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# Staged numerical simulations of supercritical CO<sub>2</sub> fracturing of coal seams based on the extended finite element method



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#### ABSTRACT

As a water-less fracturing mining technology, supercritical  $CO_2$  (SC- $CO_2$ ) fracturing has attracted increasing attention in the mining industry. In order to simulate the whole process of SC- $CO_2$  fracturing and fully understand the fracturing mechanism of SC- $CO_2$ , the thermodynamic analysis method was used to analyze the phase transitions of  $CO_2$  in SC- $CO_2$  fracturing, and the whole process of SC- $CO_2$  fracturing was divided into the SC- $CO_2$  fracturing stage and the  $CO_2$  phase-transition induced fracturing stage. Based on the extended finite element method, the fluid-solid coupling model at the SC- $CO_2$  fracturing stage, the TNT equivalence of  $CO_2$  phase transition induced fracturing, pressure-time history curves of blast loads, and numerical model of  $CO_2$  phase transition induced fracturing stage were comprehensively analyzed, and the staged numerical simulation method of SC- $CO_2$  fracturing was proposed. The simulation results showed that cracks in the coal seam were initiated and propagated at the SC- $CO_2$  fracture stage, and the cracks broadened, and new cracks were generated during the  $CO_2$  phase-transition induced fracturing stage. At the same time, the injection rate of SC- $CO_2$  fluid had a positive impact on its fracturing effect. The larger the injection rate of SC- $CO_2$  fluid, the larger the length and width of crack propagation. This study is of great significance for enriching SC- $CO_2$  fracturing theory.

#### 1. Introduction

In the coal mining industry, the exploitation of coal seams with high gas and low permeability is limited by poor gas drainage efficiency. Coal seams with high mine pressures are limited by severe shock hazards. Hard and thin coal seams are limited by the low lump coal rate (Cao et al., 2017; Xu et al., 2017; Jiang et al., 2016; Dong et al., 2018). Currently, aqueous hydraulic fracturing technologies have been employed widely to circumvent the constraints mentioned above. However, aqueous hydraulic fracturing may cause various issues, including high water consumption, higher costs, and the risk of ground pollution (Estrada and Bhamidimarri, 2016; Camarillo et al., 2016; Luek and Gonsior, 2017; Brownlow et al., 2017; Huang et al., 2014). Supercritical CO2 (denoted in this article as SC-CO2) refers to CO2 fluids with temperatures and pressures above the critical temperature and the critical pressure of CO2 and they exhibit unique physical and chemical properties (Middleton et al., 2015; Wang et al., 2017a). Combining the low interfacial tension and the high diffusivity of the gas and the high density and good solubility of the fluids, SC-CO2 has excellent fluidity and transmissibility, and the coal seams demonstrate good permeability

to SC-CO $_2$ , so SC-CO $_2$  is an ideal candidate for fracturing (Er et al., 2010; Vishal, 2017; Zhang et al., 2017a). Compared with hydraulic fracturing, SC-CO $_2$  fracturing has the following advantages: 1) SC-CO $_2$  is not flammable and explosive, and will not cause water lock effect and other damage to coal and rock; 2) The initiation pressures of SC-CO $_2$  is less than that of hydraulic fracturing; 3) SC-CO $_2$  fluid can easily penetrate into micro-porous cracks, which greatly increases the complexity of crack initiation and propagation, and is more conducive to the formation of complex cracks (Yang et al., 2012; Jia et al., 2018). Therefore, SC-CO $_2$  fracturing technology has shown good development prospects.

To date, studies of  $SC-CO_2$  fracturing have focused laboratory tests on unconventional oil and gas resources (Wang et al., 2017b). Ishida et al. (2012) investigated  $SC-CO_2$  and  $CO_2$  fluid fracturing of granite and demonstrated that the initiation pressures of  $SC-CO_2$  fluid and  $CO_2$  fluid were reduced compared to those required for aqueous hydraulic fracturing. Zhou et al. (2016) investigated the crack propagation law of  $SC-CO_2$  fracturing of shale with CT, and explored the effects of stress, pore pressure, and gas adsorption on the permeability of shale. Zhang et al. (2017b) analyzed the initiation pressure and crack propagation

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law of SC-CO2 fracturing, and the influence of stratification development on fracturing. Zou et al. (2018) investigated the fracturing of sandstone with significant stratifications, using three fracturing fluids (X guar, water, SC-CO2) and demonstrated that the use of SC-CO2 can lead to the merging of natural cracks by increasing the number of stratification cracks and inducing stratification shear failures even under conditions of increasing horizontal stress difference. Although the above scholars conducted a series of experiments and comparisons on SC-CO<sub>2</sub> fracturing, only simple experimental conclusions were given, and the SC-CO2 fracturing mechanism has not been systematically studied. The analysis of SC-CO2 fracturing process, the law of crack propagation in SC-CO<sub>2</sub> fracturing process and the influence of various factors on the crack propagation have not been basically studied. At present, the research on SC-CO2 fracturing technology is still in its infancy, and further research is urgently needed by various methods such as theoretical analysis, laboratory experiments and numerical simula-

SC-CO<sub>2</sub> fracturing of coal seams is a complicated process involving coal seam-crack-supercritical fluid-gas multi-medium interactions. As SC-CO<sub>2</sub> is highly sensitive to temperature and pressure, phase transitions of CO2 are observed during the entire fracturing process and the process can be considered being as the SC-CO2 fracturing stage and the CO<sub>2</sub> phase-transition induced fracturing stage. The CO<sub>2</sub> phase transition induced fracturing stage usually is neglected as it cannot be effectively monitored due to its extremely short duration. Numerical simulations have been employed widely by virtue of their quantitative and visualized results presentation. In the present study, a fluid-solid coupling model was established using the extended finite element method (XFEM) based on the SC-CO2 fracturing of the coal seam. Additionally, the phase transition energy was regarded as a blast load on the fracturing surface, and a staged numerical simulation method was proposed for SC-CO<sub>2</sub> fracturing of the coal seam. The present study provides a reference for the study of SC-CO<sub>2</sub> fracturing mechanisms.

#### 2. Staged analysis of SC-CO<sub>2</sub> fluid fracturing of coal seams

As a novel fracturing liquid,  $SC\text{-}CO_2$  is characterized by excellent fluidity, and transmissibility and it exhibits great advantages for fracturing. Specifically,  $SC\text{-}CO_2$  can readily enter micro-pore cracks, resulting in complicated fracturing. In  $SC\text{-}CO_2$  fracturing, variations of temperature and pressure may cause severe changes in the properties of the  $SC\text{-}CO_2$  fluids, resulting in further complication of the fracturing mechanism (Yang et al., 2018). Therefore, the physical properties of  $CO_2$  during the fracturing process were investigated to understand fully  $SC\text{-}CO_2$  fluid fracturing behavior.

The phase transition of  $CO_2$  in  $SC-CO_2$  fracturing is illustrated in Fig. 1 (Martynov et al., 2014). As can be observed, the  $SC-CO_2$ 

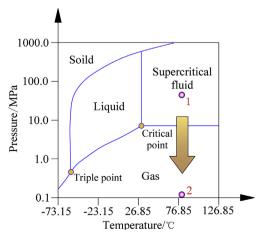


Fig. 1. Phase transition of CO<sub>2</sub> in SC-CO<sub>2</sub> fracturing.

transitions from the supercritical state to the gaseous state and this results in changes in the fracturing mechanism. Hence, the period of SC-CO $_2$  fracturing is divided into the SC-CO $_2$  fracturing stage and the CO $_2$  phase-transition induced fracturing stage.

#### 2.1. SC-CO<sub>2</sub> fracturing stage

During the early stage of fracturing, the  $SC\text{-}CO_2$  fluid can readily enter micro-pore cracks owing to its low viscosity and high diffusivity, resulting in initiation and propagation of macro-cracks, thus facilitating initiation of complicated crack paths (see Fig. 2). Owing to the low fracturing borehole depth in mining, the temperature remains constant, the fracturing period is relatively short, and heat transfer between the  $SC\text{-}CO_2$  and the coal seam is negligible. Hence, it was assumed for the  $SC\text{-}CO_2$  fracturing stage that the temperature of  $SC\text{-}CO_2$  fluid was constant.

#### 2.2. CO<sub>2</sub> phase-transition induced fracturing stage

During the later stages of fracturing, cracks in the coal seams propagate further, the injection of the SC-CO<sub>2</sub> fluid is suspended, the CO<sub>2</sub> pressure in fracturing holes falls drastically, the SC-CO<sub>2</sub> transitions from the supercritical state to the gaseous state (see "1  $\rightarrow$  2" in Fig. 1), and the CO<sub>2</sub> expands sharply in a short time, such that severe impacts led to further fracturing of the cracks in terms of length and width (Zhou et al., 2014; Li et al., 2017) (see Fig. 2). After CO<sub>2</sub> phase-transition induced fracturing, the CO<sub>2</sub> pressure returns to atmospheric pressure.

## 3. Simulation method and analysis procedures for $SC\text{-}CO_2$ fracturing of coal seams

#### 3.1. Analysis procedures

The numerical simulation of the SC-CO<sub>2</sub> fracturing of coal seams is a complicated process involving coal seam-crack-supercritical fluid-gas multi-medium interactions. Compared to conventional hydraulic fracturing, the SC-CO<sub>2</sub> fracturing process is more complicated and must be simulated in stages. The SC-CO2 fracturing stage is a fluid-solid coupling process involving the flow of the SC-CO<sub>2</sub> fluid and deformation of the coal seam, while the CO2 phase-transition induced fracturing stage is a process involving fracturing of the coal seam by the high-pressure CO<sub>2</sub> gas and by its blast impact. The mechanisms of SC-CO<sub>2</sub> fracturing and CO2 phase-transition induced fracturing of coal seams are highly complicated, so the main innovation of this simulation is to fully reflect the two stages. Several issues require clarification before the simulation details are explained. First, a combination of the SC-CO2 fracturing process, which takes a longer time, and the CO2 phase-transition induced fracturing process, which is a transient process. Second, effective establishment of the fluid-solid coupling model for the SC-CO2 fracturing stage. Third, consideration of the CO2 phase transition-induced fracturing stage, which is transient in nature and the phase transition energy must be determined.

In the present study, crack propagations during SC-CO $_2$  fracturing were simulated using XFEM in ABAQUS. A fluid-solid coupling model was developed using the Soil module in ABAQUS for simulation of the SC-CO $_2$  fracturing stage. Based on that, blast loads induced by phase transition of the CO $_2$  were introduced into the SC-CO $_2$  fracturing stage model for simulation of the CO $_2$  phase-transition induced fracturing stage.

The numerical simulation of SC-CO<sub>2</sub> fracturing of coal seams is illustrated in Fig. 3. The procedures consist of the following four steps:

 Fluid-solid coupling model for the SC-CO<sub>2</sub> fracturing stage: The fluid-solid coupling model for SC-CO<sub>2</sub> fluids was developed using the XFEM module to investigate crack propagations after the SC-CO<sub>2</sub> fracturing stage.

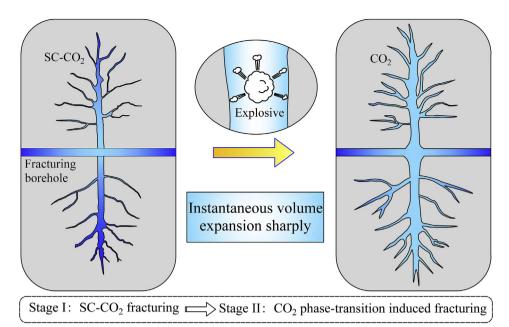


Fig. 2. Characteristics of SC-CO<sub>2</sub> fracturing in different stages.

- 2) TNT equivalence analysis for the CO<sub>2</sub> phase-transition induced fracturing: Key parameters of cracks in the XFEM simulation at the SC-CO<sub>2</sub> fracturing stage were extracted and the CO<sub>2</sub> phase-transition induced fracturing was calculated and expressed as a TNT equivalence.
- Pressure-time history curves of blast loads: The pressure-time history curves of blast loads at the borehole wall were obtained by simulation of TNT blasting on AUTODYN.
- 4) Numerical model for the CO<sub>2</sub> phase-transition induced fracturing stage: Crack propagations after the CO<sub>2</sub> phase-transition induced fracturing stage were investigated by applying the blast load on the fracturing surface.

The first step is to simulate the  $SC\text{-}CO_2$  fracturing stage, the forth step is to simulate the  $CO_2$  phase transition-induced fracturing stage, both stages use the XFEM to simulate crack propagation to ensure consistency in simulation studies. The second and the third step is to calculate the equivalent loads of  $CO_2$  phase-transition, so that the

fourth step can apply the loads to the crack surface already generated in the first step.

#### 3.2. The fluid-solid coupling model for the SC-CO<sub>2</sub> fracturing stage

The injection of SC-CO $_2$  fluid into coal seams is indeed a dynamic coupling of the SC-CO $_2$  flow and the coal seam deformation and its numerical model must consider fluid-solid coupling (interactions of SC-CO $_2$  and coal seam), crack initiation (SC-CO $_2$  pressure > fracture strength of coal seam), and crack propagation (SC-CO $_2$  pressure > minimum pressure for crack propagation after fracturing of coal seam).

#### 3.2.1. Basic equations of fluid-solid coupling

During the  $SC-CO_2$  fracturing process, with the continuous injection of  $SC-CO_2$  fluid, the fluid seepage pressure acting on the crack surface increases continuously, which leads to the increase of fluid loss to the coal seam, resulting in the change of stress state in the pores of the coal seam. The change of stress in the coal seam will inevitably lead to

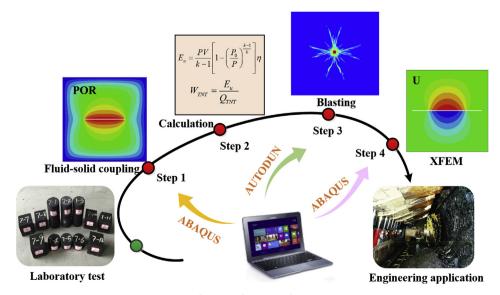


Fig. 3. Analysis procedures.

changes in parameters such as coal seam porosity and fluid seepage velocity, which in turn will affect the change of pore pressure in the seepage field on the crack surface. The fluid seepage and coal seam deformation in the coal seam are mutually constrained. The interaction relationship is called fluid-solid coupling.

The first stage of the simulation uses the ABAQUS analysis platform. ABAQUS is a very powerful finite element software that can provide a variety of methods for simulating crack propagation and solves the problem of fluid-solid coupling. The numerical simulation of SC-CO $_2$  fracturing stage can be realized by solving the stress equilibrium equation of coal seams and the continuity equation of fluids in ABAQUS numerical simulation (Wang, 2016).

The stress equilibrium equation of coal seam:

$$\int_{V} (\sigma - mp_{w}) \delta_{\varepsilon} dV = \int_{S} t \delta_{V} dS + \int_{V} f \delta_{V} dV$$
(1)

The continuity equation of fluid:

$$\frac{d}{dt} \left( \int_{V} \psi dV \right) = - \int_{S} \psi n v_{w} dS \tag{2}$$

where V refers to the integral space, S refers to the surface of the integral space,  $\sigma$  refers to the effective stress of coal seam,  $p_w$  refers to the flow pressure of pore fluid, m refers to the unit matrix vector,  $\delta_{\varepsilon}$  refers to the virtual strain rate, t refers to the load per unit area,  $\delta_{v}$  refers to the virtual velocity field, f refers to the load per unit volume,  $\psi$  refers to the porosity of the coal seam, n refers to the normal direction to the surface,  $\nu_w$  refers to the flow rate.

#### 3.2.2. The XFEM model for SC-CO<sub>2</sub> fracturing

The crack initiation and propagation process of coal seam was simulated by XFEM. equation (1) ~ (2) were discretized into matrix equation about stress, strain, displacement, porosity and permeability of coal seam by using ABAQUS implicit solver. The change values of relevant parameters were obtained by solving displacement variables. The unit node displacement was obtained by the continuous displacement field correlation function by XFEM. The XFEM is a new finite element method for solving fracture mechanics problems. It represents the discontinuity of the displacement field by introducing the enrichment function with discontinuous properties in the traditional finite element displacement interpolation function, which usually includes a crack tip progressive displacement function that captures the singular points around the crack tip, and the jump function to represent the displacement jump on the crack surface, the expressions are as follows (Belvtschko and Black, 1999):

$$u = \sum_{I=1}^{N} N_I(x) \left[ u_I + H(x)a_I + \sum_{\alpha=1}^{4} F_{\alpha}(x)b_I^{\alpha} \right]$$
 (3)

where  $N_I(x)$  refers to conventional shape function,  $u_I$  refers to displacement vector of continuous part in finite element solution,  $a_I$  refers to cracking unit node expansion degree of freedom, H(x) refers to jump function,  $b_I^{\alpha}$  refers to crack tip node expansion degree of freedom,  $F_{\alpha}(x)$  refers to crack tip progressive displacement function, I refers to node set for all nodes in the grid.

When the crack is simulated by XFEM, the crack surface does not need to coincide with the element boundary, and the crack can be expanded in the element. Because the description of the crack surface is completely independent of the mesh, the crack does not need to be reconstructed along any path, greatly reducing the amount of computation. Therefore, this simulation uses the XFEM to construct an extended model of SC-CO<sub>2</sub> fracturing.

#### 3.2.3. Initiation and propagation of cracks

Based on simulation experience (Cai et al., 2004), the norm of maximum principal stress was selected for crack initiation in the numerical simulation of SC-CO<sub>2</sub> fracturing. Once the SC-CO<sub>2</sub> pressure

exceeds the critical maximum principal stress of the coal seam, damage is initiated, and fracturing of coal seam is observed. The equation of the norm of maximum principal stress is:

$$f = \left\{ \frac{\langle \sigma_{\text{max}} \rangle}{\sigma_{\text{max}c}} \right\} \tag{4}$$

where  $\sigma_{maxc}$  refers to the critical maximum principal stress of the coal seam. The symbol <> indicates that cracking damage does not occur when the coal seam is purely compressed, in other words, when  $\sigma_{max} < 0$ ,  $<\sigma_{max}> = 0$ , and when  $\sigma_{max} \ge 0$ ,  $<\sigma_{max}> =\sigma_{max}$ .

Crack propagation during  $SC-CO_2$  fracturing is a complicated process and the categories of cracks cannot be predicted. Hence, the concept of energy release rate (i.e., the B-K norm) was introduced into the norm of maximum principal stress in order to predict crack propagation (Benzeggagh and Kenane, 1996). The expression of BK criterion is as follows:

$$G_n^C + (G_s^C - G_n^C) \left( \frac{G_S}{G_T} \right)^{\eta} = G^C$$
 (5)

Where  $G_S = G_s + G_t$ ,  $G_T = G_n + G_s$ ,  $G_n^C$  is the critical strain energy release rate of normal fracture, N/mm;  $G_s^C$  and  $G_t^C$  are the critical energy release rates of two tangential fractures, N/mm, BK criterion considers  $G_s^C = G_t^C$ ,  $\eta$  is a constant related to the properties of the material itself;  $G^C$  is the critical fracture energy release rate of the composite crack, N/mm. Here,  $G_n^C = 16$ N/mm,  $G_s^C = G_t^C = 18$ N/mm,

To reduce calculation, a 2D model with the size of  $20 \times 20 \,\mathrm{m}^2$  was used (see Fig. 4). The fracturing borehole was placed in the central part of the model. As the SC-CO<sub>2</sub> fluid flows through the initial cracks, the initial cracks do not deflect and tangential work by the fluid pressure in the initial cracks on the fracturing surface was significantly lower than that on the non-fracturing area. The diameter of the fracturing borehole is characterized by the length of initial cracks ( $d=100 \,\mathrm{mm}$ ). To minimize the interferences by the stress difference, crustal stresses in the horizontal and vertical directions were aligned. Based on simulation of the fluid injection function, the injection of SC-CO<sub>2</sub> fluid into the fracturing coal seams was achieved. The simulation period was 180 s.

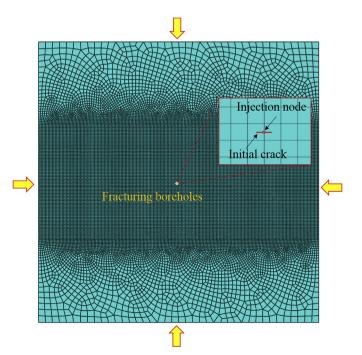


Fig. 4. Fluid-solid coupling model.

#### 3.3. TNT equivalence of CO<sub>2</sub> phase transition induced fracturing

Once the injection of the  $SC\text{-}CO_2$  fluid was terminated, the  $CO_2$  pressure fell dramatically, and the  $SC\text{-}CO_2$  transitioned from the supercritical state to the gaseous state, resulting in severe swelling and blasting impacts from the high energy  $CO_2$ . The total released blast energy at the phase transition of  $SC\text{-}CO_2$  is given by (Gel'Fand et al., 1988):

$$E_{\rm g} = \frac{PV}{k-1} \left[ 1 - \left( \frac{P_0}{P} \right)^{\frac{k-1}{k}} \right] \tag{6}$$

where  $E_g$  refers to the total energy released by phase transition, P refers to the  $CO_2$  pressure at the crack before phase transition,  $P_0$  refers to the minimum pressure required for crack propagation, V refers to the crack volume before phase transition of  $CO_2$ , and k refers to the adiabatic coefficient where k = 1.295 for  $CO_2$ 

The process of the energy released by phase transition exists the phenomenon of filtration and loss, not all energy is released in the form of shock waves. To more accurately calculate the energy used for expansion cracking, the energy utilization rate is introduced:

$$E_u = \eta \times E_g \tag{7}$$

Where  $E_u$  is the useful energy in the total energy released by phase transition, and  $\eta$  is the energy utilization rate. Here  $\eta = 0.9$ , its value mainly refers to the loss of energy in the BLEVE phenomenon (Casal, 2018).

Therefore, the TNT equivalence of  $CO_2$  phase transition induced fracturing  $(W_{TNT})$  is:

$$W_{TNT} = \frac{E_u}{Q_{TNT}} \tag{8}$$

where  $Q_{TNT}$  refers to the blast energy of 1 kg explosive, which is 4250 kJ/kg in this case.

#### 3.4. Pressure-time history curves of blast loads

The AUTODYN software was employed to determine the blast load caused by the  $\rm CO_2$  phase transition induced fracturing. The AUTODYN is an explicit finite element analysis software and is a module of ANSYS. Because it can provide the material model of high-energy explosives and the equation of state of various explosives, and accurately simulate the transient response history of the entire explosion, it has unique advantages in solving highly nonlinear dynamic problems such as collision impact, explosion, and stamping (Sun et al., 2012). As the simulation doesn't involve monitoring of deformation and stress, the model size was set as  $2 \times 2$  m² and the explosive equivalence was determined by the TNT equivalence in Section 3.3. The model involves air, TNT, and coal seam, where air and TNT were determined by the Euler algorithm and coal seam was determined by the Lagrange algorithm. The pressure-time history curves of blast loads on areas near the borehole wall were obtained by coupling calculations, as shown in Fig. 5.

#### 3.5. Numerical model for the CO<sub>2</sub> phase-transition induced fracturing stage

To guarantee the comparability of fracturing effects, the numerical model for the  $CO_2$  phase transition induced fracturing stage was also established by XFEM on ABAQUS and its basic conditions were aligned with that of the model for the SC- $CO_2$  fracturing stage. The cracks at the SC- $CO_2$  fracturing stage were pre-transferred to the new model and the pressure-time history curves of blast loads obtained in Section 3.4 were applied on the crack propagation surface to achieve numerical simulations of the  $CO_2$  phase-transition induced fracturing stage.

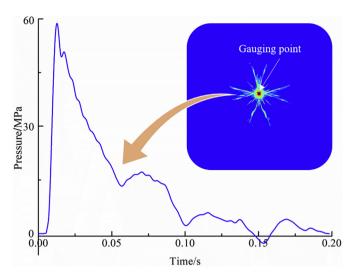


Fig. 5. The pressure-time history curves of blast loads.

#### 3.6. Verification of numerical simulations

The proposed numerical simulation of SC-CO $_2$  fracturing was verified using the experimental results on the true triaxial stress testing of sandstone using SC-CO $_2$  fracturing conducted by Zhang et al. (2017b). The experiment adopted SC-CO $_2$  fracturing simulation experiment system, which consisted of five parts: a triaxial loading system, a thermotank, a carbon dioxide plunger pump, a CO $_2$  heating unit and a temperature pressure data collection device. The test piece was made of  $200 \times 200 \times 200 \text{ mm}^3$  sandstone, and a borehole with a hole diameter of 20 mm and a depth of 110 mm was drilled in the middle of the test piece to simulate the fracturing borehole. The SC-CO $_2$  fracturing experiment was realized by controlling the temperature and pressure of CO $_2$ .

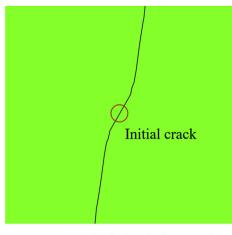
A numerical model corresponding to the above test conditions was established and the simulation parameters was set according to the specific conditions of the test. Therein, the elastic modulus, the Poisson ratio, and the tensile strength of the sandstone were 36 GPa, 0.24, and 4.1 MPa, respectively. The vertical crustal stress of the model was 12 MPa, the maximum and minimum horizontal crustal stress on the model were 10 MPa and 8 MPa, respectively, and the injection rate of the SC-CO<sub>2</sub> fluid at 10 MPa and 60 °C was 30 mL/min.

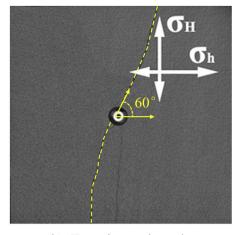
The pre-cracking direction of the fracture borehole in the numerical model was determined in lab tests to be according to the crack initiation direction. The numerical simulation results were compared with the laboratory test results (see Fig. 6). As can be observed, the dynamic propagation of cracks during SC-CO<sub>2</sub> fracturing was consistent with previous studies, indicating the excellent reliability and feasibility of the proposed numerical simulation of SC-CO<sub>2</sub> fracturing.

#### 3.7. Comparative analysis of SC-CO<sub>2</sub> fracturing and hydraulic fracturing

In order to compare the difference between SC-CO $_2$  fracturing and hydraulic fracturing, the numerical model of SC-CO $_2$  fracturing and hydraulic fracturing was constructed by the proposed simulation method. The simulation method of SC-CO $_2$  fracturing adopted staged numerical simulation while the simulation method of hydraulic fracturing was the same as the first stage of SC-CO $_2$  fracturing. The fluid permeability coefficient, fluid viscosity and fluid density of SC-CO $_2$  fracturing were  $1\times 10^{-5}\,\text{m/s}$ ,  $7\times 10^{-5}\,\text{Pas}$  and  $780\,\text{kg/m}^3$ , respectively. While these values for hydraulic fracturing were  $1\times 10^{-7}\,\text{m/s}$ ,  $1\times 10^{-3}\,\text{Pas}$  and  $980\,\text{kg/m}^3$ , respectively. The basic parameters of these two models were the same, and the horizontal and vertical crustal stresses on the model were both  $12\,\text{MPa}$ .

The comparison of fracturing effects between SC-CO<sub>2</sub> fracturing and





(a) Numerical simulation results (b) Experimental results

Fig. 6. Comparison of numerical simulation and experimental results.

Table 1
Comparison of fracturing effects between SC-CO<sub>2</sub> fracturing and hydraulic fracturing Table 1 Comparison of fracturing effects between SC-CO<sub>2</sub> fracturing and hydraulic fracturing.

Fracturing method	Crack initiation pressure/MPa	Crack propagation length/m	Crack propagation width/mm
SC-CO <sub>2</sub> fracturing	32.3	13.0	10.2
hydraulic fracturing	43.5	10.8	4.0

**Note:** the crack propagation length is equal to two times of the crack propagation radius.

hydraulic fracturing was shown in Table 1. From the aspect of crack initiation pressure, the initiation pressure of SC-CO2 fracturing was 32.3 MPa, the initiation pressure of hydraulic fracturing was 43.5 MPa, and the initiation pressure of SC-CO2 fracturing was lower than that of hydraulic fracturing, because the SC-CO2 fluid has strong diffusion ability and is more likely to penetrate into micro cracks and pores, thus causing the crack initiation pressure to decrease. From the aspect of crack propagation, the length and width of crack propagation of SC-CO<sub>2</sub> fracturing were 13.0 m and 10.2 mm respectively, while these values for hydraulic fracturing were 10.8 m and 4.0 mm respectively, the SC-CO<sub>2</sub> fracturing had larger crack propagation length and width, and the fracturing effect was better, which was due to the further expansion of the crack caused by the phase-transition of CO<sub>2</sub> during the SC-CO<sub>2</sub> fracturing process. Therefore, the crack initiation pressure of SC-CO<sub>2</sub> fracturing was lower than that of hydraulic fracturing, and the fracturing effect was better than that of hydraulic fracturing, thus SC-CO<sub>2</sub> fracturing had obvious advantages in fracturing.

#### 4. Staged simulations of crack propagation

Crack propagations at the  $SC-CO_2$  fracturing stage and the  $CO_2$  phase-transition induced fracturing stage were investigated based on staged simulations. In these simulations, the working conditions in coal seams with a depth of 500 m were applied, the horizontal and vertical crustal stress on the model were 12 MPa, the stratum pore pressure was 5 MPa, and  $SC-CO_2$  at 20 MPa and 50  $^{\circ}C$  was used as the fracturing fluid.

#### 4.1. Crack propagation length

The crack propagation length is a direct indicator of the fracturing effects of coal seam. The fracturing state during SC-CO<sub>2</sub> fracturing of coal seam is shown in Fig. 7. PHILSM in Fig. 7 refers to the

displacement function used to describe the crack surface, according to which the crack propagation length can be obtained, and the crack propagation length was equal to two times of the crack propagation radius. It can be seen that cracks propagated further during the  $\rm CO_2$  phase-transition induced fracturing stage: the crack propagation lengths at the end of the SC-CO<sub>2</sub> fracturing stage ( $\rm L_1$ ) and  $\rm CO_2$  phase transition induced fracturing stage ( $\rm L_2$ ) were 9.6 m and 13.0 m, respectively.

#### 4.2. Maximum width of propagated cracks

The width of cracks is another indicator of fracturing effects in coal seams. Considering that the closure of cracks at the end of the  $SC\text{-}CO_2$  fracturing stage leads to significantly reduced crack width, the maximum crack widths at the two fracturing stages was statistically analyzed in the simulation, as shown in Fig. 8. As can be observed, the maximum crack widths at the  $SC\text{-}CO_2$  fracturing stage and the  $SCCO_2$  phase-transition induced fracturing stage were 4.0 mm and  $SCCO_2$  phase-transition induced fracturing stage. Additionally during the  $SCCO_2$  phase-transition induced fracturing stage. Additionally, the width increase was observed for existing cracks, while the widths of new cracks were significantly smaller than those of existing cracks.

These results demonstrated the key role of the SC-CO $_2$  fracturing stage and the CO $_2$  phase-transition induced fracturing stage in the overall fracture process: cracks in the coal seam were initiated and propagated during the SC-CO $_2$  fracturing stage, while the cracks were broadened, and new cracks were generated at the CO $_2$  phase-transition induced fracturing stage. Therefore, staged numerical simulations of SC-CO $_2$  fracturing play a key role in the full understanding of the SC-CO $_2$  fracture mechanism.

#### 5. Discussion

In practical applications, the injection rate of the SC-CO $_2$  fluid is a controllable factor with significant effects on the fracturing process (Harpalani and Mitra, 2010; Wang et al., 2016). To understand the effects of the injection rate of SC-CO $_2$  fluid on fracturing effects, the injection rates of the SC-CO $_2$  fluid were set to be  $5\times10^{-5}\,\mathrm{m}^2/\mathrm{s}$ ,  $8\times10^{-5}\,\mathrm{m}^2/\mathrm{s}$ , and  $1\times10^{-4}\,\mathrm{m}^2/\mathrm{s}$ , respectively and working conditions in coal seams with a depth of 500 m were applied.

The crack propagation lengths at different injection rates of SC-CO<sub>2</sub> are illustrated in Fig. 9. As is evident, the crack propagation length increased with the injection rate of SC-CO<sub>2</sub>. Specifically, the crack propagation lengths after the SC-CO<sub>2</sub> fracturing stage were 5.6 m, 8.2 m, 9.6 m at the injection rates of the SC-CO<sub>2</sub> fluid =  $5 \times 10^{-5}$  m<sup>2</sup>/s,

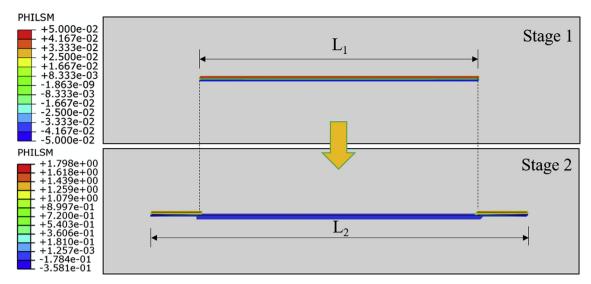


Fig. 7. Fracturing state in the SC-CO<sub>2</sub> fracturing.

 $8\times10^{-5}\,\text{m}^2/\text{s}$ ,  $1\times10^{-4}\,\text{m}^2/\text{s}$ , respectively, while the crack propagation lengths after the CO<sub>2</sub> phase-transition induced fracturing stage were 7.4 m, 11.0 m, 13.0 m at the injection rates of the SC-CO<sub>2</sub> fluid =  $5\times10^{-5}\,\text{m}^2/\text{s}$ ,  $8\times10^{-5}\,\text{m}^2/\text{s}$ ,  $1\times10^{-4}\,\text{m}^2/\text{s}$ , respectively. As the injection rate of SC-CO<sub>2</sub> is increased, the fluid pressure increases, resulting in crack conduction by the SC-CO<sub>2</sub> fluid and enhanced fracturing effects. Therefore, the fracturing effects can be enhanced by increasing the injection rate of SC-CO<sub>2</sub> in practical applications.

The maximum crack widths at different injection rates of SC-CO $_2$  are illustrated in Fig. 10. As can be observed, the maximum crack width increased with the injection rate of SC-CO $_2$ . Specifically, the maximum crack widths were 3.9 mm, 7.0 mm, 10.2 mm at injection rates of the SC-CO $_2$  fluid of  $5\times 10^{-5}\,\text{m}^2/\text{s},~8\times 10^{-5}\,\text{m}^2/\text{s},~1\times 10^{-4}\,\text{m}^2/\text{s},~\text{respectively}.$  Thus, the increasing amplitude of the maximum crack width at an injection rate of  $1\times 10^{-4}\,\text{m}^2/\text{s}$  was large. In practical applications, the optimized injection rate could be determined according to the working conditions and production requirements.

In summary, the injection rate of the SC-CO $_2$  fluid has a significant effect on crack propagation length and width of the coal seam fractures.

As different industrial applications require different fracturing effects, optimized fracturing parameters in the engineering design shall be determined based on their cost and their technical feasibility.

In the process of constructing the numerical model, the coal seam is assumed to be an isotropic material, and the fracturing effect in the depth direction of the fracturing borehole is assumed to be the same, thus the practical problem is simplified into a plane problem, and the plane perpendicular to the depth of the borehole is taken as the research object. Because the fracturing borehole depth in coal mine is small (generally less than 8 m), it is a certain reliability to simplify the actual working condition of the SC-CO2 fracturing coal seams to the plane problem. This simulation method can be applied to study the crack propagation law of SC-CO<sub>2</sub> fracturing and the influence of various factors on the crack propagation under the condition of small borehole depth. The research results have certain guiding significance for fully understanding the mechanism of SC-CO2 fracturing and the design of engineering parameters. When the fracturing borehole depth is large, the anisotropy of the coal seam is obvious, and the fracturing effect in the depth direction of the fracturing borehole is quite different, it is

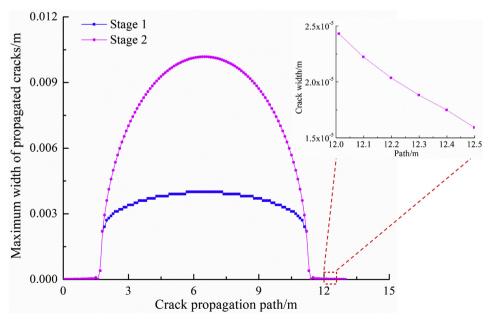


Fig. 8. Maximum width of cracks at the two fracturing stages.

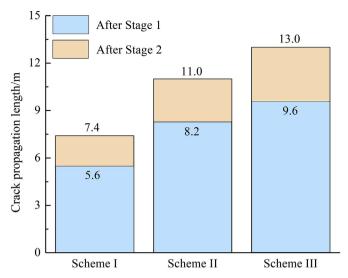


Fig. 9. Crack propagation lengths at different injection rates of SC-CO2.

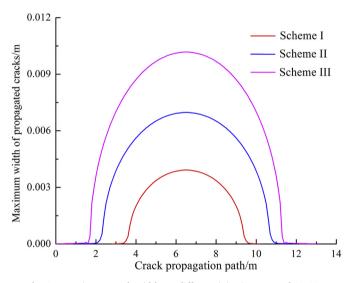


Fig. 10. Maximum crack widths at different injection rates of SC-CO $_2$ .

necessary to exhibit the effect of SC-CO<sub>2</sub> fracturing in space, and the two-dimensional plane model is no longer applicable, so the future research focus will gradually develop to the three-dimensional model to achieve more accurate numerical simulation studies.

#### 6. Conclusions

In the present study, the  $SC-CO_2$  fracturing process was considered in two stages and a staged numerical simulation of the  $SC-CO_2$  fracture of coal seams was proposed, based on the XFEM finite element code. The following conclusions were drawn on the basis of the investigation:

- (1) The SC-CO<sub>2</sub> fracturing process was considered as being divided into the SC-CO<sub>2</sub> fracturing stage and the CO<sub>2</sub> phase-transition induced fracturing stage. During the early stage of fracturing, the SC-CO<sub>2</sub> fluid can readily enter micro-pore cracks, due to its low viscosity and high diffusivity, which results in the initiation and propagation of cracks. During the later stage of fracturing, the SC-CO<sub>2</sub> transitions from the supercritical state to the gaseous state, which results in a dramatic expansion of the CO<sub>2</sub> in a short period of time. The severe impact of this transition leads to further propagation of the cracks.
- (2) Based on the extended finite element method, a staged numerical

simulation of the SC-CO $_2$  fracturing is proposed. A fluid-solid coupling model was developed using the Soil module in ABAQUS software for the simulation of the SC-CO $_2$  fracturing stages. Furthermore, the blast loads induced by phase transition of the CO $_2$  were introduced into the SC-CO $_2$  model for simulation of the CO $_2$  phase-transition induced fracture stage.

(3) Cracks in the coal seam are initiated and propagated at the SC-CO<sub>2</sub> fracture stage, and the cracks broaden, and new cracks are generated during the CO<sub>2</sub> phase-transition induced fracturing stage.

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#### Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.jngse.2019.03.021.

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