### Discrete Mathematics

# Cardinality

Shin Hong

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# Cardinality

• The *cardinality* of a set A is equal to the cardinality of a set B, denoted |A| = |B|, if and only if there is a one-to-one correspondence (i.e., bijection) from A to B.

• If there is a one-to-one function from A to B but no bijection, the cardinality of A is less than or the cardinality of B and we write |A| < |B|.

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# Cardinality of Finite Sets

•A set S is finite with cardinality  $n \in \mathbb{N}^0$  if there is a bijection from the subset of non-negative integers  $\{0, 1, ..., n-1\}$  to S

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### Let's Think About Infinite Sets

- 1. A set S is an infinite set if one of its subsets is an infinite set
- 2. Every subset of a finite set is finite.
- 3. If  $f: S \to T$  be an injection and S is infinite, T is infinite.
- 4. If S is an infinite set, the power set of S is infinite.
- 5. If S and T are infinite sets,  $S \cup T$  is infinite.
- 6. If S is infinite and  $T \neq \emptyset$ , then  $S \times T$  is infinite.
- 7. If S is infinite and  $T \neq \emptyset$ , the set of functions from T to S is infinite.

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# Cardinality of Infinte Set

- A set is countable when
  - -the set is finite, or
  - the set has the same cardinality as the set of positive integers

•When an infinite set is countable (calling it *countably infinite*), its cardinality is denoted as  $\aleph_0$  (i.e., aleph null)

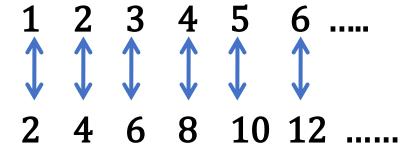
• A set that is not countable is uncountable

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# Showing that a Set is Countable

- An infinite set is countable iff there is a way to list the elements in a sequence with indexes of positive integers

  there must exist a function  $f: N \to S = \{a, a, \dots\}$  such that a = f(1)
  - there must exist a function  $f: N \rightarrow S = \{a_1, a_2, ...\}$  such that  $a_1 = f(1)$ ,  $a_2 = f(2), ..., a_n = f(n), ...$
- •Ex. Show that the set of positive even integers E is countable Let f(x) = 2x. Then f is a bijection from  $\mathbb{N}$  to E.



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# Showing that a Set is Countable

•Ex. Show that the set of integers  $\mathbb Z$  is countable.  $\mathbb Z$  can be listed as a sequence:

This sequence can be defined by a bijection f from  $\mathbb N$  to  $\mathbb Z$ :

- When *n* is even: f(n) = n/2
- When *n* is odd: f(n) = -(n-1)/2

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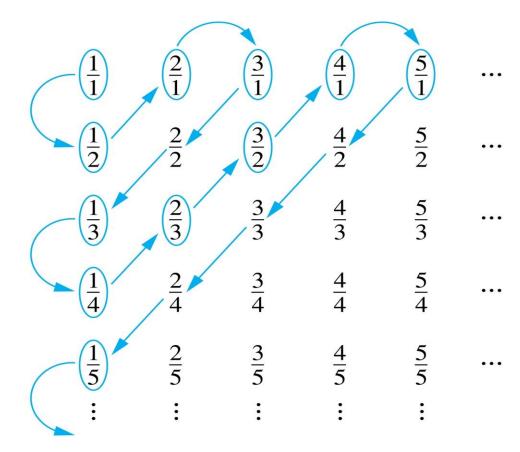
### The Positive Rational Numbers are Countable

• Theorem. the set of all positive rational numbers is countable.

#### Constructing a sequence

List p/q with p + q = 2 first, and then list p/q with p + q = 3, and so on

 $1, \frac{1}{2}, 2, 3, \frac{1}{3}, \frac{1}{4}, \frac{2}{3}, \dots$ 



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### Enumeration

- An enumeration of a set S is a surjective function f from an initial segment of  $\mathbb{N}$  to S.
  - f is a string where every element appears at least once
  - f is an enumeration without repetitions if f is bijective
  - -f is an enumeration with repetitions if it is not injective
  - Example
    - $S = \{\alpha, \beta, \gamma, \delta\}$
    - $<\alpha$ ,  $\gamma$ ,  $\beta$ ,  $\beta$ ,  $\delta$ ,  $\alpha>$  is an enumeration with repetition
    - $\langle \gamma, \alpha, \delta, \beta \rangle$  is an enumeration without repetition
- A set S is countable iff there is an enumeration of S

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# Strings

- The set of strings over a finite alphabet A is countably infinite.
- Proof.
  - Show that the strings can be listed in a sequence:
    - All the strings of length 0 in alphabetical order.
    - Then all the strings of length I in lexicographic (as in a dictionary) order.
    - Then all the strings of length 2 in lexicographic order.
    - And so on.
  - This implies a bijection from  $\mathbb{N}$  to S and hence it is countably infinite.

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### Every Java programs is a string, thus countable

The set of all Java programs is countable.

#### Proof

- Let S be the set of strings constructed from the characters which can appe ar in a Java program. Use the ordering from the previous example. Take each string in turn:
  - Feed the string into a Java compiler. (A Java compiler will determine if the input program is a syntactically correct Java program.)
  - If the compiler says YES, this is a syntactically correct Java program, we ad d the program to the list
  - We move on to the next string
- In this way we construct an implied bijection from  $\mathbb N$  to the set of Java programs. Hence, the set of Java programs is countable.

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### Uncountable Set

- Theorem. the set of real numbers  $\mathbb{R}$  is uncountable.
- Proof (proof by contradiction)
  - Suppose that  $\mathbb R$  is countable.
  - Then, the set of all real numbers in [0, 1) is countable, and the elements can be listed with positive integer indexes as follow

```
r_1 = 0.d_{11}d_{12}d_{13}d_{14} \dots d_{ij} \in \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}
r_2 = 0.d_{21}d_{22}d_{23}d_{24} \dots
r_3 = 0.d_{31}d_{32}d_{33}d_{34} \dots
r_4 = 0.d_{41}d_{42}d_{43}d_{44} \dots
\vdots
\vdots
r_i = 0.d_{i1}d_{i2} \dots d_{ii} \dots
```

- There is a real number r' = 0.  $d'_1 d'_2 d'_3 \dots d'_i \dots$  such that
  - $d'_i = 4$  iff  $d_{ii} \neq 4$
  - $d'_{i} = 5$  iff  $d_{ii} = 4$
- Then,  $\forall i \in N \ (r' \neq r_i)$ .
- Consequently, this conclusion reaches to a contradiction.

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## Languages

- Let  $\Sigma$  be a finite alphabet and  $\Sigma^*$  the set of all strings over  $\Sigma$ . Then  $\mathcal{F}(\Sigma^*)$  is uncountable.
- Proof using the Cantor's diagonalization
  - Let  $\langle x_0, x_1, x_2, ... \rangle$  be an enumeration of strings in  $\Sigma^*$ .
  - Suppose that  $\langle A_0, A_1, ... \rangle$  is an enumeration of  $\mathcal{F}(\Sigma^*)$ , s.t.  $A_i$  represents a subset of strings  $\Sigma^*$  as a bit vector
  - Think about  $A' \in \mathcal{P}(\Sigma^*)$  such that  $x_i \in A'$  iff  $x_i \notin A_i$

	<b>X</b> <sub>0</sub>	$x_1$	<b>X</b> <sub>2</sub>	
$A_0$	a <sub>00</sub>	a <sub>01</sub>	a <sub>02</sub>	
$A_1$	a <sub>10</sub>	a <sub>11</sub>	a <sub>12</sub>	
A <sub>2</sub> :	a <sub>20</sub> :	a <sub>21</sub> :	a <sub>22</sub> :	•••

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### Cardinalities of the Uncountable

- A set S is of cardinality c if there is a bijection from the set of real numbers in [0, 1] to S.
  - $-\aleph_0 < c$
  - c.f. the set of real numbers in [0, 1] is called a continuum

- The continuum hypothesis claims that there exists no set A such that  $\aleph_0 < |A| < c$ 
  - Note that, for an infinite set A,  $\aleph_0 \leq |A|$
- For a set S,  $|S| < |\mathcal{P}(S)|$ .
  - $\aleph_0 = |\mathbb{N}| < |\mathcal{P}(\mathbb{N})| < |\mathcal{P}(\mathcal{P}(\mathbb{N}))| < \cdots$

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