# **Perfectly Secret Encryption**

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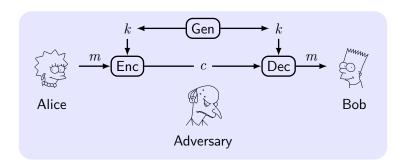
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## **Outline**

- 1 Definitions and Basic Properties
- 2 The One-Time Pad (Vernam's Cipher)
- 3 Limitations of Perfect Secrecy
- 4 Shannon's Theorem
- 5 Eavesdropping Indistinguishability

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## Recall The Syntax of Encryption



- $k \in \mathcal{K}, m \in \mathcal{M}, c \in \mathcal{C}.$
- $\mathbf{k} \leftarrow \mathsf{Gen}, c := \mathsf{Enc}_k(m), m := \mathsf{Dec}_k(c).$
- **Encryption scheme**:  $\Pi = (Gen, Enc, Dec)$ .
- **Random Variable**: K, M, C for key, plaintext, ciphertext.
- Probability: Pr[K = k], Pr[M = m], Pr[C = c].
- What's the basic correctness requirement?

## **Definition of 'Perfect Secrecy'**

**Intuition**: An adversary knows the probability distribution over  $\mathcal{M}$ . c should have no effect on the knowledge of the adversary; the a posteriori likelihood that some m was sent should be no different from the a priori probability that m would be sent.

#### **Definition 1**

 $\Pi$  over  $\mathcal{M}$  is **perfectly secret** if for every probability distribution over  $\mathcal{M}$ ,  $\forall m \in \mathcal{M}$  and  $\forall c \in \mathcal{C}$  for which  $\Pr[C = c] > 0$ :

$$\Pr[M = m | C = c] = \Pr[M = m].$$

**Simplify**: non-zero probabilities for  $\forall m \in \mathcal{M}$  and  $\forall c \in \mathcal{C}$ .

### Is the below scheme perfectly secret?

For 
$$\mathcal{M} = \mathcal{K} = \{0, 1\}, \operatorname{Enc}_k(m) = m \oplus k$$
.

## Perfect Secrecy On One Bit

### XORing one bit is perfectly secret.

Let  $\Pr[M=1]=p$  and  $\Pr[M=0]=1-p$ . Let us consider a case that M=1 and C=1.

$$\Pr[M = 1 | C = 1] = \Pr[C = 1 | M = 1] \cdot \Pr[M = 1] / \Pr[C = 1]$$

$$= \frac{\Pr[K = 1 \oplus 1] \cdot p}{\Pr[C = 1 | M = 1] \cdot \Pr[M = 1] + \Pr[C = 1 | M = 0] \cdot \Pr[M = 0]}$$

$$= \frac{1/2 \cdot p}{1/2 \cdot p + 1/2 \cdot (1-p)} = p = \Pr[M=1]$$

We can do the same for other cases.

Note that 
$$\Pr[M=1|C=1] \neq \Pr[M=1,C=1] = \Pr[C=1|M=1] \cdot \Pr[M=1] = 1/2 \cdot p$$
.

# **An Equivalent Formulation**

### Lemma 2

 $\Pi$  over  $\mathcal{M}$  is perfectly secret  $\iff$  for every probability distribution over  $\mathcal{M}$ ,  $\forall m \in \mathcal{M}$  and  $\forall c \in \mathcal{C}$ :

$$\Pr[C=c|M=m]=\Pr[C=c].$$

### Proof.

 $\Leftarrow:$  Multiplying both sides by  $\Pr[M=m]/\Pr[C=c]$  , then use Bayes' Theorem.  $^1$ 

 $\Rightarrow$  : Multiplying both sides by  $\Pr[{\it C}=c]/\Pr[{\it M}=m]$  , then use Bayes' Theorem.

<sup>&</sup>lt;sup>1</sup>If  $Pr[B] \neq 0$  then  $Pr[A|B] = (Pr[A] \cdot Pr[B|A]) / Pr[B]$ 

# Perfect Indistinguishability

#### Lemma 3

 $\Pi$  over  $\mathcal{M}$  is perfectly secret  $\iff$  for every probability distribution over  $\mathcal{M}$ ,  $\forall m_0, m_1 \in \mathcal{M}$  and  $\forall c \in \mathcal{C}$ :

$$\Pr[C = c | M = m_0] = \Pr[C = c | M = m_1].$$

### Proof.

$$\Rightarrow$$
: By Lemma 2:  $\Pr[C = c | M = m] = \Pr[C = c]$ .

$$\Leftarrow$$
:  $p \stackrel{\mathsf{def}}{=} \Pr[C = c | M = m_0].$ 

$$\Pr[C = c] = \sum_{m \in \mathcal{M}} \Pr[C = c | M = m] \cdot \Pr[M = m]$$
$$= \sum_{m \in \mathcal{M}} p \cdot \Pr[M = m] = p = \Pr[C = c | M = m_0].$$



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# **One-Time Pad (Vernam's Cipher)**

- $\mathcal{M} = \mathcal{K} = \mathcal{C} = \{0, 1\}^{\ell}$ .
- Gen chooses a k randomly with probability exactly  $2^{-\ell}$ .
- $c := \operatorname{Enc}_k(m) = k \oplus m.$
- $m := \mathsf{Dec}_k(c) = k \oplus c.$

#### Theorem 4

The one-time pad encryption scheme is perfectly-secret.

### Proof.

$$\begin{split} \Pr[C = c | M = m] &= \Pr[M \oplus K = c | M = m] \\ &= \Pr[m \oplus K = c] = \Pr[K = m \oplus c] = 2^{-\ell}. \end{split}$$

Then Lemma 3:  $\Pr[C = c | M = m_0] = \Pr[C = c | M = m_1].$ 

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## **Limitations of OTP and Perfect Secrecy**

Key k is as long as m, difficult to store and share k.

#### Theorem 5

Let  $\Pi$  be perfectly-secret over  $\mathcal{M}$ , and let  $\mathcal{K}$  be determined by Gen. Then  $|\mathcal{K}| \geq |\mathcal{M}|$ .

### Proof.

Assume  $|\mathcal{K}| < |\mathcal{M}|$ .  $\mathcal{M}(c) \stackrel{\text{def}}{=} \{\hat{m} | \hat{m} = \mathsf{Dec}_k(c) \text{ for some } \hat{k} \in \mathcal{K} \}$ . Since for one k, there is at most one m such that  $m = \mathsf{Dec}_k(c)$ ,  $|\mathcal{M}(c)| \le |\mathcal{K}| < |\mathcal{M}|$ . So  $\exists m' \notin \mathcal{M}(c)$ . Then

$$\Pr[M = m' | C = c] = 0 \neq \Pr[M = m']$$

and so not perfectly secret.



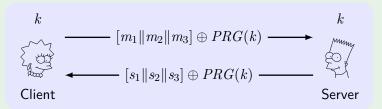
### Two Time Pad: Real World Cases

Only used once for the same key, otherwise

$$c \oplus c' = (m \oplus k) \oplus (m' \oplus k) = m \oplus m'.$$

Learn m from  $m \oplus m'$  due to the redundancy of language.

## MS-PPTP (Win NT)



Improvement: use two keys for C-to-S and S-to-C separately.

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## Shannon's Theorem

#### Theorem 6

For 
$$|\mathcal{M}| = |\mathcal{K}| = |\mathcal{C}|$$
,  $\Pi$  is perfectly secret  $\iff$ 

- **1** Every  $k \in \mathcal{K}$  is chosen with probability  $1/|\mathcal{K}|$  by Gen.
- 2  $\forall m \in \mathcal{M}$  and  $\forall c \in \mathcal{C}$ ,  $\exists$  unique  $k \in \mathcal{K}$ :  $c := \mathsf{Enc}_k(m)$ .

### Proof.

$$\Leftarrow$$
:  $\Pr[C = c | M = m] = 1/|\mathcal{K}|$ , use Lemma 3.

$$\Rightarrow$$
 (2): At least one  $k$ , otherwise  $\Pr[C = c | M = m] = 0$ ;

at most one 
$$k$$
, because  $\{\operatorname{Enc}_k(m)\}_{k\in\mathcal{K}}=\mathcal{C}$  and  $|\mathcal{K}|=|\mathcal{C}|$ .

$$\Rightarrow$$
 (1):  $k_i$  is such that  $\operatorname{Enc}_{k_i}(m_i) = c$ .

$$Pr[M = m_i] = Pr[M = m_i | C = c]$$

$$= (Pr[C = c | M = m_i] \cdot Pr[M = m_i]) / Pr[C = c]$$

$$= (Pr[K = k_i] \cdot Pr[M = m_i]) / Pr[C = c],$$

so 
$$\Pr[K = k_i] = \Pr[C = c] = 1/|\mathcal{K}|$$
.

## **Application of Shannon's Theorem**

### Is the below scheme perfectly secret?

Let 
$$\mathcal{M} = \mathcal{C} = \mathcal{K} = \{0, 1, 2, \dots, 255\}$$

$$\mathsf{Enc}_k(m) = m + k \mod 256$$

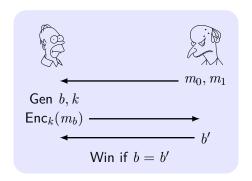
$$\operatorname{Dec}_k(c) = c - k \mod 256$$

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## **Eavesdropping Indistinguishability Experiment**

 $\begin{array}{l} \mathsf{PrivK}^{\mathsf{eav}}_{\mathcal{A},\Pi} \text{ denote a } \mathbf{priv} \text{ate-} \mathbf{k} \text{ey encryption experiment for a given} \\ \Pi \text{ over } \mathcal{M} \text{ and an } \mathbf{eav} \text{esdropping adversary } \mathcal{A}. \end{array}$ 

- **1**  $\mathcal{A}$  outputs a pair of messages  $m_0, m_1 \in \mathcal{M}$ .
- 2  $k \leftarrow \text{Gen}$ , a random bit  $b \leftarrow \{0,1\}$  is chosen. Then  $c \leftarrow \text{Enc}_k(m_b)$  is given to  $\mathcal{A}$ .
- $oldsymbol{3}$   $\mathcal{A}$  outputs a bit b'
- 4 If b' = b,  $\mathcal{A}$  succeeded  $PrivK_{\mathcal{A},\Pi}^{eav} = 1$ , otherwise 0.



# **Adversarial Indistinguishability**

#### **Definition 7**

 $\Pi$  over  ${\mathcal M}$  is perfectly secret if for every  ${\mathcal A}$  it holds that

$$\Pr[\mathsf{PrivK}^{\mathsf{eav}}_{\mathcal{A},\Pi} = 1] = \frac{1}{2}.$$

### Which in the below schemes are perfectly secret?

- $\blacksquare$   $\operatorname{Enc}_{k,k'}(m) = \operatorname{OTP}_k(m) \| \operatorname{OTP}_{k'}(m) \|$
- $\blacksquare \ \mathsf{Enc}_k(m) = \mathit{reverse}(\mathsf{OTP}_k(m))$
- $\blacksquare$   $\operatorname{Enc}_k(m) = \operatorname{OTP}_k(m) \| k$
- $\blacksquare \ \mathsf{Enc}_k(m) = \mathsf{OTP}_k(m) \| \mathsf{OTP}_k(m)$
- $\blacksquare \ \mathsf{Enc}_k(m) = \mathsf{OTP}_{0^n}(m)$
- $\blacksquare$   $\operatorname{Enc}_k(m) = \operatorname{OTP}_k(m) \| LSB(m)$

# **Summary**

- Perfect secrecy = Perfect indistinguishability = Adversarial indistinguishability
- Perfect secrecy is attainable. The One-Time Pad (Vernam's cipher)
- Shannon's theorem