Feature Encoding for Image Classification

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Abstract—Abstract of Project4.

I. Introduction

Introduction of Project4.

II. APPROACHES

In this section, we present our methods applied for classification. And we will emphasize differences of our approaches compared with standard ones.

A. ADDA

Eric Tzeng, et al. summarized a generalized architecture for adversarial domain adaptation and introduced a method of domain adaptation, Adversarial Discriminative Domain Adaptation, in his work [1]. Using their summarization, ADDA is a combination of generative and discriminative neural network model that uses untied weight sharing between source mapping and target mapping and a GAN loss.

The general ADDA approach is presented in figure 1. There are overall four relatively separated subnetworks within the ADDA model:

- 1) Source encoder network, M_s . Source encoder take source data set as input and output the encoded source features.
- 2) Target encoder network, M_t . Target encoder take source data set as input and output the encoded target features.
- Discriminator network, D. Discriminator take encoded source features and encoded target features and tries to identify which come from source dataset and target dataset.
- 4) Classifier network, C. Classifier network take encoded features, from either source or target domain, as input and output the class prediction.

According to work of Eric Tzeng, et al., we can divide the way the model runs into three stages:

1) Pre-training. In this stage, we feed source training data, X_s for source encoder network and use the output features, $M_s(X_s)$, to feed classifier network and use cross entropy as classification loss, L_c :

$$c(X_s, Y_s) = -\mathbb{E}_{(x_s, y_s) \sim (X_s, Y_s)} \sum_{k=1}^K \mathbb{I}_{[k=y_s]} \log C(M_s(X_s))$$
(1)

- to train the source network and classifier network. After that, both source network and classifier network are fixed. K is the total number of classes.
- 2) Adversarial adaptation. In this stage, we use the idea of GAN to train M_t to generate features, $M_t(X_t)$, to be similarly distributed as $M_s(X_s)$. We feed X_s and X_t for M_s and M_t respectively and combination of $M_s(X_s)$ and $M_t(X_t)$ for D. We in turn optimize D to minimize L_D :

$$L_D(X_s, X_t, M_s, M_t) =$$

$$- \mathbb{E}_{(x_s) \sim (X_s)} \log D(M_s(X_s))$$

$$- \mathbb{E}_{(x_t) \sim (X_t)} \log(1 - D(M_t(X_t))$$

$$(2)$$

and optimize M_t to minimize L_t :

$$L_t(X_s, X_t, D) = -\mathbb{E}_{(x_t) \sim (X_t)} \log D(M_t(X_t))$$
 (3)

D tries to distinguish $M_s(X_s)$ and $M_t(X_t)$ while M_t want to deceive D.

Our approach is not always quite standard as Eric Tzeng's work. Except that we use fully connected layers instead of CNN for our X_s and X_t , we also initialized parameters of M_t using pre-trained M_s 's. This is not mentioned in the paper and it is not likely to be feasible in most cases. We can do so because our structure of M_s is designed to be the same as M_t . And the measure really help a lot.

3) Testing. In this stage, we feed $M_t(X_t)$ for C and evaluate the classification accuracy.

During our practice, we combined the last two stage into one.

B. DANN

Yaroslav Ganin, et al. proposed a representation learning approach for domain adaptation in their work [2]. DANN can also be viewed as an instance of Eric Tzeng, et al.'s summarization of domain adaptation method. Compared with ADDA, in general, the only difference of DANN is that DANN is an architecture of tied weight sharing. The general architecture is presented in figure 2; it's simpler than ADDA. Domain classifier G of DANN performs similar function as discriminator D of ADDA does. DANN is similar to ADDA but target encoder and source encoder share the same weight weights. In other words, only one feature encoder, M, is used within DANN. Thus, we do not go to details of components

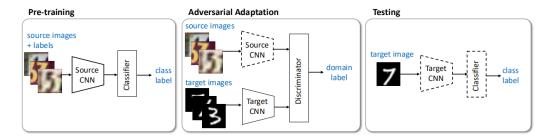


Fig. 1. ADDA Overview: An overview of standard ADDA architecture. Dashed lines indicate fixed network parameters in the indicated stage.

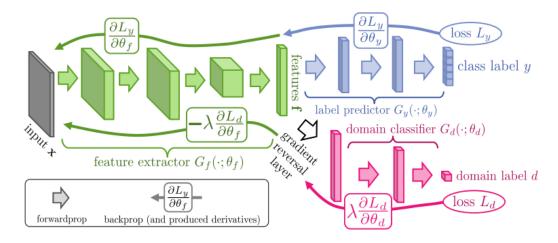


Fig. 2. DANN Overview: An overview of standard DANN architecture.

of DANN and just simply present the way the DANN model work. We will use similar representations as ADDA to make things easy to understand (although they may not be Yaroslav Ganin et al.'s symbols).

- 1) Pre-training. In this stage, we optimize M and C to minimize L_C .
- 2) Adversarial adaptation. In this stage, we feed both X_s and X_t for M. By fixing C, we optimize M and G to minimize L_{da} :

$$L_{da}(X_{s}, X_{t}, M) = -(\mathbb{E}_{(x_{s}) \sim (X_{s})} \log D(M(X_{s})) + \mathbb{E}_{(x_{t}) \sim (X_{t})} \log(1 - D(M(X_{t}))) \times c_{da}$$

$$-\mathbb{E}_{(x_{s}, y_{s}) \sim (X_{s}, Y_{s})} \sum_{k=1}^{K} \mathbb{I}_{[k=y_{s}]} \log C(M(X_{s}))$$
(4)

Unlike ADDA, parameters of M and G are updated at the same time. And the loss, L_{da} of DANN is combination of both L_C and L_D of ADDA. There is subtle difference between ADDA and DANN in this stage. C_{da} is a balance factor for classification loss and discriminator loss. c_{da} is not mentioned in the paper, but we use it and find it helps improve our model.

3) Testing. In this stage, we feed $M(X_t)$ for C and evaluate the classification accuracy.

Also, we combined stage of adversarial adaptation and testing together.

III. EXPERIMENTS AND RESULTS

A. ADDA Experiment

We will present six experiments in this part. We use fully connected networks (FCNs) to construct the four subnetworks. First we show some common parameters of all of these experiments in table I. We split both X_s and X_t into training set, X_s^{tr} and X_t^{tr} , and test set, X_s^{te} and X_t^{te} . X_s^{tr} is used to train ADDA the first stage and X_s^{te} is used to select the best parameters of M_s and C correspondingly, preventing overfitting. X_t^{te} is used to select the best parameters of M_t and D correspondingly, also preventing overfitting. It should be mentioned that both X_t^{te} and X_t^{te} are unlabeled.

TABLE I HYPER-PARAMETERS OF ADDA

Symbol	Value	Description			
\overline{K}	65	Number of classes			
s	5e - 5	Optimization step			
b	256	Batch size			
l_e	2048, 2048	Layers of M_s and M_t			
l_c	1024, K	Layers of C , connected from oM_c or M_t			
l_d	512, 512, 2	Layers of D , connected from M_c or M_t			
p_k	0.05	Dropout probability of a neuron.			
l_2	1e - 5	L2 regularization for weight parameters.			
r_s^{tr}	0.9	Ratio of X_s used as training set.			
$\begin{matrix} l_2 \\ r_s^{tr} \\ r_t^{tr} \\ I \end{matrix}$	0.6	Ratio of X_t used as training set.			
I	8000	Total iterations of first two training stages.			

TABLE II
DIFFERENT CONFIGURATIONS AND RESULTED PERFORMANCES OF ADDA EXPERIMENTS

Sym	Src	PT	AN	BN	$Ac(M_s(X_s^{te}))^*$	$Ac(M_s(X_t^{te}))^*$	$Ac(M_t(X_t^{tr}))^*$	$Ac(M_t(X_t^{te}))^*$
Ex1	Art	×	×	×	0.6074	0.5080	0.0222	0.0189
Ex2	Art	\checkmark	×	×	0.6818	0.5712	0.5897	0.5821
Ex3	Art	\checkmark	\checkmark	×	0.6488	0.6223	0.6551	0.6372
Ex_A	Art	\checkmark	\checkmark	\checkmark	0.7893	0.7313	0.7621	0.7354
Ex_C	Clipart	\checkmark	\checkmark	\checkmark	0.8624	0.6561	0.6646	0.6636
Ex_P	Product	\checkmark	\checkmark	\checkmark	0.9391	0.7313	0.7556	0.7353

Then we present a overview of different configurations of the six experiments and the corresponding results in table II. Target domain of all of the experiments presented is real world. Src is short for source data domain. PT stands for whether to transfer parameters of M_s to M_t for M_t 's initialization. AN means whether to apply learning rate annealing. BN means whether to apply batch normalization for each layer.

 $Ac(x)^*$ indicates the best accuracy towards indicator x. For example, in the formula $Ac(M_t(X_t^{te}))^*$, X_t^{te} , M_t and Ac^* stands for test set of unlabeled target domain, target encoder and the best accuracy respectively. Thus, $Ac(M_t(X_t^{te}))^*$ means the best test classification accuracy towards target test set using target encoder. $Ac(M_t(X_t^{te}))^*$ is actually the final classification evaluation of our domain adaptation method. Similarly, $Ac(M_s(X_s^{te}))^*$ represents the best accuracy of the classifier towards source test dataset using source encoder, which can be viewed as an upper bound of $Ac(M_t(X_t^{tr}))^*$. $Ac(M_t(X_t^{tr}))^*$ represents the best accuracy of the classifier towards target training dataset using target encoder, which also can be viewed as an upper bound of $Ac(M_t(X_t^{te}))^*$. So, we theoretically have $Ac(M_s(X_s^{te}))^* \geq Ac(M_t(X_t^{tr}))^* \geq$ $Ac(M_t(X_t^{te}))^*$. As for $Ac(M_s(X_t^{te}))^*$, it represents the best accuracy of the classifier towards target test dataset using source encoder. We can use $g = Ac(M_t(X_t^{te}))^* Ac(M_s(X_t^{te}))^*$ as the performance gain with our domain adaptation method.

IV. DISCUSSIONS

Discussions.

V. CONCLUSION

Conclusions.

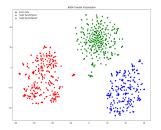
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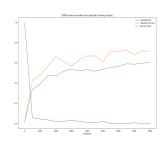
Please number citations consecutively within brackets [?]. The sentence punctuation follows the bracket [?].

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- [1] Eric Tzeng, Judy Hoffman, Kate Saenko and Trevor Darrel, "Adversarial Discriminative Domain Adaptation".
- [2] Yaroslav Ganin, Evgeniya Ustinova, Hana Ajakan, Pascal Germain, Hugo Larochelle, Francois Laviolette, Mario Marchand, Victor Lempitsky, "Domain-Adversarial Training of Neural Networks".

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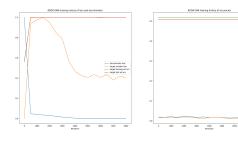


Fig. 3. pics