# Using clusterProfiler to identify and compare functional profiles of gene lists

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### 1 Introduction

In recently years, high-throughput experimental techniques such as microarray, RNA-Seq and mass spectrometry can detect cellular moleculars at systems-level. These kinds of analysis generate huge quantitaties of data, which need to be given a biological interpretation. A commonly used approach is via clustering in the gene dimension for grouping

different genes based on their similarities [1].

To search for shared functions among genes, a common way is to incorporate the biological knowledge, such as Gene Ontology (GO) and Kyoto Encyclopedia of genes and Genomes (KEGG), for identifying predominant biological themes of a collection of genes.

After clustering analysis, researchers not only want to determine whether there is a common theme of a particular gene cluster, but also to compare the biological themes among gene clusters. The manual step to choose interesting clusters followed by enrichment analysis on each selected cluster is slow and tedious. To bridge this gap, we designed clusterProfiler [2], for comparing and visualizing functional profiles among gene clusters.

## 2 Citation

Please cite the following articles when using clusterProfiler.

G Yu, LG Wang, Y Han, QY He. clusterProfiler: an R package for comparing biological themes among gene clusters. *OMICS: A Journal of Integrative Biology*. 2012, 16(5), 284-287.

# 3 Gene Ontology Classification

In *clusterProfiler*, groupGO is designed for gene classification based on GO distribution at a specific level.

```
require(DOSE)
data(geneList)
gene <- names(geneList)[abs(geneList) > 2]
head(gene)
[1] "4312" "8318" "10874" "55143" "55388" "991"
ggo <- groupGO(gene=gene, organism="human",
                                ont="BP", level=3, readable=TRUE)
head(summary(ggo))
                    ID
                                                      Description Count
 GD:0019953 GD:0019953
                                              sexual reproduction
                                                                      9
 GO:0019954 GO:0019954
                                             asexual reproduction
                                                                      0
 GO:0022414 GO:0022414
                                             reproductive process
                                                                     23
 GD:0032504 GD:0032504
                             multicellular organism reproduction
                                                                     10
 GO:0032505 GO:0032505 reproduction of a single-celled organism
                                                                      0
 GO:0048610 GO:0048610 cellular process involved in reproduction
                                                                      8
 GO:0019953
 GO:0019954
 GO:0022414 MMP1/CDC20/TOP2A/ASPM/CDK1/TRIP13/ID01/CCNB1/CSN3/PTTG1/COL16A1/DACH1/CORI
```

GD:0032504 GD:0032505 GD:0048610

# 4 Enrichment Analysis

## 4.1 Hypergeometric model

Enrichment analysis [3] is a widely used approach to identify biological themes. Here we implement hypergeometric model to assess whether the number of selected genes associated with disease is larger than expected.

To determine whether any terms annotate a specified list of genes at frequency greater than that would be expected by chance, *clusterProfiler* calculates a p-value using the hypergeometric distribution:

$$p = 1 - \sum_{i=0}^{k-1} \frac{\binom{M}{i} \binom{N-M}{n-i}}{\binom{N}{n}}$$

In this equation, N is the total number of genes in the background distribution, M is the number of genes within that distribution that are annotated (either directly or indirectly) to the node of interest, n is the size of the list of genes of interest and k is the number of genes within that list which are annotated to the node. The background distribution by default is all the genes that have annotation.

P-values were adjusted for multiple comparison, and q-values were also calculated for FDR control.

# 4.2 GO enrichment analysis

```
Description GeneRatio
GO:0005819 GO:0005819
                                                       spindle
                                                                  23/195
GO:0015630 GO:0015630
                                      microtubule cytoskeleton
                                                                  37/195
GD:0000793 GD:0000793
                                                                  16/195
                                          condensed chromosome
GO:0000779 GO:0000779 condensed chromosome, centromeric region
                                                                  12/195
GD:0044430 GD:0044430
                                             cytoskeletal part
                                                                  41/195
GD:0005876 GD:0005876
                                           spindle microtubule
                                                                   9/195
             BgRatio pvalue p.adjust qvalue
GD:0005819 198/11807 1.56e-13 1.26e-11 7.37e-12
GD:0015630 666/11807 5.13e-11 2.08e-09 1.22e-09
```

```
GD:0000793 136/11807 7.63e-10 2.06e-08 1.20e-08
GD:0000779 69/11807 1.14e-09 2.30e-08 1.35e-08
GD:0044430 931/11807 4.67e-09 7.56e-08 4.42e-08
GD:0005876 37/11807 6.34e-09 8.56e-08 5.01e-08
GD:0005819
GD:0015630
                                  KIF20A/TACC3/CENPE/CHEK1/KIF18B/SKA1/TPX2/NCAPH/KI
GD:0000793
GD:0000779
GO:0044430 KIF20A/TACC3/CENPE/CHEK1/KIF18B/SKA1/TPX2/PSD3/KIF4A/ASPM/AK5/BIRC5/KIF11/
GD:0005876
          Count
GD:0005819
             23
GD:0015630
             37
GD:0000793
GD:0000779
            12
GD:0044430
             41
GD:0005876
             9
```

## 4.3 KEGG pathway enrichment analysis

hsa04914

hsa04062

hsa04060

Description GeneRatio BgRatio hsa04110 hsa04110 Cell cycle 11/74 128/5894 Oocyte meiosis 10/74 114/5894 hsa04114 hsa04114 hsa03320 hsa03320 PPAR signaling pathway hsa04914 hsa04914 Progesterone-mediated oocyte maturation hsa04062 hsa04062 Chemokine signaling pathway 7/74 70/5894 6/74 87/5894 8/74 189/5894 hsa04060 hsa04060 Cytokine-cytokine receptor interaction 9/74 265/5894 pvalue p.adjust qvalue hsa04110 4.31e-07 3.02e-06 4.54e-07 hsa04114 1.25e-06 4.38e-06 6.59e-07 hsa03320 2.35e-05 5.49e-05 8.25e-06 hsa04914 7.21e-04 1.26e-03 1.90e-04 hsa04062 2.37e-03 3.32e-03 5.00e-04 hsa04060 5.58e-03 6.51e-03 9.79e-04 geneID Count hsa04110 CDC45/CDC20/CCNB2/CCNA2/CDK1/MAD2L1/TTK/CHEK1/CCNB1/MCM5/PTTG1 11 hsa04114 CDC20/CCNB2/CDK1/MAD2L1/CALML5/AURKA/CCNB1/PTTG1/ITPR1/PGR 10 hsa03320 MMP1/FADS2/ADIPOQ/PCK1/FABP4/HMGCS2/PLIN1

CCNB2/CCNA2/CDK1/MAD2L1/CCNB1/PGR

CXCL10/CXCL13/CXCL11/CXCL9/CCL18/CCL8/CXCL14/CX3CR1

CXCL10/CXCL13/CXCL11/CXCL9/CCL18/IL1R2/CCL8/CXCL14/CX3CR1

6

8

#### 4.4 DO enrichment analysis

Disease Ontology (DO) enrichment analysis is implemented in *DOSE*, please refer to the package vignettes. The enrichDO function is very useful for identifying disease association of interesting genes.

## 4.5 Reactome pathway enrichment analysis

With the demise of KEGG (at least without subscription), the KEGG pathway data in Bioconductor will not update and we encourage user to analyze pathway using *ReactomePA* which use Reactome as a source of pathway data. The function call of enrichPathway in *ReactomePA* is consistent with enrichKEGG.

#### 4.6 Function call

The function calls of groupGO, enrichGO, enrichKEGG, enrichDO and enrichPathway are similar. The input parameters of *gene* is a vector of entrezgene (for human and mouse) or ORF (for yeast) IDs, and *organism* should be supported species (please refer to the manual of the specific function).

For GO analysis, *ont* must be assigned to one of "BP", "MF", and "CC" for biological process, molecular function and cellular component, respectively. In groupGO, the *level* specify the GO level for gene projection.

In enrichment analysis, the *pvalueCutoff* is to restrict the result based on their pvalues and the adjusted p values. *Q-values* were also calculated for controlling false discovery rate (FDR).

The *readable* is a logical parameter to indicate the input gene IDs will map to gene symbols or not.

#### 4.7 Visualization

The output of groupGO, enrichGO and enrichKEGG can be visualized by bar plot and category-gene-network plot. It is very common to visualize the enrichment result in bar or pie chart. We believe the pie chart is misleading and only provide bar chart.

#### **4.7.1** barplot

```
barplot(ggo, drop=TRUE, showCategory=12)
barplot(ego, showCategory=8)
```

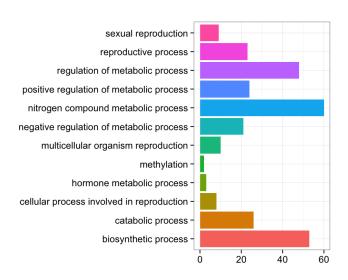


Figure 1: barplot of GO classification Result

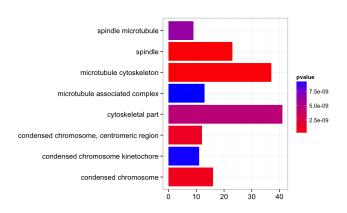


Figure 2: barplot of GO enrichment Result

### 4.7.2 cnetplot

In order to consider the potentially biological complexities in which a gene may belong to multiple annotation categories and provide information of numeric changes if available, we developed cnetplot function to extract the complex association.

```
cnetplot(ego, categorySize="pvalue", foldChange=geneList)
cnetplot(kk, categorySize="geneNum", foldChange=geneList)
```

#### 4.7.3 viewKEGG

We developed viewKEGG, which extend functions of *Pathview* to support output of enrichKEGG, to automate visualize KEGG pathway.

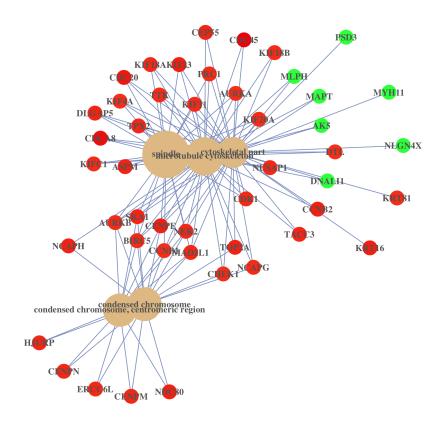


Figure 3: cnetplot of GO enrichment result

```
pv.res <- viewKEGG(kk, pathwayID="hsa04110", foldChange=geneList, kegg.native=TRUE)
pv.res <- viewKEGG(kk, pathwayID=1, foldChange=geneList, kegg.native=FALSE)</pre>
```

The parameter *pathwayID* can be numeric vector or character vector. If *pathwayID* is numeric value, viewKEGG will use it as index of *KEGG enrichment result* and convert it to corresponding pathway ID. The *pathwayID* can also set to "all", and all the maps of significant pathways will be generated.

# 5 Biological theme comparison

clusterProfiler was developed for biological theme comparison, and it provides a function, compareCluster, to automatically calculate enriched functional categories of each gene clusters.

```
data(gcSample)
ck <- compareCluster(geneCluster=gcSample, fun="enrichKEGG")
plot(ck)</pre>
```

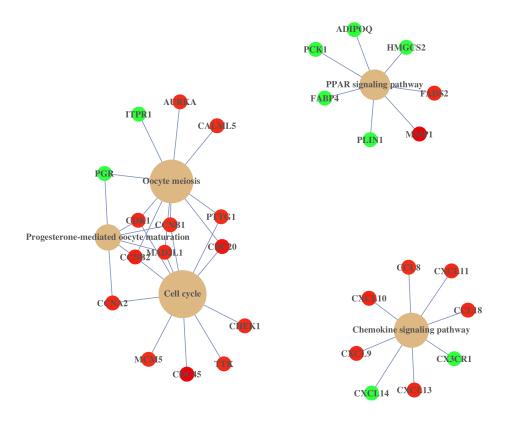


Figure 4: cnetplot of KEGG enrichment result

By default, only top 5 (most significant) categories of each cluster was plotted. User can changes the parameter *showCategory* to specify how many categories of each cluster to be plotted, and if *showCategory* was set to *NULL*, the whole result will be plotted.

The dot sizes were based on their corresponding row percentage by default, and user can set the parameter by to "count" to make the comparison based on gene counts. We choose "percentage" as default parameter to represent the size of dots, since some categories may contain a large number of genes, and make the dot sizes of those small categories too small to compare. To provide the full information, we also provide number of identified genes in each category (numbers in parentheses), as shown in Figure 3. If the dot sizes were based on "count", the row numbers will not shown.

The p-values indicate that which categories are more likely to have biological meanings. The dots in the plot are color-coded based on their corresponding p-values. Color gradient ranging from red to blue correspond to in order of increasing p-values. That is, red indicate low p-values (high enrichment), and blue indicate high p-values (low enrichment). P-values and adjusted p-values were filtered out by the threshold giving by parameter *pvalueCutoff*, and FDR can be estimated by *qvalue*.

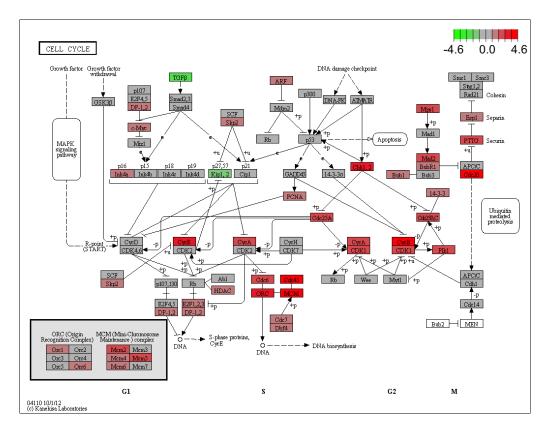


Figure 5: viewKEGG in KEGG view

User can refer to the example in [2]; we analyzed the publicly available expression dataset of breast tumour tissues from 200 patients (GSE11121, Gene Expression Omnibus) [?]. We identified 8 gene clusters from differentially expressed genes, and using compareCluster to compare these gene clusters by their enriched biological process.

Another example was shown in [?], we calculated functional similarities among viral miRNAs using method described in [?], and compared significant KEGG pathways regulated by different viruses using compareCluster.

The comparison function was designed as a general-package for comparing gene clusters of any kind of ontology associations, not only groupGO, enrichGO, and enrichKEGG this package provided, but also other biological and biomedical ontologies, for instance, enrichDO from DOSE and enrichPathway from ReactomePA work fine with compareCluster for comparing biological themes in disease and reactome pathway perspective. More details can be found in the vignettes of DOSE and ReactomePA.

# 6 Session Information

The version number of R and packages loaded for generating the vignette were:

- R version 3.0.1 (2013-05-16), x86\_64-apple-darwin10.8.0
- Locale: C/UTF-8/C/C/C/C

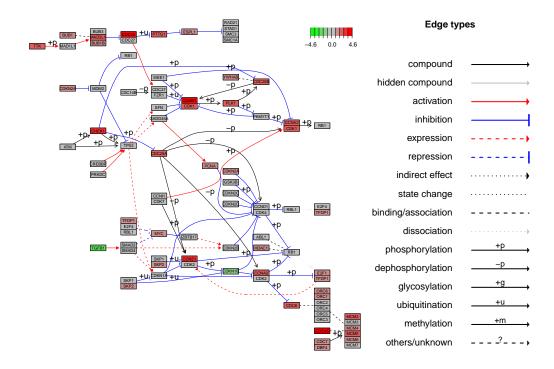


Figure 6: viewKEGG in Graphviz view

- Base packages: base, datasets, grDevices, graphics, methods, parallel, stats, utils
- Other packages: AnnotationDbi 1.22.6, Biobase 2.20.0, BiocGenerics 0.6.0, DBI 0.2-7, DOSE 1.99.0, GO.db 2.9.0, KEGGgraph 1.16.0, RSQLite 0.11.4, XML 3.95-0.2, cacheSweave 0.6-1, clusterProfiler 1.9.1, filehash 2.2-1, ggplot2 0.9.3.1, graph 1.38.2, org.Hs.eg.db 2.9.0, pathview 1.1.2, stashR 0.3-5
- Loaded via a namespace (and not attached): DO.db 2.6.0, GOSemSim 1.19.0, IRanges 1.18.1, KEGG.db 2.9.1, MASS 7.3-26, RColorBrewer 1.0-5, Rgraphviz 2.4.0, colorspace 1.2-2, dichromat 2.0-0, digest 0.6.3, grid 3.0.1, gtable 0.1.2, igraph 0.6.5-2, labeling 0.1, munsell 0.4, plyr 1.8, png 0.1-5, proto 0.3-10, qvalue 1.34.0, reshape2 1.2.2, scales 0.2.3, stats4 3.0.1, stringr 0.6.2, tcltk 3.0.1, tools 3.0.1

#### References

- [1] Guangchuang Yu, Fei Li, Yide Qin, Xiaochen Bo, Yibo Wu, and Shengqi Wang. Gosemsim: an r package for measuring semantic similarity among go terms and gene products. *Bioinformatics*, 26(7):976–978, 2010. PMID: 20179076.
- [2] Guangchuang Yu, Le-Gen Wang, Yanyan Han, and Qing-Yu He. clusterprofiler: an r package for comparing biological themes among gene clusters. *OMICS: A Journal of Integrative Biology*, 16(5):284–287, May 2012.
- [3] Elizabeth I Boyle, Shuai Weng, Jeremy Gollub, Heng Jin, David Botstein, J Michael Cherry, and Gavin Sherlock. GO::TermFinder—open source software for accessing gene ontology information and finding significantly enriched gene ontology terms

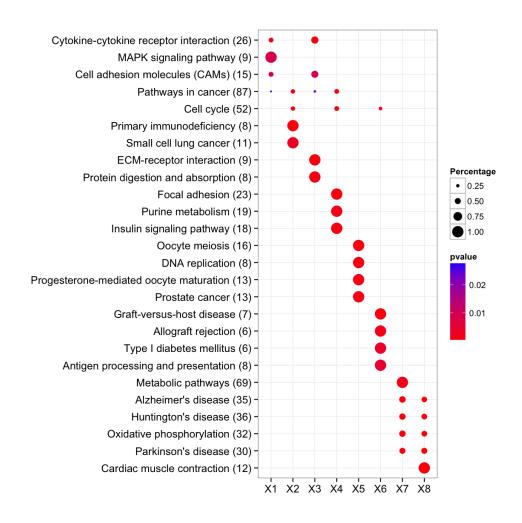


Figure 7: Comparison of KEGG enrichment of gene clusters

associated with a list of genes. *Bioinformatics (Oxford, England)*, 20(18):3710–3715, December 2004. PMID: 15297299.