# **CCA-Secure and Authentication Encryption**

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#### **Outline**

- **1** Constructing CCA-Secure Encryption Schemes
- 2 Obtaining Privacy and Message Authentication
- 3 Key Derivation Function (FYI)
- **4** Deterministic Encryption (FYI)

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## **Recall Security Against CCA**

The CCA indistinguishability experiment  $PrivK_{\mathcal{A},\Pi}^{cca}(n)$ :

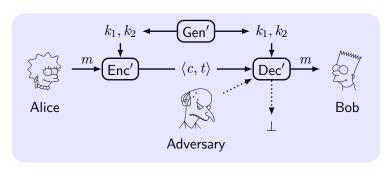
- 2  $\mathcal{A}$  is given input  $1^n$  and oracle access  $\mathcal{A}^{\mathsf{Enc}_k(\cdot)}$  and  $\mathcal{A}^{\mathsf{Dec}_k(\cdot)}$ , outputs  $m_0, m_1$  of the same length.
- **3** a random bit  $b \leftarrow \{0,1\}$  is chosen. Then  $c \leftarrow \operatorname{Enc}_k(m_b)$  is given to  $\mathcal{A}$ .
- **4**  $\mathcal{A}$  continues to have oracle access **except for** c, outputs b'.
- **5** If b'=b,  $\mathcal{A}$  succeeded  $\mathsf{PrivK}^{\mathsf{cca}}_{\mathcal{A},\Pi}=1$ , otherwise 0.

#### **Definition 1**

 $\Pi$  has indistinguishable encryptions under a CCA (CCA-secure) if  $\forall$  PPT  $\mathcal{A}$ ,  $\exists$  negl such that

$$\Pr\left[\mathsf{PrivK}^{\mathsf{cca}}_{\mathcal{A},\Pi}(n) = 1\right] \leq \frac{1}{2} + \mathsf{negl}(n).$$

## **Constructing CCA-Secure Encryption Schemes**



#### **Construction 2**

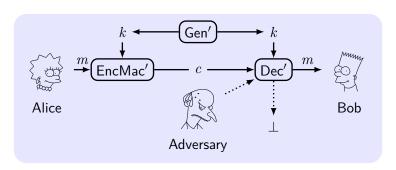
 $\Pi_E = (\mathsf{Gen}_E, \mathsf{Enc}, \mathsf{Dec})$ ,  $\Pi_M = (\mathsf{Gen}_M, \mathsf{Mac}, \mathsf{Vrfy})$ .  $\Pi'$ :

- $\operatorname{\mathsf{Gen}}'(1^n)$ :  $k_1 \leftarrow \operatorname{\mathsf{Gen}}_E(1^n)$  and  $k_2 \leftarrow \operatorname{\mathsf{Gen}}_M(1^n)$ .
- $\operatorname{Enc}'_{k_1,k_2}(m)$ :  $c \leftarrow \operatorname{Enc}_{k_1}(m)$ ,  $t \leftarrow \operatorname{Mac}_{k_2}(c)$  and output  $\langle c, t \rangle$ .
- $\operatorname{Dec}'_{k_1,k_2}(\langle c,t\rangle)$ : If  $\operatorname{Vrfy}_{k_2}(c,t)\stackrel{?}{=}1$ , output  $\operatorname{Dec}_{k_1}(c)$ ; otherwise output "failure"  $\bot$ .

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## **Message Transmission Scheme**



- **Key-generation** algorithm outputs  $k \leftarrow \text{Gen}'(1^n)$ .  $k = (k_1, k_2)$ .  $k_1 \leftarrow \text{Gen}_E(1^n)$ ,  $k_2 \leftarrow \text{Gen}_M(1^n)$ .
- Message transmission algorithm is derived from  $\operatorname{Enc}_{k_1}(\cdot)$  and  $\operatorname{Mac}_{k_2}(\cdot)$ , outputs  $c \leftarrow \operatorname{EncMac'}_{k_1,k_2}(m)$ .
- **Decryption** algorithm is derived from  $\mathsf{Dec}_{k_1}(\cdot)$  and  $\mathsf{Vrfy}_{k_2}(\cdot)$ , outputs  $m \leftarrow \mathsf{Dec}'_{k_1,k_2}(c)$  or  $\bot$ .
- **Correctness requirement**:  $\operatorname{Dec}'_{k_1,k_2}(\operatorname{EncMac}'_{k_1,k_2}(m)) = m$ .

# **Defining Secure Message Transmission**

The secure message transmission experiment  $Auth_{\mathcal{A},\Pi'}(n)$ :

- 1  $k = (k_1, k_2) \leftarrow \mathsf{Gen}'(1^n).$
- 2  $\mathcal{A}$  is given input  $1^n$  and oracle access to  $\operatorname{EncMac'}_k$ , and outputs  $c \leftarrow \operatorname{EncMac'}_k(m)$ .

#### **Definition 3**

 $\Pi'$  achieves authenticated communication if  $\forall$  PPT  $\mathcal{A}$ ,  $\exists$  negl such that

$$\Pr[\mathsf{Auth}_{\mathcal{A},\Pi'}(n)=1] \leq \mathsf{negl}(n).$$

#### **Definition 4**

 $\Pi'$  is **secure (authenticated encryption)** if it is both CCA-secure and also achieves authenticated communication. <sup>1</sup>

<sup>&</sup>lt;sup>1</sup>CPA security and integrity imply CCA security.

# **Combining Encryption and Authentication**



■ Encrypt-and-authenticate (e.g., SSH):

$$c \leftarrow \mathsf{Enc}_{k_1}(m), \ t \leftarrow \mathsf{Mac}_{k_2}(m).$$

■ Authenticate-then-encrypt (e.g, SSL):

$$t \leftarrow \mathsf{Mac}_{k_2}(m), \ c \leftarrow \mathsf{Enc}_{k_1}(m||t).$$

■ Encrypt-then-authenticate (e.g, IPsec):

$$c \leftarrow \mathsf{Enc}_{k_1}(m), \ t \leftarrow \mathsf{Mac}_{k_2}(c).$$

## **Analyzing Security of Combinations**

**All-or-nothing**: Reject any combination for which there exists even a single counterexample is insecure.

- **Encrypt-and-authenticate**:  $Mac'_k(m) = (m, Mac_k(m))$ .
- Authenticate-then-encrypt:
  - Trans :  $0 \rightarrow 00$ ;  $1 \rightarrow 10/01$ ; Enc' uses CTR mode; c = Enc'(Trans(m||Mac(m))).
  - Flip the first two bits of c and verify whether the ciphertext is valid.  $10/01 \rightarrow 01/10 \rightarrow 1$ ,  $00 \rightarrow 11 \rightarrow \bot$ .
  - If valid, the first bit of message is 1; otherwise 0.
  - For any MAC, this is not CCA-secure.
- Encrypt-then-authenticate:

Decryption: If  $Vrfy(\cdot) = 1$ , then  $Dec(\cdot)$ ; otherwise output  $\perp$ .

## Remarks on Secure Message Transmission

- Authentication may leak the message.
- Secure message transmission implies CCA-security. The opposite direction is not necessarily true.
- Different security goals should always use different keys.
  - otherwise, the message may be leaked if  $Mac_k(c) = Dec_k(c)$ .
- Implementation may destroy the security proved by theory.
  - Attack with padding oracle (in TLS 1.0):
    Dec return two types of error: padding error, MAC error.
    Adv. learns last bytes if no padding error with guessed bytes.
  - Attack non-atomic dec. (in SSH Binary Packet Protocol): Dec (1)decrypt length field; (2)read packets as specified by the length; (3)check MAC.
    - **Adv.** (1)send c; (2)send l packets until "MAC error" occurs; (3)learn l = Dec(c).

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## **Key Derivation Function (KDF)**

**Key Derivation Function (KDF)** generates many keys from a secret source key sk.

For uniformly random sk: F is PRF, ctx is a unique string identifying application,

$$\mathsf{KDF}(sk, ctx, l) = \langle F_{sk}(ctx||0), F_{sk}(ctx||1) \cdots, F_{sk}(ctx||l) \rangle.$$

For not-uniform sk: extract-then-expand paradigm.

**extract:** HKDF  $k \leftarrow \mathsf{HMAC}(salt, sk)$ . salt is a random number.

expand: as the above.

## Password-Based KDF (PBKDF)

**Key stretching** increases the time of testing key (with slow hash function).

**Key strengthening** increases the length/randomness of key (with salt).

**PKCS#5** (**PBKDF1**):  $H^{(c)}(pwd||salt)$ , iterate hash function c times.

**Attack**: either try the enhanced key (larger key space), or else try the initial key (longer time per key).

#### IV, Nonce, Counter and Salt

IV an input to a cryptographic primitive, providing randomness.nonce a number used only once to sign a communication.counter a sequence number used as nonce.

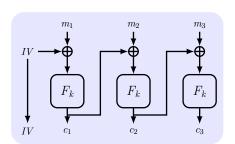
salt consists of random bits, creating the input to a function.

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## **Deterministic CPA Security**

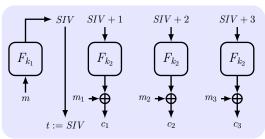
- **Deterministic encryption**: the same message is encrypted to the same ciphertext under the same key.
- **Application**: encrypted database index, disk encryption.
- But no deterministic encryption is CPA-secure.
- **Deterministic CPA Security**: CPA-secure if *never encrypt* same message twice using same key. The pair  $\langle k, m \rangle$  is unique.
- **Common Mistake**: CBC/CTR with **fixed** *IV*.



Adversary can query  $(m_{q1},m_{q2})$  and get  $(c_{q1},c_{q2})$ ; then output PT:  $IV\oplus c_{q1}\oplus m_{q2}$  and expect CT:  $c_{q2}$ .

# Synthetic IV (SIV) for Det. Encryption

- Synthetic IV (SIV): If F is PRF,  $\Pi$ :  $(\operatorname{Enc}_k(r,m),\operatorname{Dec}_k(r,s))$  is CPA-secure, then  $\Pi_{\mathsf{det}}$  is det. CPA-secure scheme:  $(k_1,k_2) \leftarrow \operatorname{Gen}$ ;  $SIV \leftarrow F_{k_1}(m)$ ;  $s \leftarrow \operatorname{Enc}_{k_2}(SIV,m)$ ; output  $c = \langle SIV, s \rangle$ .
- Deterministic Authenticated Encryption (DAE): det. CPA-security and integrity.
- DAE for free with SIV-CTR: Tag  $t := SIV \leftarrow F_{k_1}(m)$  then  $CTR_{k_2}$  encryption.

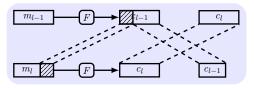


## Wide Block PRP for Det. Encryption

- **Just PRP**: If *F* is PRP, then *F* is also det. CPA-secure.
- PRP-based DAE:  $\operatorname{Enc}_k(m\|0^\ell)$ . In Dec, if  $\neq 0^\ell$ , output  $\perp$ .
- Narrow block may leak info. as some blocks are the same.
- Wide block PRP: PRP with longer block length (e.g. a sector on disk) from PRP with short block length (e.g. AES).
- **Standards**: CBC-mask-CBC (CMC) and ECB-mask-ECB (EME) in IEEE P1619.2.
- **Cost**: 2x slower than SIV due to two-pass encryption.

### Tweakable Encryption

- **Encryption without expansion**:  $\mathcal{M} = \mathcal{C}$  implies det. encryption without integrity (e.g., disk encryption).
- Trivial solution:  $k_t = F_k(t), t = 1, ..., \ell$ .
- Tweakable block ciphers: many PRPs from one key  $\mathcal{K} \times \mathcal{T} \times \mathcal{X} \to \mathcal{X}$ ,  $\mathcal{T}$  is the set of tweaks.
- XTS: XEX(Xor-Encrypt-Xor)-based tweaked-codebook mode with ciphertext stealing. (XTS-AES, NIST SP 800-38E)
- **XEX**: To encrypt block j in sector I,  $c = F_k(m \oplus x) \oplus x$ , where  $x = F_k(I) \otimes 2^j$  in Galois field, (I,j) is tweak.
- Ciphertext stealing (CTS): no padding, no expansion.



# Summary

- CCA-secure, AE, det. enc., det. CPA-secure, DAE.
- Enc-then-auth, KDF, SIV, wide block cipher, tweakable encryption.
- SIV-CTR, PBKDF, salt, enc. w/o expansion, CTS.