzzle

1. Only one miner can write a block at a given time
2. All miners try to solve problem (hard and random)
3. First to win writes block
4. Other nodes check and validate (simple verif)
5. Longest chain is true chain
6. difficulty adjusts itself

**resource biased**

**Simplicity**



**High Energy Costs**

**Low reward variance**

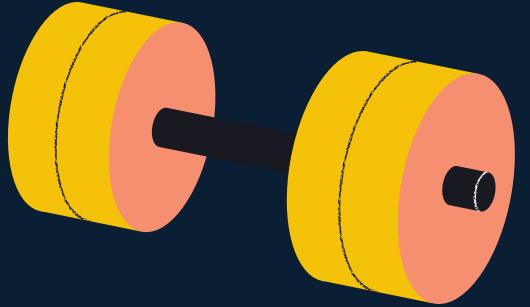


**51% attack**

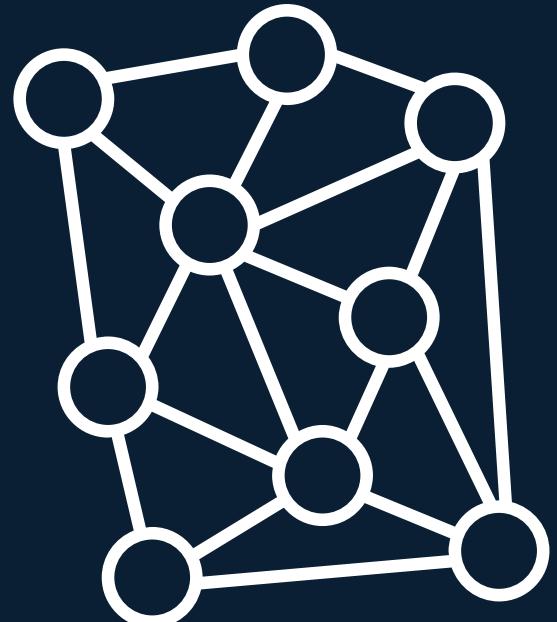
**High Energy Costs**

**high entry cost**

# Proof of Space (PoSpace)



Shabal's algorithm



based on **hard-to-pebble** graphs

Proving you have devoted space instead of computation time.

Efficient Pebbling: A Space/Time Tradeoff

1. You can pebble a vertex only if all of its parents have been pebbled
2. This means that the sources can be pebbled at any time
3. Pebbling a vertex is storing the hash of its parents
4. Removing a pebble is freeing that memory
5. Compare Shabal's algo solutions and keep best space/time value



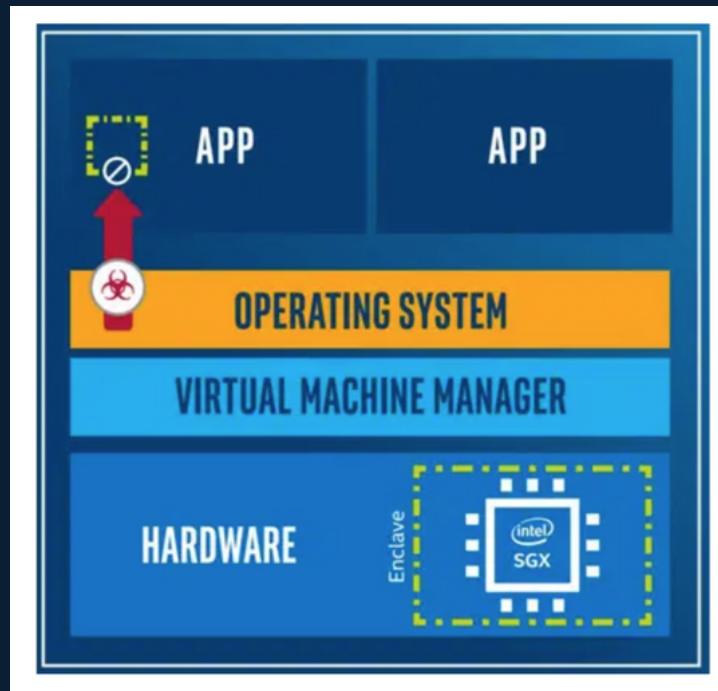
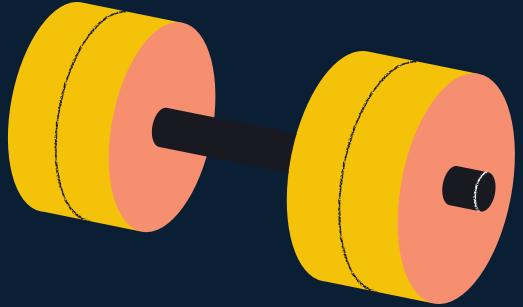
**Real Useful resource**



**resource biased**

**High entry cost**

# Proof of Elapsed Time (PoET)



Using specialized software and hardware:

PoET follows a lottery system, giving every node the same chance:

1. Each node in the network is assigned a random waiting time.
2. The first node to complete the randomly chosen period validates the new block



**Hyperledger Sawtooth**



**dependency on  
specialized hardware**

**Lack of standardization**

# Proof of Stake (PoS)



## Validation via Staking:

1. Use coins as collateral and lock it
2. Get a chance to mine (validate block)
3. selection: amount staked + other

Other: stake age, random, lowest hash and highest stake...

## Stakeholder incentives

**Low 51% attack probability**



**Energy Efficiency**

**Low barrier to entry**

## Easy Multiple Forks

**Validators with large stakes**

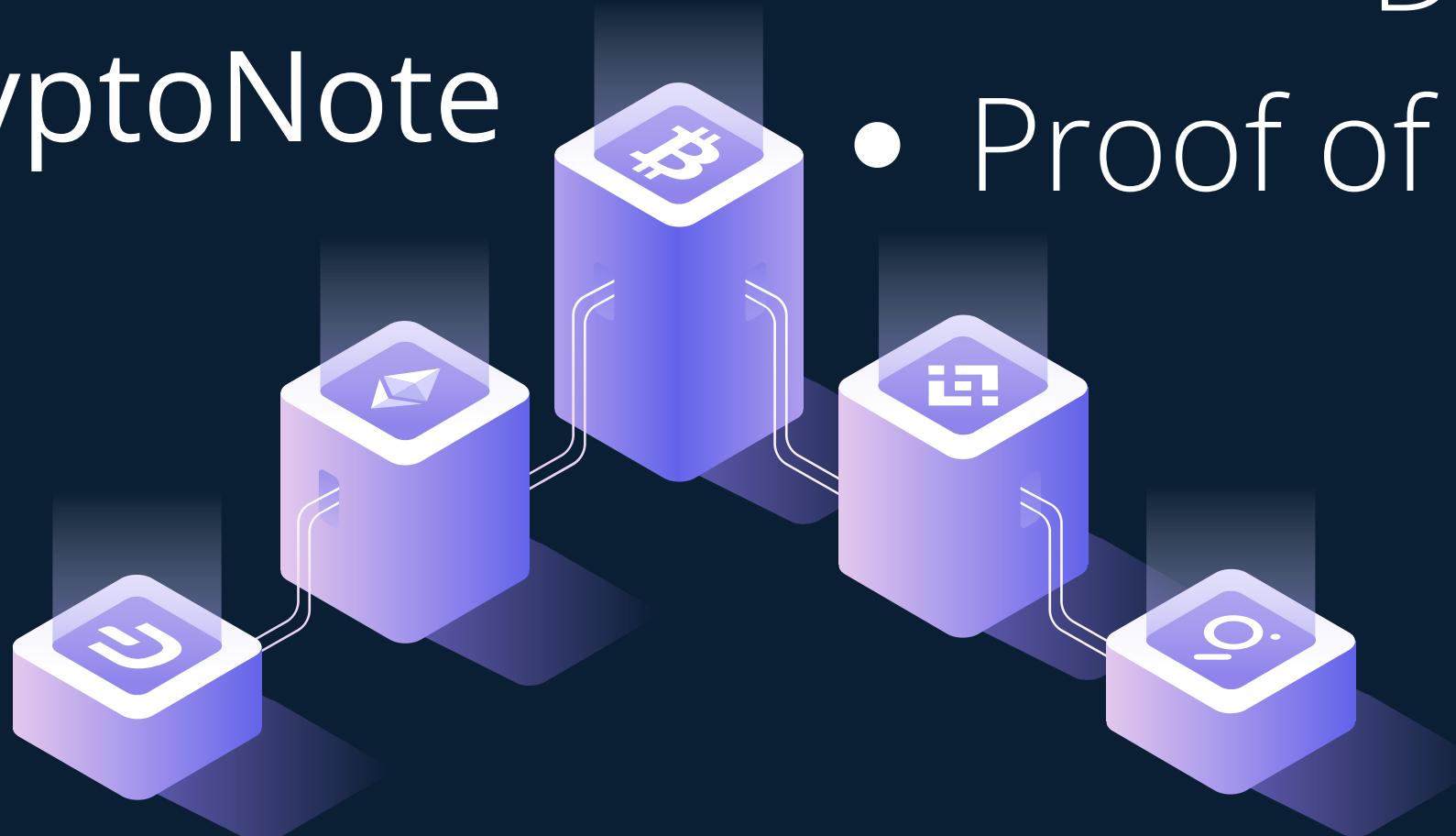


**Nothing-at-stake**

**long-range attacks**

# And many many other...

- DPoS
- DPoR
- Hybrid PoW + PoS
- CryptoNight/CryptoNote
- Quark
- Scrypt
- Equihash
- NeoScrypt
- DBft
- Proof of Humanity





# Questions

And other additional elements

# Sources

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