

## Solution 1

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### Step 1

We are given the prediction rule is defined as:

$$(2x_1 - x_2 - 6)$$

To find the decision boundary we set the prediction rule equal to zero:

$$2x_1 - x_2 - 6 = 0 \quad \text{or} \quad x_2 = 2x_1 - 6$$

We can rearrange this to express  $x_2$  in terms of  $x_1$ :

$$x_2 = 2x_1 - 6$$

### Step 2

Find the point  $(x_1, x_2)$  where the decision boundary intersects the  $x_1$  axis (i.e.  $x_2 = 0$ ):

$$x_2 = 2x_1 - 6 \rightarrow 0 = 2x_1 - 6 \rightarrow 2x_1 = 6 \rightarrow x_1 = 3$$

Hence, the decision boundary intersects the  $x_1$  axis at  $(3, 0)$

### Step 3

Find the point  $(x_1, x_2)$  where the decision boundary intersects the  $x_2$  axis (i.e.  $x_1 = 0$ ):

$$x_2 = 2x_1 - 6 \rightarrow x_2 = 2(0) - 6 \rightarrow 2x_2 = -6$$

Hence, the decision boundary intersects the  $x_1$  axis at  $(0, 6)$

### Step 4

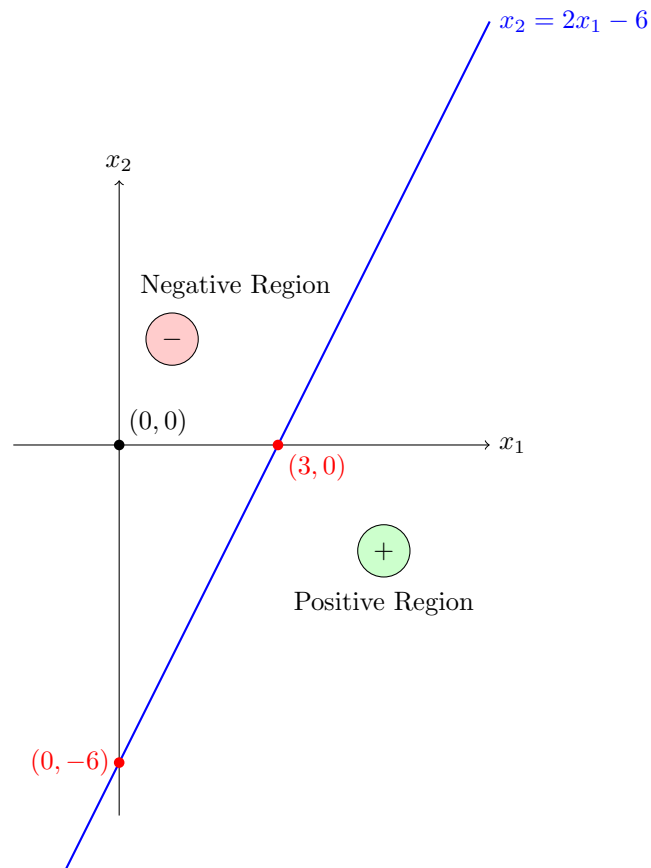
Test prediction rule at the point  $(0, 0)$  to determine the classification above the decision boundary.

$$2x_1 - x_2 - 6 \rightarrow 2(0) - 0 - 6 \rightarrow -6$$

It follows that,  $2x_1 - x_2 - 6 < 0$  at  $(0, 0)$  and this area above the decision boundary will be classified as negative.

### Step 5

Visualize the decision boundary:



$\therefore$  The decision boundary is the line  $x_2 = 2x_1 - 6$ , which intersects the  $x_1$  axis at  $(3, 0)$  and the  $x_2$  axis at  $(0, -6)$ . The region below this line is classified as positive, and the region above it is classified as negative.

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**Solution 2 (a)**

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$\therefore$  The statement: *The data set is linearly separable.* is **definitely true**. The Perceptron algorithm will converge the data is linearly separable and we are given: *it converges after making  $k$  updates.*

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**Solution 2 (b)**

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$\therefore$  The statement: *If the process were repeated with a different random permutation, it would again converge* is **definitely true**. Since the Perceptron algorithm will converge regardless of order.

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**Solution 2 (c)**

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$\therefore$  The statement: *If the process were repeated with a different random permutation, it would again converge after making  $k$  updates* is **possibly false**. The number of updates required for convergence can change depending on the order of data.

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**Solution 2 (d)**

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$\therefore$  The statement:  *$k$  is at most  $n$*  is **possibly false**. The number of updates  $k$  can exceed the number of data points  $n$ .

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## Solution 3

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### Step 1

A point  $(x, y)$  is misclassified when:

$$y(w \cdot x + b) \leq 0$$

The Perceptron algorithm tells us to update  $w$  and  $b$  when a point is misclassified as the following:

$$w = w + yx \quad \text{and} \quad b = b + y$$

### Step 2

We are given the following:

- Perceptron algorithm performs  $p + q$  updates before converging
- $p$  updates on data points with label  $y_i = -1$
- $q$  updates on data points with label  $y_i = +1$

### Step 3

Let the initial bias be  $b = 0$ . Each time a misclassified point is encountered, the bias is updated by adding the label  $y_i$ .

- For each of the  $p$  negative examples ( $y_i = -1$ ), the bias decreases by 1: total change is  $-p$
- For each of the  $q$  positive examples ( $y_i = 1$ ), the bias increases by 1: total change is  $q$

$\therefore$  The final value of the parameter  $b$  is  $q - p$ .

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**Solution 4 (a)**

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**Step 1**

Given:

- SVM classifier in  $\mathbb{R}^2$
- Weight vector  $w = (3, 4)$
- Bias term  $b = -12$

The prediction rule would then be defined as:

$$(3x_1 + 4x_2 - 12)$$

**Step 2**

Find the point  $(x_1, x_2)$  where the decision boundary intersects the  $x_1$  axis (i.e.  $x_2 = 0$ ):

$$3x_1 + 4(0) - 12 = 0 \rightarrow 3x_1 = 12 \rightarrow x_1 = 4$$

Hence, the decision boundary intersects the  $x_1$  axis at  $(4, 0)$

**Step 3**

Find the point  $(x_1, x_2)$  where the decision boundary intersects the  $x_2$  axis (i.e.  $x_1 = 0$ ):

$$3(0) + 4x_2 - 12 = 0 \rightarrow 4x_2 = 12 \rightarrow x_2 = 3$$

Hence, the decision boundary intersects the  $x_2$  axis at  $(0, 3)$

**Step 4**

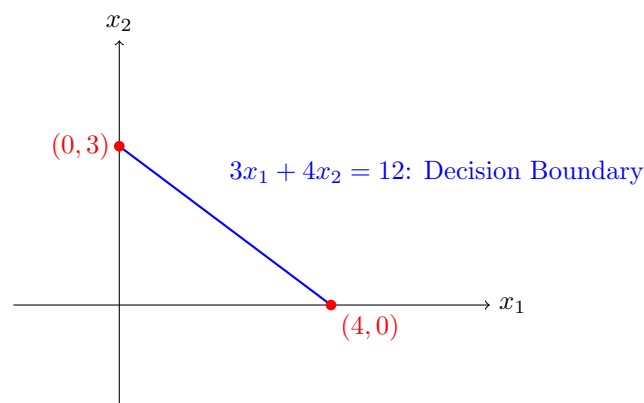
Test prediction rule at the point  $(0, 0)$  to determine the classification below the decision boundary.

$$3(0) + 4(0) - 12 = -12$$

It follows that  $3x_1 + 4x_2 - 12 < 0$  at  $(0, 0)$ , and so this region below the decision boundary will be classified as negative.

**Step 5**

Visualize the decision boundary:



## Solution 4 (b)

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### Step 1

The margin boundaries are defined as:

$$w \cdot x + b = 1 \quad (\text{positive margin boundary}) \quad (1)$$

$$w \cdot x + b = -1 \quad (\text{negative margin boundary}) \quad (2)$$

Remember the prediction rule would then be defined as:

$$(3x_1 + 4x_2 - 12)$$

### Step 2

Solve for right hand boundary  $((3x_1 + 4x_2 - 13))$ :

Find the point  $(x_1, x_2)$  where the right hand boundary intersects the  $x_1$  axis (i.e.  $x_2 = 0$ ):

$$3x_1 + 4(0) - 13 = 0 \rightarrow 3x_1 = 13 \rightarrow x_1 = \frac{13}{3}$$

Hence, the right hand boundary intersects the  $x_1$  axis at  $(\frac{13}{3}, 0)$ .

Now, find the point  $(x_1, x_2)$  where the right hand boundary intersects the  $x_2$  axis (i.e.  $x_1 = 0$ ):

$$3(0) + 4x_2 - 13 = 0 \rightarrow 4x_2 = 13 \rightarrow x_2 = \frac{13}{4}$$

Hence, the right hand boundary intersects the  $x_2$  axis at  $(0, \frac{13}{4})$ .

### Step 3

Solve for left hand boundary  $((3x_1 + 4x_2 - 11))$ :

Find the point  $(x_1, x_2)$  where the left hand boundary intersects the  $x_1$  axis (i.e.  $x_2 = 0$ ):

$$3x_1 + 4(0) - 11 = 0 \rightarrow 3x_1 = 11 \rightarrow x_1 = \frac{11}{3}$$

Hence, the left hand boundary intersects the  $x_1$  axis at  $(\frac{11}{3}, 0)$ .

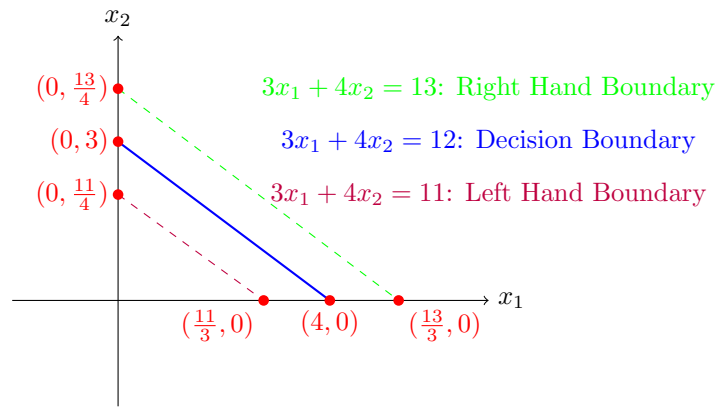
Now, find the point  $(x_1, x_2)$  where the left hand boundary intersects the  $x_2$  axis (i.e.  $x_1 = 0$ ):

$$3(0) + 4x_2 - 11 = 0 \rightarrow 4x_2 = 11 \rightarrow x_2 = \frac{11}{4}$$

Hence, the left hand boundary intersects the  $x_2$  axis at  $(0, \frac{11}{4})$ .

### Step 4

Visualize the left hand and right hand boundaries:



**Solution 4 (c)**

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**Step 1**

The margin of an SVM classifier is defined below where  $\|w\|$ , the Euclidean norm of the weight vector:

$$\text{Margin} = \frac{2}{\|w\|} = \frac{2}{\sqrt{w_1^2 + w_2^2}}$$

**Step 2**

Calculate the margin:

$$\begin{aligned}\text{Margin} &= \frac{2}{\|w\|} \\ &= \frac{2}{\sqrt{3^2 + 4^2}} \\ &= \frac{2}{\sqrt{25}} \\ &= \frac{2}{5} \\ &= 0.4\end{aligned}$$

$\therefore$  The margin of this SVM classifier is  $\frac{2}{5}$  or 0.4 units.

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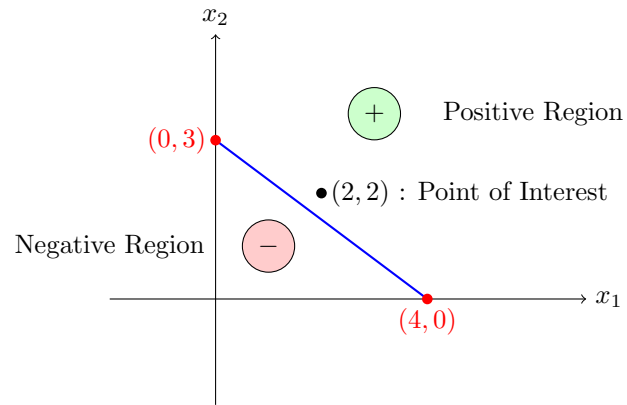


**Solution 4 (d)**

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**Step 1**

Use visualization from **Solution 4 (a)** and plot the point  $(2, 2)$ :



$\therefore$  The point  $(2, 2)$  would be classified as positive.

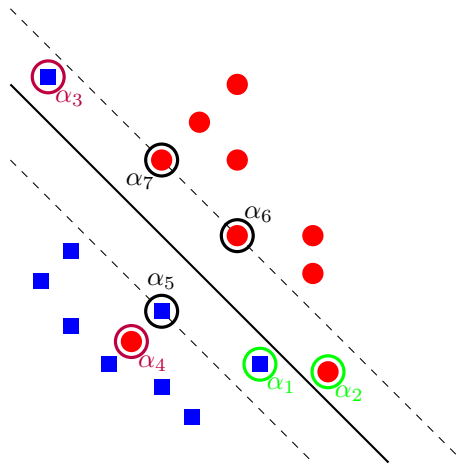
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## Solution 5 (a)

### Step 1

Support vectors ( $\alpha_i$ ) are the training points that lie exactly on the margin. However, we are told the decision boundary was obtained upon running *soft-margin SVM*. The soft-margin support vectors include the following points:

- points inside margin
- points that are misclassified
- points on the margin



### Step 2

The slack variables for the points circled are as follows:

- points inside margin:  $\alpha_1$  and  $\alpha_2$  with slack variable values of approximately 0.5
- points that are misclassified:  $\alpha_3$  and  $\alpha_4$  with slack variable values of  $\alpha_3 \approx 1.5$  and  $\alpha_4 \approx 2.5$
- points on the margin:  $\alpha_5$ ,  $\alpha_6$ , and  $\alpha_7$  with slack variable values of approximately 0

$\therefore$  the support vectors have been marked in **Step 1** and corresponding slack variable values have been indicated in **Step 2**.

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**Solution 5 (b)****Step 1**

We know that increasing or decreasing the factor  $C$  or penalty weight has the following effects:

- decreasing  $C$  the margin will grow in size and will allow for more misclassified points
- increasing  $C$  the margin will shrink in size and fewer mistakes will be allowed

$\therefore$  increasing the factor  $C$  will shrink the margin and fewer mistakes will be allowed.

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**Solution 6 (a)**

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$\therefore$  The statement: *Each  $\alpha_i$  is either 0 or 1.* is **possibly false**. A training point may be misclassified more than once, in which case its corresponding  $\alpha_i$  would be incremented multiple times and exceed 1.

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**Solution 6 (b)**

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$\therefore$  The statement:  $\sum_i \alpha_i = k$  is **definitely true**. The algorithm performs  $k$  total updates, and each update increases one of the  $\alpha_i$  by exactly 1, so the sum of all  $\alpha_i$  must equal  $k$ .

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**Solution 6 (c)**

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$\therefore$  The statement:  *$\alpha$  has at most  $k$  nonzero coordinates.* is **definitely true**. Since only the points that were misclassified at least once are updated, and there are  $k$  updates in total, at most  $k$  different  $\alpha_i$  can be nonzero.

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**Solution 6 (d)**

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$\therefore$  The statement: *The training data must be linearly separable.* is **definitely true**. The Perceptron algorithm is guaranteed to converge only when the data is linearly separable. Since it converged in  $k$  updates, the data must be separable.

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