

# Practical Constructions of Pseudorandom Permutations (Block Ciphers)

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- 1 Substitution-Permutation Networks**
- 2 Feistel Networks**
- 3 DES – The Data Encryption Standard**
- 4 Increasing the Key Length of a Block Cipher**
- 5 AES – The Advanced Encryption Standard**

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- **Block Cipher**  $F : \{0, 1\}^n \times \{0, 1\}^\ell \rightarrow \{0, 1\}^\ell$ .  
 $F_k : \{0, 1\}^\ell \rightarrow \{0, 1\}^\ell$ ,  $F_k(x) \stackrel{\text{def}}{=} F(k, x)$ .  
 $n$  is key length,  $\ell$  is block length.
- Constructions are **heuristic**, not proofed.
- Considered as **PRP in practice**.
  - In the call for proposals for AES: *The extent to which the algorithm output is indistinguishable from a random permutation on the input block.*
- Is “**good**” if the best known attack has time complexity roughly **equivalent to a brute-force search for the key**.
  - A cipher with  $n = 112$  which can be broken in time  $2^{56}$  is insecure.
  - In a non-asymptotic setting,  $2^{n/2}$  may be insecure.

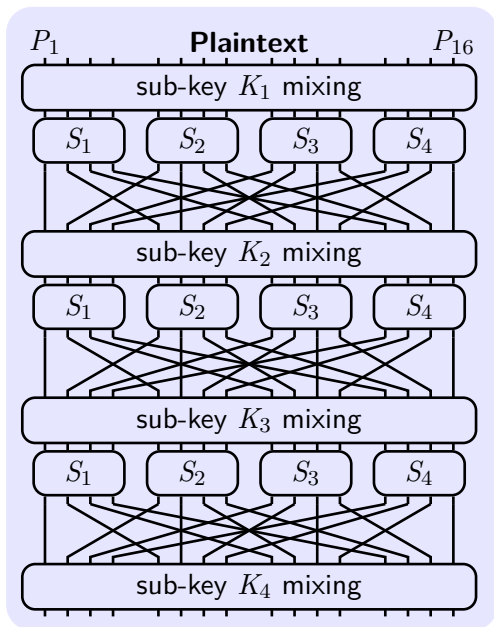
# The Confusion-Diffusion Paradigm

- **Goal:** Construct *concise*<sup>1</sup> random-looking permutations.
- **Confusion:** making the relationship between the key and the ciphertext as complex and involved as possible.  
Construct a large random-looking permutation  $F$  from smaller random permutations  $f_i$ .  $F_k(x) = f_1(x_1)f_2(x_2) \cdots f_i(x_i)$
- **Diffusion:** the redundancy in the statistics of the plaintext is dissipated in the statistics of the ciphertext.
- **Product cipher** combines multiple transformations.

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<sup>1</sup>A block length of  $n$  bits would require  $\log(2^n!) \approx n \cdot 2^n$  bits for its representation.

# A Substitution-Permutation Network



# Design Principle 1 – Invertibility of The $S$ -boxes

$S$ -boxes must be invertible, otherwise the block cipher will not be a permutation.

## Proposition 1

*Let  $F$  be a keyed function defined by a SPN in which the  $S$ -boxes are all one-to-one and onto. Then regardless of the key schedule and the number of rounds,  $F_k$  is a permutation for any choice of  $k$ .*

## Design Principle 2 – The Avalanche Effect

- **Avalanche effect:** changing a single bit of the input affects every bit of the output.
- **Strict avalanche criterion:** a single input bit is complemented, each of the output bits changes with a 50% probability.
- **Bit independence criterion:** output bits  $j$  and  $k$  should change independently when any single input bit  $i$  is inverted, for all  $i, j$  and  $k$ .
- $S$ -box: changing a single bit of the input changes at least two bits in the output.
- $P$ -box: the output bits of any given  $S$ -box are spread into different  $S$ -boxes in the next round.

For 4-bit  $S$ -boxes, changing 1 bit of the input affects  $2^R$  bits of the output after  $R$  rounds of SPN.



# A Framework for KPA against Block Ciphers

**KPA:** know some plaintext/ciphertext pairs under the same key.

- 1 Observe relationship between PT/CT and  $k$  bits of the key.
- 2 Design a test on  $t$  bits based on the above relationship.
- 3 Search in  $k$ -bit space; a guess passes test with pr.  $2^{-t}$ .
- 4 Use  $p$  PT/CT pairs to determine the key with exp.  $2^{k-(p)t}$ .

## KPA against 1-Round SPN with 16-bit key

**Relationship**  $\text{PT} \oplus \text{Key} \oplus \text{Input-of-}S\text{-boxes} = 0$ .

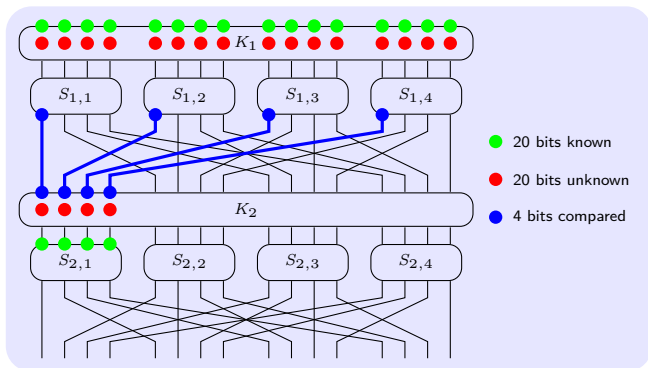
**Test** on  $t = 16$  bits:  $\text{Input-of-}S\text{-boxes} = \text{PT} \oplus \text{Key}$ .

**Search** in  $k = 16$  bit space; passing test with pr.  $1/2^{16}$ .

**Determine** the key with  $p = 1$  PT/CT pair and exp. 1.

# Attacks on Reduced-Round SPNs

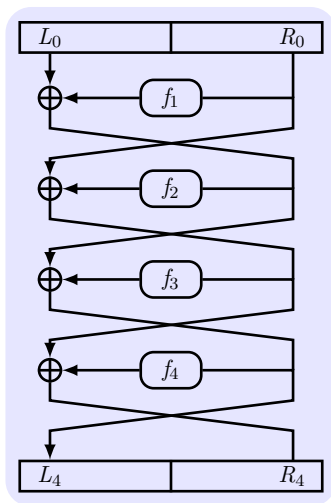
Attack on a 2-round SPN: 64-bit block, 128-bit key ( $2 \times 64$ -bit sub-keys),  $16 \times 4$ -bit  $S$ -boxes, and mixing with XOR.



- Guessing 20 bits: 16 bits of the 1st sub-key, 4 bits of the 2nd.
- Guess passes the 4-bit test with pr.  $1/2^4$  ( $1/2^n$  for  $n$ -bit test).
- Use 8 I/O pairs to determine the key (with exp.  $2^{20-4 \times 8}$ ).
- Break with complexity  $8 \cdot 2^{20} \cdot 16 = 2^{27} \ll 2^{128}$  (16  $S$ -boxes).

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# Feistel Networks



# Properties of Feistel Networks

- **Idea:** Construct an invertible function from non-invertible components.
- **Round function**  $f_i(R) \stackrel{\text{def}}{=} \hat{f}_i(k_i, R)$  ( $\hat{f}_i$  mangler function).
- **Output:**  $L_i := R_{i-1}$  and  $R_i := L_{i-1} \oplus f_i(R_{i-1})$ .
- **Inverting:**  $L_{i-1} := R_i \oplus f_i(R_{i-1}) = R_i \oplus f_i(L_i)$ .
- **Decryption:** Operate with sub-keys in reverse order.

## Proposition 2

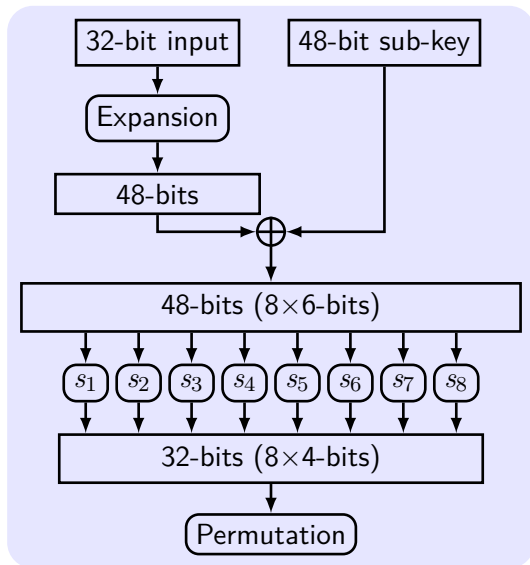
**Luby-Rackoff Theorem:** Let  $F$  be a keyed function defined by a Feistel network. Then regardless of the mangler functions  $\{\hat{f}_i\}$  and the number of rounds,  $F_k$  is a permutation for any choice of  $k$ .

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# The Design of DES

- 16-round Feistel network.
- 64-bit block
- 56-bit key, 48-bit sub-key. (64bit key with 8 check bits)
- Key schedule: 56 bits  $\xrightarrow[\text{left rotation, PC}]{\text{divided into two halves}}$  48 bits.
- Begin with Initial Permutation ( $IP$ ) and end with  $IP^{-1}$ .
- Round function  $f$  is non-invertible with 32-bit I/O.
- $f_i$  is determined by mangler function  $\hat{f}_i$  and sub-key  $k_i$ .
- $S$ -box is a 4-to-1 function, mapping 6-bit to 4-bit.

# The DES Mangler Function





# An $S$ -box in DES

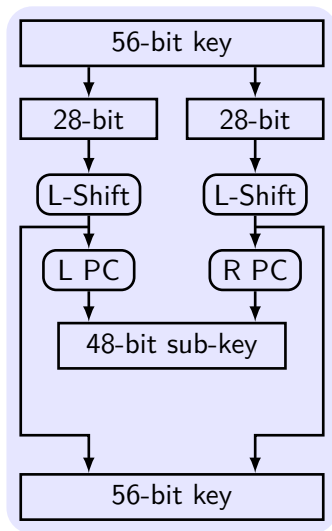
## An $S$ -box

Input:  $b_{0,1,\dots,5} = 011001$

Output:  $S[b_{0,5}][b_{1,2,3,4}] = S[01][1100] = S[1][12] = 9 = 1001$

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15		
	+-----+																	
0		14	4	13	1	2	15	11	8	3	10	6	12	5	9	0	7	
1		0	15	7	4	14	2	13	1	10	6	12	11	9	5	3	8	
2		4	1	14	8	13	6	2	11	15	12	9	7	3	10	5	0	
3		15	12	8	2	4	9	1	7	5	11	3	14	10	0	6	13	
	+-----+																	

# Key Schedule



Bits of shift is 1 or 2 in different rounds.

# Weak Keys of DES

- **Weak keys:** makes the cipher behave in some undesirable way—producing *identical* sub-keys.

## Weak keys (Key with check bits : key w/o check bits)

01010101	01010101	:	00000000	00000000
FEFEFEFE	FEFEFEFE	:	FFFFFFF	FFFFFFF
E0E0E0E0	F1F1F1F1	:	FFFFFFF	00000000
1F1F1F1F	0E0E0E0E	:	00000000	FFFFFFF

- **Semi-weak keys:** producing only two different sub-keys.  
A pair of semi-weak keys  $k_1, k_2$ :  $F_{k_1}(F_{k_2}(M)) = M$ .

## Semi-weak key pairs (2 of total 6 pairs)

011F011F	010E010E	&	1F011F01	0E010E01
01E001E0	01F101F1	&	E001E001	F101F101

# Attacks on Reduced-Round Variants of DES

## 1-round (48-bit key):

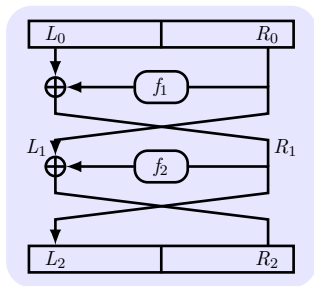
$S$ -box is 4-to-1, so 4 possible values for each 6-bit key.

# of possible keys:  $4^{48/6} = 2^{16}$ .

So a guess passes test with pr.  $2^{-(48-16)}$ .

Use another I/O pair to determine the key (with exp.  $2^{-16}$ ).

**2-round:**  $L_0 || R_0, L_2 || R_2$  are known I/O pair.



$$L_1 = R_0$$

$$R_1 = L_0 \oplus f_1(R_0)$$

$$L_2 = R_1 = L_0 \oplus f_1(R_0)$$

$$R_2 = L_1 \oplus f_2(R_1).$$

$$f_1(R_0) = L_0 \oplus L_2$$

$$f_2(L_2) = R_2 \oplus R_0$$

So we know I/O pairs of both  $f_1$  and  $f_2$ .

Break in time  $2 \cdot 2^{16}$  as two 1-round with two I/O pairs.

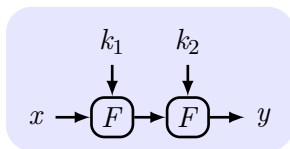
# Chronology of DES

- 1973** NBS (NIST) publishes a call for a standard.
- 1974** DES is published in the Federal Register.
- 1977** DES is published as FIPS PUB 46.
- 1990** Differential cryptanalysis with CPA of  $2^{47}$  plaintexts.
- 1997** DESCHALL Project breaks DES in public.
- 1998** EFF's Deep Crack breaks DES in 56hr at \$250,000.
- 1999** Triple DES.
- 2001** AES is published in FIPS PUB 197.
- 2004** FIPS PUB 46-3 is withdrawn.
- 2006** COPACOBANA breaks DES in 9 days at \$10,000.
- 2008** RIVYERA breaks DES within one day.

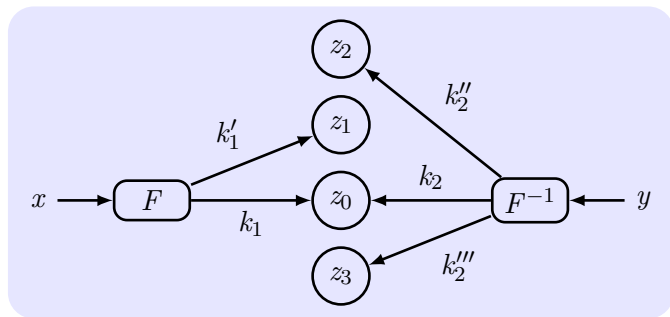
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# Double Encryption

- **Internal tampering vs. Black-box constructions:** by modifying DES – in even the smallest way – we lose the confidence we have gained in DES.
- **Double encryption:**  $y = F'_{k_1, k_2}(x) \stackrel{\text{def}}{=} F_{k_2}(F_{k_1}(x))$ .



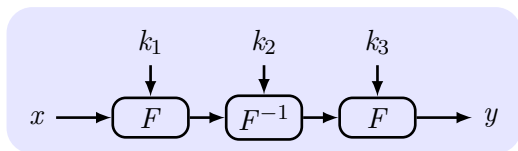
# The Meet-In-the-Middle Attack



- $z_0 = F_{k_1}(x) = F_{k_2}^{-1}(y) \iff y = F'_{k_1, k_2}(x)$ .
- Key pair  $(k_1, k_2)$  satisfies the equation with probability  $2^{-n}$ .
- The number of such key pairs is  $2^{2n}/2^n = 2^n$ .
- With another two I/O pairs, the expected number of key pairs is  $2^n/2^{2n} = 2^{-n}$ . So that is it!
- $\mathcal{O}(2^n)$  time and  $\mathcal{O}(2^n)$  space.



# Triple Encryption



- $k_1 = k_2 = k_3$ : a single  $F$  with backward compatibility.
- $k_1 \neq k_2 \neq k_3$ : time  $2^{2n}$  under the meet-in-the-middle attack.
- $k_1 = k_3 \neq k_2$ : time  $2^{2n}$  with 1 I/O pair; time  $2^n$  with  $2^n$  pair.
- **Triple-DES** (3DES): strong, but small block length and slow.

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# AES – The Advanced Encryption Standard

- In 1997, NIST calls for AES.
- In 2001, Rijndael [J. Daemen & V. Rijmen] becomes AES.
- The first publicly accessible cipher for top secret information.
- Not only security, also efficiency and flexibility, etc.
- 128-bit block length and 128-, 192-, or 256-bit keys.
- Not a Feistel structure, but a SPN.
- Only non-trivial attacks are for reduced-round variants.
  - $2^{27}$  on 6-round of 10-round for 128-bit keys.
  - $2^{188}$  on 8-round of 12-round for 192-bit keys.
  - $2^{204}$  on 8-round of 14-round for 256-bit keys.

# The AES Construction

**State:** 4-by-4 array of bytes. The initial state is the plaintext.

- 1 **AddRoundKey:** state XORed with the 128-bit sub-key.
- 2 **SubBytes:** each byte replaced according to a single  $S$ -box.
- 3 **ShiftRows:** each row cyclically shifted.
- 4 **MixColumns:** each column multiplied by a matrix.

*See an animation of Rijndael!.*

- Block cipher is PRP.
- confusion & diffusion, SPN, Feistel network, avalanche effect.
- DES, 3DES, AES.
- reduced round, meet-in-the-middle, differential and linear cryptanalysis.