

Ch1 優先佇列

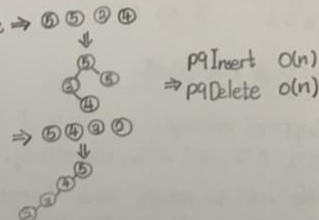
1-01 優先佇列簡介

1. ex: ⑤ ④ ③ ②
 ↓ pqInsert()
 PQ ⇒ sort Algorithm
 ↓ pqDelete()
 ② ③ ④ ⑤

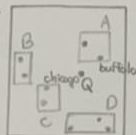
1-02 以排序實現優先佇列

1. selection ⇒ pqInsert() $O(1)$
 ⇒ pqDelete() $O(n)$
 insertion ⇒ pqInsert() $O(n)$
 pqDelete() $O(1)$


2. binary search tree ⇒ ⑤ ④ ③ ②




1-03 應用於鄰近搜尋

1. ex:  PQ: C, A, D, B
 ↓
 A, D, Chicago
 ↓
 Buffalo

1-04 初探資料結構

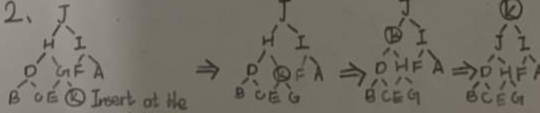
1. ⑤ ④ ③ ②
 ↓ pqInsert() $O(\log n)$
 min-Heap
 ↓ pqDelete() $O(1)$


2. ⑤ ④ ③ ②
 ↓ pqInsert() $O(\log n)$
 max-Heap
 ↓ pqDelete() $O(1)$


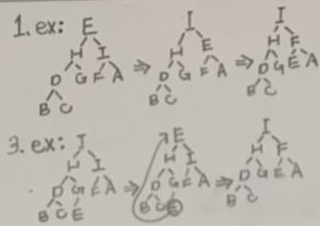
3. ① complete binary tree
 ② value of node is greater (smaller) or equal to the value of the children

1-05 新增資料於堆積結構

1. template <class ItemType>
 struct HeapType {
 void ReheapDown(int, int);
 void ReheapUp(int, int);
 ItemType *elements;
 int numElements;
 };

2. 
 Insert at the new bottom rightmost place
 pqinsert() $O(\log n)$

1-06 於堆棧結構刪除結點



1-07 應用於霍夫曼編碼

1. Suppose messages are made of letters a, b, c, d and e which appear with probabilities 12%, 4%, 5%, 8%, and 25%, respectively.
2. We wish to encode each character into a sequence of 0's and 1's so that no code for a character is the prefix for another.
3. Using Huffman's algorithm:
a=110, b=1111, c=10, d=1110, e=0

1-08 新增資料於堆疊範例

```

1. bool heapIsEmpty();
void heapInsert(HeapItemType & newItem);
void heapDelete(HeapItemType & rootItem);
void heapRebuild(int root);
HeapItemType items[Max_Heap];
int size;

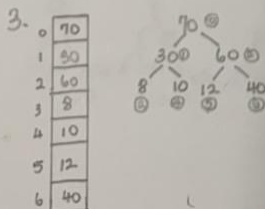
```

```

4. heapInsert(& newItem) {
    if (size == Max_Heap)
        throw HeapException("Heap Full");
}

```

2. Insert newItem into the bottom.
NewItem trickles up.



1-09 於堆疊刪除資料的範例

1. step1: Return the item in the root
- step2: Copy the item from the last node into the root
- step3: Remove the last node: size
- step4: Transform the semi-heap back into a heap

```
2. heapRebuild(int root): Pseudocode[
    int child = 2 * root + 1
    if (child < size) {
        int rightChild = child + 1;
        if ((rightChild < size) && (items[rightChild] > items[child]))
            child = rightChild;
        if (items[root] < items[child]) {
            HeapItemType temp = items[root];
            items[root] = items[child];
            items[child] = temp;
            heapRebuild(child);
        } // if ( )
    } // if ( )
} // heapRebuild ( )
```

1-10 將陣列直接轉成堆積

1. 由下往上做 ↑

1-11 以指標實作堆積結構

```
1. typedef struct heapItem {
    int value;
    struct heapItem *parent;
    struct heapItem *leftChild;
    struct heapItem *rightChild;
} heapNode;

typedef enum [LEFT, RIGHT] whichChild;

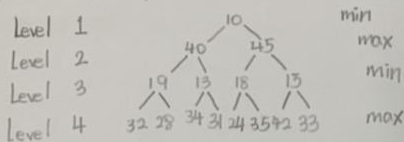
heapNode *parentOfBottom;
whichChild childAtBottom;
```

Ch2 堆積變形

02-1 堆積變形初探

1. Double-ended priority Queues (DEPQ)
Min-max Heap, Double-ended Heap (DEAP)
Forest (union) of Heaps
Binomial Heap, Fibonacci Heap

2. Min-max Heap

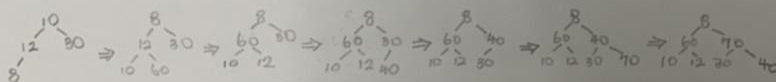


02-2 新增資料於最小最大堆積

1. Decide which level? min or max
2. Check whether to swap with its parent
Yes: ReheapUP from its parent
No: ReheapUP from the current node

02-3 新增資料於最小最大堆積的練習

1. ex: 10 12 30 8 60 40 70



02-4 於最小最大堆積刪除資料

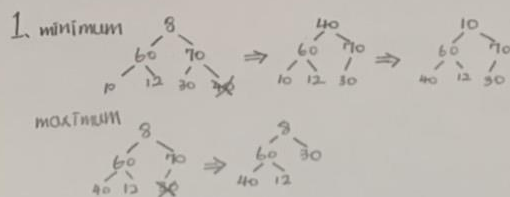
1. Delete smallest

- ⇒ ① Replace the root with the last element
- ② Check whether to swap with its smaller child
No: Reheap Down from the root (recursion)
Yes: Reheap Down from the root (recursion)

2. Delete largest

- ⇒ ① Replace the maximum with the last element
- ② Check whether to swap with its larger child
No: Reheap Down from the current node
Yes: Reheap Down from the current node

02-5 於最小最大堆積刪除資料的練習



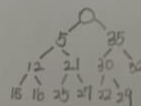
2. level = (int) floor($\log_2(i+1) \% 2$) ? Max:Min;
 if((i-1)/2) grandparent = (i-3)/4
 grandchildren = items[1 * 4 + j] for j=3,4,5,6

02-6 最小最大堆積的總結

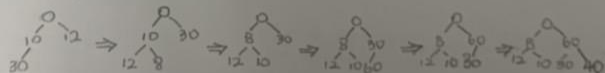
1. Three 4-way trees
 \Rightarrow max-heap + min-heap + maxheap
 \Rightarrow each node in maxheap has its parent in min-heap

02-7 新增資料於雙向堆積

1. Double-ended Priority Queue (DEPQ)
 2. Examine the corresponding nodes: Left < Right
 ReheapUP if necessary (recursion)

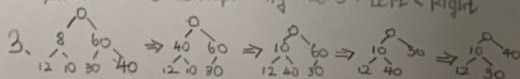


3. ex: 10, 12, 30, 8, 60, 40



02-8 於雙向堆積刪除資料

1. Smallest
 \Rightarrow Replace the root of min-heap with the best element
 ReheapDown if necessary
 Examine the corresponding nodes: Left < Right
2. Largest
 \Rightarrow Replace the root of max-heap with the best element
 ReheapDown if necessary
 Examine the corresponding nodes: Left < Right



02-9 雙向堆積的總結

1. Two heaps
 \Rightarrow Pseudo root + min-heap + max-heap
 \Rightarrow Each node in max-heap corresponds to one in min-heap

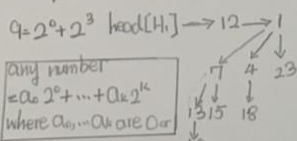
02-11 堆棧結構的應用

1. external sort \Rightarrow large amount of data on secondary storage
e.g. quick + heap sort
2. Merge of priority queues
 \Rightarrow Two cooks \rightarrow one cook
multiple servers: job queues

02-12 可合併的堆棧結構

1. A binomial heap is a collection of binomial trees that satisfy the heap property and have distinct orders
 \Rightarrow Two binomial trees of the same order can be merged

2. example:



Given the number of nodes
 \Rightarrow a unique structure

3. 13 node look like?

$$13 = (1101)_2 = 2^3 + 2^2 + 0 + 2^0$$

26 node look like?

$$26 = (11010)_2 = 2^4 + 2^3 + 0 + 2^1 + 0$$

02-13 二項式堆棧

1. A linked list sorted by the orders of binomial trees (degrees of the roots)
Merge two binomial trees of the same order (from left to right)
2. Insert: ① Insert into the linked list of the roots
② call merge function
3. Delete: ① Find the minimum from the linked list of the roots
② Delete the root having the minimum
③ Add its children into the linked list
④ Call merge function

02-14 堆棧的總結

1. Fibonacci Heap: Definition

- ① Doubly linked list on the siblings (tree roots)
- ② Doubly linked list between parent and child
- ③ Merge: simply concatenate two lists of tree roots

Ch3 由下而上的成長的平衡二元樹

03-1 搜尋的基本觀念

1. Use the search key.

03-2 搜尋機制的基本運算

1. insert, delete, retrieve
2. ① Linear implementation: 4 categories // appropriate small table or insert with few deletions
Unsorted \Rightarrow array based, pointer based
sorted \Rightarrow array based, pointer based
② Nonlinear implementation: // balanced binary tree
Binary search tree \Rightarrow several advantage over linear

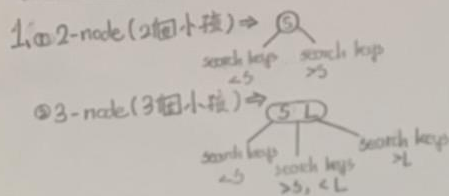
03-3 實作方式的不同選擇

- | | | |
|-------------------------------|---|-----------------------------|
| 1. Unsorted - array | 2. sorted - array | Traversal of four is $O(n)$ |
| ① insert $O(1)$ | ① insert / deletion $O(n)$ | \swarrow |
| ② deletion $O(n)$ | ② binary search $O(\log n)$ | |
| ③ retrieval $O(n)$ | | |
| 3. Unsorted - pointer | 4. sorted - pointer | |
| ① no data shifts | ① no data shifts | |
| ② insertion $O(1)$ | ② insertion / deletion / retrieval $O(n)$ | |
| ③ deletion / retrieval $O(n)$ | | |

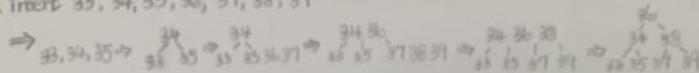
03-4 初探平衡二元樹

1. ① 2-3 tree, 2-3-4 tree
② AVL tree, Red-black tree
2. 2-3 tree \Rightarrow ① general tree, not binary trees
② never taller than minimum-height binary tree
never has height greater than $\lceil \log_2(n+1) \rceil$

03-5 簡介 2-3 樹



2. Insert 33, 34, 35, 36, 37, 38, 39



03-6 2-3 樹的樹高

1. 2-3 樹 worst case 為二元搜尋樹最好情況

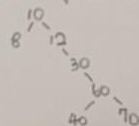
03-7 新增資料於 2-3 樹的演算法

1. insertItem(m, tTree, m newItem)
 - locate and add newItem to leafNode;
 - if (leafNode now has three items)
 - split(leafNode);
2. split (most treeNode)
 - if (treeNode == root)
 - creat a new node p;
 - else
 - p = parent of treeNode;
 - Replace treeNode with node1 and node2, so that p is their parent;
 - Place the smallest and largest key into node1 and node2;
 - if (treeNode is not a leaf)
 - node1 = parent of two leftmost children under treeNode;
 - node2 = parent of two rightmost children under treeNode;
 - Move the middle key up to p;
 - if (p now has three items)
 - split(p);

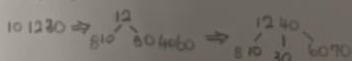
03-8 新增資料於 2-3 樹的範例

1. insert 10, 12, 30, 8, 60, 40, 70

① binary search tree



② 2-3 tree



03-10 於 23 樹刪除資料的演算法

1. Locate the leaf at which the search for I would terminate
2. Delete I from the leaf
3. If the leaf now contains one item, you are done
4. If the leaf now contains no item, choose one of the following operations to fix
 - (a) Redistribute the value: retain the tree structure
 - (b) Merge into a leaf: its parent has one less child
5. deleteItem (in tTree, in theKey)

X = the tree node whose search key equals theKey;

if (X is not a leaf)

Y = successor(X);

swapKey(X, Y);

X = Y;

Delete theKey for X

if (X now has no item)

fix(X);

fix (in X)

if (X == root)

remove the root;

else

P = parent of X;

if (the nearest sibling of X has two items)

Redistribute items among the sibling, P and X;

if (X is not a leaf)

Move appropriate child from sibling to X;

else

S = the nearest sibling of X;

Move appropriate item down from P to S;

if (X is not a leaf)

Move X's child to S;

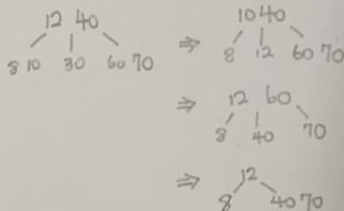
remove X;

if (P now has no item)

fix(P);

03-11 於 23 樹刪除資料的範例

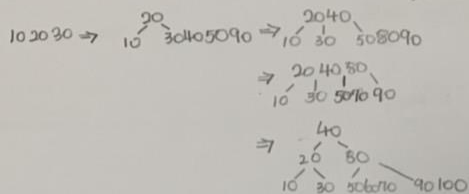
lex: 30 10 60



03-12 新增資料於234樹

1. are general trees, not binary trees
never taller than 2-3 樹
extensions of 2-3 樹

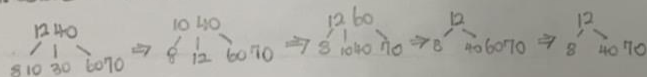
2. ex: insert 10, 20, 30, 40, 50, 90, 80, 70, 60, 100



03-13 於234樹刪除資料

1. Locate the node n that contains the item $theItem$
2. Find $theItem$'s in-order successor and swap it with $theItem$ (deletion will always occur at a leaf)
3. If that is a 3-node or 4-node, remove $theItem$

4. Delete: 30, 10, 60



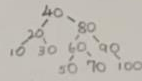
03-14 23樹和234樹的總結

1. 234 tree to 23-tree more than one data, more than one children
2. 234 tree are more efficient than the corresponding algorithms for 2-3 tree

Ch4 由上而下成長的平衡二元樹

04-1 複習二元搜尋樹

1. insert 40, 20, 10, 80, 90, 100, 30, 60, 50, 70



2. delete \Rightarrow Located node M that is easier to delete

\rightarrow M is the leftmost node in X's right subtree

\rightarrow M will have no more than one child (or 1): easier

\rightarrow M's key is called the inorder successor of X's key

Copy the item that is in M to X

Remove the node M from the tree

04-1 AVL 樹的原理

1. \odot A balanced binary search tree

\odot minimum-height binary search tree

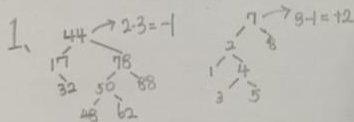
2. check whether the tree is balanced, if unbalanced rotate it

3. Balance Factor (BF)

$$BF(\text{a node}) = |h(\text{left subtree}) - h(\text{right subtree})|$$

BF is no more than 1

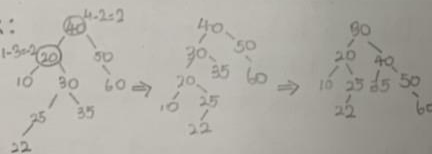
04-2 AVL 樹的平衡係數



04-3 AVL 樹的旋轉

1. single rotation, double rotation

2. ex:



04-4 AVL 樹的單一旋轉

1. Let x be the node at which $x \rightarrow \text{left}$ and $x \rightarrow \text{right}$ differ more than 1

(LL)

$\text{BF}(x) = 2$ $\text{BF}(x \rightarrow \text{left}) = +1$ or 0

(RR)

$\text{BF}(x) = -2$ $\text{BF}(x \rightarrow \text{right}) = -1$ or 0

2. ex:

nodeType rotateLL(nodeType x) {

nodeType y = x → left;

x → left = y → right;

y → right = x;

return y;

}

nodeType rotateRR(nodeType x) {

nodeType y = x → right;

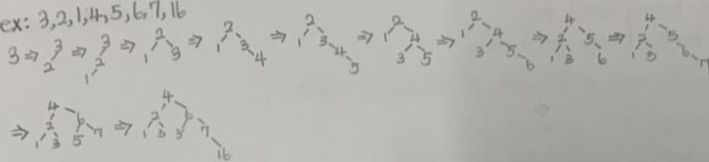
x → right = y → left;

y → left = x;

return y;

}

3. ex: 3, 2, 1, 4, 5, 6, 7, 16



04-5 AVL 樹的複式旋轉

1. (LR): $\text{RR} \Rightarrow \text{LL}$

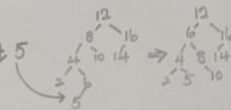
$\text{BF}(x) = +2$, $\text{BF}(x \rightarrow \text{left}) = -1$

(RL): $\text{LL} \Rightarrow \text{RR}$

$\text{BF}(x) = -2$, $\text{BF}(x \rightarrow \text{right}) = +1$

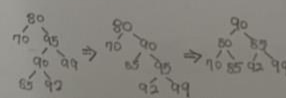
2. ex:

(LR) insert 5



ex:

(RL) insert 85 or 92



04-6 實作AVL的複式旋轉

1. nodeType rotateLR(nodeType x) {

x → left = rotateRR(x → left);

return rotateLL(x);

}

2. nodeType rotateRL(nodeType x) {

x → right = rotateLL(x → right);

return rotateRR(x);

}

04-7 刪除AVL樹的資料

1. Delete a node x as in a binary search tree and the last node deleted is a leaf

2. Trace the path from the new leaf towards the root

3. After we perform a rotation at x , we may have to perform a rotation at some ancestor of x

04-8 比AVL樹和234樹

1. An AVL tree is a binary search tree that is guaranteed to remain balanced using rotations.

2. A 2-3-4 tree is the variant of a binary search tree in which nodes can contain more than one data and have more than two children.

04-9 紅黑數的原理

1. A binary search tree to represent a 2-3-4 tree.
Rotation like AVL tree.
Easy to keep balanced and simple insertion / deletion
2. class RBTreeNode {
TreeItemType item;
RBTreeNode * leftChildPtr, rightChildPtr;
colorType leftColor, rightColor;

04-10 比較紅黑樹和 234 樹

1. Every external path has an equal number of black pointers \rightarrow Height of 234 tree
2. External path cannot have two consecutive red pointers.

04-11 紅黑樹的分裂

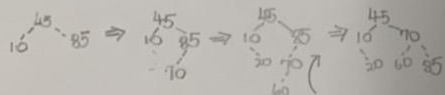
1. parent 2-node \Rightarrow change colors
 \Rightarrow pointers to and from its parents are black
- parent 3-node \Rightarrow color change (LL Rotation) (RR Rotation)
 \Rightarrow pointers sibling, parent is red

04-12 新增資料於紅黑樹

1. splits of a node with two red pointers, occur only on the path from the root to a leaf
2. set the pointer to a new-added node as red
3. Rotate if there are two consecutive red pointers

04-13 新增資料於紅黑樹的範例

1. ex: 45, 85, 10, 70, 20, 60

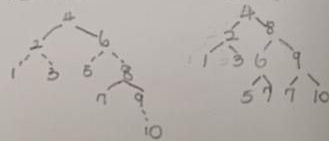


04-14 比較紅黑樹和 AVL 樹

1. Input 1, 2, 3, 4, 5, 6, 7, 8, 9, 10

Red-black Tree

AVL Tree



04-15 刪除紅黑樹的資料

1. Find the node to delete, as in a binary search tree
two children \Rightarrow swap with the in-order successor
only one child \Rightarrow pointed to by a black pointer
leaf \Rightarrow pointed to by a red or black pointer
2. Replace the node of only one child with its child
3. Delete the leaf if the pointer to it is red
4. Recolor or rotate
- leaf pointed to by a black pointer

04-16 於紅黑樹刪除資料的各種狀況

1. pointer pointing to its parent red?
Yes, recolor or rotation
2. pointer pointing to its sibling red?
Yes, rotations + recolor
3. sibling has any child?
Yes, rotation + recolor
No, upward recursion

04-17 平衡數的總結

