# **Digital Signature**

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### **Outline**

- 1 Definitions of Digital Signatures
- **2** RSA Signatures
- **3** One-Time Signature Scheme
- 4 The Digital Signature Standard (DSS)
- 5 Certificates and Public-Key Infrastructures

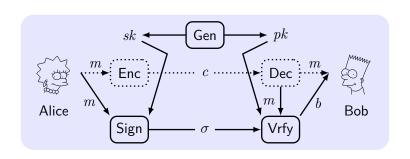
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## Digital Signatures – An Overview

- Digital signature scheme is a mathematical scheme for demonstrating the authenticity/integrity of a digital message
- allow a **signer** S to "**sign**" a message with its own sk, anyone who knows S's pk can **verify** the authenticity/integrity
- (Comparing to MAC) digital signature is:
  - publicly verifiable
  - transferable
  - non-repudiation
  - but slow
- Q: What are the differences between digital signatures and handwritten signatures?
- Digital signature is NOT the "inverse" of public-key encryption

# The Syntax of Digital Signature Scheme



- $\blacksquare$  signature  $\sigma$ , a bit b means valid if b=1; invalid if b=0.
- Key-generation algorithm  $(pk, sk) \leftarrow \text{Gen}(1^n), |pk|, |sk| \ge n.$
- **Signing** algorithm  $\sigma \leftarrow \mathsf{Sign}_{sk}(m)$ .
- **Verification** algorithm  $b := \mathsf{Vrfy}_{pk}(m, \sigma)$ .
- Basic correctness requirement:  $Vrfy_{pk}(m, Sign_{sk}(m)) = 1$ .

# **Defining of Signature Security**

The signature experiment  $\mathsf{Sigforge}_{\mathcal{A},\Pi}(n)$ :

- **2**  $\mathcal{A}$  is given input  $1^n$  and oracle access to  $\mathsf{Sign}_{sk}(\cdot)$ , and outputs  $(m,\sigma)$ .  $\mathcal{Q}$  is the set of queries to its oracle.
- $\textbf{3} \ \mathsf{Sigforge}_{\mathcal{A},\Pi}(n) = 1 \iff \mathsf{Vrfy}_{pk}(m,\sigma) = 1 \, \wedge \, m \notin \mathcal{Q}.$

#### **Definition 1**

A signature scheme  $\Pi$  is existentially unforgeable under an adaptive CMA if  $\forall$  PPT  $\mathcal{A}$ ,  $\exists$  negl such that:

$$\Pr[\mathsf{Sigforge}_{\mathcal{A},\Pi}(n) = 1] \leq \mathsf{negl}(n).$$

Q: What's the difference on the ability of adversary between MAC and digital signature? What if an adversary is not limited to PPT?

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# Insecurity of "Textbook RSA"

#### **Construction 2**

- Gen: on input  $1^n$  run GenRSA $(1^n)$  to obtain N, e, d.  $pk = \langle N, e \rangle$  and  $sk = \langle N, d \rangle$ .
- Sign: on input sk and  $m \in \mathbb{Z}_N^*$ ,  $\sigma := [m^d \mod N]$ .
- Vrfy: on input pk and  $m \in \mathbb{Z}_N^*$ ,  $m \stackrel{?}{=} [\sigma^e \mod N]$ .
- A no-message attack: choose an arbitrary  $\sigma \in \mathbb{Z}_N^*$  and compute  $m := [\sigma^e \mod N]$ . Output the forgery  $(m, \sigma)$ .

$$pk = \langle 15, 3 \rangle, \ \sigma = 2, \ m = ? \ m^d = ?$$

■ Forging a signature on an arbitrary message:

To forge a signature on m, choose a random  $m_1$ , set  $m_2 := [m/m_1 \bmod N]$ , obtain signatures  $\sigma_1, \sigma_2$  on  $m_1, m_2$ . Q:  $\sigma := [\mod N]$  is a valid signature on m.

### Hashed RSA

- Gen: a hash function  $H: \{0,1\}^* \to \mathbb{Z}_N^*$  is part of public key.
- Sign:  $\sigma := [H(m)^d \mod N]$ .
- Vrfy:  $\sigma^e \stackrel{?}{=} H(m) \mod N$ .

If H is not efficiently invertible, then the no-message attack and forging a signature on an arbitrary message is difficult.

#### **Insecurity**

There is NO known function  ${\cal H}$  for which hashed RSA signatures are secure.

**RSA-FDH Signature Scheme**: Random Oracle as a **Full Domain Hash (FDH)** whose image size = the RSA modulus N-1.

# The "Hash-and-Sign" Paradigm

#### **Construction 3**

 $\Pi = (\mathsf{Gen}_S, \mathsf{Sign}, \mathsf{Vrfy}), \ \Pi_H = (\mathsf{Gen}_H, H). \ \textit{A signature scheme } \Pi'$ :

- Gen': on input  $1^n$  run  $\operatorname{Gen}_S(1^n)$  to obtain (pk, sk), and run  $\operatorname{Gen}_H(1^n)$  to obtain s. The public key is  $pk' = \langle pk, s \rangle$  and the private key is  $sk' = \langle sk, s \rangle$ .
- Sign': on input sk' and  $m \in \{0,1\}^*$ ,  $\sigma \leftarrow \mathsf{Sign}_{sk}(H^s(m))$ .
- Vrfy': on input pk',  $m \in \{0,1\}^*$  and  $\sigma$ , output  $1 \iff$  Vrfy $_{pk}(H^s(m),\sigma)=1$ .

#### Theorem 4

If  $\Pi$  is existentially unforgeable under an adaptive CMA and  $\Pi_H$  is collision resistant, then Construction is existentially unforgeable under an adaptive CMA.

## Proof of Security of "Hash-and-Sign" Paradigm

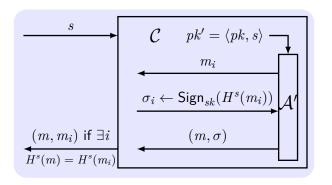
**Idea**: a forgery must involve either finding a collision in H or forging a signature with respect to  $\Pi$ .

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Proof.
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 \begin{split} &\mathcal{A}' \text{ attacks } \Pi' \text{ and output } (m,\sigma), \ m \notin \mathcal{Q}. \\ &\mathsf{SF: Sigforge}_{\mathcal{A}',\Pi'}(n) = 1. \\ &\mathsf{coll: } \exists m' \in \mathcal{Q}, \ H^s(m') = H^s(m). \\ &\mathsf{Pr}[\mathsf{SF}] = \Pr[\mathsf{SF} \land \mathsf{coll}] + \Pr[\mathsf{SF} \land \overline{\mathsf{coll}}] \leq \Pr[\mathsf{coll}] + \Pr[\mathsf{SF} \land \overline{\mathsf{coll}}]. \\ &\mathsf{Reduce} \ \mathcal{C} \text{ for } \Pi_H \text{ to } \mathcal{A}'. \ \Pr[\mathsf{coll}] = \Pr[\mathsf{Hashcoll}_{\mathcal{C},\Pi_H}(n) = 1]. \\ &\mathsf{Reduce} \ \mathcal{A} \text{ for } \Pi \text{ to } \mathcal{A}'. \ \Pr[\mathsf{SF} \land \overline{\mathsf{coll}}] = \Pr[\mathsf{Sigforge}_{\mathcal{A},\Pi}(n) = 1]. \\ &\mathsf{So both } \Pr[\mathsf{coll}] \text{ and } \Pr[\mathsf{SF} \land \overline{\mathsf{coll}}] \text{ are negligible.} \end{split}
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# **Proof (Cont.)**

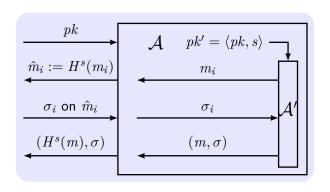
Reduce C for  $\Pi_H$  to A'. A' queries the signature  $\sigma_i$  of i-th message  $m_i$ ,  $i=1,\ldots,|\mathcal{Q}|$ .



$$\Pr[\mathsf{coll}] = \Pr[\mathsf{Hashcoll}_{\mathcal{C},\Pi_H}(n) = 1].$$

# **Proof** (Cont.)

Reduce  $\mathcal{A}$  for  $\Pi$  to  $\mathcal{A}'$ .



$$\Pr[\mathsf{SF} \wedge \overline{\mathsf{coll}}] = \Pr[\mathsf{Sigforge}_{\mathcal{A},\Pi}(n) = 1].$$

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# **One-Time Signature (OTS)**

**One-Time Signature (OTS)**: Under a weaker attack scenario, sign only one message with one secret.

The OTS experiment Sigforge  $_{\mathcal{A},\Pi}^{1-\text{time}}(n)$ :

- **2**  $\mathcal{A}$  is given input  $1^n$  and a single query m' to  $\mathsf{Sign}_{sk}(\cdot)$ , and outputs  $(m,\sigma)$ ,  $m \neq m'$ .
- $\textbf{3} \ \mathsf{Sigforge}_{\mathcal{A},\Pi}^{1\text{-time}}(n) = 1 \iff \mathsf{Vrfy}_{pk}(m,\sigma) = 1.$

#### **Definition 5**

A signature scheme  $\Pi$  is **existentially unforgeable under a** single-message attack if  $\forall$  PPT  $\mathcal{A}$ ,  $\exists$  negl such that:

$$\Pr[\mathsf{Sigforge}_{\mathcal{A},\Pi}^{1-\mathsf{time}}(n) = 1] \le \mathsf{negl}(n).$$

## Lamport's OTS

Idea: OTS from OWF; one mapping per bit.

#### **Construction 6**

f is a one-way function.

- Gen: on input  $1^n$ , for  $i \in \{1, ..., \ell\}$ :
  - **1** choose random  $x_{i,0}, x_{i,1} \leftarrow \{0,1\}^n$ .
  - 2 compute  $y_{i,0} := f(x_{i,0})$  and  $y_{i,1} := f(x_{i,1})$ .

$$pk = \begin{pmatrix} y_{1,0} & y_{2,0} & \cdots & y_{\ell,0} \\ y_{1,1} & y_{2,1} & \cdots & y_{\ell,1} \end{pmatrix} \quad sk = \begin{pmatrix} x_{1,0} & x_{2,0} & \cdots & x_{\ell,0} \\ x_{1,1} & x_{2,1} & \cdots & x_{\ell,1} \end{pmatrix}.$$

- Sign:  $m = m_1 \cdots m_\ell$ , output  $\sigma = (x_{1,m_1}, \dots, x_{\ell,m_\ell})$ .
- Vrfy:  $\sigma = (x_1, ..., x_\ell)$ , output  $1 \iff f(x_i) = y_{i,m_i}$ , for all i.

#### Theorem 7

If f is OWF,  $\Pi$  is OTS for messages of length polynomial  $\ell$ .

# **Example of Lamport's OTS**

#### Signing m = 011

$$sk = \begin{pmatrix} x_{1,0} & x_{2,0} & x_{3,0} \\ x_{1,1} & x_{2,1} & x_{3,1} \end{pmatrix} \implies \sigma = \underline{\qquad}$$

$$\sigma=(x_1,x_2,x_3)$$
:

$$pk = \begin{pmatrix} y_{1,0} & y_{2,0} & y_{3,0} \\ y_{1,1} & y_{2,1} & y_{3,1} \end{pmatrix} \implies \begin{cases} f(x_1) \stackrel{?}{=} \\ f(x_2) \stackrel{?}{=} \\ f(x_3) \stackrel{?}{=} \end{cases}$$

## **Proof of Lamport's OTS Security**

**Idea**: If  $m \neq m'$ , then  $\exists i^*, m_{i*} = b^* \neq m'_{i*}$ . So to forge a signature on m can invert a single  $y_{i^*,b^*}$  at least.

#### Proof.

Reduce  $\mathcal{I}$  inverting y to  $\mathcal{A}$  attacking  $\Pi$ :

- I Construct pk: Choose  $i^* \leftarrow \{1,\ldots,\ell\}$  and  $b^* \leftarrow \{0,1\}$ , set  $y_{i^*,b^*}:=y$ . For  $i\neq i^*$ ,  $y_{i,b}:=f(x_{i,b})$ .
- 2  $\mathcal A$  queries m': If  $m'_{i_*}=b^*$ , stop. Otherwise, return  $\sigma=(x_{1,m'_1},\ldots,x_{\ell,m'_\ell}).$
- 3 When  $\mathcal A$  outputs  $(m,\sigma)$ ,  $\sigma=(x_1,\ldots,x_\ell)$ , if  $\mathcal A$  output a forgery at  $(i^*,b^*)$ :  $\operatorname{Vrfy}_{pk}(m,\sigma)=1$  and  $m_{i^*}=b^*\neq m'_{i^*}$ , then output  $x_{i^*,b^*}$ .

$$\Pr[\mathcal{I} \text{ succeeds}] \geq \frac{1}{2\ell} \Pr[\mathcal{A} \text{ succeeds}]$$



## **Stateful Signature Scheme**

**Idea**: OTS by signing with "new" key derived from "old" state.

### **Definition 8 (Stateful signature scheme)**

- Key-generation algorithm  $(pk, sk, s_0) \leftarrow \text{Gen}(1^n)$ .  $s_0$  is initial state.
- **Signing** algorithm  $(\sigma, s_i) \leftarrow \mathsf{Sign}_{sk, s_{i-1}}(m)$ .
- **Verification** algorithm  $b := \mathsf{Vrfy}_{pk}(m, \sigma)$ .

### A simple stateful signature scheme for OTS:

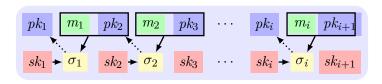
Generate  $(pk_i, sk_i)$  independently, set  $pk := (pk_1, \dots, pk_\ell)$  and  $sk := (sk_1, \dots, sk_\ell)$ .

Start from the state 1, sign the s-th message with  $sk_s$ , verify with  $pk_s$ , and update the state to s+1.

**Weakness**: the upper bound  $\ell$  must be fixed in advance.

## "Chain-Based" Signatures

**Idea**: generate keys "on-the-fly" and sign the key chain.



Use a single public key  $pk_1$ , sign each  $m_i$  and  $pk_{i+1}$  with  $sk_i$ :

$$\sigma_i \leftarrow \mathsf{Sign}_{sk_i}(m_i || pk_{i+1}),$$

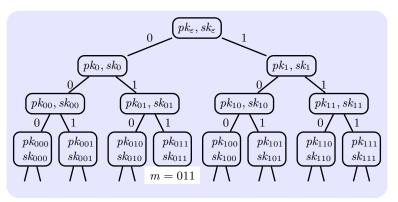
output  $\langle pk_{i+1}, \sigma_i \rangle$ , and verify  $\sigma_i$  with  $pk_i$ .

The signature is  $(pk_{i+1}, \sigma_i, \{m_j, pk_{j+1}, \sigma_j\}_{j=1}^{i-1})$ .

Weakness: stateful, not efficient, revealing all previous messages.

## "Tree-Based" Signatures – Merkle Tree

**Idea**: generate a chain of keys for each message and sign the key chain.



- root is  $\varepsilon$  (empty string), leaf is a message m, and internal nodes  $(pk_w, sk_w)$ , where w is the prefix of m.
- each node  $pk_w$  "certifies" its children  $pk_{w0}||pk_{w1}|$  or w.

### **A Stateless Solution**

Idea: use deterministic randomness to emulate the state of tree.

Use PRF F and two keys k, k' (secrets) to generate  $pk_w, sk_w$ :

- 1 compute  $r_w := F_k(w)$ .
- **2** compute  $(pk_w, sk_w) := \text{Gen}(1^n; r_w)$ , using  $r_w$  as random coins.

k' is used to generate  $r_w'$  that is used to compute  $\sigma_w$ .

#### Lemma 9

If OWF exist, then  $\exists$  OTS (for messages of arbitrary length).

#### Theorem 10

If OWF exists, then  $\exists$  (stateless) secure signature scheme.

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## Construction of DSS/DSA

DSS uses Digital Signature Algorithm (DSA, a variant of ElGamal signature scheme). [FIPS 186]

#### **Construction 11**

- $\mathcal{G}$  outputs (p, q, g): (1) p and q are primes with ||q|| = n; (2) q|(p-1) but  $q^2 \nmid (p-1)$ :
- (3) g is a generator of the subgroup of  $\mathbb{Z}_p^*$  of order q.
  - Gen:  $(p, q, g) \leftarrow \mathcal{G}$ . hash function  $H : \{0, 1\}^* \rightarrow \mathbb{Z}_q$ .  $x \leftarrow \mathbb{Z}_q$  and  $y := [g^x \bmod p]$ .  $pk = \langle H, p, q, g, y \rangle$ .  $sk = \langle H, p, q, g, x \rangle$ .
  - Sign:  $k \leftarrow \mathbb{Z}_q^*$  and  $r := [[g^k \mod p] \mod q]$ ,  $s := [(H(m) + xr) \cdot k^{-1} \mod q]$ . Output (r, s).
  - Vrfy:  $u_1 := [H(m) \cdot s^{-1} \mod q], u_2 := [r \cdot s^{-1} \mod q].$ Output  $1 \iff r \stackrel{?}{=} [[g^{u_1}y^{u_2} \mod p] \mod q].$

## Correctness and Security of DSS/DSA

$$r = [[g^k \bmod p] \bmod q] \bmod s = [(\hat{m} + xr) \cdot k^{-1} \bmod q], \ \hat{m} = H(m).$$

$$g^{\hat{m}s^{-1}}y^{rs^{-1}} = g^{\hat{m}\cdot(\hat{m}+xr)^{-1}k}g^{xr\cdot(\hat{m}+xr)^{-1}k} \pmod{p}$$

$$= g^{(\hat{m}+xr)\cdot(\hat{m}+xr)^{-1}k} \pmod{p}$$

$$= g^k \pmod{p}.$$

$$[[g^k \bmod p] \bmod q] = r.$$

Security of DSS relies on the hardness of discrete log problem. The entropy, secrecy and uniqueness of k is critical.

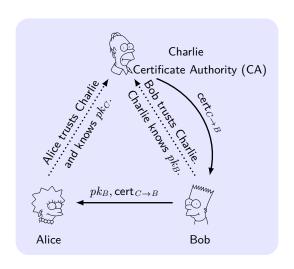
#### **Insecurity**

No proof of security for DSS based on discrete log assumption.

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### **Certificates**



 $\textbf{Certificates} \ \operatorname{cert}_{C \to B} \stackrel{\operatorname{def}}{=} \operatorname{Sign}_{sk_C}(\text{`Bob's key is } pk_B\text{'}).$ 

# Public-Key Infrastructure (PKI)

- A single CA: is trusted by everybody.
  - Strength: simple
  - Weakness: single-point-of-failure
- Multiple CAs: are trusted by everybody.
  - Strength: robust
  - Weakness: cannikin law
- **Delegation and certificate chains**: The trust is transitive.
  - Strength: ease the burden on the root CA.
  - Weakness: difficult for management, cannikin law.
- "Web of trust": No central points of trust, e.g., PGP.
  - Strength: robust, work at "grass-roots" level.
  - Weakness: difficult to manage/give a guarantee on trust.

## **Invalidating Certificates**

**Expiration**: include an *expiry date* in the certificate.

$$\operatorname{cert}_{C o B} \stackrel{\operatorname{def}}{=} \operatorname{Sign}_{sk_C}$$
 ('bob's key is  $pk_B$ ', date).

**Revocation**: explicitly revoke the certificate.

$$\operatorname{cert}_{C \to B} \stackrel{\text{def}}{=} \operatorname{Sign}_{sk_C}$$
 ('bob's key is  $pk_B$ ', ###).

"###" represents the serial number of this certificate. **Cumulated Revocation**: CA generates *certificate revocation list* (CRL) containing the serial numbers of all revoked certificates, signs CRL with the current date.

# **Summary**

- Textbook RSA, Hashed RSA, Hash-and-Sign, Lamport's OTS, DSS.
- Stateful/Chain-based/Tree-based/Stateless Signature Scheme.
- Certificates, PKI, CA, Web-of-trust, Invalidation.