# **Machine Learning Engineer Nanodegree**

## **Reinforcement Learning**

## **Project 4: Smartcab**

# **Project Report**

You will be required to submit a project report along with your modified agent code as part of your submission. As you complete the tasks below, include thorough, detailed answers to each question provided in italics.

## Implement a Basic Driving Agent

To begin, your only task is to get the smartcab to move around in the environment. At this point, you will not be concerned with any sort of optimal driving policy. Note that the driving agent is given the following information at each intersection:

The next waypoint location relative to its current location and heading. The state of the traffic light at the intersection and the presence of oncoming vehicles from other directions. The current time left from the allotted deadline.

To complete this task, simply have your driving agent choose a random action from the set of possible actions (None, 'forward', 'left', 'right') at each intersection, disregarding the input information above. Set the simulation deadline enforcement, enforce deadline to False and observe how it performs.

```
In [1]: # Import Libraries necessary for this project
import numpy as np
import pandas as pd
import matplotlib.pyplot as plt

# Pretty display for notebooks
%matplotlib inline
```

## QUESTION:

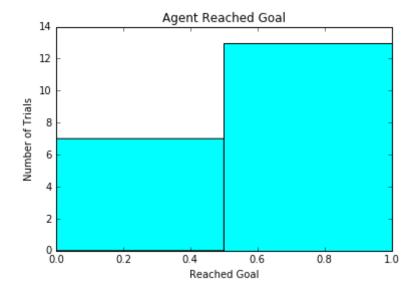
Observe what you see with the agent's behavior as it takes random actions. Does the smartcab eventually make it to the destination? Are there any other interesting observations to note?

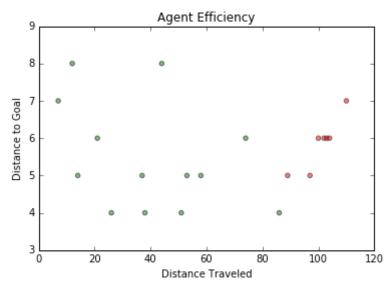
```
In [2]:
        # Deadline set to False
        df = pd.DataFrame(columns=['reached', 'goal_distance','distance_traveled', 'steps'])
        df=df.append( { 'reached':False, 'goal_distance':6, 'distance_traveled':102, 'steps':130 },
         ignore index=True)
        df=df.append( { 'reached':True, 'goal_distance':6, 'distance_traveled':21, 'steps':31 }, ig
         nore_index=True)
         df=df.append( { 'reached':True,'goal_distance':4,'distance_traveled':26,'steps':42 }, ig
        nore_index=True)
        df=df.append( { 'reached':True, 'goal_distance':5, 'distance_traveled':58, 'steps':72 }, ig
         nore_index=True)
        df=df.append( { 'reached':True,'goal_distance':8,'distance_traveled':12,'steps':16 }, ig
         nore_index=True)
        df=df.append( { 'reached':True, 'goal distance':4, 'distance traveled':51, 'steps':73 }, ig
         nore index=True)
        df=df.append( { 'reached':False, 'goal_distance':6, 'distance_traveled':100, 'steps':130 },
         ignore index=True)
        df=df.append( { 'reached':True,'goal_distance':5,'distance_traveled':37,'steps':47 }, ig
         nore_index=True)
        df=df.append( { 'reached':True,'goal_distance':6,'distance_traveled':74,'steps':101 }, i
        gnore_index=True)
         df=df.append( { 'reached':True,'goal_distance':5,'distance_traveled':53,'steps':64 }, ig
         nore_index=True)
        df=df.append( { 'reached':False, 'goal_distance':7, 'distance_traveled':110, 'steps':135 },
         ignore_index=True)
        df=df.append( { 'reached':True, 'goal_distance':4, 'distance_traveled':38, 'steps':57 }, ig
        nore index=True)
        df=df.append( { 'reached':True, 'goal_distance':8, 'distance_traveled':44, 'steps':57 }, ig
         nore_index=True)
        df=df.append( { 'reached':False, 'goal_distance':6, 'distance_traveled':103, 'steps':130 },
         ignore_index=True)
        df=df.append( { 'reached':False, 'goal_distance':5, 'distance_traveled':89, 'steps':125 },
         ignore_index=True)
         df=df.append( { 'reached':True, 'goal_distance':7, 'distance_traveled':7, 'steps':6 }, igno
         re_index=True)
        df=df.append( { 'reached':True, 'goal_distance':4, 'distance_traveled':86, 'steps':117 }, i
         gnore_index=True)
        df=df.append( { 'reached':False, 'goal_distance':5, 'distance_traveled':97, 'steps':125 },
         ignore index=True)
        df=df.append( { 'reached':False, 'goal_distance':6, 'distance_traveled':104, 'steps':130 },
         ignore_index=True)
        df=df.append( { 'reached':True, 'goal_distance':5, 'distance_traveled':14, 'steps':14 }, ig
        nore_index=True)
        df['color'] = np.where(df['reached']==True, 'green', 'red')
        print df
```

0	reached False	goal_distance 6.0	distance_traveled 102.0	steps 130.0	color red	
1	True	6.0	21.0	31.0		
2	True	4.0	26.0	42.0	green green	
3	True	5.0	58.0	72.0	•	
<i>3</i>					green	
	True	8.0	12.0	16.0	green	
5	True	4.0	51.0	73.0	green	
6	False	6.0	100.0	130.0	red	
7	True	5.0	37.0	47.0	green	
8	True	6.0	74.0	101.0	green	
9	True	5.0	53.0	64.0	green	
10	False	7.0	110.0	135.0	red	
11	True	4.0	38.0	57.0	green	
12	True	8.0	44.0	57.0	green	
13	False	6.0	103.0	130.0	red	
14	False	5.0	89.0	125.0	red	
15	True	7.0	7.0	6.0	green	
16	True	4.0	86.0	117.0	green	
17	False	5.0	97.0	125.0	red	
18	False	6.0	104.0	130.0	red	
19	True	5.0	14.0	14.0	green	

```
In [3]: # the histogram of the data
    plt.hist(df['reached'], bins=2, facecolor='cyan')
    plt.xlabel('Reached Goal')
    plt.ylabel('Number of Trials')
    plt.title('Agent Reached Goal')
    plt.show()

# the scatterplot of distance traveled versus goal distance
    plt.scatter(df['distance_traveled'], df['goal_distance'], c=df['color'], alpha=0.5)
    plt.xlabel('Distance Traveled')
    plt.ylabel('Distance to Goal')
    plt.title('Agent Efficiency')
    plt.show()
```



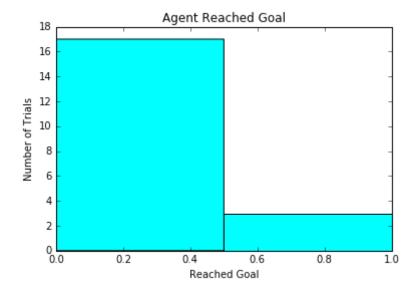


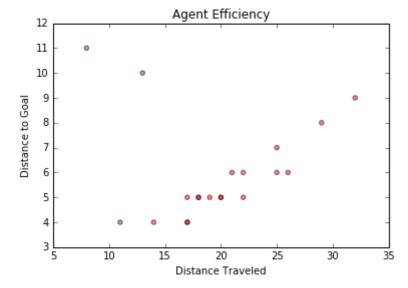
```
In [4]:
        # Deadline set to True
        df = pd.DataFrame(columns=['reached', 'goal_distance','distance_traveled', 'steps'])
         df=df.append( { 'reached':False,'goal_distance':5,'distance_traveled':22,'steps':25 }, i
         gnore index=True)
         df=df.append( { 'reached':False,'goal_distance':4,'distance_traveled':17,'steps':20 }, i
        gnore_index=True)
         df=df.append( { 'reached':False,'goal_distance':7,'distance_traveled':25,'steps':35 }, i
        gnore_index=True)
        df=df.append( { 'reached':True, 'goal_distance':4, 'distance_traveled':11, 'steps':13 }, ig
         nore_index=True)
        df=df.append( { 'reached':False, 'goal_distance':4, 'distance_traveled':17, 'steps':20 }, i
         gnore_index=True)
        df=df.append( { 'reached':False,'goal_distance':5,'distance_traveled':18,'steps':25 }, i
         gnore_index=True)
         df=df.append( { 'reached':False,'goal_distance':4,'distance_traveled':14,'steps':20 }, i
         gnore index=True)
        df=df.append( { 'reached':False, 'goal_distance':5, 'distance_traveled':20, 'steps':25 }, i
         gnore_index=True)
        df=df.append( { 'reached':True,'goal_distance':10,'distance_traveled':13,'steps':19 }, i
         gnore index=True)
         df=df.append( { 'reached':False,'goal_distance':6,'distance_traveled':25,'steps':30 }, i
         gnore_index=True)
        df=df.append( { 'reached':False, 'goal_distance':6, 'distance_traveled':22, 'steps':30 }, i
         gnore_index=True)
         df=df.append( { 'reached':True,'goal_distance':11,'distance_traveled':8,'steps':11 }, ig
        nore index=True)
        df=df.append( { 'reached':False, 'goal_distance':9, 'distance_traveled':32, 'steps':45 }, i
         gnore_index=True)
        df=df.append( { 'reached':False, 'goal_distance':6, 'distance_traveled':26, 'steps':30 }, i
        gnore_index=True)
        df=df.append( { 'reached':False, 'goal_distance':5, 'distance_traveled':20, 'steps':25 }, i
        gnore_index=True)
        df=df.append( { 'reached':False,'goal_distance':5,'distance_traveled':17,'steps':25 }, i
         gnore_index=True)
        df=df.append( { 'reached':False, 'goal_distance':5, 'distance_traveled':18, 'steps':25 }, i
        gnore_index=True)
        df=df.append( { 'reached':False, 'goal_distance':6, 'distance_traveled':21, 'steps':30 }, i
         gnore_index=True)
         df=df.append( { 'reached':False,'goal_distance':5,'distance_traveled':19,'steps':25 }, i
         gnore_index=True)
        df=df.append( { 'reached':False, 'goal_distance':8, 'distance_traveled':29, 'steps':40 }, i
        gnore_index=True)
        df['color'] = np.where(df['reached']==True, 'green', 'red')
        print df
```

reached         goal_distance         distance_traveled         steps         color           0         False         5.0         22.0         25.0         red           1         False         4.0         17.0         20.0         red           2         False         7.0         25.0         35.0         red           3         True         4.0         11.0         13.0         green           4         False         4.0         17.0         20.0         red	
1       False       4.0       17.0       20.0       red         2       False       7.0       25.0       35.0       red         3       True       4.0       11.0       13.0       green         4       False       4.0       17.0       20.0       red	
2       False       7.0       25.0       35.0       red         3       True       4.0       11.0       13.0       green         4       False       4.0       17.0       20.0       red	
3       True       4.0       11.0       13.0 green         4       False       4.0       17.0       20.0 red	
4 False 4.0 17.0 20.0 red	
5 False 5.0 18.0 25.0 red	
6 False 4.0 14.0 20.0 red	
7 False 5.0 20.0 25.0 red	
8 True 10.0 13.0 19.0 green	
9 False 6.0 25.0 30.0 red	
10 False 6.0 22.0 30.0 red	
11 True 11.0 8.0 11.0 green	
12 False 9.0 32.0 45.0 red	
13 False 6.0 26.0 30.0 red	
14 False 5.0 20.0 25.0 red	
15 False 5.0 17.0 25.0 red	
16 False 5.0 18.0 25.0 red	
17 False 6.0 21.0 30.0 red	
18 False 5.0 19.0 25.0 red	
19 False 8.0 29.0 40.0 red	

```
In [5]: # the histogram of the data
plt.hist(df['reached'], bins=2, facecolor='cyan')
plt.xlabel('Reached Goal')
plt.ylabel('Number of Trials')
plt.title('Agent Reached Goal')
plt.show()

# the scatterplot of distance traveled versus goal distance
plt.scatter(df['distance_traveled'], df['goal_distance'], c=df['color'], alpha=0.5)
plt.xlabel('Distance Traveled')
plt.ylabel('Distance to Goal')
plt.title('Agent Efficiency')
plt.show()
```





See above analysis of twenty logged trials. With the deadline parameter set to False, the agent does reach the goal the majority of the time (13 of 20 or 65% - seven trials hit the hard limit number of steps) however there does not seem to be a correlation in the scatter plot between the original distance to the goal and how far the agent has to travel to reach it (aside from the fact that the longer distance traveled points tend to not reach the goal but the deadline instead). Even some of the longest distances to the goal result in the shorter distances traveled by the agents.

With the deadline enforced, the agent does a poor job of reaching the goal (even with the shortcut described below in place) - the agent reaches 3 of 20 times or 15%. The deadline significantly impacts the performance, which is expected. Let a random movement each step go long enough and there is a far higher chance the agent arrives at the goal.

The key behavior noticed that seems outside of what is expected is that the grid space is toroidal - if the agent moves forward facing up on the top row, it reappears at the bottom row in that column and similarly on the left and right columns. This should partially explain the above observation about the larger distances to goals because the agent could have randomly taken a shortcut across the boundary to a goal that has a calculated Manhattan style distance that does not take into account the space "wrapping."

### Code Note:

For my first submission, I logged the development steps as commits. For the resubmission, I'm adding branches per step to the github repo.

environment.py also changed:

- logs the trial at completion with a new print line to create a dataframe
- · computes Manhattan distance and stores for analysis in dataframe

https://github.com/gilakos/machine-learning/tree/P4\_S1/projects/smartcab (https://github.com/gilakos/machine-learning/tree/P4\_S1/projects/smartcab)

## **Inform the Driving Agent**

Now that your driving agent is capable of moving around in the environment, your next task is to identify a set of states that are appropriate for modeling the smartcab and environment. The main source of state variables are the current inputs at the intersection, but not all may require representation. You may choose to explicitly define states, or use some combination of inputs as an implicit state. At each time step, process the inputs and update the agent's current state using the self.state variable. Continue with the simulation deadline enforcement enforce\_deadline being set to False, and observe how your driving agent now reports the change in state as the simulation progresses.

## **Question:**

What states have you identified that are appropriate for modeling the smartcab and environment? Why do you believe each of these states to be appropriate for this problem?

These five conditions are the key descriptors of full state model:

- The next waypoints (forward, left, right)
- The color of the light (red or green)
- The existance of and next waypoint of forward oncoming traffic (None, forward, left, right)
- The existance of and next waypoint of left oncoming traffic (None, forward, left, right)
- The existance of and next waypoint of right oncoming traffic (None, forward, left, right)

### Reasoning:

- The next waypoint is necessary because this is the primary decision that will need to be rewarded or penalized. It is the cab's intended heading.
- The color of the light is necessary because it defines the limitation or not of the possible actions the cab can take.
- The conditions of the three traffic descriptors are all necessary because the cab has to obey the rules of the road
  - these also define limitations for possible actions.

The only other parameter that could be considered is the agent's deadline value when the dealine is being enforced. This value can start as high as 65 ((8+6-1) grid size \* 5 hard coded). Adding this value would expand the state space to 24,940 which is far too high for the agent to learn across 100 trials. Also, without it the reward should already encourage decision making for faster routes because of the first (next waypoints) condition above.

The combinations of these five conditions describe all the states that guide our smartcab's decision making including all rules of the road so that it can learn:

## **Optional:**

How many states in total exist for the smartcab in this environment? Does this number seem reasonable given that the goal of Q-Learning is to learn and make informed decisions about each state? Why or why not?

#### Answer:

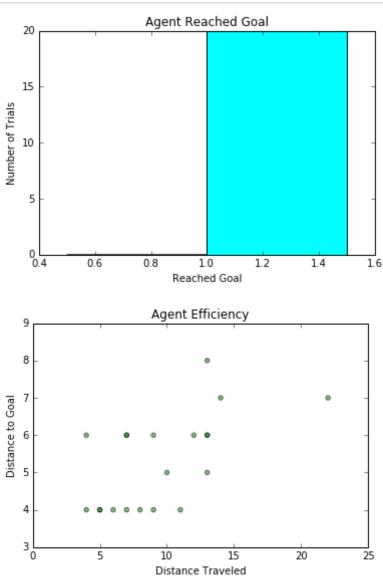
There are 384 total possible states for the smartcab agent based on the combinations of the five conditions above. This is found by multiplying the number of possible options for each condition (3x2x4x4x4=384). This is a rather large number of possible states because the agent needs to visit each one multiple times to learn which decision is better for the next waypoint. (In the Q Learning video the theory expects we visit each state an infinite number of times to converge.)

```
In [6]: | df = pd.DataFrame(columns=['reached', 'goal_distance', 'distance_traveled', 'steps'])
        df=df.append( { 'reached':True,'goal_distance':7,'distance_traveled':14,'steps':13 }, ig
        nore_index=True)
        df=df.append( { 'reached':True, 'goal_distance':4, 'distance_traveled':9, 'steps':8 }, igno
        re index=True)
        df=df.append( { 'reached':True, 'goal_distance':8, 'distance_traveled':13, 'steps':12 }, ig
        nore index=True)
        df=df.append( { 'reached':True, 'goal_distance':4, 'distance_traveled':8, 'steps':7 }, igno
        re_index=True)
        df=df.append( { 'reached':True, 'goal_distance':4, 'distance_traveled':7, 'steps':6 }, igno
        re index=True)
        df=df.append( { 'reached':True,'goal_distance':6,'distance_traveled':12,'steps':11 }, ig
        nore index=True)
        df=df.append( { 'reached':True,'goal_distance':7,'distance_traveled':22,'steps':21 }, ig
        nore index=True)
        df=df.append( { 'reached':True, 'goal distance':4, 'distance traveled':5, 'steps':4 }, igno
        re index=True)
        df=df.append( { 'reached':True,'goal_distance':5,'distance_traveled':13,'steps':12 }, ig
        nore_index=True)
        df=df.append( { 'reached':True,'goal_distance':6,'distance_traveled':9,'steps':8 }, igno
        re index=True)
        df=df.append( { 'reached':True,'goal_distance':5,'distance_traveled':10,'steps':9 }, ign
        ore index=True)
        df=df.append( { 'reached':True,'goal_distance':4,'distance_traveled':6,'steps':5 }, igno
        re_index=True)
        df=df.append( { 'reached':True,'goal_distance':6,'distance_traveled':13,'steps':12 }, ig
        nore index=True)
        df=df.append( { 'reached':True,'goal_distance':6,'distance_traveled':4,'steps':3 }, igno
        re index=True)
        df=df.append( { 'reached':True,'goal_distance':4,'distance_traveled':5,'steps':4 }, igno
        re index=True)
        df=df.append( { 'reached':True,'goal_distance':6,'distance_traveled':7,'steps':6 }, igno
        re index=True)
        df=df.append( { 'reached':True,'goal_distance':6,'distance_traveled':13,'steps':12 }, ig
        nore index=True)
        df=df.append( { 'reached':True, 'goal_distance':6, 'distance_traveled':7, 'steps':6 }, igno
        re_index=True)
        df=df.append( { 'reached':True,'goal_distance':4,'distance_traveled':11,'steps':10 }, ig
        nore index=True)
        df=df.append( { 'reached':True, 'goal_distance':4, 'distance_traveled':4, 'steps':3 }, igno
        re_index=True)
        df['color'] = np.where(df['reached']==True, 'green', 'red')
        print df
```

	reached	goal_distance	distance_traveled	steps	color
0	True	7.0	14.0	13.0	green
1	True	4.0	9.0	8.0	green
2	True	8.0	13.0	12.0	green
3	True	4.0	8.0	7.0	green
4	True	4.0	7.0	6.0	green
5	True	6.0	12.0	11.0	green
6	True	7.0	22.0	21.0	green
7	True	4.0	5.0	4.0	green
8	True	5.0	13.0	12.0	green
9	True	6.0	9.0	8.0	green
10	True	5.0	10.0	9.0	green
11	True	4.0	6.0	5.0	green
12	True	6.0	13.0	12.0	green
13	True	6.0	4.0	3.0	green
14	True	4.0	5.0	4.0	green
15	True	6.0	7.0	6.0	green
16	True	6.0	13.0	12.0	green
17	True	6.0	7.0	6.0	green
18	True	4.0	11.0	10.0	green
19	True	4.0	4.0	3.0	green

```
In [7]: # the histogram of the data
plt.hist(df['reached'], bins=2, facecolor='cyan')
plt.xlabel('Reached Goal')
plt.ylabel('Number of Trials')
plt.title('Agent Reached Goal')
plt.show()

# the scatterplot of distance traveled versus goal distance
plt.scatter(df['distance_traveled'], df['goal_distance'], c=df['color'], alpha=0.5)
plt.xlabel('Distance Traveled')
plt.ylabel('Distance to Goal')
plt.title('Agent Efficiency')
plt.show()
```



## Observation:

By removing the random next\_waypoint assignment, now all of our trials result in a successful reaching of the goal and all of the distances required to reach the goal are far smaller.

### Code Note:

For my first submission, I logged the development steps as commits. For the resubmission, I'm adding branches per step to the github repo.

environment.py also changed:

• logs the trial at completion with a new print line to create a dataframe

https://github.com/gilakos/machine-learning/tree/P4\_S2/projects/smartcab (https://github.com/gilakos/machine-learning/tree/P4\_S2/projects/smartcab)

## Implement a Q-Learning Driving Agent

With your driving agent being capable of interpreting the input information and having a mapping of environmental states, your next task is to implement the Q-Learning algorithm for your driving agent to choose the best action at each time step, based on the Q-values for the current state and action. Each action taken by the smartcab will produce a reward which depends on the state of the environment. The Q-Learning driving agent will need to consider these rewards when updating the Q-values. Once implemented, set the simulation deadline enforcement enforce\_deadline to True. Run the simulation and observe how the smartcab moves about the environment in each trial.

In [8]:	

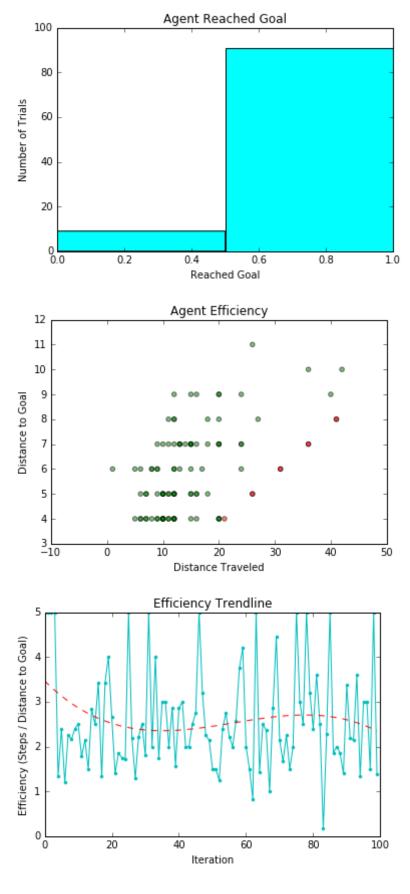
```
df = pd.DataFrame(columns=['reached', 'goal_distance', 'distance_traveled', 'steps'])
df=df.append( { 'reached':False, 'goal_distance':4, 'distance_traveled':21, 'steps':20 }, i
gnore_index=True)
df=df.append( { 'reached':False, 'goal_distance':5, 'distance_traveled':26, 'steps':25 }, i
gnore_index=True)
df=df.append( { 'reached':False, 'goal_distance':8, 'distance_traveled':41, 'steps':40 }, i
gnore_index=True)
df=df.append( { 'reached':False, 'goal_distance':8, 'distance_traveled':41, 'steps':40 }, i
gnore_index=True)
df=df.append( { 'reached':True, 'goal_distance':6, 'distance_traveled':8, 'steps':8 }, igno
re_index=True)
df=df.append( { 'reached':True, 'goal_distance':5, 'distance_traveled':12, 'steps':12 }, ig
nore index=True)
df=df.append( { 'reached':True,'goal_distance':5,'distance_traveled':6,'steps':6 }, igno
re_index=True)
df=df.append( { 'reached':True,'goal_distance':8,'distance_traveled':18,'steps':18 }, ig
nore index=True)
df=df.append( { 'reached':True,'goal_distance':6,'distance_traveled':13,'steps':13 }, ig
nore index=True)
df=df.append( { 'reached':True,'goal_distance':5,'distance_traveled':12,'steps':12 }, ig
nore_index=True)
df=df.append( { 'reached':True,'goal_distance':4,'distance_traveled':10,'steps':10 }, ig
nore index=True)
df=df.append( { 'reached':True,'goal_distance':9,'distance_traveled':16,'steps':16 }, ig
nore index=True)
df=df.append( { 'reached':True,'goal_distance':7,'distance_traveled':15,'steps':15 }, ig
nore_index=True)
df=df.append( { 'reached':True,'goal_distance':6,'distance_traveled':9,'steps':9 }, igno
re index=True)
df=df.append( { 'reached':True,'goal_distance':6,'distance_traveled':17,'steps':17 }, ig
nore index=True)
df=df.append( { 'reached':True,'goal_distance':4,'distance_traveled':10,'steps':10 }, ig
nore_index=True)
df=df.append( { 'reached':True,'goal_distance':7,'distance_traveled':24,'steps':24 }, ig
nore index=True)
df=df.append( { 'reached':True, 'goal_distance':9, 'distance_traveled':12, 'steps':12 }, ig
nore_index=True)
df=df.append( { 'reached':True,'goal_distance':7,'distance_traveled':24,'steps':24 }, ig
nore_index=True)
df=df.append( { 'reached':True, 'goal_distance':4, 'distance_traveled':16, 'steps':16 }, ig
nore_index=True)
df=df.append( { 'reached':True, 'goal_distance':9, 'distance_traveled':24, 'steps':24 }, ig
nore_index=True)
df=df.append( { 'reached':True,'goal_distance':5,'distance_traveled':7,'steps':7 }, igno
re_index=True)
df=df.append( { 'reached':True,'goal_distance':7,'distance_traveled':13,'steps':13 }, ig
nore index=True)
df=df.append( { 'reached':True, 'goal_distance':4, 'distance_traveled':7, 'steps':7 }, igno
re_index=True)
df=df.append( { 'reached':True, 'goal_distance':7, 'distance_traveled':12, 'steps':12 }, ig
nore_index=True)
df=df.append( { 'reached':True,'goal_distance':4,'distance_traveled':20,'steps':20 }, ig
nore index=True)
df=df.append( { 'reached':True,'goal_distance':5,'distance_traveled':11,'steps':11 }, ig
nore_index=True)
df=df.append( { 'reached':True,'goal_distance':7,'distance_traveled':9,'steps':9 }, igno
re_index=True)
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df=df.append( { 'reached':True, 'goal_distance':4, 'distance_traveled':10, 'steps':10 }, ig
```

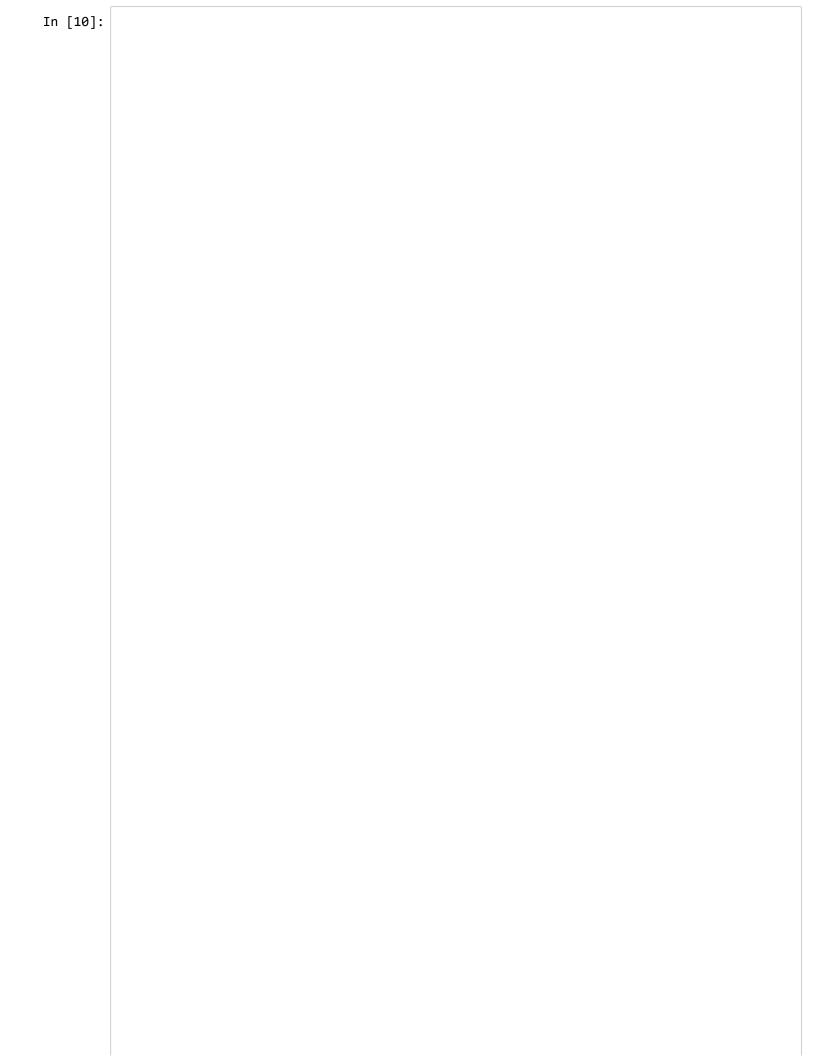
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```

```
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nore index=True)
df=df.append( { 'reached':True, 'goal_distance':5, 'distance_traveled':11, 'steps':11 }, ig
```

```
df=df.append( { 'reached':True, 'goal_distance':7, 'distance_traveled':15, 'steps':15 }, ig
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df=df.append( { 'reached':True, 'goal_distance':10, 'distance_traveled':36, 'steps':36 }, i
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df=df.append( { 'reached':True, 'goal_distance':6, 'distance_traveled':8, 'steps':8 }, igno
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nore_index=True)
df=df.append( { 'reached':True,'goal_distance':4,'distance_traveled':12,'steps':12 }, ig
nore_index=True)
df=df.append( { 'reached':True,'goal_distance':6,'distance_traveled':9,'steps':9 }, igno
re index=True)
df=df.append( { 'reached':False, 'goal_distance':6, 'distance_traveled':31, 'steps':30 }, i
gnore index=True)
df=df.append( { 'reached':True,'goal_distance':8,'distance_traveled':11,'steps':11 }, ig
nore_index=True)
df['color'] = np.where(df['reached']==True, 'green', 'red')
df['efficiency'] = df['steps'] / df['goal_distance']
#print df
```

```
In [9]: # the histogram of the data
        plt.hist(df['reached'], bins=2, facecolor='cyan')
        plt.xlabel('Reached Goal')
        plt.ylabel('Number of Trials')
        plt.title('Agent Reached Goal')
        plt.show()
        # the scatterplot of distance traveled versus goal distance
        plt.scatter(df['distance_traveled'], df['goal_distance'], c=df['color'], alpha=0.5)
        plt.xlabel('Distance Traveled')
        plt.ylabel('Distance to Goal')
        plt.title('Agent Efficiency')
        plt.show()
        # the speed over time to see if the agent is learning
        x = df.index
        z = np.polyfit(df.index,df['efficiency'],3)
        p = np.poly1d(z)
        plt.plot(x, df['efficiency'],'c.-',x,p(x),'r--')
        plt.xlabel('Iteration')
        plt.ylabel('Efficiency (Steps / Distance to Goal)')
        plt.title('Efficiency Trendline')
        plt.show()
```





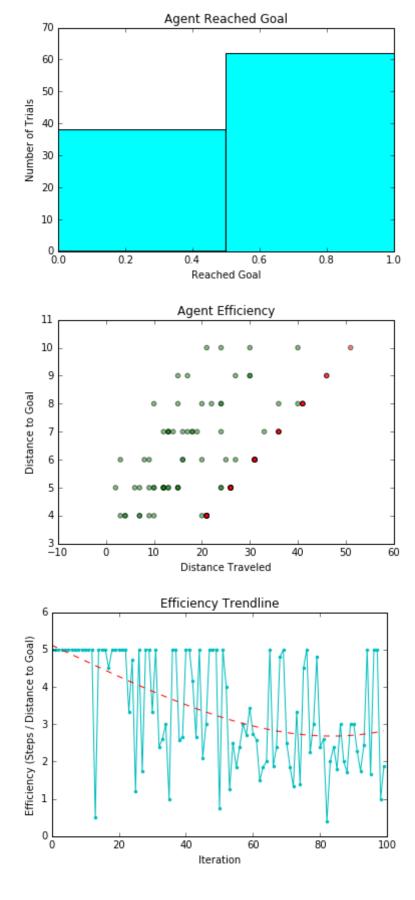
```
df = pd.DataFrame(columns=['reached', 'goal_distance', 'distance_traveled', 'steps'])
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gnore_index=True)
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df=df.append( { 'reached':False, 'goal_distance':4, 'distance_traveled':21, 'steps':20 }, i
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df=df.append( { 'reached':False, 'goal_distance':6, 'distance_traveled':31, 'steps':30 }, i
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df=df.append( { 'reached':True,'goal_distance':8,'distance_traveled':40,'steps':40 }, ig
nore_index=True)
df=df.append( { 'reached':False,'goal_distance':6,'distance_traveled':31,'steps':30 }, i
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df=df.append( { 'reached':False, 'goal_distance':5, 'distance_traveled':26, 'steps':25 }, i
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df=df.append( { 'reached':False, 'goal_distance':4, 'distance_traveled':21, 'steps':20 }, i
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df=df.append( { 'reached':False, 'goal_distance':6, 'distance_traveled':31, 'steps':30 }, i
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df=df.append( { 'reached':False, 'goal_distance':5, 'distance_traveled':26, 'steps':25 }, i
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df=df.append( { 'reached':False, 'goal_distance':4, 'distance_traveled':21, 'steps':20 }, i
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```

```
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```

```
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df=df.append( { 'reached':True, 'goal_distance':5, 'distance_traveled':9, 'steps':9 }, igno
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df=df.append( { 'reached':True,'goal_distance':5,'distance_traveled':15,'steps':15 }, ig
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df=df.append( { 'reached':True, 'goal_distance':7, 'distance_traveled':16, 'steps':16 }, ig
```

```
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df=df.append( { 'reached':True, 'goal_distance':7, 'distance_traveled':17, 'steps':17 }, ig
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df=df.append( { 'reached':True,'goal_distance':9,'distance_traveled':15,'steps':15 }, ig
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df=df.append( { 'reached':False, 'goal_distance':5, 'distance_traveled':26, 'steps':25 }, i
gnore_index=True)
df=df.append( { 'reached':False, 'goal_distance':7, 'distance_traveled':36, 'steps':35 }, i
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df=df.append( { 'reached':True, 'goal_distance':4, 'distance_traveled':4, 'steps':4 }, igno
re index=True)
df=df.append( { 'reached':True,'goal_distance':9,'distance_traveled':17,'steps':17 }, ig
nore_index=True)
df['color'] = np.where(df['reached']==True, 'green', 'red')
df['efficiency'] = df['steps'] / df['goal_distance']
#print df
```

```
In [11]: # the histogram of the data
         plt.hist(df['reached'], bins=2, facecolor='cyan')
         plt.xlabel('Reached Goal')
         plt.ylabel('Number of Trials')
         plt.title('Agent Reached Goal')
         plt.show()
         # the scatterplot of distance traveled versus goal distance
         plt.scatter(df['distance_traveled'], df['goal_distance'], c=df['color'], alpha=0.5)
         plt.xlabel('Distance Traveled')
         plt.ylabel('Distance to Goal')
         plt.title('Agent Efficiency')
         plt.show()
         # the speed over time to see if the agent is learning
         x = df.index
         z = np.polyfit(df.index,df['efficiency'],3)
         p = np.poly1d(z)
         plt.plot(x, df['efficiency'],'c.-',x,p(x),'r--')
         plt.xlabel('Iteration')
         plt.ylabel('Efficiency (Steps / Distance to Goal)')
         plt.title('Efficiency Trendline')
         plt.show()
```



## **Question:**

What changes do you notice in the agent's behavior when compared to the basic driving agent when random actions were always taken? Why is this behavior occurring?

Compared to the previous step which had all successful achievements of the goal position, we now have some failures with the deadline set to true. There is also a correlation to when in the sequence of iterations - there are more failures in the earlier iterations. This is to be expected as the agent learns the best set of actions. The max q function will priveledge the first action in the list of permissable actions (None) when all are set to equivalent values, which means that it will tend towards not proceeding at first.

The clearest strategy observed after the first few iterations by the agent is a macro "L" movement. The random agent wiggles through the grid, but the agent that learns looks to move straight until at the level in the grid where the goal is, then turns directly towards it. Also occasionally the cab makes repeated right turns, presumably priveledging a quick reward before the state space has been fully explored.

Lastly, looking at the line chart above with a trendline in place, we can see that our overall speed (number of time steps divided by the Manhattan distance to the goal) looks to be decreasing over time at least for the first half of the trials. The agent does have some failures that skew the graph (see speed of 5 later along the line) because the agent is still learning the best actions as it is fully exploring the state space.

For the resubmission, I've added a decaying epsilon greedy policy (epsilon = 0.1) with the above analysis. The efficiency trendline does show poorer performance along the middle range of iterations which should be expected as earlier on the epsilon value is leading the agent to explore more of the state space randomly; however, as the trials continue, the efficiency plots look to turn downward incrementally even though the trendline is still affected by the failures. Presumably, with more trials this would show more learning and better performance.

#### Code Note:

For my first submission, I logged the development steps as commits. For the resubmission, I'm adding branches per step to the github repo.

environment.py also changed:

• logs the trial at completion with a new print line to create a dataframe

https://github.com/gilakos/machine-learning/tree/P4\_S3/projects/smartcab (https://github.com/gilakos/machine-learning/tree/P4\_S3/projects/smartcab)

## Improve the Q-Learning Driving Agent

Your final task for this project is to enhance your driving agent so that, after sufficient training, the smartcab is able to reach the destination within the allotted time safely and efficiently. Parameters in the Q-Learning algorithm, such as the learning rate (alpha), the discount factor (gamma) and the exploration rate (epsilon) all contribute to the driving agent's ability to learn the best action for each state. To improve on the success of your smartcab:

- Set the number of trials, n trials, in the simulation to 100.
- Run the simulation with the deadline enforcement enforce\_deadline set to True (you will need to reduce the update delay update\_delay and set the display to False).
- Observe the driving agent's learning and smartcab's success rate, particularly during the later trials.
- Adjust one or several of the above parameters and iterate this process.

This task is complete once you have arrived at what you determine is the best combination of parameters required for your driving agent to learn successfully.

## QUESTION:

Report the different values for the parameters tuned in your basic implementation of Q-Learning. For which set of parameters does the agent perform best? How well does the final driving agent perform?

#### Answer:

To tune the parameters for the Q-Learning method, we are using 4 values for alpha and 5 for gamma. Alpha needs to be set 0.0 < a < = 1.0 and Gamma to 0.0 < g < 1.0. Alpha defines how quickly the agent learns (whether to weight the immediate reward or the future reward) and Gamma defines how much we discount the future reward (since it is not 100% known).

In the below analysis, we can see that the trendlines for each combination of 100 trials are more or less the same, with some variance in a dip or trough near the 60th iteration. I've defined a new value called Efficiency as defined by the number of time steps over the initial distance to the goal - the best performance would result in an efficiency of 1.0. Some combinations of the hyperparameters gain better efficiency more quickly as should be expected when the learning rate (alpha) is high. While the trendlines were interesting to review, conclusive hyperparameter pairs are hard to pull out.

In the second plot, the heatmap shows the mean efficiency across all 100 trials per combination of alpha, gamma hyperparameters. While mean is not always the best value to use in comparison (it doesn't take into account overall level of learning for instance), it can show us patterns that indicate best parameters.

The best performing alpha value is 0.25 for single value as well as for mean across the rows with 1.0 a close second. The fact that alphas near the opposing extremes are performing well is interesting - I would have assumed the highest learning rate would result in the best overall performance in a linear fashion. For the gamma, the best value is 0.75 but the best mean across the columns is 0.00 (so no discount of future rewards) with 0.75 a close second.

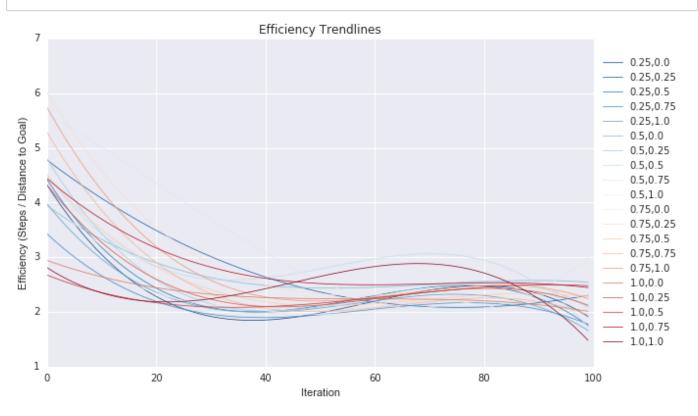
The combination of 0.25, 0.75 for alpha, gamma was the best performing pair. If I were to explore this further I would hone in on testing more alphas near 0.25 and 1.0 and vary the gamma with more increments between 0.5 and 1.0.

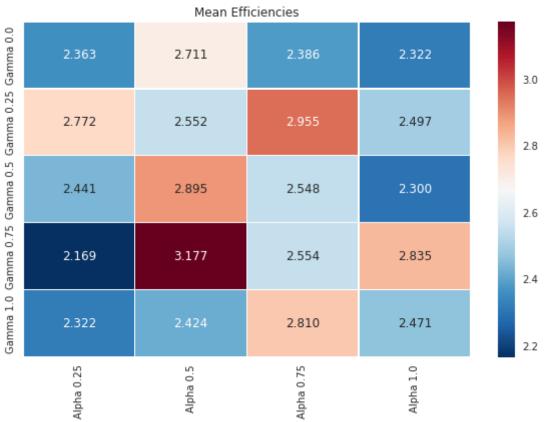
For this resubmission, I re-ran my matrix of hyperparameters with the greedy epsilon policy included and re-did the analysis. Comparing the trendlines, the epsilon-greedy trials have a less steep slope at first as the epsilon effect pushes the agent to explore more of the state space than relying on the max q table value (using constant epsilon of 0.1). The majority of the trendlines land below 3 efficiency similar to the original trials but some of them look to be trending more steeply downward later which would suggest more than 100 trials should converge to a better efficiency than the original non-greedy method.

For the heatmap, the same column and row for alpha, gamma are best (0.25, 0.00) with 0.5, 0.75 returning the best performance. But overall the heatmap looks to more consistently perform better near the upper left of the matrix. To further tune the hyperparameters we could clip off the higher end of the values and re-run with alphas less than 0.5 and gammas less than 0.5.

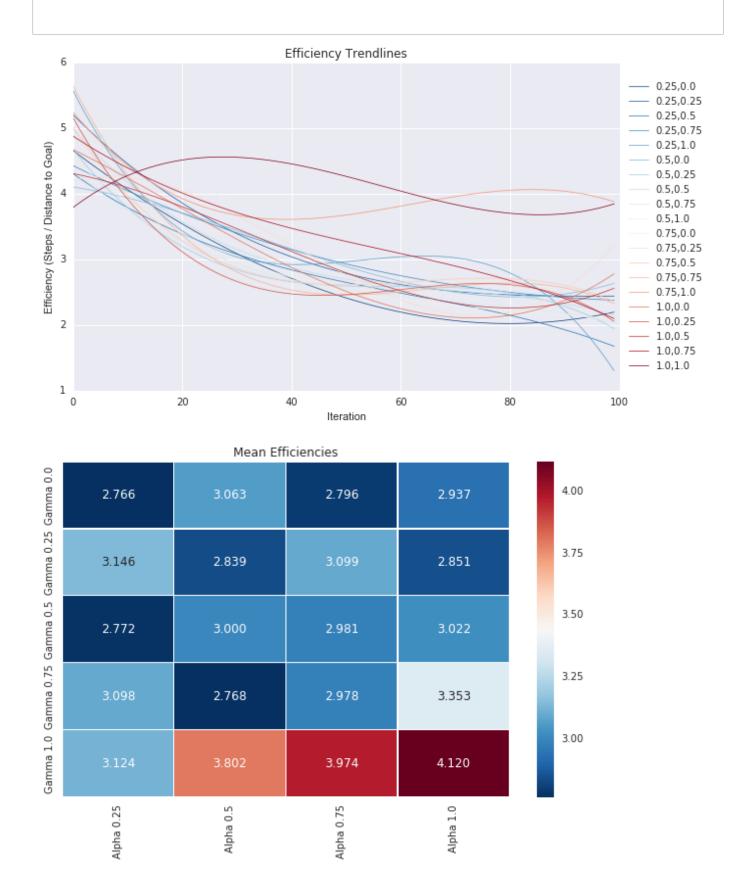
**Note:** Included in the repo is MeanEffciences-AcrossHyperParameters.xlsx which has both Basic and Epsilon-Greedy heatmaps with row/column means calculated.

```
In [12]: # Load the dataset
         trial_data_file = 'trials_a+g.csv'
         trial_df = pd.read_csv(trial_data_file)
         trial_df['color'] = np.where(trial_df['reached']==True, 'green', 'red')
         trial_df['efficiency'] = trial_df['steps'] / trial_df['goal_distance']
         trial df.head()
         # Define list of alphas (learning rate) to test
         alphas = [0.25, 0.5, 0.75, 1.0]
         # Define list of gammas (future discount factor) to test
         gammas = [0.0, 0.25, 0.5, 0.75, 1.0]
         # Split dataframe into new df per column
         df = pd.DataFrame()
         for a in alphas:
             df_a = trial_df.where(trial_df['alpha']==a).dropna()
             for g in gammas:
                 series_g =
         df_a['efficiency'].where(df_a['gamma']==g).dropna().reset_index(drop=True)
                 column_name = "{0},{1}".format(a,g)
                 df[column_name] = series_g
         #print df.describe()
         import seaborn as sns
         # specify plot style and set color scheme
         x = df.index
         with sns.color_palette("RdBu_r", len(df.columns)):
             # plot speed rate of each trial
             plt.figure(figsize=(10,6))
             for col in df.columns:
                 z = np.polyfit(df.index,df[col],3)
                 p = np.poly1d(z)
                 plt.plot(x, p(x),linewidth=0.75)
         plt.legend(labels=df.columns.values, loc='center left', bbox_to_anchor=(1, 0.5))
         plt.xlabel('Iteration')
         plt.ylabel('Efficiency (Steps / Distance to Goal)')
         plt.title('Efficiency Trendlines')
         plt.show()
         ###
         # Split dataframe into new df with means (alpha x: gamma y)
         df_mean = pd.DataFrame()
         for a in alphas:
             gamma_means = []
             df_a = trial_df.where(trial_df['alpha']==a).dropna()
             for g in gammas:
                 gamma_means.append(np.mean(df_a['efficiency'].where(df_a['gamma']==g).dropna().r
         eset index(drop=True)))
             df_mean[a]=gamma_means
         # visualize means with heatmap
         plt.figure(figsize=(10,6))
         sns.heatmap(df_mean, yticklabels=['Gamma '+str(y) for y in gammas], xticklabels=['Alpha
          '+ str(x) for x in alphas], annot=True, linewidth=.1, fmt='.3f', cmap='RdBu_r')
         plt.title('Mean Efficiencies')
         plt.xticks(rotation=90, ha='center');
         plt.show()
```





```
In [13]: # Load the dataset
         trial_data_file = 'trials_a+g_w-e.csv'
         trial_df = pd.read_csv(trial_data_file)
         trial_df['color'] = np.where(trial_df['reached']==True, 'green', 'red')
         trial_df['efficiency'] = trial_df['steps'] / trial_df['goal_distance']
         trial df.head()
         # Define list of alphas (learning rate) to test
         alphas = [0.25, 0.5, 0.75, 1.0]
         # Define list of gammas (future discount factor) to test
         gammas = [0.0, 0.25, 0.5, 0.75, 1.0]
         # Split dataframe into new df per column
         df = pd.DataFrame()
         for a in alphas:
             df_a = trial_df.where(trial_df['alpha']==a).dropna()
             for g in gammas:
                 series_g =
         df_a['efficiency'].where(df_a['gamma']==g).dropna().reset_index(drop=True)
                 column_name = "{0},{1}".format(a,g)
                 df[column_name] = series_g
         #print df.describe()
         import seaborn as sns
         # specify plot style and set color scheme
         x = df.index
         with sns.color_palette("RdBu_r", len(df.columns)):
             # plot speed rate of each trial
             plt.figure(figsize=(10,6))
             for col in df.columns:
                 z = np.polyfit(df.index,df[col],3)
                 p = np.poly1d(z)
                 plt.plot(x, p(x), linewidth=0.75)
         plt.legend(labels=df.columns.values, loc='center left', bbox_to_anchor=(1, 0.5))
         plt.xlabel('Iteration')
         plt.ylabel('Efficiency (Steps / Distance to Goal)')
         plt.title('Efficiency Trendlines')
         plt.show()
         ###
         # Split dataframe into new df with means (alpha x: gamma y)
         df_mean = pd.DataFrame()
         for a in alphas:
             gamma_means = []
             df_a = trial_df.where(trial_df['alpha']==a).dropna()
             for g in gammas:
                 gamma_means.append(np.mean(df_a['efficiency'].where(df_a['gamma']==g).dropna().r
         eset index(drop=True)))
             df_mean[a]=gamma_means
         # visualize means with heatmap
         plt.figure(figsize=(10,6))
         sns.heatmap(df_mean, yticklabels=['Gamma '+str(y) for y in gammas], xticklabels=['Alpha
          '+ str(x) for x in alphas], annot=True, linewidth=.1, fmt='.3f', cmap='RdBu_r')
         plt.title('Mean Efficiencies')
         plt.xticks(rotation=90, ha='center');
         plt.show()
```



## **Question:**

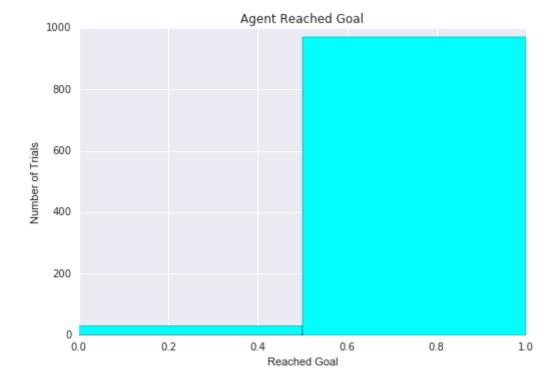
Does your agent get close to finding an optimal policy, i.e. reach the destination in the minimum possible time, and not incur any penalties? How would you describe an optimal policy for this problem?

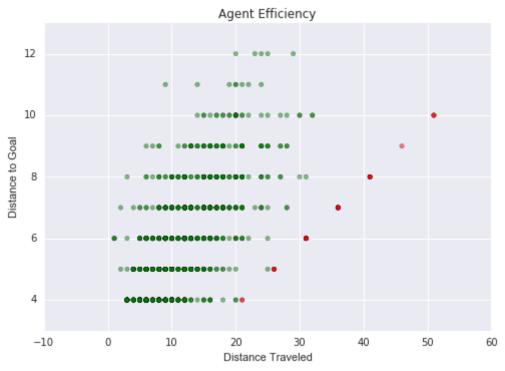
Using the best alpha, gamma parameters above and using 1000 trials, the agent does a very good job of learning a good policy. In the matrix above where there were 100 trials, there were still some penalties later in the iterations which indicates that there is still some exploration of the state space to improve the policy. Giving the agent more trials helps the agent learn past those issues. The scatterplot below shows the green dots clustering more towards the y-axis than before and the trend line shows less penalties and more efficiency as time goes on. This is close to an optimal policy.

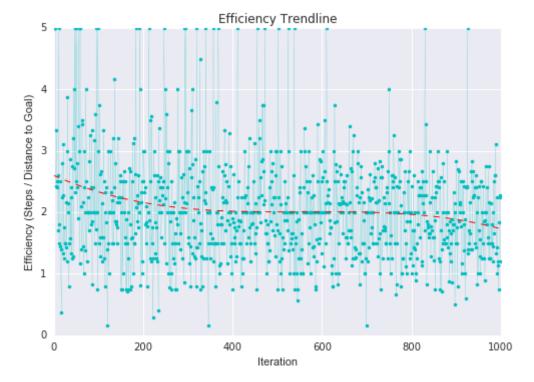
The optimal policy for this problem would be one in which the efficiency (time steps / distance to the goal) is minimized (idealy 1.0) and there are no failed trips.

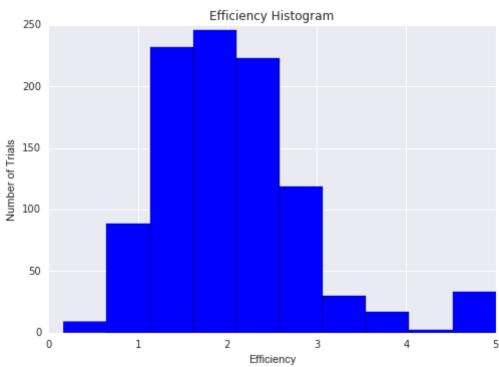
For the resubmission, I re-ran the 1000 trials with the best alpha, gamma described above with the greedy-epsilon method and added histograms to get a better sense of the distribution of efficiency values. There is definitely a more broadly distributed set of efficiency values but also there are more instances of better performing efficiencies when using the greedy-epsilon.

```
In [14]: # Load the dataset
         opt_trial_data_file = 'trials_1000.csv'
         opt_df = pd.read_csv(opt_trial_data_file)
         opt df['color'] = np.where(opt df['reached']==True, 'green', 'red')
         opt_df['efficiency'] = opt_df['steps'] / opt_df['goal_distance']
         # the histogram of the data
         plt.hist(opt_df['reached'], bins=2, facecolor='cyan')
         plt.xlabel('Reached Goal')
         plt.ylabel('Number of Trials')
         plt.title('Agent Reached Goal')
         plt.show()
         # the scatterplot of distance traveled versus goal distance
         plt.scatter(opt_df['distance_traveled'], opt_df['goal_distance'], c=opt_df['color'], alp
         ha=0.5)
         plt.xlabel('Distance Traveled')
         plt.ylabel('Distance to Goal')
         plt.title('Agent Efficiency')
         plt.show()
         # the speed over time to see if the agent is learning
         x = opt df.index
         z = np.polyfit(opt_df.index,opt_df['efficiency'],3)
         p = np.poly1d(z)
         plt.plot(x, opt_df['efficiency'],'c.-',linewidth=0.125)
         plt.plot(x,p(x),'r--', linewidth=1.0)
         plt.xlabel('Iteration')
         plt.ylabel('Efficiency (Steps / Distance to Goal)')
         plt.title('Efficiency Trendline')
         plt.show()
         # the histogram of the data per efficiency
         plt.hist(opt_df['efficiency'], bins=10, facecolor='blue')
         plt.xlabel('Efficiency')
         plt.ylabel('Number of Trials')
         plt.title('Efficiency Histogram')
         plt.show()
```

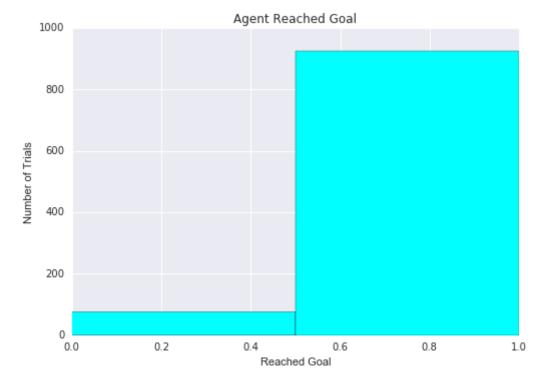


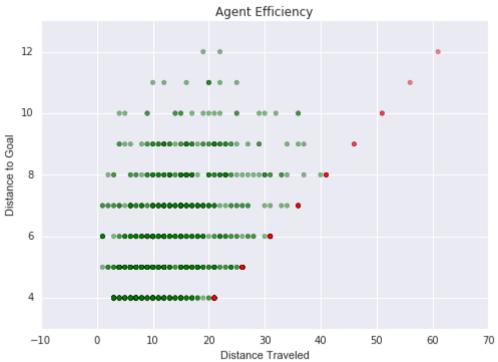


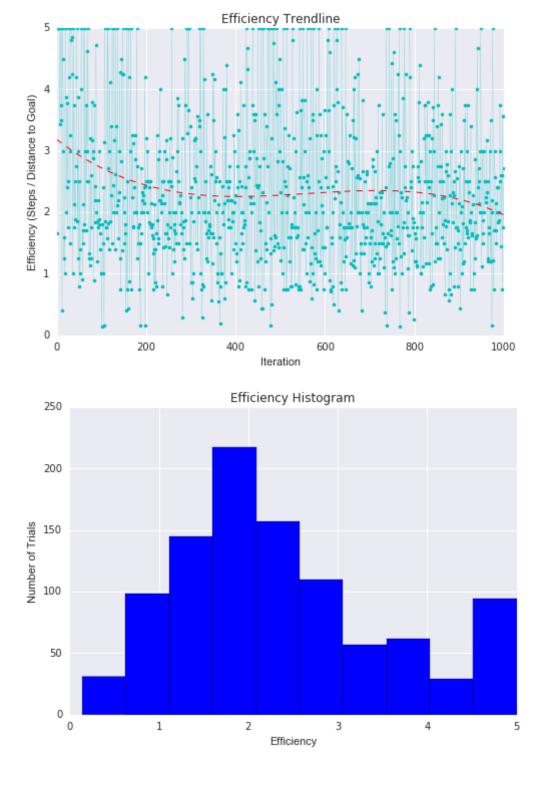




```
In [15]: # Load the dataset
         opt_trial_data_file = 'trials_1000_w-e.csv'
         opt_df = pd.read_csv(opt_trial_data_file)
         opt df['color'] = np.where(opt df['reached']==True, 'green', 'red')
         opt_df['efficiency'] = opt_df['steps'] / opt_df['goal_distance']
         # the histogram of the data
         plt.hist(opt_df['reached'], bins=2, facecolor='cyan')
         plt.xlabel('Reached Goal')
         plt.ylabel('Number of Trials')
         plt.title('Agent Reached Goal')
         plt.show()
         # the scatterplot of distance traveled versus goal distance
         plt.scatter(opt_df['distance_traveled'], opt_df['goal_distance'], c=opt_df['color'], alp
         ha=0.5)
         plt.xlabel('Distance Traveled')
         plt.ylabel('Distance to Goal')
         plt.title('Agent Efficiency')
         plt.show()
         # the speed over time to see if the agent is learning
         x = opt df.index
         z = np.polyfit(opt_df.index,opt_df['efficiency'],3)
         p = np.poly1d(z)
         plt.plot(x, opt_df['efficiency'],'c.-',linewidth=0.125)
         plt.plot(x,p(x),'r--', linewidth=1.0)
         plt.xlabel('Iteration')
         plt.ylabel('Efficiency (Steps / Distance to Goal)')
         plt.title('Efficiency Trendline')
         plt.show()
         # the histogram of the data per efficiency
         plt.hist(opt_df['efficiency'], bins=10, facecolor='blue')
         plt.xlabel('Efficiency')
         plt.ylabel('Number of Trials')
         plt.title('Efficiency Histogram')
         plt.show()
```







## Code Note:

For my first submission, I logged the development steps as commits. For the resubmission, I'm adding branches per step to the github repo.

environment.py also changed:

• logs the trial at completion with a new print line to create a dataframe

https://github.com/gilakos/machine-learning/tree/P4\_S4/projects/smartcab (https://github.com/gilakos/machine-learning/tree/P4\_S4/projects/smartcab)