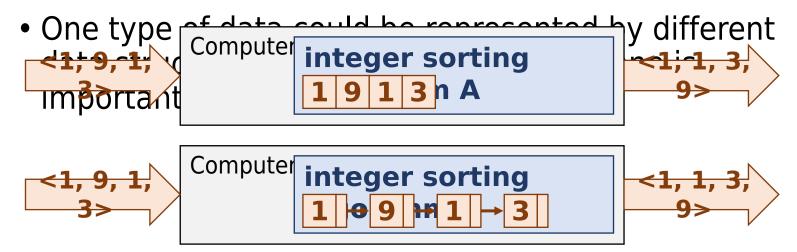
## **Basic Data Structures**

Data Structures and Algorithms

Nanjing University, Fall 2021 郑朝栋

### What is a "data structure"?

- A data structure is a way to store and organize data in order to facilitate access and modifications.
- E.g., array and linked list.
- Different types of data demand different data structures.



## Abstract Data Type (ADT)

- A data structure usually provides an interface.
  - Often, the interface is also called an abstract data type (ADT).
  - An ADT specifies what a data structure "can do" and "should do", but not "how to do" them.
- ADT: List, which supports get, set, add, remove, ...
   Data structure: ArrayList, LinkedList, ...
- An ADT is a logical description, and a data structure is a concrete implementation.
  - Similar to .h file and .cpp file.
  - Different data structures can implement same ADT. (Why bother?)

## The Queue ADT

The Queue ADT represents a collection of items to which we can add items and remove the next item.

- Add(x): add x to the queue.
- Remove(): remove the next item y from queue, return
   y.

The *queuing discipline* decides which item to be removed.

## FIFO Queue

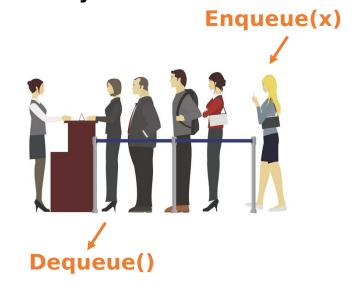
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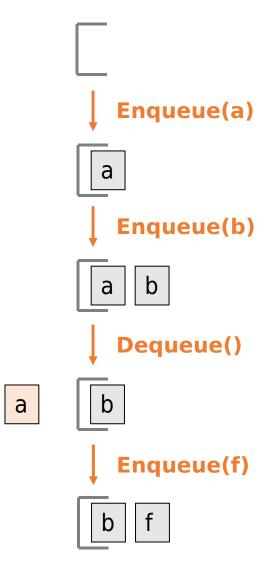
- Add(x): add x to the queue.
- Remove(): remove the next item y from queue, return y.

The **first-in-first-out** (**FIFO**) queuing discipline: items are removed in the same order they are added.

#### FIFO Queue:

- Add(x) or Enqueue(x):
   add x to the end of the queue.
- Remove() or **Dequeue()**: remove the first item from the queue.







## LIFO Queue: Stack

The Queue ADT represents a collection of items to which we can add items and remove the next item.

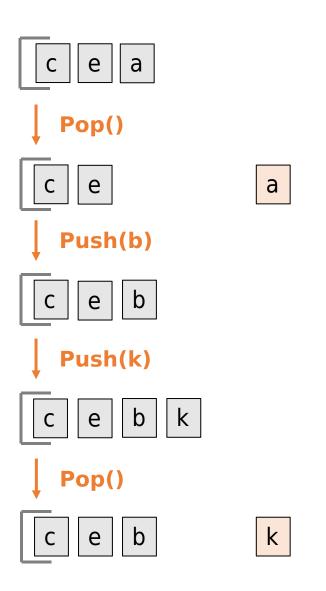
- Add(x): add x to the queue.
- Remove(): remove the next item y from queue, return y.

The **last-in-first-out** (**LIFO**) queuing discipline: the most recently added item is the next one removed.

#### Stack (LIFO Queue):

- Add(x) or Push(x):add x to the top of the stack.
- Remove() or Pop(): remove the item at the top of the stack.







## The Deque ADT

The **Deque** (double-ended queue) ADT represents a sequence of items with a front and a back.

AddFirst(x), AddLast(x), RemoveFirst(), RemoveLast().

#### Deque can implement FIFO Queue:

• Enqueue(x) is AddLast(x), Dequeue() is RemoveFirst().

#### Deque can implement Stack (LIFO Queue):

Push(x) is AddLast(x), Pop() is RemoveLast().

#### The List ADT

- A **List** is a sequence of items  $x_1, x_2, \dots, x_n$
- The List interface supports the following operations:
  - Size(): return *n*, the length of the list
  - Get(i): return  $x_i$
  - Set(i,x): set  $x_i = x$
  - Add(i,x): set  $x_{j+1} = x_j$  for  $n \ge j \ge i$ , set  $x_i = x$ , increase list size by 1
  - Remove(i): set  $x_j = x_{j+1}$  for  $i \le j \le n-1$ , decrease list size by 1

#### The List ADT

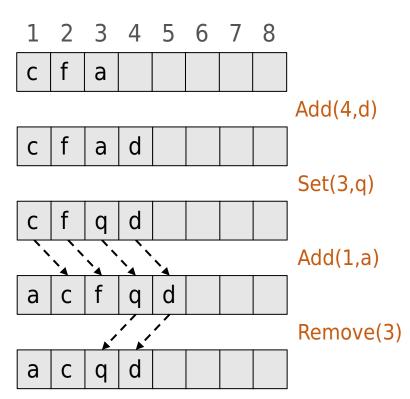
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  - Remove(i): set  $x_j = x_{j+1}$  for  $i \le j \le n-1$ , decrease list size by 1
- List can implement Deque:
  - AddFirst(x) is Add(1,x), AddLast(x) is Add(Size()+1,x)
  - RemoveFirst() is Remove(1), RemoveLast() is Remove(Size())

#### Using array to implement List:

## ArrayList data structure

The List interface supports the following operations:

- Size(): always  $\Theta(1)$  return n, the length of the list
- **Get(i):** always  $\Theta(1)$  return  $x_i$
- Set(i,x): always  $\Theta(1)$ set  $x_i = x$
- Add(i,x):  $\Theta(1)$  to  $\Theta(n)$ set  $x_{j+1} = x_j$  for  $n \ge j \ge i$ , set  $x_i = x$ , increase n by 1
- Remove(i):  $\Theta(1)$  to  $\dot{\Theta}(n)$ set  $x_j = x_{j+1}$  for  $i \le j \le n-1$ , decrease n by 1



- Queries and updates are fast.
- Modifications are fast at "end", but slow at "front" or "middle".

#### Using array to implement List:

## ArrayList data structure

The List interface supports the following operations:

- Size(): always  $\Theta(1)$  return n, the length of the list
- **Get(i):** always  $\Theta(1)$  return  $x_i$
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- Remove(i):  $\Theta(1)$  to  $\Theta(n)$ set  $x_j = x_{j+1}$  for  $i \le j \le n-1$ , decrease n by 1

- **Q:** Is ArrayList good for Stack?
- A: Yes. (Push and Pop are fast.)
- Q: Is ArrayList good for FIFO Queue?
- A: No. (Enqueue can be slow.)
- **Q:** Is ArrayList good for Deque?
- A: No.

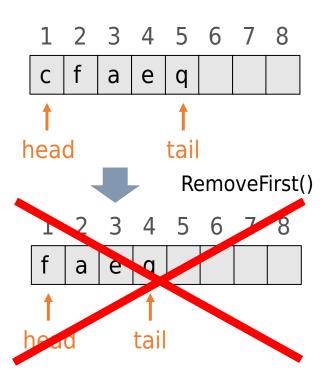
- Queries and updates are fast.
- Modifications are fast at "end", but slow at "front" or "middle".

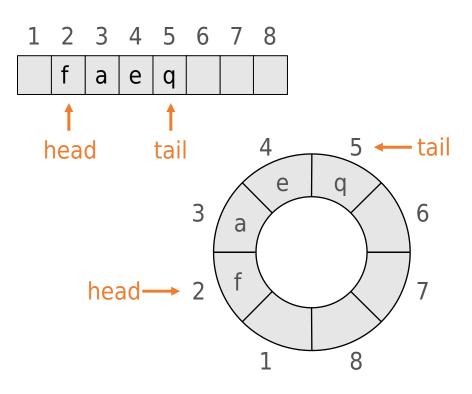
#### Using circular array to implement Deque:

## ArrayDeque data structure

Using simple array to implement List:

- Queries and updates are fast.
- Modifications are fast at "end", but slow at "front" or "middle".
- ArrayList is good for Stack, but not FIFO Queue or Deque.



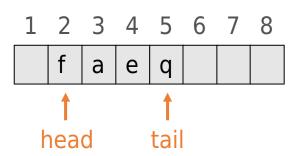


#### Using circular array to implement Deque:

## ArrayDeque data structure

#### Maintain head and tail:

- AddFirst and RemoveFirst: move head.
- AddLast and RemoveLast: move tail.
- Use modular arithmetic to "wrap around" at both ends.



#### AddLast(x):

all in O(1)tail=(tail%N)+1

A[tail]=x

#### RemoveFirst():

head=(head%N)+1

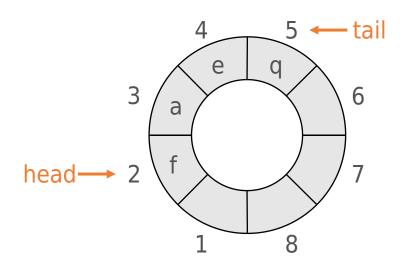
#### AddFirst(x):

head=(head==1)?N:(head-1)

A[head]=x

#### RemoveLast(x):

tail=(tail==1)?N:(tail-1)



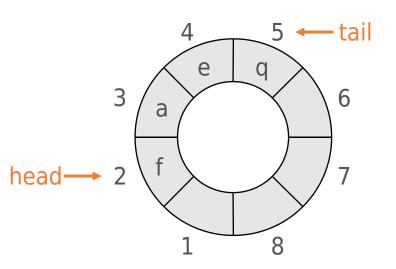
#### Using circular array to implement Deque:

## ArrayDeque data structure

#### Maintain head and tail:

- AddFirst and RemoveFirst: move head.
- AddLast and RemoveLast: move tail.
- Use modular arithmetic to "wrap around" at both ends.

- Queries and updates are fast.
- Modifications are fast at "front" and "end" (i.e., head and tail), but still slow at "middle".
- ArrayDeque is good for Stack,
   FIFO Queue, and Deque; but can be slow for some List operations.
- Capacity of array is also a problem!!!



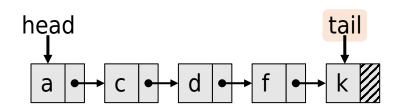
#### Using linked list to implement List:

#### LinkedList data structure

The List interface supports the following operations:

- Size(): always  $\Theta(1)$  return n, the length of the list
- **Get(i):**  $\Theta(1)$  to  $\Theta(n)$  return  $x_i$
- **Set(i,x):**  $\Theta(1)$  to  $\Theta(n)$  set  $x_i = x$
- Add(i,x):  $\Theta(1)$  to  $\Theta(n)$ set  $x_{j+1} = x_j$  for  $n \ge j \ge i$ , set  $x_i = x$ , increase n by 1
- Remove(i):  $\Theta(1)$  to  $\dot{\Theta}(n)$ set  $x_j = x_{j+1}$  for  $i \le j \le n-1$ , decrease n by 1

Traversing backwards from tail is not efficient.



**Q:** Is LinkedList good for Stack?

A: Yes. (Push and Pop at head are fast.)

**Q:** Is LinkedList good for FIFO Queue?

**A:** Yes. (Enqueue and Dequeue are fast.)

**Q:** Is LinkedList good for Deque?

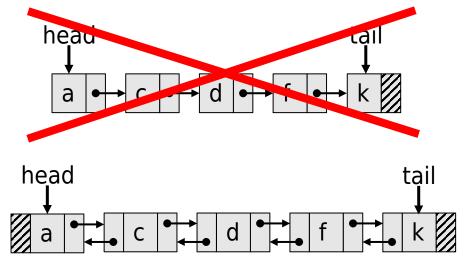
A: No. (RemoveLast can be slow.)

#### Using doubly-linked list to implement List:

#### DLinkedList data structure

The List interface supports the following operations:

- Size(): always  $\Theta(1)$  return n, the length of the list
- **Get(i):**  $\Theta(1)$  to  $\Theta(n)$  return  $x_i$
- Set(i,x):  $\Theta(1)$  to  $\Theta(n)$ set  $x_i = x$
- Add(i,x):  $\Theta(1)$  to  $\Theta(n)$ set  $x_{j+1} = x_j$  for  $n \ge j \ge i$ , set  $x_i = x$ , increase n by 1
- Remove(i):  $\Theta(1)$  to  $\Theta(n)$ set  $x_j = x_{j+1}$  for  $i \le j \le n-1$ , decrease n by 1



DLinkedList is good for Stack, FIFO Queue, and Deque; but can be slow for some List operations.

#### Using doubly-linked list to implement List:

#### DLinkedList data structure

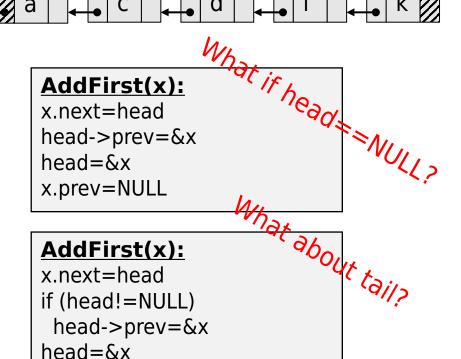
head

x.prev=NULL

The List interface supports the following operations:

- Size(): always  $\Theta(1)$  return n, the length of the list
- **Get(i):**  $\Theta(1)$  to  $\Theta(n)$  return  $x_i$
- **Set(i,x):**  $\Theta(1)$  to  $\Theta(n)$  set  $x_i = x$
- Add(i,x):  $\Theta(1)$  to  $\Theta(n)$ set  $x_{j+1} = x_j$  for  $n \ge j \ge i$ , set  $x_i = x$ , increase n by 1
- Remove(i):  $\Theta(1)$  to  $\dot{\Theta}(n)$ set  $x_j = x_{j+1}$  for  $i \le j \le n-1$ , decrease n by 1

DLinkedList is good for Stack, FIFO Queue, and Deque; but can be slow for some List operations.



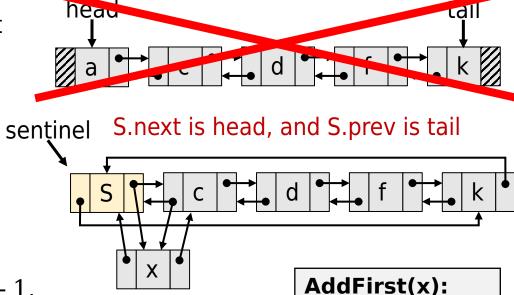
tail

#### Using doubly-linked list to implement List:

#### DLinkedList data structure

The List interface supports the following operations:

- Size(): always  $\Theta(1)$  return n, the length of the list
- **Get(i):**  $\Theta(1)$  to  $\Theta(n)$  return  $x_i$
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- Remove(i):  $\Theta(1)$  to  $\Theta(n)$ set  $x_j = x_{j+1}$  for  $i \le j \le n-1$ , decrease n by 1 sentinel



x.next=S.next

S.next=&x

x.prev=&S

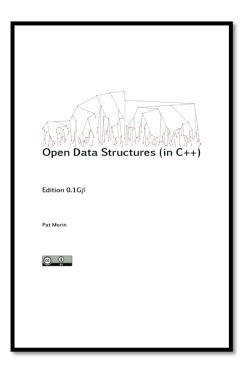
S.next.prev=&x

## Summary

- Queue ADT: FIFO Queue, Stack (LIFO Queue), Deque
- List ADT: can implement various Queue
- Array based implementations (simple/circular):
  - Queries are fast, updates (i.e., Set) are also fast
  - Modifications (i.e., Add and Remove) are fast at "start" and "end", but slow in "middle"
  - Capacity can be a problem (come back to this later...)
- Linked list based implementations (singly/doubly linked):
  - Operations (queries, updates, and modifications) are fast at "start" and "end", but slow in "middle"
  - No capacity issue

## Reading

- [CLRS] Ch10 (10.1-10.3)
- [Morin] Ch1 (1.1, 1.2), Ch2 (2.1-2.4), Ch3 (3.1, 3.2)



## Balancing Symbols

Compiler needs to check whether the parentheses (), brackets [], and braces {} are matched.

```
if (a>b)
CheckParen(str):
                                                         \{b=c[10];\}
Stack s
                                                            if (a>b)
int i=1
while (str[i]!=NULL)
                                                          \{b=c[10];
 if (str[i] is '(' or '[' or '{')
                                                           if (a>b))
  s.push(str[i])
 if (str[i] is ')' or ']' or '}')
                                                         \{b=c[10];\}
  if (s.empty())
                                                            if (a>b)
   return false
  if (s.pop() and str[i] mismatch
                                                         \{b=c[10);\}
   return false
 i++
return s.empty()
```

## **Evaluating Postfix Expressions**

How do we evaluate  $(a + b) \times (c + d)$ ?

#### infix expression

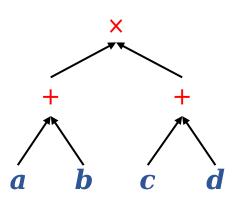
If we place operators **after** operands:

$$((a b +) (c d +) \times)$$

In fact, we can remove the parentheses:

$$ab+cd+\times$$

#### postfix expression



**Postfix notation**, also known as **reverse Polish notation** (**RPN**), is a mathematical notation in which operators follow their operands. If there are multiple operations, operators are given immediately after their last operands.

RPN does not need parentheses!

<u>One more example:</u>

Infix:  $(a + b) \times c + d$ 

RPN:  $a b + c \times d +$ 

## **Evaluating Postfix Expressions**

8

Given an expression in RPN, how to evaluate its value?

# EvalRPN(str): Stack s while ((token=NextToken(str))!=NULL) if (token is an operand) s.push(token) else res=PopOperandAndCalc(s,token) s.push(res) return s.pop()

Given an infix expression, how to convert it to RPN and evaluate its value? (Beware of priorities!)

One simple example:

$$36 + 8 \times$$



## Application of Stack: Function Calls

How do function calls actually work?

Alice: only knows addition.

**Bob:** only knows multiplication.

**Question:**  $100 + 234 + 35 \times 45 + 25$ 

```
Calc: 35 \times 45
Answer: 1575

100 + 234 = 334

1575

I left at end of line

35 × 35 × 45 = 1575

334

1909
```

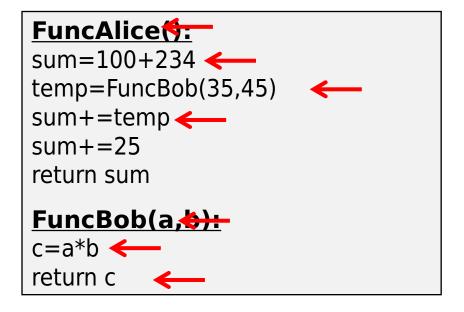
## **Function Calls**

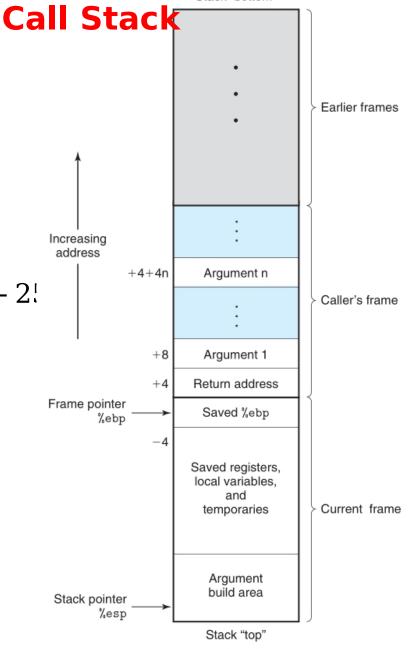
How do function calls actually work?

Alice: only knows addition.

**Bob:** only knows multiplication.

**Question:**  $100 + 234 + 35 \times 45 + 21$ 





Stack "bottom"

## Eliminating Recursion

function calls are implemented via a "call stack" with the help of a stack, recursion can be recursion is a specific type of function call—replaced by **iteration** 

```
FactRec(n):

if (n==1)
  return 1
else
  return n*FactRec(n-1)
```

```
struct Frame {
  int val
  int acc
  Frame* prevFrame
}
```

```
FactIter(n):
Stack s
s.push(Frame(n,-1,NULL))
while (!s.empty())
 frame=s.peek()
                              "return address" imp
 if (frame.val<=1)
  frame.acc=1
 if (frame.acc!=-1) {
  res=(frame.val)*(frame.acc)
  (frame.prevFrame)->acc=res
  s.pop() }
 else
  s.push(Frame(frame.val-1,-1,&frame))
return res
```

## Eliminating Recursion

function calls are implemented via a "call stack" With the help of a stack, recursion can be recursion is a specific type of function call—replaced by **iteration** 

**Q:** Why recursion can be *undesirable*?

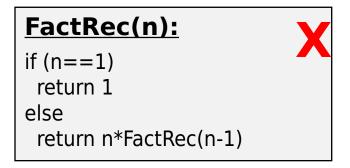
**A:** Recursion can be slow and memory consuming due to the creation and maintenance of stack frames.

**Q:** Why recursion can be *desirable*?

**A:** Recursion can make the code clearer, concise, and intuitive.

## Tail Recursion

A function is called **tail-recursive** if each activation of the function will make at most a single recursive call, and will return immediately after that call.

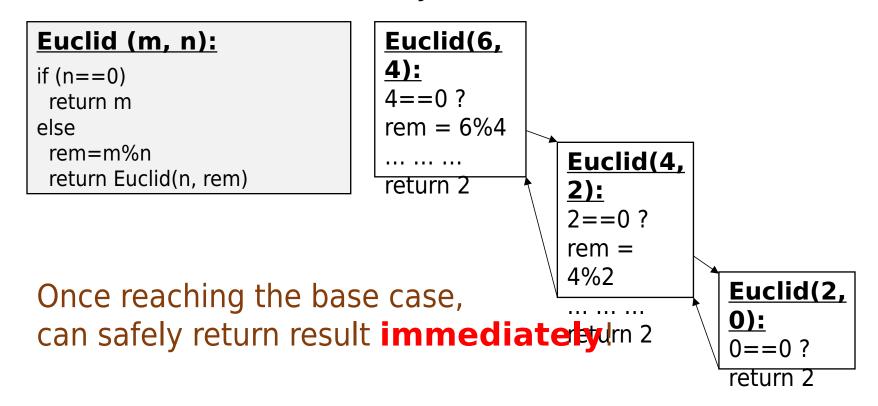


```
EuclidGCDRec(m, n):

if (n==0)
  return m
 else
  rem=m%n
  return EuclidGCDRec(n, rem)
```

## Tail Recursion

A function is called **tail-recursive** if each activation of the function will make at most a single recursive call, and will return immediately after that call.



### Tail Recursion to Iteration

- Each function parameter is a variable.
- Convert the main body of the function into a loop:
  - Base cases: do computation and return results.
  - Recursive cases: do computation and update variables.

#### **EuclidGCDRec (m, n):**

```
if (n==0)
  return m
else
  rem=m%n
  return EuclidGCDRec(n, rem)
```

## EuclidGCDIter (m, n): while (true) if (n==0) return m else rem=m%n m=n

n=rem

#### Iteration versus Recursion

- Recursion can be converted into iteration
  - Generic method: simulate a call stack
  - Special case: tail recursion
- Iteration can be converted into tail recursion
- No one is always perfect
  - Iteration can be faster and more memory efficient
  - Recursion can be clearer, more concise and intuitive

## Reading

- [Deng] Ch1 (1.4\*), Ch4 (4.1-4.4)
- [Weiss] Ch3 (3.6)
- [CSAPP] Ch3 (3.7\*)



