

# 單元六: Queue

## A queue

- New items enter at the **back**, or **rear**, of the queue — 後端
- Items leave from the **front** of the queue — 前端
- **First-in, last-out** (FIFO) property
  - The first item inserted into a queue is the first item to leave (先進先出)

## ADT queue operations

- Create an empty queue
- Destroy a queue
- Determine whether a queue is **empty**
- **Add** a new item to the queue
- **Remove** the item that was added **earliest**
- **Retrieve** the item that was added **earliest**

## Operation Contract for the ADT Queue

- isEmpty()**: boolean {query} — 是否為空
- enqueue()** (in newItem: QueueItem Type) — 新增
  - throw QueueException
- dequeue()** throw QueueException — 移除
- getFront()** (out queueFront: QueueItem Type)
  - {query} throw QueueException — 擷取
- dequeue()** (out queueFront: QueueItem Type)
  - throw QueueException — 擷取後移除

## convert a sequence of digits into the decimal value

```
α Queue.createQueue()
while (not end of line) {
    Read a new character ch — 讀取
    α Queue.enqueue(ch) — 新增
} // end while

do { α Queue.dequeue(ch)
} // while (ch is blank)

n = 0
done = false

while (!done and ch is digit) {
    n = n * 10 + integer represented by ch
    if (α Queue.isEmpty())
        done = true
    else α Queue.dequeue(ch)
} // while
n = n * (0.1)^p
} // if (l)
```

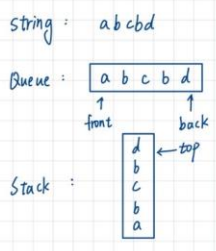
## Recognize Palindromes

- To recognize a palindrome, you can use a **queue** in conjunction with a **stack**
  - A **stack** **reverses** the order of occurrences
  - A **queue** **preserves** the order of occurrences 保持順序
- A **nonrecursive** recognition algorithm for palindromes **非遞迴**

佇列的前端 vs. 堆疊的頂端

- As you traverse the character string from left to right, insert each character into both a **queue** and a **stack**

- Compare the characters at the **front** of the queue and the **top** of the stack



```
isPal (in str: string): boolean
α Queue.createQueue()
α Stack.createStack()
for (the next character ch in str) {
    store ch into α Queue & α Stack
} // for U

while (α Queue is not empty) {
    compare front & top
} // while U
```

靜靜識讀文

## isPal (in str: string): boolean

```
α Queue.createQueue()
α Stack.createStack()
for (the next character ch in str) {
    α Queue.enqueue(ch)
    α Stack.push(ch)
} // for U

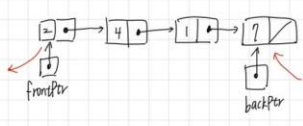
charEqual = true
```

## while (!α Queue.isEmpty() && charEqual) {

```
α Queue.getFront()
α Stack.getTop()
if (front == top) {
    α Queue.dequeue()
    α Stack.pop()
} // if U
else charEqual = false
} // while
```

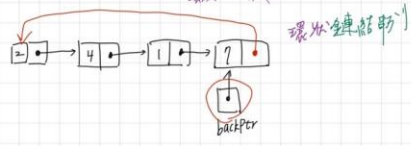
## A Linked list with two external references

- A reference to the **front** 前端 — 一般連結串列
- A reference to the **back** 後端



## A circular linked list with one external reference

- Only a reference to the **back** 環狀、只有後端

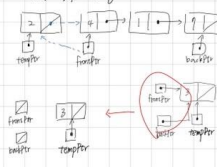


```

class Queue {
public:
    Queue() {}
    Queue(const Queue & Q):
        Queue() {}
    bool isFull() const {
        return front == rear;
    }
    void enqueue(const QueueItem & newItem);
    void dequeue();
    void dequeue(const QueueItem & newItem);
    void getFront(const QueueItem & newItem) const;
private:
    struct QueueNode {
        QueueItem item;
        QueueNode *next;
    };
    QueueNode * frontPtr;
    QueueNode * backPtr;
};

```

一 移除 dequeue



```

tempPtr = frontPtr;
frontPtr = frontPtr->next;
tempPtr->next = NULL;
delete tempPtr;

```

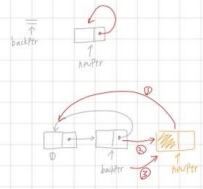
## Practice 6-1: Circular Queue

Modify the enqueue and dequeue operations in the pointer-based implementation such that a circular linked list is used. (backPtr only)

```

void Queue::enqueue(const QueueItem & newItem) {
    QueueNode * newPtr = new QueueNode;
    newPtr->item = newItem;
    if (isEmpty())
        newPtr->next = newPtr;
    else {
        newPtr->next = backPtr->next;
        backPtr->next = newPtr;
        backPtr = newPtr;
    }
}

```



```

void Queue::enqueue(const QueueItem & newItem) {
    QueueNode * newPtr = new QueueNode;
    newPtr->item = newItem;
    newPtr->next = NULL;
    if (isEmpty())
        frontPtr = newPtr;
    else
        backPtr->next = newPtr;
    backPtr = newPtr;
}

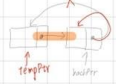
```

void Queue::dequeue() throws (QueueException) {

```

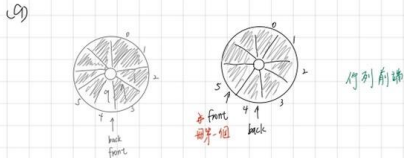
if (isEmpty())
    throw i;
else {
    QueueNode * tempPtr = backPtr->next;
    if (backPtr == backPtr->next)
        backPtr = NULL;
    else
        backPtr->next = tempPtr->next;
    tempPtr->next = NULL;
    delete tempPtr;
}

```



## An Array-Based Implementation

环状队列



Inserting into a queue

```

back = (back + 1) % MAX_QUEUE;
items[back] = newItem;
++count;

```

Deleting from a queue

```

front = (front + 1) % MAX_QUEUE;
--count;

```

## Practice 6-2: Solution

Queue::Queue(): back(MAX\_QUEUE), front(0), isFull(false) { ... }

bool Queue::isEmpty() const { return (front == back); }

bool Queue::isFull() const { return (front == (back + 1) % MAX\_QUEUE); }

```

void Queue::enqueue(const QueueItem & newItem) throws (QueueException) {
    if (isFull == TRUE)
        throw i;
    else {
        back = (back + 1) % MAX_QUEUE;
        items[back] = newItem;
        if (front == back)
            isFull = TRUE;
    }
}

```

```

void Queue::dequeue() throws (QueueException) {
    if (!isEmpty())
        throw ...;
    else {
        front = (front+1) % MAX_QUEUE;
        if (isFull == TRUE)
            isFull = false;
    } // else
} // dequeue()

```

### An Implementation That Uses the ADT List

- front: position
- back: the end

```

enqueue()
    aList.insert(aList.getLength()-1, newItem);

dequeue()
    aList.remove(0);

getFront() queueFront()
    aList.retrieve(0, queueFront);

```

## Application: Simulation

### Simulation

- modeling behavior  
 statistics 統計  
 predict 預測

#### A time-driven simulation 時間驅動

- Simulated time advances by one time unit
- The duration of each event is randomly determined and compared with the simulated time

#### An event-driven simulation 事件驅動

- Simulated time advances to time of next event
- Events are generated by using a mathematical model based on statistics and probability

等候時間  
 排隊長度

Arrival	duration	Departure	waiting
20	5	25	0
22	4	29	3
23	2	31	6
30	3	34	1

$$\begin{aligned}
 AWI &= \frac{10}{4} = 2.5 \\
 MWI &= 6 \\
 MAL &= 2 \\
 AQL &= (1+2+1)/4 = 1
 \end{aligned}$$

#### The bank simulation is concerned with

- Arrival events 輸入決定時間
- Departure events 模擬決定時間

#### Simulate() 事件驅動

```

Create an empty bankQueue;
Create an empty eventList;
Get the earliest arrival event X from input file;
Put X into eventList;
while (eventList is not empty) {
    newEvent = the earliest event in eventList;
    if (newEvent is an arrival event)
        processArrival();
    else
        processDeparture();
} // end while

```

### Practice 6-3: Simulation by Queue

#### Single bank queue

Arrival	transaction	Departure	waiting
5	9	14	0
7	5	19	14-9=5
14	5	24	19-14=5
18	5	25	0
20	5	25	0
24	5	29	25-24=1
28	5	33	29-28=1

#### 三種系統架構

- Single teller / single queue
- Multiple tellers / single queue
- Multiple tellers / multiple queues

### Practice 6-4: Multi-queue Simulation

- Use the following event list to simulation two bank queues with bankQueue1 first selection strategy and calculate the average waiting time.

優先事件1號櫃檯



# 單元 1, 演算法的基本概念

## Measuring the Efficiency of Algorithms

### Analysis of algorithms

- Time efficiency
- space efficiency

### A comparison of algorithms

- Should focus on significant differences in efficiency 顯著差異
- Should not consider reductions in computing costs due to clever coding tricks

#### 1. specific implementation

#### 2. computer

#### 3. data

Example 1,

- Traverse a linked list of  $n$  nodes

⊗  $n+1$  comparisons,  $n+1$  assignments,  $n$  writes

```
Node * cur = head;           // 1 assignment
while (cur != NULL) {        // n+1 comparisons
    cout << cur->item << endl; // n writes
    cur = cur->next;          // n assignments
} // while()
```

time:  $(n+1) * (c+a) + n * w$   
看電A值

### Definition of the order of an algorithm 大小值

- Algorithm  $A$  is order  $f(n)$  - denoted  $O(f(n))$   
存在兩個常數  $k$  和  $n_0$ , 使演算法  $A$  能在不超過  $k * f(n)$  時間內解決大小不少於  $n_0$  的問題, 稱  $A$  為 order  $f(n)$

### Properties of growth-rate functions

- $O(n^2 + 3n)$  is  $O(n^2)$ : ignore low-order terms 忽略低值
- $O(5f(n)) = O(f(n))$ : ignore multiplicative constant in the high-order term 忽略常數
- $O(f(n)) + O(g(n)) = O(f(n) + g(n))$

$$O(1) < O(\log_2 n) < O(n) < O(n * \log_2 n) < O(n^2) < O(n^3) < O(2^n)$$

### Worst-case analysis's 最多

- A determination of the maximum amount of time that an algorithm requires to solve problems of size  $n$

### Average-case analysis 平均

- A determination of the average amount of time that an algorithm requires to solve problems of size  $n$

### Best-case analysis 最少

- A determination of the minimum amount of time that an algorithm requires to solve problems of size  $n$

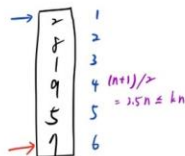
## Sequential search 值序搜尋

### Strategy

- ⊗ Look at each item in the data collection in turn
- ⊗ Stop when the desired item is found, or the end of the data is reached

### Efficiency

- ⊗ Worst case:  $O(n)$
- ⊗ Average case:  $O(n)$
- ⊗ Best case:  $O(1)$



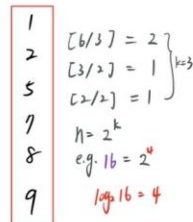
## Binary search of sorted array

### Strategy

- ⊗ Repeatedly divide the array in half
- ⊗ Determine which half could contain the item, and discard the other half

### Efficiency

- ⊗ Worst case:  $O(\log_2 n)$
- ⊗ Average case:
- ⊗ Best case:



$$EX: 10^8 \text{ 筆資料} \Rightarrow \log_2 10^8 = 19.9$$

一百萬筆資料只需要約 20 次比較!

## Sequential search on Sorted Data

- Sorted v.s. unsorted 值序搜尋
- Worst v.s. average v.s. best

	sorted	unsorted	found
Worst case	$O(n)$	$O(n^2)$	$O(n)$
Average case	$O(n)$	$O(n^2)$	$O(n)$
Best case	$O(1)$	$O(n)$	$O(1)$

## Efficiency of Sorting Algorithms

### Sorting

- A process that organizes a collection of data into either ascending or descending order
- The sort key 排序鍵
  - ⊗ The part of a data item that we consider when sorting a data collection 搜尋鍵

Student ID Name Birthday Phone Address Grade

### Categories of sorting algorithms

- An internal sort 內部排序
- ⊗ Require that the collection of data fit entirely in the computer's main memory

## An external sort 外部排序

- The collection of data will not fit in the computer's main memory all at once, but must reside in secondary storage

## Stable

相同值維持不變的排序

Stable Sort vs. Unstable sort

bubble

insertion

merge

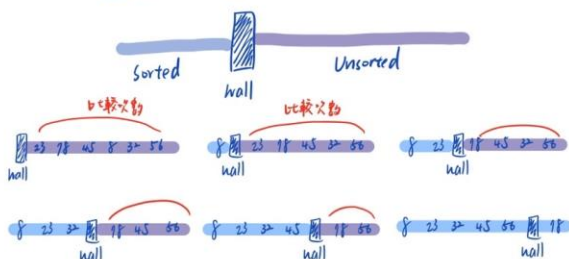
radix

selection

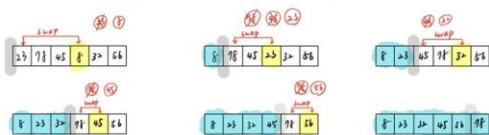
quick

heap

## Bubble sort



## Selection Sort 大堆擇力選拔



Initial array:

23 10 14 39 13

After 1<sup>st</sup> swap:

23 10 14 39 13

After 2<sup>nd</sup> swap:

13 10 14 29 39

After 3<sup>rd</sup> swap:

13 10 14 29 39

After 4<sup>th</sup> swap:

10 13 14 29 39

void selectionSort (int A[], int n) {

for (last = n-1; last > 0; --last) { n-1 個回合

int largest = indexOfLargest(A, last+1); 找出最大值的位  
swap(A[largest], A[last]); 將最大值得移到最末端

// end for

// end selectionSort

int indexOfLargest (int A[], int size) {

int indexSoFar = 0;

for (index = 1; index < size; ++index) {

if (A[indexSoFar] < A[index])

indexSoFar = index;

// for()

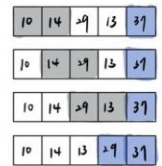
return indexSoFar;

// end indexOfLargest()

Pass 1



Pass 2



```
void bubbleSort (int A[], int n) {
    for (pass = 1; pass < n; ++pass) {
        for (int index = 0; index < n-pass; index++) {
            if (A[index] > A[index+1]) {
                swap(A[index], A[index+1]);
            }
        }
    }
}
```

Annotations:   
 -  $i = (n-1)$  assignments   
 -  $n$  comparisons   
 - In each pass  $(n-pass)$  assignments   
 -  $n-pass$  comparisons   
 -  $\geq$  unstable   
 - For each array item 1 comparison   
 - 1 data exchange = 3 assignments (moves)   
 - // end bubble sort

Comparisons:

$$n + \sum_{pass=1}^{n-1} (n-pass+1) + \sum_{pass=1}^{n-1} (n-pass) = n + 2 \cdot \frac{(n-1) \cdot n}{2} = n^2 - n \Rightarrow O(n^2)$$

What is the worst case?

Ans. 最差的例子是逆序， $O(n^2)$  comparisons

What is the best case?

Ans. 最好的例子是已排序  $O(n)$  comparisons   
 still comparisons  $\rightarrow O(n^2)$  (if swap is not needed)   
 Best case,  $O(n)$  comparisons

Data exchanges:  $n-1$  swaps  $\Rightarrow O(n)$

Comparisons:  $\sum_{size=n}^1 (size-1) = (n-1) + (n-2) + \dots + 1 = \frac{n(n-1)}{2} \Rightarrow O(n^2)$

What is the worst case?

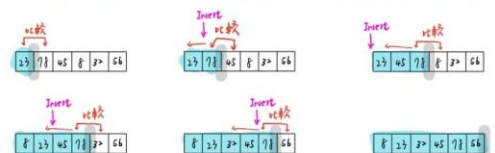
Ans. 最差的例子是逆序， $O(n^2)$  comparisons

What is the best case?

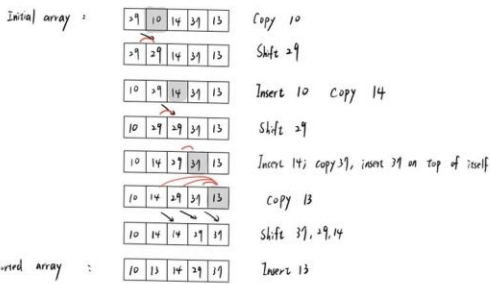
Ans. 最好的例子是已排序  $O(n)$  comparisons   
 $O(n)$  swaps  $\rightarrow O(1)$  (if swap is not needed)

## Insertion Sort 撲克牌

插入時移動，若有 swap 少



將未排序區的第一筆正確插入已排序區中



```
void insertionSort ( int A[], int n ) {
    for ( unsigned = 1; unsigned < n; ++unsigned ) {
        int loc = unsigned, nextItem = A [ unsigned ];
        for ( ; ( loc > 0 ) && ( A [ loc - 1 ] > nextItem ); -- loc ) {
            A [ loc ] = A [ loc - 1 ];
            A [ loc ] = nextItem;
        }
    }
}
```

$n-1$  個回合

逐一位後挪動  
直到 nextItem 的位置

$\geq$  not stable

Outer for loop:  $n-1$  times

Inner for loop: at most  $unsigned$  times,  $unsigned = 1 \dots n-1$

Worst case =  $1 + 2 + \dots + (n-1) = n * (n-1) / 2$  comparisons / moves

What is the worst case?

Ans. 最壞的情況  $\Rightarrow$  倒序,  $O(n^2)$  comparisons

What is the best case?

Ans. 最好的情況  $\Rightarrow$  已排序  $O(n)$  comparisons  
 $O(n)$  moves

## • Shell Sort 插入排序的變形 (Not Stable)

```
void shellSort ( int A[], int n ) {
    for ( int h = n/2; h > 0; h = h/2 ) {
        for ( int unsigned = h; unsigned < n; ++unsigned ) {
            int loc = unsigned;
            int nextItem = A [ unsigned ];
            for ( ; ( loc > h ) && ( A [ loc - h ] > nextItem ); loc = loc - h )
                A [ loc ] = A [ loc - h ];
            A [ loc ] = nextItem;
        }
    }
}
```

$n/2$  for h

$n/2$  for i

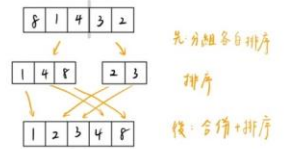
$\} // shellSort()$

- Worst case =  $O(n^2)$

- Average case =  $O(n \log n)$

## • Merge Sort (Stable)

- recursive
- Divide-and-conquer
- 先: 分組各自排序
- 後: 逐合併逐排序
- 效率穩定



内部排序

外部排序

```
void mergeSort ( DataType theArray[], int first, int last ) {
    if ( first < last ) {
        int mid = ( first + last ) / 2; // middle point
        mergeSort ( theArray, first, mid ); // sort the left half
        mergeSort ( theArray, mid + 1, last ); // sort the right half
        merge ( theArray, first, mid, last ); // merge the two halves
    }
}
```

$3 * n - 2$

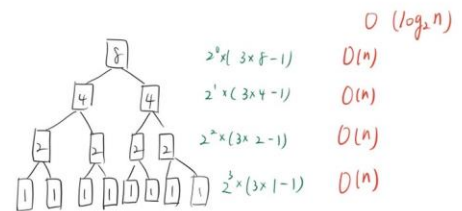
$\} // mergeSort()$

```
void merge ( DataType theArray[], int first, int mid, int last ) {
    DataType tempArray [ MAX_SIZE ];
    int first1 = first, last1 = mid;
    int first2 = mid + 1, last2 = last;
    int index = first;
    for ( ; ( first1 <= last1 ) && ( first2 <= last2 ); ++index ) {
        if ( theArray [ first1 ] < theArray [ first2 ] ) {
            tempArray [ index ] = theArray [ first1 ];
            ++first1;
        } else {
            tempArray [ index ] = theArray [ first2 ];
            ++first2;
        }
    }
    for ( ; first1 <= last1; ++first1 )
        tempArray [ index ] = theArray [ first1 ];
    for ( ; first2 <= last2; ++first2 )
        tempArray [ index ] = theArray [ first2 ];
    for ( ; first <= last; ++first )
        theArray [ first ] = tempArray [ first ];
}
```

合併

$\Rightarrow$  把資料放進去

$\Rightarrow O(n)$



- Worst case =  $O(n * \log_2 n)$
- Average case =  $O(n * \log_2 n)$

優點: 速度快

缺點: 需要第2個陣列 比 original array 大

0	1	2	3	4	5	6	7	8	9
---	---	---	---	---	---	---	---	---	---

$\rightarrow MS(0,9) \rightarrow MS(0,4) \rightarrow MS(0,1) \rightarrow MS(0,0)$

$\rightarrow MS(0,0), MS(1,1), merge(0,1) \rightarrow MS(2,2), merge(0,2)$

$\rightarrow MS(3,3) \rightarrow MS(3,3), MS(4,4), merge(3,3) \rightarrow merge(2,4)$

$\rightarrow MS(5,5) \rightarrow MS(5,5) \rightarrow \dots \rightarrow MS(8,8) \rightarrow \dots \rightarrow merge(5,7,9)$

$\rightarrow merge(0,4,9)$

theArray <==> tempArray:  $2n$  moves

$\therefore (n-1) + 2n = 3 * n - 1$  major operations  $\Rightarrow O(n)$

$ms(0, 9)$   
 $ms(0, 4)$   
 $ms(0, 2)$   
 $ms(0, 1) \rightarrow ms(0, 0), ms(0, 1), merge(0, 0, 1)$   
 $ms(2, 2)$   
 $merge(0, 1, 2)$   
 $ms(3, 4) \rightarrow ms(3, 3), ms(4, 4), merge(3, 3, 4)$   
 $merge(0, 2, 4)$   
 $ms(5, 9)$   
 $ms(5, 7)$   
 $ms(5, 6) \rightarrow ms(5, 5), ms(6, 6), merge(5, 5, 6)$   
 $ms(7, 7)$   
 $merge(5, 6, 7)$   
 $ms(8, 9) \rightarrow ms(8, 8), ms(9, 9), merge(8, 8, 9)$   
 $merge(5, 7, 9)$   
 $merge(0, 4, 9)$

### Quick Sort 快速排序

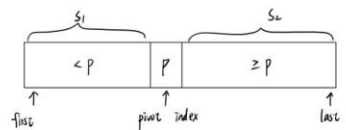
— divide - and - conquer

— Choose pivot 先分組 (軸的位置)

↳ is now in correct sorted position

□ item < pivot — Sort the left section

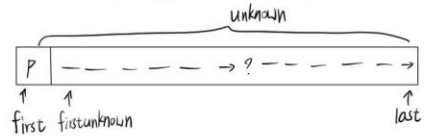
□ item >= pivot — Sort the right section



Quick sort vs. 找第k小的

兩邊剪枝

只走一邊



```

void partition() {
    int lastS1 = first;
    int firstUnknown = first + 1;
    while (firstUnknown <= last) {
        if (A[firstUnknown] < p) O(n)
            move A[firstUnknown] into S1
        else move A[firstUnknown] into S2
        ++firstUnknown;
    } // while()
} // partition

```

25 33 60 22 45 15  
 ++lastS1;  
 swap(A[lastS1], A[firstUnknown]);

```

void quickSort(DataType theArray[], int first, int last) {
    int pivotIndex;
    if (first < last) {
        partition(theArray, first, last, pivotIndex);
        quickSort(theArray, first, pivotIndex - 1);
        quickSort(theArray, pivotIndex + 1, last);
    } // if()
} // quickSort()

```

先: 依軸分類  
 後: 遞迴呼叫  
 partition → O(n)  
 recursive call → O(log n)

Original array:

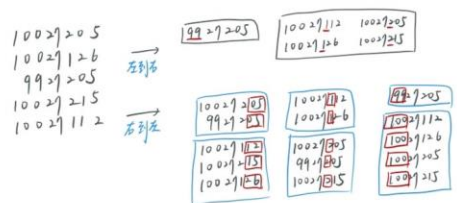


— Average case:  $O(n * \log_2 n)$   
 — Worst case:  $O(n^2)$  [sorted, smallest pivot]

### Radix Sort 基數排序 (速度最快)

— 10 進位系統

— 分解取部份值, 分配至對應容器



— LSD (Least Significant Digit)

依最右側數字分組, 串接

— MSD (Most Significant Digit)



```

void radixSort (int A[], int first, int last) {
    int temp[10], maxData;
    int bucket[10], i;
    for (maxData = A[first], i = first+1; i <= last; i++) {
        if (maxData < A[i])
            maxData = A[i];
    }
    for (int base = 1; (maxData/base) > 0; base *= 10) {
        for (i = first; i <= last; i++) {
            bucket[(A[i]/base) % 10]++;
            bucket[0] = 0;
        }
        for (i = 1; i < 10; i++)
            bucket[i] += bucket[i-1];
        for (i = first; i <= last; i++)
            temp[bucket[(A[i]/base) % 10]] = A[i];
        for (i = first; i <= last; i++)
            A[i] = temp[i];
    }
}

```

—  $O(2^n * n * d) \Rightarrow O(n)$

### Leaf 葉節點

— A node with no child

### Siblings 兄弟節點

— Nodes with a common parent

### Ancestor of node B 祖先節點

— A node on the path from root to B

### Descendant of node B 子孫節點

— A node on the path B to a leaf

## Binary Tree

A binary tree is a set  $T$  of nodes such that either

—  $T$  is empty, or

—  $T$  is partitioned into three disjoint subsets:

■ A single node  $r$ , the root

■ Two possibly empty sets that are binary trees, called the left subtree of  $r$  and the right subtree of  $r$

ex: 中序式適合做二元樹

單元 8,

## Trees

□ Binary tree 位置導向

□ Binary Search Tree 內容導向

□ Trees are composed of nodes and edges

□ Trees are hierarchical

— Parent-child relationship between two nodes 親子關係

— Ancestor-descendant relationships among nodes 祖孫關係

□ Subtree of a tree: Any node and its descendants 子樹

□ General tree

— A general tree  $T$  is a set of one or more nodes such that  $T$  is partitioned into disjoint subsets:

■ A single node  $r$ , the root

■ Sets that are general trees, called subtrees of  $r$

□ Parent of node B 父節點

— The node directly above node B in the tree

□ Child of node B 子節點

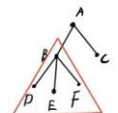
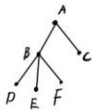
— A node directly below node B in the tree

□ Root

— The only node in the tree with no parent

□ Subtree of node B

— A tree that consists of a child (if any) of node B and the child's descendants



### Height of a tree (階級)

— Number of nodes along the longest path from the root to a leaf



• Level of node  $n$  in a tree  $T$

— If  $n$  is the root of  $T$ , it is at level 1

— If  $n$  is not the root of  $T$ , its level is 1 greater than the level of its parent

• Height of a tree  $T$  defined in terms of the levels of its nodes

— If  $T$  is empty, its height is 0

— If  $T$  is not empty, its height is equal to the maximum level of its nodes

最大階層 == 樹高



• A recursive definition of height 樹高的遞迴定義

- If T is empty, its height is 0

- If T is not empty,

$$\text{height}(T) = 1 + \max\{\text{height}(T_L), \text{height}(T_R)\}$$

□ A binary tree of height h is full if 完全樹

- Node at levels < h have two children each

□ Recursive definition

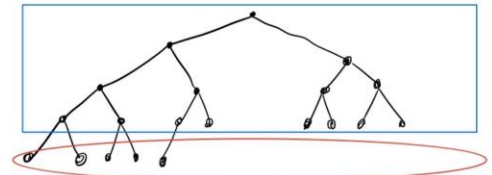
- If T is empty, T is a full binary tree of height 0

- If T is not empty, and has height h > 0, T is a full binary tree if its root's subtree are both full binary trees of height h-1

Complete Binary Trees 完整樹

- It is full to level h-1, and

- Level h is filled from left to right

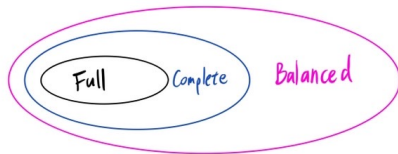
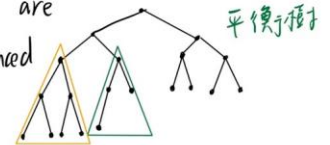


Balanced Binary Trees

□ A binary tree is balanced if the heights of any node's two subtrees differ by no more than 1

□ Complete binary trees are balanced

□ Full binary trees are complete and balanced



## Representations of Binary Tree

□ An array-based representation 陣列表示法

- Use an array of tree nodes 陣列串列

- Requires the creation of a free list that keeps track of available nodes

□ A pointer-based representation 指標表示法

- Nodes have two pointers that link the nodes in the tree

Array-based ADT Binary Tree

const int MAX\_NODES = 100;

class TreeNode {

private:

TreeNodeType item; // 資料部分

int leftChild; // 左子節點

int rightChild; // 右子節點

}; // end TreeNode

TreeNode tree[MAX\_NODES];

int root; // 樹根

int free; // 陣列串列

□ If a binary tree remains complete 保持完整 = 元樹

- A memory-efficient array-based implementation

$$\text{leftChild} = 2 * \text{parent} + 1$$

$$\text{rightChild} = 2 * \text{parent} + 2$$

$$\text{parent} = (\text{child} - 1) / 2$$



## Height (h) v.s. Number of Nodes (n)

### 1. Full binary tree

Level (层数)	Number of nodes at this level	Number of nodes at this and previous levels
h	$2^{h-1}$	$2^h - 1$

### 2. Minimum / Maximum Tree Height // 最小 / 最大树高

□ Minimum height for n nodes

- complete binary tree

$$h \leq 2^{h-1} \rightarrow \log_2(n+1) \leq \log_2(2^h) \rightarrow$$

$$h \geq \log_2(n+1) \rightarrow h = \lceil \log_2(n+1) \rceil$$

□ Maximum height for n nodes

- skewed binary tree: n

- complete binary tree

$$(2^{h-1} - 1) + 1 \leq n \rightarrow \log_2(2^{h-1}) \leq \log_2(n) \rightarrow$$

$$h \leq \log_2(n) + 1 \rightarrow h = \lceil \log_2(n) \rceil + 1$$

## Properties

### □ Notes

$N_2 = 3$  nodes with two children

$N_0 = 4$  leaves

-  $N_0 = N_2 + 1$  ?

$B = 8$  Branches (edges)

$$B = |E| = 2 * N_2 + 1 * N_1$$

### Flash back : Property of Binary Trees

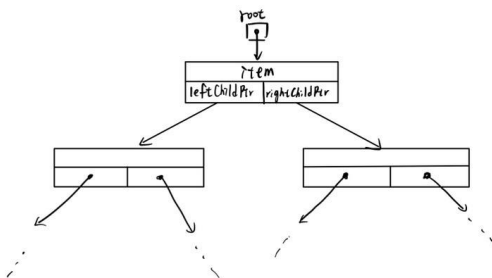
□ Leaf nodes ( $N_0$ ): recursive calls to base case

□ Internal nodes ( $N_2$ ): recursive calls to non-base cases

□  $|leaf nodes| - |internal nodes| = 1$

$$N_0 = N_2 + 1$$

## Pointer-based ADT Binary Tree



□ A traversal visits each node in a tree

- You do something with or to the node during a visit

- For example, display the data in the node

□ General form of a recursive traversal algorithm

traverse (in binTree: BinaryTree)

if (binTree is not empty) {

traverse (Left subtree of binTree's root)

traverse (Right subtree of binTree's root)

}

前序 preOrder

中序 inOrder

后序 postOrder

□ PreOrder traversal 在...之前

- Visit root before visiting its subtrees

☑ Before the recursive calls

□ InOrder traversal 在...中间

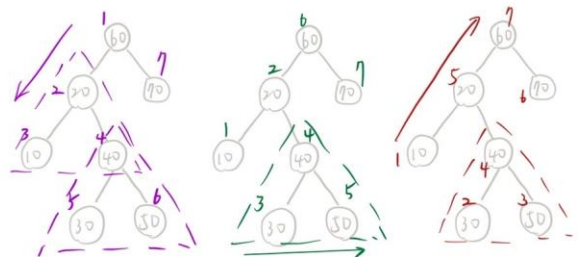
- Visit root between visiting its subtrees

☑ Before the recursive calls

□ PostOrder traversal 在...之后

- Visit root after visiting its subtrees

☑ Before the recursive calls



preorder :

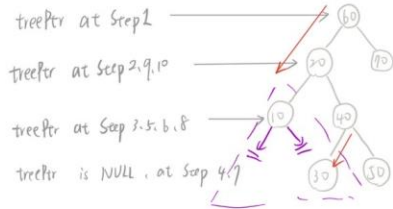
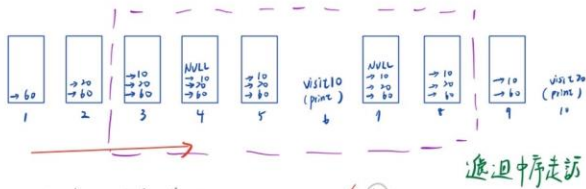
60, 20, 10, 40, 30, 50, 90

inorder :

10, 20, 30, 40, 50, 60, 90

postorder :

10, 30, 50, 40, 20, 90, 60



递归中序遍历

## Non-recursive In-order Traversal

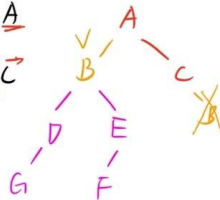
```

inorderTraversal (binaryTree root) {
    binaryTree treePtr = root;
    NodeStack aStack;
    while (!aStack.empty() || (treePtr != NULL)) {
        while (treePtr != NULL) {
            aStack.push(treePtr);
            treePtr = treePtr->leftChild;
        }
        treePtr = aStack.pop();
        cout << treePtr->data << endl;
        treePtr = treePtr->rightChild;
    } // while()
} // inorder Traversal

```

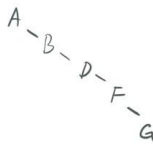
ex: Postorder: G D F E **B** C A

Inorder: G D **B** F E **A** C



Preorder, postorder 不能还原二叉树

ex: Preorder: **A** B D F G  
Inorder: A B D F G



## Binary Search Tree

□ insertItem into the binary search tree to which treePtr points

```

insertItem (in treePtr: TreePointerType, in newItem: TreeItemType)
{
    if (Search stops at X's left subtree)
        Make X's leftChildPtr point to newItem;
    else
        Make X's rightChildPtr point to newItem;
    Average case = O(log n)
    Worst case = O(n)
}

```



## Non-recursive Pre-order Traversal

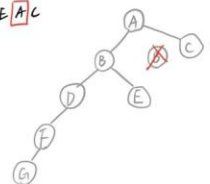
```

preorderTraversal (binaryTree root) {
    binaryTree treePtr = root;
    NodeStack aStack;
    while (!aStack.empty() || (treePtr != NULL)) {
        while (treePtr != NULL) {
            cout << treePtr->data << endl;
            aStack.push(treePtr->rightChild);
            treePtr = treePtr->leftChild;
        }
        treePtr = aStack.pop();
    } // while()
} // preorder Traversal

```

## Reconstruct the binary tree uniquely

ex: Preorder: A B D F G E C  
Inorder: G F D **B** E **A** C



## ADT Binary Search Tree: Deletion

□ Three possible cases for deleting node X

— X is a leaf

Set the pointer in X's parent to NULL

— X has only one child

Let X's parent adopt X's only child

Average case =  $O(\log n)$

Worst case =  $O(n)$

— X has two children

Locate another node M that is easier to delete

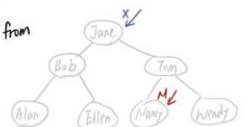
M is the leftmost node in X's right subtree

M will have no more than one child (0 or 1): easier

M's key is called the in-order successor of X's key

→ 可能有右边的小孩

— Remove the node M from the tree





Average case =  $O(\log n)$

Worst case =  $O(n)$

① 無子孫  $\Rightarrow$  直接刪

② 有一子孫  $\Rightarrow$  提上去、刪除

③ 有二子孫  $\Rightarrow$  找到左邊的最左節點 copy 後刪除  
如有子節點再提上去

□ 最高比較次數 = 樹高

□ 加入和刪除的次序會影響樹高

□ 隨機次序加入可逼近最小樹高

Operation	Average case	Worst case
Retrieval	$O(\log n)$	$O(n)$
Insertion	$O(\log n)$	$O(n)$
Deletion	$O(\log n)$	$O(n)$
Traversal	$O(n)$	$O(n)$

## Sorting by Binary Tree

□ Tree sort

- Build a binary search tree by  $n$  insertion

Average case =  $O(n * \log n)$

Worst case =  $O(n * n)$

- Inorder traversal =  $O(n)$

visit the nodes in sorted order

- Overall efficiency

Average case =  $O(n \log n)$

Worst case =  $O(n^2)$

## Saving a Binary Search Tree in a File

□ Two Algorithms for saving a binary search tree

1. Perform preorder traversal while saving a binary search tree and then restore it to its original tree

2. Perform inorder traversal while saving a binary search tree and then restore it to a balanced tree. 從中點開始再叉 (遞迴)

