3. User Interfaces and SQL Language*

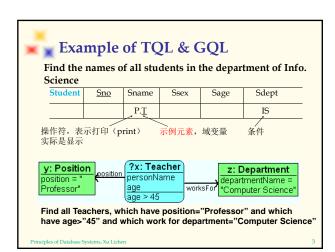


User interface of DBMS

- A DBMS must offer some interfaces to support user to access database, including:
 - ➤ Query Languages
 - ➤ Interface and maintaining tools (GUI)
 - > APIs
 - ➤ Class Library
- Query Languages
 - ➤ Formal Query Language
 - ➤ Tabular Query Language
 - ➤ Graphic Query Language
 - ➤ Limited Natural Language Query Language

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Relational Query Languages

- Query languages: Allow manipulation and retrieval of data from a database.
- Relational model supports simple, powerful OLs:
 - > Strong formal foundation based on logic.
 - > Allows for much optimization.
- Query Languages != programming languages!
 - QLs not expected to be "Turing complete".
 - > QLs not intended to be used for complex calculations.
 - > QLs support easy, efficient access to large data sets.

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Formal Relational Query Languages

- Two mathematical Query Languages form the basis for "real" languages (e.g. SQL), and for implementation:
 - ➤ <u>Relational Algebra</u>: More operational, very useful for representing execution plans.
 - <u>Relational Calculus</u>: Lets users describe what they want, rather than how to compute it. (Nonoperational, declarative.)
- The most successful relational database language --- SQL (Structured Query Language, Standard Query Language(1986))

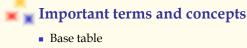
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SQL Language

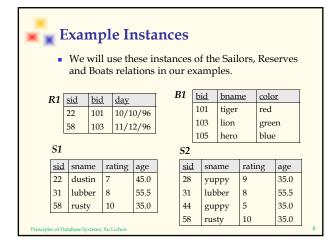
- It can be divided into four parts according to functions.
 - ➤ Data Definition Language (DDL), used to define, delete, or alter data schema.
 - ➤ Query Language (QL), used to retrieve data
 - ➤ Data Manipulation Language (DML), used to insert, delete, or update data.
 - Data Control Language (DCL), used to control user's access authority to data.
- QL and DML are introduced in detail in this chapter.

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- View
- Data type supported
- NULL
- UNIQUE
- DEFAULT
- PRIMARY KEY
- FOREIGN KEY
- CHECK (Integration Constraint)

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SELECT [DISTINCT] target-list FROM relation-list WHERE qualification

- relation-list A list of relation names (possibly with a rangevariable after each name).
- target-list A list of attributes of relations in relation-list
- qualification Comparisons combined using AND, OR and NOT.
- DISTINCT is an optional keyword indicating that the answer should not contain duplicates. Default is that duplicates are <u>not</u> eliminated!

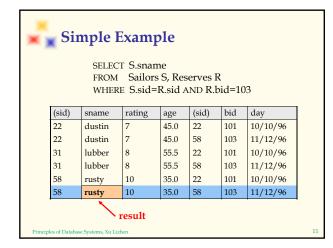
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Conceptual Evaluation Strategy

- Semantics of an SQL query defined in terms of the following conceptual evaluation strategy:
 - ➤ Compute the cross-product of *relation-list*.
 - > Discard resulting tuples if they fail qualifications.
 - ➤ Delete attributes that are not in *target-list*.
 - ▶ If **DISTINCT** is specified, eliminate duplicate rows.
- This strategy is probably the least efficient way to compute a query! An optimizer will find more efficient strategies to compute the same answers.

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 Really needed only if the same relation appears twice in the FROM clause. The previous query can also be written as:

SELECT S.sname FROM Sailors S, Reserves R WHERE S.sid=R.sid AND bid=103

OR SELECT sname

FROM Sailors, Reserves WHERE Sailors.sid=Reserves.sid AND bid=103

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It is good style, however, to use range variables always!



Find sailors who've reserved at least one boat

SELECT S.sid FROM Sailors S, Reserves R WHERE S.sid=R.sid

- Would adding DISTINCT to this query make a difference?
- What is the effect of replacing *S.sid* by S.sname in the SELECT clause? Would adding DISTINCT to this variant of the query make a



Expressions and Strings

SELECT S.age, age1=S.age-5, 2*S.age AS age2 FROM Sailors S WHERE S.sname LIKE 'B_%B'

- Illustrates use of arithmetic expressions and string pattern matching: Find triples (of ages of sailors and two fields defined by expressions) for sailors whose names begin and end with B and contain at least three characters.
- AS and = are two ways to name fields in result.
- LIKE is used for string matching. '_' stands for any one character and '%' stands for 0 or more arbitrary characters.



Find sid's of sailors who've reserved a red or a green boat

- **UNION**: Can be used to compute the union of any two union-compatible sets of tuples (which are themselves the result of SQL queries).
- If we replace OR by AND in the first version, what do we get?
- Also available: EXCEPT (What do we get if we replace UNION by EXCEPT?)

SELECT S.sid FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND (B.color='red' OR B.color='green')

SELECT S.sid FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red'

UNION SELECT S.sid

FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='green'



Find sid's of sailors who've reserved a red and a green

- **INTERSECT**: Can be used to compute the intersection of any two union-compatible sets of tuples.
- Included in the SQL/92 standard, but some systems don't support it.
- Contrast symmetry of the UNION and INTERSECT queries with how much the other versions differ.

SELECT S.sid FROM Sailors S. Boats B1, Reserves R1, Boats B2, Reserves R2 WHERE S.sid=R1.sid AND R1.bid=B1.bid AND S.sid=R2.sid AND R2.bid=B2.bid AND (B1.color='red' AND

B2.color='green') SELECT S.sid

WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red'

SELECT S.sid

FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='green'

FROM Sailors S, Boats B, Reserves R



Nested Queries

Find names of sailors who've reserved boat #103:

SELECT S.sname FROM Sailors S WHERE S.sid IN (SELECT R.sid FROM Reserves R WHERE R.bid=103)

- A very powerful feature of SQL: a WHERE clause can itself contain an SQL query! (Actually, so can FROM and HAVING clauses.)
- To find sailors who've not reserved #103, use NOT IN.
- To understand semantics of nested queries, think of a nested loops evaluation: For each Sailors tuple, check the qualification by computing the subquery.



Nested Queries with Correlation

Find names of sailors who've reserved boat #103:

SELECT S.sname FROM Sailors S WHERE EXISTS (SELECT *

FROM Reserves R WHERE R.bid=103 AND S.sid=R.sid)

- EXISTS is another set comparison operator, like IN.
- Illustrates why, in general, subquery must be re-computed for each Sailors tuple.
- How to find names of sailors who've reserved boat #103 and reserved only one time?



Nested Queries with Correlation

• Find IDs of boats which are reserved by only one sailor.

SELECT bid FROM Reserves R1 — WHERE bid NOT IN (SELECT bid FROM Reserves R2 WHERE R2.sid ¬= R1.sid)



More on Set-Comparison Operators

- We've already seen IN, EXISTS and UNIQUE. Can also use NOT IN, NOT EXISTS and NOT UNIQUE.
- Also available: op ANY, op ALL, op IN <,>,=,≤,≥,≠
- Find sailors whose rating is greater than that of some sailor called Horatio:

SELECT * FROM Sailors S WHERE S.rating > ANY (SELECT S2.rating FROM Sailors S2 WHERE S2.sname='Horatio')



💌 🕌 Rewriting INTERSECT Queries Using IN

Find sid's of sailors who've reserved both a red and a green boat:

SELECT S.sid FROM Sailors S, Boats B, Reserves R WHERE S.sid=R.sid AND R.bid=B.bid AND B.color='red'

AND S.sid IN (SELECT S2.sid FROM Sailors S2, Boats B2, Reserves R2 WHERE S2.sid=R2.sid AND R2.bid=B2.bid AND B2.color='green')

- Similarly, EXCEPT queries re-written using NOT IN.
- To find names (not sid's) of Sailors who've reserved both red and green boats, just replace S.sid by S.sname in SELECT clause. (What about INTERSECT query?)



Division in SQL

Find sailors who've reserved all boats.

Solution 1:

SELECT S.sname FROM Sailors S WHERE NOT EXISTS ((SELECT B.bid FROM Boats B) EXCEPT (SELECT R.bid FROM Reserves R WHERE R.sid=S.sid))



Division in SQL

Solution 2:

Let's do it the hard way, without EXCEPT:

SELECT S.sname

FROM Sailors S

WHERE NOT EXISTS (SELECT B.bid

FROM Boats B

WHERE NOT EXISTS (SELECT R.bid

Sailors S such that ...

FROM Reserves R WHERE R.bid=B.bid AND R.sid=S.sid))

there is no boat B without ...

a Reserves tuple showing S reserved B



Aggregate Operators

- Significant extension of relational algebra.
 - COUNT (*)
 - COUNT ([DISTINCT] A)
 - SUM ([DISTINCT] A)
 - > AVG ([DISTINCT] A)
 - MAX (A)
 - > MIN (A)
- A is single column



Examples of Aggregate Operators

SELECT COUNT (*) FROM Sailors S

SELECT COUNT (DISTINCT S.rating) FROM Sailors S

WHERE S.sname='Bob'

SELECT AVG (S.age)

SELECT AVG (DISTINCT S.age)

FROM Sailors S FROM Sailors S WHERE S.rating=10 WHERE S.rating=10

SELECT S.sname FROM Sailors S

WHERE S.rating= (SELECT MAX(S2.rating)

FROM Sailors S2)

Find name and age of the oldest sailor(s)

- The first query is illegal! (We'll look into the reason a bit later, when we discuss GROUP BY.)
- The third query is equivalent to the second query, and is allowed in the SQL/92 standard, but is not supported in some systems.

SELECT S.sname, MAX (S.age) FROM Sailors S

SELECT S.sname, S.age FROM Sailors S WHERE S.age =

> (SELECT MAX (S2.age) FROM Sailors S2)

SELECT S.sname, S.age FROM Sailors S WHERE (SELECT MAX (S2.age)

FROM Sailors S2)

= S.age



Motivation for Grouping

- So far, we've applied aggregate operators to all (qualifying) tuples. Sometimes, we want to apply them to each of several groups of tuples.
- Consider: Find the age of the youngest sailor for each rating level.
 - > In general, we don't know how many rating levels exist, and what the rating values for these levels are!
 - Suppose we know that rating values go from 1 to 10; we can write 10 queries that look like this (!):

For i = 1, 2, ..., 10:

SELECT MIN (S.age) FROM Sailors S WHERE S.rating = i

Queries With GROUP BY and HAVING

[DISTINCT] target-list SELECT FROM relation-list WHERE qualification GROUP BY grouping-list HAVING group-qualification

- The target-list contains
 - (i) attribute names
 - ➤ (ii) terms with aggregate operations (e.g., MIN (S.age)).
- The attribute list (i) must be a subset of *grouping-list*. Intuitively, each answer tuple corresponds to a group, and these attributes must have a single value per group. (A group is a set of tuples that have the same value for all attributes in grouping-list.)



Conceptual Evaluation

- The cross-product of *relation-list* is computed, tuples that fail qualification are discarded, 'unnecessary' fields are deleted, and the remaining tuples are partitioned into groups by the value of attributes in grouping-list.
- The *group-qualification* is then applied to eliminate some groups. Expressions in group-qualification must have a single value per group!
 - ➤ In fact, an attribute in *group-qualification* that is not an argument of an aggregate op also appears in grouping-list. (SQL does not exploit primary key semantics here!)
- One answer tuple is generated per qualifying group.



Find age of the youngest sailor with age \geq 18, for each rating with at least 2 such sailors

SELECT S.rating, MIN (S.age) AS minage FROM Sailors S

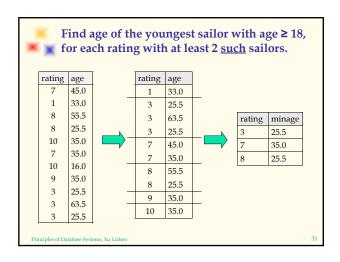
WHERE S.age >= 18 GROUP BY S.rating HAVING COUNT (*) > 1

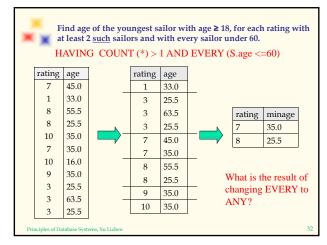
Answer relation:

rating	minage
3	25.5
7	35.0
8	25.5

Sailors instance:

sid	sname	rating	age
22	dustin	7	45.0
29	brutus	1	33.0
31	lubber	8	55.5
32	andy	8	25.5
58	rusty	10	35.0
64	horatio	7	35.0
71	zorba	10	16.0
74	horatio	9	35.0
85	art	3	25.5
95	bob	3	63.5
96	frodo	3	25.5







For each red boat, find the number of reservations for this boat

SELECT B.bid, COUNT (*) AS scount FROM Boats B, Reserves R WHERE R.bid=B.bid AND B.color='red' GROUP BY B.bid

- Grouping over a join of two relations.
- What do we get if we remove *B.color='red'* from the WHERE clause and add a HAVING clause with this condition?



Find age of the youngest sailor with age > 18, for each rating with at least 2 sailors (of any age)

SELECT S.rating, MIN (S.age) FROM Sailors S WHERE S.age > 18 GROUP BY S rating HAVING 1 < (SELECT COUNT (*) FROM Sailors S2

minage 25.5 3 35.0 25.5 35.5 WHERE S2.rating = S.rating)

- Shows HAVING clause can also contain a sub-query.
- Compare this with the query where we considered only ratings with 2 sailors over 18!
- What if HAVING clause is replaced by: > HAVING COUNT(*) >1



Find those ratings for which the average age is the minimum over all ratings

Aggregate operations cannot be nested! WRONG:

SELECT S.rating FROM Sailors S WHERE S.age = (SELECT MIN (AVG (S2.age)) FROM Sailors S2)

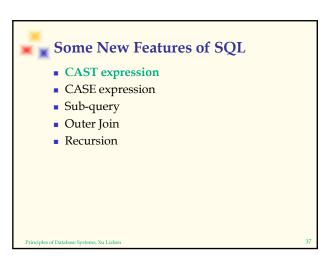
• Correct solution (in SQL/92):

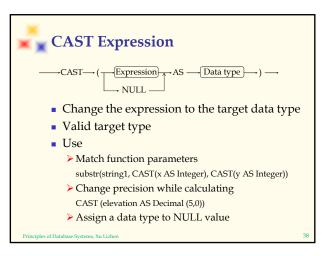
SELECT Temp.rating FROM (SELECT S.rating, AVG (S.age) AS avgage FROM Sailors S GROUP BY S.rating) AS Temp WHERE Temp.avgage = (SELECT MIN (Temp.avgage) FROM Temp)

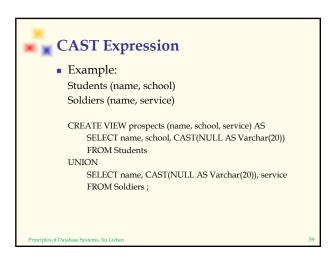


Null Values

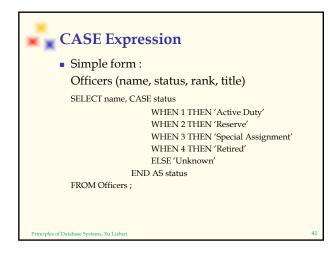
- Field values in a tuple are sometimes unknown (e.g., a rating has not been assigned) or inapplicable (e.g., no spouse's name).
 - > SQL provides a special value *null* for such situations.
- The presence of *null* complicates many issues. E.g.:
 - Special operators needed to check if value is/is not null.
 - ▶ Is rating>8 true or false when rating is equal to null? What about AND, OR and NOT connectives?
 - We need a 3-valued logic (true, false and unknown).
 - Meaning of constructs must be defined carefully. (e.g., WHERE clause eliminates rows that don't evaluate to true.)
 - ➤ New operators (in particular, *outer joins*) possible/needed.

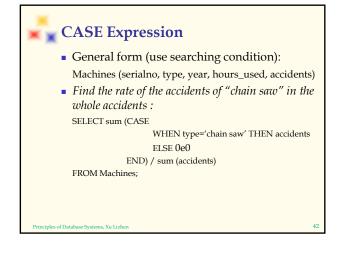














CASE Expression

• Find the average accident rate of every kind of equipment:

SELECT type, CASE

WHEN sum(hours_used)>0 THEN sum(accidents)/sum(hours_used) ELSE NULL

END AS accident_rate

FROM Machines GROUP BY type;

(Because some equipments maybe not in use at all, their hours_used is 0. Use CASE can prevent the expression divided by 0.)



CASE Expression

Compared with

SELECT type, sum(accidents)/sum(hours_used) FROM Machines GROUP BY type HAVING sum(hours_used)>0;



Some New Features of SQL

- CAST expression
- CASE expression
- Sub-query
- Outer Join
- Recursion



Sub-query

- Embedded query & embedded query with correlation
- The functions of sub-queries have been enhanced in new SQL standard. Now they can be used in SELECT and FROM clause
 - ➤ Scalar sub-query
 - ➤ Table expression
 - Common table expression



Scalar Sub-query

- The result of a sub-query is a single value. It can be used in the place where a value can occur.
- Find the departments whose average bonus is higher than average salary:

SELECT d.deptname, d.location FROM dept AS d WHERE (SELECT avg(bonus) FORM emp

WHERE deptno=d.deptno)

> (SELECT avg(salary)

FORM emp

WHERE deptno=d.deptno)



Scalar Sub-query

List the deptno, deptname, and the max salary of all departments located in New York:

SELECT d.deptno, d.deptname, (SELECT MAX (salary)

FROM emp

WHERE deptno=d.deptno) AS maxpay

WHERE d.location = 'New York';

FROM dept AS d



Table Expression

• The result of a sub-query is a table. It can be used in the place where a table can occur.

SELECT startyear, avg(pay)
FROM (SELECT name, salay+bonus AS pay,
year(startdate) AS startyear
FROM emp) AS emp2
GROUP BY startyear,

• Find departments whose total payment is greater than

SELECT deptno, totalpay
FROM (SELECT deptno, sum(salay)+sum(bonus) AS totalpay
FROM emp
GROUP BY deptno) AS payroll
WHERE totalpay>200000;

• Table expressions are temporary views in fact.

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Common Table Expression

- In some complex query, a table expression may need occurring more than one time in the same SQL statements. Although it is permitted, the efficiency is low and there maybe inconsistency problem.
- WITH clause can be used to define a common table expression. In fact, it defines a temporary view.
- Find the department who has the highest total payment:

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Common Table Expression

• Find the department who has the highest total payment:

WITH payroll (deptno, totalpay) AS

(SELECT deptno, sum(salary)+sum(bonus)
FROM emp
GROUP BY deptno)

SELECT deptno
FROM payroll

WHERE totalpay = (SELECT max(totalpay)
FROM payroll);

 Common table expression mainly used in queries which need multi level focuses.

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Common Table Expression

• Find department pairs, in which the first department's average salary is more than two times of the second one's:

WITH deptayg (deptno, avgsal) AS
(SELECT deptno, avg(salary)
FROM emp
GROUP BY deptno)
SELECT d1 deptno, d1 avgsal, d2 de

SELECT d1.deptno, d1.avgsal, d2.deptno, d2.avgsal FROM deptavg AS d1, deptavg AS d2 WHERE d1.avgsal>2*d2.avgsal;

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Some New Features of SQL

- CAST expression
- CASE expression
- Sub-query
- Outer Join
- Recursion

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Outer Join

Teacher (name, rank) Course (subject, enrollment, quarter, teacher)

WITH

innerjoin(name, rank, subject, enrollment) AS (SELECT t.name, t.rank, c.subject, c.enrollment FROM teachers AS t, courses AS c WHERE t.name=c.teacher AND c.quarter="Fall 96"),

teacher-only(name, rank) AS (SELECT name, rank

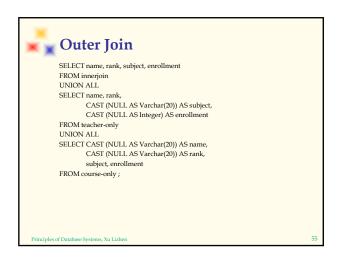
(SELECT name, rank) AS (SELECT name, rank FROM teachers EXCEPT ALL

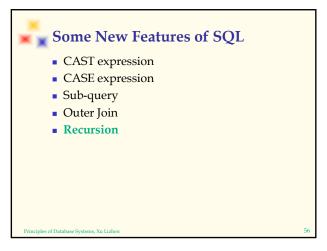
SELECT name, rank FROM innerjoin),

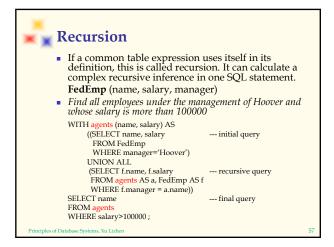
rse-only(subject, enrollment) AS (SELECT subject, enrollment

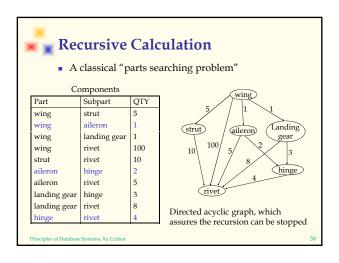
FROM courses EXCEPT ALL SELECT subject, enrollment FROM innerjoin)

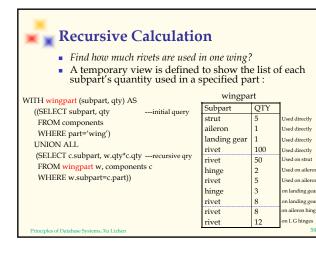
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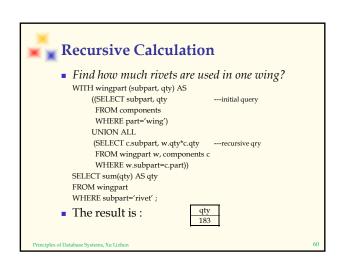


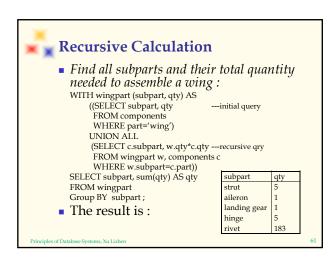


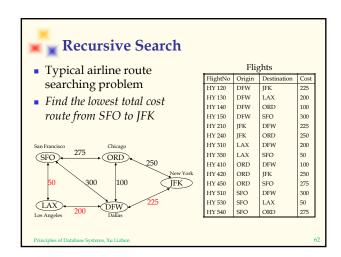


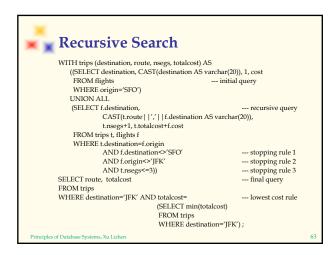


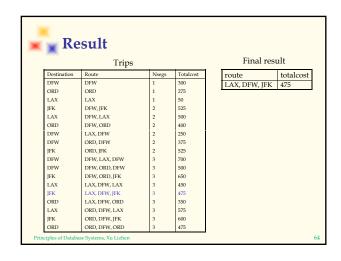


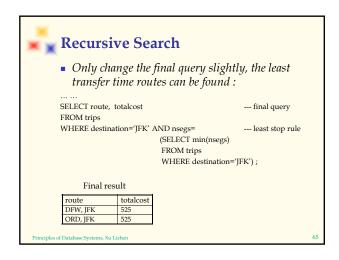


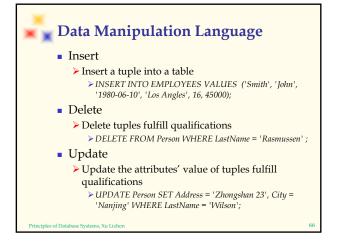














View in SQL

- General view
 - Virtual tables derived from base tables
 - ➤ Logical data independence
 - Security of data
 - ➤ Update problems of view
- Temporary view and recursive query
 - > WITH
 - > RECURSIVE

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Update problems of view

 CREATE VIEW YoungSailor AS SELECT sid, sname, rating FROM Sailors WHERE age<26;

 CREATE VIEW Ratingavg AS SELECT rating, AVG(age) FROM Sailors GROUP BY rating;

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Embedded SQL

- In order to access database in programs, and take further process to the query results, need to combine SQL and programming language (such as C / C++, etc.)
- Problems should be solved:
 - ➤ How to accept SQL statements in programming language
 - ➤ How to exchange data and messages between programming language and DBMS
 - ➤ The query result of DBMS is a set, how to transfer it to the variables in programming language
 - ➤ The data type of DBMS and programming language may not the same exactly.

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▼ General Solutions

- Embedded SQL
 - ➤ The most basic method. Through pre-compiling, transfer the embedded SQL statements to inner library functions call to access database.
- Programming APIs
 - Offer a set of library functions or DLLs to programmer directly, linking with application program while compiling.
- Class Library
 - Supported after emerging of OOP. Envelope the library functions to access database as a set of class, offering easier way to treat database in programming language.

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Usage of Embedded SQL (in C)

- SQL statements can be used in C program directly:
 - ➤ Begin with EXEC SQL, end with ';'
 - Though host variables to transfer information between C and SQL. Host variables should be defined begin with EXEC SQL.
 - ➤ In SQL statements, should add ':' before host variables to distinguish with SQL's own variable or attributes' name.
 - In host language (such as C), host variables are used as general variables.
 - Can't define host variables as Array or Structure.
 - ➤ A special host variable, SQLCA (SQL Communication Area) EXEC SQL INCLUDE SQLCA
 - > Use SQLCA.SQLCODE to justify the state of result.
 - ➤ Use indicator (short int) to treat NULL in host language.

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Example of host variables defining

EXEC SQL BEGIN DECLARE SECTION;

char SNO[7];

char GIVENSNO[7];

char CNO[6];

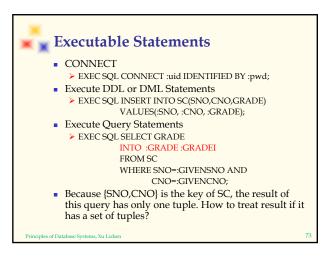
char GIVENCNO[6];

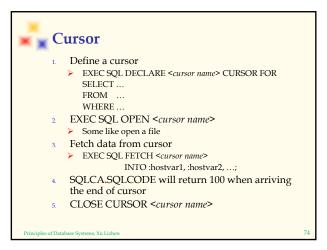
float GRADE;

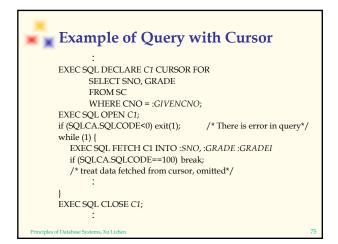
short GRADEI; /*indicator of GRADE*/

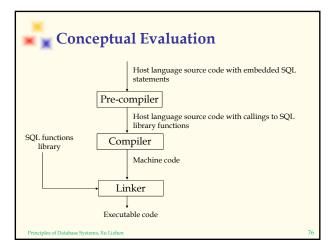
EXEC SQL END DECLARE SECTION;

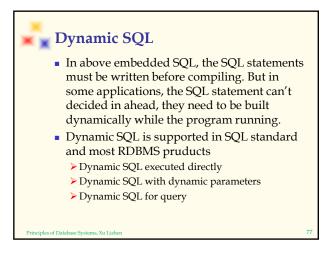
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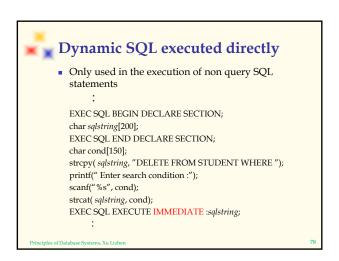












```
Dynamic SQL with dynamic parameters

■ Only used in the execution of non query SQL statements. Use place holder to realize dynamic parameter in SQL statement. Some like the macro processing method in C.

:

EXEC SQL BEGIN DECLARE SECTION; char sqlstring[200]; int birth_year;

EXEC SQL END DECLARE SECTION; strcpy(sqlstring, "DELETE FROM STUDENT WHERE YEAR(BDATE) <= :y; "); printf(" Enter birth year for delete :"); scanf("%d", &birth_year);

EXEC SQL PREPARE PURGE FROM :sqlstring; EXEC SQL EXECUTE PURGE USING :birth_year; :

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```

