# Lip Reading

Héctor Otero Mediero hoterome@uci.edu Nikita Samarin nsamarin@uci.edu

March 22, 2017

## 1 Introduction

Lip Reading is one of the tasks which is equally hard both for humans and machines, but it can be potentially used in a wide range of applications. Lip reading can provide aid to hearing-impaired people by helping them understand what others are saying. It can improve general-purpose voice recognition systems, especially in noisy environments, and can enable silent dictation in public areas. Other applications include silent film annotation and improved automatic subtitle generation, for instance on YouTube.

## 2 Description of the Problem

Lip reading is the task of decoding text from the movement of a speaker's mouth, often in situations where normal sound is not available. Solving this problem would mean going from video footage of someone speaking with their lips visible to the actual text being pronounced, without using any sound data. Accurately performing such classification over large vocabularies is a hard task. Last year, researchers from Google DeepMind division managed to achieve accuracy of 46.8% on BBC corpora consisting of 17500 words. At the same time, however, a professional lip-reader managed to annotate only 12.4% of words without any error [1]. In our project we focused on a limited vocabulary, performing classification of short phrases, as opposed to single words or complete sentences. Simplifying the problem gave us more time for data preprocessing and different neural network models prototyping.

## 3 Previous Work

In recent years, there has been a significant number of works which utilize deep learning to solve problems in the field of speech processing and image recognition. Lip reading is not an exception, as neural networks achieve higher accuracy than earlier models that rely on heavy preprocessing of frames and video feature extraction. Chung & Zisserman (2016a) propose a two-stream convolutional neural network (CNN) architecture to determine

the audio-video synchronization between mouth motion and speech in a video [2]. They apply the network to lip reading and manage to achieve 91.4% accuracy for 10phrase classification on the OuluVS2 dataset. Chung & Zisserman (2016b) focus exclusively on Lip Reading for which they develop CNN architectures that can learn and recognize words from video frames collected from TV broadcasts [3]. They train the model on the BBC TV dataset and obtain 93.2% accuracy on OuluVS2. Of greater importance, however, is LipNet – first end-to-end sentence-level lipreading model developed by researchers from University of Oxford and Google DeepMind department [4]. The architecture of the model is composed of spatiotemporal CNNs and bidirectional gated recurrent units with connectionist temporal classification as the loss function. On the GRID corpus, LipNet achieves 95.2% accuracy in sentence-level classification problem.

## 4 Dataset

Solving lip reading problem is notorious for the fact that there are only few comprehensive datasets with sufficient number of training examples. For our project, we contacted University of Oulu in Finland in order to obtain OuluVS database [5]. It includes the video and audio data for 20 subjects uttering ten phrases: Hello, Excuse me, I am sorry, Thank you, Good bye, See you, Nice to meet you, You are welcome, How are you, Have a good time. Each person spoke each phrase five times. There are also videos with head motion from front to left, from front to right, without utterance, five times for each person. Frame size for each video is 576x720 with 25 frames per second. We attempted to retrieve other popular datasets, such as GRID and BBC corpora, but we were not provided access to them.

Before we could perform lip reading, we first had to build a model to locate the lips on an image. The videos in our dataset did not provide sufficient number of frames to train such model, therefore we consulted additional face databases, such as Richard's MIT database (924 images of size 480x640) and Yale Face Database (165 images of size 320x243) [6]. We used dlib Image processing library to generate training data for these

images, by locating the mouth on the image and outputting coordinates of the surrounding box to a csv file.

At the same time, we also wanted to create a model to analyze sound data from videos, so that we could potentially improve our lip reading predictions. For this purpose, we used FFmpeg tool to extract wav audio files from the video recordings of the OuluVS dataset.

## 5 Hardware

Macbook Pro:  $2.4\mathrm{GHz}$  dual-core Intel Core i5 processor, 8GB DDR3L,  $256\mathrm{GB}$ 

Windows 10 PC: 2.8GHz dual-core Intel Core i7 processor, 8.00GB Dual-Channel DDR3, 512GB

## 6 Technical Approach

## 6.1 Using video frames

Our first attempt at tackling the problem followed a pure lip reading approach, this is, using only a sequence of still images (video frames) from a subject to predict the sentence that is said in the video. Previous works in the field used 3D Convolutional Neural Networks to process the sequence of images with successful results, but our hardware did not fit well to this task, as the computational requirements are high and a single epoch for processing 500 videos took around 2 hours. Due to the impossibility of following this path, we flattened the input to be able to process each image as a 1D vector and be able to feed it into a Recurrent Neural Network or a 2D convolutional Network (after forming a matrix with all the different frames). The results were not ideal, as the dimensions of the individual images were too big in comparison with the amount of examples, yielding networks with too many parameters that overfitted the data.

#### Lip Segmentation

In order to reduce the dimensionality of the data, we decided to limit the section of the photo used as input. Obviously, the bulk of the information stored in an image comes from the mouth of the subject and since the space it occupies is rather small in comparison with the image size it does a great job at condensing the information and reducing the amount of parameters in the network.

Since solving image segmentation problems using Neural Networks has been thoroughly studied, we designed our own. The problem at hand was finding the coordinates of the top left corner and the width and height of a bounding box that surrounded the subject's mouth. The dataset we were working with included labeled data of each one of the frames extracted from the videos with this information.

As it can be seen in the image below the architecture used is composed by alternating 2D Convolutional layers with MaxPooling2D layers and a final Dense layer that completes the regression task by predicting 4 values from each image. The intuition behind this architecture is that, as in Visual Computing techniques, the convolutional layers will be used to extract features at different levels of detail and the pooling layers help at reducing further the dimensionality of the image. Finally, the dense layer with a linear activation produces an unbounded value, ideal for the regression task.



The results obtained are really accurate. After 25 training epochs and using 80% of the data for training and the rest for test, we obtain a Mean Squared Error of 95. The meaning of this error for our case is that, on average, predictions for the coordinates of the box and the labeled data differ in only 9 pixels, which for a 576x720 images represents less than 2% of the width and height.







Some of the reasons behind this accurate prediction are the availability of a large set of images to work with and the fact that the mouths are centered along the X-axis.

#### RNN Architecture

Using the previous information to crop the images, we tried feeding them to a recurrent neural network, considering the fact that there is a relation among the images that can be expressed as a sequence. Since we are dealing with a classification task, we use a Dense layer at the top with a softmax activation function that returns values for different classes that can be interpreted as the probability of an example belonging to a certain class.

Both types of RNNs available in Keras, LSTMs and SimpleRNNs, were used to test whether there was a need for establishing a relation between values distant in the video sequence. The architecture that yielded the best results used the latter and is shown below. The layer configuration reflects the scarcity of the data (just 1000 videos), which forced us to constrain our network to a small amount of parameters, and the subsequent need to avoid overfitting, which led us to include dropout layers

after each of the recurrent ones and try different types of regularization (L2 with a value of 0.01 providing the best performance).



The results obtained barely improved a random classification of the data with a 16% accuracy using categorical crossentropy as loss function for the model. We think that a solution could be found using this method due to the semantic relation between the images as explained previously, but recurrent networks that can process this kind of data need a lot of parameters and, in our case, the relation with the amount of input data was far from ideal. 2D Convolutional networks were also tested but they produced worse results.

### 6.2 Using video sound

Due to the poor results obtained using just images, we decided to try solving the same problem (going from a video to the sentence said) but using the sound instead, since in most cases where lip reading can be used, sound is available too (even if it is present with noise). Intuitively, the same information is stored both in the video and in the sound, the second one being more dense and simpler than the first.

#### Data Preprocessing & Augmentation

In order to reduce the problems found with the previous approach, we opted to increase the amount of training examples. The audio present in the videos was noisy so we tried different approaches to lessen the effects that they could provoke in our network, generating new audios by applying a rolling-mean filter on the audio with a window size of 10 making reducing the noise effectively.

Apart from the noise, we tested different representations of the data, integer and floating point, and a different number of channels, stereo or mono, choosing in both cases the second option as it produced better results.

#### CNN Architecture

Facing again a vector representation of our input data (in this case amplitude values for the audio) we could again choose between a recurrent or a convolutional approach. With our data representation, we saw that audios only differed one from another on the amount of words pronounced and in terms of amplitude they were hardly separable. Because of this we chose convolutional

layers as they treat the complete vector instantaneously and could obtain they aforementioned features better than a recurrent network.

Using a layer structure (Figure X) similar to the one built to predict the bounding box but this time using 1D Convolution we obtained our best results at predicting the phrase said in a video, a 35% accuracy. The activation function for the hidden layers was ReLU as it showed a faster convergence than the rest and the Adam (Adaptive Moment Estimation) optimizer stabilized the reduction of the loss in comparison to other optimizers that did not stop the loss from increasing and decreasing greatly in the validation set.

## 7 Conclusions & Future Work

We convinced ourselves that performing accurate lip reading is a challenging problem. While we did not manage to obtain outstanding results, we certainly managed to identify the weak points of our model design. One of the issues, as was mentioned above, was our inability to get hold of a bigger dataset. A large corpus, such as the BBC TV one, would provide significantly more training examples and improve the accuracy of our model. logical next step would also be a combination of our separate models for sound and video frames into a single ensemble, which would combine the weaker learners into a single stronger one. Additionally, we did not have access to sufficient computational resources to try out more complicated models, such as 3D Convolutional Neural Network. Overall, we created a solid foundation for a future exploration of this problem, which we will definitely make use of in our subsequent work in this area.

## 8 References

- [1] Daily News. Google's DeepMind AI can lip-read TV shows better than a pro. 2016.
- [2] J.S. Chung and A. Zisserman. Out of time: automated lip sync in the wild. In Workshop on Multi-view Lip-reading, ACCV, 2016a.
- [3] J.S. Chung and A. Zisserman. Lip reading in the wild. In Asian Conference on Computer Vision, 2016b.
- [4] Y.M. Assael, B. Shillingford, S. Whiteson and N. Freitas. LipNet: end-to-end sentence-level lipreading. Under review as a conference paper at ICLR 2017.
- [5] G. Zhao, M. Barnard and M. Pietikäinen. Lipreading with local spatiotemporal descriptors. IEEE Transactions on Multimedia 11(7):1254-1265, 2009.
- [6] Massachusetts Institute of Technology. Face Databases.