

This is a trivial example from the document “Teaching *Concurrence*” that appeared in  
*ACM SIGACT News* Volume 40, Issue 1 (March 2009), 58 – 62

A copy of that article is at

<http://lamport.azurewebsites.net/pubs/teaching-concurrency.pdf>

It is also the example in Section 7.2 of “Proving Safety Properties”, which is at

<http://lamport.azurewebsites.net/tla/proving-safety.pdf>

EXTENDS *Integers*, *TLAPS*

CONSTANT *N*

ASSUME  $NAssump \triangleq (N \in Nat) \wedge (N > 0)$

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Here is the algorithm in *PlusCal*. It’s easy to understand if you think of the *N* processes, numbered from 0 through *N* – 1, as arranged in a circle, with processes  $(i - 1) \% N$  and  $(i + 1) \% N$  being the processes on either side of process *i*.

```
--algorithm Simple{
  variables  $x = [i \in 0 \dots (N - 1) \mapsto 0]$ ,  $y = [i \in 0 \dots (N - 1) \mapsto 0]$ ;
  process (  $proc \in 0 \dots N - 1$  ) {
    a:  $x[self] := 1$ ;
    b:  $y[self] := x[(self - 1) \% N]$ 
  }
}
```

\*\*\*\*\*

BEGIN TRANSLATION This is the TLA+ translation of the *PlusCal* code.

VARIABLES *x*, *y*, *pc*

$vars \triangleq \langle x, y, pc \rangle$

$ProcSet \triangleq (0 \dots N - 1)$

$Init \triangleq$  Global variables  
 $\wedge x = [i \in 0 \dots (N - 1) \mapsto 0]$   
 $\wedge y = [i \in 0 \dots (N - 1) \mapsto 0]$   
 $\wedge pc = [self \in ProcSet \mapsto \text{“a”}]$

$a(self) \triangleq$   $\wedge pc[self] = \text{“a”}$   
 $\wedge x' = [x \text{ EXCEPT } ![self] = 1]$   
 $\wedge pc' = [pc \text{ EXCEPT } ![self] = \text{“b”}]$   
 $\wedge y' = y$

$b(self) \triangleq$   $\wedge pc[self] = \text{“b”}$   
 $\wedge y' = [y \text{ EXCEPT } ![self] = x[(self - 1) \% N]]$   
 $\wedge pc' = [pc \text{ EXCEPT } ![self] = \text{“Done”}]$   
 $\wedge x' = x$

$$proc(self) \triangleq a(self) \vee b(self)$$

$$Next \triangleq (\exists self \in 0 \dots N-1 : proc(self)) \\ \vee \text{Disjunct to prevent deadlock on termination} \\ ((\forall self \in ProcSet : pc[self] = \text{"Done"}) \wedge \text{UNCHANGED } vars)$$

$$Spec \triangleq Init \wedge \Box[Next]_{vars}$$

$$Termination \triangleq \Diamond(\forall self \in ProcSet : pc[self] = \text{"Done"})$$

END TRANSLATION

The property of this algorithm we want to prove is that, when all the processes have terminated,  $y[i]$  equals 1 for at least one process  $i$ . This property is expressed by the invariance of the following formula  $PCorrect$ . In other words, we have to prove the theorem

$$Spec \Rightarrow \Box PCorrect$$

$$PCorrect \triangleq (\forall i \in 0 \dots (N-1) : pc[i] = \text{"Done"}) \Rightarrow \\ (\exists i \in 0 \dots (N-1) : y[i] = 1)$$

Proving the invariance of  $PCorrect$  requires finding an inductive invariant  $Inv$  that implies it. As usual, the inductive invariant includes a type-correctness invariant, which is the following formula  $TypeOK$ .

$$TypeOK \triangleq \wedge x \in [0 \dots (N-1) \rightarrow \{0, 1\}] \\ \wedge y \in [0 \dots (N-1) \rightarrow \{0, 1\}] \\ \wedge pc \in [0 \dots (N-1) \rightarrow \{\text{"a"}, \text{"b"}, \text{"Done"}\}]$$

It's easy to use *TLC* to check that the following formula  $Inv$  is an inductive invariant of the algorithm. You should also be able to check that the propositional logic tautology

$$(A \Rightarrow B) = ((\neg A) \vee B)$$

and the predicate logic tautology

$$(\sim \forall i \in S : P(i)) = \exists i \in S : \sim P(i)$$

imply that the last conjunct of  $Inv$  is equivalent to  $PCorrect$ . When I wrote the definition, I knew that this conjunct of  $Inv$  implied  $PCorrect$ , but I didn't realize that the two were equivalent until I saw the invariant written in terms of  $PCorrect$  in a paper by *Yuri Abraham*. That's why I originally didn't define  $Inv$  in terms of  $PCorrect$ . I'm not sure why, but I find the implication to be a more natural way to write the postcondition  $PCorrect$  and the disjunction to be a more natural way to think about the inductive invariant.

$$Inv \triangleq \wedge TypeOK \\ \wedge \forall i \in 0 \dots (N-1) : (pc[i] \in \{\text{"b"}, \text{"Done"}\}) \Rightarrow (x[i] = 1) \\ \wedge \vee \exists i \in 0 \dots (N-1) : pc[i] \neq \text{"Done"} \\ \vee \exists i \in 0 \dots (N-1) : y[i] = 1$$

Here is the proof of correctness. The top-level steps  $\langle 1 \rangle 1 - \langle 1 \rangle 4$  are the standard ones for an invariance proof, and the decomposition of the proof of  $\langle 1 \rangle 2$  was done with the *Toolbox*'s Decompose Proof command. It was trivial to get *TLAPS* to check the proof, except for the proof of  $\langle 2 \rangle 2$ . A comment explains the problem I had with that step.

THEOREM  $Spec \Rightarrow \Box PCorrect$

```

<1> USE NAssump
<1>1. Init  $\Rightarrow$  Inv
  BY DEF Init, Inv, TypeOK, ProcSet
<1>2. Inv  $\wedge$  [Next]vars  $\Rightarrow$  Inv'
  <2> SUFFICES ASSUME Inv,
    [Next]vars
    PROVE Inv'

  OBVIOUS
  <2>1. ASSUME NEW self  $\in$  0 .. (N - 1),
    a(self)
    PROVE Inv'
  BY <2>1 DEF a, Inv, TypeOK
  <2>2. ASSUME NEW self  $\in$  0 .. (N - 1),
    b(self)
    PROVE Inv'

    I spent a lot of time decomposing this step down to about level <5> until I realized that
    the problem was that the default SMT solver in the version of TLAPS I was using was
    CVC3, which seems to know nothing about the % operator. When I used Z3, no further
    decomposition was needed.

  BY <2>2, Z3 DEF b, Inv, TypeOK
  <2>3.CASE UNCHANGED vars
  BY <2>3 DEF TypeOK, Inv, vars
  <2>4. QED
  BY <2>1, <2>2, <2>3 DEF Next, proc
  <1>3. Inv  $\Rightarrow$  PCorrect
  BY DEF Inv, TypeOK, PCorrect
  <1>4. QED
  BY <1>1, <1>2, <1>3, PTL DEF Spec

```

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\ * Modification History
\ * Last modified Wed May 15 02:33:18 PDT 2019 by lamport
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