

Cryptography and Network Security Chapter 5

Advanced Encryption Standard (AES)

Arabic Language Audio Comments by Prof. Mohamed Ashraf Madkour

- Fifth Edition by William Stallings
- Lecture slides by Lawrie Brown



Origins

- clear a replacement for DES was needed
 - have theoretical attacks that can break it
 - have demonstrated exhaustive key search attacks
- can use Triple-DES but slow, has small blocks
- US NIST issued call for ciphers in 1997
- 15 candidates accepted in Jun 98
- 5 were shortlisted in Aug-99
- Rijndael was selected as the AES in Oct-2000
- issued as FIPS PUB 197 standard in Nov-2001

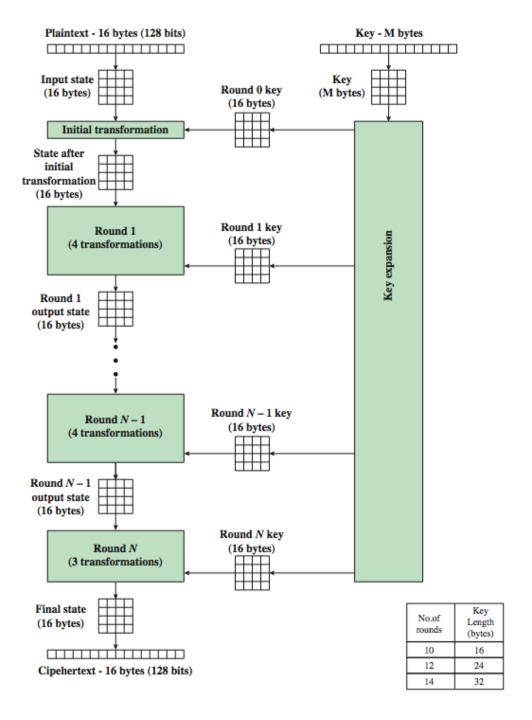


The AES Cipher - Rijndael

- designed by Rijmen-Daemen in Belgium
- has 128/192/256-bit keys, 128-bit data
- an iterative rather than feistel cipher
 - processes data as block of 4 columns of 4 bytes
 - operates on entire data block in every round
- designed to be:
 - resistant against known attacks
 - speed and code compactness on many CPUs
 - design simplicity



AES Encryption Process





AES Structure

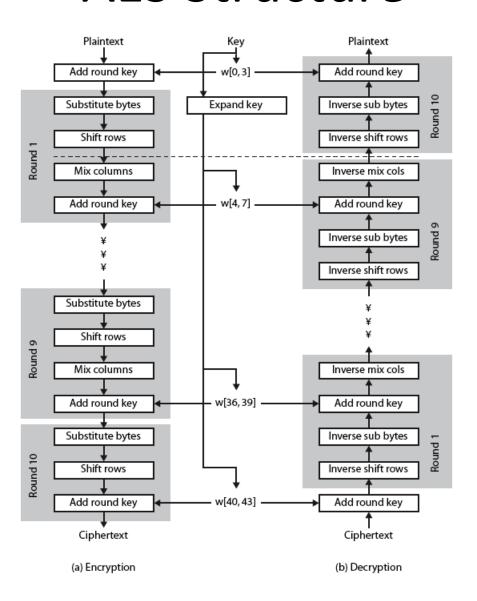


- data block of 4 columns of 4 bytes is state
- > key is expanded to array of words (4 bytes each)
- \triangleright initially XOR the input state with the subkey $[w_0, w_1, w_2, w_3]$
- ➤ has 9/11/13 rounds in which state undergoes:
 - byte substitution (1 S-box used on every byte)
 - shift rows (permute bytes between groups/columns)
 - mix columns (subs using matrix multiply of groups)
 - add round key (XOR state with key material)
- view as alternating XOR key & scramble data bytes
- the last round is incomplete
- with fast XOR & table lookup implementation





AES Structure



AES Computations



- The data computation consists of:
 - an "add round key" step,
 - then 9/11/13 rounds with all 4 steps,
 - and a final 10th/12th/14th step of byte substitution + mix columns + add round key.
- This can be viewed as alternating XOR key & scramble data bytes operations.
- All the steps are easily reversed and can be efficiently implemented using XOR's & table lookups.

Some Comments on AES



- 1. an **iterative** rather than **feistel** cipher
- 2. the cipher has a simple structure
- 3. key expanded into array of 4 bytes words
- 4. in each round, the round key is 4 words $(4 \times 4 \text{ bytes})$
- 5. Initially, the AddRoundKey stage only uses a key
- 6. each round, except the last, uses 4 different stages; each stage is easily reversible
- 7. decryption uses keys in reverse order
- 8. decryption does recover plaintext
- 9. final round has only 3 stages

Substitute Bytes



- a simple substitution of each byte
- uses one table of 16x16 bytes containing a permutation of all 256 8-bit values
- each byte of state is replaced by byte indexed by row (left 4-bits) & column (right 4-bits)
 - eg. byte {95} is replaced by byte in row 9 column 5
 - which has value {2A}
- designed to be resistant to all known attacks



Table 5.2 AES S-Boxes

										y							
		0	1	2	3	4	5	6	7	8	9	A	В	C	D	Е	F
	0	63	7C	77	7B	F2	6B	6F	C5	30	01	67	2B	FE	D7	AB	76
	1	CA	82	C9	7D	FA	59	47	F0	AD	D4	A2	AF	9C	A4	72	C0
	2	В7	FD	93	26	36	3F	F7	CC	34	A5	E5	F1	71	D8	31	15
	3	04	C7	23	C3	18	96	05	9A	07	12	80	E2	EB	27	B2	75
	4	09	83	2C	1A	1B	6E	5A	A 0	52	3B	D6	В3	29	E3	2F	84
	5	53	D1	00	ED	20	FC	B1	5B	6A	CB	BE	39	4A	4C	58	CF
	6	D 0	EF	AA	FB	43	4D	33	85	45	F9	02	7F	50	3C	9F	A8
x	7	51	A3	40	8F	92	9D	38	F5	BC	B6	DA	21	10	FF	F3	D2
	8	CD	0C	13	EC	5F	97	44	17	C4	A7	7E	3D	64	5D	19	73
	9	60	81	4F	DC	22	2A	90	88	46	EE	B8	14	DE	5E	0B	DB
	Α	E0	32	3A	0 A	49	06	24	5C	C2	D3	AC	62	91	95	E4	79
	В	E7	C8	37	6D	8D	D5	4E	A9	6C	56	F4	EA	65	7A	AE	08
	C	BA	78	25	2E	1C	A6	B4	C6	E8	DD	74	1F	4B	BD	8B	8A
	D	70	3E	B5	66	48	03	F6	0E	61	35	57	B9	86	C1	1D	9E
	E	E1	F8	98	11	69	D9	8E	94	9B	1E	87	E9	CE	55	28	DF
	F	8C	A1	89	0D	BF	E6	42	68	41	99	2D	0F	B0	54	BB	16



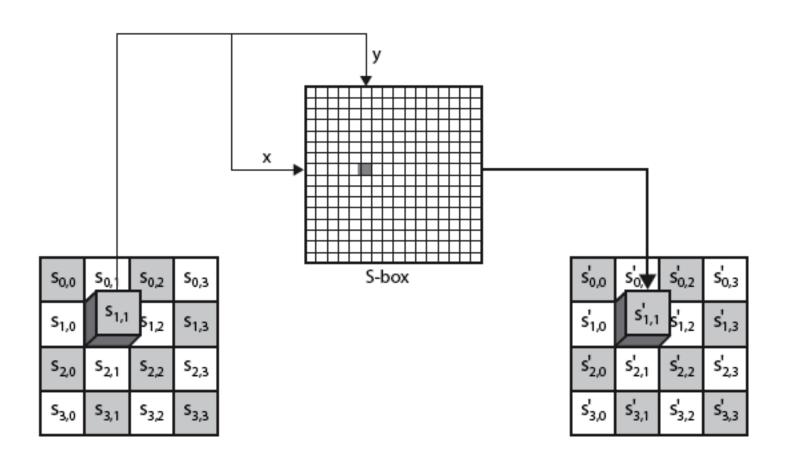
y

		0	1	2	3	4	5	6	7	8	9	Α	В	C	D	E	F
	0	52	09	6A	D5	30	36	A5	38	BF	40	A3	9E	81	F3	D7	FB
	1	7C	E3	39	82	9B	2F	FF	87	34	8E	43	44	C4	DE	E9	CB
	2	54	7B	94	32	A6	C2	23	3D	EE	4C	95	0B	42	FA	C3	4E
	3	08	2E	A1	66	28	D9	24	B2	76	5B	A2	49	6D	8B	D1	25
	4	72	F8	F6	64	86	68	98	16	D4	A4	5C	CC	5D	65	B6	92
	5	6C	70	48	50	FD	ED	B9	DA	5E	15	46	57	A7	8D	9D	84
	6	90	D8	AB	00	8C	BC	D3	0 A	F7	E4	58	05	B8	В3	45	06
x	7	D0	2C	1E	8F	CA	3F	0F	02	C1	AF	BD	03	01	13	8A	6B
_	8	3A	91	11	41	4F	67	DC	EA	97	F2	CF	CE	F0	B4	E6	73
	9	96	AC	74	22	E7	AD	35	85	E2	F9	37	E8	1C	75	DF	6E
	A	47	F1	1A	71	1D	29	C5	89	6F	В7	62	0E	AA	18	BE	1B
	В	FC	56	3E	4B	C6	D2	79	20	9A	DB	C0	FE	78	CD	5A	F4
	C	1F	DD	A8	33	88	07	C7	31	B1	12	10	59	27	80	EC	5F
	D	60	51	7F	A9	19	B5	4A	0D	2D	E5	7A	9F	93	C9	9C	EF
	E	A 0	E0	3B	4D	AE	2A	F5	B0	C8	EB	BB	3C	83	53	99	61
	F	17	2B	04	7E	BA	77	D6	26	E1	69	14	63	55	21	0C	7D

(b) Inverse S-box

Substitute Bytes





Substitute Bytes Example



EA	04	65	85		
83	45	5D	96		
5C	33	98	В0		
F0	2D	AD	C5		



87	F2	4D	97
EC	6E	4C	90
4A	C3	46	E7
8C	D8	95	A6

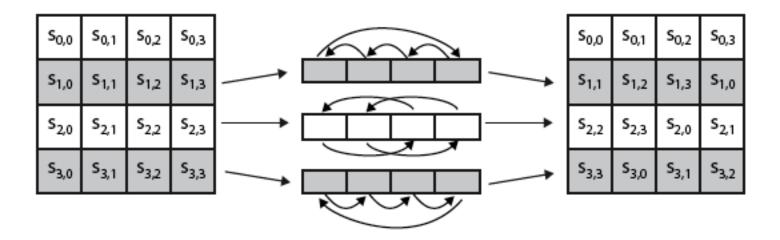
Shift Rows



- a circular byte shift in each row
 - 1st row is unchanged
 - 2nd row does 1-byte circular shift to left
 - 3rd row does 2-byte circular shift to left
 - 4th row does 3-byte circular shift to left
- decrypt inverts using shifts to right
- since state is processed by columns, this step permutes bytes between the columns



Shift Rows



87	F2	4D	97
EC	6E	4C	90
4A	C3	46	E7
8C	D8	95	A6

87	F2	4D	97
6E	4C	90	EC
46	E7	4A	C3
A6	8C	D8	95

Mix Columns



- each column is processed separately
- each byte is replaced by a value dependent on all 4 bytes in the column
- effectively a matrix multiplication

Matrix Equations:

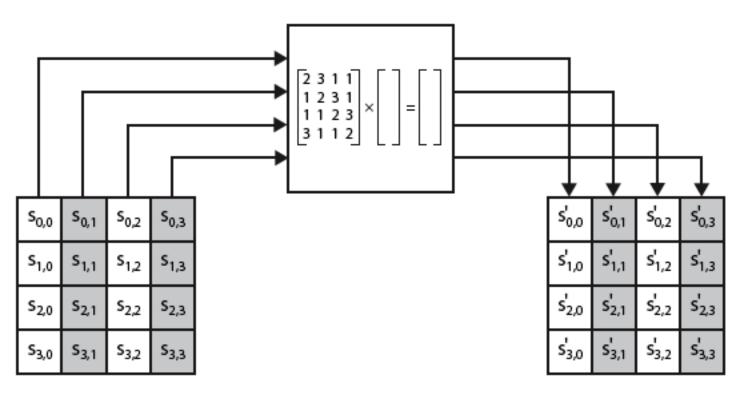
For encryption: $S' = M \times S$

For decryption: $S = M^{-1} \times S'$

$$\begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \begin{bmatrix} s_{0,0} & s_{0,1} & s_{0,2} & s_{0,3} \\ s_{1,0} & s_{1,1} & s_{1,2} & s_{1,3} \\ s_{2,0} & s_{2,1} & s_{2,2} & s_{2,3} \\ s_{3,0} & s_{3,1} & s_{3,2} & s_{3,3} \end{bmatrix} = \begin{bmatrix} s_{0,0} & s_{0,1} & s_{0,2} & s_{0,3} \\ s_{1,0} & s_{1,1} & s_{1,2} & s_{1,3} \\ s_{2,0} & s_{2,1} & s_{2,2} & s_{2,3} \\ s_{3,0} & s_{3,1} & s_{3,2} & s_{3,3} \end{bmatrix}$$

Mix Columns

(using inverse matrix multiplication for decryption)





Mix Columns Example

(all computations are done using logical AND & XOR functions)

02	03	01	01]	87	F2	4D	97	47	40	A3	4C
01	02	03	01	6E	4C	90	EC		D4		
01	01	02	03	46	E7	4A	C3	94	E4	3A	42
03	01	01	02	A6	8C	D8	95	ED	A5	A6	BC

Mix Columns



- The mix column process is done using the following matrix equations:
 - For encryption: S' = M × S
 - For decryption: S = M⁻¹ × S'
- ➤ M is a matrix with small coefficients and hence it is fast and easy to compute S' in encryption
- ➢ decryption requires use of inverse matrix M⁻¹ with larger coefficients, hence a slower and a little harder computation of S

Add Round Key



- > XOR state with 16 bytes (128-bits) of the round key
- again, processed by column (though effectively a series of byte operations)
- > inverse for decryption identical by XORing
- designed to be as simple as possible
- ➤ MUST be used at start and end of each round, since otherwise a cryptanalyst could undo effect of other stages
- other stages (substitution, shift rows, and mix columns) provide confusion/diffusion/non-linearity

Add Round Key



S _{0,0}	S _{0,1}	S _{0,2}	S _{0,3}
S _{1,0}	S _{1,1}	s _{1,2}	S _{1,3}
S _{2,0}	S _{2,1}	S _{2,2}	S _{2,3}
S _{3,0}	S _{3,1}	S _{3,2}	S _{3,3}



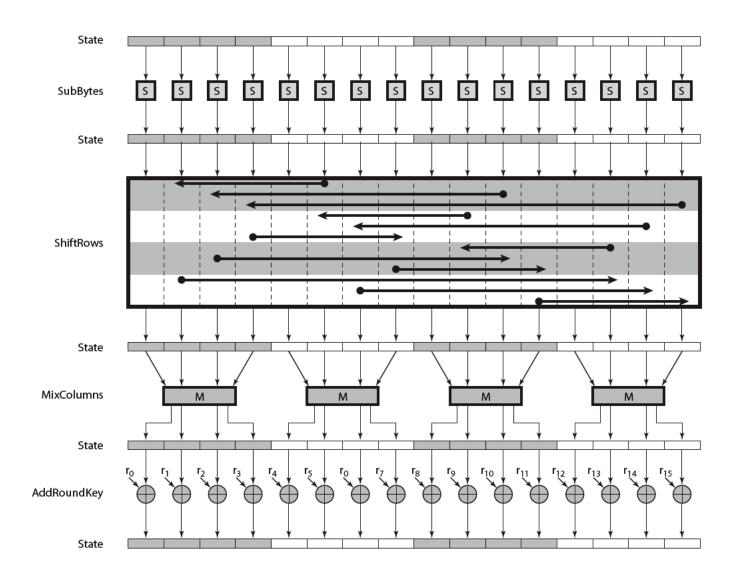
Wi	W _{i+1}	W _{i+2}	W _{i+3}
----	------------------	------------------	------------------

s' _{0,0}	s' _{0,1}	s' _{0,2}	s' _{0,3}
s' _{1,0}	s' _{1,1}	s' _{1,2}	s' _{1,3}
s' _{2,0}	s' _{2,1}	s' _{2,2}	s' _{2,3}
s' _{3,0}	s' _{3,1}	s' _{3,2}	s' _{3,3}

- These are done as column operations using a 32-bit CPU
- It can be also done as separate byte operations using an 8-bit CPU

AES Round





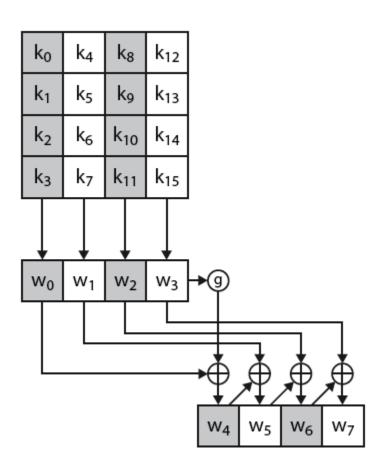
AES Key Expansion



- > there are three optional lengths for the key: 128/192/256-bit (16/24/32-byte)
- takes a key and expands it into a suitable array of 32-bit words
- every 4 consecutive words are used as a subkey
- ➤ as depicted in the next slide, a simple algorithm is used for expanding the selected key option into an array of words having a corresponding length (44/52/60)

AES Key Expansion





Key Expansion Rationale



- designed to resist known attacks
- design criteria included
 - knowing part key insufficient to find many more
 - invertible transformation
 - fast on wide range of CPU's
 - diffuse key bits into round keys
 - enough non-linearity to hinder analysis
 - simplicity of description

AES Example Key Expansion



Key Words	Auxiliary Function
w0 = 0f 15 71 c9	RotWord(w3)= 7f 67 98 af = x1
w1 = 47 d9 e8 59	SubWord(x1)= d2 85 46 79 = y1
w2 = 0c b7 ad	Rcon(1)= 01 00 00 00
w3 = af 7f 67 98	y1 Rcon(1)= d3 85 46 79 = z1
w4 = w0 ⊕ z1 = dc 90 37 b0	RotWord(w7) = 81 15 a7 38 = x2
w5 = w4 ⊕ w1 = 9b 49 df e9	SubWord(x4)= 0c 59 5c 07 = y2
w6 = w5 ⊕ w2 = 97 fe 72 3f	Rcon(2)= 02 00 00 00
w7 = w6 ⊕ w3 = 38 81 15 a7	y2 ⊕ Rcon(2)= 0e 59 5c 07 = z2
w8 = w4 ⊕ z2 = d2 c9 6b b7 w9 = w8 ⊕ w5 = 49 80 b4 5e	RotWord(w11) = ff d3 c6 e6 = x3
	SubWord(x2)= 16 66 b4 8e = y3 Rcon(3)= 04 00 00 00
w10 = w9 ⊕ w6 = de 7e c6 61 w11 = w10 ⊕ w7 = e6 ff d3 c6	y3 ⊕ Rcon(3)= 12 66 b4 8e = z3
w11 = w10 ⊕ w/ = e6 11 d3 c6 w12 = w8 ⊕ z3 = c0 af df 39	RotWord(w15) = ae 7e c0 b1 = x4
w12 = w0 ⊕ 23 = 60 ar dr 39 w13 = w12 ⊕ w9 = 89 2f 6b 67	SubWord(x3)= e4 f3 ba c8 = y4
w14 = w13 ⊕ w10 = 57 51 ad 06	Rcon(4)= 08 00 00 00
w15 = w14 ⊕ w11 = b1 ae 7e c0	y4 ⊕ Rcon(4)= ec f3 ba c8 = 4
w16 = w12 + z4 = 2c 5c 65 fl	RotWord(w19)= 8c dd 50 43 = x5
w17 = w16 ⊕ w13 = a5 73 0e 96	SubWord(x4)= 64 cl 53 la = y5
w18 = w17 \oplus w14 = f2 22 a3 90	Rcon(5)= 10 00 00 00
w19 = w18 (w15 = 43 8c dd 50	y5 ⊕ Rcon(5)= 74 cl 53 la = z5
w20 = w16 ⊕ z5 = 58 9d 36 eb	RotWord(w23) = 40 46 bd 4c = x6
w21 = w20 ⊕ w17 = fd ee 38 7d	SubWord(x5)= 09 5a 7a 29 = y6
w22 = w21 ⊕ w18 = 0f cc 9b ed	Rcon(6)= 20 00 00 00 y6 ⊕ Rcon(6)= 29 5a 7a 29 = z6
w23 = w22 ⊕ w19 = 4c 40 46 bd w24 = w20 ⊕ z6 = 71 c7 4c c2	RotWord(w27)= a5 a9 ef cf = x7
w24 = w20 ⊕ 26 = 71 €7 46 €2 w25 = w24 ⊕ w21 = 8c 29 74 bf	SubWord(x6)= 06 d3 df 8a = y7
w26 = w25 ⊕ w21 = 60 29 74 B1	Rcon(7)= 40 00 00 00
w27 = w26 ⊕ w23 = cf a5 a9 ef	y7 ⊕ Rcon(7)= 46 d3 df 8a = z7
w28 = w24 ⊕ z7 = 37 14 93 48	RotWord(w31)= 7d al 4a f7 = x8
w29 = w28 ⊕ w25 = bb 3d e7 f7	SubWord(x7)= ff 32 d6 68 = y8
w30 = w29 ⊕ w26 = 38 d8 08 a5	Rcon(8)= 80 00 00 00
w31 = w30 ⊕ w27 = f7 7d al 4a	y8 Rcon(8)= 7f 32 d6 68 = z8
w32 = w28	RotWord(w35) = be 0b 38 3c = x9
w33 = w32 ⊕ w29 = f3 1b a2 d7	SubWord(x8)= ae 2b 07 eb = y9
w34 = w33 ⊕ w30 = cb c3 aa 72	Rcon(9) = 1B 00 00 00
w35 = w34 ⊕ w32 = 3c be 0b 38	y9 ⊕ Rcon(9)= b5 2b 07 eb = z9
w36 = w32 ⊕ z9 = fd 0d 42 cb w37 = w36 ⊕ w33 = 0e 16 e0 1c	RotWord(w39)= 6b 41 56 f9 = x10 SubWord(x9)= 7f 83 b1 99 = y10
w37 = w36 ⊕ w33 = 0e 16 e0 10 w38 = w37 ⊕ w34 = c5 d5 4a 6e	Rcon(10) = 36 00 00 00
w39 = w38 ⊕ w35 = f9 6b 41 56	y10 ⊕ Rcon(10)= 49 83 b1 99 = z10
w40 = w36 ⊕ z10 = b4 8e f3 52	
w41 = w40 ⊕ w37 = ba 98 13 4e	
w42 = w41 ⊕ w38 = 7f 4d 59 20	
w43 = w42 \oplus w39 = 86 26 18 76	

AES Example Encryption



Ctant of named	A fitner	A 64	A 64	Daniel Van
Start of round	After	After	After	Round Key
	SubBytes	ShiftRows	MixColumns	
01 89 fe 76				0f 47 0c af
23 ab dc 54				15 d9 b7 7f
45 cd ba 32				71 e8 ad 67
67 ef 98 10				c9 59 d6 98
0e ce f2 d9	ab 8b 89 35	ab 8b 89 35	ъ9 94 57 75	dc 9b 97 38
36 72 6b 2b	05 40 7f fl	40 7f fl 05	e4 8e 16 51	90 49 fe 81
34 25 17 55	18 3f f0 fc	f0 fc 18 3f	47 20 9a 3f	37 df 72 15
ae b6 4e 88	e4 4e 2f c4	c4 e4 4e 2f	c5 d6 f5 3b	b0 e9 3f a7
65 Of c0 4d	4d 76 ba e3	4d 76 ba e3	8e 22 db 12	d2 49 de e6
74 c7 e8 d0	92 c6 9b 70	c6 9b 70 92	b2 f2 dc 92	c9 80 7e ff
70 ff e8 2a	51 16 9b e5	9b e5 51 16	df 80 f7 cl	6b b4 c6 d3
75 3f ca 9c	9d 75 74 de	de 9d 75 74	2d c5 le 52	b7 5e 61 c6
5c 6b 05 f4	4a 7f 6b bf	4a 7f 6b bf	bl cl 0b cc	c0 89 57 b1
7b 72 a2 6d	21 40 3a 3c	40 3a 3c 21	ba f3 8b 07	af 2f 51 ae
b4 34 31 12	8d 18 c7 c9	c7 c9 8d 18	f9 1f 6a c3	df 6b ad 7e
9a 9b 7f 94	b8 14 d2 22	22 b8 14 d2	1d 19 24 5c	39 67 06 c0
71 48 5c 7d	a3 52 4a ff	a3 52 4a ff	d4 11 fe 0f	2c a5 f2 43
15 dc da a9	59 86 57 d3	86 57 d3 59	3b 44 06 73	5c 73 22 8c
26 74 c7 bd	f7 92 c6 7a	c6 7a f7 92	cb ab 62 37	65 0e a3 dd
24 7e 22 9c	36 f3 93 de	de 36 f3 93	19 b7 07 ec	f1 96 90 50
f8 b4 0c 4c	41 8d fe 29	41 8d fe 29	2a 47 c4 48	58 fd 0f 4c
67 37 24 ff	85 9a 36 16	9a 36 16 85	83 e8 18 ba	9d ee cc 40
ae a5 c1 ea	e4 06 78 87	78 87 e4 06	84 18 27 23	36 38 9b 46
e8 21 97 bc	9b fd 88 65	65 9b fd 88	eb 10 0a f3	eb 7d ed bd
72 ba cb 04	40 f4 1f f2	40 f4 lf f2	7b 05 42 4a	71 8c 83 cf
le 06 d4 fa	72 6f 48 2d	6f 48 2d 72	le d0 20 40	c7 29 e5 a5
b2 20 bc 65	37 b7 65 4d	65 4d 37 b7	94 83 18 52	4c 74 ef a9
00 6d e7 4e	63 3c 94 2f	2f 63 3c 94	94 c4 43 fb	c2 bf 52 ef
0a 89 cl 85	67 a7 78 97	67 a7 78 97	ec la c0 80	37 bb 38 f7
d9 f9 c5 e5	35 99 a6 d9	99 a6 d9 35	0c 50 53 c7	14 3d d8 7d
d8 f7 f7 fb	61 68 68 Of	68 Of 61 68	3b d7 00 ef	93 e7 08 a1
56 7b 11 14	bl 21 82 fa	fa bl 21 82	b7 22 72 e0	48 f7 a5 4a
db al f8 77	b9 32 41 f5	b9 32 41 f5	bl la 44 17	48 f3 cb 3c
18 6d 8b ba	ad 3c 3d f4	3c 3d f4 ad	3d 2f ec b6	26 1b c3 be
a8 30 08 4e	c2 04 30 2f	30 2f c2 04	0a 6b 2f 42	45 a2 aa 0b
ff d5 d7 aa	16 03 0e ac	ac 16 03 0e	9f 68 f3 b1	20 d7 72 38
f9 e9 8f 2b	99 le 73 fl	99 le 73 fl	31 30 3a c2	fd 0e c5 f9
1b 34 2f 08	af 18 15 30	18 15 30 af	ac 71 8c c4	0d 16 d5 6b
4f c9 85 49	84 dd 97 3b	97 3b 84 dd	46 65 48 eb	42 e0 4a 41
bf bf 81 89	08 08 0c a7	a7 08 08 0c	6a 1c 31 62	cb 1c 6e 56
cc 3e ff 3b	4b b2 16 e2	4b b2 16 e2	4b 86 8a 36	b4 8e f3 52
al 67 59 af	32 85 cb 79	85 cb 79 32	bl cb 27 5a	ba 98 13 4e
04 85 02 aa	f2 97 77 ac	77 ac f2 97	fb f2 f2 af	7f 4d 59 20
al 00 5f 34	32 63 cf 18	18 32 63 cf	cc 5a 5b cf	86 26 18 76
ff 08 69 64				.,
0b 53 34 14				
84 bf ab 8f				
4a 7c 43 b9				
,				



AES Example Avalanche

In any good cipher design, we want the avalanche effect to prevent guessing the key

A small change in plaintext or key produces a large change in the ciphertext

The table shows result when the eighth bit of the plaintext is changed

Round		Number of bits that differ
	0123456789abcdeffedcba9876543210	1
	0023456789abcdeffedcba9876543210	
0	0e3634aece7225b6f26b174ed92b5588	1
	0f3634aece7225b6f26b174ed92b5588	
1	657470750fc7ff3fc0e8e8ca4dd02a9c	20
	c4a9ad090fc7ff3fc0e8e8ca4dd02a9c	
2	5c7bb49a6b72349b05a2317ff46d1294	58
	fe2ae569f7ee8bb8c1f5a2bb37ef53d5	
3	7115262448dc747e5cdac7227da9bd9c	59
	ec093dfb7c45343d689017507d485e62	
4	f867aee8b437a5210c24c1974cffeabc	61
	43efdb697244df808e8d9364ee0ae6f5	
5	721eb200ba06206dcbd4bce704fa654e	68
	7b28a5d5ed643287e006c099bb375302	
6	0ad9d85689f9f77bc1c5f71185e5fb14	64
	3bc2d8b6798d8ac4fe36a1d891ac181a	
7	db18a8ffa16d30d5f88b08d777ba4eaa	67
	9fb8b5452023c70280e5c4bb9e555a4b	
8	f91b4fbfe934c9bf8f2f85812b084989	65
	20264e1126b219aef7feb3f9b2d6de40	
9	cca104a13e678500ff59025f3bafaa34	61
	b56a0341b2290ba7dfdfbddcd8578205	
10	ff0b844a0853bf7c6934ab4364148fb9	58
	612b89398d0600cde116227ce72433f0	

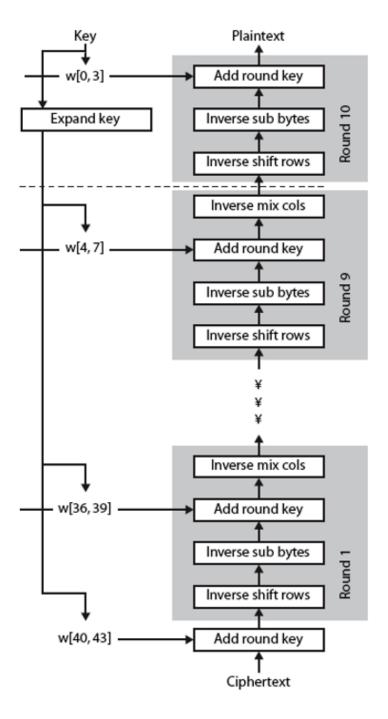
AES Decryption



- AES decryption is not identical to encryption since steps done in reverse
 - ✓ the subkeys are used in a reversed order, starting with the last subkey
 - √ a different substitution table is used
 - ✓ shifting rows is to the right direction
 - multiply by the inverse matrix in the mix columns process
- two separate software or firmware modules are needed for encryption and decryption



AES Decryption



Implementation On 8-bit CPU



- can efficiently implement on 8-bit CPU
 - byte substitution works on bytes using a table of 256 entries
 - shift rows is simple byte shift
 - add round key works on byte XOR's
 - mix columns requires matrix multiply which works on byte values
 - mix column can be simplified to use table lookups and byte XOR's & AND's

Implementation On 32-bit CPU



- can efficiently implement on 32-bit CPU
 - redefine steps to use 32-bit words
 - can precompute 4 tables of 256-words
 - then each column in each round can be computed using 4 table lookups + 4 XORs at a cost of 4Kb to store tables
- designers believe this very efficient implementation was a key factor in its selection as the AES cipher

Summary



- have considered:
 - the AES selection process
 - the details of the AES cipher
 - looked at the steps in each round
 - the key expansion
 - implementation aspects