演算法 Term Project 報告 大氣 4A 106601015 黃展皇

1. 中文手寫辨識準確率(accuracy),以截圖方式呈現:

```
cnn_v1.h5 test acc: 0.94529, test loss: 0.18100
cnn_v10.h5 test acc: 0.93118, test loss: 0.25295
cnn_v2.h5 test acc: 0.95059, test loss: 0.16178
cnn_v3.h5 test acc: 0.92647, test loss: 0.28473
cnn_v4.h5 test acc: 0.94824, test loss: 0.17894
cnn_v5.h5 test acc: 0.95412, test loss: 0.18110
cnn_v6.h5 test acc: 0.92118, test loss: 0.27892
cnn_v7.h5 test acc: 0.94235, test loss: 0.20074
cnn_v8.h5 test acc: 0.96176, test loss: 0.18704
cnn_v9.h5 test acc: 0.93588, test loss: 0.21232
```

如圖,共做了10種版本的CNN模型,分別測試深度、kernel大小及其排

列組合、Dropout 大小、有無 Pooling 等等。

其中 acc 表現最好的是 v8(下左圖),得到 0.96176 的準確度

loss 表現最好的是 v2(下右圖) , loss 下降到 0.16178

ayer (type)	Output	Shape	Param #
conv2d_51 (Conv2D)	(None,	26, 26, 32)	320
max_pooling2d_30 (MaxPooling	(None,	13, 13, 32)	0
conv2d_52 (Conv2D)	(None,	11, 11, 64)	18496
max_pooling2d_31 (MaxPooling	(None,	5, 5, 64)	0
dropout_64 (Dropout)	(None,	5, 5, 64)	0
conv2d_53 (Conv2D)	(None,	3, 3, 128)	73856
dropout_65 (Dropout)	(None,	3, 3, 128)	0
conv2d_54 (Conv2D)	(None,	1, 1, 128)	147584
dropout_66 (Dropout)	(None,	1, 1, 128)	0
flatten_17 (Flatten)	(None,	128)	0
dropout_67 (Dropout)	(None,	128)	0
dense_34 (Dense)	(None,	128)	16512
dropout_68 (Dropout)	(None,	128)	0
dense_35 (Dense)	(None,	10)	1290

Model: "sequential_11"		
Layer (type)	Output Shape	Param #
conv2d_34 (Conv2D)	(None, 26, 26, 32)	320
max_pooling2d_19 (MaxPooling	(None, 13, 13, 32)	0
conv2d_35 (Conv2D)	(None, 11, 11, 64)	18496
max_pooling2d_20 (MaxPooling	(None, 5, 5, 64)	0
dropout_43 (Dropout)	(None, 5, 5, 64)	0
conv2d_36 (Conv2D)	(None, 1, 1, 128)	204928
dropout_44 (Dropout)	(None, 1, 1, 128)	0
flatten_11 (Flatten)	(None, 128)	0
dropout_45 (Dropout)	(None, 128)	0
dense_22 (Dense)	(None, 128)	16512
dropout_46 (Dropout)	(None, 128)	0
dense_23 (Dense)	(None, 10)	1290
Total params: 241,546 Trainable params: 241,546 Non-trainable params: 0		

2. Source code 之逐行解釋:下方用中文做詳細解釋

```
# import the following packages
import os
import random
import numpy as np
from PIL import Image
from tensorflow.keras.models import Sequential
from tensorflow.keras.layers import Dense, Dropout, Flatten
from tensorflow.keras.layers import Conv2D, MaxPooling2D
from tensorflow.keras.models import save_model, load_model
from tensorflow.keras.applications import ResNet152V2, ResNet50
from tensorflow.keras.utils import to_categorical
from matplotlib import pyplot as plt
import datetime
→以上 import 需要用到的套件,版本放在最後附錄
#x, y(label) preprocess
→輸入 datapath 路徑(只會是 train_image/test_image),並且 os.walk 對 datapath
內的所有圖片做讀取、nomalize、reshape,並對 labels 做 to categorical,最後
return data_x, data_y 分別對應資料以及 labels
def data_x_y_preprocess(datapath):
                                             →定義方法,輸入資料路徑
   print('data_x_y_preprocess init')
                                             →調用到這方法的測試
   img_row, img_col = 28, 28
                                             →定義圖片大小
   data_x = np.zeros((img_row, img_col)).reshape(1, img_row, img_col)
   →定義空圖片 array,形狀是(1, 28, 28)(數量,高,寬)
                                             →初始化圖片數量=0
   pic counter = 0
                                             →圖形分類結果
   data y = []
                                             →分類結果總數(0~9)
   num_class = 10
   for root, dirs, files in os.walk(datapath): → 走訪 datapath
       for f in files:
                                             →對所有圖片檔案迴圈
           data_y.append(int(root.split('\\')[-1]))
          →f 的 root 尾段即為該數字分類類別
           fullpath = os.path.join(root, f) →更新檔案完整位置
           img = Image.open(fullpath)
                                             →用 Image 套件開圖片
           img = (np.array(img)/255).reshape(1, 28, 28) #nomalize
           →做 nomalize,0~255 大小同除 255 即可,再 reshape 成 data x 大小
```

```
data_x = np.vstack((data_x, img))
           →在 shape[0]拼接 data_x 與 img
           pic_counter += 1
                                               →圖片數量+1
   data_x = np.delete(data_x, 0, axis=0)
                                               →刪除原本的空 array
   data_x = data_x.reshape(pic_counter, img_row, img_col, 1)
    →擴充 shape 多一維
   #data_y = np_utils.to_categorical(data_y, num_class)
   data_y = to_categorical(data_y, num_class)
    →將 data_y list 做 to_categorical(做 one-hot encoding)
   return data_x, data_y
                                               →回傳 x, y
接下來的 create_cnn_model_{1~10}系列皆為回傳一個 Sequential 模型的方法:
建立 model 為 Sequential 模型,並不斷.add 堆疊 Conv2D、MaxPooling2D、
Dropout、Flatten 等層做組合排列,最後 Dense 層用 'softmax' 方法做分類,完成模型
建構,.Summary()觀察模型架構,最後回傳 model
# basic version
def create_cnn_model_v1():
                                           →定義方法 version1
   model = Sequential()
   model.add(Conv2D(32, kernel_size=(3, 3), activation='relu', input_s
hape=(28, 28, 1)))
   model.add(MaxPooling2D(pool_size=(2, 2)))
   model.add(Conv2D(64, kernel_size=(3, 3), activation='relu'))
   model.add(MaxPooling2D(pool_size=(2, 2)))
   model.add(Dropout(0.1))
   model.add(Flatten())
   model.add(Dropout(0.1))
   model.add(Dense(128, activation='relu'))
   model.add(Dropout(0.25))
   model.add(Dense(10, activation='softmax'))
   model.summary()
   return model
# deeper version
```

```
def create_cnn_model_v2():
                                                  →定義方法 version2
    model = Sequential()
    model.add(Conv2D(32, kernel size=(3, 3), activation='relu', input s
hape=(28, 28, 1)))
   model.add(MaxPooling2D(pool_size=(2, 2)))
   model.add(Conv2D(64, kernel_size=(3, 3), activation='relu'))
    model.add(MaxPooling2D(pool_size=(2, 2)))
   model.add(Dropout(0.1))
    model.add(Conv2D(128, kernel_size=(5, 5), activation='relu'))
    model.add(Dropout(0.1))
   model.add(Flatten())
   model.add(Dropout(0.1))
    model.add(Dense(128, activation='relu'))
    model.add(Dropout(0.25))
    model.add(Dense(10, activation='softmax'))
   model.summary()
    return model
# kernel_size 7->5->3 version
                                                  →定義方法 version3
def create_cnn_model_v3():
    model = Sequential()
    model.add(Conv2D(32, kernel_size=(7, 7), activation='relu', input_s
hape=(28, 28, 1)))
   model.add(MaxPooling2D(pool_size=(2, 2)))
   model.add(Dropout(0.1))
    model.add(Conv2D(64, kernel_size=(5, 5), activation='relu'))
   model.add(MaxPooling2D(pool_size=(2, 2)))
   model.add(Dropout(0.1))
   model.add(Conv2D(128, kernel_size=(3, 3), activation='relu'))
    model.add(Dropout(0.1))
```

```
model.add(Flatten())
    model.add(Dropout(0.1))
    model.add(Dense(128, activation='relu'))
   model.add(Dropout(0.25))
   model.add(Dense(10, activation='softmax'))
    model.summary()
    return model
# just test
                                                  →定義方法 version4
def create_cnn_model_v4():
   model = Sequential()
   model.add(Conv2D(16, kernel_size=(5, 5), activation='relu', padding
='same', input_shape=(28, 28, 1)))
   model.add(MaxPooling2D(pool_size=(2, 2)))
   model.add(Dropout(0.1))
   model.add(Conv2D(36, kernel_size=(5, 5), activation='relu', padding
='same'))
   model.add(MaxPooling2D(pool_size=(2, 2)))
   model.add(Dropout(0.1))
   model.add(Flatten())
   model.add(Dense(128, activation='relu'))
   model.add(Dropout(0.5))
   model.add(Dense(10, activation='softmax'))
   model.summary()
    return model
# just test2
def create_cnn_model_v5():
                                                  →定義方法 version5
   model = Sequential()
   model.add(Conv2D(32, (5,5), activation="relu", padding="same", inpu
t_shape=(28,28,1)))
    model.add(Conv2D(32, (5,5), activation="relu", padding="same", inpu
t_shape=(28,28,1)))
```

```
model.add(MaxPooling2D(pool_size=(2,2)))
    model.add(Dropout(0.25))
    model.add(Conv2D(64, (3,3), activation="relu", padding="same"))
    model.add(Conv2D(64, (3,3), activation="relu", padding="same"))
    model.add(MaxPooling2D(pool_size=(2,2)))
    model.add(Dropout(0.25))
   model.add(Flatten())
   model.add(Dense(256, activation="relu"))
    model.add(Dropout(0.5))
    model.add(Dense(10, activation="softmax"))
    model.summary()
    return model
                                                  →定義方法 version6
def create_cnn_model_v6():
   model = Sequential()
   model.add(Conv2D(32, kernel_size=(3, 3), activation='relu', input_s
hape=(28, 28, 1)))
   model.add(Conv2D(64, kernel_size=(3, 3), activation='relu'))
    model.add(MaxPooling2D(pool_size=(2, 2)))
   model.add(Dropout(0.25))
   model.add(Flatten())
   model.add(Dense(128, activation="relu"))
   model.add(Dropout(0.5))
   model.add(Dense(10, activation="softmax"))
   model.summary()
    return model
# deeper 3 3 3 version
def create_cnn_model_v7():
                                                  →定義方法 version7
    model = Sequential()
   model.add(Conv2D(32, kernel_size=(3, 3), activation='relu', input_s
hape=(28, 28, 1)))
    model.add(MaxPooling2D(pool_size=(2, 2)))
```

```
model.add(Conv2D(64, kernel_size=(3, 3), activation='relu'))
    model.add(MaxPooling2D(pool size=(2, 2)))
    model.add(Dropout(0.1))
    model.add(Conv2D(128, kernel_size=(3, 3), activation='relu'))
    model.add(Dropout(0.1))
   model.add(Flatten())
   model.add(Dropout(0.1))
    model.add(Dense(128, activation='relu'))
    model.add(Dropout(0.25))
    model.add(Dense(10, activation='softmax'))
    model.summary()
    return model
# more deeper 3 3 3 3 version
                                                  →定義方法 version8
def create_cnn_model_v8():
    model = Sequential()
   model.add(Conv2D(32, kernel_size=(3, 3), activation='relu', input_s
hape=(28, 28, 1)))
   model.add(MaxPooling2D(pool_size=(2, 2)))
    model.add(Conv2D(64, kernel_size=(3, 3), activation='relu'))
    model.add(MaxPooling2D(pool_size=(2, 2)))
    model.add(Dropout(0.1))
    model.add(Conv2D(128, kernel_size=(3, 3), activation='relu'))
   model.add(Dropout(0.1))
    model.add(Conv2D(128, kernel_size=(3, 3), activation='relu'))
    model.add(Dropout(0.1))
   model.add(Flatten())
    model.add(Dropout(0.1))
```

```
model.add(Dense(128, activation='relu'))
    model.add(Dropout(0.25))
    model.add(Dense(10, activation='softmax'))
   model.summary()
    return model
# no MaxPooling2D, more deeper 3 3 3 3 version
def create cnn model v9():
                                                  →定義方法 version9
   model = Sequential()
    model.add(Conv2D(32, kernel_size=(3, 3), activation='relu', input_s
hape=(28, 28, 1)))
    model.add(MaxPooling2D(pool_size=(2, 2)))
   model.add(Conv2D(64, kernel_size=(3, 3), activation='relu'))
   model.add(Dropout(0.1))
    model.add(Conv2D(128, kernel_size=(3, 3), activation='relu'))
   model.add(Dropout(0.1))
    model.add(Conv2D(128, kernel_size=(3, 3), activation='relu'))
    model.add(Dropout(0.1))
   model.add(Conv2D(128, kernel_size=(3, 3), activation='relu'))
    model.add(Dropout(0.1))
    model.add(Flatten())
    model.add(Dropout(0.1))
    model.add(Dense(128, activation='relu'))
   model.add(Dropout(0.25))
   model.add(Dense(10, activation='softmax'))
   model.summary()
    return model
# more deeper 3->3->5->7 version
                                                  →定義方法 version10
def create_cnn_model_v10():
```

```
model = Sequential()
   model.add(Conv2D(32, kernel_size=(3, 3), activation='relu', input_s
hape=(28, 28, 1)))
   model.add(MaxPooling2D(pool_size=(2, 2)))
   model.add(Conv2D(64, kernel_size=(3, 3), activation='relu'))
   model.add(Dropout(0.1))
   model.add(Conv2D(128, kernel_size=(5, 5), activation='relu'))
   model.add(Dropout(0.1))
   model.add(Conv2D(128, kernel_size=(7, 7), activation='relu'))
   model.add(Dropout(0.1))
   model.add(Flatten())
   model.add(Dropout(0.1))
   model.add(Dense(128, activation='relu'))
   model.add(Dropout(0.25))
   model.add(Dense(10, activation='softmax'))
   model.summary()
   return model
def create_ResNet50():
   model = ResNet50(include_top=False, weights='imagenet', input_tenso
r=None, input_shape=(28, 28, 1)) #[(None, 224, 224, 3)
   #model = ResNet50()
   model.summary()
   return model
def show_training_curve(train_history):
→定義接收訓練歷史物件的方法,畫出並儲存 loss 下降曲線
   plt.plot(train_history.history['loss'])
                                                → 畫出 loss 曲線
   plt.plot(train history.history['val loss']) → 畫出 val loss 曲線
   plt.title('train history')
                                                 →定義 title
```

```
plt.ylabel('loss')
                                               →ylabel 為 loss
   plt.xlabel('epochs')
                                               →xlabel 為 epochs
   plt.legend(['loss', 'val_loss'], loc='upper left') →上圖標在左上區
   plt.savefig(os.path.join('.', 'term_project', datetime.datetime.now
().strftime("%Y %m %d %H %M %S")))
                                               →用給定名字存在給定路徑
   #plt.show()
def main(operator='train'): →主程式,有 train 與 test 兩種動作型態
   print('----main {} init----'.format(operator)) →驗證用
   term_project_path = os.path.join('C:\\', 'Users', 'user', 'Desktop'
 'algorithm', 'term_project')
                                                   →定義路徑
                                                   →用 dict.儲存模型
   model_dict = {
       'cnn_v1':create_cnn_model_v1(),
       'cnn_v2':create_cnn_model_v2(),
       'cnn_v3':create_cnn_model_v3(),
       'cnn_v4':create_cnn_model_v4(),
       'cnn_v5':create_cnn_model_v5(),
       'cnn_v6':create_cnn_model_v6(),
       'cnn_v7':create_cnn_model_v7(),
       'cnn_v8':create_cnn_model_v8(), #best
       'cnn_v9':create_cnn_model_v9(),
       'cnn_v10':create_cnn_model_v10(),
       #'ResNet50':create_ResNet50(),
   if operator == 'train':
                                                   →若為 train 動作:
       train_datapath = os.path.join(term_project_path, 'train_image')
       →定義 train datapath(一個系統路徑,裡面有多個訓練圖片子資料夾樹)
       # get train data
       if os.path.isfile(os.path.join(term_project_path, 'train_data_x
.npy')):
       → 若.npy 檔案存在,則直接 np.load 進來分 train_data_x, train_data_y
           train_data_x, train_data_y = np.load(os.path.join(term_proj
ect_path, 'train_data_x.npy')), np.load(os.path.join(term_project_path,
 'train_data_y.npy'))
       else:
        →若.npy 檔案不存在,則調用 data_x_y_preprocess 取得資料
```

```
train_data_x, train_data_y = data_x_y_preprocess(train_data_
path)
           np.save(os.path.join(term project path, 'train data x.npy')
, train_data_x)
                           →將資料儲存為.npy 檔案(下次就不用重新處理資料)
           np.save(os.path.join(term_project_path, 'train_data_y.npy')
                          →將資料儲存為.npy 檔案(下次就不用重新處理資料)
, train data y)
       print('---
> train data x.shape, train_data_y.shape:', train_data_x.shape, train_d
ata y.shape)
                          →驗證用
       for model name, model in model dict.items(): →for 所有模型迴圈
           if os.path.isfile(os.path.join(term_project_path, '{}.h5'.f
ormat(model name))):
               →如果.h5(模型網路結果)存在則呈現已訓練過
              print('{} has been train!!!'.format(model_name))
           else:
               →如果找不到.h5 檔案(還沒訓練):
              model.compile(
                  loss='categorical_crossentropy',
                  optimizer='adam',
                  metrics=['accuracy']
→ compile 模型(loss 用類別的 crossentropy,優化器用 adam,測量方式只放準確度)
              train_history = model.fit(
                  train_data_x, train_data_y,
                  batch size=32,
                  epochs=30,
                  verbose=1,
                  validation_split=0.1
→ fit 模型(當然用 train_x/y,設定基本的 batch_size=32,epochs=30,其中設定
validation_split=0.1,會從 train 資料裡面選 0.1 比例的資料做驗證)
              show_training_curve(train_history)
→繪製訓練時的 loss 曲線
              save_model(model, os.path.join(term_project_path, '{}.h
5'.format(model name)))
→儲存訓練好的模型
   elif operator == 'test':
                                      → 若為 test 動作
```

```
test_datapath = os.path.join(term_project_path, 'test_image')
       →定義 test_datapath(一個系統路徑,裡面有多個測試圖片子資料夾樹)
       if os.path.isfile(os.path.join(term project path, 'test data x.
npy')):
       → 若.npy 檔案存在,則直接 np.load 進來分 test_data_x, test_data_y
           test_data_x, test_data_y = np.load(os.path.join(term_projec
t_path, 'test_data_x.npy')), np.load(os.path.join(term_project_path, 't
est_data_y.npy'))
       else:
       →若.npy 檔案不存在,則調用 data_x_y_preprocess 取得資料
           test_data_x, test_data_y = data_x_y_preprocess(test_datapat
h)
           np.save(os.path.join(term_project_path, 'test_data_x.npy'),
test_data_x)
           →將資料儲存為.npy 檔案(下次就不用重新處理資料)
           np.save(os.path.join(term_project_path, 'test_data_y.npy'),
 test_data_y)
           →將資料儲存為.npy 檔案(下次就不用重新處理資料)
       print('test_data_x.shape, test_data_y.shape:', test_data_x.shap
e, test data y.shape)
       #for all h5
       for file name in os.listdir(term project path):→for 所有.h5 模型
           if file_name.split('.')[-1] == 'h5':
              model = load_model(os.path.join(term_project_path, file
name))
               →讀進 model
              score = model.evaluate(test_data_x, test_data_y, verbos
               → 用 test_data_x, test_data_y 對 model evaluate 分數
e=0)
              print('{} test acc: {:.5f}, test loss: {:.5f}'.format(f
ile_name, score[1], score[0]))
               →秀出測試的準確度與 loss
   else:
       print('operator error!!!')
if __name__ == '__main__':
   main(operator='train')
                             →對所有 model dict 中的模型做訓練
                             →對所有 model dict 中的模型做測試
   main(operator='test')
```

補充以上程式所需要的套件及版本:

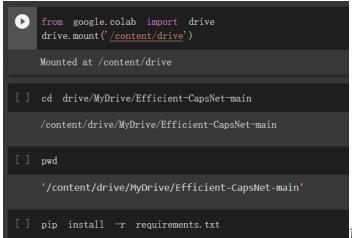
tensorflow (-gpu)=2.4.0、pillow =7.2.0、numpy =1.19.0、matplotlib=3.2.2 電腦配置:垃圾 windows10、conda env、tensorflow-gpu、GeForce GTX 1050 Ti 4GB、DDR4-2666 8G*2

另外補充:

另外自行參考 2021 年的論文" EFFICIENT-CAPSNET: CAPSULE NETWORK WITH SELF-ATTENTION ROUTING" (https://arxiv.org/abs/2101.12491) 實作 Efficient-CapsNet 用於本次的中文手寫辨識。

附件中有 efficient_capsnet_train.ipynb/efficient_capsnet_test.ipynb 分別用 於呼叫寫好的 preprocess 或 model 等等方式並加以訓練/測試,詳細程式碼與 註解附於 ipynb 檔案內。

環境採用 colab(tf 2.5 以上,GPU 加速,依賴套件如 requirements.txt 所示)



前處理與環境設置

```
[] import tensorflow as tf
    from utils import Dataset, plotImages, plotWrongImages, plotHistory
    from models import EfficientCapsNet

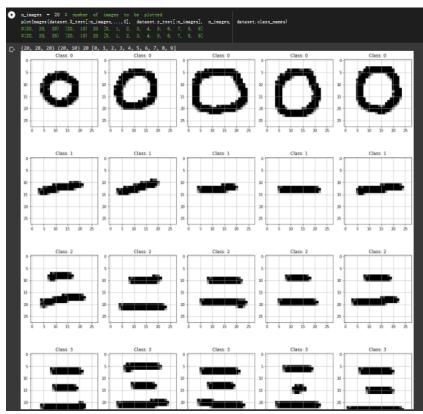
[] gpus = tf.config.experimental.list_physical_devices('GPU')
    tf.config.experimental.set_visible_devices(gpus[0], 'GPU')
    tf.config.experimental.set_memory_growth(gpus[0], True)

[] # some parameters
    #model_name = 'MNIST'
    model_name = 'TERM_PROJECT'

1.0 Import the Dataset

[] dataset = Dataset(model_name, config_path='config.json')
    #(60000, 28, 28, 1) (60000, 10) (10000, 28, 28, 1) (10000, 10) <class 'tuple'>
    #(2450, 28, 28, 28, 1) (2450, 10) (1700, 28, 28, 1) (1700, 10) <class 'tuple'>
```

套件與資料集設置



可視化

model_train = EfficientCapsNet(model_name, mode='train', verbose=True)

Model: "Efficient_CapsNet"

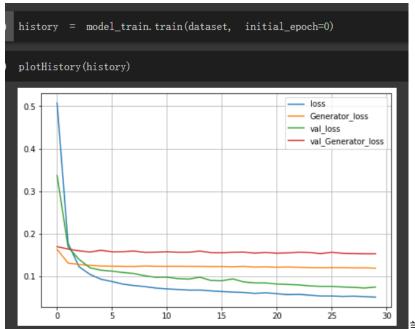
Layer (type)	Output Shape	Param #
input_4 (InputLayer)	[(None, 28, 28, 1)]	0
conv2d (Conv2D)	(None, 24, 24, 32)	832
batch_normalization (BatchNo	(None, 24, 24, 32)	128
conv2d_1 (Conv2D)	(None, 22, 22, 64)	18496
batch_normalization_1 (Batch	(None, 22, 22, 64)	256
conv2d_2 (Conv2D)	(None, 20, 20, 64)	36928
batch_normalization_2 (Batch	(None, 20, 20, 64)	256
conv2d_3 (Conv2D)	(None, 9, 9, 128)	73856
batch_normalization_3 (Batch	(None, 9, 9, 128)	512
primary_caps (PrimaryCaps)	(None, 16, 8)	10496
fc_caps (FCCaps)	(None, 10, 16)	20640
length_capsnet_output (Lengt	(None, 10)	0

Total params: 162,400 Trainable params: 161,824 Non-trainable params: 576

Model: "Generator"

Layer (type)	Output Shape	Param #
input_5 (InputLayer)	[(None, 160)]	0
dense (Dense)	(None, 512)	82432
dense_1 (Dense)	(None, 1024)	525312
dense_2 (Dense)	(None, 784)	803600
out_generator (Reshape)	(None, 28, 28, 1)	0

Total params: 1,411,344
Trainable params: 1,411,344
Non-trainable params: 0



訓練結果與 loss 曲線

測試結果:準確度 99.118%,1700 個測試案例中只錯誤 14 個案例

單一個案測試,預測 96%為"五",生成器產生圖片雖略帶模糊但能看出五