# © JS 45 (5/97) - (Revised U © 1.24/2013 1 0 1 8 7 - AK Document 1-1 Filed 0 6/27/24 Page 1 of 9

Criminal Case Cover Sheet	U.S. District Court - District of Massachusetts
Place of Offense: Ca	tegory No.   Investigating Agency   FBI
City Boston	Related Case Information:
0. ". !!	Superseding Ind./ Inf Case No
County Suffolk	Same Defendant New Defendant
	Magistrate Judge Case Number
	Search Warrant Case Number See Attached  R 20/R 40 from District of
	Is this case related to an existing criminal action pursuant to Rule Yes No
<b>Defendant Information:</b>	40.1(h)? If yes, case number See Attached
Defendant Name ZM QUANT INVEST	MENT LTD Juvenile: Yes V No
Is this	person an attorney and/or a member of any state/federal bar: Yes 🗸 No
Alias Name:	
Address: British Virgin Islands	
Birth date (Yr only): SSN (last 4#):	Sex: Race Nationality:
Defense Counsel if known:	Address:
Bar Number:	_
U.S. Attorney Information	
AUSA: Christopher J. Markham; Davi	id M. Holcomb Bar Number if applicable: 685591; 694712
Interpreter: Yes V No	List language and/or dialect:
Victims: Yes No	If yes, are there multiple crime victims under 18 USC§3771(d)(2)  Yes No
Matter to be SEALED: Yes	☐ No
Warrant Requested ✓ Regula	ar Process In Custody
Location Status:	
Arrest Date:	
Already in Federal Custody as of	in·
	Serving Sentence Awaiting Trial
On Pretrial Release: Ordered by:	on
Charging Document: Comp	laint Information Indictment
Total # of Counts: Petty	Misdemeanor Felony 2
Cont	inue on Page 2 for Entry of U.S.C. Citations
I hereby certify that the case numaccurately set forth above.	mbers of any prior proceedings before a Magistrate Judge are
Date: 6/27/2024 S	ignature of AUSA: /s/ Christopher J. Markham

**District Court Case Number** (To be filled in by deputy clerk): ZM QUANT INVESTMENT LTD Name of Defendant **U.S.C.** Citations **Index Key/Code Description of Offense Charged Count Numbers** 18 U.S.C. § 371 Conspiracy to Commit Market Manipulation and Wire Fraud Wire Fraud 18 U.S.C. § 1343 Forfeiture Allegation 18 U.S.C. § 981(a)(1)(C) and 28 U.S.C. § 2461 Set 3 Set 4 Set 10 Set 11 \_\_\_\_\_ Set 12 Set 13 \_\_\_\_\_ ADDITIONAL INFORMATION:

### **Related Cases**

- 23-cr-10135-AK (Max Hernandez)
- 23-cr-10325-AK (Michael Thompson)
- 24-cr-10014-AK (Russell Armand)
- 24-cr-10137-AK (Vy Pham)

## **Search Warrants**

- 23-mj-6501-MPK
- 23-mj-6502-MPK
- 23-mj-6503-MPK
- 23-mj-6504-MPK
- 23-mj-5553-JGD
- 23-mj-6601-MPK
- 23-mj-6602-MPK
- 23-mj-6603-MPK
- 23-mj-6604-MPK
- 23-mj-6605-MPK
- 23-mj-6606-MPK
- 24-mj-6194-MPK
- 24-mj-6195-MPK
- 24-mj-1363 (CDCA)
- 24-mj-4086-DHH
- 24-mj-4087-DHH

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Criminal Case Cover Sheet	U.S. District Court - District of Massachusetts
Place of Offense:	Category No.   Investigating Agency   FBI
City Boston	Related Case Information:
0 " "	Superseding Ind./ Inf Case No
County Suffolk	Same Defendant New Defendant
	Magistrate Judge Case Number  Search Warrant Case Number  See Attached
	R 20/R 40 from District of
Defendant Information:	Is this case related to an existing criminal action pursuant to Rule 40.1(h)? If yes, case number See Attached Yes No
Defendant Name Baijun Ou	Juvenile: Yes 🗸 No
Is a	this person an attorney and/or a member of any state/federal bar: Yes V No
Alias Name: "Eric Ou"	Tes V 100
Address: Hong Kong	
Birth date (Yr only): 1992 SSN (last 4	4#): Sex: M Race Nationality:
Defense Counsel if known:	Address:
Bar Number:	
U.S. Attorney Information	
AUSA: Christopher J. Markham; [	David M. Holcomb Bar Number if applicable: 685591; 694712
Interpreter: Yes V No	List language and/or dialect:
Victims: Yes No	If yes, are there multiple crime victims under 18 USC§3771(d)(2)  Yes No
Matter to be SEALED: Ye	es No
✓ Warrant Requested Re	egular Process In Custody
Location Status: Lives in Hong K	ong
Arrest Date:	
Already in Federal Custody as of	in
	Serving Sentence Awaiting Trial
On Pretrial Release: Ordered by	: on
Charging Document:	omplaint Information  Indictment
Total # of Counts:	etty Misdemeanor Felony 2
C	Continue on Page 2 for Entry of U.S.C. Citations
I hereby certify that the case accurately set forth above.	numbers of any prior proceedings before a Magistrate Judge are
Date: 6/27/2024	Signature of AUSA: /s/ Christopher J. Markham

	et Court Case Nu of Defendant	mber (To be Baijun Ou	filled in by deputy clerk):	
	<u>Index Key</u>	/Code	U.S.C. Citations <u>Description of Offense Charged</u>	Count Numbers
Set 1	18 U.S.C	. § 371	Conspiracy to Commit Market Manipulation and Wire Fraud	1
Set 2	18 U.S.C.	§ 1343	Wire Fraud	2-4
Set 3	18 U.S.C. § 981(a)(1)(C) an	id 28 U.S.C. § 2461	Forfeiture Allegation	
Set 4				
Set 5				
Set 6				
Set 7				
Set 8				
Set 9				
Set 10				
Set 11				
Set 12				
Set 13				
Set 14				
Set 15				
ADDI'	TIONAL INFOR	RMATION:		

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- 24-cr-10137-AK (Vy Pham)

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- 23-mj-6601-MPK
- 23-mj-6602-MPK
- 23-mj-6603-MPK
- 23-mj-6604-MPK
- 23-mj-6605-MPK
- 23-mj-6606-MPK
- 24-mj-6194-MPK
- 24-mj-6195-MPK
- 24-mj-1363 (CDCA)
- 24-mj-4086-DHH
- 24-mj-4087-DHH

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Criminal Case Cover Sheet	U.S. District Court - District of Massachusetts
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City Boston	Related Case Information:
0.55.11	Superseding Ind./ Inf Case No
County Suffolk	Same Defendant New Defendant
	Magistrate Judge Case Number
	Search Warrant Case Number  See Attached  R 20/R 40 from District of
<b>Defendant Information:</b>	Is this case related to an existing criminal action pursuant to Rule 40.1(h)? If yes, case number See Attached  Yes No
Defendant Name Ruiqi Liu	Juvenile: Yes Vo
Ist	this person an attorney and/or a member of any state/federal bar: Yes 📝 No
Alias Name: "Ricky Lau"	
Address: United Kingdom a	nd Hong Kong
Birth date (Yr only): 1997 SSN (last 4	#): Sex: M Race Nationality:
Defense Counsel if known:	Address:
Bar Number:	
U.S. Attorney Information	
AUSA: Christopher J. Markham; E	David M. Holcomb Bar Number if applicable: 685591; 694712
Interpreter: Yes V No	List language and/or dialect:
Victims: Yes No	If yes, are there multiple crime victims under 18 USC§3771(d)(2) Yes No
Matter to be SEALED: Ye	s No
✓ Warrant Requested Re	gular Process In Custody
Location Status: Lives in United H	Kingdom and Hong Kong
Arrest Date:	
Already in Federal Custody as of	in
	Serving Sentence Awaiting Trial
On Pretrial Release: Ordered by:	<del>_</del>
Charging Document:	omplaint Information Indictment
Total # of Counts:	tty Misdemeanor Felony 2
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	Index Key/Code	<b>Description of Offense Charged</b>	<b>Count Numbers</b>
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et 2	18 U.S.C. § 1343	Wire Fraud	2-4
et 3	18 U.S.C. § 981(a)(1)(C) and 28 U.S.C. § 2461	Forfeiture Allegation	
t 4			
t 5			
t 6			
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t 12			
t 13			
t 14			
t 15			
DDI	TIONAL INFORMATION:		

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- 24-mj-4087-DHH