

## My Notes

Important Concepts worth keeping

## Unit 1 優先佇列

Today: / /

* Review Sorting Algorithm	Average	Worst
Selection sort	$n^2$	$n^2$
Bubble sort	$n^2$	$n^2$
Insertion sort	$n^2$	$n^2$
Merge sort	$n * \log n$	$n * \log n$
Quick sort	$n * \log n$	$n^2$
Radix sort	$n$	$n$

### \* Basic of Priority Queue

① Selection sort = Unsorted List

pqInsert() =  $O(1)$  → 加入速度快

pqDelete() =  $O(n)$  → 太慢, 效率不佳

② Insertion sort = Sorted List

pqInsert() =  $O(n)$  → 太慢, 費時

pqDelete() =  $O(1)$  → 已排列好

③ Tree sort = Binary Search Tree

pqInsert() =  $O(\log n)$  → 與樹高有關

pqDelete() =  $O(\log n)$  → 走至最左邊, (一條路)

## My Questions

Problems & Difficulties needing exploration

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\* Application of Priority Queue (找距離最短)

step 1. 劃分矩形(左上+右下). 分區

step 2. 比較區域距離 PA C, A, D, B

step 3. 取出區域中最近的城市 PA A, D, Chicago

step 4. 直至第一順位為城市 PA Buffalo, D, Chicago

\* Heap (資料可重新調整, 找最小) complete tree

pq Insert() =  $O(n)$

pq Delete() =  $O(n)$

Balanced Binary Tree

① min-Heap (數值愈低愈優先)

保證樹根最小, 其餘不可保證

My Thoughts, pq Insert() =  $O(\log n)$  ← worst

pq Delete() =  $O(1)$

② max-heap

pq Insert() =  $O(\log n)$

pq Delete() =  $O(1)$  ← 不適當, 因要改根  $\Rightarrow O(\log n)$

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\* What is a heap?

① it is a complete binary tree → 資料較緊密

由上而下, 由左而右填滿, 只可缺在下角

② the value stored at a node is greater (smaller) or equal to the values stored at the children (heap property)

\* How to build a heap?

void ReheapDown (int, int);

void ReheapUp (int, int);

\* bottom = 下一個要新增的節點。 (資料量)

\* The ReheapUp function 向上比較 (只有一條路)

max-heap  $pqInsert() = O(\log n)$

\* Insert a new element into a heap

step.1 加入 bottom 的位置

step.2 呼叫 ReheapUp()

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\* The ReheapDown function 向下調整 (有2選擇)

max-heap  $pqDelete()$ :  $O(\log n)$  worst case

+判斷左或右

step1. 將 bottom 搬上去 (不影響完整樹)

ps. 2條路選 大 的再比較 or 交換

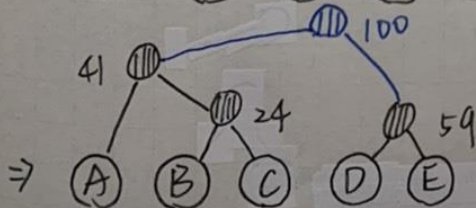
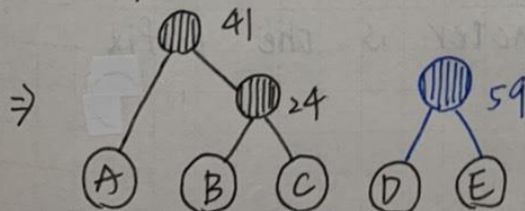
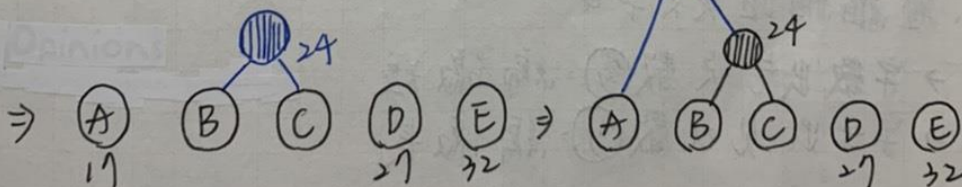
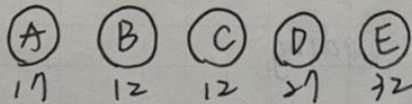
step2. 呼叫  $ReheapDown()$

\* 假設刪中間節點.  $\Rightarrow$  有取方向!

\* Huffman Coding 霍夫曼編碼 (網路 or 影像壓縮)

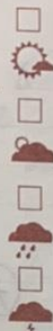
取2點最小相加成根.

ex.



out tomorrow, for tomorrow will care for itself.  
ough trouble of its own. (New Testament)

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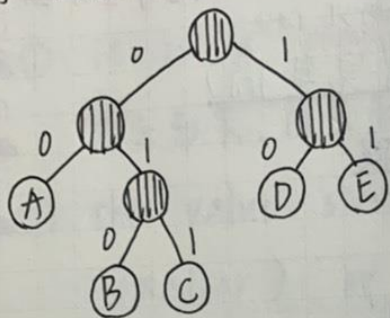
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### \* Huffman Coding



A	00
B	010
C	011
D	10
E	11

bit 的壓縮.

\* 任一 code 都不能  
為其餘 code 的  
prefix.

\* 使用 heap 搭配 Huffman Coding.

\* 2 個 Delete, 1 個 insert, ex. delete 12, 12 insert 24

比排序快,  $O(\log n)$

### \* Application of Huffman Coding

1. 壓縮網站英文字母

→ 字母出現次數(多) = 編碼短

→ 字母出現次數(少) = 編碼長

△ no code for a character is the prefix for another

\* semi-heap

只有根的位置是錯的

\* heap 適合用 陣列

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\* `heapInsert()`: Strategy

1. insert newItem into the bottom of the tree
2. newItem trickles up to an appropriate spot in the tree

Efficiency =  $O(\log n)$

o size 就是 bottom

\* 找父節點:  $(n-1)/2$  子節點:  $2n+1$  or  $2n+2$

條件:  $(parent \geq 0) \ \&\& \ (items[place] > item[parent])$   
↑  
max-heap

\* `heapDelete()`: Strategy

step 1 取 bottom 補 root

step 2 複製 bottom 到 root

step 3. 移除 bottom ( $--size$ )  $\Rightarrow$  semi-heap.

step 4. 將 semi-heap 轉成正常 heap

使用遞迴, `heapRebuild()`, 往下檢查

Efficiency =  $O(\log n)$





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\* Complete Binary Tree  $\rightarrow$  Heap

call `heapRebuild()`  $\rightarrow$  可重複使用

一層一層解決 (本質皆為 semi-heap, 根錯而已)

PS. 由下往上做.

$\Delta$  不可從 0 開始做  $\Rightarrow$  做最後位置可修正, 且此時左、右子樹已皆為 heap.

\* Heap Sort Approach

$\Delta$  排序, 跑  $n$  次可得結果:  $O(n * \log n)$

1. 刪除  $\Rightarrow$  the end of the unsorted elements,  $O(1)$

2. Reheap the remaining unsorted elements,  $O(\log n)$

$\Delta$  不會受到資料是否有排序影響

## \* Variations of Heap

△ Double-ended Priority Queues (DEPQ)

- Min-max heap

- Double-ended Heap (DEAP)

△ Forest (union) of Heaps

- Binomial Heap

- Fibonacci Heap

## \* Min-max Heap → Complete Binary Tree

△ Double-ended Priority Queue (DEPQ)

找最小 ⇒ 樹根 root 找最大 ⇒ root 的子節點之一

## \* Min-max Heap: Insert

1. 決定層數 ⇒ min or max

2. 確任是否和父節點交換

△ No = ReheapUp from the current node 比父大

△ Yes = ReheapUp from its parent 比父小

PS, 祖父節點:  $(n-1)/2$  再  $(n-1)/2$



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\* Min-max heap: Delete the smallest

1. 將 bottom 搬至 root

2. 確認子節點 (與小的做檢查)

△ No = ReheapDown from the root (recursion) 根小

△ Yes = ReheapDown from the root (recursion) 根大

\* Min-max heap: Delete the largest

△ No = ReheapDown from the current node

△ Yes = ReheapDown from the current node

\* 判斷 min-max heap 的 level

取  $\log_2!$

level =  $((\text{int}) \text{floor}(\log_2(i+1)) \% 2)$  看奇偶

\* grandparent of item  $i$

if  $((i-1)/2 > 2)$

grandparent =  $(i-3)/4$

\* grandchildren of item  $i$

grandchildren = item  $[i * 4 + j]$  for  $j = 3, 4, 5, 6$

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\* Main idea in Min-max Heap

△ Three 4-way trees

- max-heap + min-heap + max-heap

- each node in max-heap has its parent in min-heap

\* Double-ended Heap (DEAP) 左右對應, 左小右大

△ Insert

1. Left < Right

2. ReheapUp is necessary (recursion)

ps. 若遇此數無相對應資料:

☆ 找右邊父節點比較, 看是否需換

☆ step1 左右 check step2, 上面 check

△ Delete the smallest

1. Replace the root of min-heap with the last element

2. ReheapDown if necessary

☆ step1. 上下 check.

step2. 走到完 → 確認左右節點關係

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我們了解人人各承不同之稟賦, 其性格、能力與環境各異  
故充分發揮個人潛力就是成功。《中原大學教育理念》



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△ Delete the largest

1. Replace the root of max-heap with the last element

2. ReheapDown if necessary

3. corresponding nodes:  $\text{Left} < \text{Right}$

△ 先往下換，再找對應

(若往下還有一層，檢查左heap點的 child，若

小於其 child，則需交換)

\* Main Idea in DEAP

△ Two heaps

- Pseudo root + min-heap + max-heap

- each node in max-heap corresponds to one in min-heap,

-  $\text{Left} < \text{Right}$

\* correspond node:  $\text{levelNo} = (\text{int}) \text{floor}(\log_2(i+1))$

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\* Which type of heaps does item  $i$  belong to?

$levelNo = (\text{int}) \text{floor}(\log_2(i+1))$  判斷左右

$leftOfMaxHeap = \exp 2(levelNo - 1) * 3 - 1;$

$type = (i < leftOfMaxHeap)$

\* 考慮邊界

\* Where is the node corresponding to item  $i$ ?

$displacement = \exp 2(levelNo - 1)$

$ci = j + displacement * ((type == MIN) ? 1 : -1);$

\* Application of Double-ended Priority Queues

△ External Sort

- Large amount of data on secondary storage

eg. quicksort + heapsort

△ Merge of priority queues

- multiple servers: job queues (load balance)





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\* Binomial Heap: Definition

- A binomial heap is a collection of binomial trees that satisfy the heap property and have distinct orders
- Two binomial trees of the same order can be merged

ps.  $k$  的意思  $\rightarrow$  根的 child 有幾個

- any number =  $a_0 2^0 + \dots + a_k 2^k$

where  $a_0, \dots, a_k$  are 0 or 1

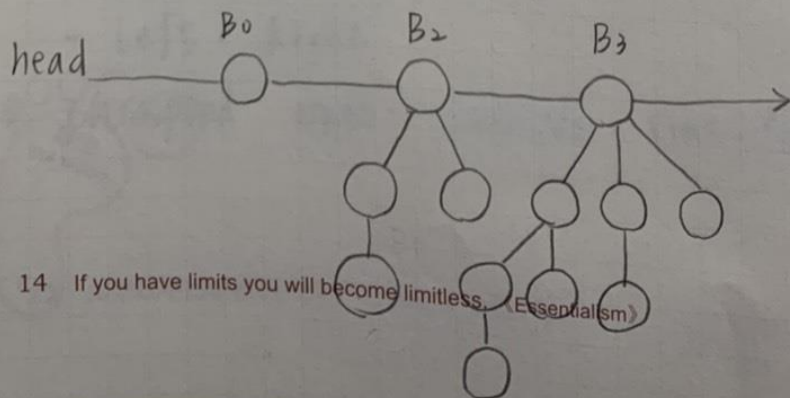
Given the number of nodes

$\rightarrow$  a unique structure

\* Draw a Binomial Heap

What does a binomial heap of 13 nodes look like?

$$13 = 2^3 + 2^2 + 0 + 2^0 = (1101)_2$$



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### \* Binomial Heap: Merge

1. A linked list sorted by the orders of binomial trees (degrees of the roots)
2. Merge two binomial trees of the same order (from left to right)

適合用 pointer 來實做！

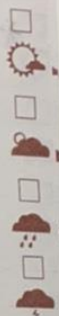
### \* Binomial Heap: Insert

1. Insert into the linked list of the roots
2. Call merge function

### \* Binomial Heap: Delete

1. Find the minimum from the linked list of the roots.
2. Delete the root having the minimum
3. Add its children into the linked list
4. call merge function

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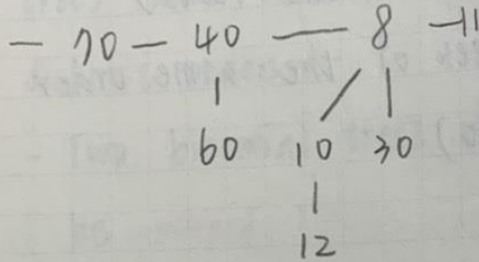


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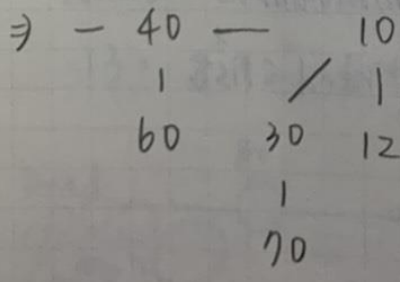
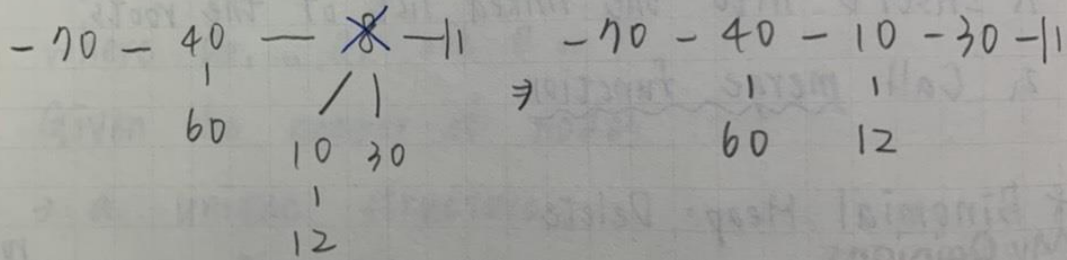
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\* Insert into a binomial heap

- Input order: 10, 12, 30, 8, 60, 40, 70 (min-heap)



\* Delete a binomial heap



## My Questions

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### \* Binomial Tree

Δ Binomial Tree of order  $k$  ( $B_k$ )

- The root has  $k$  children
- Merged by two binomial trees of order  $k-1$
- Number of nodes =  $2^k$
- Tree height =  $k+1 \rightarrow O(\log n)$
- $C_i^k$  nodes at level  $i$ , for  $i = 0 \dots k$

\* 每個來源必須是 binomial heap.

### \* Fibonacci Heap: Definition

- Doubly linked list on the siblings (tree roots)
- Doubly linked list between parent and child
- Merge: simply concatenate two lists of tree roots

parent		
Llink	key	RLink.
Children		

