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Cryptography

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Contents

Introduction

Solomon,

I'm concerned about security; I think, when we email each other, we should use some sort of code.

Confidentiality is our goal. We want to encrypt and decrypt a (plaintext)¹ message m , using a key, to obtain a cyphertext c . As per Kirkoff's principle, only the key is secret.

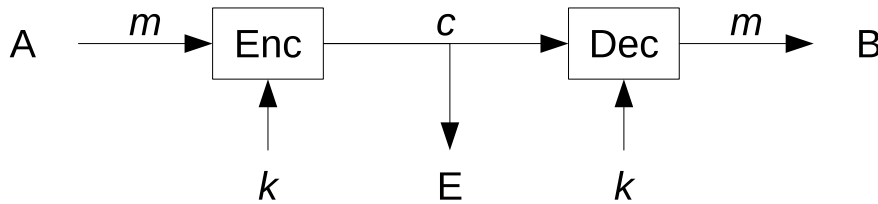


Figure 1.1: Message exchange between A and B using symmetric encryption. E is the eavesdropper.

Our encryption schemes have the following syntax:

$$\Pi = (\text{Gen}, \text{Enc}, \text{Dec}).$$

A and B , the actors of our communication exchange (??), share k , the key, taken from some key space \mathcal{K} . The elements of our encryption scheme play the following roles:

1. Gen outputs a random key from the key space \mathcal{K} , and we write this as $k \leftarrow \text{Gen}(1^n)$ where n will quantify the security of the cipher;
2. $\text{Enc} : \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{C}$ is the encryption function, mapping a key and a message to a cyphertext². Given a message to be encrypted $m \in \mathcal{M}$ and the shared key k calculate the cryptotext $c = \text{Enc}(m)$;
3. $\text{Dec} : \mathcal{K} \times \mathcal{C} \rightarrow \mathcal{M}$ is the decryption function, mapping a key and a cyphertext to a message. Given a cryptotext c and the shared key k returns the message $m \in \mathcal{M}$ such that $m = \text{Dec}(c)$.

We expect an encryption scheme to be at least correct and for each n , for each key $k \leftarrow \text{Gen}(1^n)$ and for every message $m \in \mathcal{M}$ we have:

$$\forall k \in \mathcal{K}, \forall m \in \mathcal{M}. \text{Dec}(k, \text{Enc}(k, m)) = m.$$

An encryption scheme is defined by three algorithms Gen , Enc , and Dec , as well as a specification of a message space \mathcal{M} with $|\mathcal{M}| > 1$.³

¹Plaintext usually means unencrypted information pending input into cryptographic algorithms, usually encryption algorithms.

²In cryptography, ciphertext or cyphertext is the result of encryption performed on plaintext using an algorithm, called a cipher. Ciphertext is also known as encrypted or encoded information because it contains a form of the original plaintext that is unreadable by a human or computer without the proper cipher to decrypt it.

³If $|\mathcal{M}| = 1$ there is only one message and there is no point in communicating, let alone encrypting.

1.1 Perfect secrecy

Shannon defined “perfect secrecy”, *i.e.*, we will require that the adversary is not able to obtain any additional information about m . Formally we can express this request through probability theory.

Definition 1 (Perfect secrecy). Let M be a **RV!** (**RV!**) over \mathcal{M} , and K be a uniform distribution over \mathcal{K} . (Enc, Dec) has perfect secrecy if, $\forall m \in \mathcal{M}$ and $\forall c \in \mathcal{C}$ such that $\Pr[C = c] \geq 0$ ⁴:

$$\forall M, \forall m \in \mathcal{M}, c \in \mathcal{C}. \Pr[M = m] = \Pr[M = m | C = c]$$

where $C = \text{Enc}(k, m)$ is a third **RV!**. ◇

We have equivalent definitions for perfect secrecy.

Theorem 1. *The following definitions are equivalent:*

1. $\forall m \in \mathcal{M}$ and $\forall c \in \mathcal{C}$:

$$\Pr[M = m] = \Pr[M = m | C = c]$$

2. $\forall m \in \mathcal{M}$ and $\forall c \in \mathcal{C}$ M and C are independent;

3. $\forall m, m' \in \mathcal{M}, \forall c \in \mathcal{C}$ ⁵:

$$\Pr[\text{Enc}(k, m) = c] = \Pr[\text{Enc}(k, m') = c]$$

where k is a random key in \mathcal{K} chosen with uniform probability. ◇

It is sufficient to show that $(1) \Rightarrow (2) \Rightarrow (3) \Rightarrow (1)$

We can express the relationship between joint and marginal densities through the concept of *conditional discrete density*. The probability of event \mathcal{E}_1 conditional on event \mathcal{E}_2 is the probability that event \mathcal{E}_1 occurs given that \mathcal{E}_2 occurs. For example, returning to the example of the die, the probability of getting a ♠ knowing that the result of the roll will be ♠ or ♥ is $\frac{1}{3}$. Formally, we have:

$$\Pr[\mathcal{E}_1 | \mathcal{E}_2] = \frac{\Pr[\mathcal{E}_1 \wedge \mathcal{E}_2]}{\Pr[\mathcal{E}_2]}.$$

Two events are said to be *statistically independent* when $\Pr[\mathcal{E}_1 | \mathcal{E}_2] = \Pr[\mathcal{E}_1]$ (*i.e.*, when the probability that \mathcal{E}_1 occurs given that \mathcal{E}_2 occurs is equal to the probability that \mathcal{E}_1 occurs a priori). The relationship between $\Pr[\mathcal{E}_1 | \mathcal{E}_2]$ and $\Pr[\mathcal{E}_2 | \mathcal{E}_1]$ is given by Bayes' theorem, expressed by the following equation:

$$\Pr[\mathcal{E}_2 | \mathcal{E}_1] = \Pr[\mathcal{E}_1 | \mathcal{E}_2] \cdot \frac{\Pr[\mathcal{E}_2]}{\Pr[\mathcal{E}_1]} \quad (\text{A.3})$$

Switching to densities, given two random variables $X \in \mathcal{X}$ and $Y \in \mathcal{Y}$, the conditional probability of $Y = y$ given $X = x$ is:

Proof.

$$\Pr[Y = y | X = x] = \frac{\Pr[X = x \wedge Y = y]}{\Pr[X = x]} \stackrel{(\text{A.3})}{=} \frac{\Pr[Y = y | X = x] \Pr[X = x]}{\Pr[X = x]}$$

□

⁴This requirement is necessary only to avoid influencing an event with zero probability. In the following we will always assume that $\Pr[M = m]$ and $\Pr[C = c]$ are positive. What has been shown can be generalized to the case of arbitrary distributions on \mathcal{M} and \mathcal{C} .

⁵The encryption algorithm may be probabilistic, so that $\text{Enc}(m)$ might output a different ciphertext when run multiple times. To emphasize this, we write $c \leftarrow \text{Enc}(m)$ to denote the possibly probabilistic process by which message m is encrypted using key k to give ciphertext c .

Proof of ??. First, we show that ?? \implies ??.

$$\begin{aligned}
 \Pr[M = m] &= \Pr[M = m|C = c] \\
 &= \frac{\Pr[M = m \wedge C = c]}{\Pr[C = c]} && \text{(by Bayes)} \\
 &\implies \\
 \Pr[M = m] \Pr[C = c] &= \Pr[M = m \wedge C = c]
 \end{aligned}$$

which is the definition of independence ⁶.

Now we show that ?? \implies ??.

$$\begin{aligned}
 \Pr[\text{Enc}(k, m) = c] &= \Pr[\text{Enc}(k, M) = c|M = m] && \text{(we fixed } m) \\
 &= \Pr[C = c|M = m] && \text{(definition of the } \mathbf{RV!} \ C) \\
 &= \Pr[C = c]. && \text{(by ??)}
 \end{aligned}$$

Since m is arbitrary, we can do the same for m' , and obtain

$$\Pr[\text{Enc}(k, m') = c] = \Pr[C = c]$$

which gives us ??.

Now we want to show that ?? \implies ?. Assume that the encryption scheme is perfectly secret and fix messages $m, m' \in \mathcal{M}$ and a ciphertext $c \in \mathcal{C}$. Take any $c \in \mathcal{C}$. By ?? we have:

$$\Pr[C = c|M = m] = \Pr[C = c] = \Pr[C = c|M = m'].$$

completing the proof of the first direction. Assume next that for every distribution over \mathcal{M} , every $m, m' \in \mathcal{M}$, and every $c \in \mathcal{C}$ it holds that $\Pr[C = c|M = m] = \Pr[C = c|M = m']$. Fix some distribution over \mathcal{M} , and an arbitrary $m \in \mathcal{M}$ and $c \in \mathcal{C}$. Define $p \stackrel{\text{def}}{=} \Pr[C = c|M = m]$. Since $\Pr[C = c|M = m] = \Pr[C = c|M = m'] = p$ for all m , we have:

$$\begin{aligned}
 \Pr[C = c] &= \sum_{m' \in \mathcal{M}} \Pr[C = c \wedge M = m'] \\
 &= \sum_{m' \in \mathcal{M}} \Pr[C = c|M = m'] \Pr[M = m'] && \text{(by Bayes)} \\
 &= \sum_{m' \in \mathcal{M}} \Pr[\text{Enc}(k, M) = c|M = m'] \Pr[M = m'] \\
 &= \sum_{m' \in \mathcal{M}} \Pr[\text{Enc}(k, m') = c] \Pr[M = m'] \\
 &= \Pr[\text{Enc}(k, m) = c] \underbrace{\sum_{m' \in \mathcal{M}} \Pr[M = m']}_1 && \text{(Enc is independent of } M, \text{ so we take it out)} \\
 &= \Pr[\text{Enc}(k, M) = c|M = m] = \Pr[C = c|M = m].
 \end{aligned}$$

We are left to show that $\Pr[M = m] = \Pr[M = m|C = c]$, but this is easy with Bayes. \square

Theorem 2. (*Shannon Theorem*) Let $|\mathcal{M}| = |\mathcal{K}| = |\mathcal{C}|$. A cipher has perfect secrecy if and only if:

- (i) Each key $k \in \mathcal{K}$ is chosen uniformly with probability $\frac{1}{|\mathcal{K}|}$;
- (ii) For every message $m \in \mathcal{M}$ and every ciphertext $c \in \mathcal{C}$, there exists one and only one key k such that $c = \text{Gen}(m)$.

\diamond

⁶In the context of probability theory: Both \wedge and \cap serve the purpose of indicating the intersection of events. The choice between \wedge and \cap may vary based on personal preference or the context in which it's used, but they convey the same meaning in probability theory.

1.2 OTP! (Vernam's Cipher)

Now we'll see a perfect encryption scheme, the **OTP!** (**OTP!**).

Construction 1 (OTP!). The message space, the cyphertext space, and the key space are all the same, i.e., $\mathcal{M} = \mathcal{K} = \mathcal{C} = \{0, 1\}^l$, with $l \in \mathbb{N}^+$.

The **OTP!** encryption scheme by Vernam is defined as follows:

- Fix an integer $l > 0$. Then the message space \mathcal{M} , key space \mathcal{K} , and ciphertext space \mathcal{C} are all equal to $\{0, 1\}^l$ (i.e., the set of all binary strings of length l);
- The key-generation algorithm Gen works by choosing a string from $\mathcal{K} = \{0, 1\}^l$ according to the uniform distribution (i.e., each of the 2^l strings in the space is chosen as the key with probability exactly 2^{-l}). Generate a random key $k \xleftarrow{\$} \{0, 1\}^l$;
- Enc: to encrypt the message $m \in \{0, 1\}^l$, we define:

$$c = \text{Enc}(m) = k \oplus m$$

- Dec: To decrypt the ciphertext $c \in \{0, 1\}^l$ with key k compute:

$$m = \text{Dec}(c) = c \oplus k$$

◇

^aIn this context, the symbol \$ is used to denote uniform random selection. Therefore, $k \xleftarrow{\$} \mathcal{K}$ means that the key k is chosen uniformly at random from the set of possible keys \mathcal{K} . Similarly, $m \xleftarrow{\$} \mathcal{M}$ means that the message m is chosen uniformly at random from the set of possible messages \mathcal{M} . This formalism is commonly used in cryptography to describe random selection operations.

Seeing that this is correct is immediate.

This can actually be done in any finite abelian⁷ group $(\mathbb{G}, +)$, where you just do $k + m$ to encode and $c - k$ to decode.

Theorem 3. *OTP! is perfectly secure.*

◇

Proof of ??. Fix $m \in \mathcal{M}, c \in \mathcal{C}$, and choose a random key.

$$\Pr[\text{Enc}(k, m) = c] = \Pr[k = c - m] = \frac{1}{|\mathbb{G}|}.$$

This is true for any m , so we are done.

□

OTP! has two problems:

1. the key is long (as long as the message);
2. we can't reuse the key:

$$\begin{aligned} c &= k + m \\ c' &= k + m' \end{aligned} \implies k = c - m = c' - m' \implies m' = m - (c - c').$$

Theorem 4 (Shannon, 1949). *In any perfectly secure encryption scheme the size of the key space is at least as large as the size of the message space, i.e., $|\mathcal{K}| \geq |\mathcal{M}|$.*

◇

Proof of ??. Assume, for the sake of contradiction, that $|\mathcal{K}| < |\mathcal{M}|$. Fix M to be the uniform distribution over \mathcal{M} , which we can do as perfect secrecy works for any distribution. Take a ciphertext $c \in \mathcal{C}$ such that $\Pr[C = c] > 0$, i.e., $\exists m, k$ such that $\text{Enc}(k, m) = c$.

⁷An abelian group, also known as a commutative group, is a fundamental concept in abstract algebra where the group's binary operation (commonly denoted as $+$ or multiplication in different contexts) is commutative. This means that the order of elements in the operation does not affect the result. Examples include additive groups of integers (\mathbb{Z}), rational numbers (\mathbb{Q}), and multiplicative groups of non-zero rational numbers (\mathbb{Q}^*), as well as matrix groups with matrix multiplication. Abelian groups play a crucial role in abstract algebra, with many theorems and concepts specifically applying to them.

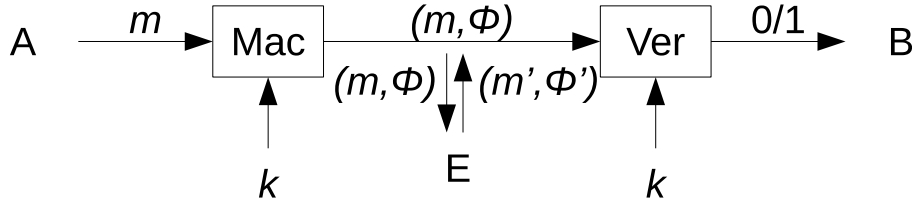


Figure 1.2: Message exchange between A and B using symmetric authentication. E is the eavesdropper.

Consider $\mathcal{M}' = \{\text{Dec}(k, c) : k \in \mathcal{K}\}$, the set of all messages decrypted from c using any key. Clearly, $|\mathcal{M}'| \leq |\mathcal{K}| < |\mathcal{M}|$, so $\exists m' \in \mathcal{M}$ such that $m' \notin \mathcal{M}'$. This means that

$$\Pr[M = m'] = \frac{1}{|\mathcal{M}|} \neq \Pr[M = m' | C = c] = 0$$

in contradiction with perfect secrecy. \square

In the rest of the course we will forget about perfect secrecy, and strive for computational security, *i.e.*, bound the computational power of the adversary.

1.3 Authentication

The aim of authentication is to avoid tampering of E with the messages exchanged between A and B (??).

A **MAC!** (**MAC!**) is defined as a tuple $\Pi = (\text{Gen}, \text{Mac}, \text{Vrfy})$, where:

- Gen , as usual, outputs a random key from some key space \mathcal{K} ;
- $\text{Mac} : \mathcal{K} \times \mathcal{M} \rightarrow \Phi$ maps a key and a message to an authenticator in some authenticator space Φ ;
- $\text{Vrfy} : \mathcal{K} \times \mathcal{M} \times \Phi \rightarrow \{0, 1\}$ verifies the authenticator.

As usual, we expect a **MAC!** to be correct, *i.e.*,

$$\forall m \in \mathcal{M}, \forall k \in \mathcal{K}. \text{Vrfy}(k, m, \text{Mac}(k, m)) = 1.$$

If the Mac function is deterministic, then it must be that $\text{Vrfy}(k, m, \phi) = 1$ if and only if $\text{Mac}(k, m) = \phi$.

Security for **MAC!**s is that *forger* must be hard: you can't come up with an authenticator for a message if you don't know the key.

Definition 2 (Information theoretic **MAC!**). $(\text{Mac}, \text{Vrfy})$ has ε -statistical security if for all (possibly unbounded) adversary \mathcal{A} , for all $m \in \mathcal{M}$,

$$\Pr \left[\text{Vrfy}(k, m', \phi') = 1 \wedge m' \neq m : \begin{array}{l} k \leftarrow \$\text{KeyGen}; \\ \phi = \text{Mac}(k, m); \\ (m', \phi') \leftarrow \mathcal{A}(m, \phi) \end{array} \right] \leq \varepsilon$$

i.e., the adversary forges a (m', ϕ') that verifies with key k with low probability, even if it knows a valid pair (m, ϕ) . \diamond

As an exercise, prove that the above is impossible if $\varepsilon = 0$.

Information theoretic security is also called unconditional security. Later we'll see *conditional* security, based on computational assumptions.

Definition 3 (Pairwise independence). Given a family $\mathcal{H} = \{h_k : \mathcal{M} \rightarrow \Phi\}_{k \in \mathcal{K}}$ of functions, we say that \mathcal{H} is pairwise independent if for all distinct $\forall m, m' \in \mathcal{M}$ s.t. $m \neq m'$, we have that $(h_k(m), h_k(m')) \in \Phi^2$ is uniform over the choice of $k \leftarrow \$\mathcal{K}$. \diamond

We show straight away a construction of a pairwise independent family of function.

Construction 2 (Pairwise independent function). Let p be a prime, the functions in our family \mathcal{H} are defined as

$$h_{a,b}(m) = am + b \pmod{p}$$

with $\mathcal{K} = \mathbb{Z}_p^2$, and with $\mathcal{M} = \Phi = \mathbb{Z}_p$. \diamond

Theorem 5. ?? is pairwise independent. ◇

Proof of ??. For any m, m', ϕ, ϕ' , we want to find the value of

$$\Pr[am + b = \phi \wedge am' + b = \phi']$$

for $a, b \leftarrow \mathbb{Z}_p^2$. This is the same as

$$\Pr_{a,b} \left[\begin{pmatrix} m & 1 \\ m' & 1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} \phi \\ \phi' \end{pmatrix} \right] = \Pr_{a,b} \left[\begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} m & 1 \\ m' & 1 \end{pmatrix}^{-1} \begin{pmatrix} \phi \\ \phi' \end{pmatrix} \right] = \frac{1}{|\Phi|^2}.$$

This is true since $\begin{pmatrix} m & 1 \\ m' & 1 \end{pmatrix}^{-1} \begin{pmatrix} \phi \\ \phi' \end{pmatrix}$ is just a couple of (constant) numbers, so the probability of choosing (a, b) such that they equal the constant is just $\frac{1}{|\Phi|^2}$. □

If h_k is part of a pairwise independent family of functions, then $\text{Mac}(k, m) = h_k(m)$, and $\text{Vrfy}(k, m, \phi)$ is simply computing $h_k(m)$ and comparing it with ϕ , i.e.,

$$\text{Vrfy}(k, m, \phi) = 1 \iff h_k(m) = \phi.$$

We now prove that this is an information theoretic **MAC!**.

Theorem 6. Any pairwise independent function is $\frac{1}{|\Phi|}$ -statistical secure. ◇

Proof of ??. Take any two distinct m, m' , and two ϕ, ϕ' . We show that the probability that $\text{Mac}(k, m') = \phi'$ is exponentially small.

$$\Pr_k[\text{Mac}(k, m) = \phi] = \Pr_k[h_k(m) = \phi] = \frac{1}{|\Phi|}.$$

Now look at the joint probabilities:

$$\begin{aligned} \Pr_k[\text{Mac}(k, m) = \phi \wedge \text{Mac}(k, m') = \phi'] &= \Pr_k[h_k(m) = \phi \wedge h_k(m') = \phi'] && \text{(by definition)} \\ &= \frac{1}{|\Phi|^2} = \frac{1}{|\Phi|} \cdot \frac{1}{|\Phi|}. \end{aligned}$$

The last steps come from the fact that h_k is pairwise independent. To see that the construction is $\frac{1}{|\Phi|}$ -statistical secure:

$$\begin{aligned} \Pr_k[\text{Mac}(k, m') = \phi' | \text{Mac}(k, m) = \phi] &= \Pr_k[h_k(m') = \phi' | h_k(m) = \phi] \\ &= \frac{\Pr_k[h_k(m) = \phi \wedge h_k(m') = \phi']}{\Pr_k[h_k(m) = \phi]} \\ &= \frac{1}{|\Phi|}. \end{aligned}$$

□

Note that ?? ($h_k(m) = am + b \pmod p$) is insecure if the same key $k = (a, b)$ is used for two messages.

Limitations

- Definition only *one-time* (can be extended to *t-time*).
- Key length in actual construction (\mathbb{Z}_p^2) is twice message length (\mathbb{Z}_p).

Theorem 7. Any *t-time* $2^{-\lambda}$ -statistically secure **MAC!** has key of size $(t+1)\lambda$. ◇

Proof. 1. **Statistical Security:** A MAC is $2^{-\lambda}$ -statistically secure if for any adversary, the probability of forging a valid tag for a new message is at most $2^{-\lambda}$.

2. **t -time Security:** The MAC can be used up to t times securely. That means the adversary sees t valid message-tag pairs and tries to forge the tag for a new message.

Initial Key Entropy: Suppose the key \mathcal{K} has entropy $\mathcal{H}(\mathcal{K})$. Initially, $\mathcal{H}(\mathcal{K}) = |\mathcal{K}|$ bits where $|\mathcal{K}|$ is the key size.

Information Gain Per Query: Each valid message-tag pair $(m_i, \text{MAC}_{\mathcal{K}}(m_i))$ gives some information about the key. Let I_i denote the information gained about the key from the i -th query. Since the MAC is $2^{-\lambda}$ -statistically secure, $I_i \leq \lambda$.

Total Information Gain: After t queries, the total information gained by the adversary is

$$I_{\text{total}} = \sum_{i=1}^t I_i \leq t\lambda$$

Remaining Key Entropy: The remaining entropy of the key after t queries should be enough to ensure $2^{-\lambda}$ -statistical security for the next message. Thus,

$$\mathcal{H}(\mathcal{K})_{\text{remaining}} \geq \lambda$$

Key Size Calculation:

$$|\mathcal{K}| = \mathcal{H}(\mathcal{K})_{\text{initial}} \geq \mathcal{H}(\mathcal{K})_{\text{remaining}} + I_{\text{total}}$$

$$|\mathcal{K}| \geq \lambda + t\lambda = (t+1)\lambda$$

Hence, we have demonstrated that to maintain t -time $2^{-\lambda}$ -statistical security for a MAC, the key size must be at least $(t+1)\lambda$ bits. □

1.4 Randomness Extraction: Von Neumann Extractor

X is a random source (possibly not uniform). $\text{Ext}(X) = Y$ is a uniform **RV!**.

First, let's see a construction for a binary **RV!**. Let B be a **RV!** such that $\Pr[B = 1] = p$ and $\Pr[B = 0] = 1 - p$, with $p \neq 1 - p$. We take two samples, B_1 and B_2 from B , and we want to obtain an unbiased **RV!** B' .

1. Take two samples, $b_1 \leftarrow \$B_1$ and $b_2 \leftarrow \$B_2$;
2. if $b_1 = b_2$, sample again;
3. if $(b_1 = 1 \wedge b_2 = 0)$, output 1; if $(b_1 = 0 \wedge b_2 = 1)$, output 0.

It's easy to verify that B' is uniform:

$$\Pr[B' = 1] = \Pr[B_1 = 1 \wedge B_2 = 0] = p(1 - p)$$

$$\Pr[B' = 0] = \Pr[B_1 = 0 \wedge B_2 = 1] = (1 - p)p.$$

How many trials do we have to make before outputting something? $2(1 - p)p$ is the probability that we output something. The probability that we don't output anything for n steps is thus $(1 - 2(1 - p)p)^n$.

Definition 4 (Min-Entropy). The Min-Entropy of ϕ is:

$$H_{\text{oo}}(X) = 65$$

◇

TO-DO

2

Computational Cryptography

To introduce computational cryptography we first have to define a computational model. We assume the adversary is efficient, *i.e.*, it is a **PPT!** (**PPT!**) adversary.

We want that the probability of success of the adversary is tiny, *i.e.*, negligible for some $\lambda \in \mathbb{N}$. A function $\varepsilon : \mathbb{N} \rightarrow \mathbb{R}$ is negligible if $\forall c > 0. \exists n_0$ such that $\forall n > n_0. \varepsilon(n) < n^{-c}$.

We rely on computational assumptions, *i.e.*, in tasks believed to be hard for any efficient adversary. In this setting we make conditional statements, *i.e.*, if a certain assumption holds then a certain crypto-scheme is secure.

2.1 OWF!s

A simple computational assumption is the existence of **OWF!s** (**OWF!s**), *i.e.*, functions for which is hard to compute the inverse.

Definition 5 (OWF!). A function $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$ is a **OWF!** if $f(x)$ can be computed in polynomial time for all x and for all **PPT!** adversaries \mathcal{A} it holds that

$$\Pr [f(x') = y : x \leftarrow \mathfrak{s}\{0, 1\}^*; y = f(x); x' \leftarrow \mathcal{A}(1^\lambda, y)] \leq \varepsilon(\lambda). \quad \diamond$$

The 1^λ given to the adversary \mathcal{A} is there to highlight the fact that \mathcal{A} is polynomial in the length of the input (λ).

Russel Impagliazzo proved that **OWF!s** are equivalent to One Way Puzzles, *i.e.*, couples (Pgen, Pver) where $\text{Pgen}(1^\lambda) \rightarrow (y, x)$ gives us a puzzle (y) and a solution to it (x), while $\text{Pver}(x, y) \rightarrow 0/1$ verifies if x is a solution of y .

Another object of interest in this classification are average hard NP-puzzles, for which you can only get an instance, *i.e.*, $\text{Pgen}(1^\lambda) \rightarrow y$.

Impagliazzo says we live in one of five worlds:

1. Algorithmica, where $P = NP$;
2. Heuristica, where there are no average hard NP-puzzles, *i.e.*, problems without solution;
3. Pessiland, where you have average hard NP-puzzles;
4. Minicrypt, where you have **OWF!**, one-way NP-puzzles, but no **PKC!** (**PKC!**);
5. Cryptomania, where you have both **OWF!** and **PKC!**.

We'll stay in Minicrypt for now.

OWF! are hard to invert on average. Two examples:

- factoring the product of two large prime numbers;
- compute the discrete logarithm, *i.e.*, take a finite group (\mathbb{G}, \cdot) , and compute $y = g^x$ for some $g \in \mathbb{G}$. The find $x = \log_g(y)$. This is hard to compute in some groups, *e.g.*, \mathbb{Z}_p^* .

2.2 Computational Indistinguishability

Definition 6 (Distribution Ensemble). A distribution ensemble $\mathcal{X} = \{X_n\}_{n \in \mathbb{N}}$ is a sequence of distributions X_i over some space $\{0, 1\}^\lambda$. \diamond

Definition 7 (Computational Indistinguishability). Two distribution ensembles \mathcal{X}_λ and \mathcal{Y}_λ are computationally indistinguishable, written as $\mathcal{X}_\lambda \approx_c \mathcal{Y}_\lambda$, if for all **PPT!** distinguishers \mathcal{D} it holds that

$$\left| \Pr[\mathcal{D}(\mathcal{X}_\lambda) = 1] - \Pr[\mathcal{D}(\mathcal{Y}_\lambda) = 1] \right| \leq \varepsilon(\lambda).$$

 \diamond

Lemma 1 (Reduction). If $\mathcal{X} \approx_c \mathcal{Y}$, then for all **PPT!** functions f , $f(\mathcal{X}) \approx_c f(\mathcal{Y})$. \diamond

Proof of ??. Assume, for the sake of contradiction, that $\exists f$ such that $f(\mathcal{X}) \not\approx_c f(\mathcal{Y})$: then we can distinguish \mathcal{X} and \mathcal{Y} . Since $f(\mathcal{X}) \not\approx_c f(\mathcal{Y})$, then $\exists p = \text{poly}(\lambda), \mathcal{D}$ such that, for infinitely many λ s

$$\left| \Pr[\mathcal{D}(f(\mathcal{X}_\lambda)) = 1] - \Pr[\mathcal{D}(f(\mathcal{Y}_\lambda)) = 1] \right| \geq \frac{1}{p(\lambda)}.$$

\mathcal{D} distinguishes \mathcal{X}_λ and \mathcal{Y}_λ with non-negligible probability. Consider the following \mathcal{D}' , which is given

$$z = \begin{cases} x \leftarrow \$\mathcal{X}_\lambda; \\ y \leftarrow \$\mathcal{Y}_\lambda. \end{cases}$$

\mathcal{D}' runs $\mathcal{D}(f(z))$ and outputs whatever it outputs, and has the same probability of distinguishing \mathcal{X} and \mathcal{Y} of \mathcal{D} , in contradiction with the fact that $\mathcal{X} \approx_c \mathcal{Y}$. \square

Now we show that computational indistinguishability is transitive.

Lemma 2 (Hybrid Argument). Let $\mathcal{X} = \{X_\lambda\}$, $\mathcal{Y} = \{Y_\lambda\}$, $\mathcal{Z} = \{Z_\lambda\}$ be distribution ensembles. If $\mathcal{X}_\lambda \approx_c \mathcal{Y}_\lambda$ and $\mathcal{Y}_\lambda \approx_c \mathcal{Z}_\lambda$, then $\mathcal{X}_\lambda \approx_c \mathcal{Z}_\lambda$. \diamond

Proof of ??. This follows from the triangular inequality.

$$\begin{aligned} \left| \Pr[\mathcal{D}(\mathcal{X}_\lambda) = 1] - \Pr[\mathcal{D}(\mathcal{Z}_\lambda) = 1] \right| &= \left| \Pr[\mathcal{D}(\mathcal{X}_\lambda) = 1] - \Pr[\mathcal{D}(\mathcal{Y}_\lambda) = 1] \right. \\ &\quad \left. + \Pr[\mathcal{D}(\mathcal{Y}_\lambda) = 1] - \Pr[\mathcal{D}(\mathcal{Z}_\lambda) = 1] \right| \\ &\leq \left| \Pr[\mathcal{D}(\mathcal{X}_\lambda) = 1] - \Pr[\mathcal{D}(\mathcal{Y}_\lambda) = 1] \right| \\ &\quad + \left| \Pr[\mathcal{D}(\mathcal{Y}_\lambda) = 1] - \Pr[\mathcal{D}(\mathcal{Z}_\lambda) = 1] \right| \\ &\leq 2\varepsilon(\lambda). \end{aligned} \quad (\text{negligible})$$

 \square

We often prove $\mathcal{X} \approx_c \mathcal{Y}$ by defining a sequence $\mathcal{H}_0, \mathcal{H}_1, \dots, \mathcal{H}_t$ of distributions ensembles such that $\mathcal{H}_0 \equiv \mathcal{X}$ and $\mathcal{H}_t \equiv \mathcal{Y}$, and that for all i , $\mathcal{H}_i \approx_c \mathcal{H}_{i+1}$.

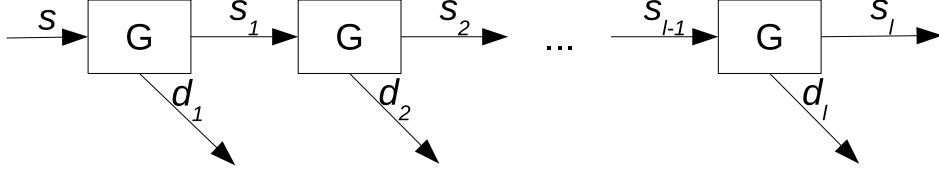
2.3 PRG!s

Let's see our first cryptographic primitive. **PRG!s** (**PRG!**s) take in input a random seed and generate pseudo random sequences with some stretch, *i.e.*, output longer than input, and indistinguishable from a true random sequence.

Definition 8 (**PRG!**). A function $\mathcal{G} : \{0, 1\}^\lambda \rightarrow \{0, 1\}^{\lambda+l(\lambda)}$ is a **PRG!** if and only if

1. \mathcal{G} is computable in polynomial time;
2. $|\mathcal{G}(s)| = \lambda + l(\lambda)$ for all $s \in \{0, 1\}^\lambda$;
3. $\mathcal{G}(\mathcal{U}_\lambda) \approx_c \mathcal{U}_{\lambda+l(\lambda)}$.

 \diamond

Figure 2.1: Extending a **PRG!** with 1 bit stretch to a **PRG!** with l bit stretch.

Theorem 8. *If \exists **PRG!** with 1 bit of stretch, then \exists **PRG!** with $l(\lambda)$ bits of stretch, with $l(\lambda) = \text{poly}(\lambda)$.* \diamond

Proof of ??. We'll prove this just for some fixed constant $l(\lambda) = l \in \mathbb{N}$.

First, let's look at the construction (??). We replicate our **PRG!** \mathcal{G} with 1 bit stretch l times. The **PRG!** \mathcal{G}^l that we define takes in input $s \in \{0, 1\}^\lambda$, computes $(s_1, b_1) = \mathcal{G}(s)$, where $s_1 \in \{0, 1\}^l$ and $b_1 \in \{0, 1\}$, outputs b_1 and feeds s_1 to the second copy of **PRG!** \mathcal{G} , and so on until the l -th **PRG!**.

To show that our construction is a **PRG!**, we define l hybrids, with $\mathcal{H}_0^\lambda \equiv \mathcal{G}^l(\mathcal{U}_\lambda)$, where $\mathcal{G}^l : \{0, 1\}^\lambda \rightarrow \{0, 1\}^{\lambda+l}$ is our proposed construction, and \mathcal{H}_i^λ takes $b_1, \dots, b_i \leftarrow \mathcal{S}\{0, 1\}$, $s_i \leftarrow \mathcal{S}\{0, 1\}^\lambda$, and outputs (b_1, \dots, b_i, s_i) , where $s_l \in \{0, 1\}^{\lambda+l-i}$ is $s_l = \mathcal{G}^{l-i}(s_i)$, i.e., the output of our construction restricted to $l-i$ units.

\mathcal{H}_l^λ takes $b_1, \dots, b_l \leftarrow \mathcal{S}\{0, 1\}$ and $s_l \leftarrow \mathcal{S}\{0, 1\}^l$ and outputs (b_1, \dots, b_l, s_l) directly.

We need to show that $\mathcal{H}_i^\lambda \approx_c \mathcal{H}_{i+1}^\lambda$. To do so, fix some i . The only difference between the two hybrids is that s_{i+1}, b_{i+1} are pseudo random in \mathcal{H}_i^λ , and are truly random in $\mathcal{H}_{i+1}^\lambda$. All bits before them are truly random, all bits after are pseudo random.

Assume these two hybrids are distinguishable, then we can break the **PRG!**. Consider the **PPT!** function f_i defined by $f(s_{i+1}, b_{i+1}) = (b_1, \dots, b_l, s_l)$ such that $b_1, \dots, b_i \leftarrow \mathcal{S}\{0, 1\}$ and, for all $j \in [i+1, l]$ $(b_j, s_j) = \mathcal{G}(s_{j-1})$.

By the security of **PRG!**s we have that $\mathcal{G}(\mathcal{U}_\lambda) \approx_c \mathcal{U}_{\lambda+l}$. By reduction, we also have that $f(\mathcal{G}(\mathcal{U}_\lambda)) \approx_c f(\mathcal{U}_{\lambda+l})$. Thus, $\mathcal{H}_i^\lambda \approx_c \mathcal{H}_{i+1}^\lambda$. \square

2.4 HCP!s

Definition 9 (HCP! - I). A polynomial time function $h : \{0, 1\}^n \rightarrow \{0, 1\}$ is *hard core* for $f : \{0, 1\}^n \rightarrow \{0, 1\}^n$ if for all **PPT!** adversaries \mathcal{A}

$$\Pr [\mathcal{A}(f(x)) = h(x) : x \leftarrow \mathcal{S}\{0, 1\}^n] \leq \frac{1}{2} + \varepsilon(\lambda).$$

\diamond

The $\frac{1}{2}$ in the upper bound tells us that the adversary can't do better than guessing.

Definition 10 (HCP! - II). A polynomial time function $h : \{0, 1\}^n \rightarrow \{0, 1\}$ is *hard core* for $f : \{0, 1\}^n \rightarrow \{0, 1\}^n$ if for all **PPT!** adversaries \mathcal{A}

$$\left| \Pr \left[\begin{array}{c} \mathcal{A}(f(x), h(x)) = 1 : \\ x \leftarrow \mathcal{S}\{0, 1\}^n \end{array} \right] - \Pr \left[\begin{array}{c} \mathcal{A}(f(x), b) = 1 : \\ x \leftarrow \mathcal{S}\{0, 1\}^n; \\ b \leftarrow \mathcal{S}\{0, 1\} \end{array} \right] \right| \leq \varepsilon(\lambda).$$

\diamond

Theorem 9. ?? and ?? are equivalent. \diamond

Proof of this theorem is left as exercise.

Luckily for us, every **OWF!** has a **HCP!** (**HCP!**). There isn't a single **HCP!** h for all **OWF!**s f . Suppose \exists such h , then take f and let $f'(x) = h(x) || f(x)$. Then, if $f'(x) = y || b$ for some x , it will always be that $h(x) = b$.

But, given a **OWF!**, we can create a new **OWF!** for which h is hard core.

Theorem 10 (GL! (GL!), 1983). Let $f : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be a **OWF!**, and define $g(x, r) = f(x) || r$ for $r \leftarrow \mathcal{S}\{0, 1\}^n$. Then g is a **OWF!**, and

$$h(x, r) = \langle x, r \rangle = \sum_{i=1}^n x_i \cdot r_i \pmod{2}$$

is hardcore for g . \diamond

Definition 11 (OWP!). We say that $f : \{0, 1\}^n \rightarrow \{0, 1\}^n$ is a **OWP!** (**OWP!**) if f is a **OWF!**, $\forall x. |x| = |f(x)|$, and for all distinct $x, x'. f(x) \neq f(x')$. \diamond

Corollary 1. Let f be a **OWP!**, and consider $g : \{0, 1\}^n \rightarrow \{0, 1\}^n$ from the **GL!** theorem. Then $\mathcal{G}(s) = (g(s), h(s))$ is a **PRG!** with stretch 1. \diamond

Proof of ??.

$$\begin{aligned}
 \mathcal{G}(\mathcal{U}_{2n}) &= (g(x, r), h(x, r)) \\
 &= (f(x) || r, \langle x, r \rangle) \\
 &\approx_c (f(x) || r, b) \\
 &\approx_c \mathcal{U}_{2n+1}.
 \end{aligned}
 \tag{GL!}$$

□

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Assume instead f is a **OWF!**, and that is 1-to-1 (injective). Consider $\mathcal{X} = g^m(\bar{x}) = (g(x_1), h(x_1), \dots, g(x_m), h(x_m))$, where $x_1, \dots, x_m \in \{0, 1\}^n$ (i.e., $\bar{x} \in \{0, 1\}^{nm}$). You can construct a **PRG!** from a **OWF!** as shown by H.I.L.L.

Fact 1. \mathcal{X} is indistinguishable from \mathcal{X}' such that $\mathcal{H}_\infty(\mathcal{X}') \geq k = n \cdot m + m$, since f is injective. \diamond

Now $\mathcal{G}(s, \bar{x}) = (s, \text{Ext}(s, g^m(\bar{x})))$ where $\text{Ext} : \{0, 1\}^d \times \{0, 1\}^{nm} \rightarrow \{0, 1\}^l$, and $l = nm + 1$. This works for $m = \omega(\log(n))$. You get extraction error $\varepsilon \approx 2^{-m}$.

3

SKE! Schemes

Definition 12 (SKE! scheme). We call $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$ a **SKE!** (**SKE!**) scheme.

- Gen outputs a key $k \leftarrow \mathcal{K}$;
- $\text{Enc}(k, m) = c$ for some $m \in \mathcal{M}$, $c \in \mathcal{C}$;
- $\text{Dec}(k, c) = m$.

As usual, we want Π to be correct. ◇

We want to introduce computational security: a bounded adversary can not gain information on the message given the cyphertext.

Definition 13 (One time security). A **SKE!** scheme $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$ has one time computational security if for all **PPT!** (**PPT!**) adversaries $\mathcal{A} \exists$ a negligible function ε such that

$$\left| \Pr [\mathcal{G}_{\Pi, \mathcal{A}}^{\text{one time}}(\lambda, 0) = 1] - \Pr [\mathcal{G}_{\Pi, \mathcal{A}}^{\text{one time}}(\lambda, 1) = 1] \right| \leq \varepsilon(\lambda)$$

where $\mathcal{G}_{\Pi, \mathcal{A}}^{\text{one time}}(\lambda, b)$ is the following “game” (or experiment):

1. pick $k \leftarrow \mathcal{K}$;
2. \mathcal{A} outputs two messages $(m_0, m_1) \leftarrow \mathcal{A}(1^\lambda)$ where $m_0, m_1 \in \mathcal{M}$ and $|m_0| = |m_1|$;
3. $\stackrel{\circ}{\rightarrow} \text{Enc}(k, m_b)$ with b input of the experiment;
4. output $b' \leftarrow \mathcal{A}(1^\lambda, c)$, i.e., the adversary tries to guess which message was encrypted. ◇

Let's look at a construction.

Construction 3 (SKE! scheme from PRG!). Let $\mathcal{G} : \{0, 1\}^n \rightarrow \{0, 1\}^l$ be a **PRG!** (**PRG!**). Set $\mathcal{K} = \{0, 1\}^n$, and $\mathcal{M} = \mathcal{C} = \{0, 1\}^l$. Define $\text{Enc}(k, m) = \mathcal{G}(k) \oplus m$ and $\text{Dec}(k, c) = \mathcal{G}(k) \oplus c$. ◇

Theorem 11. If \mathcal{G} is a **PRG!**, the **SKE!** in ?? is one-time computationally secure. ◇

Proof of ??. Consider the following experiments:

- $\mathcal{H}_0(\lambda, b)$ is like $\mathcal{G}_{\Pi, \mathcal{A}}^{\text{one time}}$:
 1. $k \leftarrow \mathcal{K}$;
 2. $(m_0, m_1) \leftarrow \mathcal{A}(1^\lambda)$;
 3. $c = \mathcal{G}(k) \oplus m_b$;
 4. $b' \leftarrow \mathcal{A}(1^\lambda, c)$.
- $\mathcal{H}_1(\lambda, b)$ replaces \mathcal{G} with something truly random:
 1. $(m_0, m_1) \leftarrow \mathcal{A}(1^\lambda)$;

2. $r \leftarrow \mathcal{S}\{0, 1\}^l$;
 3. $c = r \oplus m_b$, basically like **OTP!** (**OTP!**);
 4. $b' \leftarrow \mathcal{A}(1^\lambda, c)$.
- $\mathcal{H}_2(\lambda)$ is just randomness:
 1. $(m_0, m_1) \leftarrow \mathcal{A}(1^\lambda)$;
 2. $c \leftarrow \mathcal{S}\{0, 1\}^l$;
 3. $b' \leftarrow \mathcal{A}(1^\lambda, c)$.

First, we show that $\mathcal{H}_0(\lambda, b) \approx_c \mathcal{H}_1(\lambda, b)$, for $b \in \{0, 1\}$. Fix some value for b , and assume exists a **PPT!** distinguisher \mathcal{D} between $\mathcal{H}_0(\lambda, b)$ and $\mathcal{H}_1(\lambda, b)$: we then can construct a distinguisher \mathcal{D}' for the **PRG!**.

\mathcal{D}' , on input z , which can be either $\mathcal{G}(k)$ for some $k \leftarrow \mathcal{S}\{0, 1\}^n$, or directly $z \leftarrow \mathcal{S}\{0, 1\}^l$, does the following:

- get $(m_0, m_1) \leftarrow \mathcal{D}(1^\lambda)$;
- feed $z \oplus m_b$ to \mathcal{D} ;
- output the result of \mathcal{D} .

Now, we show that $\mathcal{H}_1(\lambda, b) \approx_c \mathcal{H}_2(\lambda, b)$, for $b \in \{0, 1\}$. By perfect secrecy of **OTP!** we have that $(m_0 \oplus r) \approx z \approx (m_1 \oplus r)$, so $\mathcal{H}_1(\lambda, 0) \approx_c \mathcal{H}_2(\lambda) \approx_c \mathcal{H}_1(\lambda, 1)$. \square

Corollary 2. *One-time computationally secure **SKE!** schemes are in Minicrypt.* \diamond

This scheme is not secure if the adversary knows a (m_1, c_1) pair, and we reuse the key. Take any m, c , then $c \oplus c_1 = m \oplus m_1$, and you can find m . This is called a **CPA!** (**CPA!**), something we will defined shortly using a **PRF!** (**PRF!**).

3.1 CPA!s and PRF!s

Definition 14 (PRF!). Let $\mathcal{F} = \{F_k : \{0, 1\}^n \rightarrow \{0, 1\}^l\}$ be a family of functions, for $k \in \{0, 1\}^\lambda$. Consider the following two experiments:

- $\mathcal{G}_{\mathcal{F}, \mathcal{A}}^{\text{real}}(\lambda)$, defined as:
 1. $k \leftarrow \mathcal{S}\{0, 1\}^\lambda$;
 2. $b' \leftarrow \mathcal{A}^{F_k(\cdot)}(1^\lambda)$, where \mathcal{A} can query an oracle for values of $F_k(\cdot)$, without knowing k .
- $\mathcal{G}_{\mathcal{F}, \mathcal{A}}^{\text{rand}}(\lambda)$, defined as:
 1. $R \leftarrow \mathcal{R}(n \rightarrow l)$, i.e., a function R is chosen at random from all functions from $\{0, 1\}^n$ to $\{0, 1\}^l$;
 2. $b' \leftarrow \mathcal{A}^{R(\cdot)}(1^\lambda)$, where \mathcal{A} can query an oracle for values of $R(\cdot)$.

The family \mathcal{F} of functions is a **PRF!** family if for all **PPT!** adversaries $\mathcal{A} \exists$ a negligible function ε such that

$$\left| \Pr [\mathcal{G}_{\mathcal{F}, \mathcal{A}}^{\text{real}}(\lambda) = 1] - \Pr [\mathcal{G}_{\mathcal{F}, \mathcal{A}}^{\text{rand}}(\lambda) = 1] \right| \leq \varepsilon(\lambda). \quad \diamond$$

To introduce **CPA!**s and **CPA!**-secure **SKE!** schemes, we first introduce the game of **CPA!**. As usual, a **SKE!** scheme is a tuple $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$.

Definition 15 (CPA!-secure SKE! scheme). Let $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$ be a **SKE!** scheme, and consider the game $\mathcal{G}_{\Pi, \mathcal{A}}^{\text{cpa}}(\lambda, b)$, defined as:

1. $k \leftarrow \mathcal{S}\{0, 1\}^\lambda$;
2. $(m_0, m_1) \leftarrow \mathcal{A}^{\text{Enc}(k, \cdot)}(1^\lambda)$. \mathcal{A} is given access to an oracle for $\text{Enc}(k, \cdot)$, so she knows some (m, c) couples, with $c = \text{Enc}(k, m)$;
3. $c \leftarrow \text{Enc}(k, m_b)$;
4. $b' \leftarrow \mathcal{A}^{\text{Enc}(k, \cdot)}(1^\lambda, c)$.

Π is **CPA!**-secure if for all **PPT!** adversaries \mathcal{A}

$$\mathcal{G}_{\Pi, \mathcal{A}}^{\text{cpa}}(\lambda, 0) \approx_c \mathcal{G}_{\Pi, \mathcal{A}}^{\text{cpa}}(\lambda, 1). \quad \diamond$$

Deterministic schemes cannot achieve this, *i.e.*, when Enc is deterministic the adversary could cipher m_0 and then compare c to $\text{Enc}(k, m_0)$, and output 0 if and only if $c = \text{Enc}(k, m_0)$.

Let's construct a **CPA!**-secure **SKE!** scheme using **PRF!**s.

Construction 4 (**SKE!** scheme from **PRF!**). Let \mathcal{F} be a **PRF!**, we define the following **SKE!** scheme $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$:

- Gen takes $k \leftarrow \mathcal{S}\{0, 1\}^\lambda$;
- $\text{Enc}(k, m) = (r, F_k(r) \oplus m)$, with $r \leftarrow \mathcal{S}\{0, 1\}^n$. Note that, since $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^l$, we have that $\mathcal{M} = \{0, 1\}^l$ and $\mathcal{C} = \{0, 1\}^{n+l}$;
- $\text{Dec}(k, (c_1, c_2)) = F_k(c_1) \oplus c_2$. \diamond

Our construction is both one time computationally secure, and secure against **CPA!**s.

Theorem 12. *If \mathcal{F} is a **PRF!**, Π is **CPA!**-secure.* \diamond

Proof of ??. First, we define the experiment $\mathcal{H}_0(\lambda, b) \equiv \mathcal{G}_{\Pi, \mathcal{A}}^{\text{cpa}}(\lambda, b)$ as follows:

1. $k \leftarrow \mathcal{S}\{0, 1\}^\lambda$;
2. $(m_0, m_1) \leftarrow \mathcal{A}^{\text{Enc}(k, \cdot)}(1^\lambda)$;
3. $c^* \leftarrow (r^*, F_k(r^*) \oplus m_b)$, where $r^* \leftarrow \mathcal{S}\{0, 1\}^n$;
4. output $b' \leftarrow \mathcal{A}^{\text{Enc}(k, \cdot)}(1^\lambda, c^*)$.

Note that in the **CPA!** game the adversary has access to an encryption oracle using the chosen key.

Now, for the first hybrid $\mathcal{H}_1(\lambda, b)$, where we sample a random function R in place of F_k :

1. $R \leftarrow \mathcal{R}(n \rightarrow l)$;
2. $(m_0, m_1) \leftarrow \mathcal{A}^{\text{Enc}(R, \cdot)}(1^\lambda)$, where now $\text{Enc}(R, m) = (r, R(r) \oplus m)$ for some random r ;
3. $c^* \leftarrow (r^*, R(r^*) \oplus m_b)$, where $r^* \leftarrow \mathcal{S}\{0, 1\}^n$;
4. output $b' \leftarrow \mathcal{A}^{\text{Enc}(R, \cdot)}(1^\lambda, c^*)$.

Our first claim is that $\mathcal{H}_0(\lambda, b) \approx_c \mathcal{H}_1(\lambda, b)$ for $b \in \{0, 1\}$. As usual, we assume that exists an adversary \mathcal{A} which can distinguish the experiments, *i.e.*, that can distinguish the oracles, and use \mathcal{A} to create \mathcal{A}_{PRF} that breaks the **PRF!**.

\mathcal{A}_{PRF} has access to some oracle $O(\cdot)$, with is one of two possibilities:

$$O(x) = \begin{cases} F_k(x) & \text{for } k \leftarrow \mathcal{S}\{0, 1\}^\lambda \\ R(x) & \text{for } R \leftarrow \mathcal{R}(n \rightarrow l). \end{cases}$$

\mathcal{A} gives \mathcal{A}_{PRF} some message m . \mathcal{A}_{PRF} picks $r \leftarrow \mathcal{S}\{0, 1\}^n$, and queries $O(r)$ to get $z \in \{0, 1\}^l$. Then it gives $(r, z \oplus m)$ to \mathcal{A} . This is repeated as long as \mathcal{A} asks for encryption queries.

Then \mathcal{A} gives to \mathcal{A}_{PRF} (m_0, m_1) , which repeats the same procedure using m_0 as a message (to distinguish $\mathcal{H}_0(\lambda, 0)$ from $\mathcal{H}_1(\lambda, 0)$) to compute c^* . \mathcal{A} , after receiving c^* , asks some more encryption queries, and then outputs b' . If $b' = 1$, \mathcal{A}_{PRF} says $R(\cdot)$, otherwise it says $F_k(\cdot)$.

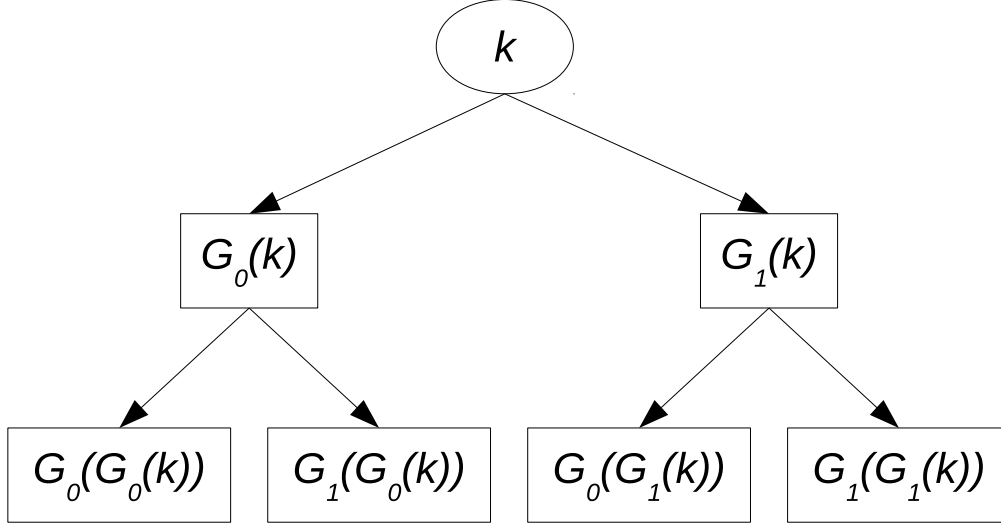
Now for the third experiment, $\mathcal{H}_2(\lambda)$, which uses $\text{Enc}(m) = (r_1, r_2)$ with $(r_1, r_2) \leftarrow \mathcal{S}\{0, 1\}^{n+l}$, *i.e.*, it outputs just randomness.

Our second claim is that $\mathcal{H}_1(\lambda, b) \approx_c \mathcal{H}_2(\lambda)$ for $b \in \{0, 1\}$. To see this, note that \mathcal{H}_1 and \mathcal{H}_2 are identical as long as collisions don't happen when choosing the r s. It suffices for us to show that collisions happen with small probability.

Call $E_{i,j}$ the event “random r_i collides with random r_j ”. The event of a collision is thus $E = \bigvee_{i,j} E_{i,j}$, and its probability can be upper bounded as follows:

$$\Pr[E] = \sum_{i,j} \Pr[E_{i,j}] = \sum_{i,j} \text{Coll}(\mathcal{U}_n) \leq \binom{q}{2} 2^{-n} \leq \frac{q^2}{2^n}$$

where q is the (polynomial) number of queries that the adversary does, and $\text{Coll}(\mathcal{U}_n)$ is the probability of a collision when using a uniform distribution, which is 2^{-n} . \square

Figure 3.1: First two levels of a **GGM!** tree.

Theorem 13 (**GGM!**, 1982). *PRF!s can be constructed from PRG!s.* ◇

Corollary 3. *PRF!s are in Minicrypt.* ◇

Construction 5 (**GGM!** tree). Assume we have a length doubling **PRG!** $\mathcal{G} : \{0, 1\}^\lambda \rightarrow \{0, 1\}^{2\lambda}$. We say that $\mathcal{G}(x) \triangleq (\mathcal{G}_0(x), \mathcal{G}_1(x))$ to distinguish the first λ bits from the second λ bits.

Now, to build the **PRF!** we construct a **GGM!** (**GGM!**) tree (??) starting with a key $k \in \{0, 1\}^\lambda$. On input $x = (x_1, \dots, x_n) \in \{0, 1\}^n$, with n being the height of the tree, the **PRF!** picks a path in the tree:

$$F_k(x) = \mathcal{G}_{x_n}(\dots \mathcal{G}_{x_1}(k) \dots).$$

Lemma 3. Let $\mathcal{G} : \{0, 1\}^\lambda \rightarrow \{0, 1\}^{2\lambda}$ be a **PRG!**. Then for all $t(\lambda) = \text{poly}(\lambda)$ we have that ◇

$$(\mathcal{G}(k_1), \dots, \mathcal{G}(k_t)) \approx_c \underbrace{(\mathcal{U}_{2\lambda}, \dots, \mathcal{U}_{2\lambda})}_{t \text{ times}}.$$

Proof of ??. We define t hybrids, where $\mathcal{H}_i(\lambda)$ is defined as

$$\mathcal{H}_i(\lambda) = (\mathcal{G}(k_1), \dots, \mathcal{G}(k_{t-i}), \underbrace{\mathcal{U}_{2\lambda}, \dots, \mathcal{U}_{2\lambda}}_{i \text{ times}})$$

thus $\mathcal{H}_0(\lambda) = (\mathcal{G}(k_1), \dots, \mathcal{G}(k_t))$ and $\mathcal{H}_t(\lambda) = (\mathcal{U}_{2\lambda}, \dots, \mathcal{U}_{2\lambda})$. To prove that $\mathcal{H}_1(\lambda) \approx_c \mathcal{H}_t(\lambda)$, we show that for any i it holds that $\mathcal{H}_i(\lambda) \approx_c \mathcal{H}_{i+1}(\lambda)$. This relies on the fact that $\mathcal{G}(k_{t-i}) \approx_c \mathcal{U}_{2\lambda}$: assume that exists a distinguisher \mathcal{D} for $\mathcal{H}_i(\lambda)$ and $\mathcal{H}_{i+1}(\lambda)$, we then break the **PRG!**.

We build \mathcal{D}' , which takes in input some z from either $\mathcal{G}(k_{t-i})$ or $\mathcal{U}_{2\lambda}$. \mathcal{D}' takes $k_1, \dots, k_{t-(i+1)} \leftarrow \{0, 1\}^\lambda$, and feeds $(\mathcal{G}(k_1), \dots, \mathcal{G}(k_{t-(i+1)}), z, \mathcal{U}_{2\lambda}, \dots, \mathcal{U}_{2\lambda})$ to \mathcal{D} , and returns whatever it returns. □

Proof that ?? is a PRF!. We'll define a series of hybrids to show that the **GGM!** tree is a **PRF!**. $\mathcal{H}_0(\lambda) \equiv$ our **GGM!** tree.

$\mathcal{H}_i(\lambda)$, for $i \in [1, n]$, will replace the tree up to depth i with a true random function. $\mathcal{H}_i(\lambda)$ initially has two empty arrays T_1 and T_2 . On input $x \in \{0, 1\}^n$, it checks if $\bar{x} = (x_1, \dots, x_i) \in T_1$. If not, $\mathcal{H}_i(\lambda)$ picks $k_{\bar{x}} \leftarrow \{0, 1\}^\lambda$ and adds \bar{x} to T_1 and $k_{\bar{x}}$ to T_2 . If $\bar{x} \in T_1$, it just retrieves $k_{\bar{x}}$ from T_2 . Then $\mathcal{H}_i(\lambda)$ outputs the following:

$$\mathcal{G}_{x_n}(\mathcal{G}_{x_{n-1}}(\dots \mathcal{G}_{x_{i+1}}(k_{\bar{x}}) \dots)).$$

If $i = 0$ we have that $\bar{x} = \perp$ and that $k_\perp \leftarrow \{0, 1\}^\lambda$, so $\mathcal{H}_0(\lambda) \equiv$ the **GGM!** tree. On the other hand, if $i = n$, each input x leads to a random output, so $\mathcal{H}_n(\lambda)$ is just a true random function.

Assume now that exists an adversary \mathcal{A} capable of telling apart $\mathcal{H}_i(\lambda)$ from $\mathcal{H}_{i+1}(\lambda)$, we could break the **PRG!**. □

3.2 Computationally Secure MAC!s

A computationally secure **MAC!** (**MAC!**) should be hard to forge, even if you see polynomially many authenticated messages.

Definition 16 (UFCMA! MAC!). Let $\Pi = (\text{Gen}, \text{Mac}, \text{Vrfy})$ be a **MAC!**, and consider the game $\mathcal{G}_{\Pi, \mathcal{A}}^{\text{ufcma}}(\lambda)$ defined as:

1. pick $k \leftarrow \mathcal{S}\{0, 1\}^\lambda$;
2. $(m^*, \phi^*) \leftarrow \mathcal{A}^{\text{Mac}(k, \cdot)}(1^\lambda)$, where the adversary can query an authentication oracle;
3. output 1 if $\text{Vrfy}(k, (m^*, \phi^*)) = 1$ and m^* is “fresh”, *i.e.*, it was never queried to Mac .

We say that Π is **UFCMA!** (**UFCMA!**) if for all **PPT!** adversaries \mathcal{A} it holds that

$$\Pr [\mathcal{G}_{\Pi, \mathcal{A}}^{\text{ufcma}}(\lambda) = 1] \leq \text{negl}(\lambda). \quad \diamond$$

As a matter of fact, any **PRF!** is a **MAC!**.

Construction 6 (MAC! from PRF!). Let $\mathcal{F} = \{F_k : \{0, 1\}^n \rightarrow \{0, 1\}^l\}_{k \in \{0, 1\}^\lambda}$ be a **PRF!** family, and let $\mathcal{K} = \{0, 1\}^\lambda$. Define $\text{Mac}(k, m) = F_k(m)$. \diamond

Theorem 14. *If \mathcal{F} is a **PRF!**, the **MAC!** shown in ?? is **UFCMA!**.* \diamond

Proof of ??. Consider the game $\mathcal{H}(\lambda)$ where:

1. $R \leftarrow \mathcal{R}(n \rightarrow l)$ is a random function;
2. $(m^*, \phi^*) \leftarrow \mathcal{A}^{R(\cdot)}(1^\lambda)$;
3. output 1 if $R(m^*) = \phi^*$ and m^* is “fresh”.

Our first claim is that $\mathcal{H}(\lambda) \approx_c \mathcal{G}_{\Pi, \mathcal{A}}^{\text{ufcma}}(\lambda)$ for all **PPT!** adversaries \mathcal{A} . Assume not, then \exists a distinguisher \mathcal{D} for $\mathcal{H}(\lambda)$ and $\mathcal{G}_{\Pi, \mathcal{A}}^{\text{ufcma}}(\lambda)$, and we can construct a distinguisher \mathcal{D}' for the **PRF!**. \mathcal{D}' has access to an oracle $O(\cdot)$ which is either $F_k(\cdot)$ for some random k , or $R(\cdot)$ for some random function R . \mathcal{D}' feeds a game to \mathcal{D} using $O(\cdot)$.

Our second claim is that $\Pr [\mathcal{H}(\lambda) = 1] \leq 2^{-\lambda}$, since $R(\cdot)$ is random and the only way to predict it is by guessing. \square

Up to this point we have shown that **OWF!** (**OWF!**), **PRG!**, **PRF!** and **MAC!** are all in Minicrypt.

3.3 Domain Extension

We look now at domain extension. Suppose we have a **PRF!** family $\mathcal{F} = \{F_k : \{0, 1\}^n \rightarrow \{0, 1\}^l\}$ as above, and we have a message $m = m_1 || \dots || m_t$, with $m_i \in \{0, 1\}^n$, and with t being the number of blocks of m .

Let's look at some constructions that won't work.

1. $\phi = \text{Mac}\left(k, \bigoplus_{i=1}^t m_i\right)$ does not work, since with $m = m_1 || m_2$ we could swap the bits in position i of m_1 and m_2 and have the same authenticator;
2. $\phi_i = \text{Mac}(k, m_i)$ and $\phi = \phi_1 || \dots || \phi_t$ does not work, since we could rearrange the blocks of the authenticator and of the message and still get a valid couple. *i.e.*, take $m' = m_1 || m_3 || m_2$ and $\phi' = \phi_1 || \phi_3 || \phi_2$;
3. $\phi_i = \text{Mac}(k, \langle i \rangle || m_i)$ and $\phi = \phi_1 || \dots || \phi_t$, where $\langle i \rangle$ is the binary representation of integer i , does not work, since we could cut and paste blocks from different message/authenticator couples and to get a fresh valid couple.

Now, for the real one. To extend the domain of a **PRF!** \mathcal{F} we need a function $h : \{0, 1\}^{nt} \rightarrow \{0, 1\}^n$ for which is hard to find a collision, *i.e.*, two distinct messages m', m'' such that $h(m') = h(m'')$. To do this, we introduce **CRH!**s (**CRH!**s), an object found in Cryptomania. We add a key to the hash function.

Definition 17 (UHF!). The family of functions $\mathcal{H} = \{h_s : \{0, 1\}^N \rightarrow \{0, 1\}^n\}_{s \in \{0, 1\}^\lambda}$ is universal (as in **UHF!** (**UHF!**)) if for all distinct x, x' we have that

$$\Pr_{s \leftarrow \mathcal{S}\{0, 1\}^\lambda} [h_s(x) = h_s(x')] \leq \varepsilon.$$

Two cases are possible, depending on what ε is:

- if $\varepsilon = 2^{-n}$, then \mathcal{H} is said to be **PU!** (**PU!**);
- if $\varepsilon = \text{negl}(\lambda)$, with $\lambda = |s|$, then \mathcal{H} is said to be **AU!** (**AU!**). ◇

With **UHF!** we can extend the domain of a **PRF!**.

Theorem 15. *If \mathcal{F} is a **PRF!** and \mathcal{H} is a **AU!** family of hash functions, then $\mathcal{F}(\mathcal{H})$, defined as*

$$\mathcal{F}(\mathcal{H}) = \{F_k(h_s(\cdot)) : \{0, 1\}^N \rightarrow \{0, 1\}^l\}_{k'=(k,s)}$$

*is a **PRF!**.* ◇

Proof of ??. Consider the following games:

- $\mathcal{G}_{\mathcal{F}(\mathcal{H}), \mathcal{A}}^{\text{real}}(\lambda)$, defined as:
 1. $k \leftarrow \mathcal{S}\{0, 1\}^\lambda$, $s \leftarrow \mathcal{S}\{0, 1\}^\lambda$;
 2. $b' \leftarrow \mathcal{A}^{F_k(h_s(\cdot))}(1^\lambda)$.
- $\mathcal{G}_{\mathcal{S}, \mathcal{A}}^{\text{rand}}(\lambda)$, defined as:
 1. $\bar{R} \leftarrow \mathcal{R}(N \rightarrow l)$;
 2. $b \leftarrow \mathcal{A}^{\bar{R}(\cdot)}(1^\lambda)$.

Consider also the hybrid $H_{\mathcal{S}, \mathcal{H}, \mathcal{A}}(\lambda)$:

1. $s \leftarrow \mathcal{S}\{0, 1\}^\lambda$;
2. $R \leftarrow \mathcal{R}(n \rightarrow l)$;
3. $b \leftarrow \mathcal{A}^{R(h_s(\cdot))}(1^\lambda)$.

The first claim, *i.e.*, that $\mathcal{G}_{\mathcal{F}(\mathcal{H}), \mathcal{A}}^{\text{real}}(\lambda) \approx_c H_{\mathcal{S}, \mathcal{H}, \mathcal{A}}(\lambda)$, is left as exercise.

The second claim is that $H_{\mathcal{S}, \mathcal{H}, \mathcal{A}}(\lambda) \approx_c \mathcal{G}_{\mathcal{S}, \mathcal{A}}^{\text{rand}}(\lambda)$. Assume the adversary asks q distinct queries. Consider the event $E = \exists (x_i, x_j)$ such that $h_s(x_i) = h_s(x_j)$ with $i \neq j$, and with $i, j \leq q$. If E doesn't happen, $H_{\mathcal{S}, \mathcal{H}, \mathcal{A}}(\lambda)$ and $\mathcal{G}_{\mathcal{S}, \mathcal{A}}^{\text{rand}}(\lambda)$ are the same. This event is the same as getting first all the inputs that the adversary wants to try, and then sampling $s \leftarrow \mathcal{S}\{0, 1\}^\lambda$, so its probability can be bounded as

$$\Pr[E] = \Pr[\exists i, j : h_s(x_i) = h_s(x_j)] \leq \binom{q}{2} \varepsilon \leq q^2 \varepsilon. \quad \square$$

Now, let's look at a construction.

Construction 7 (UHF! with Galois field). Let \mathbb{F} be a finite field, such as the Galois field over 2^n . In the Galois field, a bit string represents the coefficients of a polynomial of degree $n - 1$. Addition is the usual, while for multiplication an irreducible polynomial $p(x)$ of degree n is fixed, and the operation is carried out modulo $p(x)$.

We pick $s \in \mathbb{F}$, and $x = x_1 || \dots || x_t$ with $x_i \in \mathbb{F}$ for all i . The hash function is defined as

$$h_s(x) = h_s(x_1 || \dots || x_t) = \sum_{i=1}^t x_i \cdot s^{i-1} = Q_x(s).$$

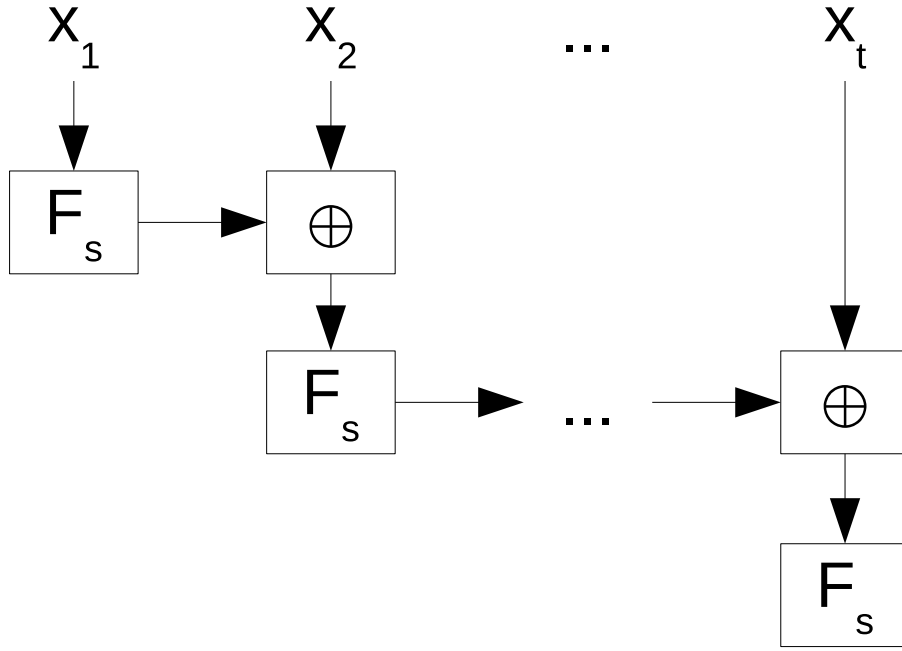
A collision is two distinct x, x' such that

$$Q_x(s) = Q_{x'}(s) \iff Q_{x-x'}(s) = 0 \iff \sum_{i=1}^t (x_i - x'_i) s^{i-1} = 0.$$

This means that s is a root of $Q_{x-x'}$. So the probability of a collision is:

$$\Pr[h_s(x) = h_s(x')] = \frac{t-1}{|\mathbb{F}|} = \frac{t-1}{2^n}. \quad (\text{negligible})$$

◇

Figure 3.2: Construction of the **CBC!-MAC!**.

We now look at a computational variant of hash functions. We want hash functions for which collisions are difficult to find for any **PPT!** adversary \mathcal{A} , *i.e.*, families of functions such that

$$\Pr_s [h_s(x) = h_s(x') : (x, x') \leftarrow \mathcal{A}(1^\lambda)] \leq \varepsilon.$$

We want to use some **PRF!** family \mathcal{F} to define \mathcal{H} . Enter **CBC!** (**CBC!**)-**MAC!** (??). **CBC!-MAC!** is defined as

$$h_s(x_1, \dots, x_t) = F_s(x_t \oplus F_s(x_{t-1} \oplus \dots \oplus F_s(x_1)) \dots).$$

Theorem 16. ***CBC!-MAC!** is a computationally secure **AU!** hash function if \mathcal{F} is a **PRF!**.* ◇

There's also the encrypted **CBC!-MAC!**, *i.e.*, $F_k(\text{CBC-MAC}(s, x))$.

Theorem 17. ***CBC!-MAC!** is a **PRF!**.* ◇

Theorem 18. ***CBC!-MAC!** is **AU!**.* ◇

CBC!-MAC! is insecure with variable length messages.

XOR-MAC! is defined as follows: take η , a random value (nonce), and output $(\eta, F_k(\eta) \oplus h_s(x))$. Note that here the input is shrunk to the output size of the **PRF!**, while before we shrunk to the input size of the **PRF!**.

Suppose the adversary is given a pair $(m, (\eta, v))$ from a **XOR-MAC!**. She could try to output $(m', (\eta, v \oplus a))$, trying to guess an a such that $h_s(m) \oplus a = h_s(m')$, so that this is still a valid tag. If a is hard to find (as should be), we have “almost xor universality”. Almost universality is the special case where $a = 0$.

From a **PRF!** family we can get a **MAC!** for **FIL!** (**FIL!**) messages (a **FIL!-MAC!**). ?? compares the constructions we have seen earlier for **FIL!-MAC!**s and **VIL!** (**VIL!**)-**MAC!**s.

CBC!-MAC! cannot be extended securely to **VIL!**. As an example, take

$$\text{CBC-MAC}(m_1 || \dots || m_t) = F_k(m_t \oplus \dots \oplus F_k(m_1) \dots). \quad (3.1)$$

If we have (m_1, ϕ_1) , with $\phi_1 = F_k(m_1)$. We could then take $m_2 = m_1 || \phi_1 \oplus m_1$, and ϕ_1 would be a valid authenticator for m_2 :

$$\text{CBC-MAC}(m_2) = F_k(m_1 \oplus \phi_1 \oplus F_k(m_1)) = F_k(m_1 \oplus \phi_1 \oplus \phi_1) = F_k(m_1) = \phi_1.$$

	FIL!-PRF!	FIL!-MAC!	VIL!-MAC!
$\mathcal{F}(\mathcal{H})$	✓	✓	
CBC!-MAC!		✓	
E-CBC!-MAC!	✓	✓	✓
XOR-MAC!		✓	✓

Table 3.1: Constructions for **FIL!-PRF!**, **FIL!-MAC!**, and **VIL!-MAC!**.

3.4 CCA!s and Authenticated Encryption

In **CCA!** (**CCA!**) security, the adversary is allowed to choose the cyphertext, and to see its decryption.

Definition 18 (**CCA!**-security). Let $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$ be a **SKE!** scheme, and consider the following game $\mathcal{G}_{\Pi, \mathcal{A}}^{\text{cca}}(\lambda, b)$:

1. $k \leftarrow \mathcal{S}\{0, 1\}^\lambda$;
2. $(m_0, m_1) \leftarrow A^{\text{Enc}(k, \cdot), \text{Dec}(k, \cdot)}(1^\lambda)$;
3. $c \leftarrow \text{Enc}(k, m_b)$;
4. $b' \leftarrow A^{\text{Enc}(k, \cdot), \text{Dec}^*(k, \cdot)}(1^\lambda, c)$ where Dec^* does not accept c .

Π is **CCA!**-secure if for all **PPT!** adversaries \mathcal{A} we have that

$$\mathcal{G}_{\Pi, \mathcal{A}}^{\text{cca}}(\lambda, 0) \approx_c \mathcal{G}_{\Pi, \mathcal{A}}^{\text{cca}}(\lambda, 1). \quad \diamond$$

CCA!-security implies a property called *malleability*: if you change a bit the cyphertext you don't get similar messages.

Claim 1. The **SKE!** scheme consisting of $\text{Enc}(k, m) = (r, F_k(r) \oplus m)$ (for random r) and $\text{Dec}(k, (c_1, c_2)) = F_k(c_1) \oplus c_2 = m$ is not **CCA!**-secure. \diamond

Proof of ??. 1. Output $m_0 = 0^n$ and $m_1 = 1^n$;

2. get $c = (c_1, c_2) = (r, F_k(r) \oplus m_b)$;
3. let $c'_2 = c_2 \oplus 10^{n-1}$;
4. query $\text{Dec}(k, (c_1, c'_2))$ (which is different from c);
5. if you get 10^{n-1} , output 0, else output 1.

This always works:

$$\begin{aligned} \text{Dec}(k, (c_1, c'_2)) &= F_k(c_1) \oplus c'_2 = \overbrace{F_k(c_1) \oplus c_2}^{m_b} \oplus 10^{n-1} \\ &= m_b \oplus 10^{n-1} = 10^{n-1} \iff m_b = 0^n. \end{aligned}$$

□

We'll build now Authenticated Encryption. It's both **CPA!** and **INT!** (**INT!**), *i.e.*, it's hard for the adversary to generate a valid cyphertext not queried to the encryption oracle.

As an exercise, formalise the fact that **CPA!** and **INT!** imply **CCA!**, *i.e.*, reduce **CCA!** to **CPA!**.

Any **CPA!**-secure encryption scheme, together with a **MAC!**, gives you **CCA!** security. This is called an encrypted **MAC!**.

Construction 8 (Encrypted **MAC!**). Consider the encryption scheme $\Pi_1 = (\text{Gen}, \text{Enc}, \text{Dec})$, with key space \mathcal{K}_1 , and the **MAC!** $\Pi_2 = (\text{Gen}, \text{Mac}, \text{Vrfy})$, with key space \mathcal{K}_2 . We build the encryption scheme $\Pi' = (\text{Gen}', \text{Enc}', \text{Dec}')$, with key space $\mathcal{K}' = \mathcal{K}_1 \times \mathcal{K}_2$ as follows:

1. $\text{Enc}'(k', m) = (c, \phi) = c'$, with $c \leftarrow \text{Enc}(k_1, m)$ and $\phi \leftarrow \text{Mac}(k_2, c)$;
2. $\text{Dec}'(k', (c, \phi))$ checks if $\text{Mac}(k_2, c) = \phi$: if not, it outputs \perp , else it outputs $\text{Dec}(k_1, c)$. \diamond

Theorem 19. If Π_1 is **CPA!**-secure and Π_2 is strongly **UFCMA!**-secure, then Π' is **CPA!** and **INT!**. \diamond

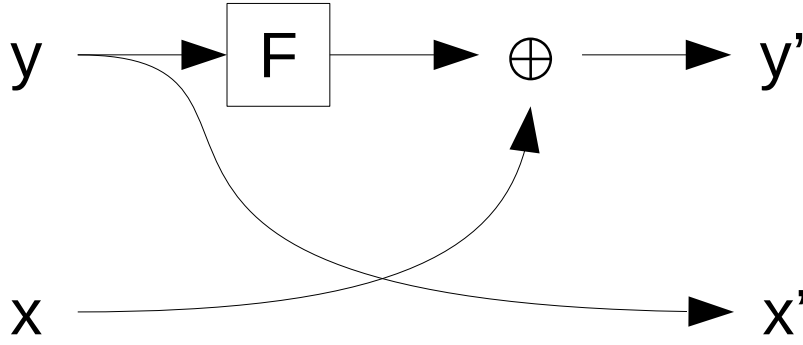


Figure 3.3: The Feistel permutation.

I don't know what I wrote here?

Strong **UFCMA!** security means you output (m^*, ϕ^*) where the couple was never asked. So if you know (m, ϕ) , you can output (m, ϕ') .

Proof of ??. We need to show that Π' is both **CPA!** and **INT!**.

1. The proof for **CPA!** is just a reduction to the **CPA!**-security of Π_1 . Assume \mathcal{A}' breaks **CPA!**-security of Π' , we can construct \mathcal{A}_1 which breaks **CPA!** of Π_1 .

\mathcal{A}_1 picks a key to impersonate the **MAC!**, then for each message m gets its encryption c from Π_1 , and then does Mac of c to get the authenticator ϕ . Then it returns (c, ϕ) to \mathcal{A}' . When it receives m_0, m_1 from \mathcal{A}' , it receives c^* from Π_1 , computes its Mac, and gives the result to \mathcal{A}' . Then it outputs whatever \mathcal{A}' outputs.

2. For **INT!**, assume \mathcal{A}'' breaking **INT!** of Π' , we can build \mathcal{A}_2 which breaks **INT!** of Π_2 .

We ask \mathcal{A}_2 the encryption of m . \mathcal{A}_2 picks a key k , computes $\text{Enc}(k, m) = c$, and gives c to the $\text{Mac}(\cdot)$ oracle. Then it gives (c, ϕ) to \mathcal{A}'' . Later on, \mathcal{A}'' gives \mathcal{A}_2 some (c^*, ϕ^*) , which is a valid validator if Π' is not **INT!**, so \mathcal{A}_2 has broken Π_2 . \square

The approach to **CCA!** consisting of Encrypt-then-**MAC!** works. Other approaches don't work in general:

- Encrypt-and-**MAC!**, which is what **SSH!** (**SSH!**) does, *i.e.*, $c \leftarrow \text{Enc}(k_c, m)$ and $\phi \leftarrow \text{Mac}(k_s, m)$;
- **MAC!**-then-Encrypt, which is what **TLS!** (**TLS!**) does, *i.e.*, $\phi \leftarrow \text{Mac}(k_s, m)$ and $c \leftarrow \text{Enc}(k_c, m || \phi)$.

3.5 PRP!s

Block cyphers are **PRP!**s (**PRP!**s), a function family that is a **PRF!** but also a permutation. A **PRP!** family cannot be distinguished from a true permutation. For a *strong* **PRP!** family, the adversary has access to the inverse of the permutation. From **PRF!**s we can build both **PRP!**s and strong **PRP!**s.

Definition 19 (Feistel function). Let $F : \{0, 1\}^n \rightarrow \{0, 1\}^n$, then the Feistel function (??) is defined as

$$\psi_F(\underbrace{x, y}_{2n}) = (y, x \oplus F(y)) = (\underbrace{x', y'}_{2n}). \quad \diamond$$

It's easy to see that the Feistel function is invertible:

$$\psi_F^{-1}(x', y') = (F(x') \oplus y', x') = (\cancel{F(\cancel{y})} \oplus \cancel{F(\cancel{y})} \oplus x, y) = (x, y).$$

We can “cascade” several Feistel functions, to create a Feistel network. Take F_1, \dots, F_l , and define the following function:

$$\psi_{\mathcal{F}}[l](x, y) = \psi_{F_l}(\psi_{F_{l-1}}(\dots \psi_{F_1}(x, y) \dots))$$

and its inverse:

$$\psi_{\mathcal{F}}^{-1}[l](x', y') = \psi_{F_1}^{-1}(\dots \psi_{F_{l-1}}^{-1}(\psi_{F_l}^{-1}(x', y')) \dots).$$

Theorem 20 (Luby-Rackoff). *If $\mathcal{F} = \{F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n\}_{k \in \{0, 1\}^\lambda}$ is a **PRF!**, then $\psi_{\mathcal{F}}[3]$ is a **PRP!** and $\psi_{\mathcal{F}}[4]$ is a strong **PRP!**.* \diamond

We will prove only that $\psi_{\mathcal{F}}[3]$ is a **PRP!**.

Theorem 21. *If \mathcal{F} is a **PRF!**, $\psi_{\mathcal{F}}[3]$ is a **PRP!**.* \diamond

Recall that

$$\psi_{\mathcal{F}}[3] = \psi_{F_{k_3}}(\psi_{F_{k_2}}(\psi_{F_{k_1}}(x, y))).$$

Proof of ??. Consider these experiments:

$$\begin{aligned} H_0 : (x, y) &\xrightarrow{\psi_{F_{k_1}}} (x_1, y_1) \xrightarrow{\psi_{F_{k_2}}} (x_2, y_2) \xrightarrow{\psi_{F_{k_3}}} (x_3, y_3) \\ H_1 : (x, y) &\xrightarrow{\psi_{R_1}} (x_1, y_1) \xrightarrow{\psi_{R_2}} (x_2, y_2) \xrightarrow{\psi_{R_3}} (x_3, y_3). \end{aligned}$$

H_2 is just like H_1 , but we stop if y_1 “collides”. A collision happens when we have $(x, y) \rightarrow (x_1 = y, y_1 = x \oplus R_1(y))$, and $y_1 = y$.

In H_3 we replace $y_2 = R_2(y_1) \oplus x_1$ with $y_2 \leftarrow \mathcal{S}\{0, 1\}^n$, and set $x_2 = y_1$.

In H_4 we replace $y_3 = R_3(y_2) \oplus x_2$ with $y_3 \leftarrow \mathcal{S}\{0, 1\}^n$, and set $x_3 = y_2$.

In H_5 we directly map $(x, y) \xrightarrow{\bar{R}} (x_3, y_3)$, with $\bar{R} \leftarrow \mathcal{R}(2n \rightarrow 2n)$ being a random permutation.

First claim: $H_0 \approx_c H_1$, since we replaced the **PRF!** with truly random functions. The proof is the usual proof by hybrids.

Second claim: $H_1 \approx_c H_2$. Consider the event E of a collision, defined as “ $\exists(x, y) \neq (x', y')$ such that $x \oplus R_1(y) = x' \oplus R_1(y')$, i.e., $x \oplus x' = R_1(y) \oplus R_1(y')$ ”. If $y = y'$, there can’t be a collision, so we can assume that $y \neq y'$. So the probability of a collision is:

$$\Pr[E] = \Pr[R_1(y) \oplus R_1(y') = x \oplus x'] \leq 2^{-n}.$$

Third claim: $H_2 \approx_c H_3$. In H_2 , y_1 is just a stream of independent values. Since y_1 never collides and R_2 is random, all $R_2(y_1)$ are uniform and independent, and so is $y_2 = R_2(y_1) \oplus x_1$.

Fourth claim: $H_3 \approx_c H_4$. Now y_3 is random, and $x_3 = y_2$. It suffices that y_2 never collides, since $R_3(y_2)$ is a sequence of one time pad keys.

$$\Pr[y_2 \text{ collides}] \leq \binom{q}{2} 2^{-n}.$$

Fifth claim: $H_4 \approx_c H_5$. Just notice that H_4 is simply a random function from $2n$ bits to $2n$ bits, and H_5 is a random permutation. They can only be distinguished if there is a collision in H_4 , which again has negligible probability. \square

A strong **PRP!** P leads to **CCA!** security with the following construction:

- $\text{Enc}(k, m) = P(k, m \| r)$, with $m, r \in \{0, 1\}^n$;
- $\text{Dec}(k, c) = P^{-1}(k, c)$ and take the first n bits.

Domain Extension for PRP!s

Assume we have a message $m = m_1 \| \dots \| m_t$, with $m_i \in \{0, 1\}^n$, and you are given a **PRP!** from n bits to n bits.

- One natural thing to do is **ECB!** (**ECB!**). Let $c = c_1 \| \dots \| c_t$ with $c_i = P_k(m_i)$. This is very fast, parallelisable, but insecure (since it’s deterministic).
- **CFB!** (**CFB!**): sample c_0 at random, then let $c_i = P_k(c_{i-1}) \oplus m_i$. This is **CPA!** secure and parallelisable for decryption (but not for encryption).
- **CBC!**: sample c_0 at random, then let $c_i = P_k(c_{i-1} \oplus m_i)$. This is also **CPA!** secure. Note that if you output all c_i this does not work as a **MAC!** (recall that **CBC!-MAC!** outputs just c_t).
- **CTR!** (**CTR!**)-mode: sample $r \leftarrow \mathcal{S}[N]$, with $N = 2^n$. Let $c_i = P_k(r + i - 1 \bmod N) \oplus m_i$, and output $c_0 \| c_1 \| \dots \| c_t$ with $c_0 = r$. For decryption, compute $m_i = P_k(c_0 + i - 1 \bmod N) \oplus c_i$.

Theorem 22. *If \mathcal{F} is a **PRF!** family, then **CTR!**-mode is **CPA!**-secure for **VIL!**.* \diamond

Proof of ??. Consider the game $H_0(\lambda, b)$, defined as:

1. $k \leftarrow \$\{0, 1\}^\lambda$;
2. the adversary asks encryption queries, for messages $m = m_1 || \dots || m_t$:
 - $c_0 = r \leftarrow \$[N]$ (with $N = 2^n$);
 - $c_i = F_k(r + i - 1 \bmod N) \oplus m_i$;
 - output $c_0 || c_1 || \dots || c_t$.
3. challenge: the adversary gives (m_0^*, m_1^*) , and take $m_b^* = m_{b_1}^* || \dots || m_{b_t}^*$ (both messages have the same length);
4. compute c^* from m_b^* and output to adversary;
5. adversary asks more encryption queries, then it outputs b' .

We want to show that $H_0(\lambda, 0) \approx_c H_1(\lambda, 1)$.

First, we define the hybrid $H_1(\lambda, b)$ which samples $R \leftarrow \$\mathcal{R}(n \rightarrow n)$ and uses $R(\cdot)$ in place of $F_k(\cdot)$. The proof that $H_0(\lambda, b) \approx_c H_1(\lambda, b)$ is a usual proof by reduction to security of the **PRF!**. Assume there exists a distinguisher \mathcal{D} for H_0 and H_1 , we build a distinguisher \mathcal{D}' for the **PRF!**, which has access to some oracle that is either $F_k(\cdot)$ or $R(\cdot)$ for random R . \mathcal{D}' plays the game defined above with \mathcal{D} using the oracle, and outputs whatever it outputs, thus distinguishing the **PRF!**.

Now consider the hybrid $H_2(\lambda)$, which outputs a uniformly random challenge cyphertext $c^* \leftarrow \$\{0, 1\}^{n(t+1)}$. We claim that $H_1(\lambda, b) \approx_s H_2(\lambda)$ for $b \in \{0, 1\}$, *i.e.*, they are statistically indistinguishable: we accept unbounded adversaries that can only ask a polynomial number of queries.

Consider c^* , and the values of $R(r^*), R(r^* + 1), \dots, R(r^* + t^* - 1)$. Then, consider the i -th encryption query, c^i , and the values of $R(r_i), R(r_i + 1), \dots, R(r_i + t_i - 1)$. If $R(r_i + j)$ is always different from $R(r^* + j')$ for any j' , this is basically **OTP!**. So, calling E the event “ $\exists j_1, j_2$ such that $R(r_i + j_1) = R(r^* + j_2)$ ”, it suffices to show that $\Pr[E] \leq \text{negl}(\lambda)$.

Assume there are q queries, and fix a maximum length t of the queried messages, and let E_i , for $i \in [q]$, be the event of an overlap happening at query i . Clearly $\Pr[E] \leq \sum_{i=1}^q \Pr[E_i]$. For E_i to happen, we must have that $r^* - t + 1 \leq r_i \leq r^* + t - 1$ (this is not tight!). So the size of the interval in which r_i must be is $2t - 1$. Then, $\Pr[E_i] = \frac{2t-1}{2^n}$, and $\Pr[E] \leq q \frac{2t-1}{2^n}$, which is negligible. \square

3.6 CRH!s

When we saw domain extensions for **PRF!**s, we showed that $\mathcal{F}(\mathcal{H})$ is a **PRF!** if \mathcal{F} is a **PRF!** and \mathcal{H} is **AU!**. This doesn't work for **MAC!**s: to extend the domain of a **MAC!** we need **CRH!**s.

A hash function is used to compress l bits into n bits, with $n \ll l$. A family of hash functions is defined as $\mathcal{H} = \{H_s : \{0, 1\}^l \rightarrow \{0, 1\}^n\}_{s \in \{0, 1\}^\lambda}$. **AU!** means that it's hard to find a collision for an adversary that does not know s . Collision resistance means that it's hard to find a collision even if the adversary knows s .

Definition 20 (CRH!). Let $\mathcal{H} = \{h_s : \{0, 1\}^l \rightarrow \{0, 1\}^n\}_{s \in \{0, 1\}^\lambda}$ be a family of functions, and consider the game $\mathcal{G}_{\mathcal{H}, \mathcal{A}}^{\text{CR}}(\lambda)$, defined as

1. $s \leftarrow \$\{0, 1\}^\lambda$;
2. $(x, x') \leftarrow \mathcal{A}(1^\lambda, s)$;
3. output 1 if $x \neq x' \wedge h_s(x) = h_s(x')$.

\mathcal{H} is a **CRH!** if for all **PPT!** adversaries \mathcal{A} there is a negligible function $\varepsilon(\lambda)$ such that

$$\Pr[\mathcal{G}_{\mathcal{H}, \mathcal{A}}^{\text{CR}}(\lambda) = 1] \leq \varepsilon(\lambda). \quad \diamond$$

We'll show how to construct **CRH!**s in two steps.

1. Start with a compression function $h_s : \{0, 1\}^{2n} \rightarrow \{0, 1\}^n$ and obtain a domain extension, *i.e.*, a function $h'_s : \{0, 1\}^* \rightarrow \{0, 1\}^n$.

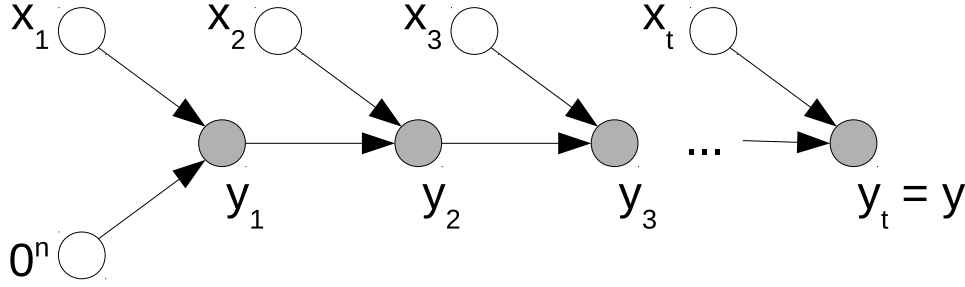


Figure 3.4: The MD! CRH!

2. Build a **CR!** (**CR!**) compression function.

There are two famous constructions.

Construction 9 (MD!). It goes from tn bits to n bits, for fixed t . Let $m = x_1 || \dots || x_t$, and define intermediate outputs y_i for $i \in [0, t]$. We define $y_0 = 0^n$, and $y_i = h_s(x_i || y_{i-1})$ (??). The output is $y = y_t$. \diamond

Construction 10 (Merkle tree). It goes from $2^d n$ bits to n bits, with d being the height of the tree. \diamond

With the Merkle tree one could give a partially hashed version of a file.

Theorem 23. MD! (MD!) (??) is a CRH! $\mathcal{H}' = \{h'_s : \{0, 1\}^{tn} \rightarrow \{0, 1\}^n\}$ if the function $\mathcal{H} = \{h_s : \{0, 1\}^{2n} \rightarrow \{0, 1\}^n\}$ is **CR!**. \diamond

Proof of ??. Let \mathcal{A} be an adversary capable of outputting $x \neq x'$ such that $h'_s(x) = h'_s(x')$. Then we can construct \mathcal{A} breaking \mathcal{H} (which is **CR!**).

If $h'_s(x) = h'_s(x')$ but $x \neq x'$, there must be some $j \in [1, t]$ (with $x = x_1 || \dots || x_t$ and $x' = x'_1 || \dots || x'_t$) such that $(x_j, y_{j-1}) \neq (x'_j, y'_{j-1})$, but after that they are equal. Then $h_s(x_j, y_{j-1}) = h_s(x'_j, y'_{j-1})$, which is a collision. \square

Construction 11 (Strengthened MD!). Strengthened MD! is defined as

$$h'_s(x_1 || \dots || x_t) = h_s(\langle t \rangle || h_s(x_t || \dots || h_s(x_1 || 0^n) \dots)).$$

\diamond

With the strengthened MD! we have suffix-free messages, which give us a **VIL! MD!**.

Theorem 24. Strengthened MD! (??) is CR! \diamond

Proof of ??. Assume there is a collision $x = x_1 || \dots || x_t \neq x' = x'_1 || \dots || x'_{t'}$, i.e., they are such that $h'_s(x) = h'_s(x')$. Two cases are possible:

1. if $t = t'$, we have already shown this in the proof of ??;

2. if $t \neq t'$, the collision is on $h_s(\langle t \rangle || y_t)$ and $h_s(\langle t' \rangle || y'_{t'})$. \square

Construction 12 (Davies-Meyer). $\mathcal{H}_E(s, x) = E_s(x) \oplus x$ where $E_{(\cdot)}(\cdot)$ is a block cypher. \diamond

For this construction to be **CR!**, it should be hard to find $(x, s) \neq (x', s')$ such that $E_s(x) \oplus x = E_{s'}(x') \oplus x'$.

There's something strange going on: a block cypher is a **PRP!**, and **OWF!** give us **PRP!**, but we know that it's impossible to get **CRH!** from **OWF!**. Furthermore, this is actually insecure for concrete **PRP!**s. Let E be a **PRP!**, and define E' such that $E'(0^n, 0^n) = 0^n$ and $E'(1^n, 1^n) = 1^n$, and otherwise $E'(x) = E(x)$. This is still a **PRP!**, but $E'(0^n, 0^n) \oplus 0^n = E'(1^n, 1^n) \oplus 1^n$.

We must assume that we have no bad keys. This is called the **ICM! (ICM!)**.

In the **ICM!**, one has a truly random permutation $E_{(\cdot)}(\cdot)$ for all keys. When the adversary asks for (s_1, x_1) , choose random $y_1 \leftarrow \mathcal{S}\{0, 1\}^n$ and set $E_{s_1}(x_1) = y_1$. On later query (s_1, x_i) , pick $y_i \leftarrow \mathcal{S}\{0, 1\}^n \setminus \bigcup_{j=1}^i \{y_j\}$, and set $E_{s_1}(x_i) = y_i$.

Theorem 25. Davies-Meyer is CR! in ICM! \diamond

Proof of ??. As usual, we consider a series of experiments.

$$H_0(\lambda) \equiv \mathcal{G}_{\text{DM}, \mathcal{A}}^{\text{CR}}(\lambda).$$

\mathcal{A} makes two types of queries: forward queries, in the form (s, x) , to get $E_s(x)$, and backward queries, in the form (s, y) , to get $E_s^{-1}(y)$. Imagine of keeping the value of $z = x \oplus y$.

Now, we define $H_1(\lambda)$: after picking y for some (s, x) , we don't remove y from the range (and the same for backward queries).

The first claim is that, assuming \mathcal{A} asks q queries,

$$\left| \Pr[H_0(\lambda) = 1] - \Pr[H_1(\lambda) = 1] \right| \leq \frac{q^2}{2} 2^{-n}.$$

To verify this, note that H_0 and H_1 are distinguishable if and only if a collision happens in $E_s(\cdot)$ or in $E_s^{-1}(\cdot)$.

$H_2(\lambda)$: check for collisions in $z = x \oplus y$. If not all z values are distinct, abort.

The second claim is that

$$\left| \Pr[H_1(\lambda) = 1] - \Pr[H_2(\lambda) = 1] \right| \leq \frac{q^2}{2} 2^{-n}.$$

Verification is as usual.

$H_3(\lambda)$: when \mathcal{A} outputs $(x, s), (x', s')$ collision, check that (x, s, y, z) and (x', s', y', z') are in the table. If not, define the entries.

Third claim:

$$\left| \Pr[H_2(\lambda) = 1] - \Pr[H_3(\lambda) = 1] \right| \leq \frac{4q}{2^n}.$$

y can collide with q values, with probability $\frac{q}{2^n}$. The same goes for z, y', z' , so we get $\frac{4q}{2^n}$.

$H_4(\lambda)$: there are no collisions on z . $\Pr[H_4(\lambda) = 1] = 0$ since $(x, s, y, z), (x', s', y', z') \implies z \neq z'$.

$H_3 \approx_c H_4$, and we're done. \square

3.7 CFP!s

We introduce a new assumption: **CFP!s** (**CFP!s**). A claw is a pair of functions (f_1, f_0) and two values (x_1, x_0) such that $f_1(x_1) = f_0(x_0)$, with $f_1 \neq f_0$.

Definition 21 (CFP!). A **CFP!** is a tuple $\Pi = (\text{Gen}, f_0, f_1)$ where $pk \leftarrow \text{Gen}(1^\lambda)$ defines a domain \mathcal{X}_{pk} , and $f_0(pk, \cdot)$ and $f_1(pk, \cdot)$ are permutations over \mathcal{X}_{pk} .

Consider the game $\mathcal{G}_{\Pi, \mathcal{A}}^{\text{CF}}(\lambda)$, defined as:

1. $pk \leftarrow \text{Gen}(1^\lambda)$;
2. $(x_0, x_1) \leftarrow \mathcal{A}(pk)$;
3. output $1 \iff f_0(pk, x_0) = f_1(pk, x_1)$.

Π is claw-free if for all **PPT!** adversaries \mathcal{A}

$$\Pr[\mathcal{G}_{\Pi, \mathcal{A}}^{\text{CF}}(\lambda) = 1] \leq \varepsilon(\lambda). \quad \diamond$$

We can now define a **CR!** compression function.

Construction 13 (CR! Compression Function from CFP!). Let $\Pi = (\text{Gen}, f_0, f_1)$, and assume $\mathcal{X}_{pk} = \{0, 1\}^n$, and let $m \in \{0, 1\}^l$. Define H_{pk} as:

$$H_{pk}(x||m) = f_{m_l}(f_{m_{l-1}}(\cdots f_{m_1}(x) \cdots)). \quad \diamond$$

Theorem 26. If Π is a **CFP!**, H_{pk} (??) is collision resistant. \diamond

Proof of ??. Assume \mathcal{H} is not **CR!**, we can then find a collision for Π , i.e., we find $(x, m) \neq (x', m')$ such that $H_{pk}(x||m) = H_{pk}(x'||m')$.

Two cases are possible:

1. $m = m'$, so the sequence of permutations is the same, and also x and x' must be the same.

$$\begin{aligned} x &= f_{m_1}^{-1}(\dots f_{m_l}^{-1}(y) \dots), \\ x' &= f_{m'_1}^{-1}(\dots f_{m'_l}^{-1}(y) \dots). \end{aligned}$$

2. $m \neq m'$, then $\exists i$ such that $m_i \neq m'_i$, and

$$\begin{aligned} m &= m_l \dots m_i \dots m_1, \\ m' &= m_l \dots m'_i \dots m'_1 \end{aligned}$$

i.e., m and m' are equal from index $i + 1$ to l .

Assume, without loss of generality, that $m_i = 0$ and $m'_i = 1$. Since from $i + 1$ we apply the same sequence of permutations, the collision must be on i . Consider

$$\begin{aligned} y' &= f_{m_{i+1}}^{-1}(\dots f_{m_l}^{-1}(y) \dots), \\ x_0 &= f_{m_{i-1}}(\dots f_{m_1}(x) \dots), \\ x_1 &= f_{m'_{i-1}}(\dots f_{m'_1}(x) \dots). \end{aligned}$$

x_0 and x_1 are a claw, since $f_0(x_0) = y' = f_1(x_1)$. □

3.8 RO! Model

The **RO!** (**RO!**) is an ideal hash function. The only way to compute the hash is to query the oracle. The adversary is given $\text{RO}(\cdot) \leftarrow \mathcal{R}(l \rightarrow m)$.

With **RO!** we can do

- **CRH!**: define $H^{\text{RO}}(x) = \text{RO}(x)$;
- **PRG!**: $G^{\text{RO}}(x) = \text{RO}(x||0)||\text{RO}(x||1)$;
- **PRF!**: $F^{\text{RO}}(x) = \text{RO}(k||x) = \text{Mac}^{\text{RO}}(k, x)$.

The last one is not secure with a real hash function.

4

Number Theory

We use modular arithmetic, with modulus some n , in $(\mathbb{Z}_n, +, \cdot)$.

$(\mathbb{Z}_n, +)$ is a group, *i.e.*, it has an identity (or null) element, it's closed, commutative, associative, and has an inverse for each element. (\mathbb{Z}_n, \cdot) is not a group, as you don't have an inverse for everyone.

Lemma 4. *If $\gcd(a, n) > 1$, a is not invertible in (\mathbb{Z}_n, \cdot) .* \diamond

Proof of ??. We can write $a = b \cdot q + (a \bmod b)$. Assume a is invertible, then $a \cdot b = q \cdot n + 1$. But then $\gcd(a, n)$ divides $a \cdot b - q \cdot n = 1$, which is a contradiction. \square

Operations in \mathbb{Z}_n (at least multiplication and addition) are efficient. The inverse of an element can be computed with the euclidean algorithm.

Lemma 5. *Let a, b such that $a \geq b > 0$, then*

$$\gcd(a, b) = \gcd(b, a \bmod b). \quad \diamond$$

Proof of ??. It suffices to show that a common divisor of a and b also divides $a \bmod b$, and that a common divisor of b and $a \bmod b$ also divides a .

Write $a = q \cdot b + a \bmod b$.

For the first implication, a common divisor of a and b divides $a - q \cdot b = a \bmod b$.

For the second implication, a common divisor of b and $a \bmod b$ divides $b \cdot q + a \bmod b = a$. \square

Theorem 27. *Given a, b we can compute $\gcd(a, b)$ in polynomial time in $\max\{\|a\|, \|b\|\}$. Also, we can find u, v such that $a \cdot u + b \cdot v = \gcd(a, b)$.* \diamond

Proof of ??. We can use ?? recursively:

$$\begin{aligned} a &= b \cdot q_1 + r_1 & (0 \leq r_1 < b) \\ \gcd(a, b) &= \gcd(b, r_1) & (r_1 = a \bmod b) \\ b &= r_1 \cdot q_2 + r_2 & (0 \leq r_2 < r_1) \\ \gcd(b, r_1) &= \gcd(r_1, r_2) & (r_2 = b \bmod r_1) \\ &\dots \\ r_i &= r_{i-1} \cdot q_{i+1} + r_{i+1}. \end{aligned}$$

Repeat until $r_{t+1} = 0$, we then have that $\gcd(a, b) = r_t$.

We prove that $r_{i+2} \leq \frac{r_i}{2}$ for all $0 \leq i \leq t-2$. Clearly, $r_{i+1} < r_i$. If we have that $r_{i+1} \leq \frac{r_i}{2}$, then it's trivial. If $r_{i+1} > \frac{r_i}{2}$, then

$$r_{i+2} = r_i \bmod r_{i+1} = r_i - q_{i+2}r_{i+1} < r_i - r_{i-1} < r_i - \frac{r_i}{2} = \frac{r_i}{2}. \quad \square$$

Take $a \in \mathbb{Z}_n$ such that $\gcd(a, n) = 1$, then there are u, v such that $a \cdot u + n \cdot v = \gcd(a, n) = 1$. But then $a \cdot u \equiv 1 \bmod n$, so u is the inverse of a .

Another operation in \mathbb{Z}_n is modular exponentiation, *i.e.*, $a^b \bmod n$. The square and multiply algorithm is polynomial.

Write b in binary, as $b_t b_{t-1} \dots b_0$, so $b \in \{0, 1\}^{t+1}$. Since $b = \sum_{i=0}^t b_i \cdot 2^i$, we can write

$$a^b = a^{\sum_{i=0}^t 2^i b_i} = \prod_{i=0}^t a^{2^i b_i} = \prod_{i=0}^t \left(a^{2^i}\right)^{b_i} = a^{b_0} (a^2)^{b_1} (a^4)^{b_2} \dots (a^{2^t})^{b_t}.$$

We have t multiplications and t squares, so modular exponentiation happens in polynomial time.

Theorem 28 (Prime Number Theorem).

$$\Pi(x) = \text{"\# of primes } \leq x" \geq \frac{x}{3 \log_2(x)}$$

which is roughly $\frac{x}{\log(x)}$. ◇

?? means that

$$\Pr [x \text{ is prime} : x \leftarrow \mathbb{Z}_{2^{\lambda-1}}] \geq \frac{2^{\lambda-1} - 1}{3 \log_2(2^{\lambda-1} - 1)} \approx \frac{1}{3\lambda}.$$

Furthermore, primality testing can be done efficiently.

Theorem 29 (Miller-Rabin '80s, Agrawal-Kayal-Saxena '02). *You can test in polynomial time if x is prime.* ◇

We can sample $x \leftarrow \mathbb{Z}_{2^{\lambda} - 1}$, test primality, and eventually resample.

$$\Pr [\text{no output after } t \text{ samples}] \leq \left(1 - \frac{1}{3\lambda}\right)^t \leq \left(\frac{1}{e}\right)^{\lambda} \in \text{negl}(\lambda)$$

for $t = 3\lambda^2$, since $\left(1 - \frac{1}{x}\right)^x \leq \frac{1}{e}$.

Now, for some new number theoretic assumptions.

Assumption. Integer factorisation of the product of two λ -bit primes is a **OWF!** (**OWF!**). **NIST!** (**NIST!**) recommendation is $\lambda \approx 2048$.

We need cyclic groups.

Theorem 30 (Lagrange). *If H is a subgroup of G , then $|H| \mid |G|$.* ◇

We define the order of a to be the minimum i such that $a^i \equiv 1 \pmod{n}$.

Consider \mathbb{Z}_n . Note that $|\mathbb{Z}_n| = n$. We define

$$\mathbb{Z}_n^* = \{a \in \mathbb{Z}_n : a \text{ is invertible}\} = \{a \in \mathbb{Z}_n : \gcd(a, n) = 1\}.$$

$|\mathbb{Z}_n^*| \triangleq \varphi(n)$. If n is a prime p , then $\mathbb{Z}_p^* = \{1, 2, \dots, p-1\}$, and $|\mathbb{Z}_p^*| = \varphi(p) = p-1$.

Corollary 4. *For all $a \in \mathbb{Z}_n^*$, we have*

1. $a^b = a^{b \bmod \varphi(n)} \pmod{n}$;
2. $a^{\varphi(n)} = 1 \pmod{n}$;
3. $a^{p-1} = 1 \pmod{p}$.

◇

(\mathbb{Z}_p^*, \cdot) is cyclic, i.e., \exists a generator g such that

$$\mathbb{Z}_p^* = \langle g \rangle = \{g^0, g^1, \dots, g^{p-2}\}.$$

Can we find a generator for \mathbb{Z}_p^* ? We can do it if we know a factorisation of $p-1 = \prod_{i=1}^t p_i^{\alpha_i}$. Since $p_i \geq 2$, we have that $2^t \leq p < 2^{\lambda+1}$, thus $t \leq \lambda$. The algorithm requires t steps.

By ?? we know that the order of any $y \in \mathbb{Z}_p^*$ divides $p-1$. Thus y is not a generator $\iff \exists 1 \leq i \leq t$ such that

$$y^{\frac{p-1}{p_i}} \equiv 1 \pmod{p}.$$

If this is the case, y is a generator of a subgroup of \mathbb{Z}_p^* . This leads us to the next computational assumption.

Assumption The **DL!** (**DL!**) problem, that is finding x given $g^x \in \mathbb{G}$, is hard, i.e., for all **PPT!** (**PPT!**) \mathcal{A} ,

$$\Pr \left[y = g^{x'} : \begin{array}{l} (\mathbb{G}, g, q) \leftarrow \text{GroupGen}(1^\lambda); x \leftarrow \mathbb{Z}_q; \\ y = g^x; x' \leftarrow \mathcal{A}(y, \mathbb{G}, g, q, 1^\lambda) \end{array} \right] \leq \varepsilon(\lambda).$$

4.1 Elliptic Curves

\mathbb{G} is a group of points over some cubic curve modulo p , *i.e.*,

$$y = x^3 + ax^2 + bx + c \pmod{p}.$$

We can define an operation $+$ that makes \mathbb{G} a group.

$(\mathbb{G}, g, q) \leftarrow \text{GroupGen}(1^\lambda)$, and take as an example $\mathbb{G} = \mathbb{Z}_p^*$ with \cdot operation (multiplication). $q = p - 1$. Take $y \in \mathbb{Z}_p^*$, we have that $y = g^x$ for $x \in \mathbb{Z}_{p-1}$.

In the general case, we have $y \in \mathbb{G}$, and that $y = g^x$ for $x \in \mathbb{Z}_q$. q is the order of the group, while g is a generator for \mathbb{G} . Since $y = g^x$ we have that $\log_g(y) = x$ in \mathbb{G} .

\mathbb{Z}_p^* is a special case, since modular exponentiation is a **OWP!** (**OWP!**).

Definition 22 (CDH!). Intuitively, given (g, g^x, g^y) , it's hard to find g^{xy} . **CDH!** (**CDH!**) holds in \mathbb{G} if \forall **PPT!** \mathcal{A} we have

$$\Pr \left[z = g^{xy} : \begin{array}{l} \text{params} = (\mathbb{G}, g, q); x, y \leftarrow \mathbb{Z}_q; \\ z \leftarrow \mathcal{A}(\text{params}, g^x, g^y) \end{array} \right] \leq \text{negl}(\lambda). \quad \diamond$$

Observation 1. **CDH!** \implies **DL!**. \diamond

Proof of ??. Assume not, then **DL!** does not hold if **CDH!** holds. But if we can compute $y = \log_g(g^y)$, then it's easy to find $g^{xy} = (g^x)^y$. \square

Whether **DL!** \implies **CDH!** or not is not known.

Definition 23 (DDH!). Intuitively,

$$\underbrace{(g, g^x, g^y, g^{xy})}_{\text{DDH! tuple}} \approx_c \underbrace{(g, g^x, g^y, g^z)}_{\text{non-DDH! tuple}}.$$

DDH! (**DDH!**) holds in \mathbb{G} if for all **PPT!** \mathcal{A}

$$\left| \Pr_{x, y \leftarrow \mathbb{Z}_q} [\mathcal{A}(1^\lambda, (\mathbb{G}, g, q), g, g^x, g^y, g^{xy}) = 1] - \Pr_{x, y, z \leftarrow \mathbb{Z}_q} [\mathcal{A}(1^\lambda, (\mathbb{G}, g, q), g, g^x, g^y, g^z) = 1] \right| \leq \text{negl}(\lambda). \quad \diamond$$

Observation 2. **CDH!** \implies **DDH!** is true. **DDH!** \implies **CDH!** maybe is not true. \diamond

Proposition 1. **DDH!** does not hold in \mathbb{Z}_p^* . \diamond

Proof of ??. Consider the set

$$\mathbb{QR}_p = \{y \in \mathbb{Z}_p^* : y = x^2, x \in \mathbb{Z}_p^*\} = \{y = g^z : z \text{ even}\}.$$

We can test if $y \in \mathbb{QR}_p$ by checking if $y^{\frac{p-1}{2}} \equiv 1 \pmod{p}$, because if $y = g^{2z'}$ then $y^{\frac{p-1}{2}} \equiv (g^{p-1})^{z'} \equiv 1 \pmod{p}$. If not, then it must be that $y = 2z' + 1$, and as such

$$y^{\frac{p-1}{2}} \equiv (g^{p-1})^{z'} \cdot g^{\frac{p-1}{2}} \equiv -1 \pmod{p}.$$

Clearly,

$$g^{xy} \in \mathbb{QR}_p \iff g^x \in \mathbb{QR}_p \vee g^y \in \mathbb{QR}_p.$$

Thus $g^{xy} \in \mathbb{QR}_p$ with probability $\frac{3}{4}$, but $g^z \in \mathbb{QR}_p$ only with probability $\frac{1}{2}$. So we have a distinguisher.

$\mathcal{A}_{\text{DDH}}(g, g^x, g^y, g^z)$ outputs 1 if g^x or g^y are in \mathbb{QR}_p , but $g^z \notin \mathbb{QR}_p$, and 0 otherwise. \mathcal{A}_{DDH} distinguishes with probability greater than $\frac{3}{8}$. \square

This result can be improved by returning 0 if and only if g^x, g^y and g^z are compatible with \mathbb{QR}_p considerations, obtaining a distinguisher operating with probability $\frac{1}{2}$.

If we take $\mathbb{G} = \mathbb{QR}_p$, with $q = \frac{p-1}{2}$, and where both q and p are prime (Sophie Germain primes), maybe **DDH!** holds there. This is not always true.

4.2 DH! Key Exchange

Take $(\mathbb{G}, g, q) \leftarrow \text{GroupGen}(1^\lambda)$. Alice samples $x \leftarrow \mathbb{Z}_q$, and sends $\mathcal{X} = g^x$ to Bob. Bob samples $y \leftarrow \mathbb{Z}_q$, and sends $\mathcal{Y} = g^y$ to Alice. Alice computes $\mathcal{K}_A = \mathcal{Y}^x$, Bob computes $\mathcal{K}_B = \mathcal{X}^y$. It's easy to see that $\mathcal{K}_A = \mathcal{K}_B$.

CDH! implies that an adversary can't compute the key. **DDH!** implies that the keys are indistinguishable from random.

This is not secure against man in the middle attacks. Authentication would be needed, an initial fixed key.

4.3 PRG!s

$(\mathbb{G}, g, q) \leftarrow \text{GroupGen}(1^\lambda)$, $x, y \leftarrow \mathbb{Z}_q$. From **DDH!** we know that

$$G_{g,q}(x, y) = (g^x, g^y, g^{xy}) \approx_c \mathcal{U}_{\mathbb{G}^3}$$

so we have a **PRG!** (**PRG!**) $G_{g,q} : \mathbb{Z}_q^2 \rightarrow \mathbb{G}^3$.

Construction 14 (**PRG!** from **DDH!**). We can construct directly from **DDH!** a **PRG!** with polynomial stretch

$$\mathcal{G}_{g,q} : \mathbb{Z}_p^{l+1} \rightarrow \mathbb{G}^{2l+1}$$

defined as

$$G_{g,q}(x, y_1, \dots, y_l) = (g^x, g^{y_1}, g^{xy_1}, \dots, g^{y_l}, g^{xy_l}). \quad \diamond$$

Theorem 31. *If **DDH!** holds, ?? is a **PRG!**.* \diamond

Proof of ??. Using the standard hybrid argument, since **DDH!** is ε -hard, we would use l hybrids, obtaining that the above **PRG!** is $(\varepsilon \cdot l)$ -secure. This proof is not tight, and we want to make a tight one.

We make a direct reduction to **DDH!**. Let \mathcal{A}_{DDH} be an adversary who is given (g, g^x, g^y, g^z) with $z = \beta + xy$, where β is either 0 (so that is a **DDH!** tuple) or $\beta \leftarrow \mathbb{Z}_q$ (non-**DDH!** tuple).

We want to prove that

$$(g^x, g^{y_1}, g^{xy_1}, \dots, g^{y_l}, g^{xy_l}) \approx_c (g^x, g^y, g^z, \dots)$$

by generating exactly the first if the tuple is **DDH!**, and exactly the other one if the tuple is not **DDH!**.

For $j \in [l]$, pick $u_j, v_j \leftarrow \mathbb{Z}_q$, and define $g^{y_j} = (g^y)^{u_j} \cdot g^{v_j}$, and $g^{z_j} = (g^z)^{u_j} \cdot (g^x)^{v_j}$. \mathcal{A}_{DDH} returns the same as

$$\mathcal{A}_{\text{PRG}}(g^x, g^{y_1}, g^{z_1}, \dots, g^{y_l}, g^{z_l}).$$

This trick generates many tuples in the correct way: just look at the exponents.

$$\begin{aligned} y_j &= u_j \cdot y + v_j \\ z_j &= u_j \cdot z + v_j \cdot x = u_j \cdot \beta + u_j \cdot x \cdot y + v_j \cdot x \\ &= u_j \cdot \beta + x \cdot (u_j \cdot y + v_j) = u_j \cdot \beta + x \cdot y_j \end{aligned}$$

which is either sampled random from \mathbb{Z}_q if $\beta \leftarrow \mathbb{Z}_q$, or xy_j if $\beta = 0$, with $y_j \leftarrow \mathbb{Z}_q$. So breaking the **PRG!** is equivalent to breaking **DDH!**, since \mathcal{A}_{DDH} can perfectly simulate the **PRG!**, query \mathcal{A}_{PRG} and break **DDH!**. \square

4.4 PRF!s (PRF!s): Naor-Reingold

Construction 15 (Naor-Reingold). $(\mathbb{G}, g, q) \leftarrow \text{GroupGen}(1^\lambda)$.

$$\mathcal{F}_{\text{NR}} = \{F_{g,g,\bar{a}} : \{0,1\}^n \rightarrow \mathbb{G}\}_{\bar{a} \in \mathbb{Z}_q^{n+1}}.$$

\bar{a} is a vector of exponents.

$$F_{g,g,\bar{a}}(x_1, \dots, x_n) = (g^{a_0})^{\sum_{i=1}^n a_i x_i}. \quad \diamond$$

DDH! $\implies \mathcal{F}_{\text{NR}}$ is a **PRF!**. The proof can be sketched in a similar way as the proof for **GGM!** (**GGM!**). Note that this is like an optimised version of **GGM!**.

4.5 Number Theoretic CFP!

Construction 16 (Number Theoretic CFP!). 1. $params = (\mathbb{G}, g, q) \leftarrow \text{GroupGen}(1^\lambda)$;

2. $y \leftarrow \mathbb{G}$, $pk = (params, y)$;

3. define $f = (f_0, f_1)$, with:

$$\begin{aligned} f_0(pk, x_0) &= g^{x_0}, \\ f_1(pk, x_1) &= y \cdot g^{x_1}. \end{aligned}$$

◇

$\mathbb{G} = \mathbb{Z}_p^*$, $q = p - 1$, $\mathcal{X}_{pk} = \mathbb{Z}_q$. Let (x_0, x_1) be a claw, i.e., $f_0(pk, x_0) = f_1(pk, x_1)$. Then

$$g^{x_0} = y \cdot g^{x_1} \implies y = g^{x_0 - x_1} \implies x_0 - x_1 = \log_g(y).$$

Theorem 32. Under **DL! ??** is a **CFP!** (**CFP!**).

◇

Proof of ??. It's a simple simulation. □

$$\mathcal{H}_{pk}(x||m) = f_{m_l}(\dots f_{m_1}(x) \dots).$$

If $l = 1$, $\mathcal{H}_{pk}(x||b) = f_b(pk, m) = y^b g^x$. Collision means $(x, b) \neq (x', b')$ such that $y^b g^x = y^{b'} g^{x'}$. Clearly

$$b \neq b' \implies y^{b-b'} = g^{x'-x} \implies y = g^{(x'-x)(b-b')^{-1}}.$$

Take $\mathbb{G} = \mathbb{QR}_p$, $p = 2q + 1$, with p and q primes. Then $\exists (b - b')^{-1}$.

$$\begin{aligned} \mathcal{H}_{pk}(x_1, x_2) &= y^{x_1} g^{x_2} \\ \mathcal{H}_{pk} : \mathbb{Z}_q^2 &\rightarrow \mathbb{G}. \end{aligned}$$

5

PKE!

There's also a thing called *Hybrid Encryption*: encrypt key k with pk , then use k for something like **AES!** (**AES!**).

Definition 24 (PKE! Scheme). A **PKE!** (**PKE!**) scheme is a tuple $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$, where

1. $(pk, sk) \leftarrow \text{\$Gen}(1^\lambda)$;
2. $\text{Enc}(pk, m) = c$;
3. $\text{Dec}(sk, c) = m$.

◇

We require correctness from a **PKE!** scheme:

$$\Pr [\text{Dec}(sk, \text{Enc}(pk, m)) = m : (pk, sk) \leftarrow \text{\$Gen}(1^\lambda)] = 1 - \text{negl}(\lambda).$$

Now we define games for **CPA!** (**CPA!**), **CCA!** (**CCA!**)1, **CCA!**2 for **PKE!**.

Definition 25 (CPA! for PKE!). $\mathcal{G}_{\mathcal{A}, \Pi}^{\text{CPAone-time}}(\lambda, b)$:

1. $(pk, sk) \leftarrow \text{\$Gen}(1^\lambda)$;
2. $(m_0, m_1) \leftarrow \mathcal{A}(1^\lambda, pk)$;
3. $c \leftarrow \text{Enc}(pk, m_b)$;
4. $b' \leftarrow \mathcal{A}(pk, c)$.

◇

Definition 26 (CCA!-1 for PKE!). $\mathcal{G}_{\mathcal{A}, \Pi}^{\text{CCA1}}(\lambda, b)$:

1. $(pk, sk) \leftarrow \text{\$Gen}(1^\lambda)$;
2. $(m_0, m_1) \leftarrow \mathcal{A}^{\text{Dec}(sk, \cdot)}(1^\lambda, pk)$;
3. $c \leftarrow \text{Enc}(pk, m_b)$;
4. $b' \leftarrow \mathcal{A}(pk, c)$.

◇

Definition 27 (CCA!-2 for PKE!). $\mathcal{G}_{\mathcal{A}, \Pi}^{\text{CCA2}}(\lambda, b)$:

1. $(pk, sk) \leftarrow \text{\$Gen}(1^\lambda)$;
2. $(m_0, m_1) \leftarrow \mathcal{A}^{\text{Dec}(sk, \cdot)}(1^\lambda, pk)$;
3. $c \leftarrow \text{Enc}(pk, m_b)$;
4. $b' \leftarrow \mathcal{A}^{\text{Dec}^*(sk, \cdot)}(pk, c)$, where $\text{Dec}^*(sk, c')$ outputs 1 if $c' = c$, and $\text{Dec}(sk, c')$ otherwise.

◇

For $r \in \{\text{CPA}, \text{CCA1}, \text{CCA2}\}$ we say that Π is SUCCESS if $\forall \mathbf{PPT!} (\mathbf{PPT!}) \mathcal{A}$

$$\mathcal{G}_{\Pi, \mathcal{A}}^r(\lambda, 0) \approx_c \mathcal{G}_{\Pi, \mathcal{A}}^r(\lambda, 1).$$

In **CCA!-1** no decryption queries can be made after receiving the cyphertext, while in **CCA!-2** decryption queries can be made for cyphertexts different from the challenge cyphertext.

$$\text{CCA-2} \implies \text{CCA-1} \implies \text{CPA}.$$

The other way around is not true in general.

5.1 ElGamal PKE!

Construction 17 (ElGamal). Consider $\Pi = (\text{KGen}, \text{Enc}, \text{Dec})$, where:

- $\text{KGen}(1^\lambda)$: $(\mathbb{G}, g, q) \leftarrow \text{GroupGen}(1^\lambda)$, $x \leftarrow \mathbb{Z}_q$, $h = g^x$, $pk = g$, $sk = x$. Public keys = (\mathbb{G}, q, g, h) ;
- $\text{Enc}(pk, m, r)$: $r \leftarrow \mathbb{Z}_q$, $c = (c_1, c_2) = (g^r, h^r \cdot m)$;
- $\text{Dec}(sk, (c_1, c_2)) = \frac{c_2}{c_1^x}$.

Note that

$$\frac{c_2}{c_1^x} = \frac{h^r \cdot m}{(g^r)^x} = m. \quad \diamond$$

Theorem 33. Under **DDH!** (**DDH!**), ElGamal (??) is **CPA!**-secure. \diamond

Proof of ??. Let $H_0(\lambda, b) = \mathcal{G}_{\Pi, \mathcal{A}}^{\text{CPA}}(\lambda, b)$. Then, let

$$H_1(\lambda, b) : \text{ElGamal} \left(\begin{array}{l} r, z \leftarrow \mathbb{Z}_q; c = (g^r, g^z \cdot m_b); \\ b' \leftarrow \mathcal{A}(h, (c_1, c_2)) \end{array} \right)$$

i.e., we multiply the message for g^z for random z .

First we claim that $\forall b \in \{0, 1\}$, $H_0(\lambda, b) \approx_c H_1(\lambda, b)$. To verify it, note that $(g^x, g^r, g^{xr}) \approx_c (g^x, g^y, g^z)$ by **DDH!**. Assume \mathcal{A}_{H_0, H_1} distinguishes the two, then \mathcal{A}_{DDH} , on input (g^x, g^r, g^z) , with z either random or equal to $x \cdot r$, can forward $h = g^x$ to \mathcal{A}_{H_0, H_1} , receives the two messages m_0 and m_1 , and return her $(g^r, g^z \cdot m_0)$, and output whatever \mathcal{A}_{H_0, H_1} outputs.

The second claim is that $H_1(\lambda, 0) \approx_c H_1(\lambda, 1)$. Since g^z is random, so $g^z \cdot m_b$ is random, and hides b . \square

A few properties of ElGamal:

1. homomorphic: given $pk, (c_1, c_2), (c'_1, c'_2)$, with $(c_1, c_2) = \text{Enc}(pk, m)$ and $(c'_1, c'_2) = \text{Enc}(pk, m')$, note that

$$(c_1 \cdot c'_1, c_2 \cdot c'_2) = (g^{r+r'}, h^{r+r'}(m \cdot m')) = \text{Enc}(pk, m \cdot m', r + r');$$

2. blindness: given $c = (c_1, c_2) = (g^r, h^r \cdot m)$, compute $m' \cdot c_2 = h^r(m \cdot m')$. You have that $(c_1, m' \cdot c_2) = \text{Enc}(pk, m \cdot m', r)$;
3. re-randomisability: given a cyphertext $c = (c_1, c_2)$ you can always re-randomise it. Take $r' \leftarrow \mathbb{Z}_q$, and compute

$$(g^{r'} \cdot c_1, h^{r'} \cdot c_2) = \text{Enc}(pk, m, r + r').$$

Property ?? implies that ElGamal is not **CCA!-2** secure: take (c_1, c_2) challenge, ask for $\text{Dec}(sk, (c_1, c_2 \cdot m'))$, and look if the result is $m_0 \cdot m'$ or $m_1 \cdot m'$.

Something cool comes from property ??: fully homomorphic encryption. You can compute the encryption of the product of two messages.

What if we could do it for every function? Assume having $c = \text{Enc}(pk, m)$, and to have some function $\text{Eval}(pk, c, f)$ which outputs $c' = \text{Enc}(pk, f(m))$. If you are in a group, it suffices to have addition and multiplication. You could build a client/server architecture, where the client C wants to compute $f(x)$ without sharing x . Then C computes $c = \text{FHECPK}(x)$, sends c, f to the server S , and obtains $c' = \text{FHCPK}(f(x))$.

5.2 Factoring Assumption and RSA!

The factoring assumption will lead us to **RSA!** (**RSA!**). But first, we introduce **TDP!**s (**TDP!**s), which can give us **PKE!**.

Definition 28 (TDP!). A **TDP!** is a tuple (Gen, f, f^{-1}) , where:

1. $(pk, sk) \leftarrow \text{\$Gen}(1^\lambda)$ on some efficiently sampleable domain \mathcal{X}_{pk} ;
2. $f(pk, x) = y$ is a permutation over \mathcal{X}_{pk} ;
3. $f^{-1}(sk, y) = x$ is the trapdoor.

A **TDP!** has two properties:

1. correctness:

$$\forall x \in \mathcal{X}_{pk}. f^{-1}(sk, f(pk, x)) = x;$$

2. one-way: for all **PPT!** \mathcal{A} ,

$$\Pr \left[x' = x : \begin{array}{l} (pk, sk) \leftarrow \text{\$Gen}(1^\lambda); x \leftarrow \text{\$}\mathcal{X}_{pk}; \\ y = f(pk, x); x' \leftarrow \mathcal{A}(pk, y) \end{array} \right] \leq \text{negl}(\lambda). \quad \diamond$$

Basically, we are able to invert f if we have sk . Note that f is deterministic! We don't get **PKE!** directly. The problem is that randomness is missing. Recall hardcore functions.

Construction 18 (PKE! scheme from TDP!). Take (Gen, f, f^{-1}) , and let $h(\cdot)$ be hardcore for f . Then do the following:

1. $(pk, sk) \leftarrow \text{\$Gen}(1^\lambda)$;
2. $\text{Enc}(pk, m) = (f(pk, r), h(r) \oplus m) = (c_1, c_2)$ for $r \leftarrow \text{\$}\mathcal{X}_{pk}$;
3. $\text{Dec}(sk, (c_1, c_2)) = h(f^{-1}(sk, c_1)) \oplus c_2 = m$.

◇

Theorem 34. If (Gen, f, f^{-1}) is a **TDP!** and $h(\cdot)$ is hardcore for $f(\cdot)$, then the **PKE!** in ?? is **CPA!**-secure. ◇

Since $(f(pk, r), h(r)) \approx_c (f(pk, r), \mathcal{U})$, this works. How many bits can we encrypt?

We know from ?? that we have at least a bit, for any **TDP!**. This can be extended to $O(\log(n))$ bits for any **TDP!**. For specific **TDP!**s we can get some $\Omega(\lambda)$, like for factoring, **RSA!**, **DL!** (**DL!**). From a **RO!** (**RO!**) one can get anything in $\{0, 1\}^*$.

Let's now look at modular operations modulo n (not necessarily prime). For example, take $m = p \cdot q$ with p, q primes.

Theorem 35 (CRT!). Let n_1, \dots, n_k be pairwise coprime numbers, and any a_1, \dots, a_k such that $1 \leq a_i \leq n_i$ for all $i \in [k]$. Then $\exists! x$ such that $0 \leq x < n = \prod_{i=1}^k n_i$ and such that $x \equiv a_i \pmod{n_i}$ for all $i \in [k]$. ◇

Special case: when $k = 2$, $n_1 = p$ and $n_2 = q$ are primes, and $n = p \cdot q$. Take any $x \in \mathbb{Z}_n$. To x correspond $x_p \equiv x \pmod{p}$ and $x_q \equiv x \pmod{q}$. This is a bijection: in fact, $\mathbb{Z}_n \simeq \mathbb{Z}_p \times \mathbb{Z}_q$ (i.e., they are isomorphic).

Now, let's look at $f_e(x) = x^e \pmod{n}$, for $0 \leq x < n$. Recall that $\mathbb{Z}_n^* = \{x \in \mathbb{Z}_n : \gcd(x, n) = 1\}$, and that $\#\mathbb{Z}_n^* = (p-1)(q-1) = \varphi(n)$.

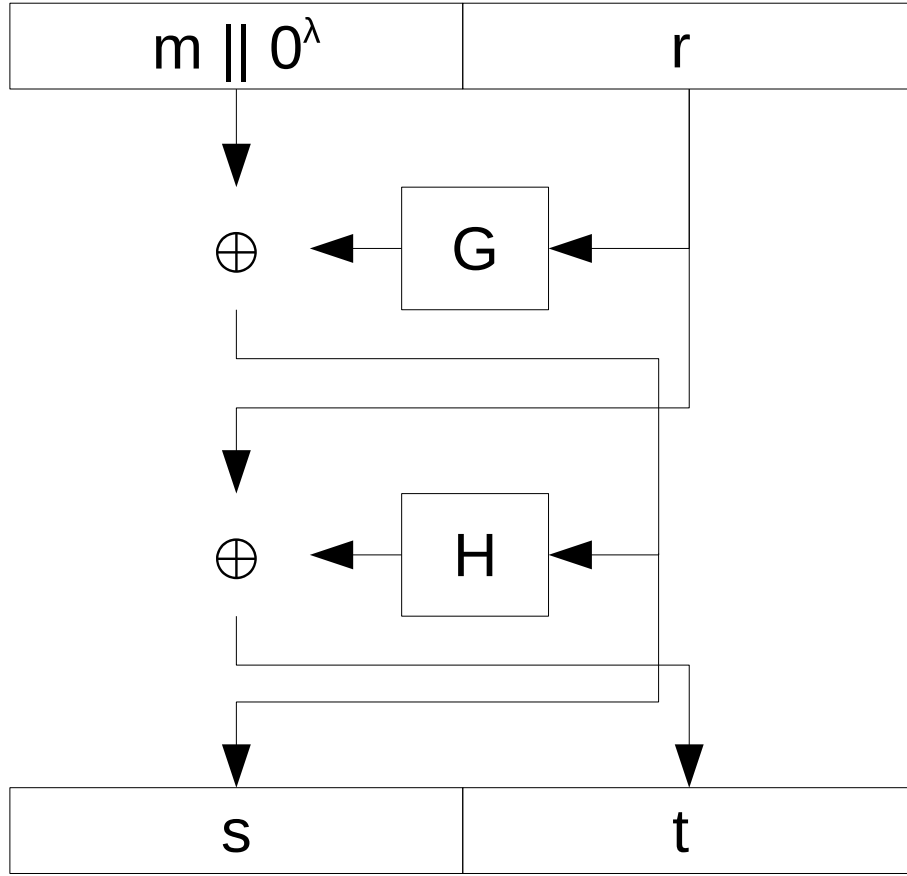
Fact 2. If $\gcd(e, \varphi(n)) = 1$, then $f_e(\cdot)$ is a permutation over \mathbb{Z}_n^* . ◇

We can indeed invert it. Since $\gcd(e, \varphi(n)) = 1$, then $\exists d$ such that $d \cdot e \equiv 1 \pmod{\varphi(n)}$. Consider $f_d^{-1}(x) = x^d \pmod{n}$.

$$\begin{aligned} f_d^{-1}(f_e(m)) &= f_e(m)^d \pmod{n} \\ &= (m^e)^d \pmod{n} \\ &= m^{e \cdot d} \pmod{n}. \end{aligned}$$

Since $d \cdot e \equiv 1 \pmod{\varphi(n)}$, then $d \cdot e = t \cdot \varphi(n) + 1$ for some t .

$$m^{e \cdot d} = m^{t \cdot \varphi(n) + 1} = m \cdot (\underbrace{m^{\varphi(n)}}_{1 \pmod{n}})^t = m \pmod{n}.$$

Figure 5.1: **OAEP!**.

Assumption $(\text{Gen}_{\text{RSA}}, f_{\text{RSA}}, f_{\text{RSA}}^{-1})$ is a **TDP!**.

- $(n, d, e) \leftarrow \text{Gen}_{\text{RSA}}(1^\lambda)$ with $n = p \cdot q$ and $e \cdot d \equiv 1 \pmod{\varphi(n)}$. $(n, e) = pk$, $(n, d) = sk$;
- $f_{\text{RSA}}(e, x) = x^e \pmod n$;
- $f_{\text{RSA}}^{-1}(d, y) = y^d \pmod n$.

We have seen that this is correct. The **RSA!** assumption is that, for all **PPT!** \mathcal{A} ,

$$\Pr \left[x = x' : \begin{array}{l} (n, d, e) \leftarrow \text{Gen}_{\text{RSA}}(1^\lambda); \\ x \leftarrow \mathbb{Z}_n^*; y = x^e; x' \leftarrow \mathcal{A}(e, n, y) \end{array} \right] \leq \text{negl}(\lambda).$$

Under this assumption, we have a 1-bit hardcore predicate, so a 1-bit **PKE!**. This is a different assumption than factoring. If you can factor n you can compute $\varphi(n)$ and thus d . **RSA!** implies factoring, but the other way around is not known.

Textbook **RSA!**, *i.e.*, using $(\text{Gen}_{\text{RSA}}, f_{\text{RSA}}, f_{\text{RSA}}^{-1})$ as is does not work: it's deterministic! To do **RSA!** encryption in practice pick $r \leftarrow \mathbb{S}\{0, 1\}^t$ and define $\text{Enc}(e, m) = (\hat{m})^e \pmod n$, where $\hat{m} = r || m$.

- This is insecure if t is $O(\log(\lambda))$.
- If $m \in \{0, 1\}$, this is secure under **RSA!**.
- If t is between $\log(\lambda)$ and $|m|$, we don't actually know.

It's proven to be **CCA!**-secure in the **RO!** model.

Construction 19 (OAEP! - PKCS#1 V.2). **OAEP!** (**OAEP!**), shown in ???. This is basically a 2-Feistel (G, H) , with G, H hash functions.

- $\lambda_0, \lambda_1 \in \mathbb{N}$;
- $m' = m \parallel 0^{\lambda_1}, r \leftarrow \mathcal{S}\{0, 1\}^{\lambda_0}$;
- $s = m' \oplus G(r), t = r \oplus H(s)$;
- $\hat{m} = s \parallel t$;
- $\text{Enc}(pk, m) = \hat{m}^e$.

◇

Theorem 36. *OAEP! (??) is CCA!-2 secure under RSA! in the RO! model.*

◇

5.3 TDP! from Factoring

Let's see how to build a **TDP!** from factoring. We've seen that $f_e(x) = x^e \bmod n$ is a **TDP!**. What about $e = 2$? We have $n = p \cdot q$ and $e \cdot d \equiv 1 \bmod n$, but for $e = 2$, $f_2(x) = x^2 \bmod n$ is not a permutation, since the image of $f_2(\cdot)$ is $\mathbb{QR}_n = \{y : y = x^2 \bmod n \text{ for some } x \in \mathbb{Z}_n^*\}$, and $\mathbb{QR}_n \subset \mathbb{Z}_n^*$.

For some p and some n , $f_2(\cdot)$ is actually a permutation. By the **CRT!** (**CRT!**) (??), $x \mapsto (x_p, x_q)$ with $x_p \equiv x \bmod p$ and $x_q \equiv x \bmod q$. Let us look at $f(x) = x^2 \bmod p$.

$$\begin{aligned}\mathbb{Z}_p^* &= \{g^0, g^1, \dots, g^{(\frac{p-1}{2})-1}, g^{\frac{p-1}{2}}, \dots, g^{p-2}\} \\ \mathbb{QR}_p &= \{g^0, g^2, \dots, g^{p-3}, g^0, \dots\}\end{aligned}$$

In \mathbb{QR}_p are only even powers of the generator. This means that $|QR| = \frac{p-1}{2}$. Note also that, since $g^{p-1} \equiv g^0 \equiv 1 \bmod p$, we have that $g^{\frac{p-1}{2}} \equiv -1 \bmod p$. There is an easy way to check if a number is a square modulo p .

Fix $p, q \equiv 3 \bmod 4$, so $p, q \equiv 4t + 3$ for some $t \in \mathbb{N}$. Consider $n = p \cdot q$, also called a Bloom integer. If this condition is met, squaring is a **TDP!** modulo n .

Let $y = x^2 \bmod p, x = y^{t+1} \bmod p$. $(y^{t+1})^2 = y^{2t+2}$. Now, since $p = 4t + 3$,

$$2t + 2 = \frac{4t + 3 - 3}{2} + 2 = \frac{p - 3}{2} + 2 = \frac{p + 1}{2} = \frac{p - 1}{2} + 1.$$

Now,

$$y^{2t+2} = y^{\frac{p-1}{2}+1} = y^{\frac{p-1}{2}} \cdot y = 1 \cdot y = y.$$

So, $x = \pm y^{t+1} \bmod p$. Note that $-1 = g^{\frac{p-1}{2}} \notin \mathbb{QR}_p$, because $p = 4t + 3 \implies \frac{p-1}{2} = \frac{4t+2}{2} = 2t + 1$, which is odd, and thus -1 is not a square.

Now, let's look at $f_{\text{RABIN}}(x) = x^2 \bmod n$ (quadratic residue modulo n , which is in \mathbb{QR}_p).

$$x^2 \mapsto (x_p^2, x_q^2).$$

Note that $f_{\text{RABIN}}^{-1}(y)$ is one of the following:

$$\{(x_p, x_q), (-x_p, x_q), (x_p, -x_q), (-x_p, -x_q)\}.$$

But doing this without knowing p and q is not easy. One can show that $y \in \mathbb{QR}_n \iff x_p^2 \in \mathbb{QR}_n, x_q^2 \in \mathbb{QR}_n$. Then it's easy to see that just one of the above pre-images is a square modulo n , and this is because only one between x_p and $-x_p$ is a square (and same goes for $x_q, -x_q$).

$$|\mathbb{QR}_n| = \frac{\varphi(n)}{4}.$$

With p, q you can invert $f_{\text{RABIN}}(x) = x^2 = y \bmod n$, without them it's hard as factoring.

Lemma 6. *Given x, z such that $x^2 = z^2 = y \bmod n$, and $x \neq \pm z$, we can factor n .*

◇

Proof of ??. Recall that $f^{-1}(y) \in \{(\pm x_p, \pm x_q)\}$. Thus, if $x = (x_p, x_q)$, then $z \neq (-x_p, -x_q)$, and as such $x + z \in \{(2x_p, 0), (0, 2x_q)\}$.

Assume $x + z = (2x_p, 0)$. Then $x + z = 0 \bmod q$, but $x + z \neq 0 \bmod p$. This means that $\gcd(x + z, n) = q$, i.e., $x + z$ is a multiple of q , which is a divisor of n . After finding q , we can find $p = \frac{n}{q}$. Done. □

Theorem 37. *Under factoring over Bloom integers, f_{RABIN} is a **TDP!**.*

◇

Proof of ??. Assume not, then $\exists \mathcal{A}$ and some $p(\lambda) \in \text{poly}(\lambda)$ such that

$$\Pr \left[z^2 = y : \begin{array}{l} (p, q, n) \leftarrow \mathcal{S}\text{Gen}(1^\lambda); x \leftarrow \mathcal{S}\mathbb{QR}_n; \\ y = x^2 \bmod n; z \leftarrow \mathcal{A}(y, n) \end{array} \right] \geq \frac{1}{p(\lambda)}.$$

x is taken from \mathbb{QR}_n because f_{RABIN} is a permutation over \mathbb{QR}_n . Consider $\mathcal{A}^1(n)$, trying to factor n . She chooses x , and lets $y = x^2 \bmod n$. Then she runs $\mathcal{A}(y, n)$ to get z . If $z \neq \pm x$, which happens with probability $\frac{1}{2}$, \mathcal{A}^1 can factor n , with probability $\frac{1}{2} \cdot \frac{1}{p(\lambda)}$. □

5.4 CS! Encryption (1998)

We will build a simplified version that is **CCA!-1**. We call it **CS! (CS!) lite**.

Construction 20 (CS! lite). Consider $\Pi = (\text{KGen}, \text{Enc}, \text{Dec})$, where

- $\text{KGen}(1^\lambda)$: $(\mathbb{G}, g, q) \leftarrow \text{GroupGen}(1^\lambda)$, then let $g_1 = g$, take $\alpha \leftarrow \mathbb{Z}_q$, and let $g_2 = g_1^\alpha$. With high probability g_2 is a generator. Now pick $x_1, x_2, y_1, y_2 \leftarrow \mathbb{Z}_q$. Let $h_1 = g_1^{x_1} \cdot g_2^{y_1}$ and $h_2 = g_1^{x_2} \cdot g_2^{y_2}$. Then, $pk = (h_1, h_2, g_1, g_2)$ and $sk = (x_1, y_1, x_2, y_2)$;
- $\text{Enc}(pk, m)$: pick $r \leftarrow \mathbb{Z}_q$, and output

$$c = (g_1^r, g_2^r, h_1^r \cdot m, h_2^r) = (c_1, c_2, c_3, c_4).$$

$c_4 = h_2^r$ serves the purpose to ensure that the message is correct;

- $\text{Dec}(sk, (c_1, c_2, c_3, c_4))$: if $c_4 \neq c_1^{x_2} \cdot c_2^{y_2}$, return \perp ; else, return

$$\frac{c_3}{c_1^{x_1} \cdot c_2^{y_1}}.$$

To verify correctness of the construction:

$$\begin{aligned} c_4 &= h_2^r = (g_1^{x_2} \cdot g_2^{y_2})^r = (g_1^r)^{x_2} \cdot (g_2^r)^{y_2} = c_1^{x_2} \cdot c_2^{y_2}, \\ c_3 &= h_1^r \cdot m = (g_1^{x_1} \cdot g_2^{y_1})^r \cdot m = (g_1^r)^{x_1} \cdot (g_2^r)^{y_1} \cdot m = (c_1)^{x_1} \cdot (c_2)^{y_1} \cdot m. \end{aligned}$$

◇

Theorem 38. Under **DDH!**, **CS!-lite** is **CCA!-1** secure. ◇

Proof of ??. Start with $H_0(\lambda, b) = \mathcal{G}_{\mathcal{A}, \Pi}^{\text{CCA}^1}(\lambda, b)$, where $H_0(\lambda, b)$:

1. $(\mathbb{G}, g, q) \leftarrow \text{GroupGen}(1^\lambda)$, $x_1, x_2, y_1, y_2 \leftarrow \mathbb{Z}_q$, $g_1 = g$, $g_2 = g_1^\alpha$, $h_1 = g_1^{x_1} \cdot g_2^{y_1}$, $h_2 = g_1^{x_2} \cdot g_2^{y_2}$, $pk = (g_1, g_2, h_1, h_2)$, $sk = (x_1, y_1, x_2, y_2)$;
2. $(m_0^*, m_1^*) \leftarrow \mathcal{A}^{\text{Dec}(sk, \cdot)}(1^\lambda, pk)$, where you pick $r \leftarrow \mathbb{Z}_q$, and let

$$c^* = (g_1^r, g_2^r, h_1^r \cdot m_b, h_2^r) = (c_1^*, c_2^*, c_3^*, c_4^*);$$

3. $b' \leftarrow \mathcal{A}(pk, c^*)$.

Now consider $H_1(\lambda, b)$, that changes just the way the cyphertext is computed. Pick $r \leftarrow \mathbb{Z}_q$, and let $g_3 = g_1^r$, $g_4 = g_3^\alpha = g_2^r$. Then

$$c^* = (g_3, g_4, g_3^{x_1} \cdot g_4^{y_1} \cdot m_b, g_3^{x_2} \cdot g_4^{y_2}).$$

Clearly, $H_0(\lambda, b) \equiv H_1(\lambda, b)$. Just look at c^* :

$$c^* = (g_1^r, g_2^r, \underbrace{(g_1^r)^{x_1} \cdot (g_2^r)^{y_1}}_{h_1^r} \cdot m_b, \underbrace{(g_1^r)^{x_2} \cdot (g_2^r)^{y_2}}_{h_2^r}).$$

Now, we define $H_2(\lambda, b)$, where instead of using g_4 we use $g_1^{r'} = g_2^{r''}$ for some random r', r'' . The next claim is that $H_1(\lambda, b) \approx_c H_2(\lambda, b)$, by reduction to the **DDH!**.

To finish the proof, we introduce a lemma and two technical claims, that imply the theorem.

Lemma 7. b is information theoretically hidden in $H_2(\lambda, b)$ for all \mathcal{A} asking $t = \text{poly}(\lambda)$ Dec queries. ◇

Proof of ??. Remember that $g_3 = g_1^r$ and that $g_4 = g_2^{r'}$, and that $g_2 = g_1^\alpha$. For the proof we assume that $\alpha \neq 0$ and that $r \neq r'$.

From $h_1 = g_1^{x_1} \cdot g_2^{y_1}$, \mathcal{A} knows that

$$\log_{g_1}(h_1) = x_1 + y_1 \log_{g_1}(g_2) = x_1 + \alpha y_1 \pmod{q}. \quad (5.1)$$

There are q possible pairs (x_1, y_1) .

We say that query $c = (c_1, c_2, c_3, c_4)$ is legal if $c_1 = g_1^{r''}$ and $c_2 = g_2^{r''}$, or equivalently if $\log_{g_1}(c_1) = \log_{g_2}(c_2)$.

By ?? and ??, before getting c^* , \mathcal{A} knows only that (x_1, x_2) satisfy ??.

$$c^* = (g_3, g_4, g_3^{x_1} \cdot g_4^{y_1} \cdot m_b, g_3^{x_2} \cdot g_4^{y_2}).$$

We want to show that $g_3^{x_1} \cdot g_4^{y_1}$ is uniform by \mathcal{A} point of view. Fix an arbitrary h in \mathbb{G} . If $h = g_3^{x_1} \cdot g_4^{y_1}$, then

$$\log_{g_1}(h) = x_1 \log_{g_1}(g_3) + y_1 \log_{g_1}(g_4).$$

Here $g_3 = g_1^r$ and $g_4 = g_2^{r'}$, thus

$$\log_{g_1}(h) = x_1 r + \alpha y_1 r'. \quad (5.2)$$

?? and ?? are independent: consider the system of equations

$$\underbrace{\begin{pmatrix} 1 & \alpha \\ r & \alpha r' \end{pmatrix}}_B \begin{pmatrix} x_1 \\ y_1 \end{pmatrix} = \begin{pmatrix} \log_{g_1}(h_1) \\ \log_{g_1}(h) \end{pmatrix}$$

$\det(B) = \alpha r' - \alpha r \neq 0$ since $\alpha \neq 0$ and $r \neq r'$.

Since h is arbitrary,

$$\Pr[g_3^{x_1} \cdot g_4^{y_1} = h] = \frac{1}{|\mathbb{G}|}.$$

$g_3^{x_1} \cdot g_4^{y_1}$ is uniform, and thus the message is hidden. \square

Claim 2. \mathcal{A} obtains additional info about x_1, y_1 only if it ever makes a Dec query for $c = (c_1, c_2, c_3, c_4)$ such that

- $\log_{g_1}(c_1) \neq \log_{g_2}(c_2)$, i.e., it's illegal;
- $\text{Dec}(sk, c) \neq \perp$.

\diamond

Proof of ??. If $\text{Dec}(sk, c) = \perp$, then $c_4 \neq c_1^{x_2} \cdot c_2^{y_2}$. You learn nothing about x_1, y_1 if you make this query.

Assume it's not \perp , but the query is legal. \mathcal{A} learns that

$$\begin{aligned} m &= \frac{c_3}{c_1^{x_1} \cdot c_2^{y_1}} \\ \implies \\ \log_{g_1}(m) &= \log_{g_1}(c_3) - x_1 \log_{g_1}(c_1) - y_1 \log_{g_1}(c_2) \\ &= \log_{g_1}(c_3) - r'' x_1 - r'' y_1 \alpha. \end{aligned}$$

Look at the determinant of

$$\begin{pmatrix} 1 & \alpha \\ -r'' & -\alpha r'' \end{pmatrix}$$

which is $-\alpha r'' + \alpha r'' = 0$. This does not tell us anything, so the only way to learn something is a query that is not \perp and that is illegal. \square

Claim 3.

$$\Pr[\mathcal{A} \text{ makes illegal Dec query } c \text{ for which } \text{Dec}(c) \neq \perp] \leq \text{negl}(\lambda).$$

\diamond

Proof of ??. Assume $c = (c_1, c_2, c_3, c_4)$ is illegal, i.e.,

$$r_1 = \log_{g_1}(c_1) \neq \log_{g_2}(c_2) = r_2.$$

For c to be not rejected, we need that $c_4 = c_1^{x_2} \cdot c_2^{y_2}$. \mathcal{A} knows that

$$\log_{g_1}(h_2) = x_2 + \alpha y_2 \pmod{q}. \quad (5.3)$$

Fix arbitrary $c_4 \in \mathbb{G}$. We need that $c_4 = c_1^{x_2} \cdot c_2^{y_2}$.

\mathcal{A} also knows that

$$\log_{g_1}(c_4) = x_2 \log_{g_1}(c_1) + y_2 \log_{g_1}(c_2) = x_2 r_1 + y_2 r_2 \alpha.$$

These, too, are independent, since $\det \begin{pmatrix} 1 & \alpha \\ r_1 & r_2 \alpha \end{pmatrix} = \alpha(r_2 - r_1) \neq 0$. For the first query, \mathcal{A} can guess c_4 with probability less than or equal to $\frac{1}{q}$.

But if the query is rejected, \mathcal{A} has excluded one pair. At query $i + 1$, \mathcal{A} can guess c_4 with probability $\frac{1}{q-i}$. The probability that $\exists i$ such that the $(i + 1)$ -th query is not rejected is less than or equal to

$$\sum_{i=0}^{p-1} \Pr[i\text{-th query is not rejected}] \leq \frac{p}{q-p} = \text{negl}(\lambda)$$

with $\lambda \approx \log(q)$. □

Since ?? and ?? imply ??, and that in turn implies the thesis, we are done. □

Let's see where the proof breaks for **CCA!-2**. After having $c^* = (c_1^*, c_2^*, c_3^*, c_4^*)$, \mathcal{A} knows that

$$\log_{g_1}(c_4^*) = x_2 \log_{g_1}(g_3) + y_2 \log_{g_1}(g_4).$$

This is independent of ??, and \mathcal{A} can recover x_2, y_2 . \mathcal{A} constructs

$$c = (g_1^{r_1}, g_2^{r_2}, c_3, (g_1^{r_1})^{x_2}, (g_2^{r_2})^{y_2}).$$

\mathcal{A} learns $m = \frac{c_3}{c_1^{x_1} \cdot c_2^{y_1}}$, and can recover x_1, y_1 and decrypt to find b .

$$\log_{g_1}\left(\frac{c_3}{m}\right) = x_1 r_1 + \alpha y_1 r_2.$$

Real CS!

Construction 21 (CS!). Consider the **CS! PKE!** scheme $\Pi = (\text{KGen}, \text{Enc}, \text{Dec})$, where

- $\text{KGen}(1^\lambda)$:
 1. $(\mathbb{G}, g, q) \leftarrow \text{GroupGen}(1^\lambda)$;
 2. let $g_1 = g$, take $\alpha \leftarrow \mathbb{Z}_q$, and let $g_2 = g_1^\alpha$;
 3. let $\mathcal{H} : \{0, 1\}^* \rightarrow \mathbb{Z}_q$ be a **CRH!** (**CRH!**);
 4. pick $x_1, x_2, y_1, y_2, x_3, y_3 \leftarrow \mathbb{Z}_q$;
 5. let $h_i = g_1^{x_i} \cdot g_2^{y_i}$, for $i \in \{1, 2, 3\}$;
 6. $pk = (g_1, g_2, h_1, h_2, h_3)$, $sk = (x_1, x_2, x_3, y_1, y_2, y_3)$;
- $\text{Enc}(pk, m)$: pick $r \leftarrow \mathbb{Z}_q$, and output

$$c = \left(g_1^r, g_2^r, h_1^r \cdot m, \left(h_2 \cdot h_3^\beta\right)^r\right) = (c_1, c_2, c_3, c_4)$$

where $\beta = \mathcal{H}(c_1, c_2, c_3)$.

- $\text{Dec}(sk, (c_1, c_2, c_3, c_4))$: check that

$$c_1^{x_2 + \beta x_3} \cdot c_2^{y_2 + \beta y_3} = c_4.$$

If not, output \perp . Otherwise, output

$$m = \frac{c_3}{c_1^{x_1} \cdot c_2^{y_1}}.$$

Correctness:

$$\begin{aligned} c_1^{x_2 + \beta x_3} \cdot c_2^{y_2 + \beta y_3} &= (g_1^r)^{x_2} \cdot (g_1^r)^{\beta x_3} \cdot (g_2^r)^{y_2} \cdot (g_2^r)^{\beta y_3} \\ &= (g_1^{x_2} \cdot g_2^{y_2})^r \cdot (g_1^{x_3} \cdot g_2^{y_3})^{\beta r} \\ &= h_2^r \cdot h_3^{\beta r} = \left(h_2 \cdot h_3^\beta\right)^r. \end{aligned}$$

◇

The proof of **CCA!-2** security looks much similar to the proof for **CCA!-1** security of **CS!** lite (??).

Theorem 39. *The **CS! PKE!** scheme (??) is **CCA!-2** under **DDH!**.* ◇

Proof of ??. Start with $(g, g^\alpha, g^r, g^{\alpha r})$.

$$c^* = \left(g^r, g^{\alpha r}, g^{x_1 \alpha r} \cdot g^{y_1 \alpha r} \cdot m, (g^{x_2} \cdot g^{x_3} \cdot g^{\alpha \beta y_2} \cdot g^{\alpha \beta y_3})^r \right).$$

These have the same distribution, so the first tuple is indistinguishable from $(g, g^\alpha, g^r, g^{\alpha r'})$. The first hybrid uses the **DDH!** tuple, the second uses the non-**DDH!** tuple.

From the pk , \mathcal{A} knows that

$$\begin{aligned} \log_{g_1}(h_2) &= x_2 + \alpha y_2, \\ \log_{g_1}(h_3) &= x_3 + \alpha y_3. \end{aligned}$$

Let's analyse the probability of rejecting illegal queries. Let $g_3 = g_1^r$, and let $g_4 = g_2^{r'}$, with $r \neq r'$. Given c^* , \mathcal{A} knows that

$$\log_{g_1}(c_4^*) = (x_2 + \beta^* x_3)r + (y_2 + \beta^* y_3)\alpha r'.$$

Take arbitrary $c = (c_1, c_2, c_3, c_4) \neq c^*$, and assume c is illegal, *i.e.*, $\log_{g_1}(c_1) \neq \log_{g_2}(c_2)$. Three cases are possible:

1. $(c_1, c_2, c_3) = (c_1^*, c_2^*, c_3^*)$, but $c_4 \neq c_4^*$. It's impossible, so it's always rejected;
2. $(c_1, c_2, c_3) \neq (c_1^*, c_2^*, c_3^*)$, but

$$\mathcal{H}(c_1, c_2, c_3) = \beta = \beta^* = \mathcal{H}(c_1^*, c_2^*, c_3^*).$$

It's impossible (highly unlikely) by **CRH!**;

3. as before, $(c_1, c_2, c_3) \neq (c_1^*, c_2^*, c_3^*)$ and $\beta \neq \beta^*$. □

6

SS!s

We are still inside Public Key Cryptography, but we stop with encryption, and instead look at a new primitive: **SS!s** (**SS!s**). Basically, it's a primitive just like **MAC!** (**MAC!**), but this time without the two parties sharing a secret.

A big difference between **MAC!** and **SS!** is that in **SS!** the signature is publicly verifiable. What we want from a **SS!** is that it is hard to forge a message together with a valid signature.

Definition 29 (SS!). A **SS!** is a tuple $\Pi = (\text{KGen}, \text{Sign}, \text{Vrfy})$ where

- $\text{KGen}(1^\lambda) \rightarrow (pk, sk)$;
- $\text{Sign}(sk, m) \rightarrow \sigma$, with $m \in \mathcal{M}_{pk}$ (the message space could depend on the public key pk);
- $\text{Vrfy}(pk, (m, \sigma)) \rightarrow 0/1$.

As usual, we want correctness, *i.e.*, for all (pk, sk) output of KGen , for all $m \in \mathcal{M}_{pk}$,

$$\Pr [\text{Vrfy}(pk, (m, \text{Sign}(sk, m))) = 1] \geq 1 - \text{negl}(\lambda).$$

Sometimes “bad things” happen, and the signature does not work: hence the probability. ◇

Definition 30 (UFCMA! for SS!s). Consider the game $\mathcal{G}_{\Pi, \mathcal{A}}^{\text{ufcma}}(\lambda)$:

- $(pk, sk) \leftarrow \text{KGen}(1^\lambda)$;
- $(m^*, \sigma^*) \leftarrow \mathcal{A}^{\text{Sign}(sk, \cdot)}(1^\lambda, pk)$;
- output 1 $\iff \text{Vrfy}(pk, (m^*, \sigma^*)) = 1$ and m^* is fresh, *i.e.*, it is not a signature query.

Π is **UFCMA!** (**UFCMA!**) if for all **PPT!** (**PPT!**) $\mathcal{A} \exists$ a negligible $\varepsilon : \mathbb{N} \rightarrow [0, 1]$ such that

$$\Pr [\mathcal{G}_{\Pi, \mathcal{A}}^{\text{ufcma}}(\lambda) = 1] \leq \varepsilon(\lambda). \quad \diamond$$

IBE! (**IBE!**) is an idea of Shamir.

A natural idea is to swap pk and sk in **RSA!** (**RSA!**), since they are symmetric.

1. $(n, p, q, d, e) \leftarrow \text{Gen}_{\text{RSA}}(1^\lambda)$, $n = p \cdot q$, $d \cdot e \equiv 1 \pmod{\phi(n)}$. $pk = (n, e)$, $sk = d$;
2. $\text{Sign}(sk, m) = m^d \pmod{n}$;
3. $\text{Vrfy}(pk, (m, \sigma)) = 1 \iff \sigma^e = m \pmod{n}$.

But this is not secure.

1. Pick $\sigma \in \mathbb{Z}_n^*$, let $m = \sigma^e \pmod{n}$, output (m, σ) .

2. Pick $m^* \in \mathbb{Z}_n^*$ such that we can find m_1, m_2 such that $m_1 \cdot m_2 = m^*$. Then $\text{Sign}(sk, m_1) \cdot \text{Sign}(sk, m_2) = \text{Sign}(sk, m^*)$ to the oracle.

$$m_2 = \frac{m^*}{m_1}, \quad \sigma_1 = m_1^d, \quad \sigma_2 = \left(\frac{m^*}{m_1}\right)^d, \quad \sigma^* = \sigma_1 \cdot \sigma_2.$$

If we hash the message before signing it, we get this to work in the **RO!** (**RO!**) model.

Construction 22 (SS! from TDP! + RO!). We have a **TDP!** (**TDP!**) (Gen, f, f^{-1}) , with \mathcal{X}_{pk} being the domain of the **TDP!**. We assume a function $H : \{0, 1\}^* \rightarrow \mathcal{X}_{pk}$, i.e., the message space is $\mathcal{M}_{pk} = \{0, 1\}^*$.

1. $\text{KGen}(1^\lambda) = (pk, sk) \leftarrow \text{Gen}(1^\lambda)$;
2. $\text{Sign}(sk, m) = f^{-1}(sk, H(m)) = \sigma$;
3. $\text{Vrfy}(pk, (m, \sigma)) = 1 \iff f(pk, \sigma) = H(m)$. \diamond

Theorem 40. *The SS! in ?? is UFCMA! in the RO! model if (Gen, f, f^{-1}) is a TDP!.* \diamond

Proof of ??. Assume exists \mathcal{A} capable of forging, i.e., be a **PPT!** adversary such that

$$\Pr [\mathcal{G}_{\Pi, \mathcal{A}}^{\text{ufcma}}(\lambda) = 1] \geq \frac{1}{\text{poly}(\lambda)}.$$

We use her to break the **TDP!**.

Without loss of generality, we make the following assumptions on \mathcal{A} :

1. \mathcal{A} never repeats her queries;
2. before making signature query m_i , \mathcal{A} asks m_i to the **RO!**.

We describe \mathcal{B} which is given pk and $y = f(pk, x)$ for $x \leftarrow \mathcal{X}_{pk}$, i.e., $\mathcal{B}(1^\lambda, pk, y)$:

1. run $\mathcal{A}(1^\lambda, pk)$, pick $j \leftarrow \mathcal{S}[q_h]$ (number of queries of \mathcal{A}). With probability $\approx \frac{1}{q_h}$ (one over polynomial) we guess j , the last message;
2. upon query $m_i \in [0, 1]$
 - (a) if $i \neq j$, sample $x_0 \leftarrow \mathcal{X}_{pk}$, and let $y_i = f(pk, x_i)$ and return y_i ;
 - (b) if $i = j$, return y to \mathcal{A} ;
3. upon seeing query m_i , return x_i as long as $m_i \neq m$, else abort;
4. when \mathcal{A} gives (m^*, σ^*) , output σ^* .

\mathcal{B} perfectly simulates pk , and also signature queries as long as it does not abort.

1. y_i are random, since x_i are random and $f(pk, \cdot)$ is a permutation;
2. $\text{Sign}(sk, m_i) = f^{-1}(sk, H(m_i)) = f^{-1}(sk, f(pk, x_i)) = x_i$.

Additionally, if (m^*, σ^*) is valid it means that $\sigma^* = f^{-1}(sk, H(m^*)) = f^{-1}(sk, y) = x$, and \mathcal{B} inverts the **TDP!**.

The probability of breaking the **TDP!** is the probability of not aborting times the probability that \mathcal{A} breaks the scheme.

$$\Pr [\text{"}\mathcal{B} \text{ wins"}] = \frac{1}{q_h} \cdot \frac{1}{\text{poly}(\lambda)} \neq \text{negl}(\lambda). \quad \square$$

Theorem 41. *SS!s exist \iff OWF!s (OWF!s) exist.* \diamond

But this is inefficient.

6.1 WSS!

CDH! (CDH!) in bilinear groups.

Definition 31 (Bilinear Group). $(\mathbb{G}, \mathbb{G}_T, q, g, \hat{e}) \leftarrow \text{Bilin}(1^\lambda)$.

1. \mathbb{G}, \mathbb{G}_T are groups of order q with some efficient operation “ \cdot ”. T stands for target;
2. g is a generator of \mathbb{G} ;
3. $\hat{e} : \mathbb{G} \times \mathbb{G} \rightarrow \mathbb{G}_T$ is a bilinear map, i.e.,

$$(a) \text{ bilinearity: } \forall g, h \in \mathbb{G}, \forall a, b \in \mathbb{Z}_q,$$

$$\hat{e}(g^a, h^b) = \hat{e}(g, h)^{ab};$$

$$(b) \text{ non-degeneracy: } \hat{e}(g, g) \neq 1 \text{ for generator } g.$$

◇

Observation 3. They are pairing on elliptic curves and **CDH!** is believed to hold in such groups. **DDH!** (**DDH!**) comes easy in \mathbb{G} with a bilinear map. ◇

Construction 23 (**WSS!**). Consider $\Pi = (\text{KeyGen}, \text{Sign}, \text{Vrfy})$, where

- **KeyGen**(1^λ): $params = (\mathbb{G}, \mathbb{G}_T, q, g, \hat{e}) \leftarrow \text{Bilin}(1^\lambda)$, $a \leftarrow \mathbb{Z}_q$, $g_1 = g^a$, $g_2, \mu_1, \dots, \mu_k \leftarrow \mathbb{G}$; $pk = (params, g_1, g_2, \mu_0, \dots, \mu_k)$, $sk = g_2^a$.
- **Sign**(sk, m): let $m = (m[1], \dots, m[k]) \in \{0, 1\}^k$, and $\alpha(m) = \alpha_{\mu_0, \dots, \mu_k}(m) = \mu_0 \prod_{i=1}^k \mu_i^{m[i]}$. Pick $r \leftarrow \mathbb{Z}_q$, output $\sigma = (g_2^a \cdot \alpha(m)^r, g^r) = (\sigma_1, \sigma_2)$;
- **Vrfy**($pk, (m, (\sigma_1, \sigma_2))$): check that $\hat{e}(g, \sigma_1) = \hat{e}(\sigma_2, \alpha(m)) \cdot \hat{e}(g_1, g_2)$.

Correctness:

$$\begin{aligned} \hat{e}(g, \sigma_1) &= \hat{e}(g, g_2^a \cdot \alpha(m)^r) = \hat{e}(g, g_2^a) \cdot \hat{e}(g, \alpha(m)^r) \\ &= \hat{e}(g^a, g_2) \cdot \hat{e}(g^r, \alpha(m)) = \hat{e}(g_1, g_2) \cdot \hat{e}(\sigma_2, \alpha(m)). \end{aligned}$$

Security: partitioning argument. $\mathcal{M} = \{0, 1\}^k$, and X is some auxiliary information. Given X , one can simulate signature on $m \in \mathcal{M}_1^X$ and moreover with high probability all queries will belong to \mathcal{M}_1^X . Forgery will be in \mathcal{M}_2^X which will allow to break **CDH!**. ◇

Theorem 42. Under **CDH!**, **WSS!** (**WSS!**) is **UFCMA!**. ◇

Proof of ??. Let \mathcal{A} be a **PPT!** adversary capable of breaking **WSS!** with probability greater than $\frac{1}{p(\lambda)}$, with $p(\lambda) \in \text{poly}(\lambda)$. Consider the adversary \mathcal{B} given $params = (\mathbb{G}, \mathbb{G}_T, q, g, \hat{e})$, $g_1 = g^a$, $g_2 = g^b$, and which wants to compute g^{ab} . $\mathcal{B}(params, g_1, g_2)$:

1. set $l = kq_s$, with q_s being the number of queries ($< q$);
2. pick $x_0 \leftarrow \mathbb{Z}_q$ and $x_1, \dots, x_k \leftarrow \mathbb{Z}_q$ integers, and $y_0, \dots, y_k \leftarrow \mathbb{Z}_q$;
3. set $\mu_i = g_2^{x_i} g^{y_i}$ for all $i \in [k]$, and define the functions

$$\begin{aligned} \beta(m) &= x_0 + \sum_{i=1}^k m[i] x_i, \\ \gamma(m) &= y_0 + \sum_{i=1}^k m[i] y_i; \end{aligned}$$

4. run $\mathcal{A}(params, g_1, g_2, \mu_0, \dots, \mu_k)$;
5. upon a query $m \in \{0, 1\}^k$ from \mathcal{A} :
 - if $\beta = \beta(m) = 0 \pmod q$, abort;
 - else $\gamma = \gamma(m)$, $r \leftarrow \mathbb{Z}_q$, and output

$$\sigma = (\sigma_1, \sigma_2) = (g_2^{\beta r} \cdot g^{\gamma r} \cdot g_1^{-\gamma \beta^{-1}}, g^r \cdot g_1^{-\beta^{-1}});$$

6. when \mathcal{A} outputs $(m^*, (\sigma_1^*, \sigma_2^*))$:

- if $\beta(m^*) \neq 0 \pmod q$, abort;
- else output

$$\frac{\sigma_1^*}{(\sigma_2^*)^{\gamma(m^*)}}.$$

If $\beta(m) \neq 0$, \mathcal{B} aborts on output, but answers queries. If $\beta(m) = 0$, \mathcal{B} aborts on queries, but outputs result.

Public key has random distribution as desired: perfect simulation of pk .

Conditioning on \mathcal{B} not aborting, the following two holds:

1. signature answers have the right distribution;
2. \mathcal{B} breaks **CDH**!

To verify point ?? : $a = \log_g(g_1)$, $b = \log_g(g_2)$. A signature σ on m is $\sigma = (\sigma_1, \sigma_2) = (g_2^a \cdot \alpha(m)^{\bar{r}}, g^{\bar{r}})$ for random $\bar{r} \leftarrow \mathbb{Z}_q$. Take now $\bar{r} = r - \alpha\beta^{-1}$ and let $\beta = \beta(m)$, $\gamma = \gamma(m)$.

$$\alpha(m) = \mu_0 \prod_{i=1}^k \mu_i^{m[i]} = g_2^{x_0} g^{y_0} \prod_{i=1}^k (g_2^{x_i} g^{y_i})^{m[i]} = g_2^\beta g^\gamma.$$

$$\begin{aligned} \sigma_1 &= g_2^a \cdot \alpha(m)^{\bar{r}} = g_2^a \cdot \alpha(m)^{r - \alpha\beta^{-1}} \\ &= g_2^a \cdot g_2^{\beta r} \cdot g^{\gamma r} \cdot g_2^{-\alpha} \cdot g^{-\alpha\gamma\beta^{-1}} = g_2^{\beta r} \cdot g^{\gamma r} \cdot g_1^{-\gamma\beta^{-1}} \\ \sigma_2 &= g^{\bar{r}} = g^r \cdot g^{-\alpha\beta^{-1}} = g^r \cdot g_1^{-\beta^{-1}} \end{aligned}$$

Since $\bar{r} = r - \alpha\beta^{-1}$ is random, we get the same distribution.

To verify point ?? : let (σ_1^*, σ_2^*) be the forgery such that $\beta(m^*) = 0 \pmod q$.

$$\frac{\hat{e}(g, \sigma_1^*)}{\hat{e}(\sigma_2^*, \alpha(m^*))} = \hat{e}(g_1, g_2)$$

because it's valid. Since $\hat{e}(g_1, g_2) = \hat{e}(g, g)^{ab}$ we have

$$\begin{aligned} \hat{e}(g, g)^{ab} &= \frac{\hat{e}(g, \sigma_1^*)}{\hat{e}(\sigma_2^*, \alpha(m^*))} = \frac{\hat{e}(g, \sigma_1^*)}{\hat{e}(\sigma_2^*, \underbrace{g_1^{\beta(m^*)} \cdot g^{\gamma(m^*)}}_1)} = \frac{\hat{e}(g, \sigma_1^*)}{\hat{e}((\sigma_2^*)^{\gamma(m^*)}, g)} \\ &\implies \hat{e}(g, g^{ab}) = \hat{e}\left(g, \frac{\sigma_1^*}{(\sigma_2^*)^{\gamma(m^*)}}\right) \implies g^{ab} = \frac{\sigma_1^*}{(\sigma_2^*)^{\gamma(m^*)}} \end{aligned}$$

Next, we claim that \mathcal{B} aborts with negligible probability.

To verify it, we see that \mathcal{B} aborts if the following happens:

$$E = \beta(m^*) \neq 0 \vee (\beta(m^*) = 0 \wedge \beta(m_1) = 0) \vee \dots \vee (\beta(m^*) = 0 \wedge \beta(m_{q_s}) = 0).$$

Since $|\beta(m)| \leq kl$ by definition of $\beta(\cdot) \implies |\beta(m)| < q \implies$ we drop the modulus. By the union bound

$$\Pr[E] \leq \Pr[\beta(m^*) \neq 0] + \sum_{i=1}^{q_s} \Pr[\beta(m^*) = 0 \wedge \beta(m_i) = 0].$$

- Fix x_1, \dots, x_k and m^* , there is a single x_0 such that $\beta(m^*) = 0$, thus

$$\Pr[\beta(m^*) \neq 0] = \frac{kl}{kl+1};$$

- fix $i \in [q_s]$, and let $\bar{m} = m_i$. Since $m^* \neq m_i$, $\exists j : m^*[j] \neq \bar{m}[j]$. Assume without loss of generality that $m^*[j] = 1 \wedge \bar{m}[j] = 0$. Given arbitrary $x_1, \dots, x_{j-1}, x_{j+1}, \dots, x_k$ then

$$(\beta(m^*) = \wedge \beta(\bar{m}) = 0) \iff \begin{pmatrix} x_0 + x_j = -\sum_{i \neq j} x_i m^*[i] \\ x_0 = -\sum_{i \neq j} x_i \bar{m}[i] \end{pmatrix}$$

$\exists!$ solution.

$$\Pr [\beta(m^*) = 0 \wedge \beta(m_i) = 0] = \frac{1}{kl+1} \cdot \frac{1}{l+1}.$$

So the probability of aborting is:

$$\Pr [E] \leq \frac{kl}{kl+1} + q_s \cdot \frac{1}{kl+1} \cdot \frac{1}{l+1}$$

which gives us the probability of not aborting:

$$\begin{aligned} \Pr [\bar{E}] &\geq 1 - \frac{kl}{kl+1} - q_s \cdot \frac{1}{kl+1} \cdot \frac{1}{l+1} \\ &= \frac{1}{kl+1} \left(kl+1 - kl - \frac{q_s}{l+1} \right) = \frac{1}{kl+1} \left(1 - \frac{q_s}{l+1} \right) \quad (l = kq_s) \\ &= \frac{1}{kl+1} \left(1 - \frac{l}{(l+1)k} \right) \geq \frac{1}{4kq_s+2} = \frac{1}{p'(\lambda)} \end{aligned}$$

with $p'(\lambda) \in \text{poly}(\lambda)$.

Finally, the probability that \mathcal{B} breaks **CDH!**:

$$\Pr [\mathcal{B} \text{ breaks } \mathbf{CDH!}] = \underbrace{\frac{1}{p(\lambda)}}_{\text{breaks } \mathbf{WSS!}} \cdot \underbrace{\frac{1}{p'(\lambda)}}_{\text{not abort}} \in \text{poly}(\lambda)$$

□

6.2 **IDS!**s

An **IDS!** (**IDS!**) is an interactive protocol between a prover \mathcal{P} and a verifier \mathcal{V} , both **PPT!**.

Definition 32 (IDS!). An **IDS!** is a tuple $\Pi = (\text{Gen}, \mathcal{P}, \mathcal{V})$. τ is the message exchange between \mathcal{P} and \mathcal{V} , denoted as $\tau \leftarrow \$(\mathcal{P}(pk, sk) \rightleftharpoons \mathcal{V}(pk))$, with $(pk, sk) \leftarrow \$(\text{Gen}(1^\lambda))$. $\text{out}_{\mathcal{V}}(\mathcal{P}(pk, sk) \rightleftharpoons \mathcal{V}(pk))$ is a random variable, and decides if \mathcal{P} knows sk or not.

Correctness requirement: for all $\lambda \in \mathbb{N}$, for all (pk, sk) output by $\text{Gen}(1^\lambda)$,

$$\Pr [\text{out}_{\mathcal{V}}(\mathcal{P}(pk, sk) \rightleftharpoons \mathcal{V}(pk)) = 1] = 1.$$

This is called *perfect correctness*. ◇

We also have a security requirement. It should be hard to impersonate \mathcal{P} without knowing the secret key. This does not capture the fact that one transcript could work always. The security requirement is that it should be hard to impersonate \mathcal{P} even after seeing many τ s from honest executions.

Definition 33 (Passively secure IDS!). Consider the game $\mathcal{G}_{\Pi, \mathcal{A}}^{\text{id}}(\lambda)$, with $\mathcal{A} = (\mathcal{A}_1, \mathcal{A}_2)$, defined by:

1. $(pk, sk) \leftarrow \$(\text{Gen}(1^\lambda))$;
2. $s \leftarrow \mathcal{A}_1^{\text{Trans}(pk, sk)}(pk)$, where $\text{Trans}(pk, sk)$ upon empty input outputs $\tau \leftarrow \$(\mathcal{P}(pk, sk) \rightleftharpoons \mathcal{V}(pk))$, and s is some “state”;
3. return $\text{out}_{\mathcal{V}}(\mathcal{A}_2(s, pk) \rightleftharpoons \mathcal{V}(pk))$.

$\Pi = (\text{Gen}, \mathcal{P}, \mathcal{V})$ is passively secure if for all **PPT!** \mathcal{A}

$$\Pr [\mathcal{G}_{\Pi, \mathcal{A}}^{\text{id}}(\lambda) = 1] \leq \text{negl}(\lambda). \quad \diamond$$

It's natural to build **IDS!**s using **SS!**s. The idea is to sign random messages from \mathcal{V} .

1. \mathcal{V} samples $m \leftarrow \$(\mathcal{M})$, and sends it to \mathcal{P} ;
2. \mathcal{P} computes $\sigma = \text{Sign}(sk, m)$ and sends it to \mathcal{V} ;
3. \mathcal{V} outputs $\text{Vrfy}(pk, (m, \sigma))$.

Active security is when the **IDS!** resists to an adversary that replaces \mathcal{V} , and thus chooses what to send to \mathcal{P} . The proposed scheme is both passively secure and actively secure.

Encrypting and decrypting random messages also works as an **IDS!**.

Definition 34 (Canonical **IDS!**). In a canonical **IDS!** we have that $\mathcal{P} = (\mathcal{P}_1, \mathcal{P}_2)$ and that $\mathcal{V} = (\mathcal{V}_1, \mathcal{V}_2)$. Just three messages are exchanged:

1. $\alpha \leftarrow \mathcal{P}_1(pk, sk)$ is sent to \mathcal{V} ;
2. $\beta \leftarrow \mathcal{B}_{\lambda, pk} = \mathcal{V}_1$, the challenge, is sent to \mathcal{P} ;
3. $\gamma \leftarrow \mathcal{P}_2(pk, sk, \alpha, \beta)$ is sent to \mathcal{V} ;
4. \mathcal{V} outputs $\mathcal{V}_2(pk, \alpha, \beta, \gamma) \in \{0, 1\}$.

The verifier is randomised: this is called a (three-move) “public coins” verifier. Sometimes \mathcal{P}_1 and \mathcal{P}_2 share a state, *i.e.*, $(\alpha, a) \leftarrow \mathcal{P}_1(pk, sk)$ and $\gamma \leftarrow \mathcal{P}_2(pk, sk, \alpha, \beta, a)$.

From a canonical **IDS!** we want non-degeneracy, *i.e.*, it's hard to predict the first message of the prover:

$$\forall \hat{\alpha}. \Pr [\alpha = \hat{\alpha} : \alpha \leftarrow \mathcal{P}_1(pk, sk)] \leq \text{negl}(\lambda). \quad \diamond$$

We can construct a **SS!** using an **IDS!**.

Construction 24 (Fiat-Shamir Transform). Let $\Pi = (\text{Gen}, \mathcal{P}, \mathcal{V})$ be an **IDS!**. Consider the **SS!** $\Pi' = (\text{KGen}, \text{Sign}, \text{Vrfy})$ defined as:

- $\text{KGen}(1^\lambda) = \text{Gen}(1^\lambda)$;
- $\text{Sign}(sk, m)$:
 1. $\alpha \leftarrow \mathcal{P}_1(pk, sk)$;
 2. $\beta = H(\alpha, m)$ with $H : \{0, 1\}^* \rightarrow \mathcal{B}_{pk}$;
 3. $\gamma \leftarrow \mathcal{P}_2(pk, sk, \alpha, \beta)$;
 4. $\sigma = (\alpha, \gamma)$;
- $\text{Vrfy}(pk, (m, \sigma))$ computes $\beta = H(\alpha, m)$, then returns $\mathcal{V}_2(pk, \alpha, \beta, \gamma)$.

◇

Theorem 43. *If Π is passively secure, Π' as defined in ?? is **UFCMA!**.* ◇

Proof of ??. We do a reduction from \mathcal{A}' forging in $\mathcal{G}_{\Pi', \mathcal{A}'}^{\text{ufcma}}(\lambda)$ into \mathcal{A} winning $\mathcal{G}_{\Pi, \mathcal{A}}^{\text{id}}(\lambda)$, both **PPT!**.

Assumptions we make: \mathcal{A}' does not repeat hash queries, and if (m^*, σ^*) is the forgery, with (σ^*, γ^*) , then \mathcal{A}' queried $H(\alpha^*, m^*)$ to the **RO!**.

The reduction to $\mathcal{A}^{\text{Trans}(pk, sk)}(pk)$ is as follows:

1. forward pk to \mathcal{A}' . Pick $i^* \leftarrow \mathcal{q}_h$, where q_h is the number of **RO!** queries;
2. query $\text{Trans}(pk, sk)$ and get $\tau_i = (\alpha_i, \beta_i, \gamma_i)$ for $i \in [q_s]$, with q_s being the number of signature queries;
3. upon (α_j, m_j) **RO!** query from \mathcal{A}' :
 - (a) if $j = i^*$ send a_j to the verifier \mathcal{V} in $\mathcal{G}_{\Pi, \mathcal{A}}^{\text{id}}$, receive β^* from \mathcal{V} and send β^* to \mathcal{A}' , with $\beta^* \leftarrow \mathcal{B}_{pk, \lambda}$;
 - (b) else, return random $\beta_j \leftarrow \mathcal{B}_{pk, \lambda}$
4. upon m_i signature query from \mathcal{A}' :
 - (a) check if α_i in $\tau_i = (\alpha_i, \beta_i, \gamma_i)$ is such that (α_i, m_i) is already queried to the **RO!**. If it is, abort;
 - (b) else return (α_i, γ_i) and set $H(\alpha_i, m_i) = \beta_i$ in the **RO!**;
5. when \mathcal{A}' outputs $(m^*, (\alpha^*, \gamma^*))$, forward γ^* to the verifier.

If we didn't abort, and \mathcal{A}' is successful, and we guessed i^* , we have

$$\Pr[\mathcal{A} \text{ wins}] \geq \underbrace{\Pr[\mathcal{A}' \text{ wins}]}_{\frac{1}{\text{poly}(\lambda)}} \cdot \underbrace{\Pr[\alpha^* = \alpha_{i^*}]}_{\frac{1}{q_h}} \cdot \Pr[\text{not abort}].$$

Let E_i be the event that we abort in the i -th signature query. By the non-degeneracy property of Π we have that $\Pr[E_i] \in \text{negl}(\lambda)$, and thus

$$\Pr[\text{abort}] \leq \sum_{i=1}^{q_s} \Pr[E_i] \leq q_s \cdot \varepsilon(\lambda).$$

Thus $\exists \mu \in \text{negl}(\lambda)$ such that

$$\Pr[\mathcal{A} \text{ wins}] \geq \frac{1}{\text{poly}(\lambda)} \cdot (1 - \mu(\lambda)) \cdot \frac{1}{q_s}$$

which is non negligible. \square

Two properties are sufficient for an **IDS!** to have passive security.

Definition 35 (HVZK!). An **IDS!** is **HVZK!** (**HVZK!**) if exists **PPT!** simulator S such that

$$\left\{ \begin{array}{l} (pk, sk) \leftarrow \text{\$KGen}(1^\lambda) : \\ (pk, sk), \text{Trans}(pk, sk) \end{array} \right\} \approx_c \left\{ \begin{array}{l} (pk, sk) \leftarrow \text{\$KGen}(1^\lambda) : \\ (pk, sk), S(pk) \end{array} \right\}.$$

HVZK! says you don't learn anything from a transcript. It's called the simulation paradigm: if a protocol is **HVZK!**, then exists a simulator capable of simulating the transcripts. \diamond

Definition 36 (SP!). Consider the game $\mathcal{G}_{\Pi, \mathcal{A}}^{\text{SP}}$, defined as:

1. $(pk, sk) \leftarrow \text{\$KGen}(1^\lambda)$;
2. $(\alpha, \beta, \gamma, \beta', \gamma') \leftarrow \mathcal{A}(pk)$;
3. output 1 if $\beta \neq \beta'$ and

$$\mathcal{V}_2(pk, (\alpha, \beta, \gamma)) = \mathcal{V}_2(pk, (\alpha, \beta', \gamma')) = 1.$$

SP! (SP!) is about canonical **IDS!**: it's hard to have two transcripts (α, β, γ) and $(\alpha, \beta', \gamma')$.

A canonical **IDS!** Π satisfies special soundness if for all **PPT!** \mathcal{A} exists a negligible ε such that

$$\Pr[\mathcal{G}_{\Pi, \mathcal{A}}^{\text{SP}}(\lambda) = 1] \leq \varepsilon(\lambda). \quad \diamond$$

Theorem 44. Let Π be a canonical **IDS!**, if Π satisfies **SP!** and **HVZK!**, and the size of \mathcal{B}_{pk} is $\omega(\log(\lambda))$, then Π is passively secure. \diamond

Proof of ??. We want a reduction from **PS!** (**PS!**) to **SP!**. We do one step first.

Let $H_0 = \mathcal{G}_{\Pi, \mathcal{A}}^{\text{id}}$, and consider hybrid H_1 where the oracle $\text{Trans}(pk, sk)$ is replaced by $S(pk)$. By **HVZK!**, $H_0 \approx_c H_1$. Proof of this is left as exercise.

Second step: we claim that $\Pr[H_1(\lambda) = 1]$ is negligible. Assume we have an adversary for **PS!**, we want to break **SP!**. Assume $\mathcal{A} = (\mathcal{A}_1, \mathcal{A}_2)$ breaks H_1 with probability $\varepsilon(\lambda) \geq \frac{1}{\text{poly}(\lambda)}$, and consider \mathcal{A}' breaking **SP!**:

1. recover pk from $\mathcal{G}_{\Pi, \mathcal{A}'}^{\text{SP}}(\lambda)$;
2. run $\mathcal{A}_1(pk)$. Upon input an oracle query from \mathcal{A}_1 , return $(\alpha, \beta, \gamma) \leftarrow \text{\$S}(pk)$. Let s be the output of $\mathcal{A}_1(pk)$;
3. run $\mathcal{A}_2(pk, s)$ and receive α , reply $\beta \leftarrow \text{\$B}_{pk, \lambda}$ and obtain γ ;
4. rewind $\mathcal{A}_2(pk, s)$ to the point it already sent α , get a new β' and receive some γ' ;
5. output $(\alpha, \beta, \gamma, \beta', \gamma')$.

Denote by z the state of \mathcal{A}_2 after it sent α , and call $p_z = \Pr[Z = z]$, where Z is the **RV!** (**RV!**) corresponding to the state.

Write $\delta_z = \Pr[H_1(\lambda) = 1 | Z = z]$. By definition,

$$\varepsilon(\lambda) = \sum_{z \in Z} p_z \delta_z = E[\delta_z].$$

Let “Good” be the event $\beta \neq \beta'$. Then

$$\begin{aligned} \Pr[\mathcal{G}_{\Pi, \mathcal{A}'}^{\text{SP}}(\lambda) = 1] &= \Pr[\mathcal{G}_{\Pi, \mathcal{A}'}^{\text{SP}}(\lambda) = 1 \wedge \text{Good}] \\ &\geq \Pr[\mathcal{G}_{\Pi, \mathcal{A}'}^{\text{SP}}(\lambda) = 1 | \text{Good}] - \underbrace{\Pr[\neg \text{Good}]}_{\Pr[\beta = \beta']} \\ &= \Pr[\mathcal{G}_{\Pi, \mathcal{A}'}^{\text{SP}}(\lambda) = 1 | \text{Good}] - |\mathcal{B}_{pk, \lambda}|^{-1} \\ &= \sum_{z \in Z} p_z \delta_z^2 - |\mathcal{B}_{pk, \lambda}|^{-1} = E(\delta_z^2) - |\mathcal{B}_{pk, \lambda}|^{-1} \\ &\geq (E(\delta_z))^2 - |\mathcal{B}_{pk, \lambda}|^{-1} = \underbrace{\varepsilon(\lambda)^2}_{\text{non negligible}} - \underbrace{|\mathcal{B}_{pk, \lambda}|^{-1}}_{\text{negligible}} \\ &\geq \frac{1}{\text{poly}(\lambda)} - \text{negl}(\lambda) \approx \frac{1}{\text{poly}(\lambda)}. \end{aligned}$$

So we break **SP!** with non negligible probability. □

Construction 25 (Schnorr Protocol). Schnorr protocol is defined as follows:

- KGen(1^λ): $(\mathbb{G}, g, q) \leftarrow \text{GroupGen}(1^\lambda)$, $sk = x \leftarrow \mathbb{Z}_q$, $pk = y = g^x$;
- \mathcal{P} samples $a \leftarrow \mathbb{Z}_q$ and computes $\alpha = g^a$, and sends α to \mathcal{V} ;
- \mathcal{V} samples $\beta \leftarrow \mathbb{Z}_q = \mathcal{B}_{pk, \lambda}$, and sends β to \mathcal{P} ;
- \mathcal{P} computes $\gamma = \beta x + a \pmod q$, and sends γ to \mathcal{V} ;
- \mathcal{V} checks that $g^\gamma \cdot y^{-\beta} = \alpha$.

Completeness:

$$g^\gamma \cdot y^{-\beta} = g^{\beta x + a} \cdot g^{-\beta x} = g^{\cancel{\beta x} - \cancel{\beta x} + a} = g^a.$$

Schnorr protocol has a simulator: pick β, γ at random, let $\alpha = g^\gamma \cdot y^{-\beta}$, and output (α, β, γ) . In a real execution, $\gamma = \beta x + a$ is uniform regardless of β , and α is the unique value such that $\alpha = g^\gamma \cdot y^{-\beta}$. ◇

SP! holds under **DL!** (**DL!**) assumption. Assume \mathcal{A} breaks **SP!** with non negligible probability. \mathcal{A}' gets $y = g^x$ for random $x \leftarrow \mathbb{Z}_q$, and sets $pk = y$ in a game with \mathcal{A} . \mathcal{A} outputs $(\alpha, \beta, \gamma, \beta', \gamma')$, with $\beta \neq \beta'$ and $g^\gamma \cdot y^{-\beta} = \alpha = g^{\gamma'} \cdot y^{-\beta'}$. We get that

$$g^\gamma y^{-\beta} = g^{\gamma'} y^{-\beta'} \implies g^{\gamma - \gamma'} = y^{\beta - \beta'} \implies y = g^{(\gamma - \gamma')(\beta - \beta')^{-1}}$$

so $x = (\gamma - \gamma')(\beta - \beta')^{-1}$.

AES Advanced Encryption Standard
AU Almost Universal
DL Discrete Log
CBC Cypher Block Chain
CCA Chosen Cyphertext Attack
CDH Computational **DH!**
CFB Cypher Feed Back
CFP Claw-Free Permutation
CPA Chosen Plaintext Attack
CR Collision Resistant
CRH **CR!** Hash Function
CRT Chinese Remainder Theorem
CS Cramer-Shoup
CTR Counter
DDH Decisional **DH!**
DH Diffie-Hellman
ECB Electronic Code Book
FIL Fixed Input Length
GGM Goldreich-Goldwasser-Micali
GL Goldreich-Levin
HCP Hard Core Predicate
HVZK Honest Verifier Zero Knowledge
IBE Identity Based Encryption
ICM Ideal Cypher Model
IDS Identification Scheme
INT Integrity (of cyphertext)
MAC Message Authentication Code
MD Merkle-Damgard
NIST National Institute of Standards and Technology
OAEP Optimal Asymmetric Encryption Padding
OTP One Time Pad
OWF One Way Function
OWP One Way Permutation
PKC Public Key Cryptography
PKE Public Key Encryption
PPT Probabilistic Polynomial Time

PRF Pseudo Random Function
PRG Pseudo Random Generator
PRP Pseudo Random Permutation
PS Passive Security
PU Perfect Universal
RO Random Oracle
RSA Rivest-Shamir-Adleman
RV Random Variable
SKE Symmetric Key Encryption
SP Special Soundness
SS Signature Scheme
SSH Secure Shell
TDP Trapdoor Permutation
TLS Transport Layer Security
UFCMA Unforgeable Chosen Message Attack
UHF Universal Hash Function
VIL Variable Input Length
WSS Waters **SS!**