## Computational Complexity Theory

Lecture 17: Probabilistic Turing Machines;
Class BPP

Department of Computer Science, Indian Institute of Science

- So far, we have used deterministic TMs to model "real-world" computation. But, DTMs don't have the ability to make <u>random choices</u> during a computation.
- The usefulness of randomness in computation was realized as early as the 1940s when the first electronic computer, ENIAC, was developed.

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- The usefulness of randomness in computation was realized as early as the 1940s when the first electronic computer, ENIAC, was developed.
  - The use of statistical methods in a computational model of a thermonuclear reaction for the ENIAC lead to the invention of the **Monte Carlo methods**.

- So far, we have used deterministic TMs to model "real-world" computation. But, DTMs don't have the ability to make <u>random choices</u> during a computation.
- The usefulness of randomness in computation was realized as early as the 1940s when the first electronic computer, ENIAC, was developed.
- To study randomized computation, we need to give TMs the <u>power of generating random numbers</u>.

 How realistic such a randomized TM model would be depends on our ability to generate bits that are "close" to being <u>truly</u> random.

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$$X_{i+1} = aX_i + c \pmod{m}$$

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Square an n bit number to get a 2n bit number and take the middle n bits.

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- To what extent a PRG is adequate is studied under the topic `Pseudorandomness' in complexity theory.

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- Examples of pseudo-random number generators are <u>linear congruential generators</u> and von Neumann's <u>middle-square method</u>.
- We'll assume that a TM can generate, or has access to, truly random bits/coins. (We'll touch upon "truly vs biased random bits" at end of the lecture.)

• Definition. A probabilistic Turing machine (PTM) M has two transition functions  $\delta_0$  and  $\delta_1$ . At each step of computation on input  $x \in \{0,1\}^*$ , M applies one of  $\delta_0$  and  $\delta_1$  uniformly at random (independent of the previous steps). M outputs either I (accept) or 0 (reject).

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- Note. PTMs and NTMs are syntatically similar both have two transition functions.

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- Note. But, semantically, they are quite different unlike NTMs, PTMs are meant to model realistic computation devices.

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- Note. The above definition allows a PTM M to <u>not</u> halt on some computation paths defined by its random choices (unless we explicitly say that M runs in T(n) time). More on this later when we define ZPP.

Definition. A PTM M <u>decides</u> a language L in time T(n) if M runs in T(n) time, and for every x∈{0, I}\*,
 Pr[M(x) = L(x)] ≥ 2/3.

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Success probability

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Remark. The defn of class BPP is robust. The class remains unaltered if we replace 2/3 by any constant **strictly greater** than (i.e., **bounded away** from) ½. We'll discuss this next.

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Bounded-error Probabilistic Polynomial-time

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Remark. Achieving success probability ½ is trivial for any language. If we replace ≥ 2/3 by > ½ then the corresponding class is called PP, which is (presumably) larger than BPP. More on PP later.

• Lemma. Let c > 0 be a constant. Suppose L is decided by a poly-time PTM M s.t.  $Pr[M(x) = L(x)] \ge \frac{1}{2} + |x|^{-c}$ . Then, for every constant d > 0, L is decided by a polytime PTM M' s.t.  $Pr[M'(x) = L(x)] \ge 1 - \exp(-|x|^d)$ .

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- *Proof.* Let |x| = n. Think of M' that runs M on input x for  $m = 4n^{2c+d}$  times independently. Let  $b_1, ..., b_m$  be the outputs of these independent executions of M. M' outputs Majority( $b_1, ..., b_m$ ).

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- *Proof.* Let  $|x| = n \& m = 4n^{2c+d}$ . Let  $y_i = 1$  if  $b_i$  is correct (i.e.,  $b_i = L(x)$ ), otherwise  $y_i = 0$ . Then M' outputs incorrectly only if  $Y = y_1 + ... + y_m \le m/2$ .

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- $E[y_i] = Pr[y_i = I] = Pr[M(x) = L(x)] = p$  (say). It's given that  $p \ge \frac{1}{2} + n^{-c}$ . So,  $\mu = E[Y] = mp \ge m/2.(I + 2n^{-c})$ .

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- By Chernoff bound,  $\Pr[Y \le (1-\delta)\mu] \le \exp(-(\delta^2\mu)/2)$ , for any  $\delta \in [0,1]$ . We'll now fix the value of  $\delta$ .

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- Proof.  $m = 4n^{2c+d}$ ,  $p \ge \frac{1}{2} + n^{-c}$ ,  $\mu = mp \ge m/2.(1+2n^{-c})$ .
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- Picking  $\delta \le 2/(n^c+2)$  is sufficient. Set  $\delta = n^{-c}$ .

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- Therefore,  $Pr[M'(x) \neq L(x)] \leq exp(-(\delta^2 \mu)/2)$ ,  $\leq exp(-n^d)$ .

• Definition. A language L in BPP if there's a poly-time  $\underline{DTM}$  M(.,.) and a polynomial function q(.) s.t. for every  $x \in \{0,1\}^*$ ,

$$Pr_{r \in_{\mathbb{R}} \{0,1\}^{q(|x|)}} [M(x,r) = L(x)] \ge 2/3.$$

• 2/3 can be replaced by  $I - \exp(-|x|^d)$  as before.

(Easy Homework)

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- Sipser-Gacs-Lautemann. BPP  $\subseteq \sum_{1} \sum_{2} \sum_{1} \sum_{1} \sum_{2} \sum_{1} \sum_{1} \sum_{2} \sum_{1} \sum_{$

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- Sipser-Gacs-Lautemann. BPP  $\subseteq \sum_{2}$ . (We'll prove this)
- How large is BPP? Is  $NP \subseteq BPP$ ? i.e., is  $SAT \in BPP$ ?

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- How large is BPP? Is NP  $\subseteq$  BPP? i.e., is SAT  $\in$  BPP?
- Next we show that BPP  $\subseteq$  P/poly. So, if NP  $\subseteq$  BPP then PH =  $\sum_2$ . (Karp-Lipton)

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- Hence,  $P \subseteq BPP \subseteq EXP$ .
- Sipser-Gacs-Lautemann. BPP  $\subseteq \sum_{2}$ . (We'll prove this)
- Most complexity theorist believe that P = BPP!
   (More on this later.)

- Theorem. (Adleman 1978) BPP  $\subseteq$  P/poly.
- Proof. Let  $L \in BPP$ . Then, there's a poly-time  $\underline{DTM}$  M and a polynomial function q(.) s.t. for every  $x \in \{0,1\}^*$ ,

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- Summing over all  $x \in \{0,1\}^n$ , at most  $2^n \cdot 2^{-(n+1)} = \frac{1}{2}$  fraction of the r's are "bad" for some n-bit string x.

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- By hardwiring this  $r_0$ , the computation of  $M(., r_0)$  can be viewed as a poly(n)-size circuit C. (Cook-Levin)

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- There's a p and a PTM M with access to p-biased random bits s.t. M decides an undecidable language!

 On the other hand, we can obtain truly random bits from biased random bits.

• Claim. (von-Neumann 1951) A truly random bit can be simulated by a PTM with access to p-biased random bits in expected  $O(p^{-1}(1-p)^{-1})$  time. (Homework)