

# LASER STABILIZATION

John L. Hall, Matthew S. Taubman\*, and Jun Ye

IILA

University of Colorado and National Institute of Standards and Technology Boulder, Colorado

#### 22.1 INTRODUCTION AND OVERVIEW

For laser applications in which measurement precision is a key feature, frequency-stabilized lasers are preferred, if not essential. This observation was true in the gas laser days when the  $10^{-6}$  fractional Doppler width set the uncertainty scale. Now we have diode-pumped solid state lasers with fractional tuning range approaching 10<sup>-2</sup> or more, and laser diode systems with several percent tuning. Such tuning is useful to find the exact frequency for our locking resonance, but then stabilization will be essential. Locking to cavities and atomic references can provide excellent stability, even using a widely tunable laser source. Indeed, laser frequency stability between independent systems has been demonstrated at  $1 \times 10^{-15}$  in 1 s averaging time, and more than a decade better at 300 seconds. This incredible performance enhancement is possible because of a feedback system, beginning from measurement of the laser's frequency error from our chosen setpoint, suitable processing of this error signal by a filter/ amplifier system, and finally application of a correction signal to an actuator on the laser itself, which changes its frequency in response. While such feedback in response to performance may be the most important principle in evolution, in machines and lasers feedback enables the design of lighter, less costly systems. The accuracy is obtained, not by great bulk and stiffness, but rather by error correction, comparing the actual output against the ideal. This continuous correction will also detect and suppress the system's internal nonlinearity and noise. The performance limitation ultimately is set by imprecision of the measurement, but naturally there is a lot of care required to get into that domain: we must have a very powerful and accurate correction effort to completely hide the original sins.

This chapter is our attempt to lead the worker newly interested in frequency control of lasers on a guided tour of stabilized lasers, ideally providing enough insight for recruiting yet another colleague into this wonderful arena. As nonlinear optics becomes just part of our everyday tools, the buildup cavities which enhance the nonlinear couplings are taking on a more critical role: this is the reason that we focus on the taming of piezoelectric-based (PZT-based) systems. We then cover locking with other transducers, and present some details about their construction and use. We consider the frequency discriminator, which is a key element for these control systems. The chapter concludes with description of the design and performance of several full practical systems, including subhertz linewidth systems.

<sup>\*</sup>Matthew S. Taubman is now with the Pacific Northwest National Laboratories, Richland WA.

## **Quantifying Frequency Stability**

In thinking about the stability of our lasers, one may first wonder whether time- or frequency-domain pictures will be more powerful and instructive. Experience shows that time-domain perturbations of our lasers are usually associated with unwelcome sounds—door slamming, telephone bells, and loud voices. Eventually these time-localized troubles can be eliminated. But what remains is likely the sum of zillions of smaller perturbations: none too conspicuous, but too many in number to attack individually. This perspective leads to a frequency-domain discussion where we can add the Fourier amplitudes caused by the many little sources. Eventually we are led to idealize our case to a continuum of spectrally-described perturbations. This physical outlook is one reason we will mainly be specifying our performance measures in the frequency domain: We have already removed the few really glaring problems and now begin to see (too) many small ones.

Another important issue concerns the nifty properties of Mr. Fourier's description: in the frequency domain, cascaded elements are represented by the multiplication of their individual transfer functions. If we had chosen instead the time domain, we would need to work with convolutions, nonlocal in time. Today's result in time is the sum of all previous temporal events that have the proper delay to impact us now. So it seems clear that frequency domain is good for analysis. What about describing the results?

## Frequency versus Time: Drift-the Allan Variance Method

At the other end of our laser stabilization project, describing the results, it is convenient to measure and record the frequency as a function of time. We can measure the frequency averaged over onesecond gating time, for example, and stream 100 points to a file. This would be a good way to see the variations around a mean for the 1-second time intervals. This measurement could be repeated using a succession of gate times, 3 s, 10 s, 30 s, 100 s. . . . Surely it will be attractive to make this measurement just once and numerically combine the data to simulate the longer gate times. Thinking this way brings us a new freedom: we can process this data to recover more than just the mean and the standard deviation. Of course, we can expect to eventually see some drift, particularly over long times. When we look at the drift and slowly varying laser frequency, one wishes for a method to allow us to focus on the random noise effects which are still visible, even with the extended gate times. This is where the resonance physics is, while the drift is mainly due to technical problems. Dave Allan introduced the use of first differences, which has come to be called the Allan Variance method. If we take the difference between adjacent samples of the measured frequency, we focus on the random processes which are averaged down to small, but not insignificant values within each gate time  $\tau$ . These first differences (normalized by  $1/\sqrt{2}$  to account for random noise in each entry) form a new data set which is first-order insensitive to long-term processes such as drift which dominate the directly recorded data.

Essentially the Allan Variance calculation presents us with a display of the laser's fractional frequency variation,  $\sigma_y$ , as a function of the time over which we are interested. At medium times, say  $\tau$  of a few seconds, most laser stabilization systems will still be affected by the random measurement noise arising from shot noise and perhaps laser technical noise. At longer times the increased signal averaging implies a smaller residual fluctuation due to random processes. It is easy to show that the dependence of  $\sigma_y$  versus  $\tau$  can be expected to be  $1/\tau^{1/2}$ , in the domain controlled by random (white) noise. The Allan deviation also has a great utility in compressing our statement of laser stability: we might say, for example, "the (in-)stability is  $2 \times 10^{-12}$  at 1 second, with the  $1/\tau^{1/2}$  dependence which shows that only random noise is important out to a time of 300 s."

#### Allan Deviation Definition

With a counter linked to a computer, it is easy to gather a file of frequency values  $f_i$  measured in successive equal gate time intervals,  $t_g$ . Usually there is also some dead time, say  $t_a$ , while the counter-to-computer data transfers occur via the GPIB connection. This leads to a sample-to-sample time interval

of  $t_s = t_g + t_{d'}$  Allan variance is one half of the average squared difference between adjacent samples, and the usually quoted quantity, the Allan Deviation, is the square root of this averaged variance,

$$\sigma_{y}(\tau) = \left[ \frac{1}{2(N-1)} \sum_{n=1}^{N-1} (f_{n+1} - f_{n})^{2} \right]^{1/2}$$
 (1)

The dependence of  $\sigma_y$  upon the measuring time  $\tau$  contains information essential for diagnosis of the system performance. These values for several times can be efficiently calculated from the (large) data set of frequencies observed for a fixed minimum gate time by adding together adjacent measurements to represent what would have been measured over a longer gate time. (This procedure neglects the effects of the small dead-time  $t_d$ , which are negligible for the white frequency noise  $1/\sqrt{\tau}$  of usual interest but, for systems with drift and increased low-frequency noise, the dead-time effects can seriously impact the apparent results.) In any case, fewer samples will be available when the synthetic gate time becomes very long, so the uncertainty of this noise measurement increases strongly. Usually one insists on three or four examples to reduce wild variations, and so the largest synthetic gate time  $\tau_{\rm max}$  will be taken to be the total measurement time/3. For a serious publication we might prefer 5 or 10 such synthetic measurements for the last point on the graph.

The Allan Deviation has one curiosity in the presence of a distinct sinusoidal modulation of the laser's frequency: when the gate time is 1/2 the sinusoid's period, adjacent samples will show the maximum deviation between adjacent measurements, leading to a localized peak in  $\sigma_y$  versus  $\tau$ . Interestingly, there will be "ghosts" or aliases of this when the gate time/modulation period ratio is 1/4, 1/8, and so on. For longer gate times compared with the modulation, some fractional cycle memories can be expected also. So a clean slope of -1/2 for a log-log plot of  $\sigma_y$  versus  $\tau$  shows that there is no big coherent FM process present.

Historically, Allan Variance has been valuable in locating time scales at which new physical processes must be taken into account. For example, at long times it is usual for a laser or other stable oscillator to reach a level of unchanging  $\sigma_y$  versus  $\tau$ . We speak of this as a "flicker" floor. It arises from the interplay of two opposing trends: the first is the decreasing random noise with increasing  $\tau$  (decreasing  $\sigma_y$  versus  $\tau$ ). At longer times one sees an increasing  $\sigma_y$  versus  $\tau$ , due to drifts in the many system parameters (electronic offsets, temperature . . .), which make our lasers lock at points increasingly offset from the ideal one. If we wait long enough, ever larger changes become likely. So for several octaves of time, the combination of one decreasing and one increasing contribution leads to a flat curve. Eventually significant drift can occur even within one measurement time, and this will be mapped as a domain of rising  $\sigma_y$  increasing as the +1 power of  $\tau$ .

It is useful to note that the frequency/time connection of the Allan Variance transformation involves very strong data compression and consequently cannot at all be inverted to recover the original data stream in the way we know from the Fourier transform pair. However in the other direction, we can obtain the Allan Deviation from the Phase Spectral Density.<sup>2</sup>

## **Spectral Noise Density**

As noted earlier, when the number of individual contributions to the noise becomes too large to enumerate, it is convenient to move to a spectral density form of representation. To carry this idea forward, two natural quantities to use would be the frequency deviations occurring at some rate and the narrow bandwidth within which they occur. To work with a quantity that is positive definite and has additive properties, it is convenient to discuss the squared frequency deviations  $<(f_N^2)>$  which occur in a noise bandwidth B around the Fourier frequency f. This Frequency Noise Power Spectral Density,  $S_f = <(f_N^2)>/B$ , will have dimensions of Hz² (deviation²)/Hz (bandwidth). The summation of these deviations over some finite frequency interval can be done simply by integrating  $S_f$  between the limits of interest.

Connecting Allan Deviation and Spectral Density Sometimes one can estimate that the system has a certain spectrum of frequency variations described by  $S_f(f)$ , and the question arises of what Allan

Deviation this would represent. We prefer to use the Allan presentation only for experimental data. However Ref. 2 indicates the weighted transform from  $S_t$  to Allan Variance.

Connecting Linewidth and Spectral Density A small surprise is that an oscillator's linewidth generally will not be given by the summation of these frequency deviations! Why? The answer turns on the interesting properties of Frequency Modulated (FM) signals. What counts in distributing power is the Phase Modulation Index  $\beta$ , which is the peak modulation-induced phase shift or, equivalently, the ratio of the peak frequency excursion compared with the modulation rate. Speaking of pure tone modulation for a moment, we can write the phase-modulated field as

$$E(t) = \sin(\Omega t + \beta \sin(\omega t))$$

$$= J_0(\beta) \exp(i\Omega t) + \sum_{n=1}^{\infty} J_n(\beta) \exp(i(\Omega + n\omega)t) + \sum_{n=1}^{\infty} J_n(\beta)(-1)^n \exp(i(\Omega - n\omega)t)$$
(2)

where  $\Omega$  is the "carrier" frequency, and  $\omega = 2\pi f$  and its harmonics are the modulation frequencies. The frequency offset of one of these "sidebands," say the nth one, is n times the actual frequency of the process' frequency f. The strength of the variation at such an nth harmonic decreases rapidly for  $n > \beta$  according to the Bessel function  $J_n(\beta)$ . We can distinguish two limiting cases.

Large excursions, slow frequency rate This is the usual laboratory regime with solid state or HeNe and other gas lasers. The dominant perturbing process is driven by laboratory vibrations that are mainly at low frequencies (5–200 Hz). The extent of the frequency modulation they produce depends on our mechanical design, basically how efficient or inefficient an "antenna" have we constructed to pick up unwanted vibrations. Clearly a very stiff, lightweight structure will have its mechanical resonances at quite high frequencies. In such case, both laser mirrors will track with nearly the same excursion, leading to small differential motion, i.e., low pickup of the vibrations in the laser's frequency. On the other hand, heavy articulated structures, particularly mirror mounts with soft springs, have resonances in the low audio band and lead to big FM noise problems. A typical laser construction might use a stiff plate, say 2 inches thick of Al or honeycomb-connected steel plates. The mirror mounts would be clamped to the plate, and provide a laser beam height of 2 inches above the plate. Neglecting air pressure variations, such a laser will have vibration-induced excursions  $(\langle f^2 \rangle)^{1/2}$  of  $\langle \langle 100 \text{ kHz} \rangle$ . An older concept used low expansion rods of say 15 mm diameter Invar, with heavy Invar plates on the ends, and kinematic but heavy mirror mounts. This system may have a vibration-induced linewidth  $(< f_N^2 >)^{1/2}$  in the megahertz range. Only when the "rods" become several inches in diameter is the axial and transverse stiffness adequate to suppress the acceleration-induced forces. With such massive laser designs we have frequency excursions of tens to thousands of kilohertz, driven by low-frequency laboratory vibrations in a bandwidth B < 1 kHz. In this case  $(\langle f_N^2 \rangle)^{1/2} >> B$ , and the resulting line shape is Gaussian. The linewidth is given by Ref. 3,  $\Delta f_{\text{FWHM}} = [8 \ln(2) (\langle f_N^2 \rangle)]^{1/2} \cong 2.355 (\langle f_N^2 \rangle)^{1/2}$ .

The broadband fast, small excursion limit This is the domain in which we can usually end up if we can achieve adequate servo gain to reduce the vibration-induced FM. Since the drive frequency of the perturbation is low, it is often feasible to obtain a gain above 100, particularly if we use a speedy transducer such as an acousto-optic modulator (AOM) or an electro-optic modulator (EOM). In general we will find a noise floor fixed, if by nothing else than the broadband shot noise which forms a minimum noise level in the measurement process. Here we can expect small frequency excursions at a rapid rate,  $(<f^2_N>)^{1/2}<< B$ , leading to a small phase modulation index. If we approximate that the Spectral Noise Frequency Density  $S_f=(<f^2_N>)/B$  is flat, with the value  $S_f$  Hz² (deviation²)/Hz (bandwidth), then the linewidth in this domain is Lorentzian.³ with the  $\Delta f_{max}=\pi S_c=\pi(<f^2_N>)/B$ .

(bandwidth), then the linewidth in this domain is Lorentzian, with the  $\Delta f_{\rm FWHM} = \pi S_f = \pi (< f_N^2 >)/B$ . This summary of frequency-domain measures is necessarily brief and the interested reader may find additional discussion useful. The Animary of a Phase Noise Power Spectral Density,  $S_{\phi} = S_f / f^2$ . The National Institute of Standards and Technology (NIST) Frequency and Time Division publishes collections

of useful tutorial and overview articles from time to time. The currently available volume<sup>2</sup> covers these topics in more detail. Vendors of rf-domain spectrum analyzers also have useful application notes.<sup>6</sup>

## 22.2 SERVO PRINCIPLES AND ISSUES<sup>7,8</sup>

## **Bode Representation of a Servo System**

We will describe our systems by transfer functions, output/input, as a function of Fourier frequency  $\omega$ . We begin purely in the domain of electronics. The amplifier gain is  $G(\omega)$ . The electrical feedback is represented as  $H(\omega)$ . Both will have voltage as their physical domain, but are actually dimensionless in that they are output/input ratios. Considering that we will have to represent phase of these AC signals, both  $G(\omega)$  and  $H(\omega)$  will generally be complex. It will be fundamental to view these functions with their dependence on frequency, for both the amplitude and phase response.

Imagine a closed loop system with this amplifier as the forward gain  $G(\omega)$  between input  $V_i$  and output  $V_o$ . Some fraction of the output is tapped off and sent back to be compared with the actual input. For more generality we will let  $H(\omega)$  represent this feedback transfer ratio. The actual input, minus this sampled output will be our input to our servo amplifier  $G(\omega)$ . After a line of algebra we find the new gain of the closed loop—in the presence of feedback—is

$$A_{\rm cl} = \frac{V_o}{V_i} = \frac{G(\omega)}{1 + G(\omega)H(\omega)}$$
 (3)

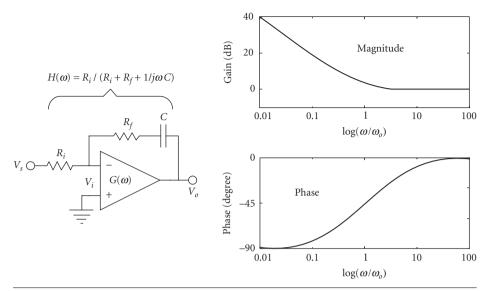
A particularly instructive plot can be made for the product  $G(\omega)H(\omega)$ , called the "open loop gain," which appears in the denominator. In this so-called Bode plot, the gain and phase are separately plotted. Also, from inspection of Eq. (3) we can learn one of the key advantages which feedback brings us: if the feedback factor GH were >>1, the active gain G would basically cancel out and we would be left with  $A_{cl} \sim 1/H$ . We imagine this feedback channel will be passive, formed from nearly ideal nondistorting components. The noise, exact value of the gain, and distortion introduced by it are seen to be nearly unimportant, according to the large magnitude of 1 + GH. Gentle amplifier overload will lead to overtone production, but could alternatively be represented by a decrease of G with signal. Since the output doesn't depend sensitively upon G anyway, we are sure these distortion products and internally generated noise will be suppressed by the feedback. We can identify the denominator 1 + GH as the noise and distortion reduction factor.

What is the cost of this reduced dependence on the active components  $G(\omega)$  and their defects? Basically it is that the gain is reduced and we must supply a larger input signal to obtain our desired output. For a music system one can then worry about the distortion in the preamplifier system. However, we want to make quiescent lasers, without the slightest hint of noise. So it is nice that the amplification of internal noise is reduced.

To be concrete, the circuit of Fig. 1 represents a common building block in our servo design. It also represents a simple case of feedback. We show it as a current summing input node: the subtraction at the input arises here because the sign of the gain is negative. With the nearly ideal high-gain operational amplifiers now available, G >> 1 and we can closely approximate the closed loop gain by  $1/H(\omega)$ , yielding a flat gain above and a rising gain below some corner frequency  $\omega_0 = 1/\tau_0$ , with  $\tau_0 = R_f C$ . Remember  $1/H(\omega)$  is the closed loop gain between  $V_o$  and  $V_i$ . To find the exact relationship between the signals  $V_s$  and  $V_o$ , we notice the related voltage-divider effect gives  $V_i = (1 - H(\omega))V_s$ , which leads to

$$\frac{V_o}{V_s} = -\frac{R_f}{R_i} \frac{(1+j \frac{\omega}{\omega_0})}{j \frac{\omega}{\omega_0}} = -\frac{R_f}{R_i} \frac{(1+j \omega \tau_0)}{j \omega \tau_0}$$
(4)

The negative sign arises from the fact that the forward gain is negative. When the corner frequency  $\omega_0$  is chosen to be sufficiently high, we may have to consider the bandwidth issue of the OpAmp:  $G(\omega)$  could



Phase and amplitude response of a Proportional-Integral (PI) amplifier circuit. The PI function is implemented using an inverting OpAmp.

start to roll off and no longer satisfy the approximation of G >> 1. A more complex network is needed to compensate for the gain roll-off and that is exactly the topic of feedback we wish to cover below.

## Phase and Amplitude Responses versus Frequency

We can plot the gain magnitude and phase of this elementary feedback example in Fig. 1, where we can see the flat gain at high frequencies and the rising response below  $\omega_0$ . Our laser servo designs will need to echo this shape, since the drift of the laser will be greater and greater at low frequencies, or as we wait longer. This will require larger and larger gains at low frequencies (long times) to keep the laser frequency nearby our planned lock point. The phase in Fig. 1 shows the lag approaching 90° at the lowest frequencies. (An overall minus sign is put into the subtractor unit, as our circuit shows an adder.) The time-domain behavior of this feedback system is a prompt inverted output, augmented later by the integration's contribution.

As a first step toward modeling our realistic system, Fig. 2 shows the laser included in our control loop. The servo system's job is to keep the laser output at the value defined by the reference or setpoint input. Some new issues will arise at the high-frequency end with the physical laser, as its piezo-electric transducer (PZT) will have time delay, finite bandwidth, and probably some resonances.

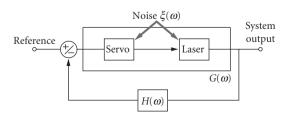
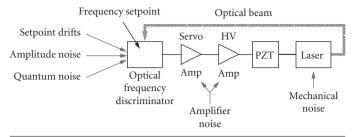


FIGURE 2 Model of laser system, including frequency noise, as part of a servo control loop.



**FIGURE 3** Detailed model of a frequency-controlled laser.

One way we should expand the model is to include the laser's operation as a frequency transducer, converting our control voltage to a frequency change. Probably the laser will have some unwanted frequency noises, and in Fig. 3 we can indicate their unwanted contributions arriving in the optical frequency discriminator, which functions like a summing junction. The emitted laser field encounters an optical frequency discriminator and the laser frequency is compared with the objective standard, which we will discuss below. In our diagram we show this laser frequency discriminator's role as an optical frequency—to—voltage converter element. More exactly, laser frequency differences from the discriminator's reference setpoint are converted to voltage outputs. Laser amplitude noises (due to the intrinsic property of the laser itself or external beam propagation) and vibration effects on the discriminator will appear as undesired additive noises also.

The first simple idea is that the feedback loop can be closed when the servo information, carried as a voltage signal in our amplifier chain, is converted to a displacement (in meters) by the PZT, then into laser frequency changes by the laser's standing-wave boundary condition. As the length changes, the "accordian" in which the waves are captive is expanded or compressed, and along with it the wavelength and frequency of the laser's light.

A second truth becomes clear as well: there is freedom in designating the division into the forward gain part and the feedback path part. Actually, we probably would like the laser to be tightly locked onto the control cavity/discriminator, and then we will tune the whole system by changing the set point, which is the discriminator's center frequency. This leads us to view the optical frequency discriminator as the summing junction, with the amplifier and PZT transducer as the forward gain part. The output is taken as an optical frequency, which would be directly compared to the setpoint frequency of the discriminator. So the feedback path H=1.

We should consider some magnitudes. Let  $K_{\rm PZT}$  represent the tuning action of the PZT transducer, expressed as displacement meter per volt. A typical value for this would be  $K_{\rm PZT}=0.5$  nm/V. The laser tunes a frequency interval c/2L for a length change by  $\lambda/2$ , so the PZT tuning will be  $\sim 600$  V/order at 633 nm.

$$K_V = K_{PZT} \frac{2}{\lambda} \frac{c}{2L} \tag{5}$$

So we obtain a tuning sensitivity  $K_V \sim 800 \text{ kHz/V}$  tuning for a foot-long laser, assuming a disk-type PZT geometry. See the section below on PZT design.

#### Measurement Noise as a Performance Limit—It Isn't

Usually our desire for laser stability exceeds the range of the possible by many orders, and we soon wonder about the ultimate limitations. Surely the ultimate limit would be due to measurement noise. However, we rarely encounter the shot-noise-limited case, since the shot noise-limited S/N of a 100  $\mu$ W locking signal is ~6 × 10<sup>6</sup> in a 1 Hz bandwidth. (See section on "The Optical Cavity-Based Frequency Discriminator" later.) Rather we are dealing with the laser noise remaining because our

servo gain is inadequate to reduce the laser's intrinsic noise below the shot noise limit, the clear criterion of gain sufficiency. So our design task is to push up the gain as much as possible to reduce the noise, limited by the issue of stability of the thus-formed servo system.

## Closed-Loop Performance Expectations When Transducer Resonance Limits the Usable Gain

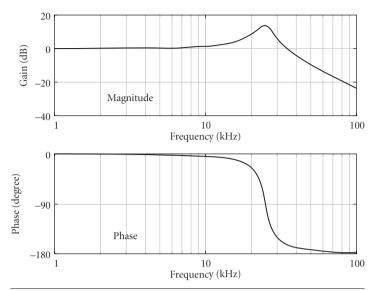
Servo Stability: Larger Gain at Lower Frequencies, Decreasing to Unity Gain and Below Our need for high gain is most apparent in the low-frequency domain  $\sim$ 1 kHz and below. Vibrations abound in the dozens to hundreds of hertz domain. Drifts can increase almost without limit as we wait longer or consider lower Fourier frequencies. Luckily, we are allowed to have more gain at low frequencies without any costs in stability. At high frequencies, it is clear we will not help reduce our noise if our correction is applied too late and so no longer has an appropriate phase. One important way we can characterize the closed-loop behavior of our servo is by a time delay  $t_{\rm delay}$ . Here we need to know the delay time before any servo response appears; a different (longer) time characterizes the 1/e response. The latter depends on the system gain, while the ultimate high-speed response possible is controlled by the delay until the first action appears. A good criterion is that the useful unity gain frequency can be as high as  $f_{\tau} = 1/(2\pi t_{\rm delay})$ , corresponding to 1 rad extra phase-shift due to the delay. Below this ultimate limit we need to increase the gain—increase it a lot—to effectively suppress the laser's increased noise at low frequencies. This brings us to address the closed-loop stability issue.

## **Closed-Loop Stability Issues**

One can usefully trace the damping of a transient input as it repetitively passes the amplifier and transducer, and is reintroduced into the loop by the feedback. Evidently stability demands that the transient is weaker on each pass. The settling dynamics will be more beautiful if the second-pass version of the perturbation is reduced in magnitude and is within say  $\pm 90^{\circ}$  of the original phase. Ringing and long delay times result when the return phasor approaches -1 times the input signal vector, as then we are describing a sampled sinewave oscillation. These time-domain pictures are clear and intuitive, but require treatment in terms of convolutions, so here we will continue our discussion from the frequency-domain perspective that leads to more transparent algebraic forms. We can build up an arbitrary input and response from a summation of sinusoidal inputs. This leads to an output as the sum of corresponding sinusoidal outputs, each including a phase shift.

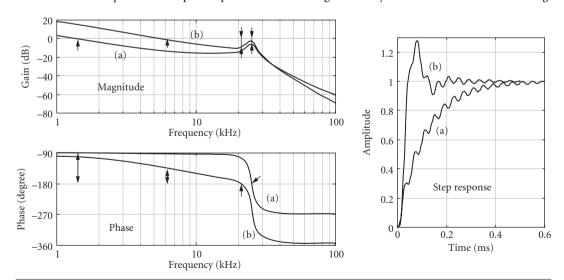
In our earlier simple laser servo example, no obvious limitation of the available closed-loop gain was visible. The trouble is we left out two fundamental laboratory parasites: time delay, as just noted, and mechanical resonances. We will usually encounter the mechanical resonance problem in any servo based on a PZT transducer. For design details, see the "Practical Issues" section. A reasonable unit could have its first longitudinal resonance at about 25 kHz, with a  $Q \sim 10$ . In servo terms, the actual mechanical PZT unit gives an added 2-pole roll-off above the resonance frequency and a corresponding asymptotic phase lag of 180°. Including this reality in our model adds another transfer function  $R_{\rm PZT} = \omega_0^2/(\omega_0^2 + 2\omega \eta \ \omega_0 + \omega^2)$ , where  $\omega_0$  is  $2\pi$  times the resonance frequency, and  $\eta = 1/2Q$  is the damping factor of the resonance. This response is shown in Fig. 4.

We now talk of stabilizing this system. The elements are the laser and some means to correct its frequency, a frequency discriminator to measure the difference between the actual and the setpoint frequencies, and a feedback amplifier. Here we propose to do the frequency control by means of a PZT transducer to change the laser frequency. For the present discussion, we assume the frequency discriminator has a flat response. For the feedback amplier, the first appealing option is to try a pure integrator. The problem then is that we are limited in gain by the peakheight of the resonance which must remain entirely below unity gain to avoid instability. In Fig. 5 case (a) we see that the unity gain frequency is limited to a value of 1.5 kHz. Some margin is left to avoid excessive ringing near the resonant frequency, but it is still visible in the time domain. Techniques that help this case include a roll-off filter between the unity gain and PZT resonance frequencies.



**FIGURE 4** The amplitude and phase response of a tubular PZT transducer and an 8-mm-diameter by 5-mm-thick mirror. The resonance is at 25 kHz with a *O* of 10.

Figure 5 shows the "open loop" gain function GH of the feedback equation, and the corresponding phase response. We already noted the dangerous response function of -1 where the denominator of Eq. (3) vanishes. In the time-domain iterative picture, the signal changes sign on successive passes and leads to instability/oscillation. We need to deal with care as we approach near this point in order to obtain maximum servo gain: it is useful to consider two stability margins. The *phase stability margin* is the phase of the open-loop function when the gain is unity. It needs to be at least  $30^{\circ}$ . The *gain* 



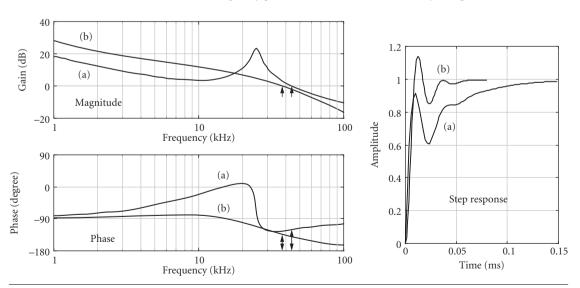
**FIGURE 5** (a) Integrator gain function alone. Gain must be limited so that gain is <1 even at the resonance. (b) Single-pole low pass at 6 kHz inserted. Now unity gain can increase to 6 kHz and time response is  $\sim$ 3-fold faster. Small arrows in the graph indicate the phase margin at the unity gain frequency (gain = 0 dB) and gain margin at a phase shift of  $-180^{\circ}$ .

*margin* is the closed loop gain when the phase is 180°. In Fig. 5 case (a) we see that the phase is not shifted very much until we really "sense" the amplitude increase from the resonance. So this resonance may tend to fix an apparently solid barrier to further servo improvement. But as shown in Fig 5 case (b), just a low-pass to push down the PZT resonance is very helpful.

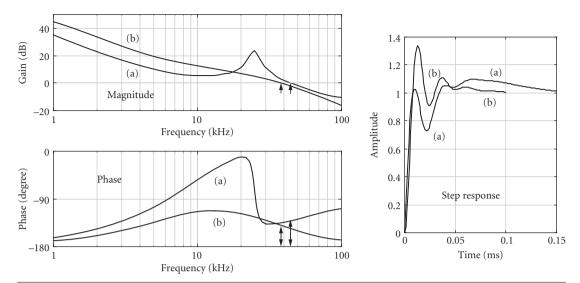
In fact, there are many ways of improving the low frequency gain of this system. They include: (1) imposing yet another high frequency roll-off (or multi-pole low pass filter) just before the resonance thus pushing its height down and allowing the open loop transfer function to come up, (2) adding lag compensators before the resonance to push the low frequency gain up while keeping the high frequency response relatively unchanged, (3) adding a lead compensator just above the resonance to advance the phase and increase the unity gain point, (4) or placing a notch at the resonant frequency to "cut it out" of the open loop transfer function. The last two options in this list are quite promising and are discussed in more detail below.

Proportional Integral Derivative (PID) Controller versus Notch Filters Like many "absolute" barriers, it is readily possible to shoot ahead and operate with a larger closed loop bandwidth than that represented by the first PZT resonance. The issue is that we must control the lagging phase that the resonance introduces. A good solution is a differentiator stage, or a phase lead compensator, which could also be called a high frequency boost/gain-step circuit. In Fig. 6 case (a) we show the Bode plot of our PZT-implemented laser frequency servo, based on a PID (Proportional Integral Differentiator) controller design. Just a few moments of design pay a huge benefit, as the unity gain frequency has now been pushed to 40 kHz, almost a factor of 2 above the PZT's mechanical resonance. For this PID controller example, unity gain occurs at a 7-fold increased frequency compared with Fig. 5 case (b). Thus at the lower frequencies we would hope to have increased the servo gain by a useful factor of 7x or 17 dB. However, comparison of Fig. 5 (b) and 6 (a) shows that the low frequency gain is hardly changed, even though we greatly increased the servo bandwidth.

So, how do we go forward? We could in principle continue to increase the gain and unity gain frequency, but this is not really practical, however, since we will again be limited by additional structure resonances that exist beyond the first resonance. Also, the Derivatives needed to tame these resonances cost low frequency gain, and it is hard to win overall system performance. To make



**FIGURE 6** Two methods of working through and beyond a resonance. (a) PID controller where the Derivative term advances the phase near the resonance. (b) Adding a notch is a better approach, where the notch function approximates the inverse of the resonance peak. Transient response settles much more quickly. Again, we use the small arrows in the graph to indicate the phase margin at the unity gain frequency.



**FIGURE 7** Adding an additional PI stage to (a) the PID and (b) the PI-plus-notch stabilizers of Fig. 6. Note that low frequency gain is strongly increased.

progress, we use a notch as an alternative technique to suppress the resonance. Now a D term is not needed, and we can conserve the gain at low frequencies. The notch filter, combined with a PI stage, gives unity gain at higher frequencies, and increases gain for low ones. See Fig. 6 case (b). Then Fig. 7 compares adding another PI stage to the two cases of Fig. 6, adding a PID in (a) and a Notch plus PI in (b). The time-domain approach, shown in Fig. 7, shows case (b) settles rather nicely. *And* the gain has increased more than 20 dB at frequencies of 1 kHz and below. So this is very encouraging.

While we have come to the cascaded-integrators approach cautiously in this discussion, in fact at least 2 integrators would always be used in practice. Workers with serious gain requirements, for example, the LIGO and VIRGO interferometric gravitational wave detector groups, may use the equivalent of 4 cascaded integrators! Such a design is "conditionally stable" only, meaning that the gain cannot be smoothly reduced or increased. Such aggressive stabilizer designs have their place, but not for a first design!

"Rule of Thumb" PID Design for System with a Transducer Resonance Optimizing servo performance is an elegant art, turned into science by specification of our "cost function" for the system performance shortcomings. In the case that we wish to minimize the time-integrated magnitude of the residuals following a disturbance, one comes to the case studied by Ziegler and Nichols for the PID controller used in a system with a combined roll-off and time delay. Such a case occurs also in thermal controllers. With only the P term, one first looks for the frequency  $f_{\rm osc}$  where the system first oscillates when the gain is increased. The PD corner is then set  $1.27 \times$  higher than this  $f_{\rm osc}$ , the P gain is reset at 0.6 of the oscillation gain, and the PI corner is set at 0.318 times the oscillation frequency. This "rule of thumb" design of the phase compensation produces a transient response which settles reasonably well, so as to minimize the Time Integrated Error. For phase-locking lasers, a cost function with more emphasis on long-lasting errors leads to another kind of "optimum" tuning, but with qualitatively similar results.

When a notch is used to suppress the resonance, there is no longer an anomalous gain at the resonant frequency and one is returned to the same case as in its absence. A reasonable servo approach to using two PI stages is to design with only one, achieving the desired unity gain frequency. The second PI is then added to have its corner frequency at this same point or up to 10-fold lower in frequency, depending on whether we wish the most smooth settling or need the highest feasible low frequency gain. Figures 6*b* and 7 show the Bode plot of such designs, along with the system's

closed-loop transient response. An elegant strategy is to use adaptive clamping to softly turn on the extra integrator stage when the error is small enough, thus dynamically increasing the order of the controller when it will not compromise the dynamics of recovery.

#### 22.3 PRACTICAL ISSUES

Here we offer a number of important tidbits that are useful background material for a successful application of the grand schemes discussed above.

## Frequency Discriminators for Laser Locking—Overview

So far we have devoted our main effort to addressing the issues of the feedback scheme. Of equal importance is the subject of frequency reference system. After all, a good servo eliminates intrinsic noises of the plant (laser), and replaces them with the measurement noise associated with the reference system. Indeed, development of prudent strategies in high precision spectroscopy and the progress of laser stabilization have been intimately connected to each other through the years, <sup>10</sup> with the vigorous pursuit of resolution and sensitivity resulting in amazing achievements in both fields.

To stabilize a laser, one often employs some kind of resonance information to derive a frequency/ phase-dependent discrimination signal. The resonance can be of material origin, such as modes of an optical interferometer; or of natural origin, such as atomic or molecular transitions. If the desired use of a stabilized laser is to be an optical frequency standard, its long-term stability or reproducibility will be key, so the use of a natural resonance is preferred. Reproducibility is a measure of the degree to which a standard repeats itself from unit to unit and upon different occasions of operation. The ultimate reproducibility is limited to the accuracy of our knowledge of the involved transitions of free atoms or molecules. The term "free" means the resonance under study has a minimum dependence on the laboratory conditions, such as the particle moving frame (velocity), electromagnetic fields, collisions, and other perturbations. To realize these goals, modern spectroscopy has entered the realm of quantum-limited measurement sensitivities and exquisite control of internal and external degrees of freedom of atomic motions.

A careful selection of a high-quality resonance can lead to superior system performance and high working efficiency. For example, the combined product of the transition quality factor Q and the potential signal-to-noise ratio (S/N) is a major deciding factor, since this quantity controls the time scale within which a certain measurement precision (fractional frequency) can be obtained. This importance is even more obvious when one considers the waiting time for a systematic study is proportional to the inverse square of  $(Q \times S/N)$ . A narrower transition linewidth of course also helps to reduce the susceptibility to systematic errors. The resonance line shape is another important aspect to explore. By studying the line shape we will find out whether we have come to a complete understanding of the involved transition and whether there are other unresolved small lines nearby ready to spoil our stabilization system.

Sometimes it may not be sufficient to use the natural resonance alone for stabilization work, or may not be necessary. The saturation aspect of the atomic transition limits the attainable S/N. To stabilize a noisy laser we need to use, for example, an optical resonator, which can provide a high-contrast and basically unlimited S/N of the resonance information. Careful study of the design and control of the material properties can bring the stability of material reference to a satisfactory level. See below for a more detailed discussion on this topic.

Ideally, a resonance line shape is even symmetric with respect to the center frequency of the resonance, and deviations from this ideal case will lead to frequency offsets. However, for the purpose of feedback, the resonance information needs to be converted to an odd symmetric discriminator shape: we need to know in which direction the laser is running away from the resonance. A straightforward realization of an error signal using direct absorption technique is to have the laser tuned to the side of resonance. The slope of the line is used to convert the laser frequency noise to amplitude information

for the servo loop. This technique is essentially a DC approach and can suffer a huge loss in S/N due to the low-frequency amplitude noise of the laser. A differential measurement technique using dual beams is a requirement if one wishes to establish a somewhat stable operation. With a dual beam approach, the information about the laser noise can be measured twice and therefore it is possible to completely eliminate the technical noise and approach the fundamental limit of shot noise using clever designs of optoelectronic receivers. Conventional dual beam detection systems use delicate optical balancing schemes, <sup>12</sup> which are often limited by the noise and drift of beam intensities, residual interference fringes, drift in amplifiers, and spatial inhomogenity in the detectors. Electronic auto-cancellation of the photodetector currents has provided near shot noise-limited performance.<sup>13</sup> Although this process of input normalization helps to increase S/N of the resonance, the limitation on the locking dynamic range remains a problem. The servo loop simply gets lost when the laser is tuned to the tail or over the top of the resonance. Further, it is found that transient response errors basically limit the servo bandwidth to be within the cavity linewidth.<sup>14</sup> Another effective remedy to the DC measurement of resonances is the use of zero-background detection techniques, for example, polarization spectroscopy, <sup>15,16</sup> In polarization spectroscopy the resonance information is encoded in the differential phase shifts between two orthogonally polarized light beams. Heterodyne detection between the two beams can reveal an extremely small level of absorption-induced polarization changes of light, significantly improving the detection sensitivity. However, any practical polarizer has a finite extinction ratio  $(\varepsilon)$  which limits the attainable sensitivity. Polarization spectroscopy reduces the technical noise level by a factor of  $\sqrt{\varepsilon}$ , with  $\varepsilon \sim 10^{-7}$  for a good polarizer. Polarization techniques do suffer the problem of long-term drifts associated with polarizing optics.

Modulation techniques are of course often used to extract weak signals from a noisy background. Usually noises of technical origins tend to be more prominent in the low frequency range. Small resonance information can then be encoded into a high-frequency region where both the source and the detector possess relatively small noise amplitudes. Various modulation schemes allow one to compare on-resonant and off-resonant cases in quick succession. Subsequent demodulations (lockin detection) then simultaneously obtain and subtract these two cases, hence generating a signal channel with no output unless there is a resonance. Lorentzian signal recovery with the frequency modulation method has been well documented.<sup>17</sup> The associated lock-in detection can provide the first, second, and third derivative type of output signals. The accuracy of the modulation waveform can be tested and various electronic filters can be employed to minimize nonlinear mixing among different harmonic channels and excellent accuracy is possible. In fact, the well-established 633-nm HeNe laser system<sup>18</sup> is stabilized on molecular iodine transitions using this frequency dither technique and third harmonic (derivative) signal recovery. Demodulation at the third or higher order harmonics helps to reduce the influence of other broad background features.<sup>19</sup> The shortcoming of the existence of dither on the output beam can be readily cured with an externally implemented "un-dithering" device based on an AOM.<sup>20</sup> However, in this type of modulation spectroscopy the modulation frequency is often chosen to be relatively low to avoid distortions on the spectral profile by the auxiliary resonances associated with modulation-induced spectral sidebands. An equivalent statement is that the line is distorted because it cannot reach an equilibrium steady state in the face of the rapidly tuning excitation. This low-frequency operation (either intensity chopping or derivative line shape recovery) usually is still partly contaminated by the technical noise and the achievable signal-to-noise ratio (S/N) is thereby limited. To recover the optimum signal size, large modulation amplitudes (comparable to the resonance width) are also employed, leading to a broadened spectral linewidth. Therefore the intrinsic line shape is modified by this signal recovery process and the direct experimental resolution is compromised.

A different modulation technique was later proposed and developed in the microwave magnetic resonance spectroscopy and similarly in the optical domain. <sup>21–23</sup> The probing field is phase-modulated at a frequency much larger than the resonance linewidth under study. When received by a square-law photodiode, the pure FM signal will generate no photocurrent at the modulation frequency unless a resonance feature is present to upset the FM balance. Subsequent heterodyne and rf phase-sensitive detection yield the desired signal. The high sensitivity associated with the FM spectroscopy is mainly due to its high modulation frequency, usually chosen to lie in a spectral region where the amplitude noise level of the laser source approaches the quantum (shot noise) limit. The redistribution of some of the carrier

power to its FM sidebands causes only a slight penalty in the recovered signal size. Another advantage of FM spectroscopy is the absence of linewidth broadening associated with low-frequency modulation processes. The wide-spread FM spectra allows each individual component to interact with the spectral features of interest and thereby preserves the ultrahigh resolution capability of contemporary narrow-linewidth lasers.

Since its invention, FM spectroscopy has established itself as one of the most powerful spectroscopic techniques available for high-sensitivity, high-resolution, and high-speed detection. The high bandwidth associated with the radio frequency (rf) modulation enables rapid signal recovery, leading to a high Nyquist sampling rate necessary for a high-bandwidth servo loop. The technique has become very popular in nonlinear laser spectroscopy,<sup>24</sup> including optical heterodyne saturation spectroscopy,<sup>23</sup> two-photon spectroscopy,<sup>25</sup> Raman spectroscopy,<sup>26</sup> and heterodyne four-wave mixing.<sup>27</sup> Recent developments with tunable diode lasers have made the FM technique simpler and more accessible. The field of FM-based laser diode detection of trace gas and remote sensing is rapidly growing. In terms of laser frequency stabilization, the rf sideband based Pound-Drever-Hall locking technique<sup>28</sup> has become a uniformly adopted fast stabilization scheme in the laser community. The resonance-based error signal in a high-speed operating regime is shown to correspond to the instantaneous phase fluctuations of the laser, with the atom or optical cavity serving the purpose of holding the phase reference. Therefore a properly designed servo loop avoids the response time of the optical phase/frequency storage apparatus and is limited only by the response of frequency-correcting transducers.

In practice some systematic effects exist to limit the ultimate FM sensitivity and the resulting accuracy and stability. Spurious noise sources include residual amplitude modulation (RAM), excess laser noise, and étalon fringes in the optical system.<sup>29</sup> A number of techniques have been developed to overcome these problems. In many cases FM sidebands are generated with electro-optic modulators (EOM). A careful design of EOM should minimize the stress on the crystal and the interference between the two end surfaces (using angled incidence or antireflection coatings). Temperature control of the EOM crystal is also important and has been shown to suppress the long-term variation of RAM.<sup>30</sup> The RAM can also be reduced in a faster loop using an amplitude stabilizer<sup>31</sup> or a tuning filter cavity.<sup>32</sup> The étalon fringe effect can be minimized by various optical or electronic means.<sup>33</sup> An additional low-frequency modulation (two-tone FM<sup>34</sup>) can be used to reduce drifts and interference of the demodulated baseline.

In closing this section, we note that a laser is not always stabilized to a resonance but is sometimes referenced to another optical oscillator.<sup>35</sup> Of course the working principle does not change: one still compares the frequency/phase of the laser with that of reference. The technique for acquiring the error information is however more straightforward, often with a direct heterodyne detection of the two superposed waveforms on a fast photo detector. The meaning of the fast photo detector can be quite extensive, sometimes referring to a whole table-top system that provides THz-wide frequency gap measurement capabilities.<sup>36–38</sup> Since it is the phase information that is detected and corrected, an optical phase locked loop usually provides a tight phase coherence between two laser sources. This is attractive in many measurement applications where the relative change of optical phase is monitored to achieve a high degree of precision. Other applications include phase-tracked master-slave laser systems where independent efforts can be made to optimize laser power, tunability and intrinsic noise.

#### The Optical Cavity-Based Frequency Discriminator

It is difficult to have both sensitive frequency discrimination and short time delay, unless one uses the reflection mode of operation: these issues have been discussed carefully elsewhere. With ordinary commercial mirrors, we can have a cavity linewidth of 1 MHz, with a contrast C above 50 percent. We can suppose using 200  $\mu$ W optical power for the rf sideband optical frequency discriminator, leading to a dc photo current  $i_0$  of ~100  $\mu$ A and a signal current of ~25  $\mu$ A. The shot noise of the dc current is  $i_n = \sqrt{2ei_0}$  in a 1-Hz bandwidth, leading to an S/N of ~4 × 10<sup>6</sup>. The frequency noise-equivalent would then be 250 milliHertz/ $\sqrt{\text{Hz}}$ . If we manage to design enough useful gain in the controller to suppress

the laser's intrinsic noise below this level, the laser output frequency spectrum would be characterized by this power spectral density. Under these circumstances, according to the earlier discussion in the Introduction and Overview Section, the output spectrum would be Lorentzian, of width  $\Delta v_{\text{FWHM}} = \pi S_f = \pi \, (0.25 \, \text{Hz})^2/\text{Hz} \sim 0.8 \, \text{Hz}$ . One comes to impressive predictions in this business! But usually the results are less impressive.

What goes wrong? From measurements of the servo error, we can see that the electronic lock is very tight indeed. However, the main problem is that vibrations affect the optical reference cavity's length and hence its frequency. For example measurements show the JILA Quiet Room floor has a seismic noise spectrum which can be approximated by  $4 \times 10^{-9}$  m rms/ $\sqrt{\text{Hz}}$  from below 1 Hz to about 20 Hz, breaking there to an  $f^{-2}$  roll-off. Below 1 Hz the displacement noise climbs as  $f^{-3}$ . Horizontal and vertical vibration spectra are similar. Accelerations associated with these motions lead to forces on the reference cavity that will induce mechanical distortion and hence frequency shifts. To estimate the resulting frequency shift, simple approximate analysis leads to a dynamic fractional modulation of the cavity length l by the (colinear) acceleration a, as

$$\frac{\Delta l}{l}\Big|_{\text{axial}} = -\frac{\Delta f}{f} = \frac{a\rho l\varepsilon}{2Y} \tag{6}$$

where  $Y \sim 70$  GPa is the Young's modulus and  $\rho \sim 2.2$  gm/cm³ is the density for the ULE (or Zerodur) spacer. The factor  $\varepsilon$  ( $-1 < \varepsilon < 1$ ) is a geometrical design factor. For example, suppose the cavity is hanging vertically, suspended from the top. Then the cavity is stretched by its weight, and  $\varepsilon = 1$ . Using l = 10 cm and a = 1 g, we expect  $\Delta l/l = -\Delta f/f \sim 1.5 \times 10^{-8} \rightarrow \sim 8.7$  MHz/g, supposing  $\lambda = 532$  nm. (This is equivalent to 885 kHz/ms².) If the cavity were vertical, but supported from below, it would be in compression and  $\varepsilon = -1$ . Evidently there is an interesting regime in which the cavity is supported near its middle height, where there will be a strong cancelation of the net vertical length change. We return below to this case where  $\varepsilon \sim 0$ .

First, let us suppose our reference cavity bar is uniformly supported horizontally from a flat horizontal surface. Even in this transverse case, vertically accelerating the interferometer produces length changes through the distortion coupling between the transverse compression and lengthwise extension, the effect of "extrusion of the toothpaste." So the longitudinal displacement of Eq. (6) is reduced by this Poisson ratio  $\sigma = 0.17$ . Also the vertical weight now comes from the cavity's height, which is now really the spacer's diameter  $\varphi$ , typically about 5-fold less than the length. So we have

$$\frac{\Delta l}{l}\Big|_{\text{transverse}} = \frac{a\varphi\rho\sigma}{2V} \tag{7}$$

We come to a predicted sensitivity then of  $\sim$ 300 kHz/g for vertically applied uniform force (equivalent to 30 kHz/ms<sup>-2</sup>).

Some important things have been so far left out of this discussion. For one, to make a stable reference cavity the details of the mounting and cavity support can be very important, since the expansion coefficient of the metal vacuum envelope is likely three orders of magnitude greater than that of ULE near its critical temperature-stable point. To prevent the vacuum shell's dimensional expansion from causing stresses in the cavity, it makes sense to use a pendulum suspension of the cavity. With two loops around the horizontal bar, forming a dual pendulum suspension, the cavity motion is mainly restricted to the axial direction, and the horizontal acceleration forces at high frequency are filtered down. Now we have the question:

What should be the spacing B between the two suspension loops? Put them close together and the expansion of the metal outer shell has even less impact on the cavity length. But the cavity rod (or bar, or tube) now takes on a stronger static bend, which shortens the cavity and the resulting cross-term in the cosine projection leads to a first-order length response with vertical acceleration noise. Furthermore, the bending-induced misalignment of the cavity mirrors means the intracavity resonant mode will displace laterally across the mirror surface to again have the optimal standing-wave buildup. Certainly the mirrors are rather nicely polished on their surfaces, but at least one is

a curved surface. So with our greedy dream of  $10^{-15}$  frequency stability, wiping the beam vertically across the curvature will introduce disastrous optical length changes.

What about a wider spacing of the supports? Luckily for us the "two-point suspension problem" was addressed by G. B. Airy in the nineteenth century. He established that a support-spacing-to-length ratio of B/L = 0.577 was an ideal design for such a suspension, as it restored the parallelism of the two end faces of the measurement bar. A series of JILA experiments explored this domain.<sup>39</sup> These showed a vertical acceleration sensitivity of the horizontally suspended bar of 2200 kHz/ms<sup>-2</sup> at B/L = 0.11, reduced to 150 kHz/ms<sup>-2</sup> at the Airy spacing B/L = 0.577. Our "theory" in Eq. (7) doesn't consider static bending of the bar, but would lead to 90 kHz/ms<sup>-2</sup> if scaled for the  $5.7 \times 7.1 \times 27.7$  cm dimensions of our cavity's spacer-bar (suspended with the 5.1-cm direction vertical). Regrettably, the sign of the vibration-induced response was not determined: cavity bending shortens the optical path, while vertical squeezing would lengthen it.

Integrating the acceleration produced by the mid-band floor vibration spectrum quoted above leads to a broadband noise of a few dozen hertz in both H and V planes. Left out however is the 1 milli-"g" vibration near 30 Hz due to ac motors in JILA (Pepsi refrigerators!). So we should have a vibration-induced linewidth of something like 1/2 kHz, which correlates adequately well with experience. Passive air-table antivibration measures suppress this vibration (acceleration) spectrum to ~2 × 10<sup>-6</sup> ms<sup>-2</sup>/VHz, again roughly flat over 2 to 20 Hz band by filtering the floor's vibrational noise above ~2 Hz Fourier frequency. The calculated Fourier frequency at which the phase modulation processes have removed 1/2 the laser carrier power (approximate half-linewidth of the locked laser) is ~1 Hz, but nonmodeled noise led to experimental values more like 5 Hz. Elegant passive vibration-damping suspensions at NIST have led to record-level subhertz cavity-locked laser linewidths.<sup>40</sup> It has been suggested that much of the remaining noise is associated with thermal mechanical displacement noise in the mirror coatings.<sup>41</sup> Later measurements confirmed that the thermal noise was indeed the dominant source limiting the laser linewidth.<sup>42</sup>

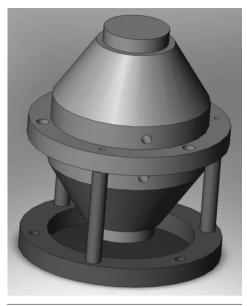
Returning to the cavity-mounting problem, we introduced the symmetry factor  $\varepsilon$  in the axial direction, because it is clear that holding the cavity in the midplane seems wise. Then the acceleration-induced net length change would tend be cancelled: one half of the length is under compression, the other half is under tension at a particular moment in the ac vibration cycle. We denote this cancellation by symmetry as  $\varepsilon$ , with  $-1 \le \varepsilon \le 1$ . Some experiments were made with short vertically mounted cavities.<sup>43</sup> The hand-assembly of the central disk limited the observed asymmetry value for our vertical mountings to a ~20-fold reduction of the vibration sensitivity ( $\varepsilon \ge 0.05$ ), to about ~10 kHz/ms<sup>-2</sup>, measured at the Nd fundamental wavelength. It was directly possible to observe subhertz laser beats! A computer design<sup>44</sup> for a more optimal cavity is shown as Fig. 8.

## Quantum Resonance Absorption<sup>45</sup>

Establishing a long-term stable optical frequency standard requires a natural reference of atomic or molecular origin. Historically, the use of atomic/molecular transitions was limited to those that had accidental overlap with some fixed laser wavelengths. With the advent of tunable lasers, research on quantum absorbers has flourished. A stabilized laser achieves fractional frequency stability

$$\frac{\delta v}{v} = \frac{1}{Q} \frac{1}{S/N} \frac{1}{\sqrt{\tau}}$$

where Q is the quality factor of the transition involved, S/N is the recovered signal-to-noise ratio of the resonance information, and  $\tau$  is the averaging time. Clearly one wishes to explore the limits on both resolution and sensitivity of the detected signal. The nonlinear nature of a quantum absorber, while on one hand limiting the attainable S/N, permits sub-Doppler resolutions. With sensitive techniques such as FM-based signal modulation and recovery, one is able to split a MHz scale linewidth by a factor of  $10^4$  to  $10^5$ , at an averaging time of 1 s or so. Sub-Hertz long-term stability can be achieved with carefully designed optical systems where residual effects on baseline stability are minimized. However, a pressing question is: How accurate is our knowledge of the center of the resonance? Collisions, electromagnetic fringe fields, probe field wavefront curvature, and probe



**FIGURE 8.** Computer model of vibration-resistant optical reference cavity.

power can all bring undesired linewidth broadening and center shifts. Distortion in the modulation process and other physical interactions can produce asymmetry in the recovered signal line shape. These issues will have to be addressed carefully before one can be comfortable talking about accuracy. A more fundamental issue related to time dilation of the reference system (second-order Doppler effect) can be solved in a controlled fashion: one simply knows the sample velocity accurately (e.g., by velocity selective Raman process), or the velocity is brought down to a negligible level using cooling and trapping techniques.

The simultaneous use of quantum absorbers and an optical cavity offers an attractive laser stabilization system. On one hand, a laser prestabilized by a cavity offers a long phase-coherence time, reducing the need of frequent interrogations of the quantum absorber. In other words, information of the atomic transition can be recovered with an enhanced S/N and the long averaging time translates into a finer examination of the true line center. On the other hand, the quantum absorber's resonance basically eliminates inevitable drifts associated with material standards. The frequency offset between the cavity mode and atomic resonance can be bridged by an AOM. In this case the cavity can be made of totally passive elements: mirrors are optically contacted to a spacer made of ultralow expansion material such as ULE or Zerodur. In case that the cavity needs to be made somewhat tunable, an intracavity Brewster plate driven by Galvo or a mirror mounted on PZT is often employed. Of course, these mechanical parts bring additional thermal and vibrational sensitivities to the cavity, along with nonlinearity and hysteresis. Temperature tuning of a resonator is potentially less noisy but slow. Other tuning techniques also exist, for example, through the use of magnetic force or pressure (change of intracavity refractive index or change of cavity dimension by external pressure). An often-used powerful technique called frequency-offset-locking brings the precision rf tuning capability to the optical world.<sup>14</sup>

#### **Transducers**

*PZT Transducer Design: Disk versus Tube Designs* We will usually encounter the mechanical resonance problem in any servo based on a PZT transducer: Small mirrors clearly are nice as they can have higher resonance frequencies. A mirror, say 7.75 mm  $\Phi \times 4$  mm high, might be waxed onto a

PZT disk 10 mm diameter  $\times$  0.5 mm thick. The PZT, in turn, is epoxied onto a serious backing plate. This needs to be massive and stiff, since the PZT element will produce a differential force between the mirror and the backing plate. At short times there will be a "reduced mass" kind of splitting of the motion between the mirror and the support plate. At lower frequencies, one hates to get a lot of energy coupled into the mirror mount since it will have a wealth of resonances in the sub-kHz range. For this size mirror, the backing plate might be stainless steel, 1 inch diameter by  $\sim$ 3/4 inch wedged thickness, and with the PZT deliberately decentered to break down high Q modes. The piston mode will be at  $\sim$ 75 kHz.

Tubular PZT Design Often it is convenient to use a tubular form of PZT, with the electric field applied radially across a thin wall of thickness t. This gives length expansion also, transverse to the field using a weaker  $d_{31}$  coefficient, but wins a big geometric factor in that the transverse field is generating a length response along the entire tube height h. The PZT tube could be 1/2 inch diameter by 1/2 inch length, with a wall thickness t = 1.25 mm. This geometry leads to a ~7-fold sensitivity win, when  $d_{31} \approx 0.7 d_{33}$  is included. Typical dimensions for the mirror might be 12.5 mm diameter × 7 mm high. The PZT tube also is epoxied onto a serious backing plate. For the high voltage isolation of the PZT electrodes at the tube ends, a thin sheet (say < 0.5 mm) of stiff ceramic, alumina for example, will suffice. An alternative way to provide the electrical isolation of the ends involves removing the silver electrodes for several millimeters at the end. A new technique uses a diamond-charged tubular core drill mounted into a collet in a lathe. The active tool face projects out only 2 mm so that handheld PZT grinding leads to clean electrode removal, inside and out. This end of the PZT tube is attached to the backing mass with strong epoxy. The mirror is attached to the open PZT tube end with melted wax. This is vastly better than epoxy in that it does not warp the optic, and the small energy dissipation occurs at the best place to damp the Q of the PZT assembly. If done well, this unit will have its first longitudinal resonance at about 25 kHz, with a  $Q \sim 10$ . As noted above, in servo terms, the actual mechanical PZT unit gives an added 2-pole roll-off above the resonance frequency and a corresponding asymptotic phase lag of 180°. So it is useful to design for high resonant frequency and low Q.

Comparing disk and tubular designs, the disk approach can have a three-fold higher resonance frequency, while the tubular design is ~7-fold more sensitive. Perhaps more important is the tube's reduced stiffness, moving the PZT/mirror resonance down into the 20-kHz domain. This brings us to the subject of spectral shaping of the amplifier gain and limitations of servo performance due to electronic issues.

Amplifier Strategies for PZT Driver We enjoy the tubular PZT for its large response per volt and its relatively high resonance frequencies. But it gives a problem in having a large capacitance, for example, of 10 nf in the above design. Even with the high sensitivity of 70 V/order, achieving a tight lock requires high frequency corrections and can lead to a problem in supplying the necessary ac current, supposing that we ask the HV amplifier alone to do the job. An apparent answer is to use a pair of amplifiers, one fast and the other HV, separately driving the two sides of the PZT. This alone doesn't solve the problem, as the big high-frequency ac current is only returned via the HV amplifier. The answer is to use a crossover network on the HV amplifier side. A capacitor to ground, of perhaps 3- or 5-fold larger value than the PZT will adequately dump the fast currents coming through the PZT's capacitance. A resistor to this PZT/shunt capacitor junction can go to the HV amplifier. Now this HV amp has indeed more capacitance to drive, but is only needed to be active below a few hundred hertz where the current demand becomes reasonable. An alternative topology sums the two inputs on one side of the PZT.

Other Useful Transducers—Slow but Powerful Commercial multiple wafer designs utilize 100 or more thin PZT sheets mechanically in series and electrically in parallel to produce huge excursions such as 10  $\mu$ m for 100 V. Of course the capacitance is ~0.1  $\mu$ F and the stability leaves something to be desired. These are useful for applications that can tolerate some hysteresis and drift, such as grating angle tuning in a diode laser. When a large dynamic range is needed to accommodate wide tuning range or to correct for extensive laser frequency drifts at low frequencies, a galvo-driven Brewster

plate can be used inside the optical cavity. Typically a Brewster plate inflicts an insertion loss less than 0.1 percent if its angular tuning range is limited within  $\pm 4^{\circ}$ . Walk-off of the optical beam by the tuning plate can be compensated with a double-passing arrangement or using dual plates. In the JILA-designed Ti:Sapphire laser, we use the combination of PZT and Brewster plate for the long-term frequency stabilization. The correction signal applied to the laser PZT is integrated and then fed to the Brewster plate to prevent saturation of the PZT channel. At higher frequencies we use much faster transducers, such as AOM and EOM, which are discussed below.

Temperature control of course offers the most universal means to control long-term drifts. Unfortunately the time constant associated with thermal diffusion is usually slow and therefore the loop bandwidth of thermal control is mostly limited to Hertz scale. However, thoughtful designs can sometimes push this limit to a much higher value. For example, a Kapton thin-film heater tape wrapped around the HeNe plasma tube has produced a thermal control unity gain bandwidth in excess of 100 Hz. The transducer response is reasonably modeled as an integrator above 0.3 Hz and excessive phase shifts associated with the thermal diffusion does not become a serious issue until ~200 Hz. This transfer function of the transducer can be easily compensated with an electronic PI filter to produce the desired servo loop response. Radiant heating of a glass tube by incandescent lamps has achieved a time delay <30 ms and has also been used successfully for frequency control of HeNe lasers. If a bipolar thermal control is needed, Peltier-based solid state heat pumps (thermoelectric coolers) are available and can achieve temperature differences up to 70°C, or can transfer heat at a rate of 125 W, given a proper configuration of heat sinking. Parallel use of these Peltier devices results in a greater amount of heat transfer while a cascaded configuration achieves a larger temperature difference.

Combining various servo transducers in a single feedback loop requires thorough understanding of each actuator, their gains and phase shifts, and the overall loop filter function one intends to construct. Clearly, to have an attractive servo response in the time domain, the frequency transfer functions of various gain elements need to crossover each other smoothly. A slow actuator may have some resonance features in some low-frequency domain, hence the servo action needs to be relegated to a faster transducer at frequency ranges beyond those resonances. The roll-off of the slow transducer gain at high-frequencies needs to be steep enough, so that the overall loop gain can be raised without exciting the associated resonance. On the other hand, the high-frequency channel typically does not have as large a dynamic range as the slow ones. So one has to pay attention not to overload the fast channel. Again, a steep filter slope is needed to rapidly relinquish the gain of the fast channel toward the low-frequency range. However, we stress here that the phase difference between the two channels at the crossover point needs to be maintained at less than 90°. In the end, predetermined gains and phase shifts will be assigned to each transducer so that the combined filter function resembles a smooth single channel design. Some of these issues will be addressed briefly in the section below on example designs.

Servo Design in the Face of Time Delay: Additional Transducers Are Useful As one wishes for higher servo gain, with stability, it means a higher closed-loop bandwidth must be employed. Eventually the gain is sufficiently large that the intrinsic laser noise, divided by this gain, has become less than the measurement noise involved in obtaining the servo error signal. This should be sufficient gain. However, it may not be usable in a closed-loop scenario, due to excessive time delay. If we have a time delay of  $t_{\rm delay}$  around the loop from an injection to the first receipt of correction information, a consideration of the input and response as vectors will make it clear that no real servo noise suppression can occur unless the phase of the response at least approximates that required to subtract from the injected error input to reduce its magnitude on the next cycle through the system. A radian of phase error would correspond to a unity-gain frequency of  $1/(2\pi t_{\rm delay})$ , and we find this to be basically the upper useful limit of servo bandwidth. One finds that to correct a diode laser or dye laser to leave residual phase errors of 0.1 rad, it takes about 2 MHz servo bandwidth. This means a loop delay time, at the absolute maximum, of  $t_{\rm delay} = 1/2\pi$  2MHz = 80 ns. Since several amplifier stages will be in this rf and servo-domain control amplifier chain, the individual bandwidths need to be substantially beyond the 12 MHz naively implied by the delay spec. In particular rf modulation frequencies need to be unexpectedly large, 20 MHz at least, and octave rf bandwidths need to

be utilized, considering that the modulation content can only be 1/2 the bandwidth. Suppression of even-order signals before detection is done with narrow resonant rf notches.

Of course a PZT transducer will not be rated in the nanosecond regime of time delay. Rather, one can employ an AOM driven by a fast-acting Voltage-Controlled Oscillator to provide a frequency shift. Unfortunately the acoustic time delay from the ultrasonic transducer to the optical interaction seems always to be 400 ns, and more if we are dealing with a very intense laser beam and wish to avoid damage to the delicate AO transducer. The AOM approach works well with diode-pumped solid state lasers, where the bandwidth of major perturbations might be only 20 kHz. By double-passing the AOM the intrinsic angular deflection is suppressed. Usually the AOM prefers linear polarization. To aid separation of the return beam on the input side, a spatial offset can be provided with a collimating lens and roof prism, or with a cat's-eye retroreflector. Amplitude modulation or leveling can also be provided with the AOM's dependence on rf drive, but it is difficult to produce a beam still at the shot noise level after the AOM.

The final solution is an *EOM phase modulator*. In the external beam, this device will produce a phase shift per volt, rather than a frequency shift. So we will need to integrate the control input to generate a rate of change signal to provide to the EOM, in order to have a frequency relationship with the control input. <sup>48</sup> Evidently this will bring the dual problems of voltage saturation when the output becomes too large, and a related problem, the difficulty of combining fast low-delay response with high-voltage capability. The standard answer to this dilemma was indicated in our PZT section, namely, one applies fast signals and high-voltage signals independently, taking advantage of the fact that the needed control effort at high frequencies tends to cover only a small range. So fast low-voltage amplifier devices are completely adequate, particularly if one multipasses the EOM crystal several times. A full discussion of the crossover issues and driver circuits will be prepared for another publication.

## Representative/Example Designs

Diode-Pumped Solid State Laser Diode-pumped solid state lasers are viewed as the most promising coherent light sources in diverse applications, such as communications, remote detection, and high precision spectroscopy. The diode-pumped Nd:YAG laser is probably the most highly developed of the rapidly expanding universe of diode-pumped solid state lasers, and it has enjoyed continuous improvements in its energy efficiency, size, lifetime, and intrinsic noise levels. The laser's free-running linewidth of ~10 kHz makes it a straightforward task to stabilize the laser via an optical cavity or an optical phase locked loop. In our initial attempt to stabilize the laser on a high finesse  $(F \sim 100,000, \text{linewidth} \sim 3 \text{ kHz})$  cavity, we employ an external AOM along with the laser internal PZT which is bonded directly on the laser crystal. The frequency discrimination signal between the laser and cavity is obtained with 4-MHz FM sidebands detected in cavity reflection. The PZT corrects any slow but potentially large laser frequency noise. Using the PZT alone allows the laser to be locked on the cavity. However, the loop tends to oscillate around 15 kHz and the residual noise level is more than 100 times higher than that obtained with the help of an external AOM. The AOM is able to extend the servo bandwidth to ~150 kHz, limited by the propagation time delay of the acoustic wave inside the AOM crystal. The crossover frequency between the PZT and AOM is about 10 kHz. Such a system has allowed us to achieve a residual frequency noise spectral density of 20 mHz/ $\sqrt{\text{Hz}}$ . The laser's linewidth relative to cavity is thus a mere 1.3 mHz,<sup>49</sup> even though the noise spectral density is still 100 times higher than the shot noise. This same strategy of servo loop design has also been used to achieve a microradian level phase locking between two Nd:YAG lasers.<sup>50</sup>

It is also attractive to stabilize the laser directly on atomic/molecular transitions, given the low magnitude of the laser's intrinsic frequency noise. Of course the limited S/N of the recovered resonance information will not allow us to build speedy loops to clean off the laser's fast frequency/ phase noise. Rather we will use the laser PZT alone to guide the laser for a long-term stability. An example here is the  $1.064 \, \mu m$  radiation from the Nd:YAG, which is easily frequency doubled to 532 nm where strong absorption features of iodine molecules exist.<sup>51,52</sup> The doubling is furnished with a noncritical phase-matched KN<sub>b</sub>O<sub>3</sub> crystal located inside a buildup cavity.  $160 \, mW$  of green light

output is obtained from an input power of 250 mW of IR. Only mW levels of the green light are needed to probe the iodine saturated absorption signal. Low vapor pressure ( $\sim 0.5$  Pa) of the iodine cell is used to minimize the collision-induced pressure shift and to reduce the influence on baseline by the linear Doppler absorption background. The signal size decreases as the pressure is reduced. However, this effect is partly offset due to the reduced resonance linewidth (less pressure broadening) which helps to increase the slope of the frequency locking error signal. A lower pressure also helps to reduce power-related center frequency shifts since a lower power is needed for saturation. With our 1.2-m long cells, we have achieved an S/N of 120 in a 10-kHz bandwidth, using the modulation transfer spectroscopy,<sup>53</sup> (Modulation transfer is similar to FM except that we impose the frequency sideband on the saturating beam and rely on the nonlinear medium to transfer the modulation information to the probe beam which is then detected.) Normalized to 1-s averaging time, this S/N translates to the possibility of a residual frequency noise level of 10 Hz when the laser is locked on the molecular resonance, given the transition linewidth of 300 kHz. We have built two such iodinestabilized systems and the heterodyne beat between the two lasers permits systematic studies on each system and checks the reproducibility of the locking scheme.<sup>54</sup> With a 1 second counter gate time, we have recorded the beat frequency between the two lasers. The standard deviation of the beat frequency noise is ~20 Hz, corresponding to ~14-Hz rms noise per IR laser, basically a S/N limited performance. The beat record can be used to calculate the Allan standard deviation: starting at  $5 \times 10^{-14}$ at 1 second, decreasing with a slope of  $1/\sqrt{\tau}$  up to 100 second. ( $\tau$  is the averaging time.) After 100-s the deviations reach the flicker noise floor of  $\sim 5 \times 10^{-15}$ . At present, the accuracy of the system is limited by inadequate optical isolation in the spectrometer and the imperfect frequency modulation process (residual amplitude noise, RAM) used to recover the signal. This subject is under intense active study in our group.55

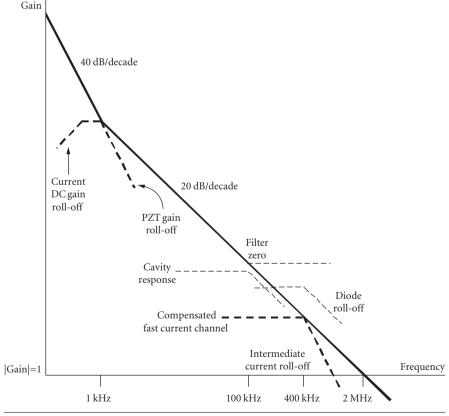
External Cavity Diode Lasers Diode lasers are compact, reliable, and coherent light sources for many different applications.<sup>56</sup> The linewidth of a free-running diode laser is limited by the fundamental spontaneous emission events, enhanced by the amplitude-phase coupling inside the gain medium. With a low-noise current driver, a typical milliwatt scale AlGaAs diode laser has a linewidth of several MHz. To reduce this fast frequency noise, one typically employs an external cavity formed between one of the diode laser facets and a grating (or an external mirror that retroreflects the firstorder grating diffraction).<sup>57–59</sup> This optical feedback mechanism suppresses the spontaneous emission noise, replaced by much slower fluctuations of mechanical origin. The linewidth of the gratingstabilized external cavity diode laser (ECDL) is usually between 100 kHz and 1 MHz, determined by the quality factor of the optical feedback. The ECDL also offers much better tuning characteristics compared against a solitary diode. To do such tuning, the external grating (or the mirror that feeds the grating-dispersed light back to the laser) is controlled by a PZT for scanning. Synchronous tuning of the grating dispersion and the external cavity mode can be achieved with a careful selection of the grating rotation axis position. Similarly, this PZT-controlled grating can be used to stabilize the frequency of an ECDL. However, owing to the low bandwidth limited by the mechanical resonance of PZT, a tight frequency servo is possible only through fast transducers such as the laser current or intracavity phase modulators.

This hybrid electro-optic feedback system is attractive, and ECDLs have been demonstrated to show hertz level stability under a servo bandwidth of the order of 1 MHz. For a solitary diode, feedback bandwidth of tens of megahertz would have been needed in order to bring the frequency noise down to the same level. However, considering that the optical feedback has a strong impact on the laser frequency noise spectrum, one finds the frequency response of the compound laser system is clearly dependent upon the optical alignment. Therefore, for each particular ECDL system, we need to measure the frequency response function of the laser under the optimally aligned condition. We are dealing with a multichannel feedback system (e.g., PZT plus current), so that designing smooth crossovers between different transducers requires knowledge of the transfer functions of each transducer. Normally the current-induced FM of a solitary diode has a flat response up to ~100 kHz, and then starts to roll off in the region between 100 kHz and 1 MHz, initially with a single-pole character. This is due to the time response of the current-induced thermal change of the refractive index inside the diode. (At a faster time scale, the carrier density variation will remain and then dominate

the laser frequency response.) Design of a fast feedback loop needs to take into account this intrinsic diode response. Fortunately the time delay associated with the current response is low, typically below 10 ns.

In our example system, the frequency discrimination signal of the ECDL is obtained from a  $100 \, \text{kHz}$  linewidth cavity with a sampling frequency of 25 MHz. The error signal is divided into three paths: PZT, current modulation through the driver, and direct current feedback to the diode head. The composite loop filter function is shown in Fig. 9. The crossover between the slow current channel and the PZT usually occurs around 1 kHz, in order to avoid the mechanical resonance of the PZT at a few kHz. In our system, the frequency response of the PZT/grating is  $10 \, \text{GHz/V}$ . To furnish this inloop gain of  $\sim 1000 \, \text{at} \, 1 \, \text{kHz}$ , we need to supply an electronic gain of 0.1, given that the error signal has a slope of  $1 \, \text{V/1} \, \text{MHz}$ .

Toward the lower frequency range, the PZT gain increases by 40 dB/decade (double integrators) to suppress the catastrophically rising laser frequency noise. It is obvious from Fig. 9 that the intermediate current channel tends to become unstable at a few hundred kHz, due to the excessive phase shift there. The fast current loop, bypassing the current driver to minimize additional time delay and phase shift, has a phase lead compensator to push the unity gain bandwidth to 2 MHz. With this system we can lock the ECDL robustly on the optical cavity, with a residual noise spectral density of 2 Hz/ $\sqrt{\text{Hz}}$ , leading to a relative linewidth of 12 Hz. The achieved noise level is about 100 times higher than the fundamental measurement limit set by shot noise. We note in passing that when an ECDL gradually goes out of alignment, the previously adjusted gain of the current loop will tend to make the servo oscillate so we know a new alignment is needed. The laser FM sideband used to generate



**FIGURE 9** The combined loop filter function for ECDL frequency stabilization.

the locking signal is produced directly by current modulation. An electronic filter network is employed to superimpose the slow servo, fast servo, and modulation inputs to the diode. Exercise caution when accessing the diode head, as a few extra mA current increase can lead to drastic output power increase and melted laser facets, all in 1  $\mu$ s!

Since 2000 this art of locking diode lasers to cavities has been progressing rapidly and in many labs worldwide. To note just one recent measurement of subhertz linewidths, we may note the results<sup>60</sup> in JILA, where two diode lasers were locked to two independent vertical cavities, giving 10<sup>-15</sup> frequency stability at 1-second averaging time. In another Boulder collaboration, subhertz optical beat linewidths have been measured<sup>61</sup> between a JILA diode laser source at 689 nm and another stabilized laser used as the local oscillator for the Hg<sup>+</sup> clock, at a different wavelength of 1126 nm, and located 4 km away in the NIST labs. Two optical frequency Combs were employed, along with a Nd: YAG laser whose wavelength could be transmitted by the 4-km fiber link. (This Comb technology seems to have gone quickly from being a research topic to a reliable and versatile tool!)

#### 22.4 SUMMARY AND OUTLOOK

The technology of laser frequency stabilization has been refined and simplified over the years and has become an indispensable research tool in any modern laboratories involving optics. Research on laser stabilization has been and still is pushing the limits of measurement science. Indeed, a number of currently active research projects on fundamental physical principles benefit a great deal from stable optical sources and will need a continued progress of the laser stabilization front.<sup>62</sup> Using extremely stable phase coherent optical sources, we will be entering an exciting era when the LISA interferometer will achieve picometer resolution over a five million kilometer distance in space<sup>63</sup> and a few Hertz linewidth of an ultraviolet resonance will be probed with a high *S/N*.<sup>64, 65</sup> One has to be optimistic looking at the stabilization results of all different kinds of lasers. To list just a few examples of cw tunable lasers, we notice milliHertz linewidth stabilization (relative to a cavity) for diodepumped solid state lasers; dozen milliHertz linewidth for Ti:Sapphire lasers; and sub-Hertz linewidths for diode and dye lasers. Long-term stability of lasers referenced to atoms and molecules<sup>66</sup> has reached mid 10<sup>-16</sup> levels in an averaging time as short as ~300 s. Phase locking between different laser systems is now routinely employed, even for diode lasers that have fast frequency noise.

An important new capability comes from the accurate frequency transfer via noise-compensated optical fiber, which allows groups to collaborate in the testing of their state-of-the-art systems, thus tapping into the fruits of our neighbors' achievements in stabilizing lasers.<sup>67</sup> The Optical Comb makes it possible to use nearly any stable frequency as the reference. The fiber transport allowed measurement of the inaccuracy issues affecting four potential atomic clocks, based on a trapped Hg<sup>+</sup> ion, 68 Al+ trapped ions, 68 on cold and free Ca atoms, 69 and on cold Sr atoms confined in an optical lattice.<sup>66</sup> Measurements confirmed that the Sr, Hg<sup>+</sup>, and Al<sup>+</sup> systems can be expected to yield sub- $1 \times 10^{-16}$  independent reproducibility (the same quality as accuracy, except for the authority of Cs definition as the unit of time.) Thus for the first time there is not one, but even three(!) frequency reference systems which surpass the performance of the present best standard in Physics, namely the Cs Fountain Clock. Likely there will be other optically-based systems (such as Yb in a lattice<sup>70</sup>) which may soon be in this performance category. Clearly there will be great laser and physics fun coming in the next times! For example, an extended series of comparisons between Hg<sup>+</sup> and Al<sup>+</sup> was carried out at NIST under strict conditions, and provided a  $< 1 \times 10^{-16}$  /year checking for possible drift in the fundamental "constants" of physics.<sup>68</sup> By the way, do you believe that the frequencies of nuclear Mössbauer transitions will stay at the same frequency, as measured by these atomic frequency sources? With the rapid progress in producing VUV Combs by Higher Harmonic Generation,<sup>71,72</sup> one can begin to dream about coherent Mössbauer spectroscopy so we can find out. In the optical comparisons, we're looking in the seventeenth decimal digit now!

Another highway toward fundamental physics involves precision laser spectroscopy of simple atoms, such as H and He<sup>+</sup>, but laser cooling is a challenge due to the low available power. The exciting program<sup>73</sup> at MPQ in Munich can now generate enough VUV 121.5-nm light to begin this story!

Quantum noise is the usual limit of the measurement process and therefore may be the limit of the stabilization process as well. To circumvent the quantum noise altogether is an active research field itself. We, however, have not reached this quantum limit just yet. For instance, we have already stated that the Nd:YAG laser should be able to reach microHertz stability if the shot noise is the true limit. What have we done wrong? A part of the deficiency is due to the inadequacy of the measurement process, namely the lack of accuracy. This is because the signal recovery effort—modulation and demodulation process—is contaminated by spurious optical interference effects and RAM associated with the modulation frequency. Every optical surface along the beam path can be a potential time bomb to damage the modulation performance. In cases that some low contrast interference effects are not totally avoidable, we would need to have the whole system controlled in terms of the surrounding pressure and temperature. The degree to which we can exert control of course dictates the ultimate performance. The second fundamental limitation appears to be thermally generated mechanical noise of the optical coatings, which was already noted, 41,42 but there are already schemes being discussed to buy us another decade or two.

#### 22.5 CONCLUSIONS AND RECOMMENDATIONS

It becomes clear that there are many interlinking considerations involved in the design of laser stabilization systems, and it is difficult to present a full description in an chapter such as this. Still it is hoped that the reader will see some avenues to employ feedback control methods to the laser systems of her current interest. We are optimistic that some of this technology may become commercially available in the future, thus simplifying the user's task.

#### 22.6 ACKNOWLEDGMENTS

The work discussed here has profited from interactions with many colleagues, postdoctoral researchers, and graduate students over many years. In particular we must thank Leo Hollberg and Miao Zhu for their earlier contributions. More recent contributors are Long Sheng Ma, and Mark Notcutt. Especially we thank Mark for his experimental work with the vertically mounted cavities, and for his useful suggestions for improving this text. The now-retired one of us (JLH) declares his joy to see the JILA laboratory prospering in the strong hands of Jun Ye. Jan also is particularly grateful to his wife Lindy for patience "beyond the call of duty" during these many years of laser research. The work at JILA has been supported over many years by the Office of Naval Research, the National Science Foundation, the Air Force Office of Scientific Research, NASA, and the National Institute of Standards and Technology, as part of its frontier research into basic standards and their applications.

#### 22.7 REFERENCES

- 1. D. W. Allan, "Statistics of Atomic Frequency Standards," Proc. IEEE 54:221-230 (1966).
- D. B. Sullivan, D. W. Allan, D. A. Howe, and F. L. Walls, (eds.), Characterization of Clocks and Oscillators, NIST Technical Note 1337, U. S. Government Printing Office, Washington D.C., 1990. See also http://tf.nist.gov/timefreq/general/generalpubs.htm (2009).
- D. S. Elliot, R. Roy and S. J. Smith, "Extracavity Laser Band-Shape and Bandwidth Modification," Phys. Rev. A 26:12–18 (1982).
- J. L. Hall and M. Zhu, "An Introduction to Phase-Stable Optical Sources," in Proc. of the *International School of Phys.* "Enrico Fermi," Course CXVIII, Laser Manipulation of Atoms and Ions, E. Arimondo, W. D. Phillips, and F. Strumia, (eds.), North Holland, pp 671–702 (1992).
- M. Zhu and J. L. Hall, "Stabilization of Optical-Phase Frequency of a Laser System—Application to a Commercial Dye-Laser with an External Stabilizer," J. Opt. Soc. Am. B 10: 802–816 (1993).

- 6. For similar issues in the microwave/rf field, see the application note *Time Keeping and Frequency Calibration*, Agilent, Palo Alto, Calif., and http://tf.nist.gov/timefreq/general/general/generalpubs.htm.
- For general references on feedback systems, please refer to Modern Control Systems, 3rd ed., Richard C. Dorf, Addison-Wesley Publishing Co., Reading Mass. (1980).
- 8. Feedback Control of Dynamic Systems, 3rd ed., Gene F. Franklin, J. David Powell, and A. Emami-Naeini, Addison-Wesley Publishing Co., Reading, Mass. (1994).
- The simulations presented here are performed with the following software by The Math Works Inc., Natick, Mass. (1996): Matlab Control Systems Toolbox: User's Guide; Matlab Simulink: User's Guide.
- 10. See, e.g., J. L. Hall, "Frequency Stabilized Lasers—A Parochial Review," in *Frequency-Stabilized Lasers and Their Applications*, Y. C. Chung, (ed.), *Proc. SPIE.* **1837**:2–15 (1993).
- 11. R. L. Barger, M. S. Sorem, and J. L. Hall, "Frequency Stabilization of a Cw Dye Laser," *Appl. Phys. Lett.* 22:573–575 (1973).
- G. D. Houser and E. Garmire, "Balanced Detection Technique to Measure Small Changes in Transmission," Appl. Opt. 33:1059–1062 (1994).
- K. L. Haller and P. C. D. Hobbs, "Double Beam Laser Absorption Spectroscopy: Shot-Noise Limited Performance at Baseband with a Novel Electronic Noise Canceller," in *Optical Methods for Ultrasensitive* Detection and Analysis: Techniques and Applications, B. L. Fearey, (ed.), Proc. SPIE 1435: 298–309 (1991). Also see http://www.electrooptical.net (2009).
- J. Helmcke, S. A. Lee, and J. L. Hall, "Dye-Laser Spectrometer for Ultrahigh Spectral Resolution—Design and Performance," Appl. Opt. 21:1686–1694 (1982).
- C. E. Wieman and T. W. Hänsch, "Doppler-Free Laser Polarization Spectroscopy," Phys. Rev. Lett. 36:1170–1173 (1976).
- T. W. Hänsch and B. Couillaud, "Laser Frequency Stabilization by Polarization Spectroscopy of a Preflecting Reference Cavity," Opt. Comm. 35:441–444 (1980).
- 17. H. Wahlquist, "Modulation Broadening of Unsaturated Lorentzian Lines," J. Chem. Phys. 35:1708–1710 (1961).
- 18. T. J. Quinn, "Mise en Pratique of the Definition of the Metre (1992)" Metrologia 30:524-541 (1994).
- J. Hu, E. Ikonen, and K. Riski, "On the Nth Harmonic Locking of the Iodine Stabilized He-Ne-Laser," Opt. Comm. 120:65-70 (1995); 121:169 (1995).
- M. S. Taubman and J. L. Hall, "Cancellation of laser dither modulation from optical frequency standards," Opt. Lett. 25:311–313 (2000).
- B. Smaller, Phys. Rev. 83:812–820 (1951); R. V. Pound, "Electronic Stabilization of Microwave Oscillators," Rev. Sci. Instrum. 17: 490–505 (1946).
- 22. G. C. Bjorklund, "Frequency-Modulation Spectroscopy: A New Method for Measuring Weak Absorptions and Dispersions," *Opt. Lett.* 5:15–17 (1980).
- J. L. Hall, L. Hollberg, T. Baer, and H. G. Robinson, "Optical Heterodyne Saturation Spectroscopy," Appl. Phys. Lett. 39:680–682 (1981).
- G. C. Bjorklund and M. D. Levenson, "Sub-Doppler Frequency-Modulation Spectroscopy of I<sub>2</sub>," Phys. Rev. A 24:166–169 (1981).
- W. Zapka, M. D. Levenson, F. M. Schellenberg, A. C. Tam, and G. C. Bjorklund, "Continuous-Wave Doppler-Free Two-Photon Frequency Modulation Spectroscopy in Rb Vapor," Opt. Lett. 8:27–29 (1983).
- 26. G. J. Rosasco and W. S. Hurst, "Phase-Modulated Stimulated Raman Spectroscopy," J. Opt. Soc. Am. B 2:1485–1496 (1985).
- J. H. Shirley, "Modulation Transfer Processes in Optical Heterodyne Saturation Spectroscopy," Opt. Lett. 7:537–539 (1982).
- 28. R. W. P. Drever, J. L. Hall, F. V. Kowalski, J. Hough, G. M. Ford, A. J. Munley, and H. Ward, "Laser Phase and Frequency Stabilization Using an Optical-Resonator," *Appl. Phys. B* 31:97–105 (1983).
- P. Werle, "Laser Excess Noise and Interferometric Effects in Frequency-Modulated Diode-Laser Spectrometers," Appl. Phys. B 60:499–506 (1995).
- 30. J. L. Hall, J. Ye, L.-S. Ma, K. Vogel, and T. Dinneen, "Optical Frequency Standards: Progress and Applications," in *Laser Spectroscopy XIII*, Z.-J. Wang, Z.-M. Zhang, and Y.-Z. Wang, (eds.), World Scientific, Singapore, pp. 75–80 (1998).

- 31. N. C. Wong and J. L. Hall, "Servo Control of Amplitude-Modulation in Frequency-Modulation Spectroscopy— Demonstration of Shot-Noise-Limited Detection," J. Opt. Soc. Am. B 2:1527–1533 (1985).
- 32. M. S. Taubman and J. L. Hall, unpublished JILA work (1999).
- 33. D. R. Hjelme, S. Neegård, and E. Vartdal, "Optical Interference Fringe Reduction in Frequency-Modulation Spectroscopy Experiments," Opt. Lett. 20:1731-1733 (1995).
- 34. G. R. Janik, C. B. Carlisle, and T. F. Gallagher, "Two-Tone Frequency-Modulation Spectroscopy," J. Opt. Soc. Am. B 3:1070-1074 (1986).
- 35. J. L. Hall, L.-S. Ma, and G. Kramer, "Principles of Optical Phase-Locking—Application to Internal Mirror He-Ne Lasers Phase-Locked Via Fast Control of the Discharge Current," IEEE J. Quan. Electron. QE-23:427-437 (1987).
- 36. M. Kourogi, K. Nakagawa, and M. Ohtsu, "Wide-Span Optical Frequency Comb Generator for Accurate Optical Frequency Difference Measurement," IEEE J. Quan. Electron. QE-29:2693–2701 (1993).
- 37. Th. Udem, J. Reichert, R. Holzwarth, and T. W. Hänsch, "Accurate Measurement of Large Optical Frequency Differences with a Mode-Locked Laser," Opt. Lett. 24:881–883 (1999).
- 38. S. A. Diddams, L.-S. Ma, J. Ye, and J. L. Hall, "Broadband Optical Frequency Comb Generation with a Phase-Modulated Parametric Oscillator," Opt. Lett. 24:1747–1749 (1999).
- 39. J. L. Hall, M. Notcutt, and J. Ye, "Improving Laser Coherence," in Laser Spectroscopy XVII, E. Hinds, A. Ferguson, and E. Riis, (eds.), World Scientific, Singapore. pp. 3–12 (2006).
- 40. B. C. Young, F. C. Cruz, W. M. Itano, and J. C. Bergquist, "Visible Lasers with Subhertz Linewidths," Phys. Rev. Lett. 82:3799-3802 (1999).
- 41. K. Numata, A. Kemery, and J. Camp, "Thermal-Noise Limit in the Frequency Stabilization of Lasers with Rigid Cavities," Phys. Rev. Lett. 93:250602 (2004).
- 42. M. Notcutt, L. S. Ma, A. D. Ludlow, S. M. Foreman, J. Ye, J. L. Hall, "Contribution of Thermal Noise to Frequency Stability of Rigid Optical Cavity via Hertz-Linewidth Lasers," Phys. Rev. A 73:031804 (R) (2006).
- 43. Notcutt, M. L. S. Ma, J. Ye, J. L. Hall, "Simple and Compact 1-Hz Laser System via an Improved Mounting Configuration of a Reference Cavity," Opt. Lett. 30:1815–1817 (2005).
- 44. JILA design by Lisheng Chen, 2004. See L. Chen, J. L. Hall, J. Ye, T. Yang, E. Zang, and T. Li, "Vibration-Induced Elastic Deformation of Fabry-Perot Cavities," Phys. Rev. A 74:053801 (2006).
- 45. Interested readers are referred to the following books for more detailed discussions. The Quantum Physics of Atomic Frequency Standards, vol. I and II, Jacques Vanier and Claude Audoin; Adam Hilger, Institute of Physics Publishing Ltd, Bristol, UK (1989).
- 46. T. M. Niebauer, J. E. Faller, H. M. Godwin, J. L. Hall, and R. L. Barger, "Frequency Stability Measurements on Polarization-Stabilized He-Ne Lasers," Appl. Opt. 27:1285-1289 (1988).
- 47. Jun Ishikawa, NMIJ, AIST, Tsukuba, Japan, private communications (1996).
- 48. J. L. Hall and T. W. Hänsch, "External Dye-Laser Frequency Stabilizer," Opt. Lett. 9:502-504 (1984).
- 49. J. Ye, L.-S. Ma, and J. L. Hall, "Ultrasensitive Detections in Atomic and Molecular Physics: Demonstration in Molecular Overtone Spectroscopy," J. Opt. Soc. Am. B 15: 6–15 (1998).
- 50. J. Ye and J. L. Hall, "Optical Phase Locking in the Microradian Domain: Potential Applications to NASA Spaceborne Optical Measurements," Opt. Lett. 24:1838–1840 (1999).
- 51. A. Arie and R. L. Byer, "Laser Heterodyne Spectroscopy of 127I, Hyperfine Structure near 532 nm," J. Opt. Soc. Am. B 10:1990-1997 (1993).
- 52. M. L. Eickhoff and J. L. Hall, "Optical Frequency Standard at 532 Nm," IEEE Trans. Instrum. Meas. 44:155-158 (1995); and P. Jungner, M. Eickhoff, S. Swartz, J. Ye, J. L. Hall and S. Waltman, IEEE Trans. Instrum. Meas. 44:151-154 (1995).
- 53. J. Ye, L. Robertsson, S. Picard, L.-S. Ma, and John L. Hall, "Absolute Frequency Atlas of Molecular I-2 Lines at 532 nm," IEEE Trans. Instrum. Meas. 48:544-549 (1999).
- 54. J. L. Hall, L.-S. Ma, M. Taubman, B. Tiemann, F.-L. Hong, O. Pfister, and J. Ye, "Stabilization and Frequency Measurement of the I-2-Stabilized Nd:YAG laser," IEEE Trans. Instrum. Meas. 48:583-586 (1999).
- 55. C. Ishibashi, J. Ye, and J. L. Hall, "Issues and Applications in Ultra-Sensitive Molecular Spectroscopy," Proc. SPIE. **4634:**58–69 (2002).
- 56. C. E. Wieman and L. Hollberg, "Using Diode-Lasers for Atomic Physics," Rev. Sci. Instrum. 62:1–20 (1991).

- M. G. Littman and H. J. Metcalf, "Spectrally Narrow Pulsed Dye Laser without Beam Expander," Appl. Opt. 17: 2224–2227 (1978).
- 58. B. Dahmani, L. Hollberg, and R. Drullinger, "Frequency Stabilization of Semiconductor-Lasers by Resonant Optical Feedback," *Opt. Lett.* **12:**876–878 (1987).
- K. MacAdam, A. Steinbach, and C. E. Wieman, "A Narrow-Band Tunable Diode-Laser System with Grating Feedback, and a Saturated Absorption Spectrometer for Cs and Rb," Am. J. Phys. 60:1098–1111 (1992).
- A. D. Ludlow, X. Huang, M. Notcutt, T. Zanon-Willette, S. M. Foreman, M. M. Boyd, S. Blatt, and J. Ye, "Compact, Thermal-Noise-Limited Optical Cavity for Diode Laser Stabilization at 1 × 10<sup>-15</sup>," Opt. Lett. 32: 641–643 (2007).
- 61. S. M. Foreman, A. D. Ludlow, M. H. G. de Miranda, J. Stalnaker, S. A. Diddams, and J. Ye, "Coherent Optical Phase Transfer over a 32-km Fiber with 1 s Instability at 10<sup>-17</sup>," *Phys. Rev. Lett.* **99:**153601 (2007).
- 62. P. Fritschel, G. González, B. Lantz, P. Saha, and M. Zucker, "High Power Interferometric Phase Measurement Limited by Quantum Noise and Application to Detection of Gravitational Waves," *Phys. Rev. Lett.* **80**:3181–3185 (1998).
- 63. K. Danzmann, "LISA—An ESA Cornerstone Mission for a Gravitational Wave Observatory," *Class. Quantum Grav.* **14**:1399–1404 (1997).
- 64. J. C. Bergquist, R. J. Rafac, B. C. Young, J. A. Beall, W. M. Itano, and D. J. Wineland, "Sub-Dekahertz Spectroscopy of <sup>199</sup>Hg+," in Laser Frequency Stabilization, Standards, Measurement, and Applications, J. L. Hall and J. Ye, (eds.), Proc. SPIE. 4269:1–7 (2001).
- 65. E. E. Eyler, D. E. Chieda, M.C. Stowe, M. J. Thorpe, T. R. Schibli, and J. Ye, "Prospects for Precision Measurements of Atomic Helium using Direct Frequency Comb Spectroscopy," *Eur. Phys. J. D.* **48:**43–55 (2008).
- 66. D. Ludlow, T. Zelevinsky, G. K. Campbell, S. Blatt, M. M. Boyd, M. H. G. de Miranda, M. J. Martin, J. W. Thomsen, S. M. Foreman, Jun Ye, T. M. Fortier, J. E. Stalnaker, S. A. Diddams, Y. Le Coq, Z. W. Barber, N. Poli, N. D. Lemke, K. M. Beck, and C. W. Oates, "Sr Lattice Clock at 1 × 10<sup>-16</sup> Fractional Uncertainty by Remote Optical Evaluation with a Ca clock," *Science* 319: 1805–1808 (2008).
- 67. S. M. Foreman, K. W. Holman, D. D. Hudson, D. J. Jones, and J. Ye, "Remote Transfer of Ultrastable Frequency References via Fiber Networks," *Rev. Sci. Instrum.* **78**: 021101 (2007).
- 68. T. Rosenband, D. B. Hume, P. O. Schmidt, C. W. Chou, A. Brusch, L. Lorini, W. H. Oskay, R. E. Drullinger, T. M. Fortier, J. E. Stalnaker, S. A. Diddams, W. C. Swann, N. R. Newbury, W. M. Itano, D. J. Wineland, and J. C. Bergquist, "Frequency Ratio of Al<sup>+</sup> and Hg<sup>+</sup> Single-Ion Optical Clocks; Metrology at the 17th Decimal Place," Science 319:1808–1812 (2008).
- 69. J. E. Stalnaker, Y. Le Coq, T. M. Fortier, S. A. Diddams, C. W. Oates, and L. Hollberg, "Measurement of Excited-State Transitions in Cold Calcium Atoms by Direct Femtosecond Frequency-Comb Spectroscopy," *Phys. Rev. A* 75:040502 (R) (2007).
- N. Poli, Z. W. Barber, N. D. Lemke, C. W. Oates, L. S. Ma, J. E. Stalnaker, T. M. Fortier, S. A. Diddams, L. Hollberg, J. C. Bergquist, A. Brusch, S. Jefferts, T. Heavner, and T. Parker, "Frequency Evaluation of the Doubly Forbidden <sup>1</sup>S<sub>0</sub> → <sup>3</sup>P<sub>0</sub> Transition in Bosonic Yb-174," *Phys. Rev. A* 77:050501 (R) (2008).
- R. J. Jones, K. D. Moll, M. J. Thorpe, and J. Ye, "Phase-Coherent Frequency Combs in the Vacuum Ultraviolet via High-Harmonic Generation inside a Femtosecond Enhancement Cavity," *Phys. Rev. Lett.* 94:193201 (2005).
- 72. C. Gohle, T. Udem, M. Herrmann, J. Rauschenberger, R. Holzwarth, H. A. Schuessler, F. Krausz, and T. W. Hänsch, "A Frequency Comb in the Extreme Ultraviolet," *Nature* **436**:234–237 (2005).
- 73. See http://www.mpq.mpg.de/~haensch/antihydrogen/index.html (2009).
- 74. V. B. Braginsky and F. Ya. Khalili, Quantum Measurement, Cambridge University Press, Cambridge, UK (1992).

#### LASER STABILIZATION