



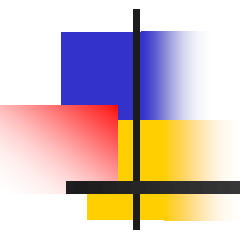
# 操作系统原理及应用

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# **Chapter 11 File System Implementation**

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# Outline

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- **File-System Structure**
- **File-System Implementation**
- **Directory Implementation**
- **Allocation Methods**
- **Free-Space Management**
- **Efficiency and Performance**
- **Recovery**
- **Log-Structured File Systems**
- **NFS**



# **File-System Structure**

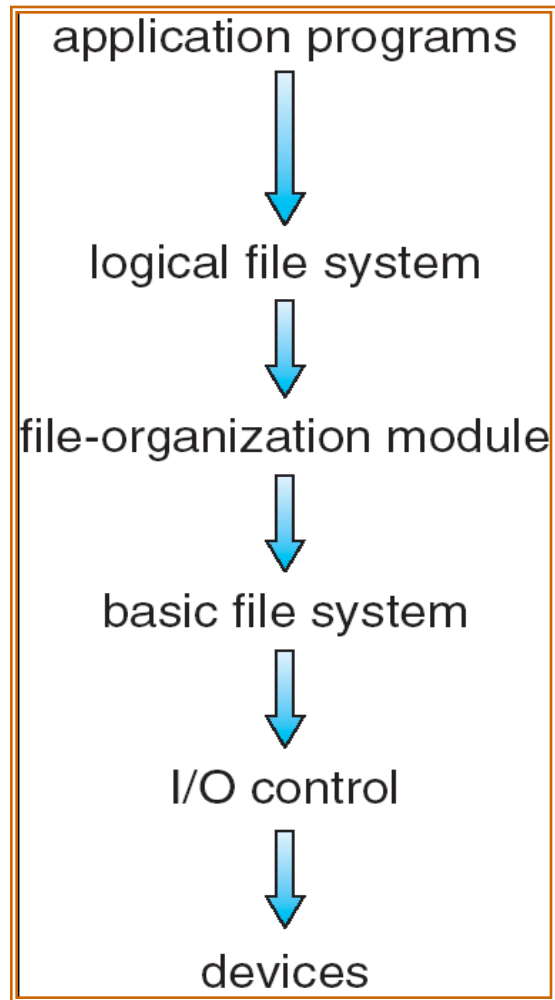
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- **File structure**
  - **Logical storage unit**
  - **Collection of related information**
- **File system resides on secondary storage (disks)**
- **File system organized into layers**



# Layered File System

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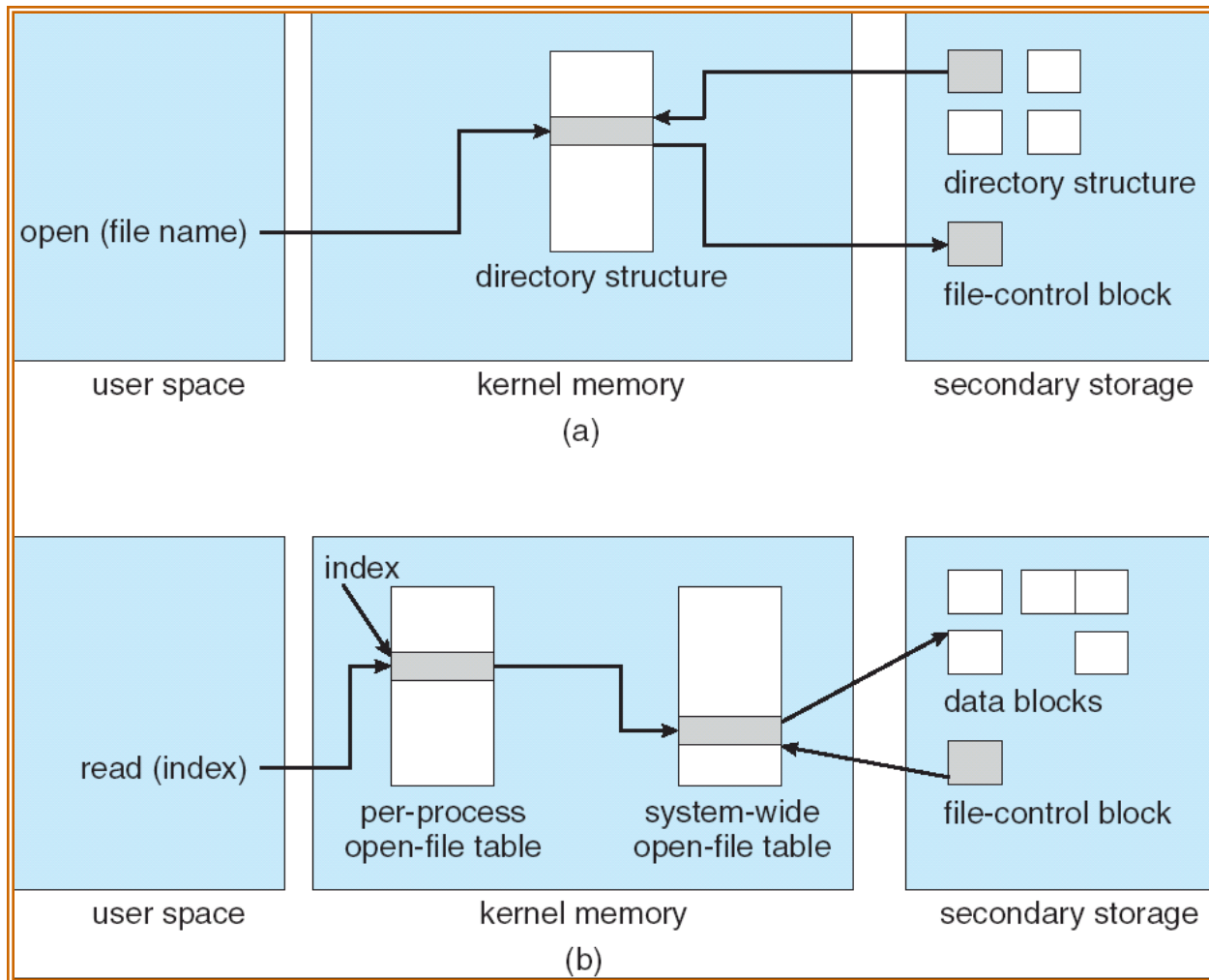
# A Typical File Control Block

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- **File control block** – storage structure consisting of information about a file.

file permissions
file dates (create, access, write)
file owner, group, ACL
file size
file data blocks or pointers to file data blocks

# In-Memory File System Structures





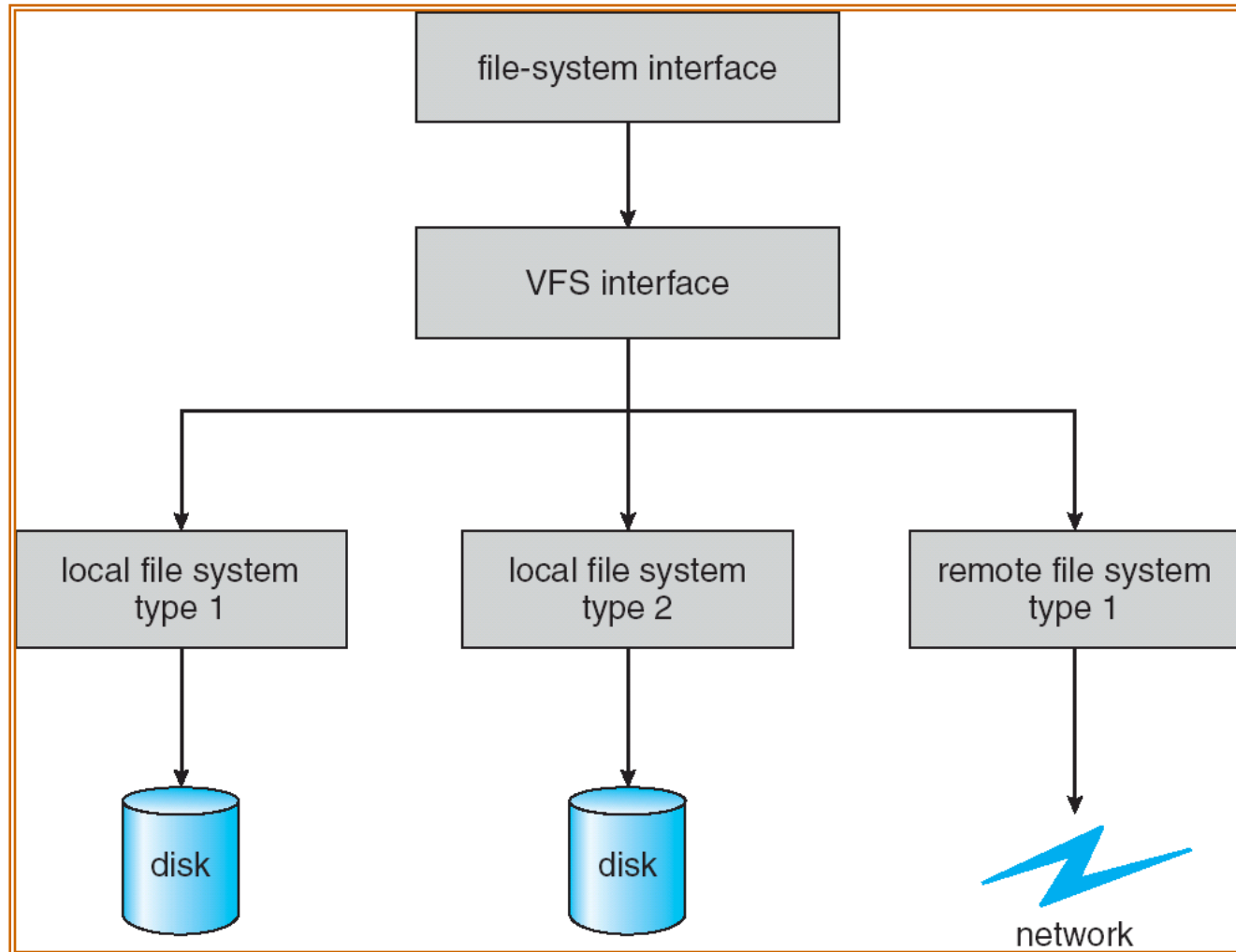


# Virtual File Systems

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- **Virtual File Systems (VFS) provide an object-oriented way of implementing file systems.**
- **VFS allows the same system call interface (the API) to be used for different types of file systems.**
- **The API is to the VFS interface, rather than any specific type of file system.**

# Schematic View of Virtual File System





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# Directory Implementation

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- **Linear list** of file names with pointer to the data blocks.
  - simple to program
  - time-consuming to execute
- **Hash Table** – linear list with hash data structure.
  - decreases directory search time
  - *collisions* – situations where two file names hash to the same location



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# Allocation Methods

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- **An allocation method refers to how disk blocks are allocated for files**
  - **Contiguous allocation**
  - **Linked allocation**
  - **Indexed allocation**

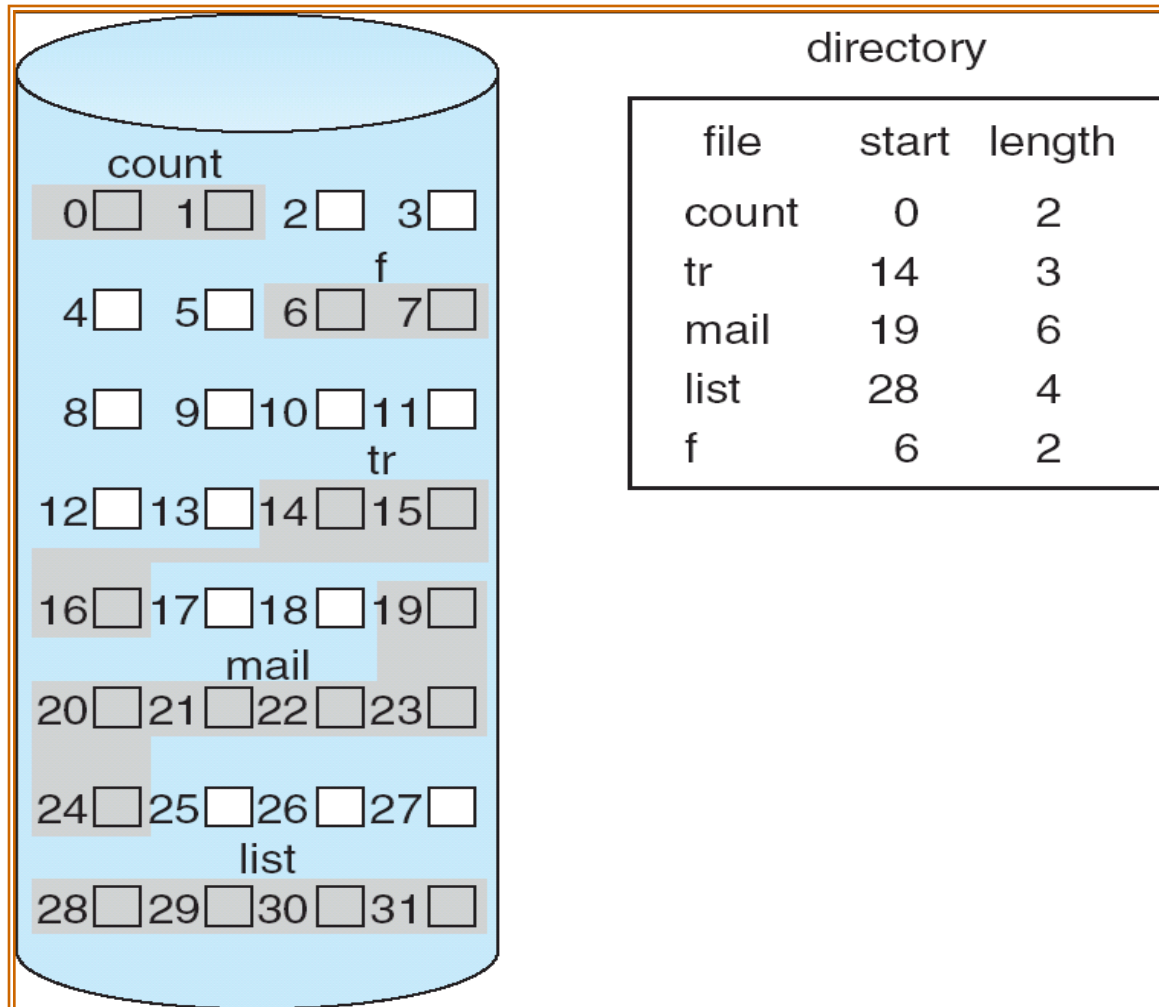


# Contiguous Allocation

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- Each file occupies a set of contiguous blocks on the disk.
- Simple – only starting location (block #) and length (number of blocks) are required.
- Random access (Sequential + Direct)
- Wasteful of space (dynamic storage-allocation problem).
- Files cannot grow.

# Contiguous Allocation of Disk Space







# Extent-Based Systems

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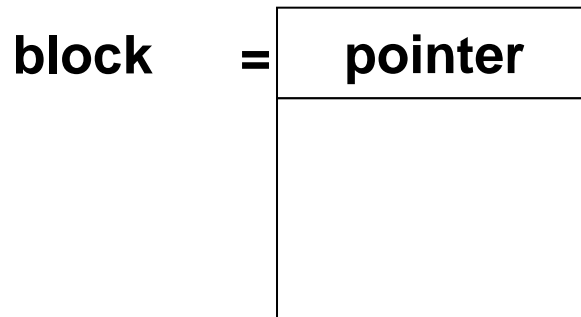
- Many newer file systems (I.e. Veritas File System) use a modified contiguous allocation scheme.
- Extent-based file systems allocate disk blocks in **extents**.
- An extent is a contiguous block of disks.
  - Extents are allocated for file allocation.
  - A file consists of one or more extents.



# Linked Allocation

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- Each file is a linked list of disk blocks
- Blocks may be scattered anywhere on the disk.



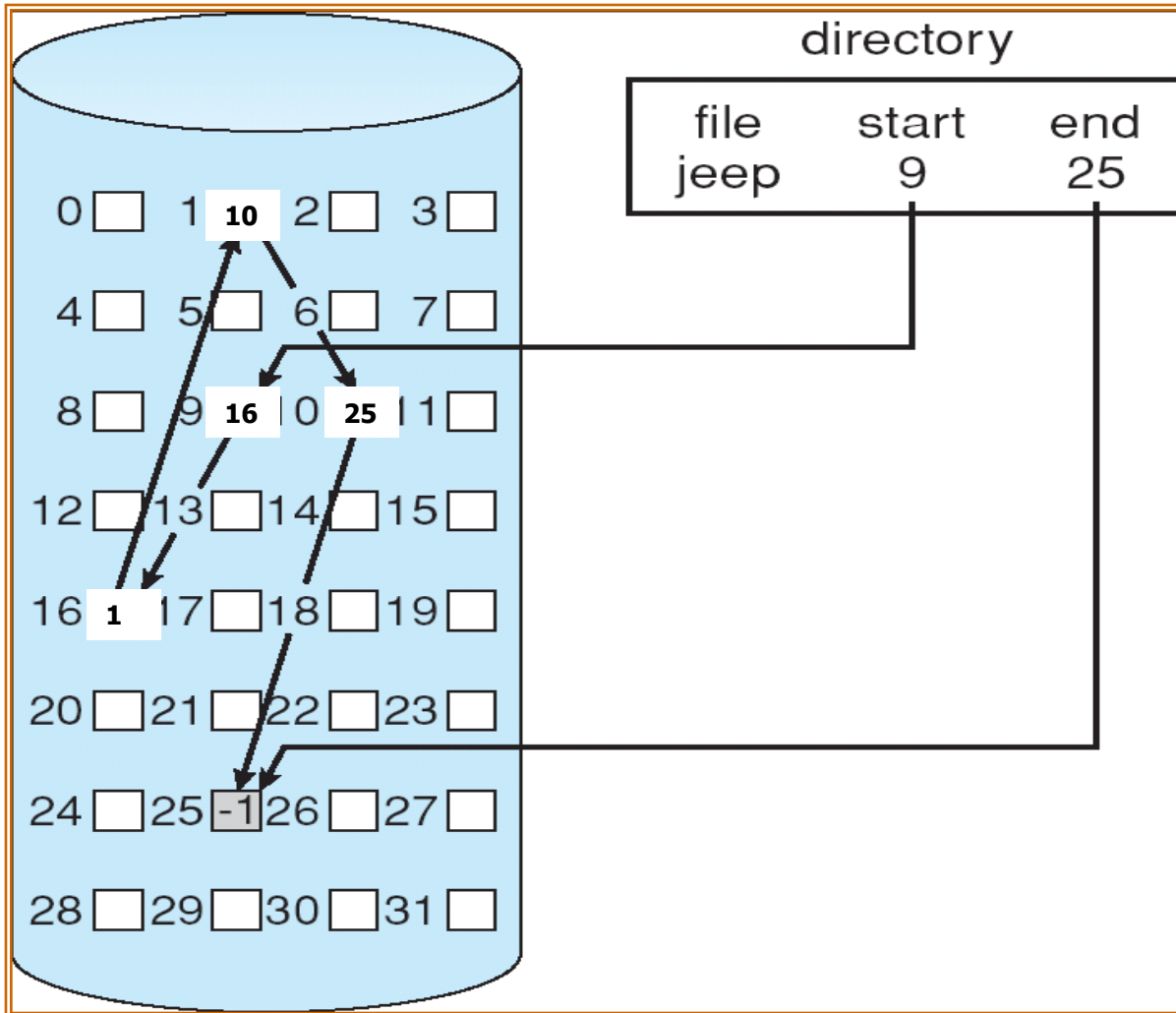


# Linked Allocation

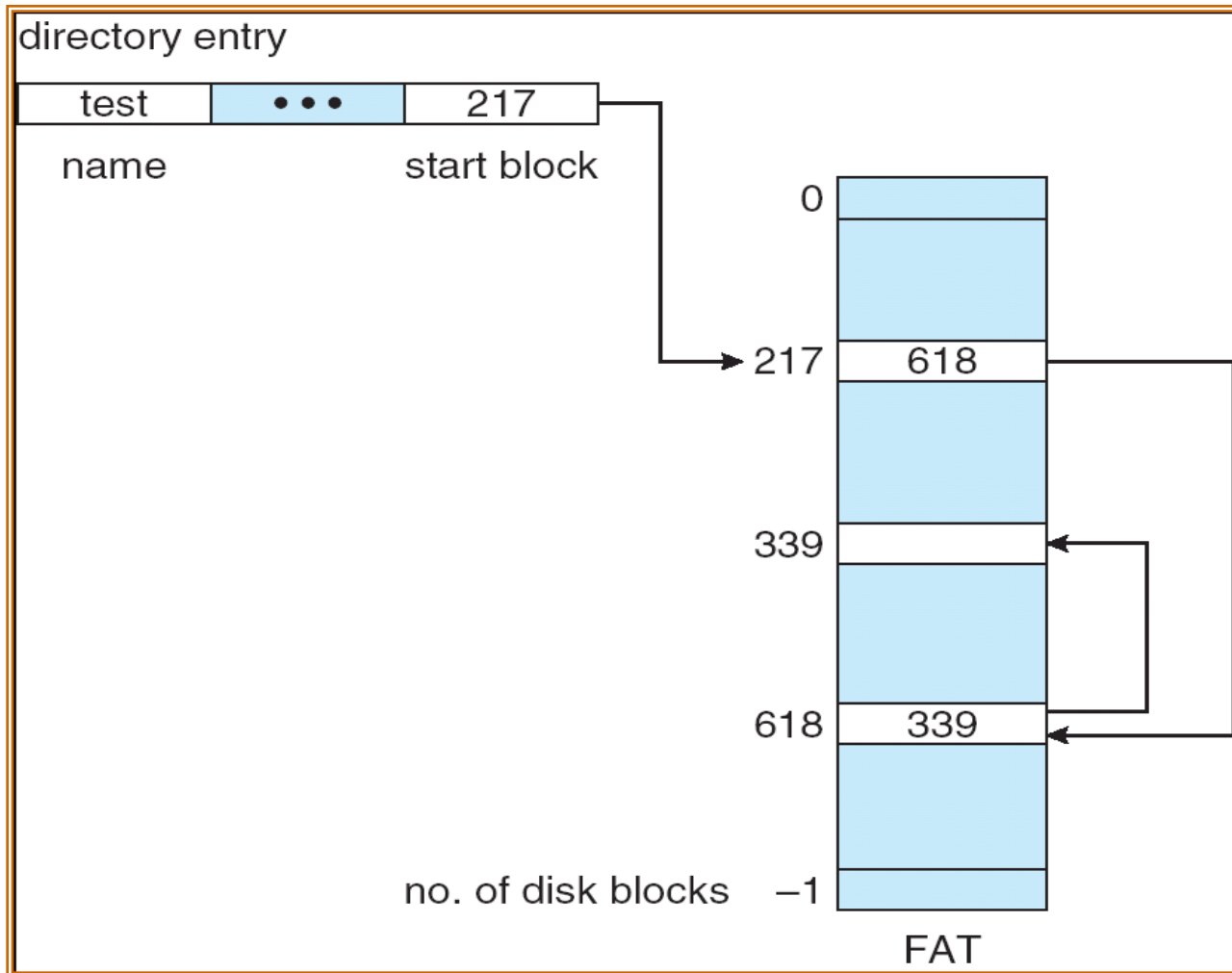
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- **Simple – need only starting address and end address**
- **Free-space management system – no waste of space**
- **Files can grow**
- **No random access**
- **Each block contains a pointer, wasting space**
- **Blocks scatter everywhere and a large number of disk seeks may be necessary**
- **Reliability: what if a pointer is lost or damaged?**

# Linked Allocation

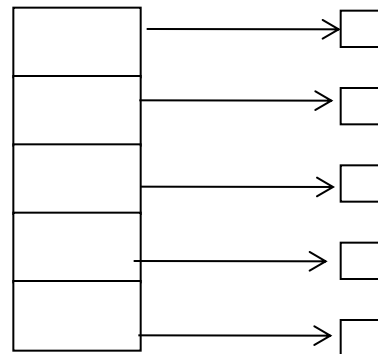


# File-Allocation Table



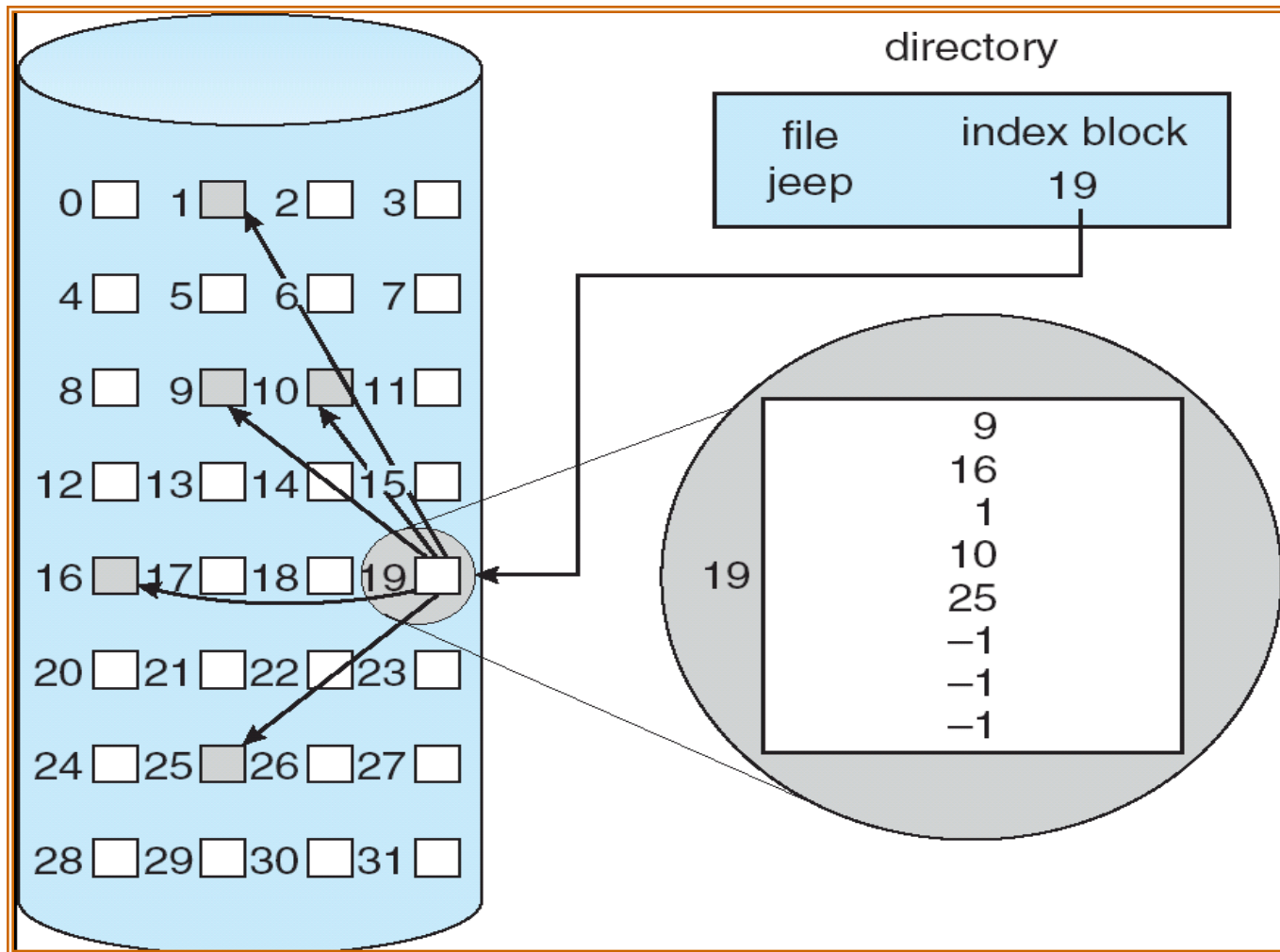
# Indexed Allocation

- Brings all pointers together into the *index block*.
- A file's directory entry contains a pointer to its index. Hence, the index block of an indexed allocation plays the same role as the page table.
- Logical view.



index table

# Example of Indexed Allocation





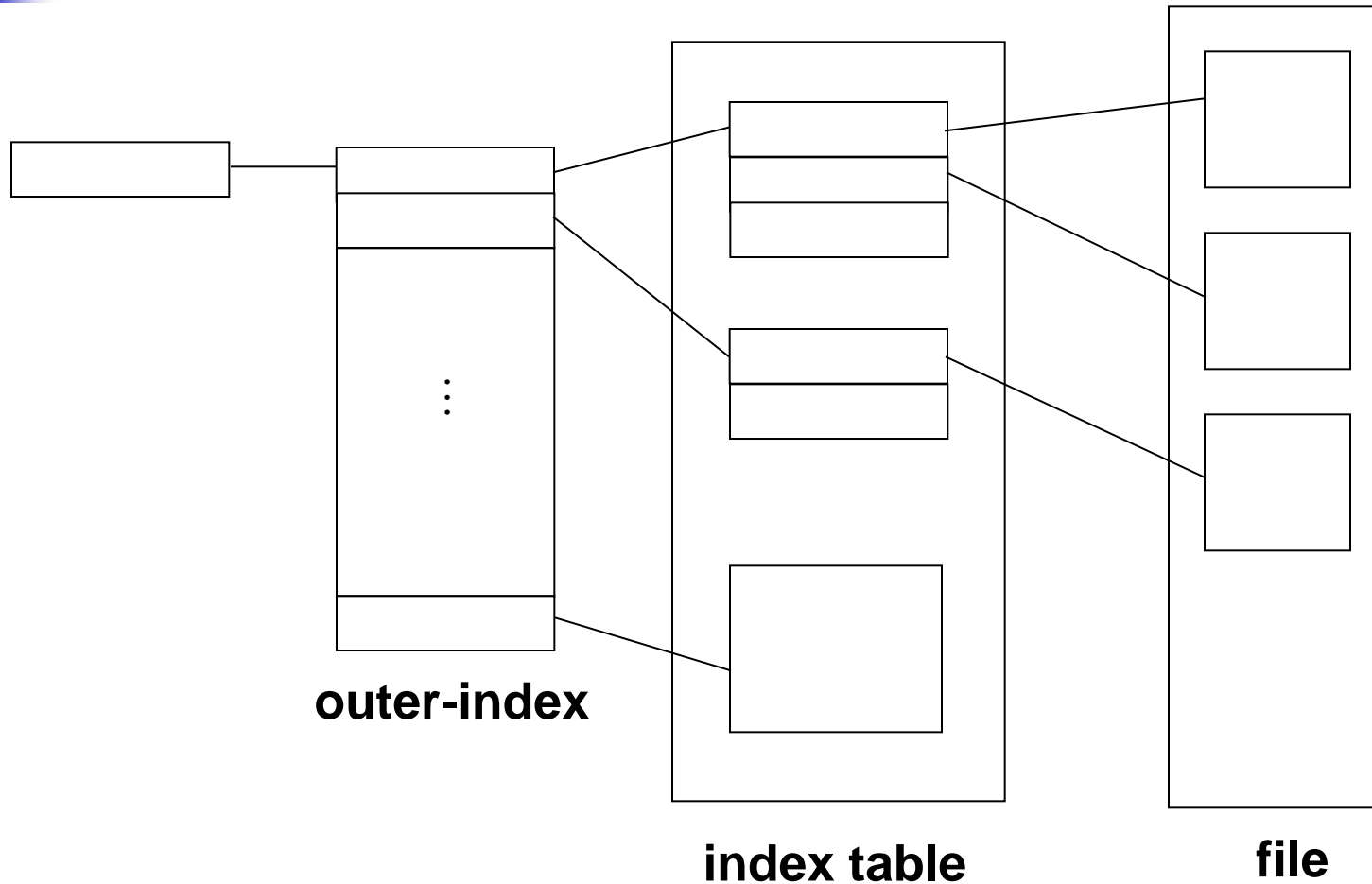
# Indexed Allocation

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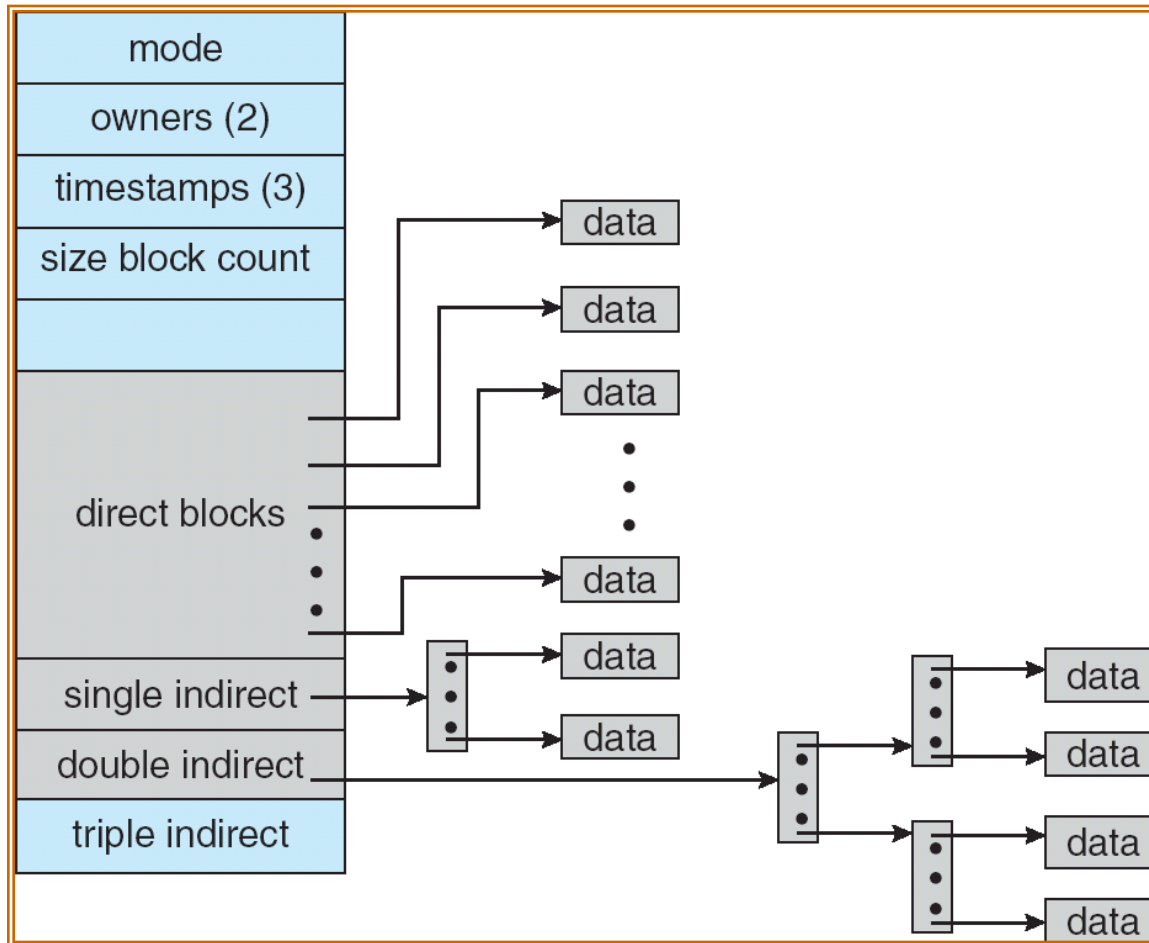
- Random access
- The indexed allocation suffers from wasted space. The index block may not be fully used (i.e., internal fragmentation).
- The number of entries of an index table determines the size of a file. To overcome this problem, we can have
  - multiple index blocks, chain them into a **linked-list**
  - multiple index blocks, but make them a tree just like the indexed access method (**Multilevel**)
  - A combination of both



# Indexed Allocation



# Combined Scheme: UNIX (4K bytes per block)





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# Free-Space Management

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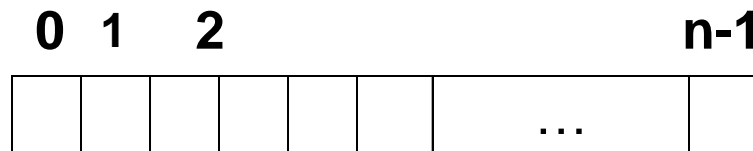
- How do we keep track free blocks on a disk?
- A **free-space list** is maintained. When a new block is requested, we search this list to find one.
- The following are commonly used techniques
  - Bit Vector
  - Linked List
  - Linked List + Grouping
  - Linked List+Address+Count



# Bit vector

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- **Bit vector ( $n$  blocks)**



$$\text{bit}[i] = \begin{cases} 1 \Rightarrow \text{block}[i] \text{ free} \\ 0 \Rightarrow \text{block}[i] \text{ occupied} \end{cases}$$

## Block number calculation

(number of bits per word) \*  
(number of 0-value words) +  
offset of first 1 bit



# Bit vector

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- Bit map requires extra space.

**Example:**

**block size =  $2^{12}$  bytes (4K byte)**

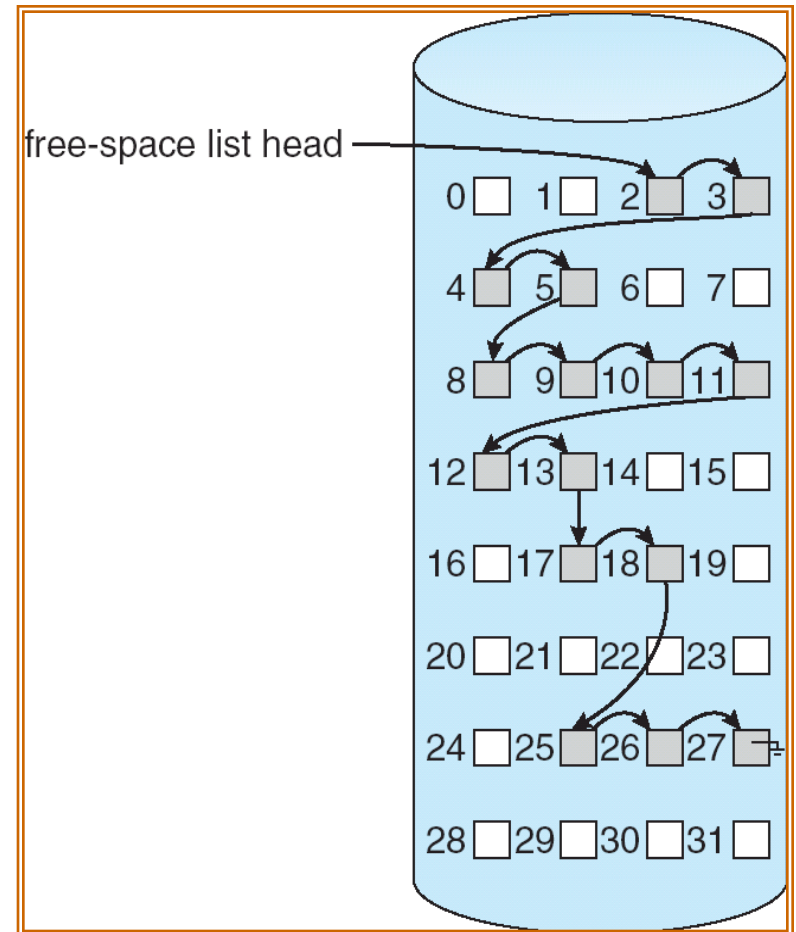
**disk size =  $2^{30}$  bytes (1 gigabyte)**

**$n = 2^{30}/2^{12} = 2^{18}$  bits (or 32K bytes)**

- Easy to get contiguous files

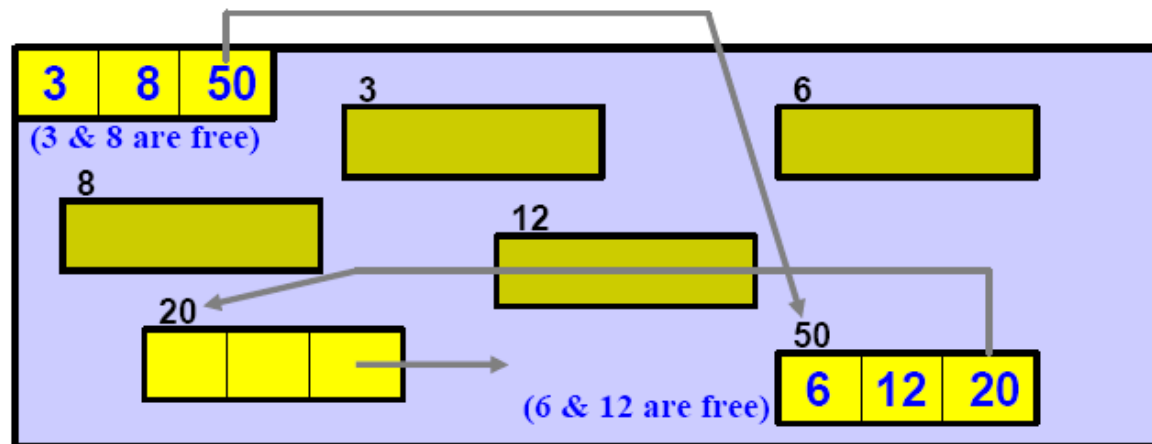
# Linked List

- **Linked list**
  - **Cannot get contiguous space easily**
  - **No waste of space**



# Grouping

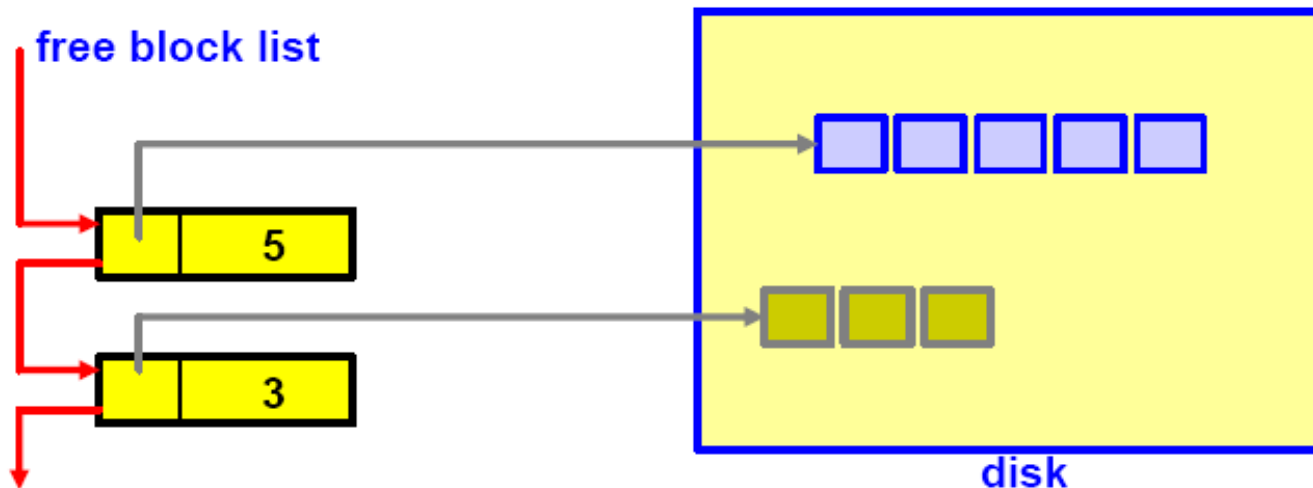
- The first free block contains the addresses of  $n$  other free blocks.
- For each group, the first  $n-1$  blocks are actually free and the last (i.e.,  $n$ -th) block contains the addresses of the next group.
- In this way, we can quickly locate free blocks.





# Address counting

- We can make the list short with the following trick
  - Blocks are often allocated and freed in groups
  - We can store the address of the first free block and the number of the following n free blocks.





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# Efficiency and Performance

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- **Efficiency dependent on**
  - disk allocation and directory management algorithms
  - types of data kept in file's directory entry
- **Performance**
  - disk cache – separate section of main memory for frequently used blocks
  - free-behind (马上释放) and read-ahead (预先读取) – techniques to **optimize sequential access**
  - improve PC performance by dedicating section of memory as virtual disk, or RAM disk

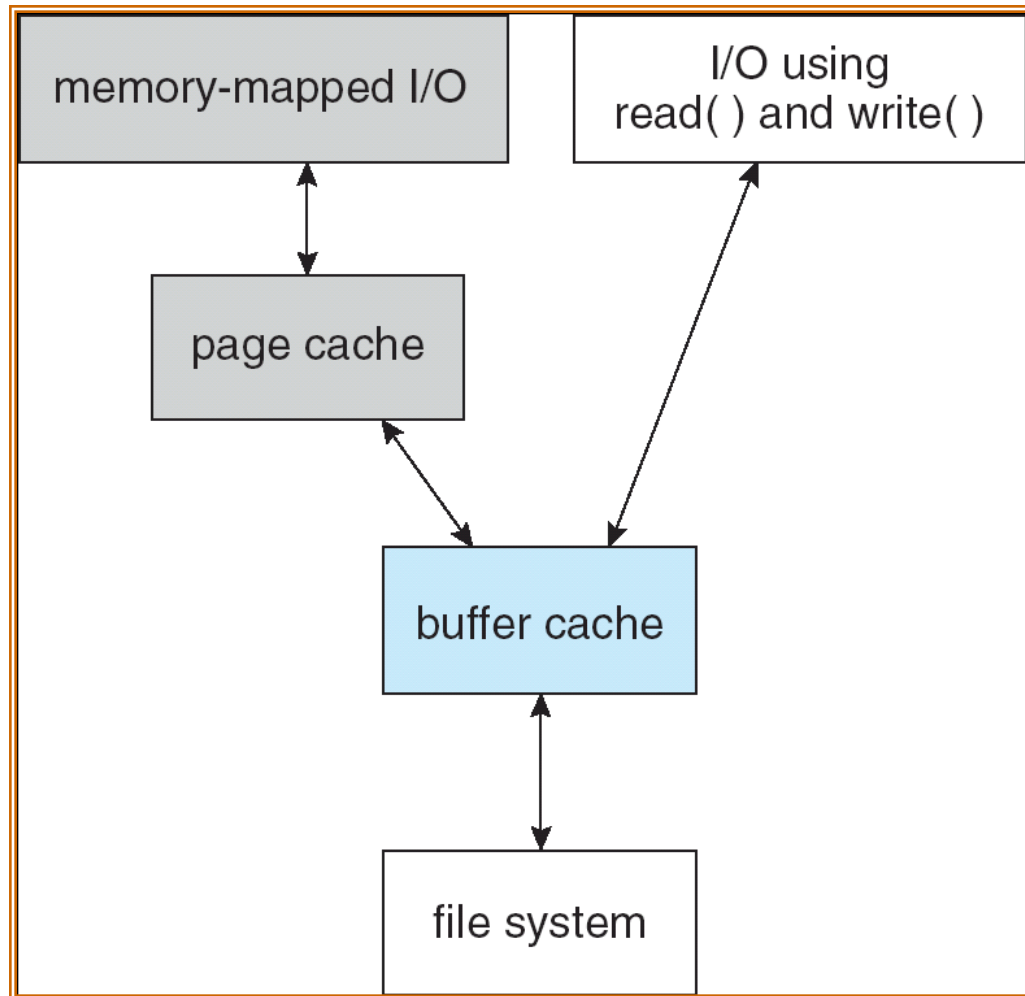


# Page Cache

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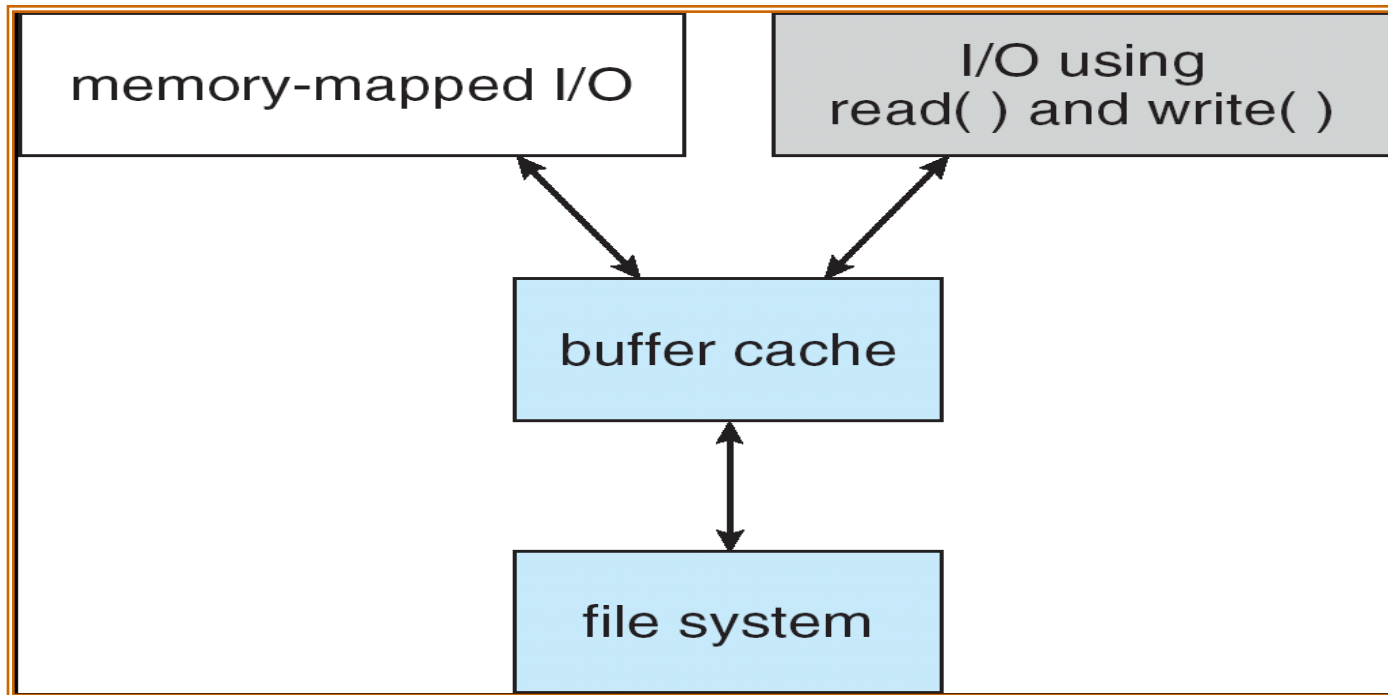
- A **page cache** caches pages rather than disk blocks using virtual memory techniques
- Memory-mapped I/O uses a page cache
- Routine I/O through the file system uses the buffer (disk) cache
- This leads to the following figure

# I/O Without a Unified Buffer Cache



# Unified Buffer Cache

- A **unified buffer cache** uses the same page cache to cache both memory-mapped pages and ordinary file system I/O





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# Recovery

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- **Consistency checking** – compares data in directory structure with data blocks on disk, and tries to fix inconsistencies
- Use system programs to **back up** data from disk to another storage device (floppy disk, magnetic tape, other magnetic disk, optical)
- **Recover** lost file or disk by restoring data from backup





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# Log Structured File Systems

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- **Log structured** (or journaling) file systems record each update to the file system as a **transaction**
- **All transactions are written to a log**
  - A transaction is considered **committed** once it is written to the log
  - However, the file system may not yet be updated



# Log Structured File Systems

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- **The transactions in the log are asynchronously written to the file system**
  - **When the file system is modified, the transaction is removed from the log**
- **If the file system crashes, all remaining transactions in the log must still be performed**



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# The Sun Network File System (NFS)

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- **An implementation and a specification of a software system for accessing remote files across LANs (or WANs)**
- **The implementation is part of the Solaris and SunOS operating systems running on Sun workstations using an unreliable datagram protocol (UDP/IP protocol and Ethernet)**



# NFS

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- **Interconnected workstations viewed as a set of independent machines with independent file systems, which allows sharing among these file systems in a transparent manner**
  - **A remote directory is mounted over a local file system directory**
    - **The mounted directory looks like an integral subtree of the local file system, replacing the subtree descending from the local directory**



# NFS

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- **Specification of the remote directory for the mount operation is nontransparent; the host name of the remote directory has to be provided**
  - **Files in the remote directory can then be accessed in a transparent manner**
- **Subject to access-rights accreditation, potentially any file system (or directory within a file system), can be mounted remotely on top of any local directory**



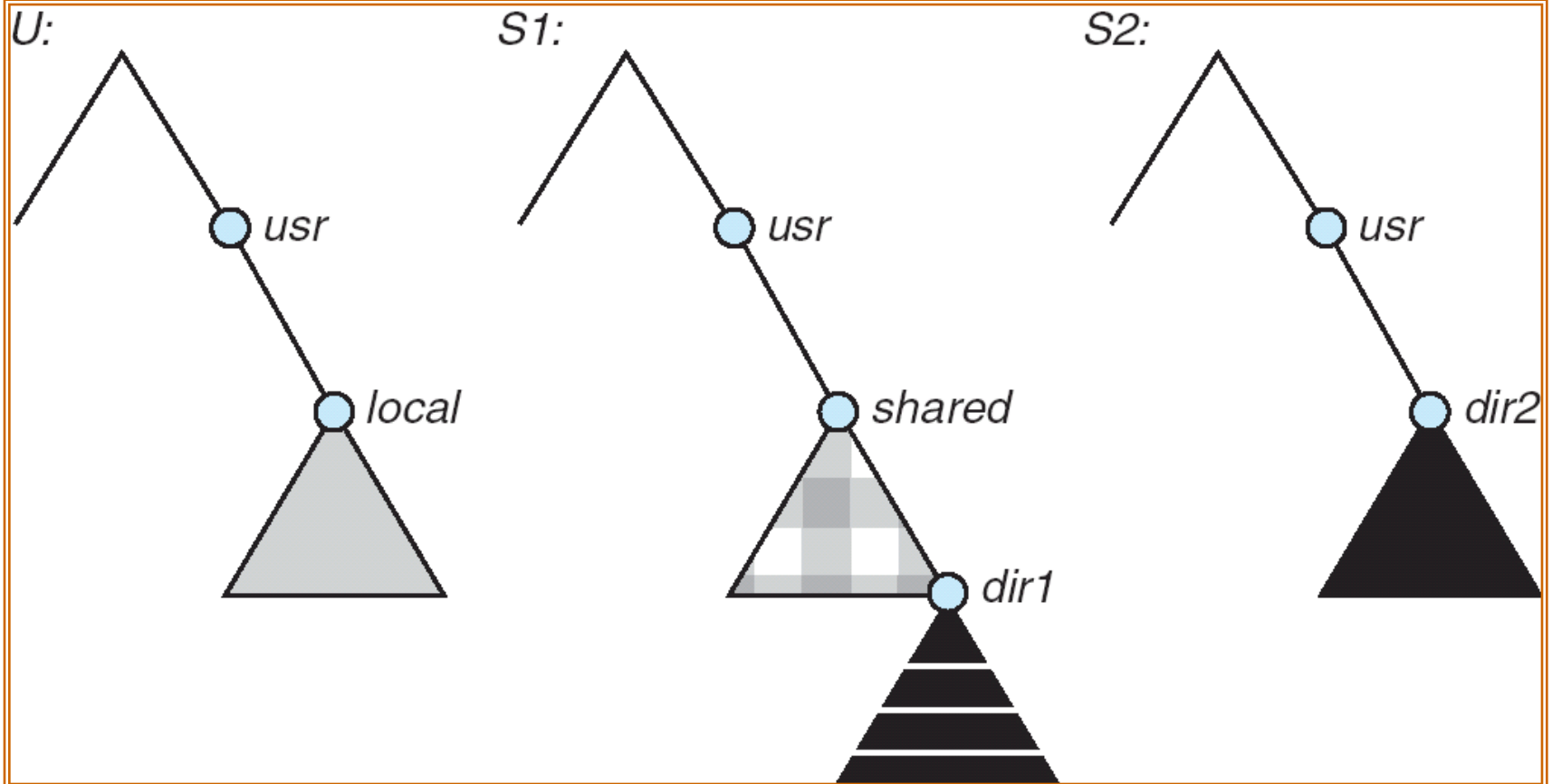
# NFS

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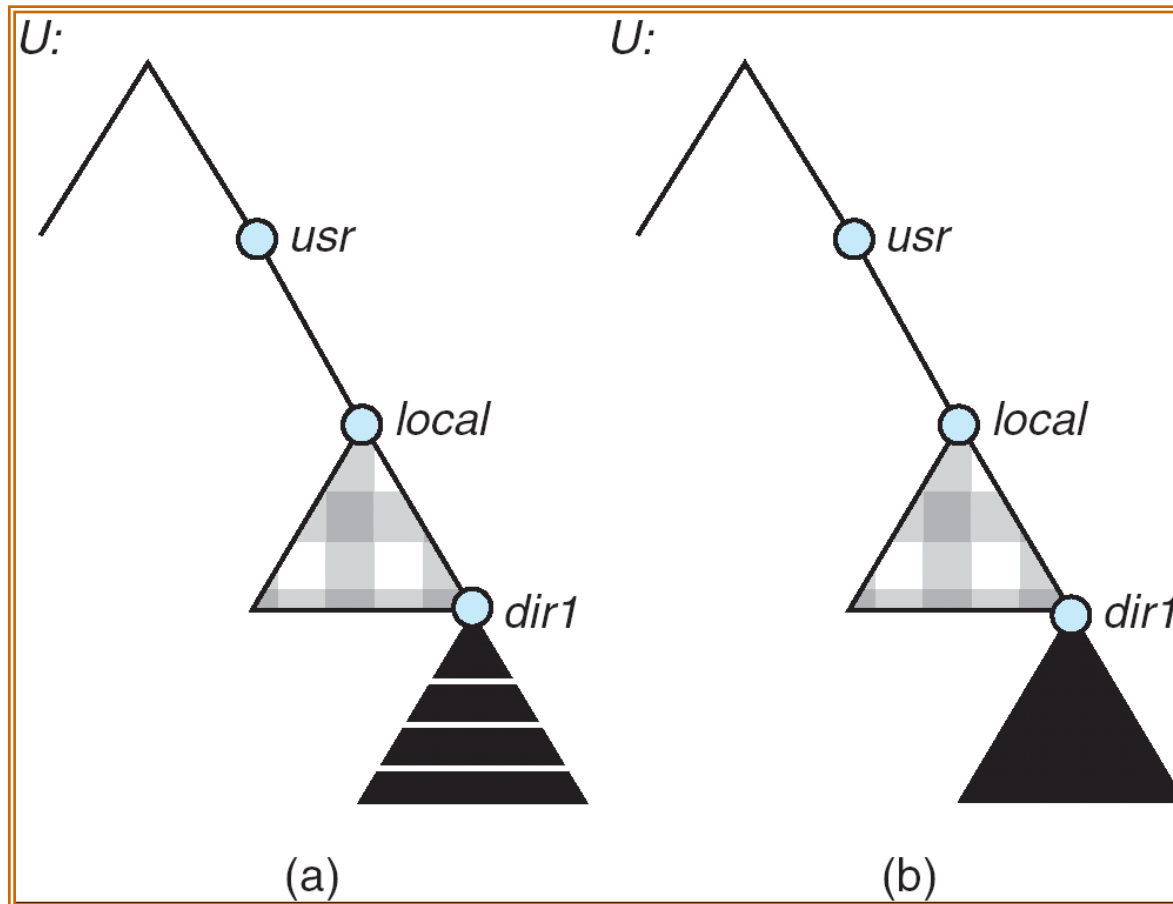
- **NFS is designed to operate in a heterogeneous environment of different machines, operating systems, and network architectures; the NFS specifications independent of these media**
- **This independence is achieved through the use of RPC primitives built on top of an External Data Representation (XDR) protocol used between two implementation-independent interfaces**
- **The NFS specification distinguishes between the services provided by a mount mechanism and the actual remote-file-access services**



# Three Independent File Systems



# Mounting in NFS



Mounts

Cascading mounts



# NFS Mount Protocol

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- Establishes initial logical connection between server and client
- Mount operation includes name of remote directory to be mounted and name of server machine storing it
  - Mount request is mapped to corresponding RPC and forwarded to mount server running on server machine
  - Export list – specifies local file systems that server exports for mounting, along with names of machines that are permitted to mount them



# NFS Mount Protocol

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- Following a mount request that conforms to its export list, the server returns a file handle—a key for further accesses
- File handle – a file-system identifier, and an inode number to identify the mounted directory within the exported file system
- The mount operation changes only the user's view and does not affect the server side



# NFS Protocol

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- **Provides a set of remote procedure calls for remote file operations.**
- **The procedures support the following operations**
  - **searching for a file within a directory**
  - **reading a set of directory entries**
  - **manipulating links and directories**
  - **accessing file attributes**
  - **reading and writing files**



# NFS Protocol

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- NFS servers are **stateless**; each request has to provide a full set of arguments  
(NFS V4 is just coming available – very different, stateful)
- Modified data must be committed to the server's disk before results are returned to the client (lose advantages of caching)
- The NFS protocol does not provide concurrency-control mechanisms

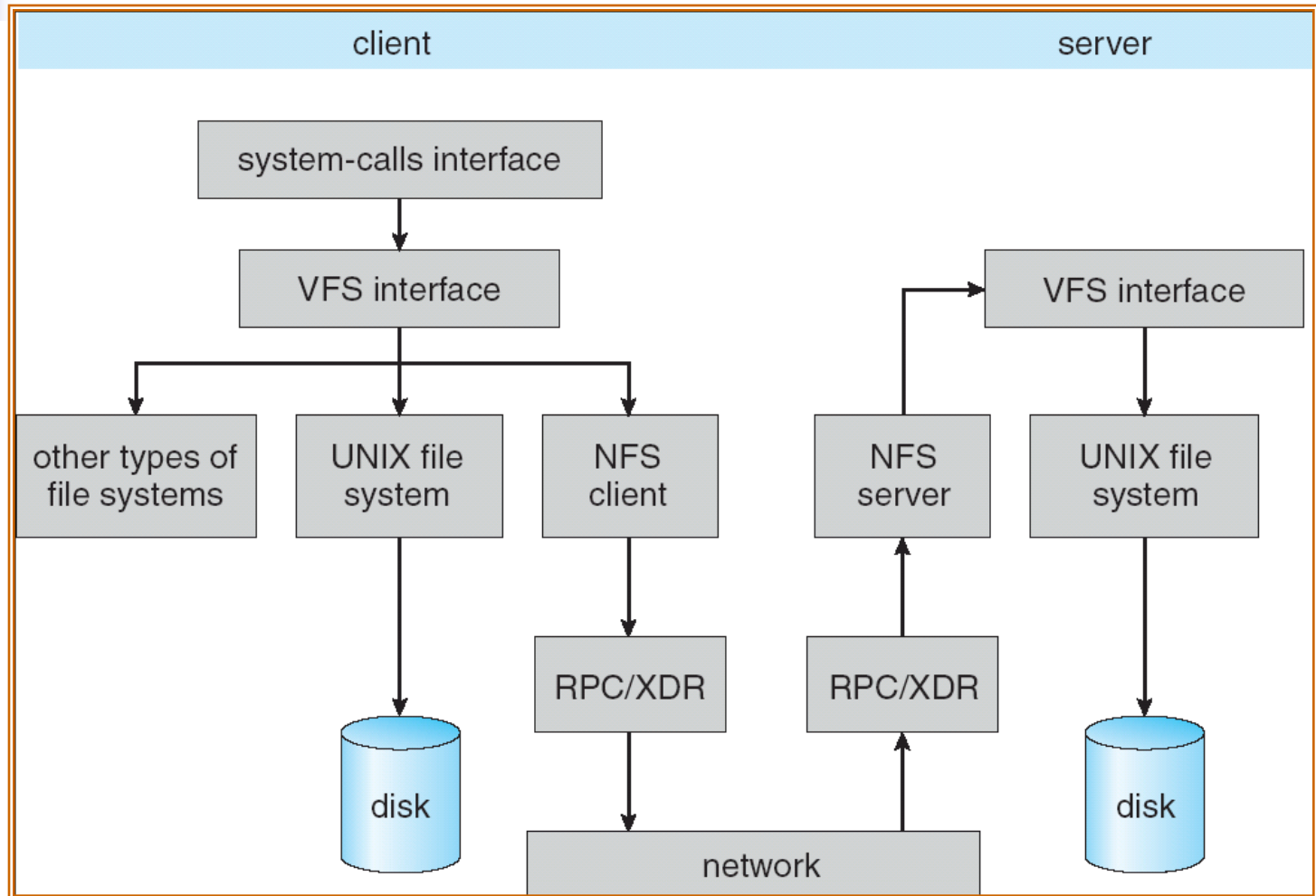


# Three Major Layers of NFS Architecture

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- **UNIX file-system interface (based on the open, read, write, and close calls, and file descriptors)**
- ***Virtual File System (VFS)* layer – distinguishes local files from remote ones, and local files are further distinguished according to their file-system types**
  - **The VFS activates file-system-specific operations to handle local requests according to their file-system types**
  - **Calls the NFS protocol procedures for remote requests**
- **NFS service layer – bottom layer**
  - **Implements the NFS protocol**

# Schematic View of NFS Architecture







# NFS Path-Name Translation

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- **Performed by breaking the path into component names and performing a separate NFS lookup call for every pair of component name and directory vnode**
- **To make lookup faster, a directory name lookup cache on the client's side holds the vnodes for remote directory names**



# NFS Remote Operations

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- **Nearly one-to-one correspondence between regular UNIX system calls and the NFS protocol RPCs (except opening and closing files)**
- **NFS adheres to the remote-service paradigm, but employs buffering and caching techniques for the sake of performance**



# NFS Remote Operations

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- **File-blocks cache** – when a file is opened, the kernel checks with the remote server whether to fetch or revalidate the cached attributes
  - **Cached file blocks are used only if the corresponding cached attributes are up to date**
- **File-attribute cache** – the attribute cache is updated whenever new attributes arrive from the server
- **Clients do not free delayed-write blocks until the server confirms that the data have been written to disk**