

# COMP 3804 Assignment 4

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## Question 1.

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## Question 2.

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To prove: show that *INTPROG* can be verified in  $O((nm)^c)$  time.

Proof: Input:  $m \times n$  matrix  $A$ , vector  $c$  of length  $m$ ;

Certificate: binary vector  $x = (x_1, \dots, x_n)$

Steps:

- Check that for each  $1 \leq i \leq n$ :  $x_i \in \{0, 1\}$ . Time:  $O(n)$
- Check that  $|(x_1, \dots, x_n)| = n$ . Time:  $O(n)$
- Check that  $Ax \leq c$  component-wise. I.e. multiply  $A$  by  $x$ , then for each  $1 \leq i \leq m$  check that  $(Ax)_i \leq c_i$ . Time:  $O(nm)$
- If each of the previous checks are successful return YES, otherwise, return NO. Time:  $O(1)$

Total time to run verification algorithm:  $O(nm)$ . Since the size of the input (the matrix and vector  $c$ ) is  $O(nm)$  the algorithm runs in polynomial time. Since the size of the certificate is  $O(n)$  it is also polynomial in the size of the input. Therefore, the language *INTPROG* is in NP.

## Question 3.

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To prove that  $\text{SubsetSum} \leq_P \text{INTPROG}$  we need: a function  $f$  where  $f(a_1, \dots, a_m, b) \rightarrow (A, c)$ , such that  $\exists I \subseteq \{1, \dots, m\}, b = \sum_{i \in I} a_i \iff \exists x \in \{0, 1\}^n, Ax \leq c$  component-wise.

Definition of function  $f$ :

- Let  $A$  be a  $2 \times m$  matrix where the first row is the sequence  $a_1, \dots, a_m$ . The second row is the same sequence, however, with every term negated. Therefore it is the sequence  $-a_1, -a_2, \dots, -a_m$ . Time:  $O(m)$
- Let  $c$  be a vector of length 2 where the first component is the integer  $b$  and the second component is the negated integer  $b$ , so  $-b$ . Time:  $O(1)$
- Run the algorithm to solve *INTPROG* with the input  $(A, c)$ .

After the *INTPROG* algorithm runs, if no vector  $x$  is found then no subset  $I \subseteq \{1, \dots, m\}$  exists to satisfy  $b = \sum_{i \in I} a_i$ . However, if a vector  $x$  is found that satisfies  $Ax \leq c$ , then the subset  $I$  can be constructed as follows. Since  $x$  is a binary vector all of its components will either be 0 or 1. So for each  $1 \leq i \leq m$ : if  $x_i = 1$  then  $i$  will be in the set  $I$ , otherwise  $i$  will not be in the set.

This will satisfy  $b = \sum_{i \in I} a_i$  because of the way the input of *INTPROG* was formatted. Since we are assuming that a satisfactory vector  $x$  exists we know that  $(Ax)_1 \leq c_1$ ; i.e.  $b \geq \sum_{i \in I} a_i$ . The second components  $((Ax)_2$  and  $c_2$ ) effectively mean that  $(Ax)_1 \geq c_1$  (since values in the second components are just the negated values of the first components); i.e.  $b \leq \sum_{i \in I} a_i$ .

We have now seen that  $b$  is both less than or equal and greater than or equal to the sum of some elements of the sequence  $a_1, \dots, a_m$ . From this we can conclude that  $b$  must be equal to the sum of those elements.

Therefore, we have seen the definition of a function  $f$  that, in  $O(m)$  time, converts inputs from the *SubsetSum* problem into input to the *INTPROG* problem such that  $(a_1, \dots, a_m, b) \in \text{SubsetSum}$  if and only if  $(A, c) \in \text{INTPROG}$ . This means that  $\text{SubsetSum} \leq_P \text{INTPROG}$ .

#### Question 4.

1. To prove: show that *3COLOR* can be verified in  $O((|V| + |E|)^c)$  time.

Proof: Input: Graph  $G = (V, E)$ ;

Certificate: sequence of colours  $(c_1, \dots, c_n)$

Assume:  $n = |V|$ ,  $m = |E|$ , for each  $i \in \{1, \dots, n\}$ ,  $c_i$  is colour of vertex  $v_i$ .

Steps:

- Check that  $|(c_1, \dots, c_n)| = n$ . Time:  $O(n)$
- Check that no one vertex has multiple colours. Time:  $O(n)$
- Check that the amount of distinct colours in  $(c_1, \dots, c_n) \leq 3$ . Time:  $O(n)$
- For each edge  $\{v_i, v_j\} \in E$ : check that  $c_i \neq c_j$ . Time:  $O(n + m)$
- If each of the previous checks are successful return YES, otherwise, return NO. Time:  $O(1)$

Total time to run verification algorithm:  $O(n + m)$ . Since the size of the input (the graph) is  $O(n + m)$  the algorithm runs in polynomial time. Since the size of the certificate is  $O(n)$  it is also polynomial in the size of the input. Therefore, the language *3COLOR* is in NP.

2. To prove: show that *CLIQUECOVER* can be verified in  $O((|V| + |E|)^c)$  time.

Proof: Input: Graph  $G = (V, E)$ , integer  $k$ ;

Certificate: set of subsets  $\{V_1, \dots, V_k\}$

Assume:  $n = |V|$ ,  $m = |E|$ ,  $k \leq n$

Steps:

- Check that  $|\{V_1, \dots, V_k\}| = k$ . Time:  $O(k)$
- Check that  $|\{V_1 \cup V_2 \cup \dots \cup V_k\}| = n$ . Time:  $O(n)$
- Check that no single vertex appears in multiple subsets  $V_i$  and  $V_j$  etc. Time:  $O(n^2)$
- For each  $i \in \{1, \dots, k\}$ : let  $p = |V_i|$ , check that edges connect each of the  $p$  vertices to all other  $p - 1$  vertices in the subset. I.e. edges:  $\{v_{ij}, v_{i1}\}, \{v_{ij}, v_{i2}\}, \dots, \{v_{ij}, v_{ip}\}$  exist for each  $v_{ij} \in V_i$ . Essentially this checks that each subset  $V_i$  forms a clique. Time:  $O(n + m)$
- If each of the previous checks are successful return YES, otherwise, return NO. Time:  $O(1)$

Total time to run verification algorithm:  $O(\max(n + m, n^2)) = O((n + m)^2)$ . Since the size of the input (the graph and integer  $k$ ) is  $O(n + m)$  the algorithm runs in polynomial time. Since the size of the certificate is  $O(n)$  it is also polynomial in the size of the input. Therefore, the language *CLIQUECOVER* is in NP.

3. To prove that  $3COLOR \leq_P CliqueCover$  we need: a function  $f$  where  $f(G) \rightarrow (G', k)$  such that  $G$  is 3-colourable  $\iff (G', k)$  is "clique-coverable".

Definition of  $f$  :

- Define  $G'$  as the complement of the graph  $G$  from  $3COLOR$ . Time:  $O(n + m)$
- Run the algorithm to solve  $CliqueCover$  with the input  $(G', 3)$ .

After  $CliqueCover$  runs if no subsets  $V_1, V_2, V_3$  (since  $k$  is always 3) that form cliques to cover  $G'$  exist, then  $G$  is not 3-colourable. However, if such subsets exist then  $G$  can be coloured simply by giving the vertices in each subset the same colour, but giving different colours to vertices in different subsets.

We know colouring the graph like this works because all the vertices in the one subset form a clique in the complement of  $G$ :  $G'$ . This means that those vertices form an independent set in  $G$ , i.e. none of the vertices are adjacent to each other so they can get the same colour. We always pass  $k = 3$  because we want to see if the graph can be coloured using 3 colours so we only want 3 sets of vertices.

Therefore, we have seen a definition of a function  $f$  that, in  $O(n + m)$  time, converts inputs to  $COLOR$  into inputs to  $CliqueCover$  such that  $(G) \in 3COLOR$  if and only if  $(G', k) \in CliqueCover$ . This that  $3COLOR \leq_P CliqueCover$ .

### Question 5.

To show that *PROBLEMWITHOUTANAME*, from now on referred to as *PWN*, is NP-complete we must show that it is in NP and prove that it is "more" difficult than some other NP-complete problem. The NP-complete problem I will be using is *3SAT* (in class we have seen that *3SAT* is indeed NP-complete).

First, show that *PWN* is in NP:

To prove: show that *PWN* can be verified in  $O((k + l)^c)$  time (since the sequence  $\mathcal{A}$  has  $3k$  elements and the sequence  $\mathcal{B}$  has  $2l$  elements).

Proof: Input: sequence  $\mathcal{A}$ , sequence  $\mathcal{B}$

Certificate: set  $T$  (note that  $|T| = O(k + l)$  since it can have at most  $3k + l$  elements).

Steps:

- Check that  $T$  contains at least 1 element of each  $A_i$  for  $i \in \{1, \dots, k\}$ .  
Time:  $3k \cdot (3k + l) = O(k^2 + l) = O((k + l)^2)$
- Check that  $T$  contains at most 1 element of each  $B_j$  for  $j \in \{1, \dots, l\}$ .  
Time:  $2l \cdot (3k + l) = O(k + l^2) = O((k + l)^2)$
- If each of the previous checks are successful return YES, otherwise, return NO. Time:  $O(1)$

Total time to run verification algorithm:  $O((k + l)^2)$ . Since the size of the input (the 2 sequences) is  $O(k + l)$  the algorithm runs in polynomial time. Since the size of the certificate is  $O(k + l)$  it is also polynomial in the size of the input. Therefore, the language *PWN* is in NP.

Next, show that  $3SAT \leq_P PWN$ :

We need: a function  $f$  where  $f(\varphi) \rightarrow (\mathcal{A}, \mathcal{B})$  such that  $\varphi$  is satisfiable  $\iff (\mathcal{A}, \mathcal{B})$  is good.

Definition of function  $f$ :

- Assume the equation  $\varphi$  has  $k$  clauses and variables  $x_1, x_2, \dots, x_l$ .
- Define  $\mathcal{A}$  as the sequence  $(A_1, \dots, A_k)$  where each  $A_i$  consists of the 3 terms in clause  $C_i$ . Time:  $O(k)$
- Define  $\mathcal{B}$  as the sequence  $(B_1, \dots, B_l)$  where each  $B_j$  consists of term  $x_j$  and its negation.  
Ex:  $B_1 = (x_1, \neg x_1)$ . Time:  $O(l)$
- Run the algorithm to solve  $PWN$  with input  $(\mathcal{A}, \mathcal{B})$ .

After the  $PWN$  algorithm runs if no set  $T$  is found then the equation  $\varphi$  is not satisfiable. However, if some set  $T$  is found such that  $(\mathcal{A}, \mathcal{B})$  is good, then the equation  $\varphi$  can be satisfied. To do this you simply need to assign values each variable such that each element in the set  $T$  results in "true" in the equation. So if  $x_i \in T$  and  $\neg x_j \in T$  then  $x_i \leftarrow \text{true}$  and  $x_j \leftarrow \text{false}$ . Any variable that does not appear in  $T$  can be assigned an arbitrary value.

We know that this will produce a satisfiable equation because from the way  $\mathcal{A}$  was constructed each clause will yield true since some term of each clause is in  $T$ . From the way  $\mathcal{B}$  was constructed we know that for some variable  $x_j$ ,  $x_j$  and  $\neg x_j$  cannot both be in  $T$ ; so it can never be the case that both  $x_j$  and  $\neg x_j$  need to be true.

Therefore, we have seen the definition of a function  $f$  that, in  $O(k + l)$  time, converts inputs to  $3SAT$  into inputs to  $PWN$  such that  $(\varphi) \in 3SAT$  if and only if  $(\mathcal{A}, \mathcal{B}) \in PWN$ . This means that  $3SAT \leq_P PWN$ .

Finally, since we know that  $3SAT$  is NP-complete and it has been shown that  $PWN$  is in NP and that it is "harder" than  $3SAT$  we can conclude that  $PWN$  is also NP-complete.