

# Message Authentication Codes and Collision-Resistant Hash Functions

Yu Zhang

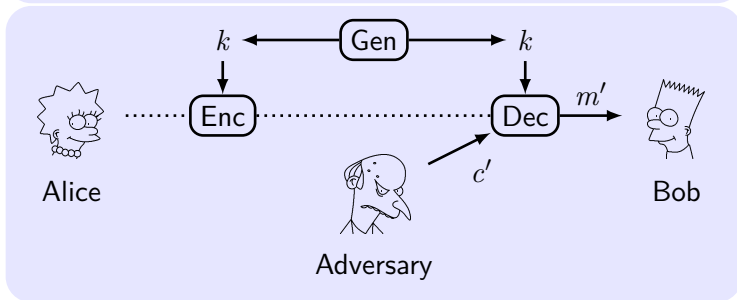
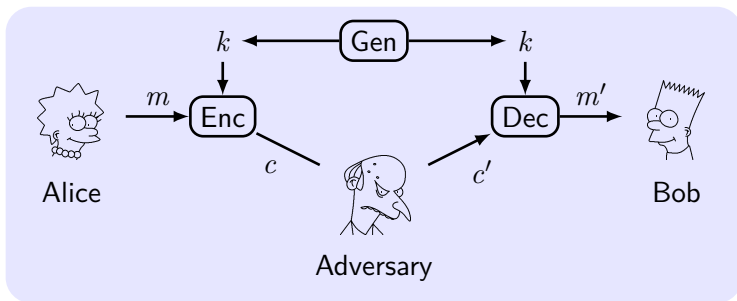
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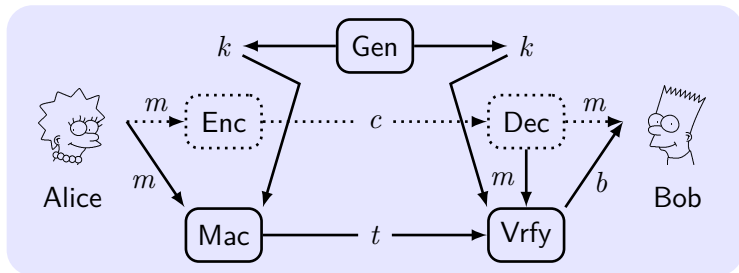
- 1 Message Authentication Codes (MAC) – Definitions**
- 2 Constructing Secure Message Authentication Codes**
- 3 CBC-MAC**
- 4 Collision-Resistant Hash Functions**
- 5 HMAC**

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# Integrity and Authentication



# The Syntax of MAC



- key  $k$ , tag  $t$ , a bit  $b$  means valid if  $b = 1$ ; invalid if  $b = 0$ .
- **Key-generation** algorithm  $k \leftarrow \text{Gen}(1^n), |k| \geq n$ .
- **Tag-generation** algorithm  $t \leftarrow \text{Mac}_k(m)$ .
- **Verification** algorithm  $b := \text{Vrfy}_k(m, t)$ .
- **Message authentication code:**  $\Pi = (\text{Gen}, \text{Mac}, \text{Vrfy})$ .
- **Basic correctness requirement:**  $\text{Vrfy}_k(m, \text{Mac}_k(m)) = 1$ .

- **Intuition:** No adversary should be able to generate a **valid** tag on any “**new**” message<sup>1</sup> that was not previously sent.
- **Replay attack:** Copy a message and tag previously sent. (excluded by only considering “**new**” message)
  - Sequence numbers: receiver must store the previous ones.
  - Time-Stamps: sender/receiver maintain synchronized clocks.
- **Existential unforgeability:** **Not** be able to forge a valid tag on **any** message.
  - **Existential forgery:** *at least one* message.
  - **Selective forgery:** message chosen *prior* to the attack.
  - **Universal forgery:** *any* given message.
- **Adaptive chosen-message attack (CMA):** be able to obtain tags on *any* message chosen adaptively *during* its attack.

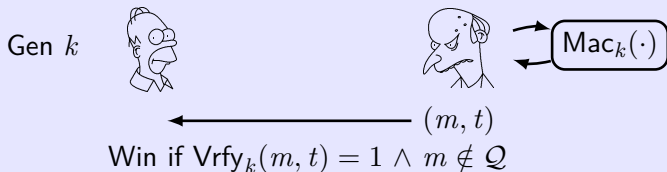
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<sup>1</sup>A stronger requirement is concerning *new message/tag pair*.

# Definition of MAC Security

The message authentication experiment  $\text{Macforge}_{\mathcal{A}, \Pi}(n)$ :

- 1  $k \leftarrow \text{Gen}(1^n)$ .
- 2  $\mathcal{A}$  is given input  $1^n$  and oracle access to  $\text{Mac}_k(\cdot)$ , and outputs  $(m, t)$ .  $\mathcal{Q}$  is the set of queries to its oracle.
- 3  $\text{Macforge}_{\mathcal{A}, \Pi}(n) = 1 \iff \text{Vrfy}_k(m, t) = 1 \wedge m \notin \mathcal{Q}$ .



## Definition 1

A MAC  $\Pi$  is **existentially unforgeable under an adaptive CMA** if  $\forall$  PPT  $\mathcal{A}$ ,  $\exists$   $\text{negl}$  such that:

$$\Pr[\text{Macforge}_{\mathcal{A}, \Pi}(n) = 1] \leq \text{negl}(n).$$

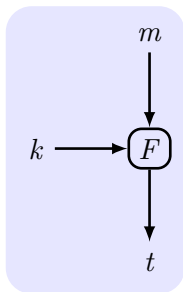
Suppose  $\langle S, V \rangle$  are CMA-secure, are  $\langle S', V' \rangle$  secure?

- $S'_{k_1, k_2}(m) = (S_{k_1}(m), S_{k_2}(m))$   
 $V'_{k_1, k_2}(m, (t_1, t_2)) = V_{k_1}(m, t_1)$  and  $V_{k_2}(m, t_2)$
- $S'_k(m) = (S_k(m), S_k(m))$   
 $V'_k(m, (t_1, t_2)) = \begin{cases} V_k(m, t_1) & \text{if } t_1 = t_2 \\ 0 & \text{otherwise} \end{cases}$
- $S'_k(m) = (S_k(m), S_k(0^n))$   
 $V'_k(m, (t_1, t_2)) = V_k(m, t_1)$  and  $V_k(0^n, t_2)$
- $S'_k(m) = S_k(m)$   
 $V'_k(m, t) = \begin{cases} V_k(m, t) & \text{if } m \neq 0^n \\ 1 & \text{otherwise} \end{cases}$
- $S'_k(m) = S_k(m)[0, \dots, 126]$   
 $V'_k(m, t) = V_k(m, t||0)$  or  $V_k(m, t||1)$



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# Constructing Secure MACs



## Construction 2

- $F$  is PRF.  $|m| = n$ .
- $\text{Gen}(1^n)$ :  $k \leftarrow \{0, 1\}^n$  u.a.r.
- $\text{Mac}_k(m)$ :  $t := F_k(m)$ .
- $\text{Vrfy}_k(m, t)$ :  $1 \iff t \stackrel{?}{=} F_k(m)$ .

## Theorem 3

*If  $F$  is a PRF, Construction is a secure fixed-length MAC.*

## Lemma 4

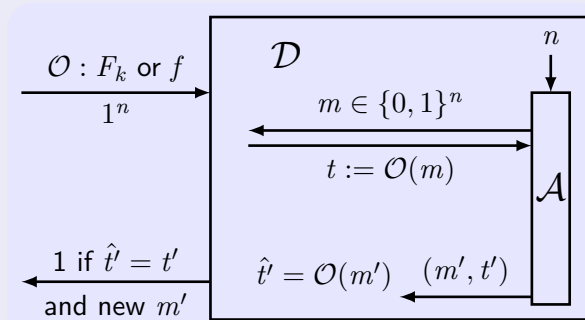
**Truncating MACs based on PRFs:** *If  $F$  is a PRF, so is  $F_k^t(m) = F_k(m)[1, \dots, t]$ .*

# Proof of Secure MAC from PRF

**Idea:** Show  $\Pi$  is secure unless  $F_k$  is not PRF by reduction.

## Proof.

$D$  distinguishes  $F_k$ ;  $\mathcal{A}$  attacks  $\Pi$ .



# Proof of Secure MAC from PRF (Cont.)

## Proof.

(1) If true random  $f$  is used,  $t = f(m)$  is uniformly distributed.

$$\Pr[D^{f(\cdot)}(1^n) = 1] = \Pr[\text{Macforge}_{\mathcal{A}, \tilde{\Pi}}(n) = 1] \leq 2^{-n}.$$

(2) If  $F_k$  is used, conduct the experiment  $\text{Macforge}_{\mathcal{A}, \Pi}(n)$ .

$$\Pr[D^{F_k(\cdot)}(1^n) = 1] = \Pr[\text{Macforge}_{\mathcal{A}, \Pi}(n) = 1] = \varepsilon(n).$$

According to the definition of PGF,

$$\left| \Pr[D^{F_k(\cdot)}(1^n) = 1] - \Pr[D^{f(\cdot)}(1^n) = 1] \right| \geq \varepsilon(n) - 2^{-n}.$$



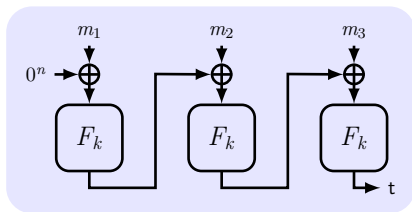
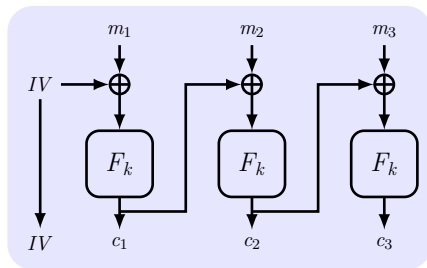
# Extension to Variable-Length Messages

For variable-Length Messages, would the following suggestions be secure?

- **Suggestion 1:** XOR all the blocks together and authenticate the result.  $t := \text{Mac}'_k(\oplus_i m_i)$ .
- **Suggestion 2:** Authenticate each block separately.  $t_i := \text{Mac}'_k(m_i)$ .
- **Suggestion 3:** Authenticate each block along with a sequence number.  $t_i := \text{Mac}'_k(i \| m_i)$ .

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# Constructing Fixed-Length CBC-MAC



Modify CBC encryption into CBC-MAC:

- Change random  $IV$  to encrypted fixed  $0^n$ , otherwise:  
Q: query  $m_1$  and get  $(IV, t_1)$ ; output  $m'_1 = IV' \oplus IV \oplus m_1$  and  $t' = \underline{\hspace{1cm}}$ .
- Tag only includes the output of the final block, otherwise:  
Q: query  $m_i$  and get  $t_i$ ; output  $m'_i = t'_{i-1} \oplus t_{i-1} \oplus m_i$  and  $t'_i = \underline{\hspace{1cm}}$ .

# Constructing Fixed-Length CBC-MAC (Cont.)

## Construction 5

- a PRF  $F$  and a length function  $\ell$ .  $|m| = \ell(n) \cdot n$ .  $\ell = \ell(n)$ .  
 $m = m_1, \dots, m_\ell$ .
- $\text{Gen}(1^n)$ :  $k \leftarrow \{0, 1\}^n$  u.a.r.
- $\text{Mac}_k(m)$ :  $t_i := F_k(t_{i-1} \oplus m_i)$ ,  $t_0 = 0^n$ . Output  $t = t_\ell$ .
- $\text{Vrfy}_k(m, t)$ :  $1 \iff t \stackrel{?}{=} \text{Mac}_k(m)$ .

## Theorem 6

If  $F$  is a PRF, Construction is a secure **fixed-length** MAC.

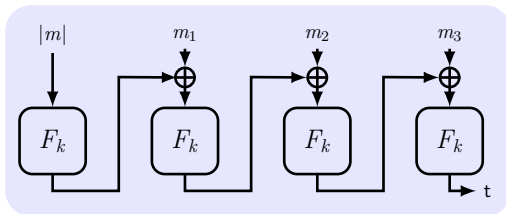
**Not** for **variable-length** message:

Q: For one-block message  $m$  with tag  $t$ , adversary can append a block \_\_\_\_ and output tag  $t$ .



# Secure Variable-Length MAC

- **Input-length key separation:**  $k_\ell := F_k(\ell)$ , use  $k_\ell$  for CBC-MAC.
- **Length-prepend:** Prepend  $m$  with  $|m|$ , then use CBC-MAC.



- **Encrypt last block (ECBC-MAC):** Use two keys  $k_1, k_2$ . Get  $t$  with  $k_1$  by CBC-MAC, then output  $\hat{t} := F_{k_2}(t)$ .

Q: to authenticate a voice stream, which approach do you prefer?

# MAC Padding

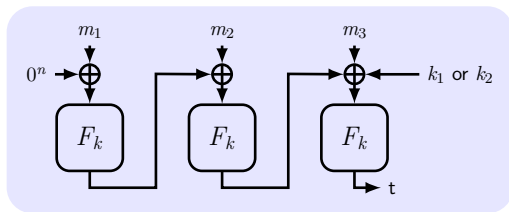
Padding must be invertible!

$$m_0 \neq m_1 \Rightarrow \text{pad}(m_0) \neq \text{pad}(m_1).$$

**ISO:** pad with “100...00”. Add dummy block if needed.

**Q:** what if no dummy block?

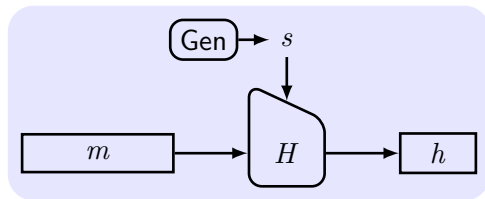
**CMAC (Cipher-based MAC from NIST):** key =  $(k, k_1, k_2)$ .



- No final encryption: extension attack thwarted by keyed XOR.
- No dummy block: ambiguity resolved by use of  $k_1$  or  $k_2$ .

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# Defining Hash Function



## Definition 7

A **hash function (compression function)** is a pair of PPT algorithms  $(\text{Gen}, H)$  satisfying:

- a key  $s \leftarrow \text{Gen}(1^n)$ ,  $s$  is **not kept secret**.
- $H^s(x) \in \{0, 1\}^{\ell(n)}$ , where  $x \in \{0, 1\}^*$  and  $\ell$  is polynomial.

If  $H^s$  is defined only for  $x \in \{0, 1\}^{\ell'(n)}$  and  $\ell'(n) > \ell(n)$ , then  $(\text{Gen}, H)$  is a **fixed-length** hash function.

# Defining Collision Resistance

- **Collision** in  $H$ :  $x \neq x'$  and  $H(x) = H(x')$ .
- **Collision Resistance**: infeasible for any PPT alg. to find.

The collision-finding experiment  $\text{Hashcoll}_{\mathcal{A}, \Pi}(n)$ :

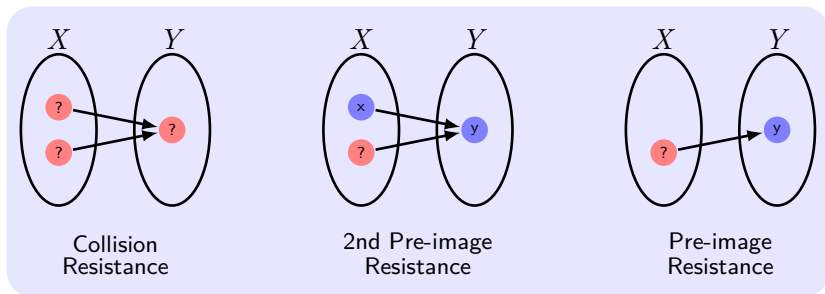
- 1  $s \leftarrow \text{Gen}(1^n)$ .
- 2  $\mathcal{A}$  is given  $s$  and outputs  $x, x'$ .
- 3  $\text{Hashcoll}_{\mathcal{A}, \Pi}(n) = 1 \iff x \neq x' \wedge H^s(x) = H^s(x')$ .

## Definition 8

$\Pi(H, H^s)$  is **collision resistant** if  $\forall$  PPT  $\mathcal{A}$ ,  $\exists \text{negl}$  such that

$$\Pr[\text{Hashcoll}_{\mathcal{A}, \Pi}(n) = 1] \leq \text{negl}(n).$$

# Weaker Notions of Security for Hash Functions



- **Collision resistance:** It is hard to find  $(x, x')$ ,  $x' \neq x$  such that  $H(x) = H(x')$ .
- **Second pre-image resistance:** Given  $s$  and  $x$ , it is hard to find  $x' \neq x$  such that  $H^s(x') = H^s(x)$ .
- **Pre-image resistance:** Given  $s$  and  $y = H^s(x)$ , it is hard to find  $x'$  such that  $H^s(x') = y$ .

*H* is CRHF. Is *H'* CRHF?

- $H'(m) = H(m) \oplus H(m \oplus 1^{|m|})$
- $H'(m) = H(m) \| H(0)$
- $H'(m) = H(m) \| H(m)$
- $H'(m) = H(m) \oplus H(m)$
- $H'(m) = H(m[0, \dots, |m| - 2])$
- $H'(m) = H(m \| 0)$

# The “Birthday” Problem

## The “Birthday” Problem

**Q:** “What size group of people do we need to take such that with probability  $1/2$  some pair of people in the group share a birthday?”

**A:** 23.

## Lemma 9

Choose  $q$  elements  $y_1, \dots, y_q$  u.a.r from a set of size  $N$ , the probability that  $\exists i \neq j$  with  $y_i = y_j$  is  $\text{coll}(q, N)$ , then

$$\text{coll}(q, N) \leq \frac{q^2}{2N}.$$

$$\text{coll}(q, N) \geq \frac{q(q-1)}{4N} \quad \text{if } q \leq \sqrt{2N}.$$

$$\text{coll}(q, N) = \Theta(q^2/N) \quad \text{if } q < \sqrt{N}.$$

The length of hash value should be long enough.

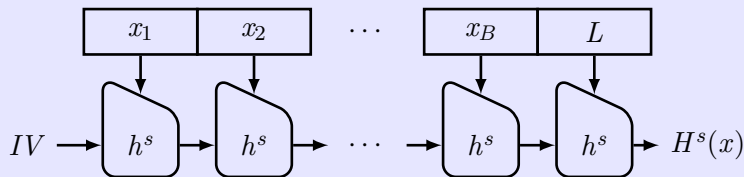


# Constructing “Meaningful” Collisions

How many different meaningful sentences are in the below paragraph?

It is **hard/difficult/challenging/impossible** to **imagine/believe** that we will **find/locate/hire** another **employee/person** having similar **abilities/skills/character** as Alice. She has done a **great/super** job.

# The Merkle-Damgård Transform



## Construction 10

Construct **variable-length** CRHF  $(\text{Gen}, H)$  from fixed-length  $(\text{Gen}, h)$  ( $2\ell$  bits  $\rightarrow \ell$  bits,  $\ell = \ell(n)$ ):

- $\text{Gen}$ : remains unchanged.
- $H$ : key  $s$  and string  $x \in \{0, 1\}^*$ ,  $L = |x| < 2^\ell$ :
  - $B := \lceil \frac{L}{\ell} \rceil$  (# blocks). **Pad  $x$  with 0s.**  $\ell$ -bit blocks  $x_1, \dots, x_B$ .  
 $x_{B+1} := L$ ,  $L$  is encoded using  $\ell$  bits.
  - $z_0 := IV = 0^\ell$ . For  $i = 1, \dots, B + 1$ , compute  
 $z_i := h^s(z_{i-1} \| x_i)$ .

# Security of the Merkle-Damgård Transform

## Theorem 11

*If  $(\text{Gen}, h)$  is a fixed-length CRHF, then  $(\text{Gen}, H)$  is a CRHF.*

## Proof.

**Idea:** a collision in  $H^s$  yields a collision in  $h^s$ .

Two messages  $x \neq x'$  of respective lengths  $L$  and  $L'$  such that  $H^s(x) = H^s(x')$ . # blocks are  $B$  and  $B'$ .

$x_{B+1} := L$  is necessary since **Padding with 0s** will lead to the same input with different messages.

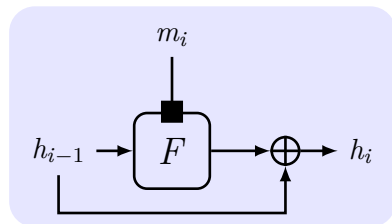
**1**  $L \neq L'$ :  $z_B \| L \neq z_{B'} \| L'$ .

**2**  $L = L'$ :  $z_{i^*-1} \| x_{i^*} \neq z'_{i^*-1} \| x'_{i^*}$ .

So there must be  $x \neq x'$  such that  $h^s(x) = h^s(x')$ . □

# CRHF from Block Cipher

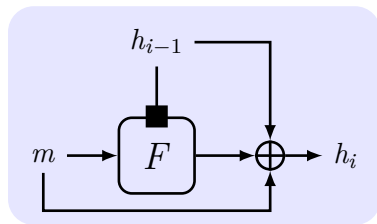
Davies-Meyer:



Used by SHA-1/2, MD5

$$h_i = F_{m_i}(h_{i-1}) \oplus h_{i-1}$$

Miyaguchi-Preneel:



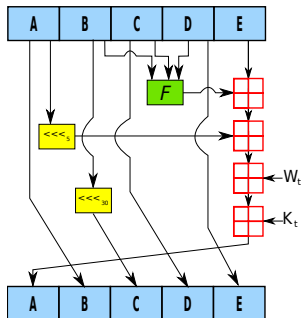
Used by Whirlpool

$$h_i = F_{h_{i-1}}(m_i) \oplus h_{i-1} \oplus m$$

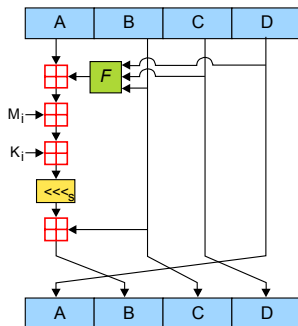
Q: what if  $h_i = F_{m_i}(h_{i-1})$  without XOR with  $h_{i-1}$ ?

# Cryptographic Hash Functions: SHA-1 and MD5

SHA-1:



MD5:

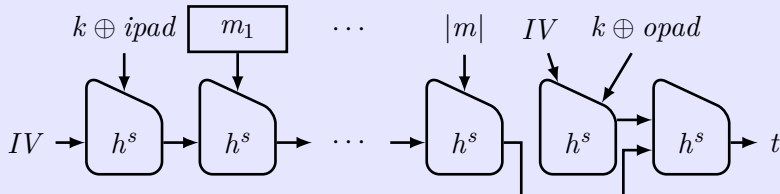


$A, B, C, D$  and  $E$  are 32-bit words of the state;  $F$  is a nonlinear function that varies;  $\lll n$  denotes a left bit rotation by  $n$  places;  $W_t/M_t$  is the expanded message word of round  $t$ ;  $K_t$  is the round constant of round  $t$ ;  $\boxplus$  denotes addition modulo  $2^{32}$ .

- Finding a collision in 128-bit MD5 requires time  $2^{20.96}$ .
- Finding a collision in 160-bit SHA-1 requires time  $2^{51}$ .

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# Hash-based MAC (HMAC)



## Construction 12

$(\widetilde{\text{Gen}}, h)$  is a fixed-length CRHF.  $(\widetilde{\text{Gen}}, H)$  is the Merkle-Damgård transform.  $IV$ ,  $\text{opad}$  ( $0x36$ ),  $\text{ipad}$  ( $0x5C$ ) are fixed constants of length  $n$ . HMAC:

- $\text{Gen}(1^n)$ : Output  $(s, k)$ .  $s \leftarrow \widetilde{\text{Gen}}, k \leftarrow \{0, 1\}^n$  u.a.r.
- $\text{Mac}_{s,k}(m)$ :  $t := H_{IV}^s \left( (k \oplus \text{opad}) \parallel H_{IV}^s ((k \oplus \text{ipad}) \parallel m) \right)$ .
- $\text{Vrfy}_{s,k}(m, t)$ :  $1 \iff t \stackrel{?}{=} \text{Mac}_{s,k}(m)$ .

## Theorem 13

$$G(k) \stackrel{\text{def}}{=} h^s(IV \parallel (k \oplus \text{opad})) \parallel h^s(IV \parallel (k \oplus \text{ipad})) = k_1 \parallel k_2.$$

$(\widetilde{\text{Gen}}, h)$  is CRHF. If  $G$  is a PRG, then HMAC is secure.

- HMAC is an industry standard (RFC2104)
- HMAC is faster than CBC-MAC
- Before HMAC, a common mistake was to use  $H^s(k \parallel x)$
- Verification timing attacks: (Keyczar crypto library (Python))  

```
def Verify(key, msg, sig_bytes):  
    return HMAC(key, msg) == sig_bytes
```

The problem: implemented as a byte-by-byte comparison
- *Don't implement it yourself.*



- adaptive CMA, replay attack, birthday attack.
- existential unforgeability, collision resistance.
- CBC-MAC, CRHF, Merkle-Damgård transform, NMAC, HMAC.