

Tree: connected graph with no cycles

Forest: graph with no cycles

Lemma

In any tree, there is *exactly one path* between any two vertices  $u$  and  $v$

Proof: If there are 2 distinct paths between  $u$  and  $v$ , then there must be a cycle

But trees have no cycles

So there can only be 1 distinct path from  $u \rightarrow v$

Lemma

Every edge in  $T$  is a bridge

Theorem

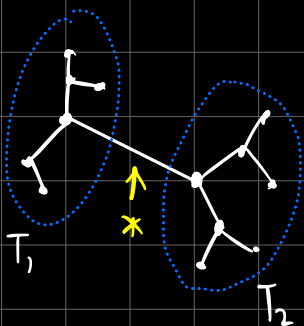
A tree  $T$  with  $n$  vertices has  $n-1$  edges

Proof: (Induction)

Base case:  $n=1$  vertices  $\rightarrow 0$  edges

*Inductive hypothesis*: suppose that all trees with  $m < n$  vertices have  $m-1$  edges.

Now, let  $T$  have  $n$  vertices.



$$\underbrace{|V(T_1)|}_{< n} + \underbrace{|V(T_2)|}_{< n} = |V(T)|$$

By IH,  $T_1$  and  $T_2$  both have  $|V(T_1 \text{ or } T_2)| - 1$  edges

$$\Rightarrow |E(T)| = \underbrace{|V(T_1)| - 1}_{|E(T_1)|} + |V(T_2)| - 1 + \underset{= *}{1}$$

$$|V(T_1)| + |V(T_2)| = n$$

$$\begin{aligned}\Rightarrow |E(T)| &= n-2 + 1 \\ &= n-1\end{aligned}$$

### Lemma

A connected graph  $G$  with  $n$  vertices must have  $\geq n-1$  edges

Proof:

Inductive hypothesis: If  $G$  has  $m < n$  edges, it has  $\geq m-1$  edges

Suppose  $G$  is connected and has  $n$  vertices.

Let  $G' = G - x$ .

$$|V(G')| = n-1$$

So by the inductive hypothesis,  $|E(G')| \geq n-2$  (since  $n-2 < n$ )

Note that  $\deg(x) \geq 1$

$$\text{So } |E(G)| = |E(G')| + \deg(x) \geq n-2 + 1$$

$$\text{So } |E(G)| \geq n-1$$

### Lemma

If  $G$  is connected with  $n$  vertices and  $n-1$  edges, then  $G$  is a tree

Proof: If  $G$  is not a tree, then it has an edge  $e$  that is not a bridge.

Then  $G-e$  is connected

$G-e$  has  $n$  vertices and  $n-2$  edges

But a connected graph  $G$  with  $n$  vertices must have  $\geq n-1$  edges

So  $G$  must be a tree

## Leaves

A vertex  $v$  in a tree with degree 1 is a *leaf*

## Lemma

A tree with  $\geq 2$  vertices has  $\geq 2$  leaves

Proof: Let  $p = u_0, u_1, \dots, u_n$  be a longest path in  $T$ .

Since  $T$  is a tree, it has no cycles.

Both  $u_0$  and  $u_n$  must have degree 1. This is because if they connect to another node, either:

- That other node is in the longest path  $\rightarrow T$  has a cycle, which it cannot
- That other node is not in the longest path  $\rightarrow$  impossible, since  $p$  would no longer be a longest path

So  $T$  must have at least 2 leaves

Proof 2: (Handshaking Lemma)

Let  $p_1 = \# \text{leaves (with degree 1)}$

$p_2 = \text{vertices with degree } \geq 2$

Let  $T$  have  $n$  vertices and  $n-1$  edges.

$$p_1 + p_2 = n$$

$$p_1 + p_2 - 1 = |E(T)|$$

By the Handshaking Lemma:

$$\sum_{v \in V} \deg(v) = 2(\underbrace{p_1 + p_2 - 1}_{|E(T)|})$$

Also:

$$\sum_{v \in V} \deg(v) = p_1 + 2p_2$$

↳ each  $v$  in  $p_2$  has  $\deg \geq 2$

$$\Rightarrow 2p_1 + 2p_2 - 2 \geq p_1 + 2p_2$$

$$\Rightarrow p_1 \geq 2$$

Ex. Suppose  $T$  has a vertex with degree 5. What is the minimum number of leaves in  $T$ ?

Let  $p_1$  = number of leaves,  $p_2$  = number of vertices with degree  $\geq 2$ ; let  $x$  have degree 5

$$n = p_1 + p_2$$

$$|E(T)| = p_1 + p_2 - 1$$

$$2p_1 + 2p_2 - 2 = \sum_{v \in V} \deg(v)$$

$$= p_1 \cdot 1 + 5 + \sum_{v \neq x} \deg(v)$$

$\geq 2(p_2 - 1)$ ; exclude vertex with deg. 5

$$2p_1 + 2p_2 - 2 \geq p_1 + 5 + 2p_2 - 2$$

$$\Rightarrow p_1 \geq 5$$

Alternate proof: each subtree must contribute at least 1 leaf

$\Rightarrow$  min. 5 leaves

Ex. Suppose we have a forest with 2 components and  $n$  vertices. How many edges?

One component has  $k$  vertices and  $k-1$  edges

Other has  $n-k$  vertices and  $n-k-1$  edges

So the total number of edges is  $n-k-1+k-1 = n-2$

Lemma

A forest with  $n$  vertices and  $k$  components has  $n-k$  edges.