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# Image analysis and Pattern Recognition

## Lecture 2 : image segmentation

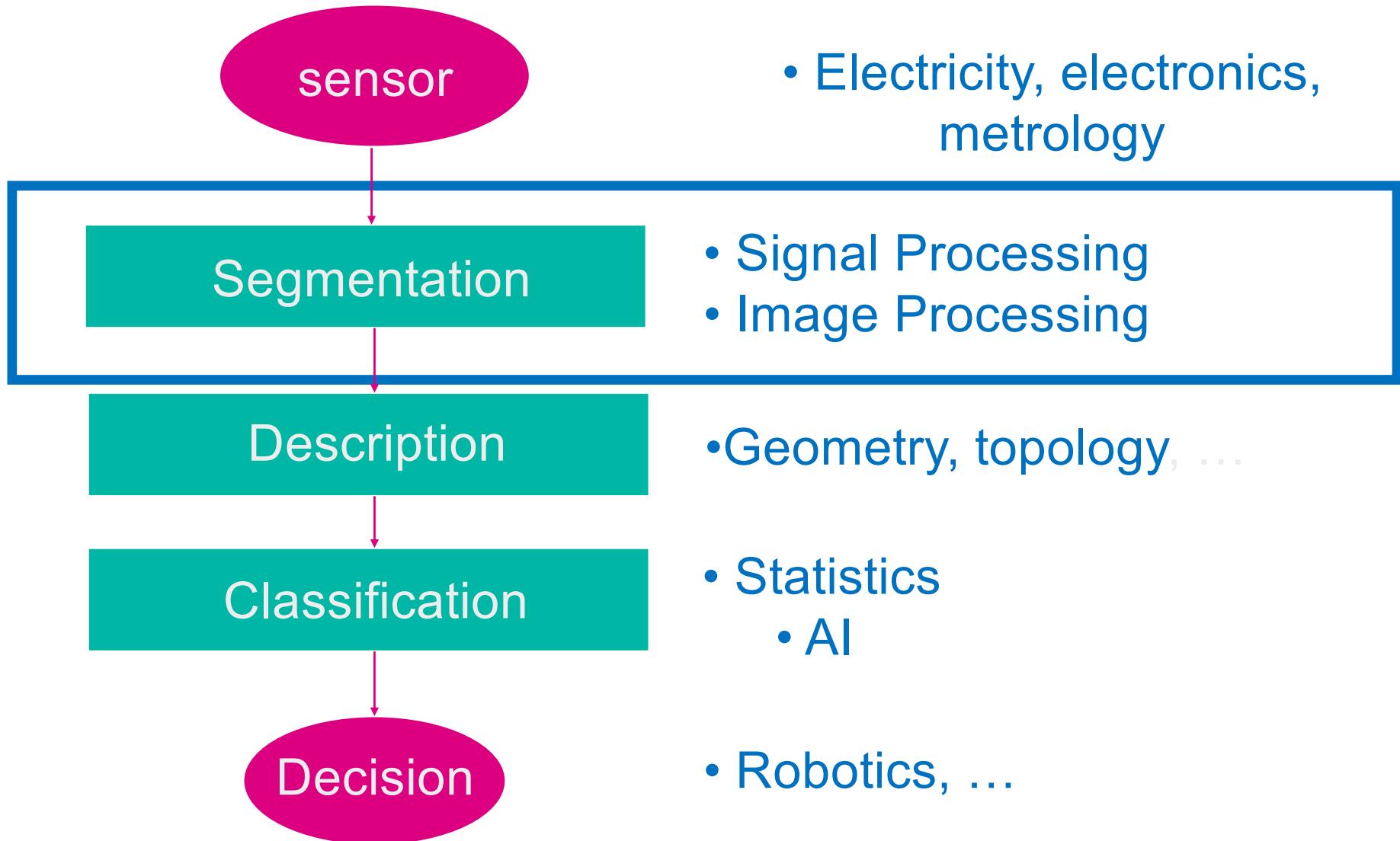
Prof. Jean-Philippe THIRAN

[JP.Thiran@epfl.ch](mailto:JP.Thiran@epfl.ch)



Signal Processing Laboratory (LTS5)  
Swiss Federal Institute of Technology (EPFL), Lausanne, Switzerland

**EPFL**





- In image analysis, we are interested in objects present in the images.
- Definition: **object**: part of an image that is semantically coherent
- In practice: often
  - Connex
  - Coherent color
  - Surrounded by sharp contours
- But also
  - Coherent Texture
  - Prior knowledge

- Definition : Segmentation: partition of an image in a finite number of regions  $R_1, \dots, R_s$  such that

$$R = \bigcup_{i=1}^s R_i, \quad R_i \cap R_j = \emptyset, \quad i \neq j$$

- Segmentation methods aim at defining regions that correspond to the object in the image
  - Region-based segmentation methods
  - Contour-based segmentation methods

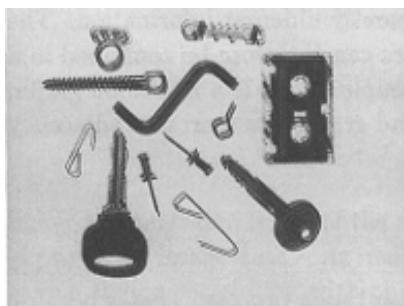
- Separation of the image into regions by setting one or several **thresholds** on the gray levels:

$$g(i, j) = \begin{cases} 1 & \text{si } f(i, j) \geq T \\ 0 & \text{si } f(i, j) < T \end{cases}$$

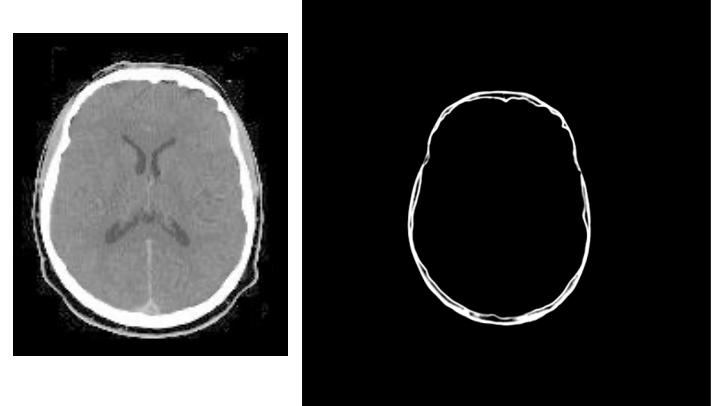
or

$$g(i, j) = \begin{cases} 1 & \text{si } f(i, j) \in D \\ 0 & \text{si } f(i, j) \notin D \end{cases}$$

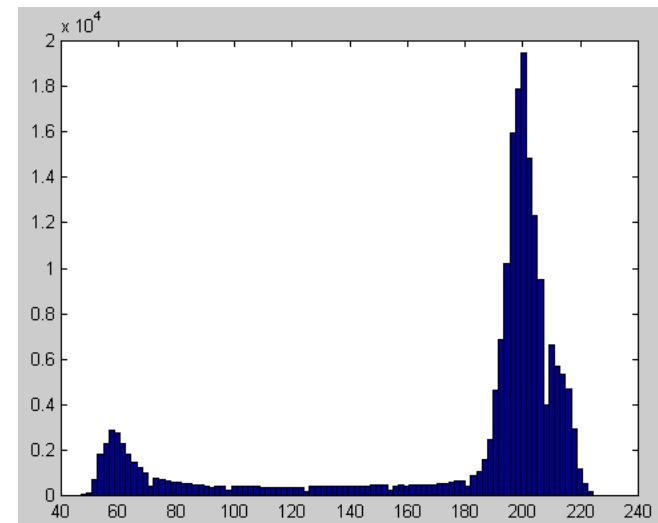
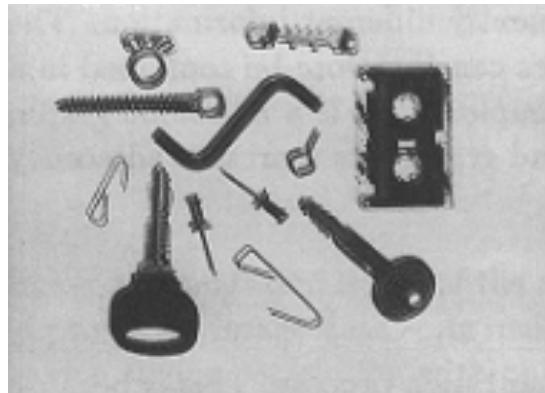
- The problem is how to find the **optimal threshold(s)**



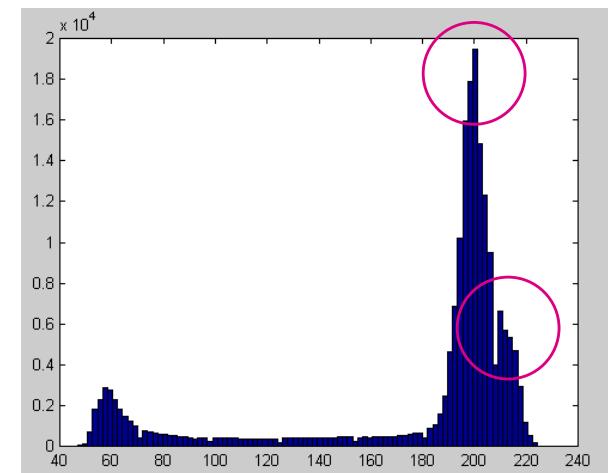
- Threshold detection methods:
  - Sometime we can know the value **a priori**
    - *Example : medical imaging (CT scan)*
  - If one knows **some properties** of the object to be segmented, one can use them to set the threshold:
    - *Example : size of the object*
    - *One can then define the threshold such that the object has the correct property*
  - Otherwise, the **histogram** can be used to set the threshold.



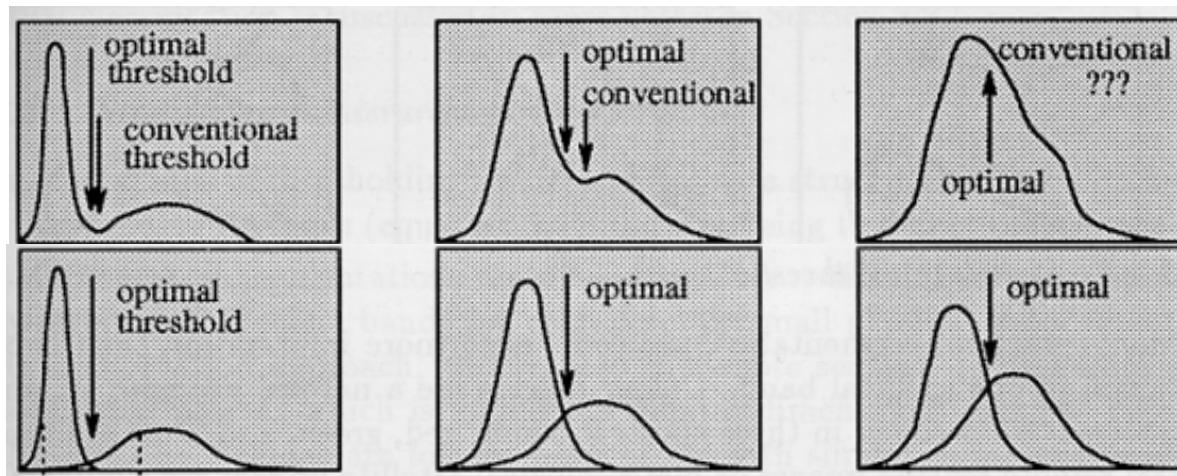
- Definition of the threshold based on the image histogram
  - Often histograms are bimodal
    - *E.g. when we have uniform objects on a uniform background (like back-lightning)*



- Definition of the threshold based on the image histogram
  - Choice of the threshold that **minimizes the segmentation error**
  - Intuitively one could choose the threshold as the **minimum** between two picks in the histogram
  - “**Mode**” method:
    - *Identify the two main maxima*
    - *Take the minimum in between*
    - *Often, we have to avoid to consider two local maxima that belong to the same mode*
  - Improve the « **peak-to-valley** » ratio
    - *Eliminate high gradient pixels*
    - *Or consider only high gradient pixels*



- Threshold definition based on the histogram: optimal thresholding
  - Minimizes the probability of wrong classification
  - Requires knowledge on the gray level distributions
    - Often Gaussian, because of the noise
    - Not always: Reighley
  - By identifying the gray level distribution of each class, one can find the optimal threshold
  - This optimal threshold is not (necessarily) the minimum of the histogram



- Threshold definition based on the histogram: **optimal thresholding**
  - If one knows regions that are obviously in the background, we can easily estimate its distribution
  - Otherwise, we can define a model (e.g. Gaussian) and fit curves to the histogram. Example 2 Gaussians

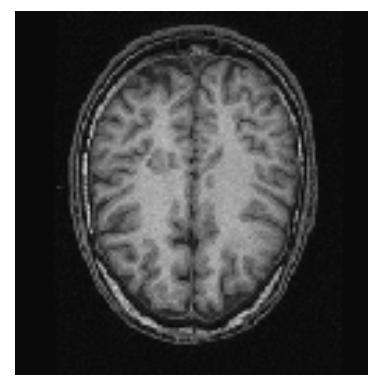
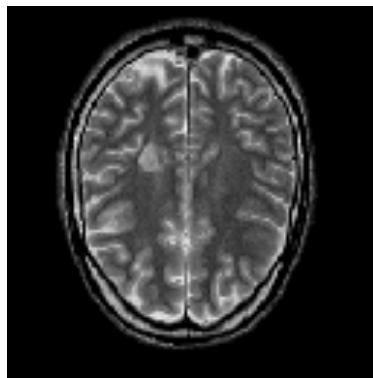
$$d_b(l) = A_b e^{-\frac{(l-c_b)^2}{2\sigma_b^2}} \quad d_o(l) = A_o e^{-\frac{(l-c_o)^2}{2\sigma_o^2}}$$

- variables :  $A_b, c_b, \sigma_b, A_o, c_o, \sigma_o$*
- Function to optimize : e.g. minimize the means squared difference:*

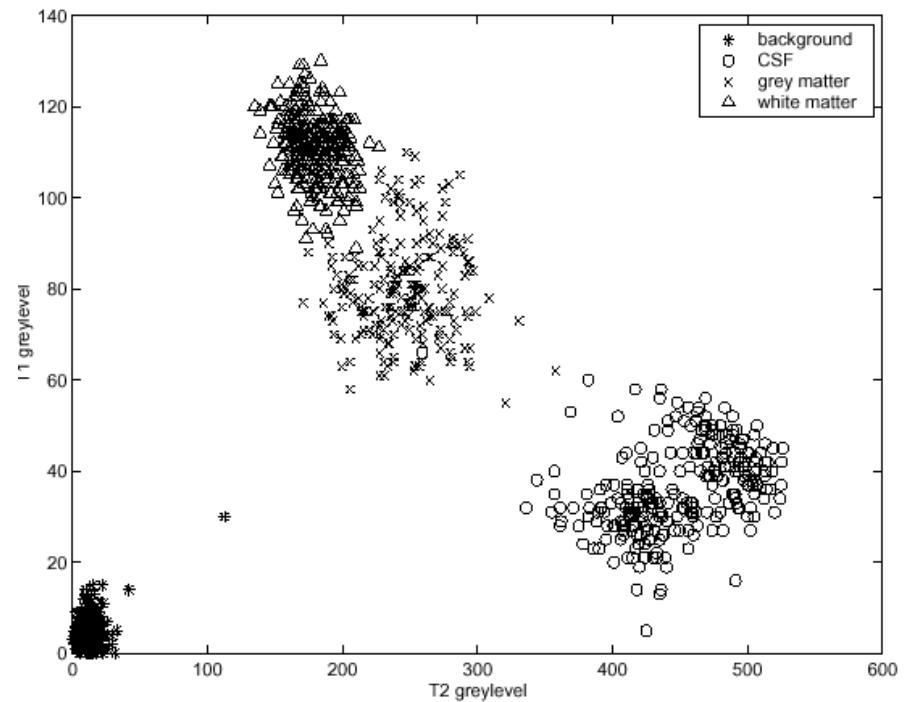
$$\varepsilon = \frac{1}{l_{\max} + 1} \sum_{l=0}^{l_{\max}} [h(l) - (d_b(l) + d_o(l))]^2$$

- Any optimization method can be used here : Expectation-Maximization (EM), simplex, Newton, ...*

- Thresholding: partition in two regions in a 1D space
- Multispectral thresholding: idem, but in a n-D feature space



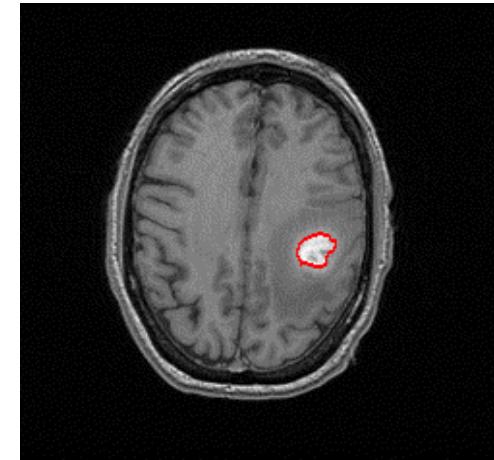
- Classification:
  - Chapter 3



- Segmentation by thresholding is very simple and works very well, but in a limited number of cases.
- Additionally, it does not define objects, but only separates background from foreground. The foreground does not necessarily define the objects (several clusters, not connex, ...)
- This is what region growing methods aim at solving

- Principe of region growing:
  - Let us fix a starting point (**seed**) in the desired region
  - Let us also define the **homogeneity criterion** used to define the region
    - e.g. *intensity > threshold*
  - By a **recursive procedure**, (i.e. neighbor to neighbor), let us include in the region all the pixels that are neighbors of the current pixel and that satisfy the homogeneity criterion
    - *By this the region will grow until it contains all the points connected to the seed point*
    - *We obtain a connex region*

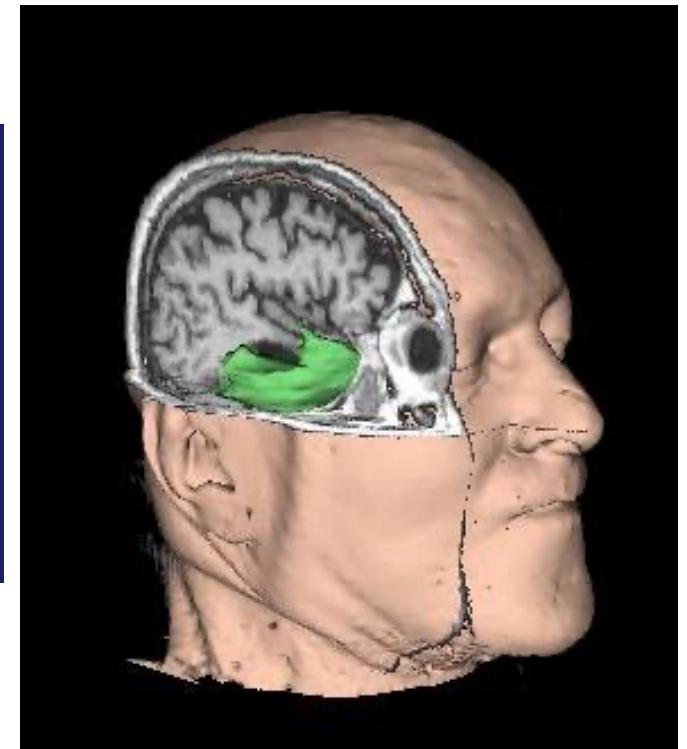
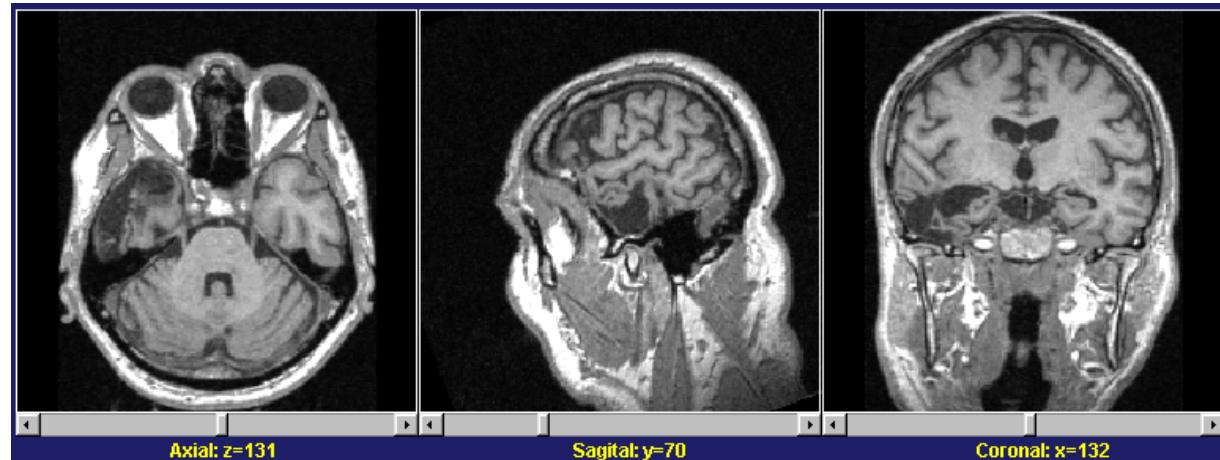
- Notice that the **homogeneity criterion** can be quite sophisticated :
  - examples :
    - *Intensity varies slowly (intensity difference between two neighbors lower than a threshold)*
    - *The local variance is lower than a threshold (homogeneous texture)*
    - ...
  - Examples of applications:
    - *semi-automatic segmentation of a tumor*
    - *Brain segmentation in MR images*
    - *Definition of the external contours of an object on a dark background*



- Object labeling:



- Application example



- Region Merging:
  - One considers each pixel as a region
    - But they do not all respect the homogeneity criterion
  - One fuses adjacent regions if the homogeneity criterion of the union is respected
  - But the result depends on the order of the fusion process
  - One can also group blocks of 2x2, 4x4 or 8x8 pixels
  - The result is often a very rough segmentation

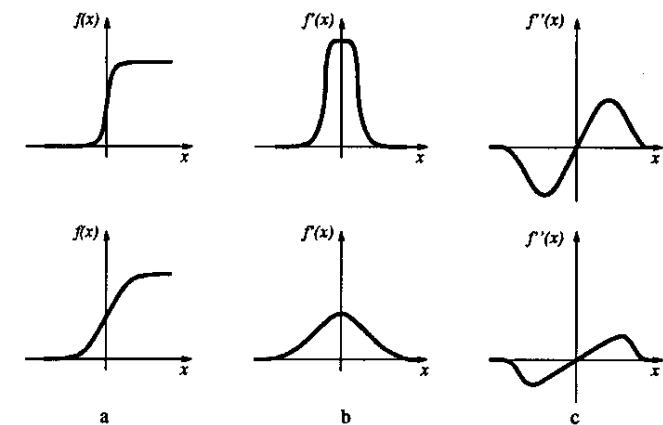
- **Region splitting**

- This is the dual approach
- One starts with the entire image
- The homogeneity criterion is probably not met
  - One divides the image, for instance in 4 parts
- This is repeated recursively until we reach small regions that can not be separated because they are homogeneous enough
- The result is often a over-segmentation

- **Split and Merge**

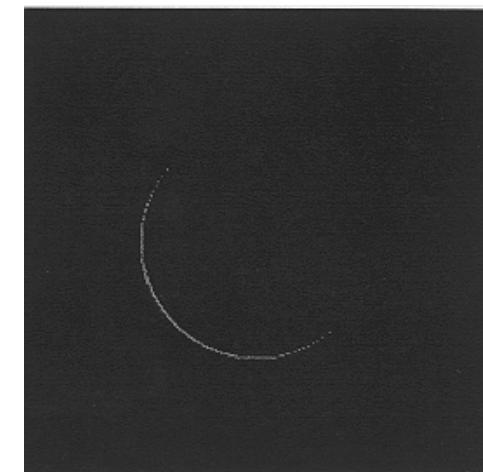
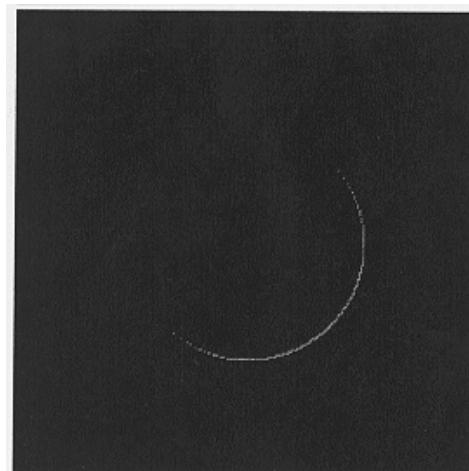
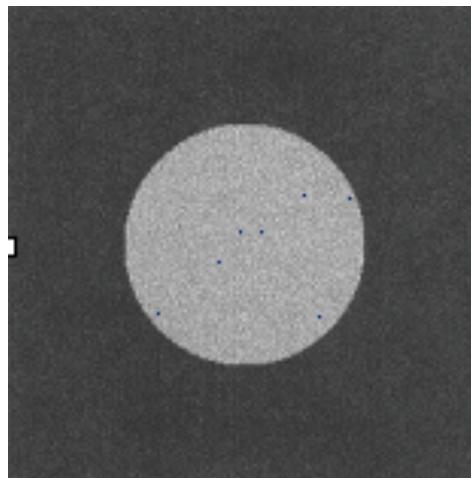
- One starts by splitting the image into (too many) small homogeneous regions
  - *Over-segmentation*
- One regroups them by merging

- The other way to define a region is to search for sharp edges: contour-based methods. An edge is
  - A sharp transition of intensity in an image
  - i.e. where the intensity profile is like a step function
  - i.e. where the **1st derivative** has a maximum
  - i.e. where the second derivative crosses zero (**zero-crossing**).
- In the history there has been a lot of methods to detect the maxima of the 1st derivative
  - High-pass filter: convolution with various filters
  - Often direction



- Edge detection: maximum of the 1st derivative
  - Robert Operator:

$$h_1 = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \text{ et } h_2 = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$$



## Edge detection: maximum of the 1st derivative

## – Prewitt

$$h_1 = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ -1 & -1 & -1 \end{bmatrix}$$

$$h_2 = \begin{bmatrix} 0 & 1 & 1 \\ -1 & 0 & 1 \\ -1 & -1 & 0 \end{bmatrix}$$

$$h_3 = \begin{bmatrix} -1 & 0 & 1 \\ -1 & 0 & 1 \\ -1 & 0 & 1 \end{bmatrix}$$

...

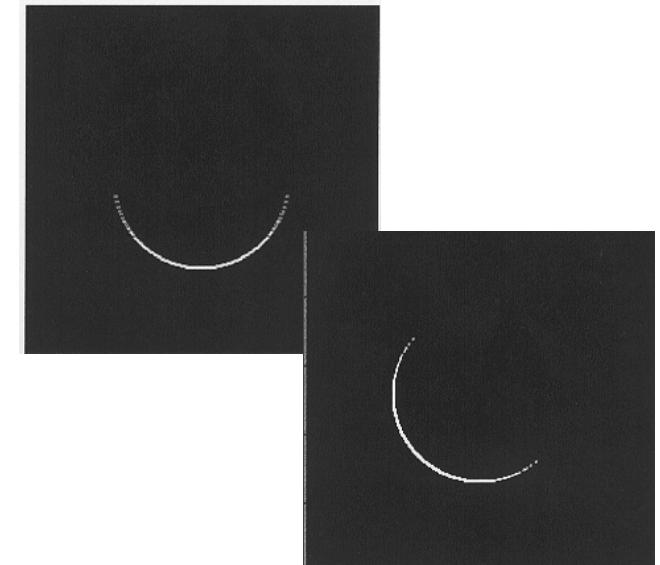
## – Sobel

$$h_1 = \begin{bmatrix} 1 & 2 & 1 \\ 0 & 0 & 0 \\ -1 & -2 & -1 \end{bmatrix}$$

$$h_2 = \begin{bmatrix} 0 & 1 & 2 \\ -1 & 0 & 1 \\ -2 & -1 & 0 \end{bmatrix}$$

$$h_3 = \begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix}$$

...



- Edge detection : zero-crossing of the 2<sup>nd</sup> derivative
  - Marr-Hildreth : *Laplacian of Gaussian*
  - principle :
    - *Noise will introduce false detections*
    - *Let us filter the image to remove the noise and undesired details: Gaussian filter*
  - *Let us calculate the 2<sup>nd</sup> derivative of the filtered image (Laplacian operator)*
  - *Zero-crossing points of this filtered 2<sup>nd</sup> derivative are edge points*

$$G(x, y) = e^{-\frac{x^2 + y^2}{2\sigma^2}}$$

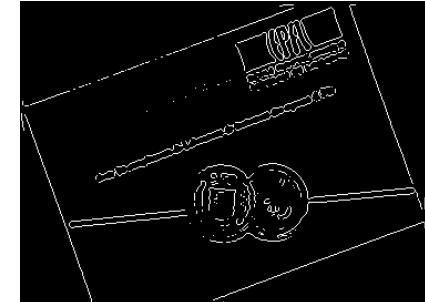
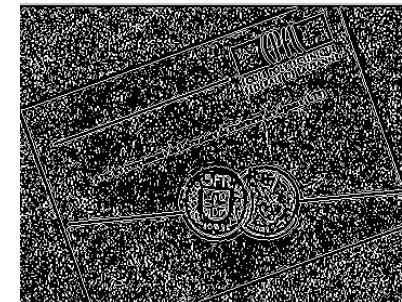
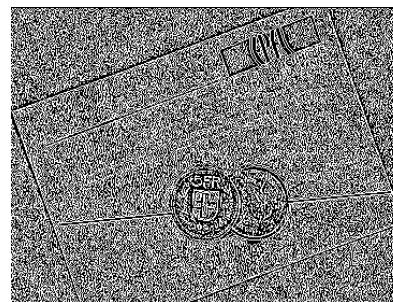
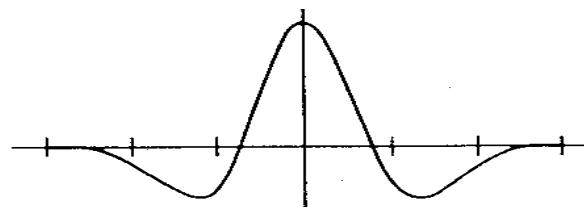
$$\nabla^2 f(x, y) = \frac{\partial^2 f(x, y)}{\partial x^2} + \frac{\partial^2 f(x, y)}{\partial y^2}$$

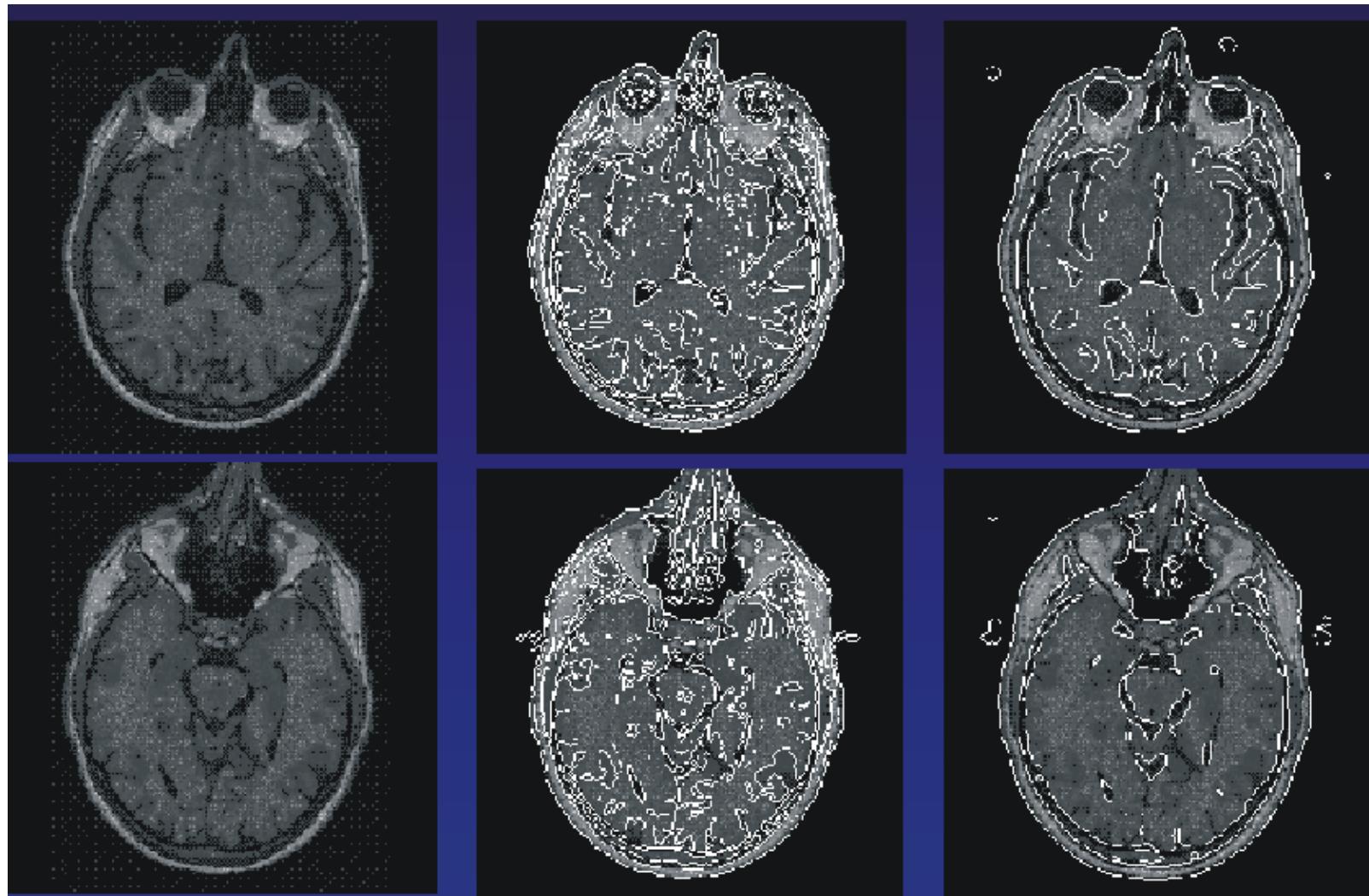


- Trick : exploit the property of convolution:

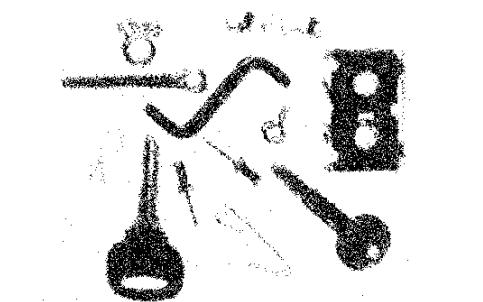
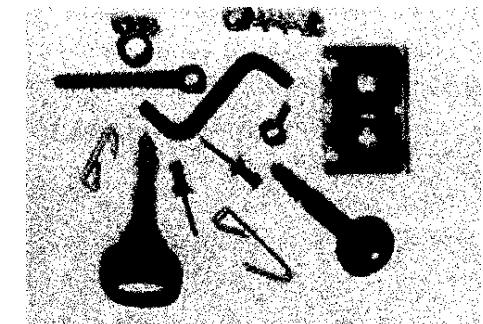
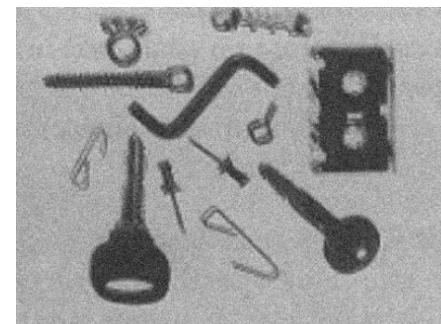
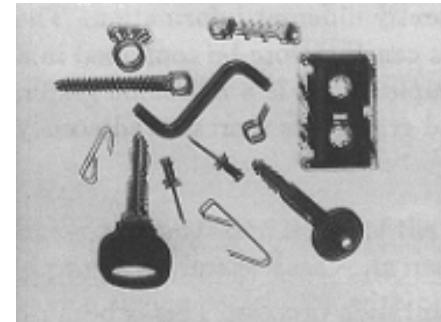
$$\nabla^2(G(x, y) \ast \ast f(x, y)) = (\nabla^2 G(x, y)) \ast \ast f(x, y)$$

- Thus, it means that we just have to convolve the image by the second derivative of a Gaussian « Laplacian of Gaussian », (LoG) and find the zero crossing of the resulting image





- If the image is not too noisy, no problem
- But most often, noise creates many problems after segmentation:
  - Irregular borders
  - Holes inside
- Solution:
  - Fill the holes
  - Smooth the borders



- Very complete and coherent theory
  - Set of operators in image analysis based on shapes
  - Uses the vocabulary of set theory
- Principle:
  - Compare the objects present in an image with a reference object, of given size and shape, called structuring element
- Basic operators:
  - Dilation, erosion, opening and closing
- Origins: Jean Serra, CMM, École des Mines, Paris
  - *Image Analysis and Mathematical Morphology, London, Academic Press, 1982.*
  - R. Haralick, S. Sternberg et X Zhuang, *Image Analysis using Mathematical Morphology, IEEE PAMI 9(4), 1987.*

- Framework : discrete plan  $E$
- Addition:

$$\begin{array}{cccc} \circ & \bullet & \circ & \circ \\ \circ & \bullet & \circ & \circ \\ \circ & \bullet & \circ & \circ \\ \bullet & \circ & \circ & \circ \end{array} \quad \begin{array}{cccc} \circ & \circ & \bullet & \circ \\ \circ & \circ & \bullet & \circ \\ \circ & \circ & \bullet & \circ \\ \circ & \bullet & \circ & \circ \end{array}$$

$X$                      $X + (0,1)$

- Translation:

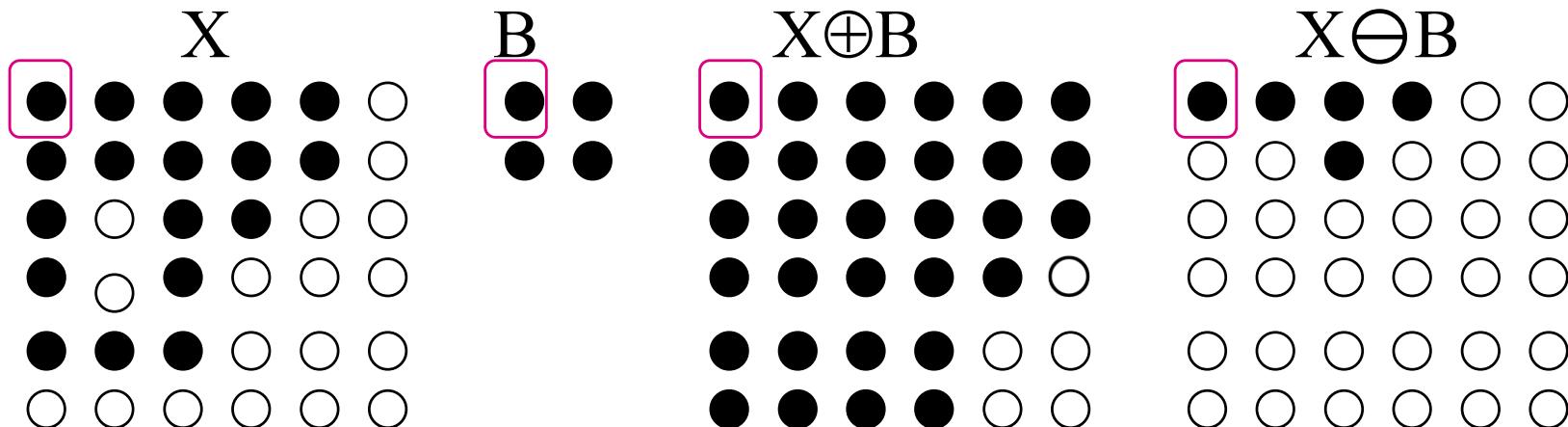
$$(A)_x = \{ c \in E \text{ t.q. } c = a + x, \forall a \in A \}$$

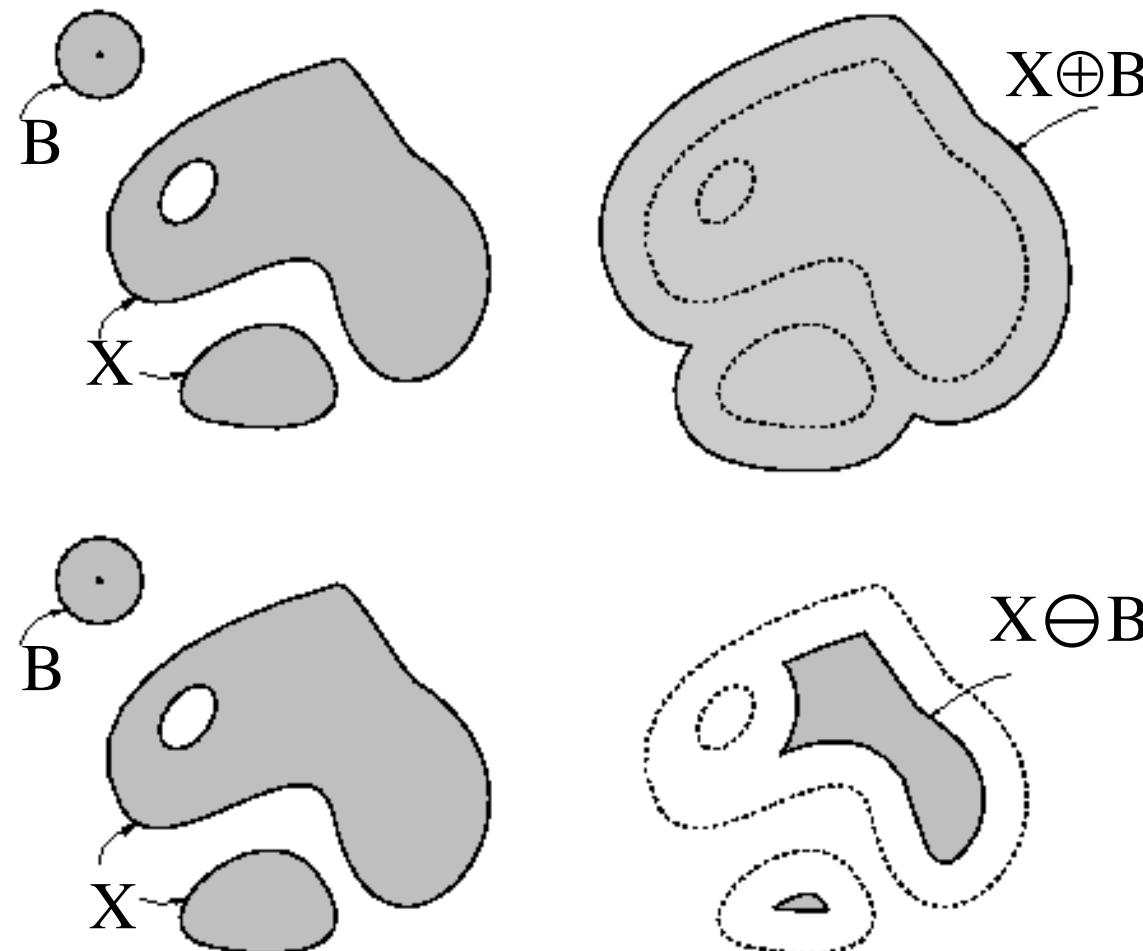
- **Dilation :**

$$\begin{aligned} X \oplus B &= \{c \in E \text{ t.q. } c = a + b \text{ avec } a \in X, b \in B\} \\ &= \bigcup_{b \in B} (X)_b \end{aligned}$$

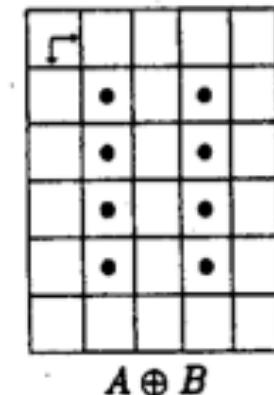
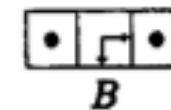
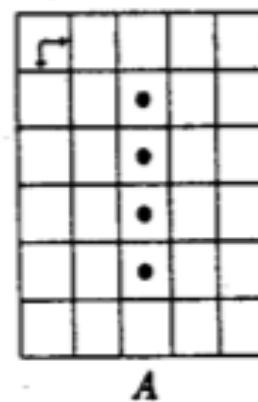
- **Erosion :**

$$\begin{aligned} X \ominus B &= \{c \in E \text{ t.q. } \forall b \in B, c + b \in X\} \\ &= \bigcap_{b \in B} (X)_{-b} \end{aligned}$$





- extensivity :
  - if  $0 \in B$ ,  $A \subseteq A \oplus B$



- increasing:
  - $A \subseteq B \Rightarrow A \oplus C \subseteq B \oplus C$
  - $(A \cup B) \oplus C = (A \oplus C) \cup (B \oplus C)$
  - $(A \cap B) \oplus C \subseteq (A \oplus C) \cap (B \oplus C)$

- $A \ominus B = \{x \in E \mid (B)_x \subseteq A\}$

- Anti-extensivity :

- si  $0 \in B$ ,  $A \ominus B \subseteq A$

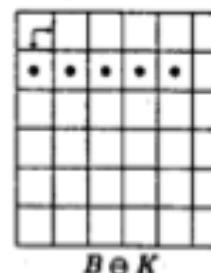
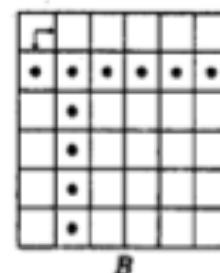
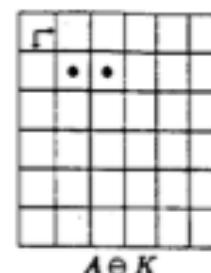
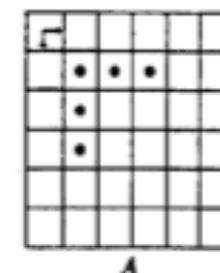
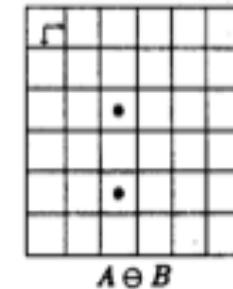
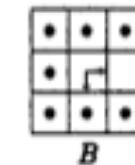
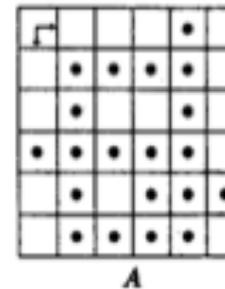
- Invariance by translation

$$(A)_x \ominus B = (A \ominus B)_x$$

$$A \ominus (B)_x = (A \ominus B)_{-x}$$

- increasing :

$$A \subseteq B \Rightarrow A \ominus K \subseteq B \ominus K$$



- $A \subseteq B \Rightarrow D \ominus A \subseteq D \ominus B$
- Definitions :
  - Complement of A :  $A^c = \{x \in E \mid x \notin A\}$
  - Reflection of B :  $\breve{B} = \{x \text{ t.q. } -x \in B\}$
- Duality erosion-dilation:  $(A \ominus B)^c = A^c \oplus \breve{B}$
- $A \ominus (B \oplus C) = (A \ominus B) \ominus C$
- Invariance by rotation if B is a circle

- Opening:

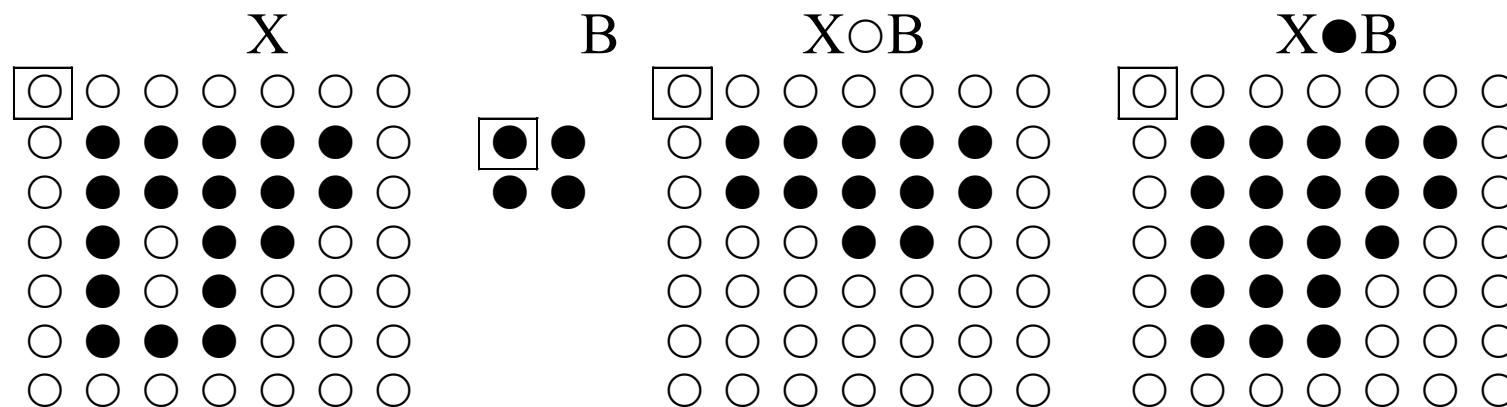
$$X \circ B = (X \ominus B) \oplus B$$

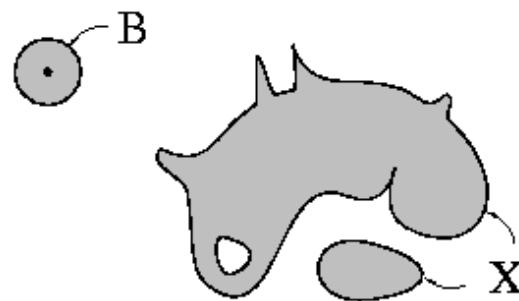
$$= \bigcup_{\{x \text{ t.q. } B_x \subseteq X\}} B_x$$

- Closing:

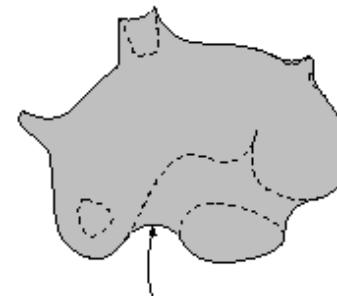
$$X \bullet B = (X \oplus B) \ominus B$$

$$= \bigcap_{\{\bar{x} \text{ t.q. } \bar{B}_{\bar{x}} \cap X \neq \emptyset\}} \bar{B}_{\bar{x}}^c$$





Ouvert de X



Fermé de X

- Properties:

- duality :  $X \circ B = (X^c \bullet \bar{B})^c$
- extensivity :

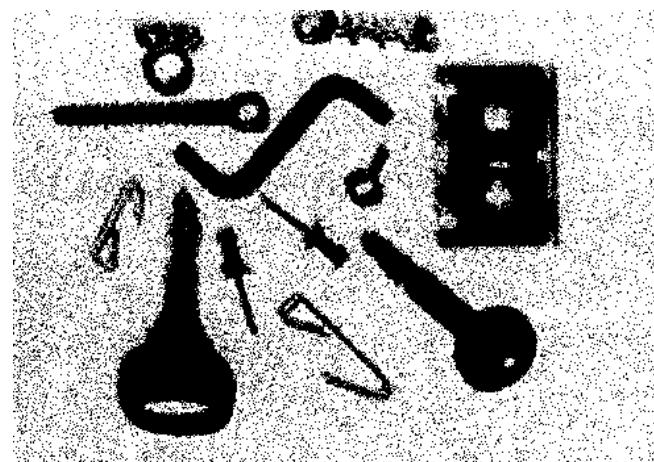
$$X \circ B \subseteq X \quad \text{et} \quad X \subseteq X \bullet B$$

- idempotence :

$$(X \circ B) \circ B = X \circ B$$

$$(X \bullet B) \bullet B = X \bullet B$$

- Principe : comparison with a structuring element
- Erosion & dilation: change the size of the objects
- Opening & closing: fill the holes
  - Inside the objects (closing)
  - External to the objects (opening)
- Use:
  - Post-processing after segmentation
  - cfr. examples



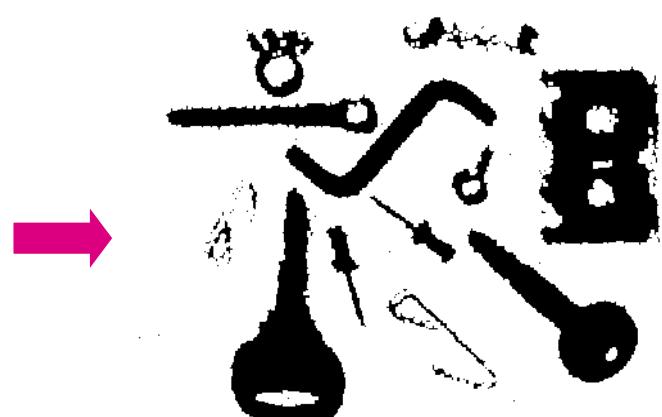
Original



Opened

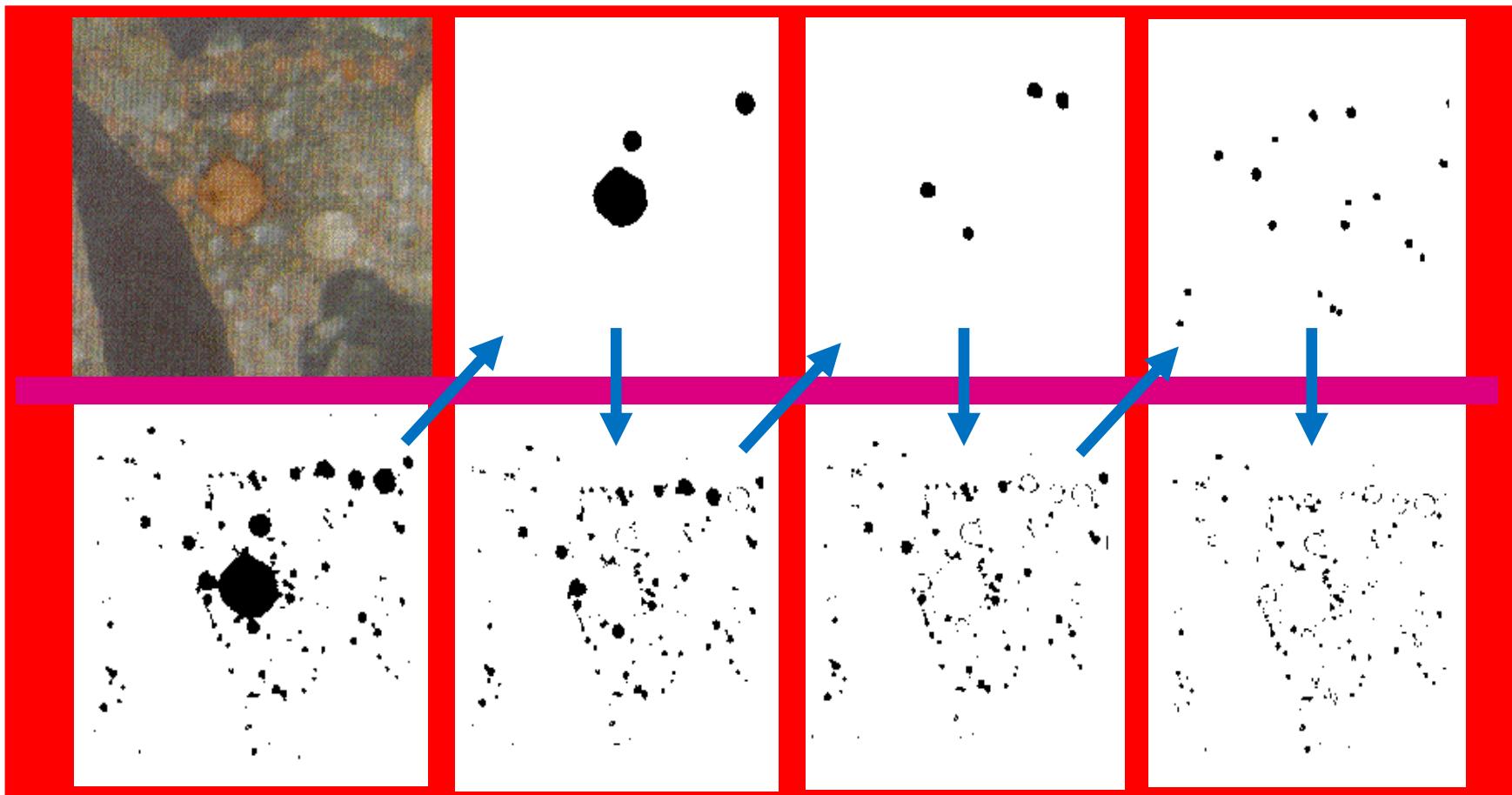


Original



Closed

- Granulometry in Concrete



- Framework: functions  $f : E \rightarrow C : x \rightarrow f(x)$
- Supremum ( $\vee$ ) and infimum ( $\wedge$ )
  - $(f \vee g)(x) = \max(f(x), g(x))$
  - $(f \wedge g)(x) = \min(f(x), g(x))$
- Ordering relationship :
  - $f \leq g \Leftrightarrow f(x) \leq g(x) \quad \forall x \in E$
- Translation:  $f_b = f(x-b)$
- Correspondence :

Binaire	Niveaux de gris
$\cup, \cap$	$\vee, \wedge$
$\subseteq, \supseteq$	$\leq, \geq$

- Definitions :

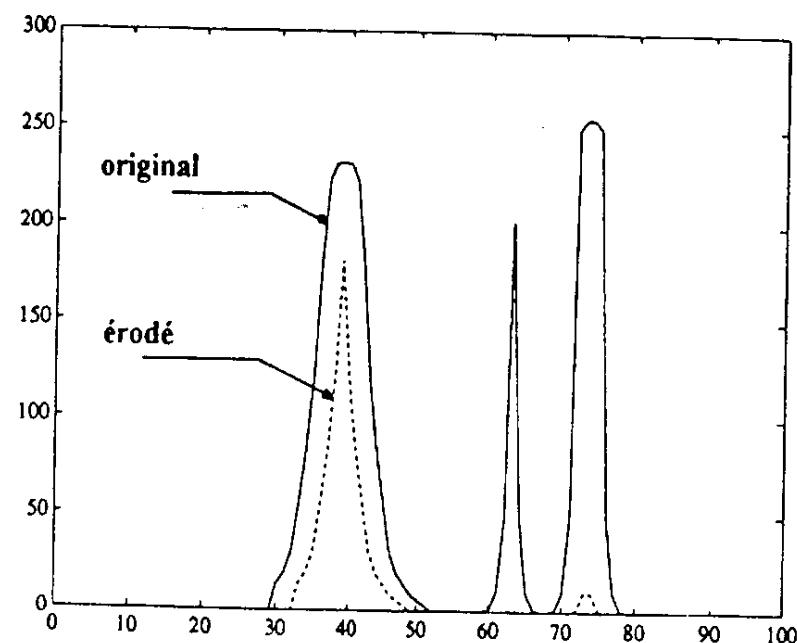
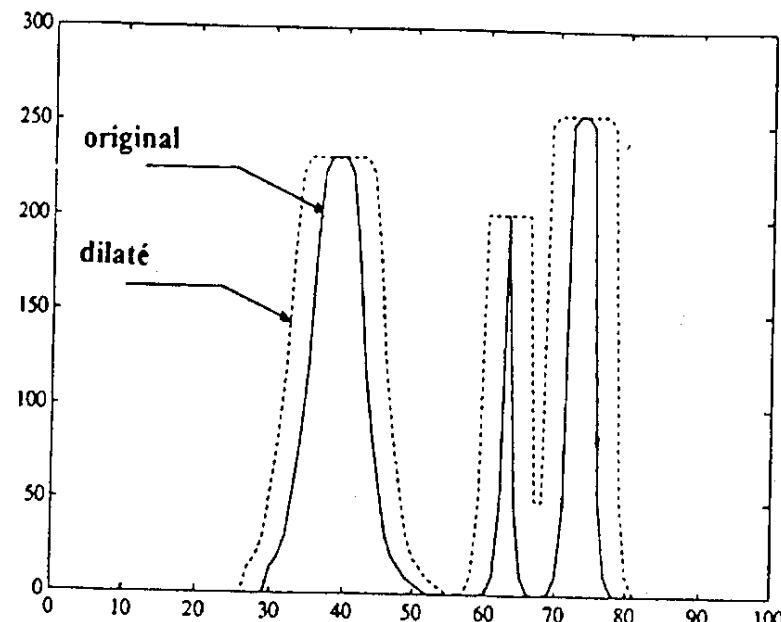
$$(f \oplus k)(x) = \vee_{z \in K} f_z(x) + k(x)$$

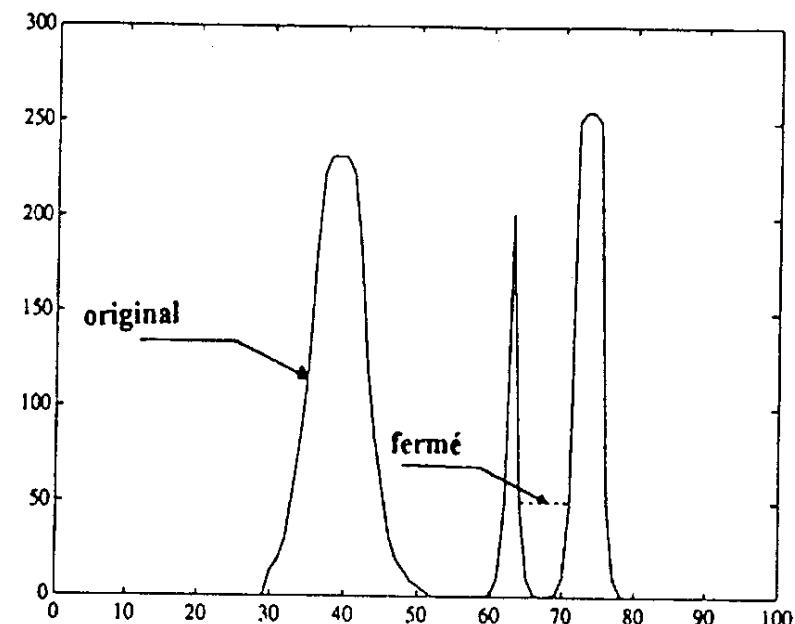
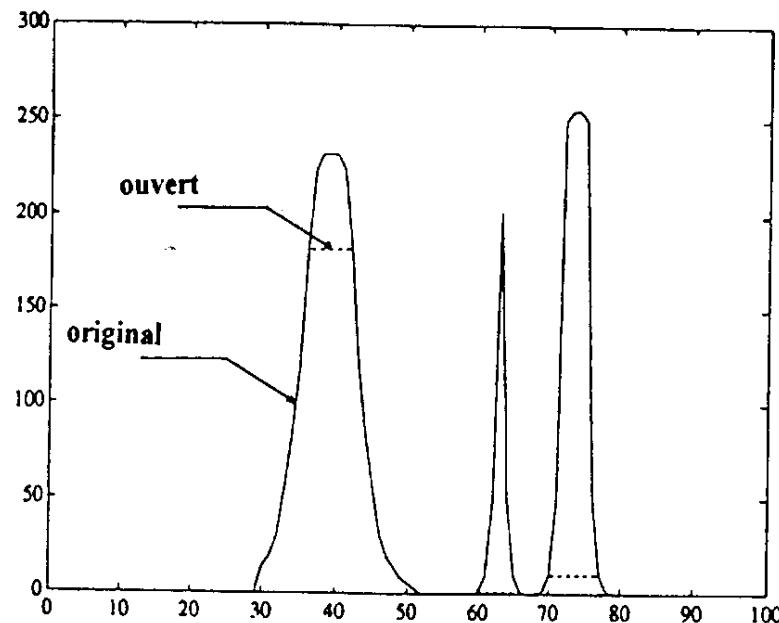
$$(f \ominus k)(x) = \wedge_{z \in K} f_{-z}(x) - k(x)$$

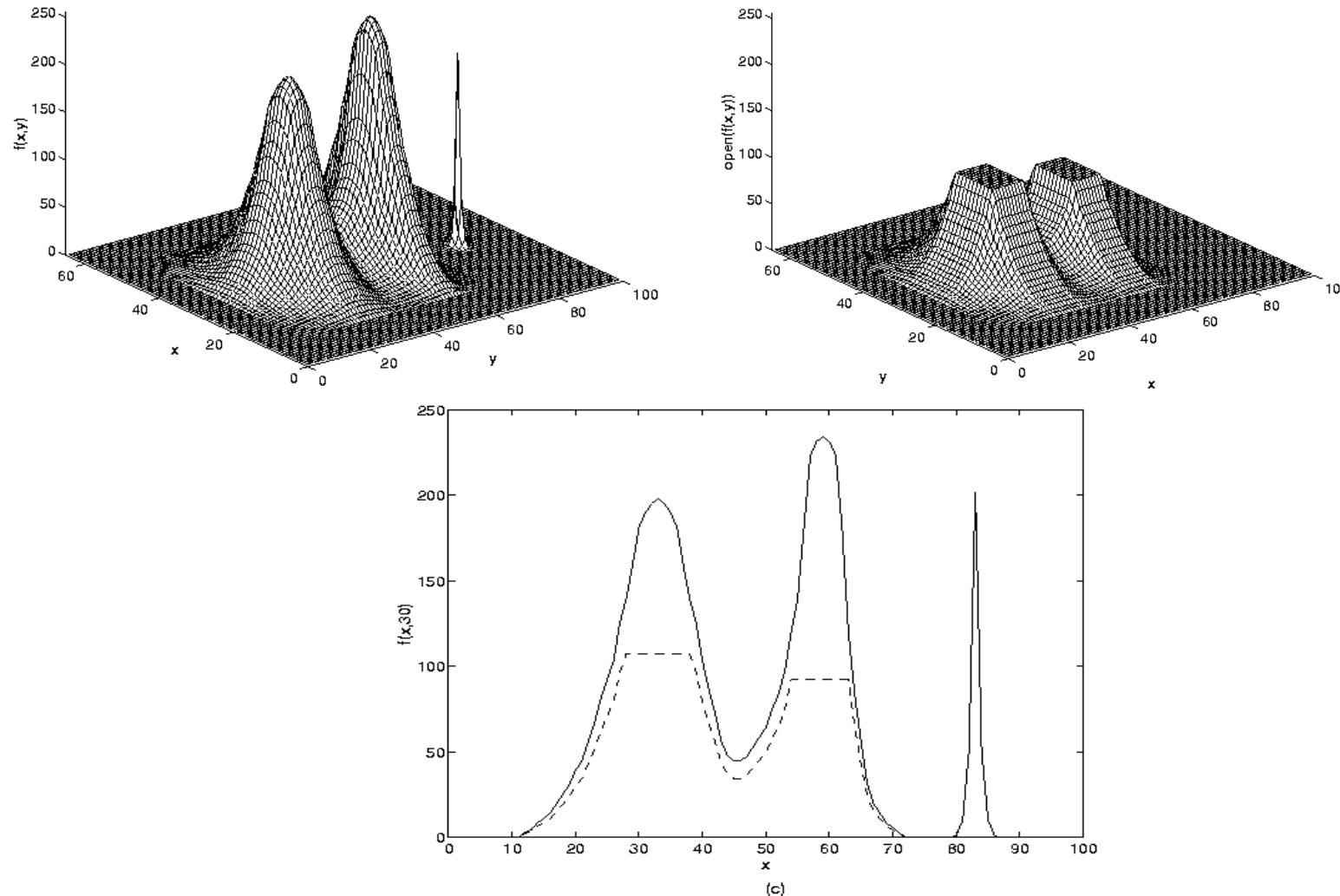
$$(f \circ k)(x) = (f \ominus k) \oplus k$$

$$(f \bullet k)(x) = (f \oplus k) \ominus k$$

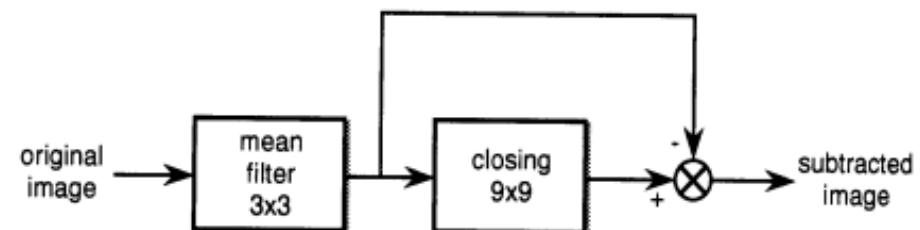
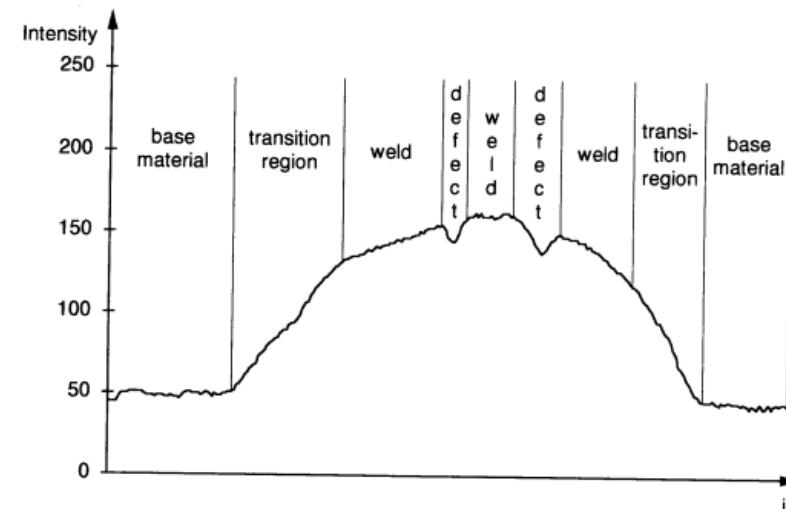
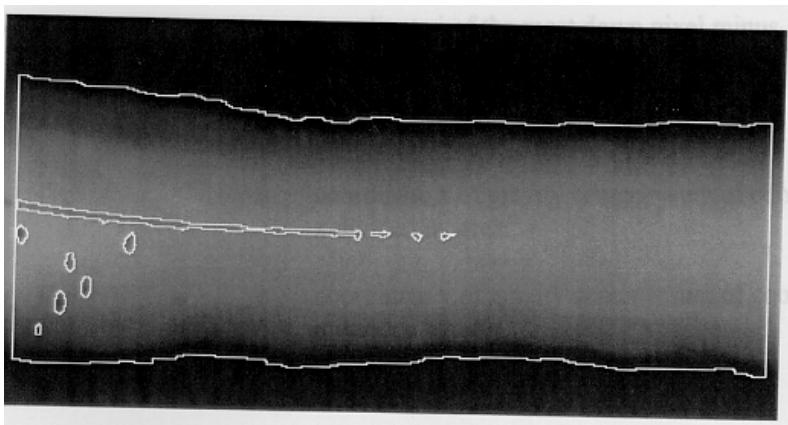
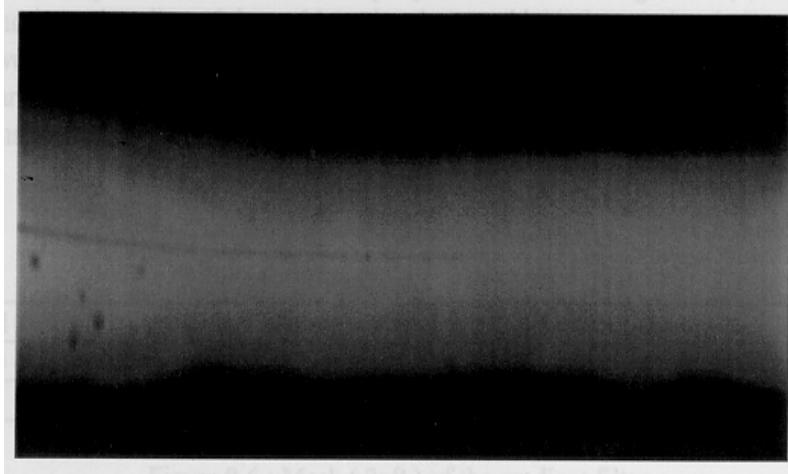
- Properties: cfr binary morphology







- Defect detection in welding



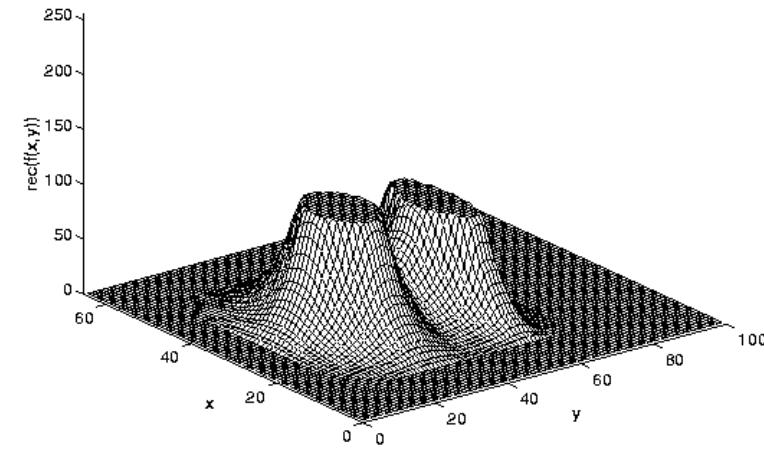
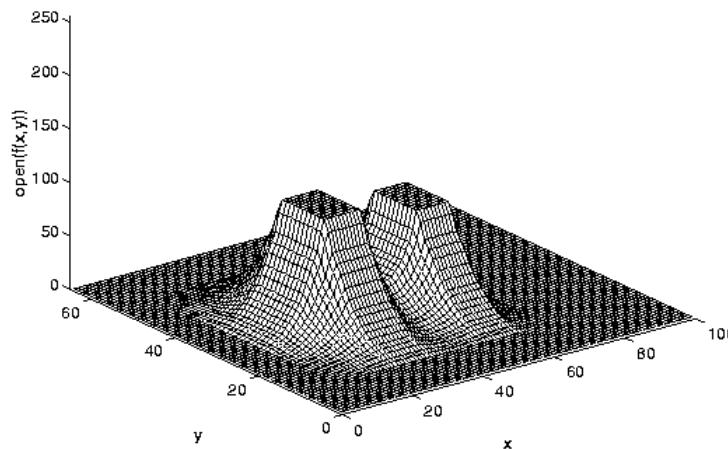
- Geodesic dilation:

$$(X \oplus B)^{(1)} = (X \oplus B) \cap Y$$

$$(X \oplus B)^{(n)} = \underbrace{(((X \oplus B)^{(1)} \oplus B)^{(1)} \dots)^{(1)}}_{n \text{ fois}}$$

- Reconstruction :

$$R_Y = (X \oplus B)^{(i)}, \text{ avec } (X \oplus B)^{(i-1)} = (X \oplus B)^{(i)}$$



- Morphological segmentation: cancer cell detection and analysis

