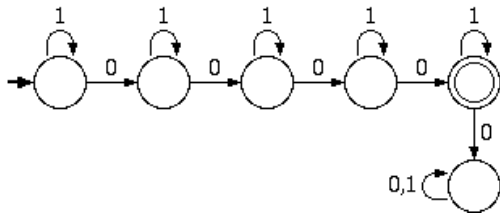


Month 8: Theory of Computation

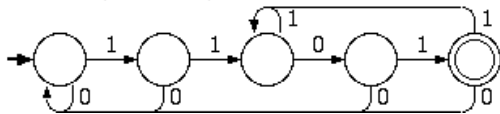
Problem Set 1 Solutions - Mike Allen and Dimitri Kountourogiannis

1. DFAs

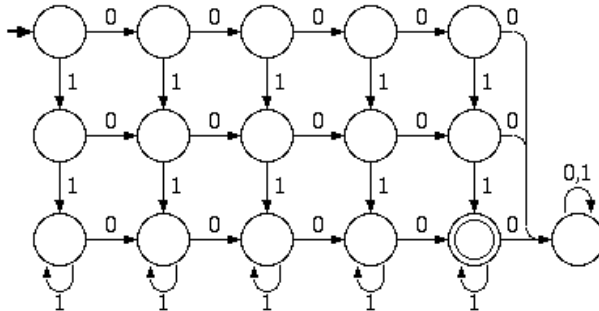
a. All strings that contain exactly 4 0s.



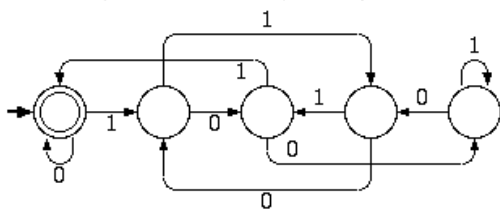
b. All strings ending in 1101.



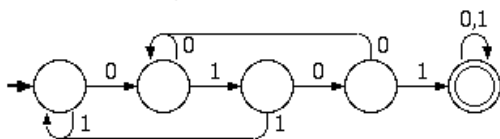
c. All strings containing exactly 4 0s and at least 2 1s.



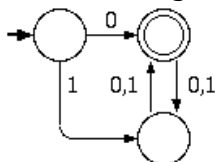
d. All strings whose binary interpretation is divisible by 5.

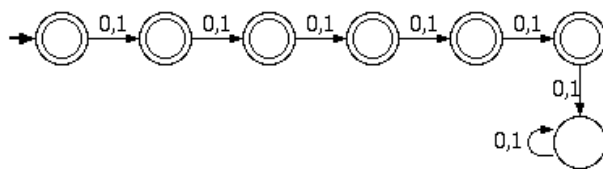


e. (1.4c) All strings that contain the substring 0101.

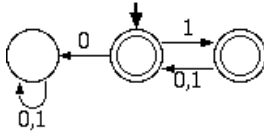


f. (1.4e) All strings that start with 0 and has odd length or start with 1 and has even length.



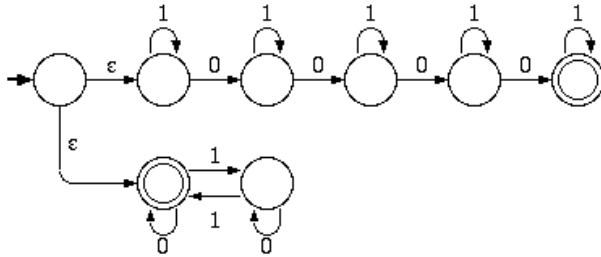


i. (1.4i) All strings where every odd position is a 1.

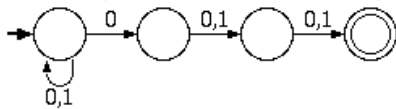


2. NFAs

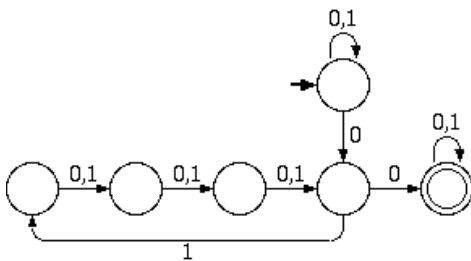
a. All strings containing exactly 4 0s or an even number of 1s. (8 states)



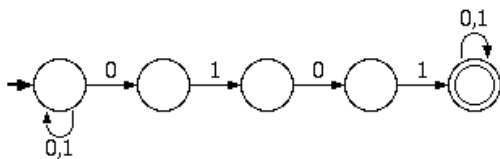
b. All strings such that the third symbol from the right end is a 0. (4 states)



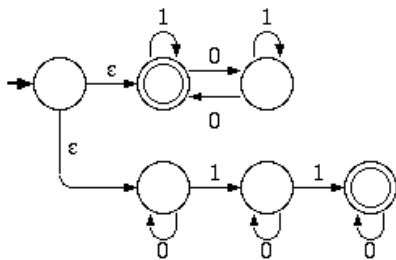
c. All strings such that some two zeros are separated by a string whose length is $4i$ for some states)

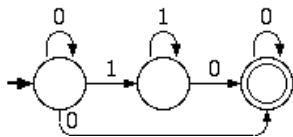


d. (1.5b) All strings that contain the substring 0101. (5 states)



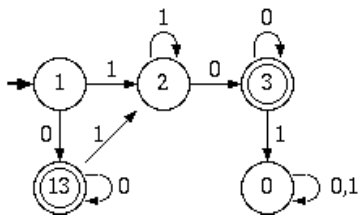
e. (1.5c) All strings that contains an even number of 0s or exactly two 1s. (6 states)





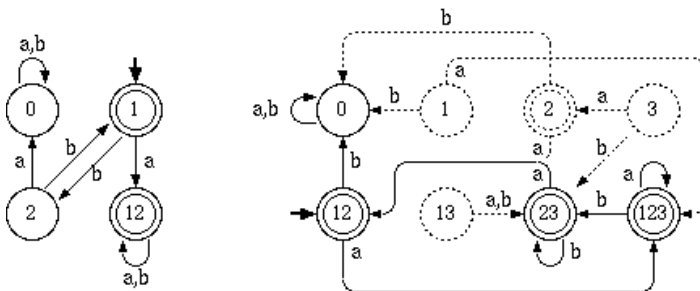
3. Converting NFAs to DFAs

a. Convert the NFA in 2f into a DFA.



b. 1.2a in the text

c. 1.2b in the text



4. Discrete Math Review - Proofs

L1: The set of strings where each string w has an equal number of zeros and ones; and any prefix of w has at least as many zeros as ones.

L2: The set of strings defined inductively as follows: if w is in the set then $0w1$ is also in the set; if u and v are in the set then so is uv ; and the empty string is in the set.

a. Prove that every string in L2 is contained in L1

We can analyze L2 inductively to see that it maintains the property of L1 for each case:

1. The empty set. This is a member of L1, since it satisfies the properties vacuously.
2. $0w1$. Assuming that w is in L1, we maintain the equal number of 0s and 1s because of each. We also maintain the prefix condition, since the 0 is added before the 1.
3. uv . Assuming that u and v are both in L1, simply concatenating them together will result in an equal number of 0s and 1s. The prefix condition is slightly more difficult. We consider the following prefixes:
 - a. $\text{PREFIX}(u)$. Since u is in L1, this must be in L1.
 - b. u . Again, since u is in L1, this must be in L1.
 - c. $u\text{PREFIX}(v)$. Since u has an equal number of 0s and 1s, and v is in L1, this must maintain the prefix property.

b. For those of you who are paying attention, this problem is extremely similar to the stream ghostbusters problem from algorithms. The proof is by induction on the length of string.

and $w=uv$ do. Also, any prefix x of v cannot have more ones than zeros in it since x would be a prefix of w that had more ones than zeros. Therefore v must be in L_2 and u and v are of length $\leq n$, by the induction hypothesis they are in L_2 . Therefore w must be in L_2 , by the definition of L_2 .

- b. $j = n+1$. Then $w = 0u1$ for some string u , and u has the same number of zeros as w since w does. Also, no prefix x of u can have more ones than zeros, since then x would either have more ones than zeros which is impossible by hypothesis, or $0x$ would have the same number of ones as zeros, which is also impossible by since $j = n+1$. Therefore we conclude that u is in L_1 , and since it is of length $\leq n$ it is in L_2 by the induction hypothesis.

This completes the inductive step, and therefore L_1 is contained in L_2 .

5. Closure Problems

- a. Prove that if L_1 is regular and L_2 is regular then so is $L_1 - L_2$ (the set of all strings in L_1 but not in L_2).

$L_1 - L_2$ is the same as the intersection of L_1 and the complement of L_2 . Since the set of regular languages is closed under each of these operations, $L_1 - L_2$ must be regular.

- b. Prove that if L is regular then $\text{Prefix}(L)$ is regular. $\text{Prefix}(L)$ is the set of all strings which are a prefix of a string in L .

We can construct a DFA to decide $\text{Prefix}(L)$ by taking the DFA for L and marking all states from which an accept state is reachable as accept states. So, $\text{Prefix}(L)$ must be regular.

- c. Prove that Regular Sets are closed under MIN. $\text{MIN}(R)$, where R is a regular set, is the set of strings w in R where every proper prefix of w is not in R . (Note that this is not simply the complement of $\text{PREFIX}(R)$).

We can construct a DFA to decide $\text{MIN}(R)$ by taking the DFA for R and redirecting all outgoing transitions from all the accept states to a dead state. So, $\text{MIN}(R)$ must be regular.

- d. Prove that Regular Sets are NOT closed under infinite union. (A counterexample suffices)

Consider the sets $\{0\}$, $\{01\}$, $\{0011\}$, etc. Each one is regular because it only contains one string. The infinite union is the set $\{0^i 1^i \mid i \geq 0\}$ which we know is not regular. So the infinite union is not closed for regular languages.

- e. What about infinite intersection?

We know that

$$\{0^i 1^i \mid i \geq 0\} = \{0\} \cup \{01\} \cup \{0011\} \cup \dots,$$

Taking complements and applying DeMorgan's law gives us

$$\{0^i 1^i \mid i \geq 0\}^c = \{0\}^c \cap \{01\}^c \cap \{0011\}^c \cap \dots,$$

Where we are using \cup to denote union and \cap to denote intersection. Recall the complement of a regular language is regular, and hence the complement of a not-regular language is not regular. We can conclude that the left hand side of the equation is not-regular, and each term in the intersection is regular.

and S_a denotes the set of states that can be reached from some state in S in one step with a single transition. To simplify the notation, we are allowing multiple start states $\{(q, r, \{r\})\}$. This could be also achieved by introducing a new state and introducing epsilon moves. The reason that this accepts $\text{Half}(L)$ is that r_2 in (r_1, r_2, S) remembers the midpoint of a potential string in L , and S represents the set of paths that can be reached from r_2 in the number of steps it takes to get from the start q to r_1 . When $r_1 = r_2$, S contains all the paths that one can get to in twice as many steps as it took to get from q to r_1 .

An intuitive explanation The $\text{Half}(L)$ problem is given a string w is there a string x of the same length as w such that wx is in the language L . This is hard to solve directly, so we break it into a series of subproblems of the following form: Fix a machine M that generates L and pick a state r in M . The problem $\text{Half}(L, r)$ is then: Given a string w , is there a string x of the same length as w such that wx is in the language L and after reading in w , the machine M is in the state r .

The problem $\text{Half}(L, r)$ is then: Given a string w , is there a string x of the same length as w such that wx is in the language L and after reading in w , the machine M is in the state r .

We can reduce solving $\text{Half}(L)$ to solving $\text{Half}(L, r)$ for each state r in the machine M and combine the results. The reason this is good is that the problem $\text{Half}(L, r)$ decomposes naturally into two simple problems:

If we make the machine M' by making all accept states in M be reject states, and by making the start state q an accept state, does M' accept the string w ?

and

If we make the machine M'' by making state r the start state, and changing all 0 transitions to 1 transitions and similarly all 1 transitions to 0 transitions, does the machine M'' accept the string w ?

What we have done in the second case is to ignore what the value of any character in the string is. This is how to make a machine to accept all strings that have the same length as strings accepted by the given machine. Putting all this together should result in a similar machine to what is given as a solution here, with possibly some missing extraneous states.

6. Regular Expressions

a. $(10+0)^*(1+10)^*$

$(10+0)^*$ will generate all strings that do not contain a pair of 1s, and $(1+10)^*$ generates strings that contain a pair of 0s. So, the concatenation will generate all strings in which every occurrence of 0 precedes every occurrence of 11.

b. $0^*(1+000^*)^*0^*$

We can generate a string which does not contain the occurrence 101 by making sure that the middle (between two 1s) of the string must be paired with another 0.

c. $(e+0)(10)^*(e+0)(10)^*(e+1)(10)^*(e+1) + (e+0)(10)^*(e+1)(10)^*(e+0)(10)^*(e+0)$

The both terms are just alternating 1's and 0's, e.g. $(e+0)(10)^*(e+1)$ where you are allowed at most one extra 1 or 0 in between. We need two terms, depending on whether the double 0 comes first.

7. Converting Finite Automata to Regular Expressions

a. (1.16a) $(a^* + ba^*b)^*ba^*$

terms that themselves are concatenations of arbitrary numbers of terms in r . This is the $(r+s)^*$ which is the concatenation of an arbitrary number of terms in r .

- c. $(r+s)^*$ and r^*s^* are not equivalent because if s_1 is a string in s and r_1 is a word in r then $(r+s)^*$ but not r^*s^* but not the latter.

9. Final States

- a. Every NFA can be converted into an equivalent NFA with only a single accept state by creating a new accept state with epsilon moves from each of the old accept states.
- b. This does not work for DFAs. The DFAs of problems 1g, 1h, and 1i are all good counterexamples.

In general if the minimum DFA for a regular language has more than one final state, the language cannot be generated by a DFA with one final state. This is because minimization increases the number of final states.

- c. *Claim:* The regular languages that can be represented by a DFA with one final state are of the form RS^* , where R and s are regular prefix-free languages.

Proof: We need the following lemma first: A prefix free regular language M can be generated by a DFA with one final state. Suppose we have DFA representation of M that has multiple final states. Then all outgoing transitions from those final states must go to dead states since M is prefix free. When we minimize the DFA, all the dead states will become equivalent, and therefore all the final states will become equivalent too.

We also need the following lemma: The Kleene star, M^* , of prefix free regular language M can be generated by a machine with one final state. From the previous lemma we know there is a DFA for M with one final state. We can make M^* by taking the minimal DFA that accepts M and removing the transitions from the final state and collapsing it together with the initial state (keeping it a final state).

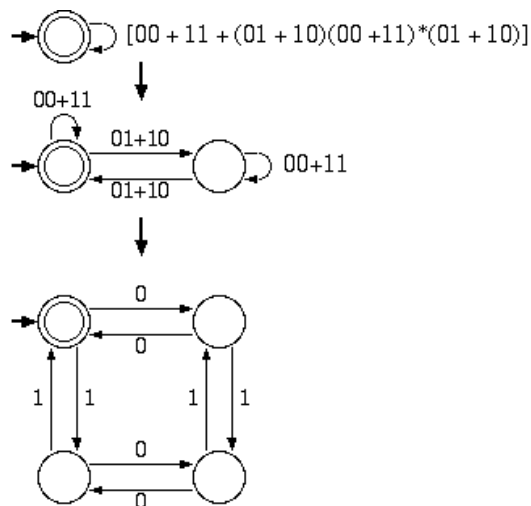
From these two lemmas it is clear that RS^* can be generated by a machine with one final state if R and s are prefix free, because we can just concatenate the machines for R and S^* .

Conversely, if L is generated by a DFA M with one final state, then $L = \text{Min}(L) (\text{Min}(L'))^*$, where L' is the language of the machine M' that has the same states, transitions, and final state as M , and we choose the final state of M to be the start state of M' . Since the Min of a language is always prefix free, L is of the form we claim.

10. Optional Extra Problems

- a. Convert $[00 + 11 + (01 + 10)(00 + 11)^*(01 + 10)]^*$ to a Finite Automaton.

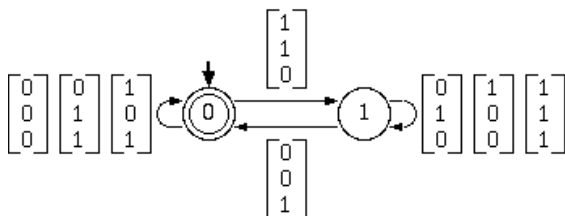
We just reverse the procedure for converting an NFA to a regular expression by ripping-i



(note: the rightmost state in the second diagram corresponds to the bottom right state in the first diagram.)

- b. (1.25) Let $B = \{w \mid \text{the bottom row of } w \text{ is the sum of the top two rows}\}$. The reverse of B is decided by the NFA below, and since the set of regular languages is closed under reverse, B is regular as well.

The NFA below determines if a string of columns composes a legal addition equation where two rows sum to the third. The two states correspond to whether the previous column has a carryout or not, and the legal transitions for each state correspond to columns which maintain the correctness of the equation. If an invalid column is added, no valid outgoing arrow is found, thus rejecting the input.



- c. (1.41) Let $D = \{w \mid w \text{ contains an equal number of occurrences of } 01 \text{ and } 10\}$. This language is decided by the DFA below, and so must be regular.

The DFA works because the number of 01 transitions must always be within one of the number of 10 transitions, so we need only remember which transition came first (top path vs. bottom path) and whether we have seen an even number or odd number of transitions (left state vs. right state).

