Deep Natural Language Processing

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Natural Language Processing Tasks (1)

Too many of them here we list a few of what is going on in our lab:

- machine translation: encoder to decoder automatically translate text from one human language to another, for example, English to Chinese. Since 2014, Neural Machine Translation (NMT) dominates!
- text summerization: context to decoder
 - Extraction-based summarization extracts objects (part-sentences or words) form the long document without modification, i.e., pick the important bits
 - abstraction-based summarization
 involves paraphrasing sections of the source document
- Q and A: encoder to decoder given context

the above three (3) may share a design architecture/elements



Natural Language Processing Tasks (2)

Too many of them here we list a few of what is going on in our lab:

- natural language generation by learning document corpus generate natural language from a machine representation, or for machine to generate semantically-similar texts given a training corpus
- chatbot enable human and machine to communicate using natural language
- natural language to cross-domain translation
 - 1. NLP to image
 - 2. NLP to animation
- topic modeling
 this is unsupervised learning, tries to assign each document in the document corpus a
 latent distribution of topics
- ▶ Before we get into it, let's study the foundation of Deep NLP: Recurrent Neural Networks
- before 2018, it is the primary design element of any D-NLP!

Recurrent Neural Networks

RNN equations are simple, it has three sets of parameters: (W, V, U)

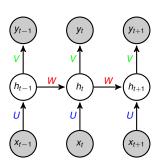
$$h_t = \tanh(\underbrace{Ux_t + Wh_{t-1}}_{z_t})$$
 $\hat{y}_t = \operatorname{softmax}(Vh_t)$

The overall loss can be defined as sum of cross entropy:

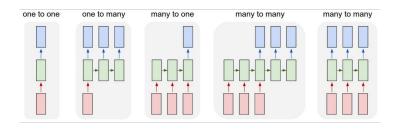
$$\mathcal{C}(y, \hat{y}) = \sum_t \mathcal{C}_t(y_t, \hat{y}_t) = -\sum_t \underbrace{\sum_{i \in \mathbb{S}} y_t \log \hat{y}_t}_{\text{-ve of cross entropy loss}}$$

The overall loss can also be defined as sum of square error:

$$\mathcal{C}(y,\hat{y}) = \sum_t \mathcal{C}_t(y_t,\hat{y}_t) = \sum_t \sum_{\mathbb{S}} (y_{t,i} - \hat{y}_{t,i})^2$$



Various applications of RNNs



- each configuration serves a different applications
- let's discuss about the scenarios for their use

Back propagation of Vanilla RNN $\frac{\partial C_t}{\partial V}$

$$h_t = \tanh(\underbrace{Ux_t + Wh_{t-1}}_{z_t})$$
 $\hat{y}_t = \operatorname{softmax}(\underbrace{Vh_t}_{b_t})$

$$C(y, \hat{y}) = \sum_{t} C_{t}(y_{t}, \hat{y}_{t}) = -\sum_{t} \sum_{S} y_{t} \log \hat{y}_{t}$$

where $\ensuremath{\mathbb{S}}$ is the output space, e.g., all the words we try to predict.

$$\begin{split} \frac{\partial \mathcal{C}_{t}(y_{t}, \hat{y}_{t})}{\partial V} &= \frac{\partial \mathcal{C}_{t}(y_{t}, \hat{y}_{t})}{\partial b_{t}} \frac{\partial b_{t}}{\partial V} \\ &= \frac{\partial \left(-\sum_{\mathbb{S}} y_{t} \log \hat{y}_{t}\right)}{\partial b_{t}} \times \frac{\partial b_{t}}{\partial V} \\ &= (\hat{y}_{t} - y_{t}) h_{t}^{\top} \end{split}$$

Back propagation for $\frac{\partial C_t}{\partial W}$

$$h_t = \tanh(\underbrace{Ux_t + Wh_{t-1}}_{z_t})$$
 $\hat{y}_t = \operatorname{softmax}(\underbrace{Vh_t}_{b_t})$

$$C(y, \hat{y}) = \sum_{t} C_{t}(y_{t}, \hat{y}_{t}) = -\sum_{t} \sum_{S} y_{t} \log \hat{y}_{t}$$

Looking at individual cost term C_t:

$$\frac{\partial \mathcal{C}_t}{\partial W} = \left(\frac{\partial \mathcal{C}_t}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial h_t}\right) \frac{\partial h_t}{\partial W} = \left(\frac{\partial \mathcal{C}_t}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial h_t}\right) \sum_{k=0}^t \frac{\partial h_t}{\partial h_k} \frac{\partial h_k}{\partial W}$$

• when performing $\frac{\partial h_t}{\partial W}$, we need to **sum** over all intermediate latent nodes, i.e.,

$$\left(\frac{\partial h_t}{\partial h_1} \frac{\partial h_1}{\partial W}\right) + \left(\frac{\partial h_t}{\partial h_2} \frac{\partial h_2}{\partial W}\right) + \dots + \left(\frac{\partial h_t}{\partial h_{t-1}} \frac{\partial h_{t-1}}{\partial W}\right)$$

rewrite it to fill in the gap with chain rule:

$$\frac{\partial \mathcal{C}_t}{\partial W} = \sum_{k=0}^t \frac{\partial \mathcal{C}_t}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial h_t} \left(\prod_{j=k+1}^t \frac{\partial h_j}{\partial h_{j-1}} \right) \frac{\partial h_k}{\partial W}$$

• we need to sum over all C_t



Back propagation for $\frac{\partial C_t}{\partial W}$ (1)

$$h_t = \tanh(\underbrace{Ux_t + Wh_{t-1}}_{z_t})$$
 $\hat{y}_t = \operatorname{softmax}(Vh_t)$

$$\begin{split} \frac{\partial \mathcal{C}_{t}}{\partial W} &= \sum_{k=0}^{t} \frac{\partial \mathcal{C}_{t}}{\partial \hat{\gamma}_{t}} \frac{\partial \hat{y}_{t}}{\partial h_{t}} \left(\prod_{j=k+1}^{t} \frac{\partial h_{j}}{\partial h_{j-1}} \right) \frac{\partial h_{k}}{\partial W} \\ &= \sum_{k=0}^{t} \frac{\partial \mathcal{C}_{t}}{\partial \hat{\gamma}_{t}} \frac{\partial \hat{y}_{t}}{\partial h_{t}} \left(\prod_{j=k+1}^{t} \frac{\partial h_{j}}{\partial h_{j-1}} \right) \frac{\partial h_{k}}{\partial z_{k}} \frac{\partial z_{k}}{\partial W} \end{split}$$

- The following has t + 1 term, each with varying length due to the product term.
- Derivations can be understood better: $h_2\left(\underbrace{c_2 + W(h_1(c_1 + W))}_{z_2}\right)$

$$\begin{split} &\frac{\partial h_2\left(c_2+W(h_1(c_1+W)\right)}{\partial W} \\ &= h_2'(c_2+W(f(c_1+W))\frac{\partial(c_1+W(h_1(c_1+W))}{\partial W} \qquad \text{using chain rule} \\ &= h_2'(c_2+W(f(c_1+W))\left(h_1(c_1+W)+Wh_1'(c_1+W)\right) \qquad \text{using product rule} \\ &= h_2'(c_2+W(f(c_1+W))h_1(c_1+W)+h_2'(c_2+W(h(c_1+W))Wh_1'(c_1+W)) \\ &= \frac{\partial h_2}{\partial z_2}\frac{\partial z_2}{\partial W} + \frac{\partial h_2}{\partial z_2}\frac{\partial z_2}{\partial h_1}\frac{\partial h_1}{\partial W} = \frac{\partial h_2}{\partial W} + \frac{\partial h_2}{\partial h_1}\frac{\partial h_1}{\partial W} \end{split}$$

Gradient Vanishing and/or Explosion

$$\frac{\partial \mathcal{C}_t}{\partial W} = \sum_{k=0}^t \frac{\partial \mathcal{C}_t}{\partial \hat{y}_t} \frac{\partial \hat{y}_t}{\partial h_t} \left(\prod_{j=k+1}^t \frac{\partial h_j}{\partial h_{j-1}} \right) \frac{\partial h_k}{\partial z_k} \frac{\partial z_k}{\partial W}$$

before

$$h_t = \tanh(Ux_t + Wh_{t-1})$$

$$\hat{y}_t = \operatorname{softmax}(Vh_t)$$

hard to analyse $\frac{\partial h_t}{\partial h_t}$

alternative

$$h_t = Ux_t + Wf(h_{t-1})$$

$$\hat{y}_t = Vf(h_t)$$

easier to analyse $\frac{\partial h_t}{\partial h_t}$

In alternative represenation:

$$\frac{\partial h_t}{\partial h_k} = \prod_{j=k+1}^t \frac{\partial h_j}{\partial h_{j-1}} = \prod_{j=k+1}^t W \times \text{diag}[f'(h_{j-1})]$$

This is because:

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} W_{1,1} & W_{1,2} \\ W_{2,1} & W_{2,2} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} w_{1,1}x_1 + w_{1,2}x_2 \\ w_{2,1}x_1 + w_{2,2}x_2 \end{bmatrix} \implies \begin{bmatrix} \frac{\partial y_1}{\partial x_1} & \frac{\partial y_1}{\partial x_2} \\ \frac{\partial y_2}{\partial x_1} & \frac{\partial y_2}{\partial x_2} \end{bmatrix} = W$$



Gradient vanishing and/or exploding: Matrix norm

Define matrix norm from vector norm:

$$||A|| = \sup\{\underbrace{||Ax||}_{\text{vector norm}} : x \in \mathbb{R}^n \text{ with } \underbrace{||x||}_{\text{vector norm}} = 1\}$$

$$\left\| \frac{\partial h_j}{\partial h_{j-1}} \right\| \leq \beta_W \beta_s$$

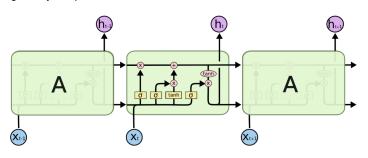
$$\left\| \frac{\partial h_t}{\partial h_k} \right\| = \left\| \prod_{j=k+1}^t \frac{\partial h_j}{\partial h_{j-1}} \right\| = \left\| \prod_{j=k+1}^t W \times \text{diag}[f'(h_{j-1})] \right\| \leq (\beta_W \beta_s)^{t-k}$$

Possible solution:

- Let $f(x) = \max(0, x)$, i.e., another activation function, for example, ReLU helps with gradient.
- Initialise W to be the identity matrix.

Long Short Term Memory (LSTM)

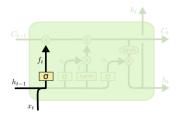
Looking at very complicated structure. But it works!



- ▶ There is a concept of Cell State $\{C_t\}$ in addition to state $\{h_t\}$.
- http://colah.github.io/posts/2015-08-Understanding-LSTMs/

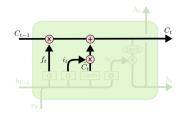
Long Short Term Memory (LSTM): forget and input gate

forget gate: $f_l = \sigma(W_f[h_{l-1}, x_l] + b_f)$



state update:

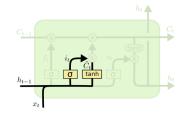
$$C_t = f_t \odot C_{t-1} + i_t \odot \tilde{C}_t$$



input gate:

$$i_t = \sigma(W_i[h_{t-1}, x_t] + b_i)$$

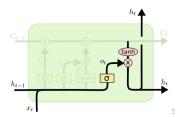
 $\tilde{C}_t = \tanh(W_C[h_{t-1}, x_t] + b_C)$



output gate:

$$o_t = \sigma(W_o[h_{t-1}, x_t] + b_o)$$

 $h_t = o_t \odot \tanh(C_t)$





more on LSTM

a compact form of representation:

$$\begin{bmatrix} i \\ f \\ o \\ \tilde{C} \end{bmatrix} = \begin{bmatrix} \sigma \\ \sigma \\ tanh \end{bmatrix} W \begin{bmatrix} h_{t-1} \\ X_t \end{bmatrix} \qquad C_t = f_t \odot C_{t-1} + i \odot C_t$$

$$h_t = o_t \odot \tanh(C_t)$$

- ightharpoonup in vanilla RNN, multiple by the same **W**, in LSTM, f_t changes each time step
- element-wise multiplication (LSTM) is nicer than full matrix multiplication RNN
- ightharpoonup in LSTMs, cell state C_t . The derivative of consecutive States is of the form:

$$C_{t} = f_{t} \times C_{t-1} + i_{t} \times \tilde{C}_{t}$$

$$= f_{t} \times C_{t-1} + i_{t} \times \tanh(W_{C}[h_{t-1}, x_{t}] + b_{C})$$

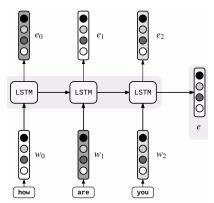
$$= f_{t}(C_{t-1})C_{t-1} + i_{t}(h_{t-1}(C_{t-1})) \times \tanh(W_{C}[o_{t-1}(h_{t-1}(C_{t-1})) \times \tanh(C_{t-1}), x_{t}] + b_{C})$$

$$\frac{\partial C_{t}}{\partial C_{t-1}} = \int_{\text{gradient-super highway}} + \underbrace{\frac{\partial f_{t}}{\partial C_{t-1}}C_{t-1} + \frac{\partial \xi(C_{t-1})}{\partial C_{t-1}}C_{t-1}}_{\text{contains exponentially fast decay function}} C_{t-1}$$

- \triangleright of course, f_t may still close to zero
- **trick is to** initialize bias to positive, e.g., $f_t = \sigma(W_t[h_{t-1}, x_t] + ve)$ so to make f_t closer to 1 initially

Vanilla Seq2Seq: encoder

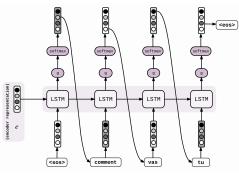
- Sutskever et., al, 2014, Sequence to sequence learning with neural networks
- at encoder: last neural representation e "summerizes" entire encoder sentence
- this neural representation is to be used at the decoder
- ▶ it uses RNN(LSTM) each time t



https://guillaumegenthial.github.io/sequence-tosequence.html

Vanilla Seq2Seq: decoder

- Sutskever et., al, 2014, Sequence to sequence learning with neural networks
- at decoder: it uses last neural representation e from encoder
- it generates one word at the time
- during training, decoder sentence to minimize the cross entropy error



https://guillaumegenthial.github.io/sequence-tosequence.html

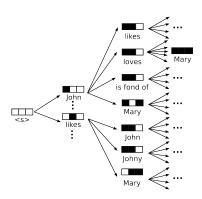
Seq2Seq: beam-search

ideally, we want to learn joint output words jointly:

$$Pr(y_1, ..., y_T | \mathbf{x}) = Pr(y_1 | \mathbf{x}) Pr(y_2 | y_1, \mathbf{x}) Pr(y_3 | y_1, y_2, \mathbf{x})$$
...,
$$Pr(y_T | y_1, ..., y_{T-1}, \mathbf{x})$$

this is like expand the right tree to full depth and to select the best path (computationally bad)

- if select best word at each time t, it's like choose a branch in each depth, and force all subsequent words to come from the same sub-tree (accuracy-wise bad)
- both are not ideal, so we go for a comprise, in $k \le T$ depth, choose the best branch



Sequence to Sequence with Attention

- encoders have hidden states, $\{z_1, \ldots, z_m\} \in \mathbb{R}^h$
- **decoders** have hidden states, $\{h_1, \ldots, h_n\} \in R^h$
- ► compute **dot-product**: $e_{i,j} = h_i^\top z_j$
- attentions between ith decoder state and jth encoder state is:

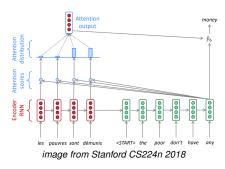
$$a_{ij} = \frac{\exp\left(e_{i,j}\right)}{\sum_{t=1}^{m} \exp\left(e_{i,t}\right)} = \frac{\exp\left(h_i^{\top} z_j\right)}{\sum_{t=1}^{m} \exp\left(h_i^{\top} z_t\right)}$$

- each i^{th} decoder has $\mathbf{a}_i = \{a_{i,1}, \dots, a_{i,m}\}$ attention weights of the encoder
- condition vector c_i for each decode word i:

$$c_i = \sum_{j=1}^m a_{i,j}(z_j)$$

new augmented decoder state ñ_t:

$$\tilde{h}_t = [c_i; h_t] \in \mathbb{R}^{2h}$$



something about **dot-product**: $e_{i,j} = h_i^{\top} z_j$, many version exist:

- 1. $e_{i,j} = h_i^{\top} \mathbf{W} z_j$ enable h and z have different dimensionality
- 2. $e_{i,j} = v_i^{\top} \tanh(\mathbf{W}_1 h_i + \mathbf{W}_2 z_j)$ $(v_i, \mathbf{W}_1, \mathbf{W}_2)$ are parameters of this dot-product Pointer Networks uses this!



Sequence to Sequence with Attention: issues (1)

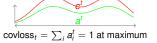
- issue one: decoder sometimes repeat themselves (e.g. "machine learning machine learning ...") solution (See, et., al, 2017), Get To The Point: Summarization with Pointer-Generator Networks
 - coverage vector Sum of attention distributions so far:

$$c^t = \sum_{t'=0}^{t-1} a^{t'}$$

ightharpoonup penalize overlap between **coverage vector** c^t and new attention distribution a^t :

$$\mathsf{covloss}_t = \sum_i \min(a_i^t, c_i^t)$$

▶ the above equation can be understood as follows: imagine $c_i^t \ge a_i^t \forall i$, then $covloss_t = 1$, which is its **maximum**, this happens when $covloss_t$ is a multilicative envelop of a^t :





ightharpoonup in essence, covloss_t tries to make a^t distributed differently to c^t



Sequence to Sequence with Attention: issues (2)

- issue two decoder may not able to translate "out-of-vocabulary words" such as names of a company
- suppose to have the following text summerization task:
 - original text:

"The QueenslandCo has made all reasonable efforts to ensure that this material has been reproduced with the consent of NSWCo"

- summerized text:
 - "NSWCo allowed QueenslandCo to reuse its content"
- some of the word should appear as is it
- RNN-based summarization may replace "Mary" with "Jane" and "Sydney" with "Melbourne" since these word embedding tend to cluster (and hence their dot product are similar!)
- **solution** "Pointer Networks" may be handy to comes to help!

What is Pointer Networks anyway?

- (Vinyals, 2016), Pointer Networks
- "Seq2Seq with attention" is to predict content of next word
- "Pointer Networks" is to predict next position of encoding sequence
- $ightharpoonup e_{i,j} = v_i^{\mathsf{T}} \tanh(\mathbf{W_1} h_i + \mathbf{W_2} z_i)$

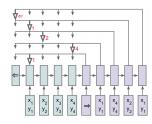
$$a_{ij} = \frac{\exp\left(e_{i,j}\right)}{\sum_{t=1}^{m} \exp\left(e_{i,t}\right)} = \frac{\exp\left(h_i^{\top} z_j\right)}{\sum_{t=1}^{m} \exp\left(h_i^{\top} z_t\right)}$$

instead of compute conditional vector c_i and concatenate with h_i as the case of "Seq2Seq with attention", it performs:

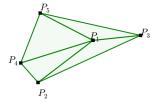
$$Pr(C_i|C_1,\ldots,C_{i-1},\mathcal{P}) = softmax(e_{i,1},\ldots,e_{i,n})$$

- now that we apply Pointer Network to "copy" rare words from encoder to decoder, what about generating words that don't appear in the encoder?
- the answer is a mixture model that does both copy (extraction) and generation (abstraction)

pointer network structure:



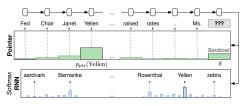
it could solve combinatorial geometry problems:





Pointer Sentinel Mixture Models (1)

- (Merity, 2016), Pointer sentinel mixture models
- combines abstraction and extraction together



$$p("Yellen") = g \times p_{vocab}("Yellen") + (1 - g) \times p_{ptr}("Yellen")$$

- ▶ g is mixture gate, uses sentinel to dictate how much probability mass to give to vocabulary
- ▶ note that PSMM paper doesn't discuss seq2seq, instead it is about generate $Pr(y_N|w_1, ..., w_{N-1})$



Pointer Sentinel Mixture Models (2): its design

- ▶ simplest way to compute an attention score with all past hidden states $\{h\}_i = 1^{N-1}$, with each hidden state $h_i \in \mathbb{R}^H$
- ▶ However, when computing score for most recent word with hidden state h_N , if it's a **repeating word** with previous hidden state h_{N-1} , then $h_N^\top h^{N-1} = ||h_N||_{L^2}^2$, i.e., big, and hence it is more likely to generate itself again!
- ▶ the paper hence project the previous hidden state h_{N-1} to a query vector q:

$$q = \tanh(Wh_{N-1} + b)$$

- so, that dot-product between "candidate state" and "previous state" pair is no longer $e_{N,N-1} = h_N^\top h_{N-1}$, instead it's $e_{N,N-1} = h_N^\top q$
- rest is standard: a = softmax(e)



Beyond Seq2Seq with Attention using LSTM!

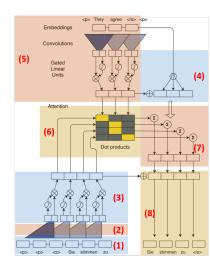
- Sequence-to-sequence (Seq2Seq) using LSTM building block has been the method since 2015!
- however, LSTM cannot be parallelized, so what then? two replacement methods stood out in second half of 2017:
- "attention is all you need" (Google Research)
- "Convolution Sequence to Sequence" (Facebook Al Research)

Convolution Sequence to Sequence (1): Decoder

- (Gehring, 2017), Convolutional sequence to sequence learning
- ▶ (1) these are decoder raw inputs $\{g_i \equiv h_i^{(0)}\}$ representing input sentence $\{x_1, \ldots, x_n\}$
- (2) concatenate features within window size *k*:
 - 1. for each decoder position i, take a k element set $\{g_i \equiv h_i^{(0)}\}$: $\{h_{(i-k)/2}^{(0)}, \ldots, h_{(i+k)/2}^{(0)}\}$
 - 2. concatenate to form a vector $\hat{h}_i^{(0)}$, which has size $k \times d$
- (3) repeat the above two steps for several layers, relationship between current I and previous I — 1 layers are:

$$h_i^{(l)} = v(W^l \hat{h}_i^{(l-1)})$$
 where $v(.)$ is gated convolution

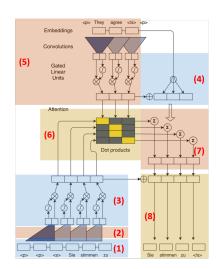
buring **training**, each **decoding word** g_i can be embedded to $h_i^{(l)}$ in parallel





Convolution Sequence to Sequence (2): Encoder

- (4) for **encoder** produce sequence $\{e_1, \dots e_m\}$ where $e_j = w_i + p_i$: word embedding + position embedding
- ▶ (5) the process to embed encoder sequence into the last layer: {z₁^u,...,z_m^u} using same process as decoder



Convolution Sequence to Sequence (3): Put together

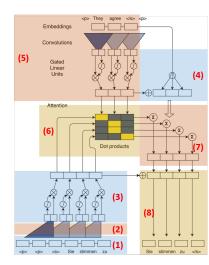
(6) to compute attention $a_{ij}^{(1)}$:

$$d_{i}^{(l)} = W_{d}^{(l)} h_{i}^{(l)} + b_{d}^{(l)} + g_{i} \qquad a_{ij}^{(l)} = \frac{\exp\left(d_{i}^{(l)^{\top}} Z_{j}^{(u)}\right)}{\sum_{t=1}^{m} \exp\left(d_{i}^{(l)^{\top}} Z_{t}^{(u)}\right)}$$

- note that this is slightly different to the diagram: the paper has only dot product term $(a_i^{(l)} \odot z_i^{(u)})$
- (7) to compute condition vector c_i^l for each decoding word i:

$$c_i^{(l)} = \sum_{j=1}^m a_{ij}^{(l)} (z_j^{(u)} + e_j)$$

- (8) to generate the sequence using predict y_{i+1} from $\{c_i^l + h_i^l\}_{l=1}^L$
- during testing:
 - \triangleright y_i is generated one at the time
 - the "dot product" table in (6) is increase one row at the time





Gated Convolutional Network

- so what is gated convolution used in convolutional seq2seq?
- Yann N. Dauphin, Language Modeling with Gated Convolutional Networks
- look at last box gating, reduce vanishing gradient problem:
 - 1. gradient of LSTM-style gating:

$$h_t = o_t \odot \tanh(C_t)$$

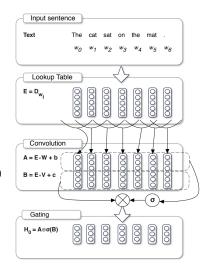
= $\sigma(W_o[h_{t-1}, x_t] + b_o) \odot \tanh(C_t)$

writing it more generically:

$$\nabla [\tanh(X) \odot \sigma(X)]$$

$$= \underbrace{\tanh'(X)}_{\text{down scaling}} \nabla X \odot \sigma(X) + \underbrace{\sigma'(X)}_{\text{down scaling}} \nabla(X) \odot \tanh(X)$$

2. Gated Convolution Networks





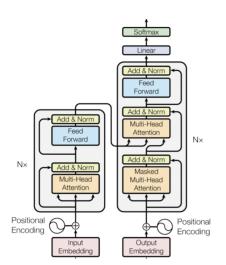
Attention is all you need: encoder

let q to be scalar, (K, V) are vectors, Dot-Product Attention is defined as:

$$A(q, K, V) = \sum_{i} \underbrace{\frac{\exp[q^{\top} k_{i}]}{\sum_{j} \exp[q^{\top} k_{j}]}}_{w_{i}} v_{i}$$

basically, it's a weighed sum of $\sum_i w_i v_i$

- for encoder each block, use same (Q, K, V) from previous layer
- blocks repeated 6× times





Attention is all you need: decoder

- masked decoder self-attention on previously generated outputs
- ► Encoder-Decoder Attention: *Q* come from previous decoder layer and *K* and *V* come from output of encoder

