

## Introduction

In this assignment, I perused the example code and its corresponding paper *A Buffer-Based Approach to Rate Adaptation: Evidence from a Large Video Streaming Service*, and found a bug in the helper function *prevmatch* (since the previous bitrate can never match with any of current available chunks' byte rates, the original version would always return the highest available bitrate, which contradicts to its original design intention. I modified its logic and fixed it.). Then, I did a little analysis of the remaining optional papers, and selected *BOLA: Near-Optimal Bitrate Adaptation for Online Videos* for code reproduction. Because I thought BOLA algorithm and given network system framework had the best compatibility: took the time to download a chunk or wait for a fixed time when not downloading as a unit slot, and made playback & buffer settlement and download decision at the beginning of each slot.

## Algorithm Analysis

### Basic symbols:

| symbol    | meaning  |
|-----------|--|
| $N$       | The number of chunks the video file is segmented into  |
| $p$       | The duration of each chunk in seconds  |
| $M$       | The number of available bitrates of each chunk   |
| $S_m$     | The size of any chunk encoded at bitrate index $m$ in bits (assuming the bitrates are ascending) |
| $v_m$     | The utility derived by the user from viewing $S_m$   |
| $Q_{max}$ | The finite buffer size in chunk  |
| $w(t)$    | The available bandwidth at time $t$ in bits/second   |

|            |  |
|------------|--|
| $k$        | The index of time slot. If the player decides to download a chunk, a slot lasts the time to complete downloading a chunk; otherwise the slot lasts a fixed duration of $\Delta$ second ( $\Delta = 0.5$ in this system framework). The time slot starts at $t_k$ . |
| $a_m(t_k)$ | The control function: $=1$ if the player downloads a chunk of bitrate index $m$ in time slot $k$ , $=0$ otherwise  |
| $Q(t_k)$   | The buffer level at the start of slot $k$ in number of chunks  |
| $K_N$      | The index of slot in which the $N$ -th chunk is downloaded   |
| $T_{end}$  | The time when the player finishes playing back the last chunk  |
| $\gamma$   | The input weight parameter. It corresponds to how strongly we want to avoid rebuffering  |
| $V^D$      | The dynamic positive control parameter to allow a tradeoff between the buffer size and the performance objectives  |

### Important formulas:

Utility function:  $v_m = \ln(\frac{S_m}{S_1})$

Input weight parameter:  $\gamma = \frac{5}{p}$

Time-average expected playback utility:

$$\bar{v}_N \triangleq \frac{\mathbb{E} \left\{ \sum_{k=1}^{K_N} \sum_{m=1}^M a_m(t_k) v_m \right\}}{\mathbb{E} \{T_{end}\}}$$

Expected smoothness:

$$\bar{s}_N \triangleq \frac{Np}{\mathbb{E} \{T_{end}\}} = \frac{\mathbb{E} \left\{ \sum_{k=1}^{K_N} \sum_{m=1}^M a_m(t_k) p \right\}}{\mathbb{E} \{T_{end}\}}$$

### Goal of the algorithm:

Design a control algorithm that maximizes the

joint utility  $\bar{v}_N + \gamma \bar{S}_N$  subject to the constraint that  $Q(t_k) \leq Q_{max}$ .

**Optimization problem in each decision:**

$$\text{Maximize: } \begin{cases} 0 & , \text{ if } \sum_{m=1}^M a_m(t_k) = 0 \\ \frac{\sum_{m=1}^M a_m(t_k) (V^D v_m + V^D \gamma p - Q(t_k))}{\sum_{m=1}^M a_m(t_k) S_m} & , \text{ otherwise} \end{cases}$$

$$\text{Subject to: } \sum_{m=1}^M a_m(t_k) \leq 1, a_m(t_k) \in \{0,1\} \quad \forall$$

## Implementation

**Capatibility problem:**

The paper measures the buffer in seconds. However, in this system, the buffer is measured in bytes. My proposed solution is to estimate the buffer size  $Q_{max}$  in chunks as:

$$\frac{\text{downloaded video time}}{p} + \frac{\text{remaining buffer space}}{\frac{\text{previous bitrate}}{8} \times p}.$$

In some test data (with PQ), available bitrates are inconsistent with actual chunks' bitrates. I used current actual chunks' bitrates of the same index m to estimate  $\frac{\text{previous bitrate}}{8}$ .

**Psudocode of decision algorithm in each slot:**

$t = \min(\text{played time}, \text{remaining time})$  in second

$t' = \max(t / 2, 3p)$

$Q_{max}^D = \min(Q_{max}, t' / p)$

$V^D = (Q_{max}^D - 1) / (v_M + \gamma p)$

$m^* = \arg \max (V^D v_m + V^D \gamma p - Q(t_k)) / S_m$

if ( $m^* > \text{last } m^*$ ):

$r = \text{measured throughput}$

$m' = \max m \text{ such that } \frac{S_m}{p} \leq \max(r, \frac{S_1}{p})$

if ( $m' > m^*$ ):

$m' = m$

else if ( $m < \text{last } m^*$ ):

$m' = \text{last } m^*$

$m^* = m'$

## Evaluation

Here I compared the performance of Buffer-based implementation (Example code) and BOLA implementation under different configurations:

**testALThard:** test that have a unstable bandwidth that confuses ABR algos

**testALTsoft:** test that have a lot of alternating bandwidth

**testHD:** test that have high quality bandwidth and other params

**testHDmanPQtrace:** test that have high quality bandwidth but low params

**testPQ:** test that have low quality bandwidth and param, will rebuffer.

|                                 |                               |
|---------------------------------|-------------------------------|
| 1- Grade_Example                | 1- Grade_BOLA                 |
| 2 badtest:                      | 2 badtest:                    |
| 3- Results:Average bitrate:230  | 3- Results:Average bitrate:9  |
| 4- Score:293542.7956403874      | 4- Score:866637.0814723163    |
| 5                               | 5                             |
| 6 testPQ:                       | 6 testPQ:                     |
| 7 Results:Average bitrate:500   | 7 Results:Average bitrate:5   |
| 8 Score:4669.348620686024       | 8 Score:4669.348620686024     |
| 9                               | 9                             |
| 10 testALTsoft:                 | 10 testALTsoft:               |
| 11- Results:Average bitrate:373 | 11- Results:Average bitrate:3 |
| 12- Score:2256836.9287470975    | 12- Score:2541106.948180212   |
| 13                              | 13                            |
| 14 testHD:                      | 14 testHD:                    |
| 15- Results:Average bitrate:403 | 15- Results:Average bitrate:4 |
| 16- Score:3396173.3867790024    | 16- Score:4301656.912826439   |
| 17                              | 17                            |
| 18 testHDmanPQtrace:            | 18 testHDmanPQtrace:          |
| 19 Results:Average bitrate:500  | 19 Results:Average bitrate:5  |
| 20 Score:4669.348620686024      | 20 Score:4669.348620686024    |
| 21                              | 21                            |
| 22 testALThard:                 | 22 testALThard:               |
| 23- Results:Average bitrate:230 | 23- Results:Average bitrate:9 |
| 24- Score:293542.7956403874     | 24- Score:866637.0814723163   |

It can be seen that even though BOLA is not optimized for score calculation formula in grader.py, its performanxe is still better than the former.

How to run the code:

`python3 simulator.py <tracefile.txt> <manifestfile.json>`

`python3 studentComm.py`

in two separate terminals, or:

`python3 grader.py`

to see the performance in `grade.txt`

**If you cannot run it using “python3”, then try “python”. Remember to replace all “python3” to “python” in grader.py.**