### Northeastern University - Seattle



CS6650 Building Scalable Distributed Systems

Professor Ian Gorton

Fall 2016

# Building Scalable Distributed Systems

Week 3 – Distributed Systems Fundamentals

### Outline

- Communications
- Partial Failures
- Timing and Ordering of Events
- Performance and scalability revisited

### Learning objectives

01

Describe basic distributed systems communications mechanisms and how to handle failures

02

Write simple Java socket code for a client-server system

03

Explain the basics of TCP/IP and UDP

04

Explain why partial failures occur and why they make distributed systems complex

# Distributed Systems Communications

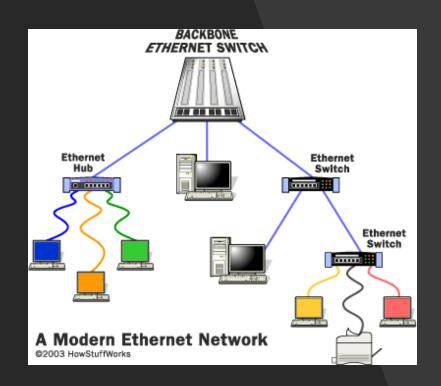
### Distributed Systems Communicate

- By definition .....
- No shared state, so communications required
  - Shared nothing systems
- This communications has an impact on the extent to which the systems can achieve particular properties such as:
  - Performance
  - Scalability
  - Availability



### Shared Network

- The network is a shared resource
- You therefore often don't have control over the load at any given time
  - Moreover you're often not aware of the load at any given time
- This means that for the most part you can't count on bandwidth being available

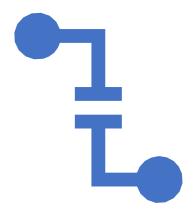


### Networks are Fallible

- Things happen
  - Part of your data can be lost
  - Things can be delivered out of order
  - The connection might not be made at all
  - Data can be corrupted
  - Sometimes these conditions are 'permanent', sometimes transient



### **Network Partition**



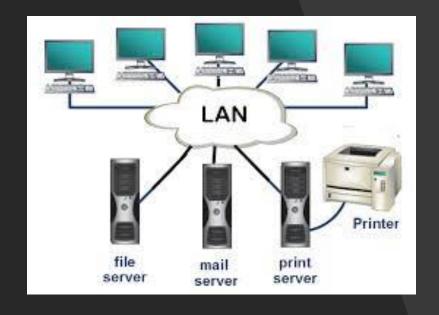
- What is a network partition?
  - A split in the network, such that full *n*-ton connectivity is broken
  - i.e. not all servers can contact each other
- Partitions split the network into one or more disjoint subnetworks
- How can a network partition occur?
  - A switch or a router may fail, or it may receive an incorrect routing rule
  - A cable connecting two racks of servers may develop a fault
- Network partitions are very real, they happen all the time
- Analysis of network failures in Azure Data Centers

### Network Characteristics

	Example	Range	Bandwidth (Mbps)	Latency (ms)
Wired:				
LAN	Ethernet	1-2 kms	10-10,000	1 - 10
WAN	IP routing	worldwide	0.010 - 600	100-500
MAN	ATM	2-50 kms	1-600	10
Internetwork Wireless:	Internet km	worldwide	0.5-600	100-500
WPAN	Bluetooth (IEEE 802.15.1)	10-30m	0.5-2	5-20
WLAN	WiFi (IEEE 802.11)	0.15–1.5 km	11-108	5-20
WMAN WWAN	WiMAX (IEEE 802.16) 3G phone	5–50 km cell: 1–5	1.5–20 348–14.4	5–20 100–500

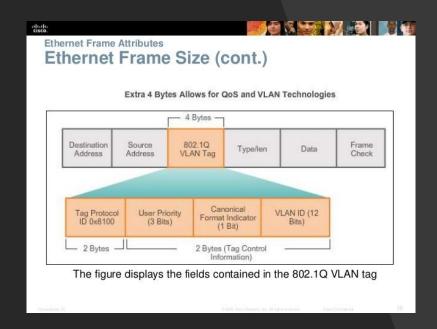
### Local Area Networks

- These are networks that cover a limited geographic region
  - Within a building or a campus
- They have direct connection via private (non-leased) coaxial cable, twisted pair, fiber optic cable, wifi
- They typically support a single organization or entity
- Most LANs use Ethernet/wifi connections



### How do LANs Route Messages?

- Each Ethernet connection has an address
- Data is sent in "frames"
  - Each frame has a source and destination address
- The frame is sent to every node on the LAN
- The node with an Ethernet address that matches the destination address will respond
  - The other nodes will ignore the frame
- The destination address could also be a "broadcast" address
  - This means that the message is intended for all nodes



### Characteristics



Fast (low latency)

See upcoming slide



High data transfer rate

10 – 1000 Mbps



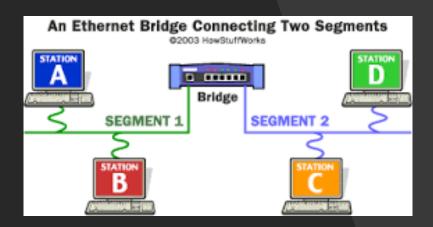
There are limits, however

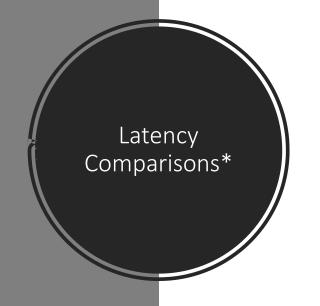
Physical limitations on the geographic region covered by a single network

Also limits on the number of nodes on a single network

### Segmentation

- In order to increase the capability of LANs they can be split into segments
- Segments are essentially LAN partitions that are connected via a bridge
- The bridge will only forward messages intended for a node on that segment





Activity	Latency	
Main memory reference	100 ns (.0001 ms)	
Send 1K over 1 Gbps network	1000 ns (0.01 ms)	
Read 1 MB sequentially from SSD	1 ms	
Read 4K randomly from SSD	15 ms	
Read 1 MB sequentially from disk	20 ms	
Send packet CA → Netherlands → CA	150 ms	

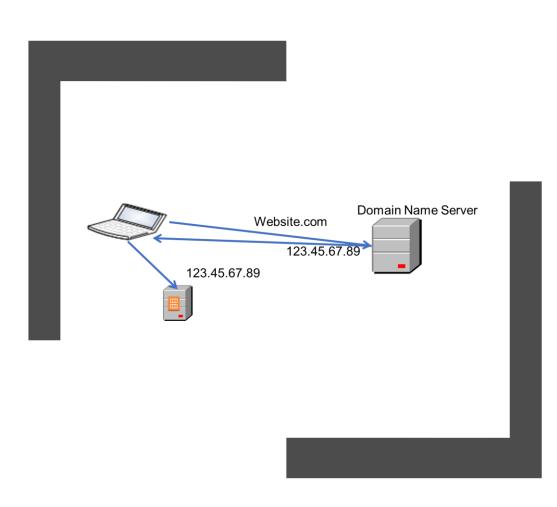
• \* dean-keynote-ladis2009\_scalable\_distributed\_google\_system

#### Things to Note

- Reading from cached memory on a fast Ethernet is faster than a disk read
- The latency for long distances governed by physics
  - Data travels at the speed of light
  - Slower actually due to network overheads/switching
- Physical location has a big impact on potential latencies

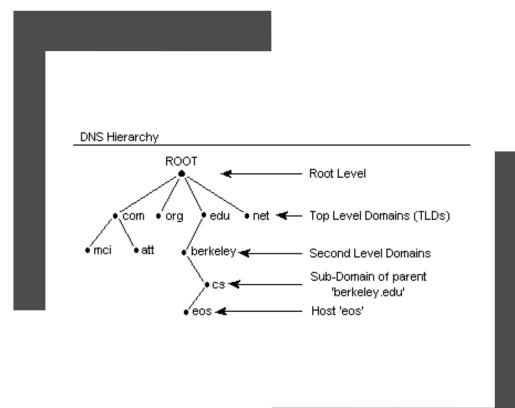
Provider	Direct Connect Location	Nearest AWS Region	Distance (miles)	Approximate Latency (ms)
CoreSite	New York, NY	N. Virginia	255	~ 16 ms
CoreSite	Los Angeles, CA	N. California	340	~ 20 ms
Equinix	Ashburn, VA	N. Virginia	< 20	< 4 ms
Equinix	San Jose, CA	N. California	< 20	< 4 ms
Equinix	Singapore	Singapore	< 20	< 4 ms
Equinix	Tokyo	Tokyo	< 20	< 4 ms
Telecity	London, UK	Ireland	330	~ 20 ms
Terremark	Sao Paulo	Sao Paulo	< 20	< 4 ms
Equinix	Sydney	Sydney	< 20	< 4 ms

### The Internet



- The internet uses IP addresses to route messages and locate hosts
- When you enter a URL it needs to be translated into an IP address before your request is routed to the host
- You will get the IP address from a Domain Name System (DNS)

### **DNS** Hierarchy



- Consider URL www.yahoo.com.au
  - If one server held all DNS IP mappings, it would
     both get overloaded and
     hold over 500+ million
     mappings.
  - Growing rapidly!!
- DNS is arranged as a hierarchy.
- There is an "authoritative" name server that holds all of the final suffixes (e.g. .au, .edu, .com, .co)
- It is replicated for performance reasons

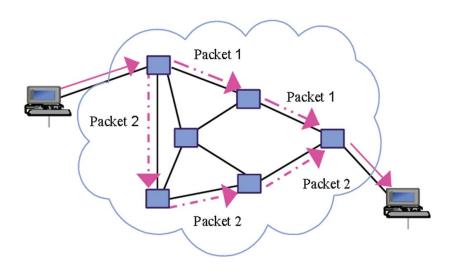
Finding www.yahoo.com.au

- The final suffix DNS has the IP of the .com DNS
- The .com DNS has the IP of .com.au's DNS
- From there the DNS will return the IP for interim DNS or the host itself
- The www.yahoo.com.au DNS, in turn, has IP for various local DNSs that are under Yahoo!'s control
- This allows Yahoo! to change the IP of the various local DNSs without changing anything up the hierarchy.
- Clients cache DNS lookups to improve performance

### Packet Switching

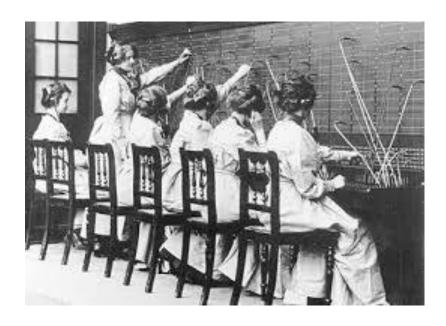
- In the old days telephone companies used "circuit switching"
  - This is where the network establishes a dedicated communications channel through the network
  - Remember "switchboard operators"?
- Packet structure of the destination of the structure of the structure of the destination of the structure of the structure

### Packet Switching



- · Communication channels are shared
- Channels are dynamically determined based on availability of routes, load, and other factors
- Packets can travel independent channels
  - Arrival rate for packets can vary
  - Failure rates for packets can vary
- These issues need to be addressed in some way

### Benefits



#### More efficient

 With circuit switched networks no one else can use the circuit until it's free

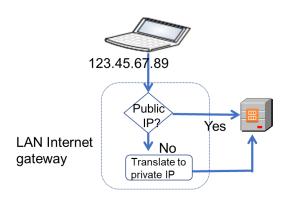
#### Reliability

 While a packet switched network is more likely to lose data, it can more easily recover from the loss (by resending packets)

#### Flexibility

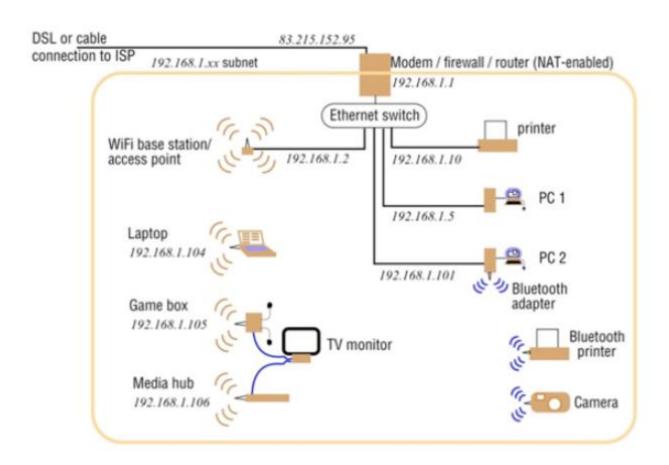
- Packet switched networks are easy to change
- Packets find their way to the destination with the aid of routers dynamically

### Assigning IP addresses



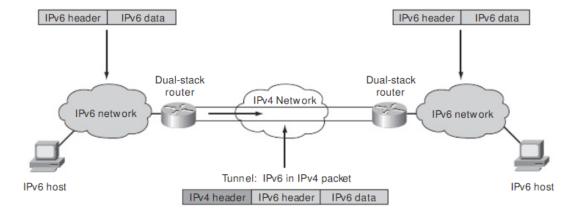
- Every "device" on the internet gets an IP
  - This includes virtual machines in the cloud and mobile devices
- IP address can be
  - Private and not seen outside of the LAN
  - Public and directly addressable from outside of the LAN
- An IP message has a header and a payload. The header includes
  - IP address of the source
  - IP address of the destination

### Message Routing



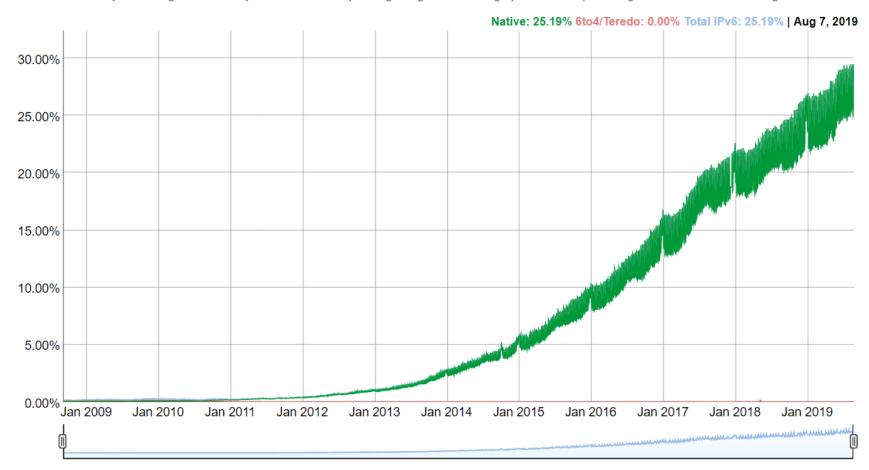
# IPv4 and IPv6

- An IP (Internet Protocol) address is a numerical label that identifies a "device" on the internet.
  - IPv4 is 32 bits long xxx.xxx.xxx.xxx
  - IPv6 was created in 1995 and it has 128 bits = more devices
- June 8, 2011 was designated as world IPv6 day where top websites and internet providers had a 24 hour test of IPv6 infrastructure. This test was successful.
- Google publishes statistics for percentage of users that access Google over IPv6. <a href="https://www.google.com/intl/en/ipv6/statistics.html">https://www.google.com/intl/en/ipv6/statistics.html</a>
- As IPv4 and IPv6 networks are not directly interoperable, transition technologies (eg tunneling) permit hosts on either network type to communicate with any other host.



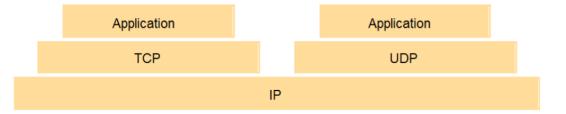
#### **IPv6 Adoption**

We are continuously measuring the availability of IPv6 connectivity among Google users. The graph shows the percentage of users that access Google over IPv6.



### Internet Protocol Stack

- Layered approach that allows for developers to not concern themselves with lower level details
- The internet protocol stack is abstracted to programmers



# Application Layer

- Application layer provides a platform independent way to exchange data independent of the internal representation of the data
- Application layer protocols include:
  - HTTP
  - FTP
  - SFTP
  - SSH
  - •

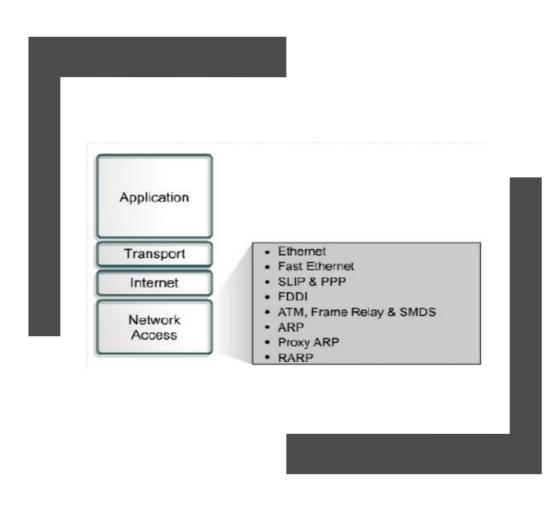
### Transport Layer

- Responsible for providing transparent transport of data utilizing the services of the network layer
- Examples include:
  - Transmission Control Protocol (TCP)
  - User Datagram Protocol (UDP)
- We will talk about these in a minute

### Internet Layer

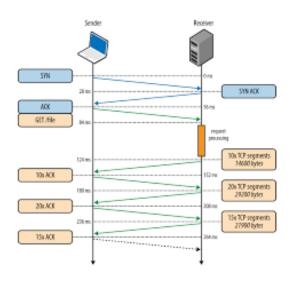
- Internet layer has the responsibility of sending the packets across the network
- This includes the IP addressing/routing that we talked about at the beginning of this lecture

### Network Access Layer Lurks Below ...



- Responsibility of abstracting the specific network hardware
- Allows transport of the data packets independent of the hardware used to realize the network

### Transport Control Protocol

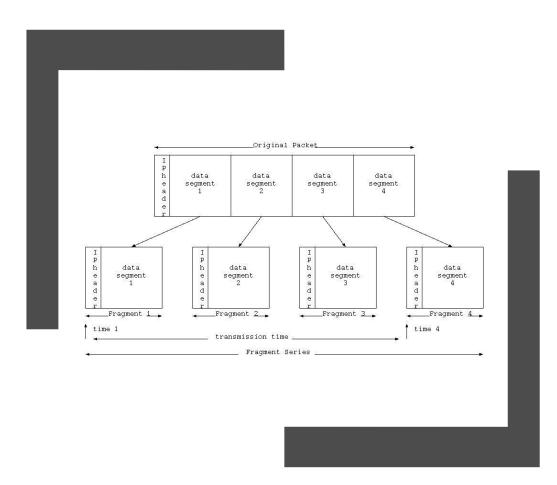


- TCP offers the application layer a way to transport data
  - Reliable
  - Stream oriented
  - Ordered

### Packet Oriented

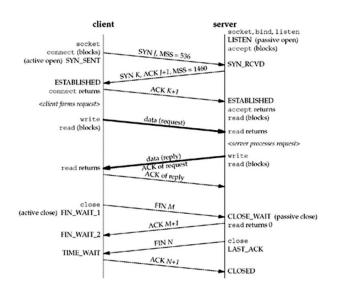
- IP breaks the data into "packets"
- These packets are routed from the source to the host
  - They are routed independently
  - The individual packets could follow a different route
- IP does not guarantee delivery of the packets
  - Packets get lost
  - Packet deliveries are delayed
  - The packets could come in a different order than they were sent

### What TCP Does



- TCP divides data to be transmitted into "segments"
- The segments are sent one by one to the IP layer
  - Wrapped in an IP packet and sent over the wire to the destination
- Segment includes a TCP header that includes
  - Sequence number
  - Source and destination information
  - Acknowledgement number
- TCP guarantees accurate delivery
  - But not necessarily timely delivery
  - Long delays can be experienced with TCP

### TCP Communication Phases



- TCP Communication includes:
  - Connection establishment
  - Data transfer
  - Connection termination
- The TCP server sends cumulative acknowledgements
  - Receiver has received all packets < the acknowledged sequence number</li>
- Servers can handle many incoming TCP connections
- The state of each session is stored by session id in a table

# User Datagram Protocol (UDP)

- UDP is another transport layer protocol that is:
  - Stateless
  - Unreliable
  - Doesn't guarantee ordering
  - Relatively lightweight

### How UDP Works

#### • UDP:

- breaks the data to be transmitted into segments
- wraps these "datagram" segments in a UDP header containing source port, destination port, length, and checksum
- transmits these data segments
- No acknowledgement/retry of packets – fire and forget!!
- Programming example:
  - https://www.youtube.com/watch?v =Emuw71lozdA

### Comparison

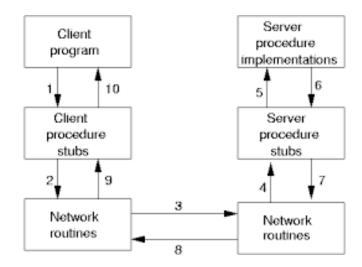
- UDP is much lighter weight and faster than TCP
- It's good for applications that have small amounts of data
  - Thus the overhead associated with TCP is too great
  - It's more efficient to build reliability mechanisms on top of UDP
- It's also good for data that can withstand packet loss
  - Streaming audio or video is an example of such data

### Comparison

- TCP on the other hand is heavy weight
  - There's significant overhead in the TCP segment as well as the protocol itself
- It's reliable, however
  - It will deliver data intact even if there is packet loss
    - Resends unacknowledged messages
  - It will handle ordering
  - It will throttle the rate according the capacity
- This does result in potentially long lags, however
- Most of the internet traffic uses TCP

### Remote Procedure Call

- RPC allows a process executing on one machine to call a process on a remote machine
  - Built on top of TCP/IP
- Realized by many different technologies
  - Not always compatible
- Examples of RPC
  - CORBA
    - Old ...
  - Java RMI
  - DCE
    - Really old!!



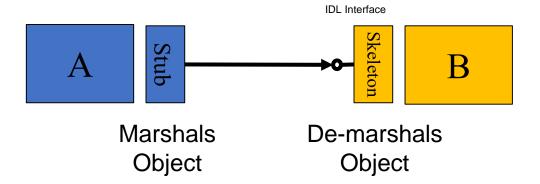
A historical perspective ....

http://ieeexplore.ieee.org/document/4623232/?reload=true&arnumber=4623232&tag=1

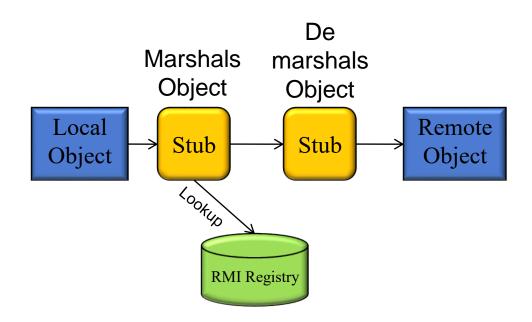
### CORBA

- Common Object Request Broker Architecture
- Standard defined by the Object Management Group
- Designed to support distributed communications
  - Platform independent
- Interface Definition Language specifies the "object"
  - Mapping defines the translation from the object to the end point implementation

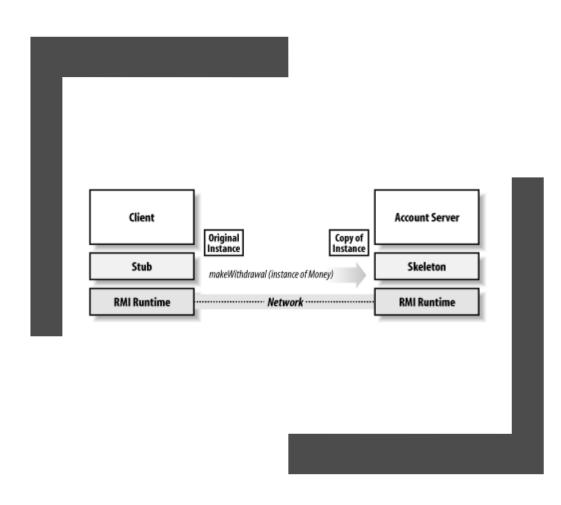
### **CORBA** Interaction



### Java Remote Method Invocation

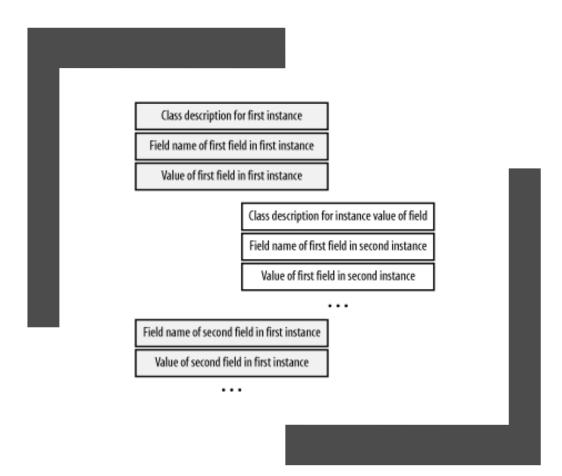


### Serialization



- Objects are converted into bytes streams by:
  - Writing the metadata of the class associated with the instance
  - It recursively writes the description of the superclass (to java.lang.object)
  - Then it writes the data
- An object with 2 bytes of data could become more than 50 bytes ...

### **Implications**



- Overhead is added
  - In terms of data being transported
  - As well as the effort to marshal and demarshal
- We still have syntactic and semantic dependencies
- Location dependencies
- RMI meta data for marshalling/de-marshalling is communicated with the object

# Implications of Explicit Invocation

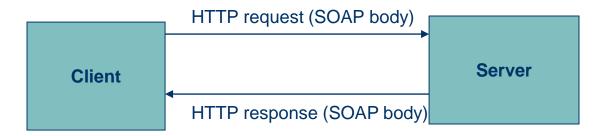
- Deterministic
  - Calls happen in the order they were received
  - You know if the call was processed or not
    - Request fails if receiver not accessible
- Inhibit scalability
  - Synchronous
  - Point to point
- Typically less overhead
  - Less infrastructure is required in order to connect distributed elements
- Creates a syntactic and semantic dependency
  - Higher coupling



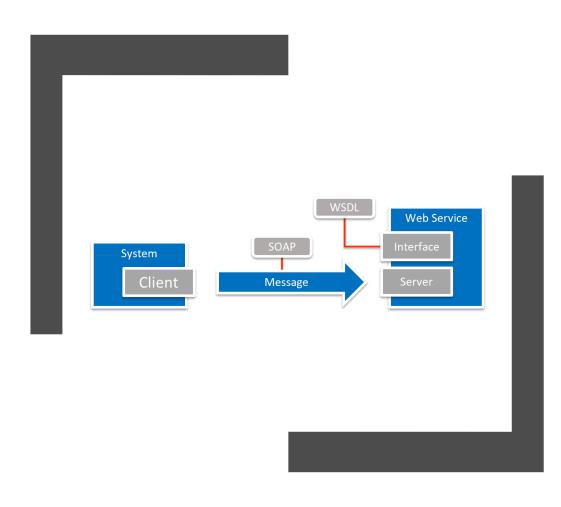
### Web Services Basics

Conceptually Web Services provide communications between two applications on the Internet

Like RPC using HTTP/XML ... sort of ... as we'll see ...



### SOAP-based Web Services

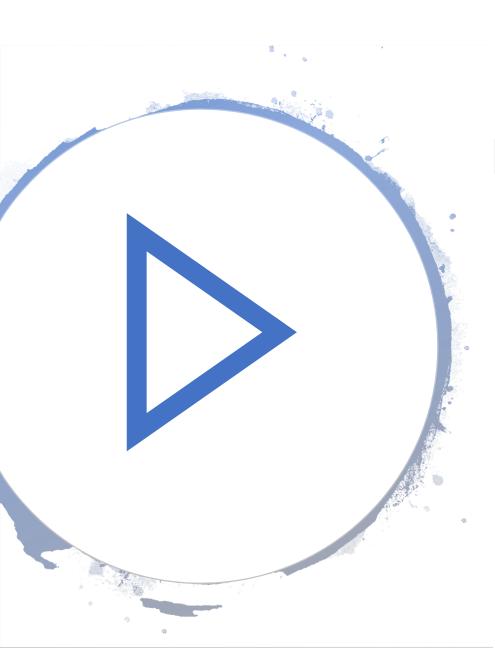


- Standard web services technologies:
  - Communication via SOAP XML documents over HTTP
  - Operations of web service defined by Web Services Definition Language (WSDL) XML vocabulary
  - Data within WSDL defined using XML Schema
- Text-based protocol
  - Simple designed for interoperability
  - Verbose

### Which one?







### Lab Exercise

Lets play with Sockets!!

# When things go wrong

- Distributed Systems can fail in lots of weird and wonderful ways
- If it can fail, it will.
  - Especially at scale
- We need to build systems that:
  - Can detect failures
  - Meet business expectations in face of failures

### Example Faults

### Twitter is currently down for <%= reason %>.

We expect to be back in <%= deadline %>. For more information, check out <u>Twitter Status</u>. Thanks for your patience!

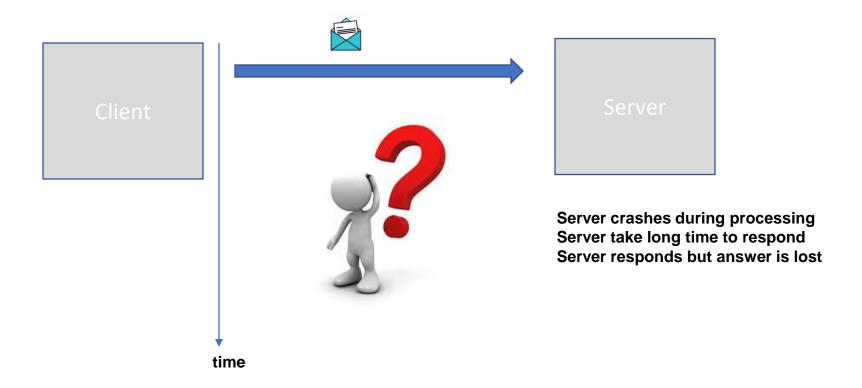
- © 2012 Twitter
- About
- Help
- Status

- Disk crash
- Machine crash
- Network failure
- Power failures
- Overheating due to HVAC failure

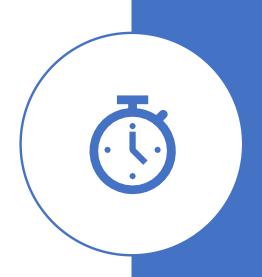
- Some nodes working fine, some failed
  - Eg power failure
- Highly available systems continue to operate with partial failures
  - Little/no customer visibility
  - Include mechanisms to recover from failures and replace/repair broken components
- Different to fault tolerance:
  - Triple redundant hardware components
  - Voting to detect partial failures
  - Expensive!!
  - Eg HP Integrity Non Stop



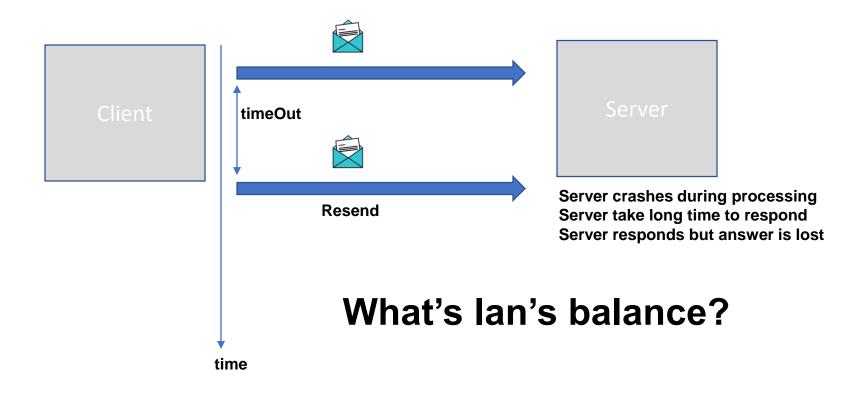
- Client sends a request to the server
- What happens next?
  - Request succeeds all good
  - IP address not found error response
  - IP address found, but server process has failed – error as server not listening
  - Server receives request and fails while processing it
  - Server is heavily loaded and processes request but takes a long time to respond
  - Server processes request but response not delivered due to network failure



- Client waits a 'long time'
  - Answer never arrives
  - Slow response time, long latencies before possible failure and retry
- Client waits a 'short time'
  - Resends request
  - Faster response time,
  - What if server is just being slow?
- In either case, how does the client know if a request has been processed



### Example



### Communications Delivery Guarantees

### at-most-once delivery

means that for each message sent, that message is delivered zero or one times. ie messages may be lost.

#### at-least-once delivery

means that for each message sent, potentially multiple attempts are made at delivering it, such that at least one succeeds; ie messages may be duplicated but not lost.

### exactly-once delivery

means that for each message sent, exactly one delivery is made to the recipient; the message can neither be lost nor duplicated.

unreliable

Fire and forget

Retransmission Acknowledgement

Retransmission Acknowledgement Filter out duplicates

Reliable

slow

### Idempotent Requests

- Client can send same request multiple times while producing same result
  - lan's balance = 100
  - Send 'add 100 to lan's balance'
  - Resend due to timeout
  - Result is ALWAYS 200
- Needs a mechanism for the server to recognize duplicate requests
  - How?
  - Necessary for correct system operations

### Implications for building systems

- Using REST, application is responsible for handling message failures and retries/idempotence
- More abstract communications mechanisms may offer certain guarantees, ie:
  - Java Messaging service can guarantee at most once delivery (as well as lower level guarantees)
  - Much more on this later in course ...





# Time in distributed Systems

### Time

- Each node is a system has at least two local system clocks
- Time of day clock, e.g. in Java
  - Number of milliseconds since midnight January 1970
  - Can be synchronized using an NTP server,
  - May move backwards if too far ahead of NTP
- Monotonic Clock, e.g. in Java
  - System.nanoTime()
  - · Always moves forward
  - Actual value meaningless
  - Multicore machines cause issues which OS tries to accommodate for threads scheduled on different cores



### Network Types

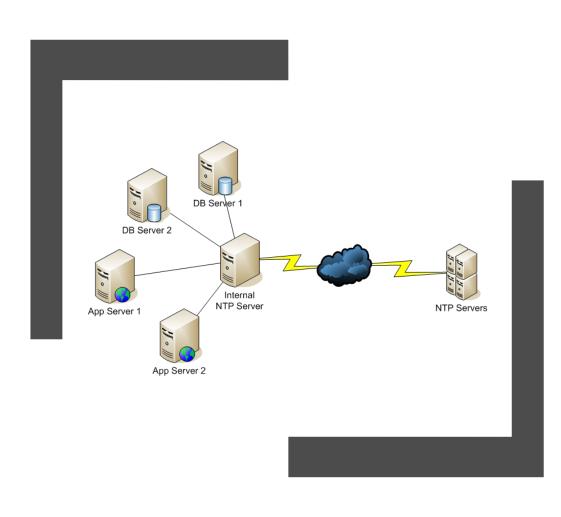
### Synchronous networks:

- Transfer data 'instantaneously' based on a shared clock
- Full duplex (two way) upload/download speeds typically the same
- Eg SONET, dedicated fibre optic strands (one each way)

### Asynchronous networks:

- No shared clock
- Half duplex
- Transmission delays
- E.g. The Internet we all use 🕾

### Network Time Protocol



- Synchronize ToD clocks to within a few millisecs of UTC
  - Hierarchy with highly reliable Atomic/GPS clock at core
  - Mitigates variable network latencies
  - UDP based timestamp exchanges

### Accuracy

### It depends ...

- LAN small number of milliseconds
- WAN 10s of milliseconds, congestion may cause longer (eg 1 second)

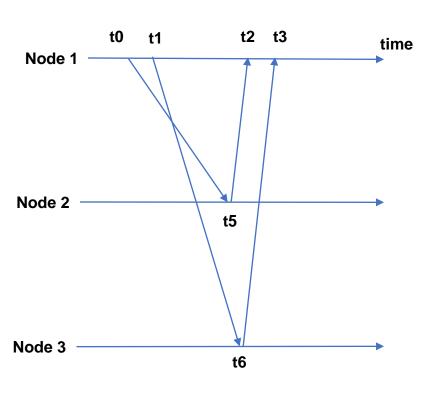
### Clocks also drift (run at different rates)

 Can vary by ~10-20 seconds a day

### Higher accuracy possible but expensive:

- GPS clocks
- Precision Time Service (PTP)
- Google TrueTime (proprietary)
- Amazon Time Sync

### Time – Implications



- T3 happens later than t2
  - Response arrival order
- Can Node 1 know if t5 happened before t6
  - Even if nodes return local timestamps

### Time issues

- NTP synks may cause a clock to jump forward or backwards
- Failure to synk with NTP server (eg firewall issue) may create major drift
- Shared virtualized code on a VM is paused while not running and hence sees jumps in clock
- Bottom line relying on accurate clocks is NOT RECOMMENDED!



### Bottom Line

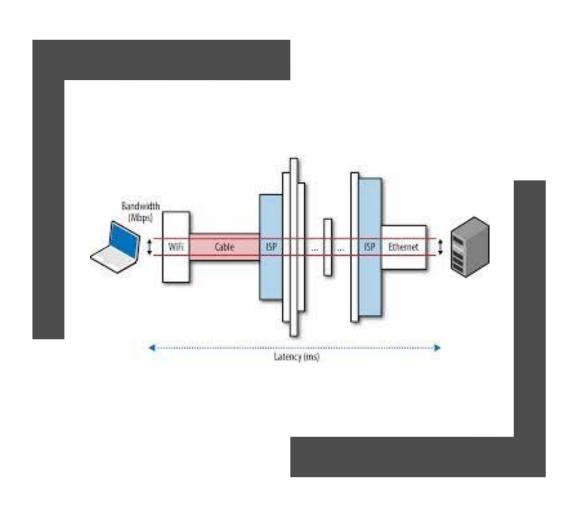
- We can't rely on a precise central time source in our systems
  - Clock skew, etc
- We can't rely on central source of knowledge being always available
  - Partial failures, etc
- Next week we'll learn about algorithms that address these issues
  - Their strengths
  - Their weaknesses and limits

## Performance and Scalability Revisited

#### Performance Revisited

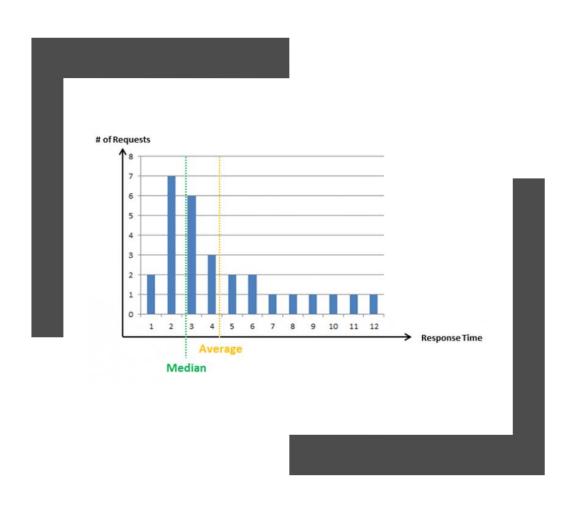
- Performance can mean different things depending on the context
- Typically people mean one or more of the following:
  - Latency
  - Predictability
  - Utilization
  - Throughput

#### Latency



- Latency is the time that elapses between a stimulus and a response
- the time that it takes an element to react to a given input

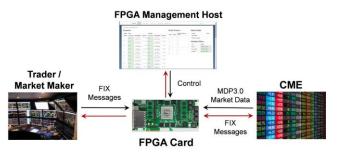
#### Worst Case vs Average Case



- Major difference conceptually between average case and worst case
  - Big difference in the mechanisms needed to achieve these
- In 'enterprise scale' systems, typically worried about average case
- In massively scalable Internet systems, average is not adequate.

#### Hard vs. Soft Real Time

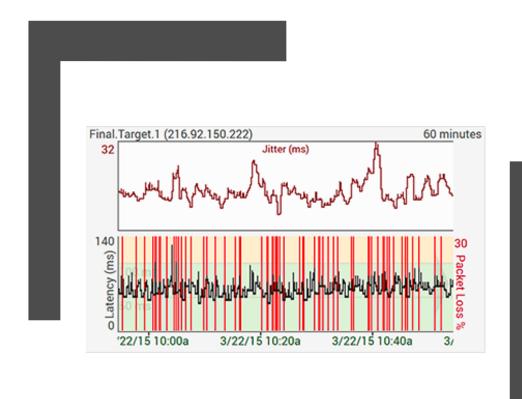
- Hard real time systems have deadlines for events that must be met
  - If they are not, bad things can happen
- Examples:
  - Driverless car: process imagery in N ms or it is too late to apply brakes to avoid hitting someone
  - Trading systems: Enact sales within N ms or prices drop and you'll make less money
- Hard real time systems are a field of study in their own right
  - Specialized languages, OSs, hardware
  - Not a topic in this course



Sub-microsecond (µs) latency trading system

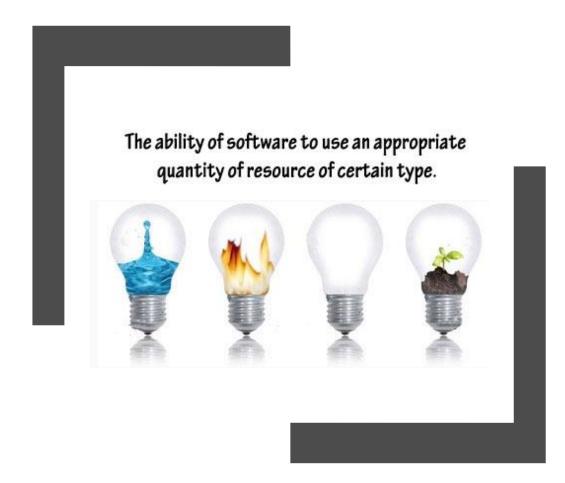


### Predictability



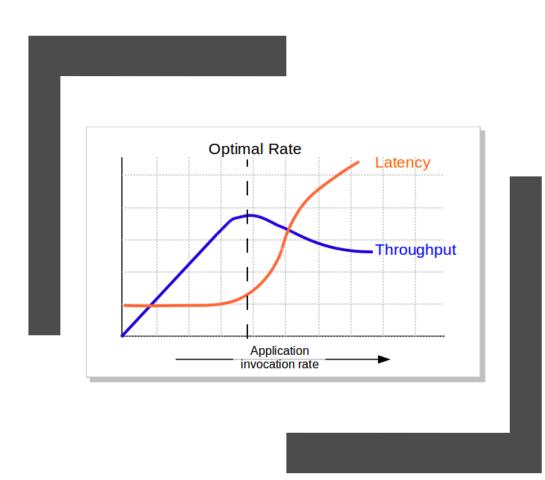
- Predictability is the variation between executions
  - This is sometimes called "jitter"
- In scalable systems, predictability gives a consistent user experience
  - Watching Netflix
  - Google Search
  - Massive online games
- Typically viewed as latency against time

#### Resource Utilization



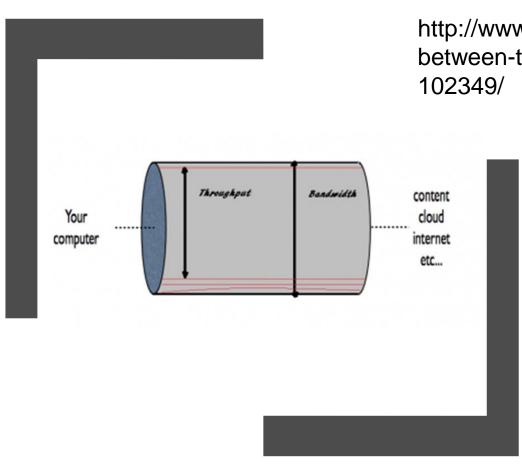
- Predictable performance requires efficient resource utilization
  - CPU, Network, Disk, Memory
- If resources run low or become exhausted
  - Bad things happen ...
  - Examples?
- Optimizing resource utilization is crucial for performance (and scalability)
  - CPU optimization?
  - Network optimization?
  - Disk optimization
  - Memory optimization?

## Throughput



- Throughput is concerned with the average rate of task execution per unit time
  - Eg 1000 requests/sec
  - Maximum throughput of 250 credit card approvals each minute
- Measures aggregate behavior under various loads
- This has to do with scalability

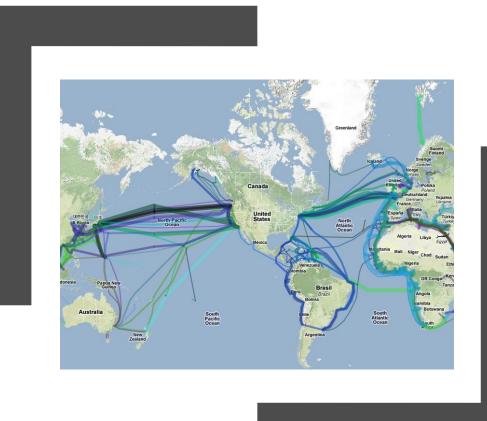
#### Throughput and Bandwidth



http://www.stepbystep.com/difference-between-throughput-and-bandwidth-102349/

- Bandwidth is maximum capacity
- Throughput is actual capacity under various loads

#### Throughput and Bandwidth



- If bandwidth not sufficient to support required throughput, what can we do?
- Increasing capacity allows us to scale systems

How do we scale this system?



# Scalability



- Scalability is the ability of a system to support a growing amount of work.
  - additional users
  - additional requests from current users
  - increased volume of data
- Or a decreasing amount of work
  - Not many people watch Netflix at 3am compared to 3pm
  - After Xmas sales on Amazon decrease significantly
- Why is scaling down important?

#### Scalability - Analogy





Scalability -Throughput



Scalability – reduced throughput

# Scalability – increased capacity



- Scaling software systems follows similar principles
- Uses very different mechanisms

#### Performance and Scalability

- Designing for performance is about doing more work with less resources eg
  - Caching results of calculations
  - More efficient algorithms (eg O(n log n) instead of O(n2))
- Designing for scalability is about the ability to exploit more resources
  - Parallelize the solution to take advantage of additional resources
- Sometimes increase amount of work to process a task so we can do parts in parallel
  - Design trade-offs ....

# Class Exercise

- Experiment with your multithreaded socket client-server and see what is the best throughput you can achieve?
- For example:
  - Start 100 threads, measure time/throughput
  - Start 500 threads, measure time/throughput
  - Start client and server on different machines
  - Experiment and see what throughput you can achieve

### Summary



An understanding of communications and protolcols is fundamental to distributed systems.



Higher level RPC-style abstractions build on transport layer protocols to provide ease of development



Partial failures need to be considered when designing communications mechanisms



There is no global clock in distributed systems. This makes timing and ordering difficult



Systems scale by increasing capacity