# 深度学习方法与实践实验三

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# 1 设计变量共享网络进行 MNIST 分类

# 1.1 实验要求

其将图片样本分为上下两半 X1,X2; 分别送入 input1,input2。后续的两个路径的线性加权模块 share\_base(X)=X\*W 共享一个变量 name='w'

整个分类模型可描述为 softmax(share\_base(X1)+share\_base(X2)+b) 注意: b 是一个变量, share\_base 是一层全连接, 没有偏置 网络结构如下所示:

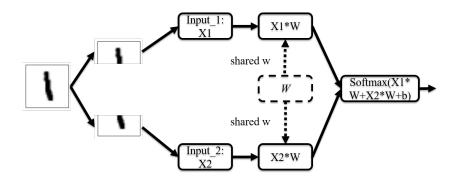


图 1: 网络结构图

# 1.2 具体实现

- 必须实现要求的共享网络结构,图像是上下分半,网络能够打印出类似下方给的网络结构图。
- 训练分类精度上 0.85 以上
  - 1. 模型部分实现

```
inputs = Input(shape=(392, ), name="D1_input")
outputs = Dense(num_classes, name="D1")(inputs)
share_base = Model(inputs=inputs, outputs=outputs, name="seq1")

x1 = Input(shape=(392, ), name="input_1")
x2 = Input(shape=(392, ), name="input_2")
s1 = share_base(x1)
s2 = share_base(x1)
s2 = share_base(x2)

b = K.zeros(shape=(10))
x = s1 + s2 + b
x = Activation('softmax', name='activation')(x)

siamese_net = Model(inputs=[x1, x2], outputs=x)
```

### 2. 使用 plot\_model 绘制模型结构

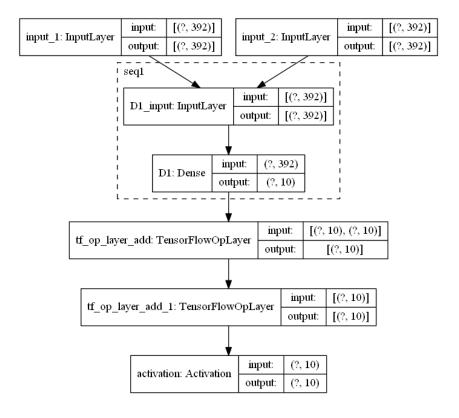


图 2: keras 绘制出模型结构

### 3. 对网络层中的变量进行可视化

D1 对应的权重形状应为 392x10, 所以可视化的时候分别可视化 10 个 14x28=392 大小的 weights。

```
train_weights=siamese_net.get_layer('seq1').get_layer('D1').kernel.numpy()

print(train_weights.shape)

num = np.arange(0, 392, 1, dtype="float")

num = num.reshape((14, 28))

plt.figure(num='Weights', figsize=(10, 10))

# 创建一个名为Weights的窗口,并设置大小

for i in range(10): #W.shape[1]

num = train_weights[:, i: i+1].reshape((14, -1))
```

```
11 plt.subplot(2, 5, i + 1)
12 num = num * 255.
13 plt.imshow(num, cmap=plt.get_cmap('hot'))
14 plt.title('weight_%d_image.' % (i + 1)) # 第i + 1幅图片
15 plt.show()
```

图 3: 可视化结果

# 4. 训练输出 log

```
epoch:0,train loss:0.871,train acc:76.780,test loss:0.518,test acc:86.030
2020-03-15 17:06:68.743449: W tensorflow/core/framework/cpu_allocator_impl.cc:81] Allocation of 376320000 exceeds 10% of system memory.
epoch:1,train loss:0.477,train acc:80.0996,test loss:0.430,test acc:88.430
2020-03-15 17:06:11.371497: W tensorflow/core/framework/cpu_allocator_impl.cc:81] Allocation of 376320000 exceeds 10% of system memory.
epoch:2,train loss:0.427,train acc:80.337,test loss:0.481,test acc:89.180
2020-03-15 17:06:14.143687: W tensorflow/core/framework/cpu_allocator_impl.cc:81] Allocation of 376320000 exceeds 10% of system memory.
epoch:3,train loss:0.401,train acc:80.955,test loss:0.380,test acc:89.100
2020-03-15 17:06:14.143687: W tensorflow/core/framework/cpu_allocator_impl.cc:81] Allocation of 376320000 exceeds 10% of system memory.
epoch:4,train loss:0.380,train acc:89.203,test loss:0.380,test acc:89.640
epoch:5,train loss:0.380,train acc:89.463,test loss:0.370,test acc:89.710
epoch:6,train loss:0.37,train acc:89.463,test loss:0.371,test acc:89.700
epoch:3,train loss:0.37,train acc:89.742,test loss:0.371,test acc:89.700
epoch:9,train loss:0.363,train acc:89.283,test loss:0.360,test acc:90.190
epoch:10,train loss:0.363,train acc:89.971,test loss:0.366,test acc:90.190
epoch:11,train loss:0.363,train acc:89.971,test loss:0.366,test acc:90.190
epoch:11,train loss:0.363,train acc:89.871,test loss:0.366,test acc:90.190
epoch:15,train loss:0.355,train acc:89.180,test loss:0.366,test acc:90.290
epoch:14,train loss:0.355,train acc:89.180,test loss:0.366,test acc:90.290
epoch:15,train loss:0.355,train acc:89.180,test loss:0.366,test acc:90.290
epoch:15,train loss:0.356,train acc:90.258,test loss:0.366,test acc:90.390
epoch:15,train loss:0.356,train acc:90.258,test loss:0.366,test acc:90.390
epoch:19,train loss:0.350,train acc:90.258,test loss:0.366,test acc:90.390
epoch:19,train loss:0.350,train acc:90.358,test acc:90.390
epoch:19,train loss:0.350,train acc:90.358,test loss:0.366,test acc:90.390
epoch:19,train loss:0.350,tr
```

图 4: 训练 log

## 5. 结果以及全部代码

模型可以在 10 个 epoch 以内训练集和测试集均能达到 90% 的准确率。

```
1 import os
2 import time
3
4 import matplotlib.pyplot as plt
5 import numpy as np
6 import tensorflow as tf
7 from tensorflow import keras
8 from tensorflow.keras import Model, Sequential
9 from tensorflow.keras import backend as K
```

```
10 from tensorflow.keras.layers import (Activation, Conv2D, Dense, Flatten, Input,
   concatenate)
11
12 from tensorflow.keras.utils import plot model
13
   num\_classes = 10
14
total_epoch = 30
   mnist = tf.keras.datasets.mnist
16
17
18 #1. prepare datasets
   (x_train, y_train), (x_test, y_test) = mnist.load_data()
19
   x_{train} = x_{train} / 255.0
20
21
   x_{test} = x_{test} / 255.0
22
   x_{train} = x_{train.reshape}(x_{train.shape}[0], 2, -1)
23
24
   x_{test} = x_{test.reshape}(x_{test.shape}[0], 2, -1)
25
26
   y_train = tf.one_hot(y_train, num_classes)
27 y_test = tf.one_hot(y_test, num_classes)
28
   train_ds = tf.data.Dataset.from_tensor_slices(
29
   (x_train, y_train)).shuffle(1000).batch(32)
30
   test\_ds \, = \, tf.data.Dataset.from\_tensor\_slices((\,x\_test\,,\ y\_test\,)).batch(32)
31
32
33
   #2. net build
34
   inputs = Input(shape=(392, ), name="D1_input")
   outputs = Dense(num_classes, name="D1")(inputs)
35
   share\_base = Model(inputs=inputs\,,\ outputs=outputs\,,\ name="seq1")
36
37
38 x1 = Input(shape=(392, ), name="input_1")
39 x2 = Input(shape=(392, ), name="input_2")
s1 = share\_base(x1)
s2 = share\_base(x2)
42
43 b = K. zeros (shape=(10))
44
   x = s1 + s2 + b
   x = Activation('softmax', name='activation')(x)
46
   siamese_net = Model(inputs=[x1, x2], outputs=x)
47
48
49
   plot_model(siamese_net, to_file='./siamese_net.png',show_shapes=True,
50
   expand_nested=True)
51
52 #3. train and test
   loss_ce = tf.keras.losses.categorical_crossentropy
53
   optimizer = tf.keras.optimizers.Adam(3e-4)
54
55
   # metrics用于记录指标
56
   train_loss = tf.keras.metrics.Mean(name='train_loss')
   train_acc = tf.keras.metrics.CategoricalAccuracy(name='train_acc')
59
   test_loss = tf.keras.metrics.Mean(name='test_loss')
   test acc = tf.keras.metrics.CategoricalAccuracy(name='test loss')
60
61
   def train_step(images, labels):
62
            part1 = images[:, 0]
63
            part2 = images[:, 1]
64
            with tf.GradientTape() as tape:
65
                    outputs \, = \, siamese\_net \, (\, [\, part1 \, , \  \, part2 \, ]\, )
66
67
                    loss = loss_ce(labels, outputs)
68
            gradients = tape.gradient(loss, siamese\_net.trainable\_variables)
```

```
optimizer.apply_gradients(zip(gradients, siamese_net.trainable_variables))
69
70
            train_loss(loss)
71
            {\tt train\_acc(labels\,,\ outputs)}
72
73
    def test_step(images, labels):
            part1 = images[:, 0]
75
76
            part2 = images[:, 1]
77
            outputs = siamese_net([part1, part2])
            loss = loss_ce(labels, outputs)
78
79
            test_loss(loss)
80
            test_acc(labels, outputs)
81
82
83
    for epoch in range(total_epoch):
84
85
            train_acc.reset_states()
            train_loss.reset_states()
87
            test_acc.reset_states()
            test_loss.reset_states()
88
            for images, labels in train_ds:
89
                     train\_step(images, labels)
90
91
92
            for images, labels in test_ds:
93
                     test_step(images, labels)
94
            print (
95
            "epoch:%d, train_loss:%.3f, train_acc:%.3f, test_loss:%.3f, test_acc:%.3f"
96
            % (epoch, train_loss.result(), train_acc.result() * 100,
97
            test_loss.result(), test_acc.result() * 100))
99
00 #4. draw weights of 10 classes
    train_weights=siamese_net.get_layer('seq1').get_layer('D1').kernel.numpy()
101
02
03
    print(train_weights.shape)
04
    num = np.arange(0, 392, 1, dtype="float")
05
    num = num.reshape((14, 28))
06
    plt.figure(num='Weights', figsize=(10, 10)) # 创建一个名为Weights的窗口,并设置大小
07
108
    for i in range (10): #W.shape [1]
            num = \, train\_weights \, [:\,, \ i: \ i+1].\, reshape \, (\, (\,14\,, \ -1))
09
10
            plt.subplot(2, 5, i + 1)
            num = num * 255.
11
            plt.imshow(num, cmap=plt.get_cmap('hot'))
112
            plt.title('weightu%duimage.' % (i + 1)) # 第i + 1幅图片
13
14
    plt.show()
15
    print(np.min(num))
    print(np.max(num))
```

# 2 连体网络 MINIST 识别

### 2.1 实验要求

构建如下图所示识别模型:该模型由两个相同的网络 G(x) 组成。两个网络共享相同的参数 W.

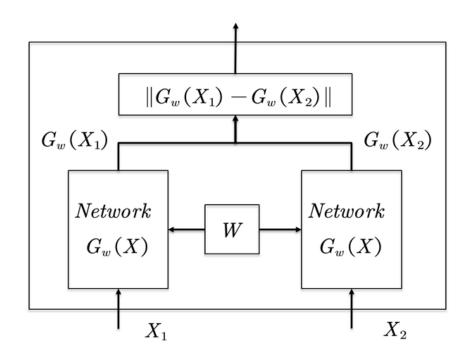


图 5: 网络结构

该模型实现如下的功能,输入两个 MINIST 图片,判断是不是同一个数字。

例如,输入负样本对: X1=6 的图片, X2=9 的图片输出: 1; 输入正样本对: X1=3 的图片, X2=3 的图片输出: 0

G(x) 是一个一般的全连接网络(两边的网络结构是一样的! 共享参数 W、b 等),由结构可以自己设计。比如建议两层网络: hidden1: 784(28x28)->500; hidden2: 500->10,使用 relu。也可以尝试其他节点数组合,和其他非线性变换函数。

强调: G(X) 的功能定义为提取一张 mnist 图像的特征。

该模型的训练采用如下损失函数:

$$L(W, Y, X_1, X_2) = (1 - Y)L_G(E_W) + YL_1(E_W)$$
$$= (1 - Y)\frac{2}{Q}(E_W)^2 + (Y)2Qe^{-\frac{2.77}{Q}E_W}$$

其中 Q 是一个常数,用于控制正负样本的平衡,类似于 focal loss。  $E_W$  是两个网络输出特征的  $L_2$  距离, $E_W = ||G_W(X_1) - G_W(X_2)||$ 

### 2.2 具体实现

1. 模型实现

构造一个孪生网络,其中获得的 embedding 是一个 10 维的向量。

#### 2. 数据加载

数据的 label 是严重不平衡的,所以数据处理部分使用均衡采样的方法来让正样本和负样本比例为 1:1。具体代码如下:

```
(x train, y train), (x test, y test) = mnist.load data()
   x_train, x_test = x_train / 255.0, x_test / 255.0
3
   x_{train} = x_{train.reshape}(x_{train.shape}[0], -1)
4
   x_{test} = x_{test.reshape}(x_{test.shape}[0], -1)
6
   train_ds = tf.data.Dataset.from_tensor_slices(
   (x_train, y_train)).shuffle(1000).batch(BATCH_SIZE)
9
   test_ds = tf.data.Dataset.from_tensor_slices(
10
   (x_{test}, y_{test}). batch (BATCH_SIZE)
12
13
   def balance_sample(train_ds, test_ds, train=True):
14
            train_ds = iter(train_ds)
15
            test_ds = iter(test_ds)
16
17
            if train:
18
                    x1, y1 = next(train_ds)
19
                    x2, y2 = next(train_ds)
            else:
20
                    x1, y1 = next(test_ds)
21
                    x2, y2 = next(test_ds)
^{22}
23
            y1 = y1[..., np.newaxis]
24
25
            y2 = y2[..., np.newaxis]
26
            idx_same = np.where(y1 == y2) # 找到相同的下角标
27
            idx\_rand = np.random.randint(BATCH\_SIZE, size=len(idx\_same)) # 随机取样
28
29
            index = np.union1d(idx_same, idx_rand).astype(np.int64) # 所有需要取样的样本
30
31
            data list = []
            label list = []
32
33
34
            judge = np.array(y1 != y2)
            for ix in index:
36
37
                    data_list.append([x1[ix], x2[ix]])
                    label_list.append(judge[ix])
38
39
            return np.array(data_list), np.array(label_list)
40
```

### 3. Loss 部分具体实现

Loss 部分主要有两个方法,一个是计算两个 embedding 的距离,一个是实现要求中的 loss,其中需要说明的是这里的 Q 取 1, 由于采样的时候采用的是均衡采样,正负样本比例为 1:1,所以不需要调整 Q。

### 4. 训练 log

通过调参 (主要是 batch size 和 learning rate), 模型结果很快能达到 97% 以上。

```
Train acc: 0.973
           Train Loss:
                                                        Test Loss:
                                                                              Test acc: 0.970
           Train Loss: 0.054,
                                   Train acc: 0.974 Test Loss: 0.059
                                                        Test Loss: 0.052
                                  Train acc: 0.971
                                                                              Test acc: 0.974
Epoch 3,
          Train Loss: 0.056,
          Train Loss: 0.054,
                                  Train acc: 0.972
                                                                              Test acc: 0.970
                                                        Test Loss: 0.058
Epoch 5,
          Train Loss: 0.055,
                                  Train acc: 0.971 Test Loss: 0.058
Train acc: 0.975 Test Loss: 0.058
                                                                              Test acc: 0.970
          Train Loss: 0.049,
                                                                              Test acc: 0.970
          Train Loss: 0.042, Train acc: 0.979 Test Loss: 0.058 Test acc: 0.970 Train Loss: 0.051, Train acc: 0.973 Test Loss: 0.055 Test acc: 0.972 Train Loss: 0.046, Train acc: 0.974 Test Loss: 0.058 Test acc: 0.970
Epoch 10, Train Loss: 0.046, Train acc: 0.976 Test Loss: 0.055 Test acc: 0.972
Epoch 11, Train Loss: 0.044, Train acc: 0.978 Test Loss: 0.048 Test acc: 0.976
            Train Loss:
                           0.044,
                                    Train acc: 0.976 Test Loss: 0.054
                                                                               Test acc:
Epoch 13, Train Loss:
                           0.048, Train acc: 0.974 Test Loss: 0.054 Test acc:
                           0.050, Train acc:
            Train Loss:
                                                  0.972 Test Loss: 0.051
                                                                               Test acc:
Epoch 15,
            Train Loss: 0.045,
                                    Train acc: 0.977
                                                         Test Loss: 0.051
                                                                               Test acc:
Epoch 16,
            Train Loss: 0.045,
                                    Train acc: 0.975 Test Loss: 0.054 Test acc: Train acc: 0.977 Test Loss: 0.054 Test acc:
                                                                               Test acc: 0.972
            Train Loss: 0.043,
           Train Loss: 0.050,
                                   Train acc: 0.973 Test Loss: 0.054 Test acc: 0.972 Train acc: 0.972 Test Loss: 0.054 Test acc: 0.972
Epoch 18,
Epoch 19,
           Train Loss: 0.050,
Epoch 20, Train Loss: 0.050, Train acc: 0.972 Test Loss: 0.048 Test acc: 0.976
Epoch 21, Train Loss: 0.042, Train acc: 0.976 Test Loss: 0.055 Test acc: 0.972
poch 22,
            Train Loss: 0.044, Train acc: 0.975 Test Loss: 0.052
                                                                               Test acc: 0.974
                                    Train acc: 0.973 Test Loss: 0.052
            Train Loss:
                           0.046,
                                                                               Test acc:
Epoch 24, Train Loss:
                           0.042, Train acc: 0.976 Test Loss: 0.049
                                                                               Test acc:
            Train Loss:
                           0.040,
                                    Train acc:
                                                  0.976 Test Loss: 0.052
                                                                               Test acc:
                                                                               Test acc:
Epoch 26, Train Loss:
                           0.048, Train acc:
                                                  0.972 Test Loss: 0.048
            Train Loss:
                           0.045,
                                    Train acc:
                                                  0.972
                                                         Test Loss: 0.056
                                                                               Test acc:
            Train Loss: 0.038,
                                    Train acc: 0.979
                                                         Test Loss: 0.056
                                                                               Test acc:
Epoch 29, Train Loss: 0.044,
Epoch 30, Train Loss: 0.046,
                                    Train acc: 0.973 Test Loss: 0.059
Train acc: 0.972 Test Loss: 0.056
                                                                               Test acc: 0.970
                                                                               Test acc:
           Train Loss: 0.048, Train acc: 0.972
Train Loss: 0.041, Train acc: 0.977
                                                                               Test acc: 0.970
                                    Train acc: 0.972 Test Loss: 0.059
Epoch 31,
                                                         Test Loss: 0.059
Epoch 32,
                                                                               Test acc: 0.970
           Train Loss: 0.041, Train acc: 0.975 Test Loss: 0.055 Train Loss: 0.045, Train acc: 0.971 Test Loss: 0.049
Epoch 33,
                                                                               Test acc: 0.972
Epoch 34,
                                                                               Test acc: 0.976
Epoch 35,
            Train Loss:
                           0.051, Train acc: 0.970 Test Loss: 0.056
                                                                               Test acc: 0.972
Epoch 36,
                           0.049,
            Train Loss:
                                    Train acc:
                                                  0.972
                                                         Test Loss: 0.059
                                                                               Test
           Train Loss:
                           0.041, Train acc: 0.976 Test Loss: 0.059
                                                                               Test acc:
                           0.042,
            Train Loss:
                                    Train acc:
                                                  0.973
                                                         Test Loss: 0.059
                                                                               Test acc:
                                                                                            0.970
                           0.040,
                                    Train acc:
                                                  0.974
                                                         Test Loss: 0.056
                                                                               Test acc:
            Train Loss:
            Train Loss: 0.045,
                                    Train acc: 0.970
                                                          Test Loss: 0.060
                                                                               Test acc:
```

图 6: 训练 log

#### 5. 全部代码

```
16
   lr = 3e-4
e_w = 1.0
   iters = 5
18
   (x_train, y_train), (x_test, y_test) = mnist.load_data()
21
   x train, x test = x train / 255.0, x test / 255.0
22
23
   x_{train} = x_{train.reshape}(x_{train.shape}[0], -1)
24
   x_{test} = x_{test.reshape}(x_{test.shape}[0], -1)
25
26
27
   train_ds = tf.data.Dataset.from_tensor_slices(
   (x_{train}, y_{train}). shuffle (1000). batch (BATCH_SIZE)
28
29
   test\_ds = tf.data.Dataset.from\_tensor\_slices(
30
   (\,x\_test\,,\ y\_test\,)\,)\,.\,batch\,(BATCH\_SIZE)
31
32
33
   def balance_sample(train_ds, test_ds, train=True):
34
            train_ds = iter(train_ds)
35
            test\_ds = iter(test\_ds)
36
            if train:
37
38
                    x1, y1 = next(train_ds)
39
                    x2, y2 = next(train_ds)
            else:
40
                    x1, y1 = next(test_ds)
41
                    x2, y2 = next(test_ds)
42
43
            y1 = y1[..., np.newaxis]
            y2 = y2[..., np.newaxis]
45
46
            idx_same = np. where (y1 == y2) # 找到相同的下角标
47
            idx_rand = np.random.randint(BATCH_SIZE, size=len(idx_same)) # 随机取样
48
            index = np.union1d(idx_same, idx_rand).astype(np.int64) # 所有需要取样的样本
49
            data_list = []
51
            label_list = []
52
53
54
            judge = np.array(y1 != y2)
55
            for ix in index:
                    data_list.append([x1[ix], x2[ix]])
57
                    label_list.append(judge[ix])
58
59
60
            return np.array(data_list), np.array(label_list)
61
62
   class MyModel(Model):
63
64
            def ___init___(self):
                    super(MyModel, self).__init___()
65
                    self.d1 = Dense(500, activation='relu')
66
67
                    self.d2 = Dense(10, activation='softmax')
68
            def call(self, x):
69
                    x = self.dl(x)
70
71
                    embedding = self.d2(x)
                    return embedding
72
73
```

```
def dist(output1, output2):
75
            E = K. sqrt(K. sum(K. square(output1 - output2), 1)) # dim=1
76
            return E
77
78
79
    def loss_object(Y, E, Q=1):
80
            pos loss = Y * 2 * Q * K.exp((-2.77 * E) / Q)
81
            neg_loss = 2 * (1 - Y) * (E**2) / Q
82
            return pos_loss + neg_loss
83
84
    model = MyModel()
86
87
88
    optimizer = tf.keras.optimizers.Adam(lr)
89
90
    train_loss = tf.keras.metrics.Mean(name='train_loss')
91
    train_accuracy = tf.keras.metrics.Accuracy(name='train_accuracy')
92
    test_loss = tf.keras.metrics.Mean(name='test_loss')
93
    test_accuracy = tf.keras.metrics.Accuracy(name='test_accuracy')
94
95
    def train_epoch(train_ds):
96
97
            for i in range(iters):
98
                     with tf.GradientTape() as tape:
                             data, label = balance_sample(train_ds, test_ds, train=True)
99
                             output1 = model(data[:, 0])
00
                             output2 = model(data[:, 1])
01
                             E = dist(output1, output2)
02
03
                             label = np.squeeze(label, 1)
04
05
                             loss = loss_object(label, E)
06
                     gradients = tape.gradient(loss, model.trainable_variables)
07
.08
                     optimizer.apply_gradients(zip(gradients, model.trainable_variables))
09
                     E = K. cast(E \ge e_w, dtype='float64')
                     train_loss(loss)
10
11
                     train_accuracy(label, E)
12
13
    def test_epoch(test_ds):
114
            for i in range(iters):
15
                     data, label = balance_sample(train_ds, test_ds, train=False)
16
                     output1 = model(data[:, 0])
                     output2 = model(data[:, 1])
17
                     E = dist(output1, output2)
18
19
                     loss = loss_object(label, E)
20
                     E = K.\,cast\,(E >\!= e\_w,\ dtype='float64')
21
                     test_loss(loss)
22
                     test_accuracy(label, E)
23
24
    for epoch in range (EPOCHS):
25
            train_loss.reset_states()
26
             train_accuracy.reset_states()
27
             test_loss.reset_states()
28
            test_accuracy.reset_states()
129
            train_epoch(train_ds)
130
31
            test_epoch(test_ds)
132
```