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NeuroKit2: A Python Toolbox for Neurophysiological Signal Processing

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Abstract

NeuroKit2 is an open-source, community-driven, and user-friendly Python package

dedicated to neurophysiological signal processing (e.g., ECG, EDA, EMG, ...). Its design

philosophy is centred on user-experience and accessibility to both novice and advanced

20 users. The package provides general functions that enable data processing in a few lines of

21 code using validated pipelines, as well as tools dedicated to specific processing steps,

offering a trade-off between efficiency and fine-tuned control. NeuroKit2 aims at improving

23 transparency and reproducibility in neurophysiological research, as well as fostering

exploration and innovation.

Keywords: Neurophysiology, Biosignals, Python, ECG, EDA, EMG

26 Word count: 2440

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Neurophysiological measurements increasingly gain popularity in the study of cognition and behavior. These measurements include electroencephalography (EEG), electrocardiography 29 (ECG), electromyography (EMG) and electrodermal activity (EDA). Their popularity is driven by theoretical motivations (e.g., the growth of embodied or affective neuroscience; 31 Kiverstein & Miller, 2015) as well as practical reasons. The latter include low costs (especially compared with other imaging techniques, such as MRI or MEG), ease of use (e.g., portability, setup speed), and the increasing availability of recording devices (e.g., wearables; Yuehong, Zeng, Chen, & Fan, 2016). Moreover, the extraction of meaningful information 35 from neurophysiological signals is facilitated by current advances in signal processing algorithms (Clifton, Gibbons, Davies, & Tarassenko, 2012; Roy et al., 2019). Unfortunately, these algorithms are mostly inaccessible to researchers without experience in programming and signal processing. Moreover, many software tools for neurophysiological analyses are 39 limited to one type of signal (for instance, focused on ECG). This makes it inconvenient for researchers who might have to learn and concurrently rely on different software to process 41 multimodal data.

Another important issue existing in psychology and neuroscience has been coined as the "reproducibility crisis" (Maizey & Tzavella, 2019; Miłkowski, Hensel, & Hohol, 2018; Nosek, Cohoon, Kidwell, & Spies, 2015; Topalidou, Leblois, Boraud, & Rougier, 2015), and has lead to a profound questioning and reassessment from different actors involved (researchers, publishers, fund agencies, ...). One of the main identified contributing factor is the actual opacity of data processing, where analysis pipelines are not described in enough details to ensure a full and exact reproduction. One of the suggested response to that issue has been to provide, alongside the study, the analysis script, which in turns opens new challenges. Indeed, these scripts must be shareable (not always feasible with closed-source and proprietary software or programming languages), accessible (enticing documented and well-organized scripts) and

reproducible (which is inherently difficult for many software relying on a graphical user interface - GUI - in which the manual point-and-click sequence is hard to automate).

- NeuroKit2 addresses these challenges by offering a free, user-friendly, and comprehensive solution for neurophysiological data processing. It is an open-source Python package, developed by a multi-disciplinary team that actively invites new collaborators. It aims at being accessible, well-documented, well-tested, cutting-edge, flexible and efficient, allowing users to select from a wide range of validated analysis pipelines as well as creating their own. Historically, NeuroKit2 is the re-forged successor NeuroKit1 (Makowski, 2020), taking on its most successful features and design choices, and re-implementing them in a professional and well-thought way.
- The package is implemented in Python 3 (Van Rossum & Drake, 2009), which means that

 NeuroKit2's users benefit from an large amount of learning resources and a vibrant community. The package depends on relatively few, well established and robust packages from
 the Python data analysis ecosystem (Virtanen et al., 2020) such as NumPy, pandas, SciPy,
 scikit-learn and MatplotLib (with an additional system of optional dependencies), making
 NeuroKit2 itself a viable dependency in other software.
- NeuroKit2's source code is available under the permissive MIT license on GitHub (https://github.com/neuropsychology/NeuroKit). Its documentation (https://neurokit2.readthedocs. io/) is automatically built and rendered from the code and includes guides for installation and contribution, a description of the package's functions, as well as several "hands-on" examples and tutorials (e.g., how to extract and visualize individual heartbeats, how to analyze event-related data etc.). Importantly, users can add new examples by simply uploading a Python notebook (Kluyver et al., 2016) to the GitHub repository. The notebook will automatically be displayed on the website, ensuring easily accessible and evolving documentation. Moreover, users can try out the example notebooks directly in their browser via a cloud-based Binder environment (Jupyter et al., 2018). Finally, the issue tracker on GitHub

offers a convenient and public forum that allows newcomers and potential collaborators to report bugs, get help and gain insight into the development of the package.

NeuroKit2 aims at being reliable and trustworthy, including peer-reviewed processing pipelines and functions tested against established software such as BioSPPy (Carreiras et al., 2015), hrv under review, PySiology (Gabrieli, Azhari, & Esposito, 2019), HeartPy (Gent, Farah, Nes, & Arem, 2019), systole (Legrand & Allen, 2020) or nolds (Schölzel, 2019). The repository leverages a comprehensive test suite and continuous integration to ensure stability and prevent errors. Thanks to its collaborative and open development, NeuroKit2 can remain cutting-edge and continuously evolve, adapt, and integrate new methods as they are emerging.

Finally, we believe that the design philosophy of *NeuroKit2* contributes to an efficient (i.e., allowing to achieve a lot with few functions) yet flexible (i.e., enabling fine control and precision over what is done) user interface (API). We will illustrate these claims with two examples of common use-cases (the analysis of event-related and resting state data), and will conclude by discussing how *NeuroKit2* contributes to neurophysiological research by raising the standards for validity, reproducibility and accessibility.

Design Philosophy

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As stated above, NeuroKit2 aims at being accessible to beginners and, at the same time,
offering a maximal level of control to experienced users. This is achieved by allowing beginning users to implement complex processing and analyses pipelines with very few functions,
while still enabling fine-tuned control and precision over arguments and parameters to more
experienced users. In concrete terms, this trade-off is allowed by a API structure organized
in three three layers of abstraction.

Low-level: Base Utilities for Signal Processing

The basic building blocks are functions for general signal processing, i.e., filtering, resampling, interpolation, peak detection, etc. These functions are signal-agnostic, and include
a lot of parameters (e.g., one can change the filtering method, frequencies, and order, by
overwriting the default arguments). Most of these functions are based on established algorithms implemented in *scipy* (Virtanen et al., 2020). Examples of such functions include
signal_filter(), signal_interpolate(), signal_resample(), signal_detrend(), and
signal_findpeaks().

Mid-level: Neurophysiological Processing Steps

The base utilities are used by mid-level functions specific to the different physiological modalities (i.e., ECG, RSP, EDA, EMG, PPG). These functions carry out modality-specific signal
processing steps, such as cleaning, peak detection, phase classification or rate computation. Critically, for each type of signal, the same function names are called (in the form
signaltype_functiongoal()) to achieve equivalent goals, e.g., *_clean(), *_findpeaks(),
*_process(), *_plot(), making the implementation intuitive and consistent across different
modalities.

For example, the rsp_clean() function uses signal_filter() and signal_detrend(),
with different sets of default parameters that can be switched with a "method" argument (corresponding to different published or established pipelines). For instance, setting
method="khodadad2018" will use the cleaning workflow described in Khodadad et al. (2018).

However, if a user wants to build their own custom cleaning pipeline, they can use the cleaning function as a template, and tweak the parameters to their desires in the low-level signal
processing operations.

25 High-level Wrappers for Processing and Analysis

The mid-level functions are assembled in high-level "master" functions, that are convenient 126 entry points for new users. For instance, the ecg_process() function internally chains 127 the mid-level functions ecg clean(), ecg findpeaks(), ecg rate(). A specific processing 128 pipeline can be selected with the method argument, that is then propagated throughout the 129 internal functions. Easily switching between processing pipelines allows for the compari-130 son of different methods, and streamlines critical but time-consuming steps in reproducible 131 research, such as the validation of data preparation and quality control (Quintana, Al-132 vares, & Heathers, 2016). Finally, the package includes convenience meta-functions (e.g., 133 bio process) that enable the combined processing of multiple types of signals at once (e.g., bio process(ecg=ecg signal, eda=eda signal)). Performing an entire set of operations with sensible default parameters in one function can 136 be rewarding, especially for beginners, allowing them to perform cutting-edge processing or 137 replication of research steps without requiring much programming expertise. Moreover, it 138 contributes to the demystification of the usage of "pure" programming tools (as opposed to GUI-based software such as SPSS, Kubios, or Acgknowledge), providing a welcoming framework to further explore the complexities of physiological data processing. Importantly, more 141 advanced users can easily build custom analysis pipelines by using the mid-level functions, allowing for a finer control over the processing parameters. We believe that this implemen-143

145 Examples

In this section, we present two examples that illustrate the most common use-cases. The
first example is an event-related paradigm, in which the interest lies in short-term physiological changes related to specific events. The second example shows how to extract the
characteristics of physiological activity during a longer period of time (not necessarily tied

tation is a well-calibrated trade-off between flexibility and user-friendliness.

to a specific and sudden event). The example datasets are made available with the package and can be downloaded using the data() function.

This example dataset contains ECG, RSP and EDA signals of one participant who was

152 Event-related Paradigm

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presented with four emotional images (from the NAPS database; Marchewka, Żurawski, 154 Jednoróg, & Grabowska, 2014), in a typical (albeit highly shortened) experimental psychol-155 ogy paradigm. 156 Signals are 2.5 minutes long and are recorded at a frequency of 100Hz (note that the sampling 157 rate is low for storage purposes and should be higher in actual recordings, see Quintana et 158 al., 2016). It has 4 channels including three physiological signals, and one corresponding to 159 the marking of events with a photosensor (which signal decreases when a stimulus appeared 160 on the screen). 161

```
conditions = ["Negative", "Neutral", "Neutral", "Negative"]
events = nk.events find(event channel=data["Photosensor"],
                        threshold_keep='below',
                        event conditions=conditions)
# Epoch the data
epochs = nk.epochs_create(data=df,
                          events=events,
                          sampling_rate=100,
                          epochs_start=-0.1,
                          epochs_end=4)
# Extract event related features
results = nk.bio_analyze(epochs)
# Show subset of results
results[["Condition", "ECG_Rate_Mean", "RSP_Rate_Mean", "EDA_Peak_Amplitude"]]
```

Table 1
Subset of the outure related to event-related analysis characterizing the pattern of physiological changes related to specific stimuli.

Condition	ECG_Rate_Mean	RSP_Rate_Mean	EDA_Peak_Amplitude
Negative	-0.92	1.41	0.93
Neutral	-3.03	1.25	0.41
Neutral	0.28	0.00	0.02
Negative	-3.34	-1.12	1.06

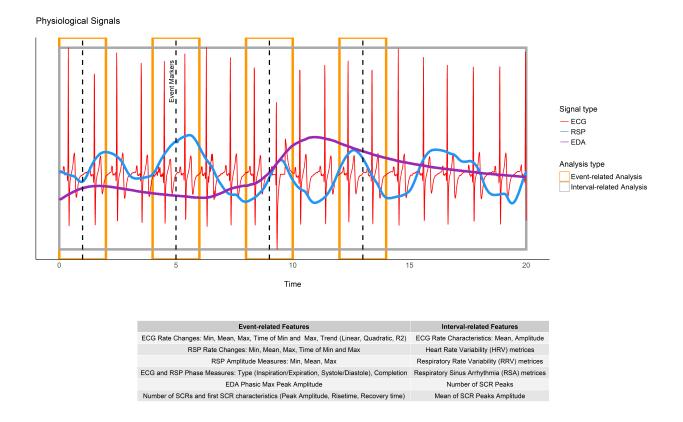


Figure 1. Illustration of the difference between event-related analysis, focusing on activity changes in short windows (the orange rectangles), and interval-related analysis, pertaining to features of the whole signal (the grey rectangle).

In this example, after loading the package and the example dataset, each physiological signal is processed using bio_process(). Stimulus onsets in the photosensor are detected separately with events_find(). Once we have the preprocessed signals and the location of events, we can slice the data into segments corresponding to a time window (ranging from -0.1 to 4 seconds) around each stimulus with epochs_create(). Finally, relevant features are computed for each epoch (i.e., each stimulus) by passing them to bio_analyze().

The features include for example the changes in rate of ECG and RSP signals (e.g. maximum, minimum and mean rate after stimulus onset, and the time at which they occur), and the peak characteristics of the EDA signal (e.g., occurrence of skin conductance response (SCR), and if SCR is present, its corresponding peak amplitude, time of peak, rise and recovery

time). In addition, respiration and cardiac cycle phases are extracted (i.e., the respiration

phase - inspiration/expiration - and cardiac phase - systole/diastole - occurring at the onset 173 of event). 174 This example shows the straightforward process of extracting features of physiological re-175 sponses. This pipeline can easily scale up to group-level analysis by aggregating the average 176 of features across participants. In addition to streamlining data analyses, NeuroKit2 aims to provide researchers an extensive suite of signal features, allowing for precise interpretations in terms of relationship between physiological activity and neurocognitive processes. 179 In this example (see Table 1), exposure to negative stimuli, as compared to neutral stimuli, 180 is related to stronger cardiac deceleration, higher skin conductance response, and acceler-181 ated breathing rate (note that this descriptive interpretation is given solely for illustrative 182 purposes). 183

184 Resting-state Features

The second dataset corresponds to 5 minutes of physiological activity of a human participant at rest (eyes-closed in a seated position), under no specific set of instructions. It contains three channels (ECG, PPG and RSP) sampled at a frequency of 100Hz.

```
sampling_rate=100)

# Extract features

results = nk.bio_analyze(df)

# Show subset of results

results[["ECG_Rate_Mean", "HRV_RMSSD", "RSP_Rate_Mean", "RSA_P2T_Mean"]]
```

Table 2
Subset of properties characterizing the physiological activity over a period of 5 minutes of resting-state.

ECG_Rate_Mean	HRV_RMSSD	RSP_Rate_Mean	RSA_P2T_Mean
86.39	3.88	15.74	0.01

In this example, the steps of the analysis are identical to the previous example, including loading the package, the dataset and processing the data. The difference is that there is no epoching, as we want to compute features related to the whole dataset. Thus, we can directly pass the dataframe to bio_analyze(), which will detect that these are not epochs, and compute the appropriate features accordingly. These include for instance the average heart and breathing rate, as well as indices of heart rate variability (HRV) and respiratory sinus arrhythmia (RSA).

This example illustrates a second type of physiological analysis, that we refer to as intervalrelated (as opposed to event-related). Interval-related analyses compute features of signal
variability and activation patterns over a longer-term period of time (typically minutes).

NeuroKit2 allows for the fast creation of a standardized and reproducible pipeline to describe
this kind of physiological activity, which can be beneficial for a wide variety of applications.

200 Discussion

NeuroKit2 is a neurophysiological signal processing software accessible to people with all 201 levels of programming experience and background. Its development is focused on creating an 202 intuitive user-experience, as well as building a collaborative community. It is also a pragmatic 203 answer to the broader need for transparent and reproducible methods in neurophysiology. 204 Its modular structure and organization not only facilitates the use of existing and validated 205 processing pipelines, but also creates a fertile ground for experimentation and innovation. 206 We expect the package's future evolution to be driven by the communities' needs and the 207 advances in related fields. For instance, although NeuroKit2 already implements a lot of useful functions for EEG processing (such as entropy and fractal dimensions quantification), its support could be further improved (for example with high-level functions built on top 210 of utilities provided by the leading EEG Python software, namely MNE; Gramfort et al. 211 (2013)). Possible other future directions include extending the support for other types of bodily signals (e.g., electrogastrography - EGG, electrooculography - EOG) and achieving 213 performance gains for large datasets by using efficient algorithms. Further validation of the 214 available processing pipelines could be made through the (re)analysis of public databases. 215 In line with this objective, the support of standardized data structure formats (e.g. WFDB, 216 BIDS, ...) could be extended. 217 In conclusion, we believe that NeuroKit2 provides useful tools for anyone who is interested 218 in analyzing physiological data from research-grade hardware as well as wearable "smart 219 health devices". By increasing the autonomy of researchers and practitioners, and by shortening the delay between data collection and results acquisition, NeuroKit2 could be useful 221 beyond academic research in neuroscience and psychology, including applications such as

biofeedback, personal physiological monitoring and exercise science. Finally, we hope that

NeuroKit2 encourages users to become part of a supportive open-science community with

diverse areas of expertise rather than relying on closed-source and proprietary software, thus

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shaping the future of neurophysiology and its related fields.

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Conflict of Interest

The authors declare that the research was conducted in the absence of commercial or financial relationships that could constitute a conflict of interest.

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