



Topic 4 - Automata Theory

Week 7 - Automata Theory P1

basic definitions

subject	denotation	definition
alphabet	Σ	non-empty set of symbols
string/word		finite sequence of letters drawn from an alphabet
empty string	ϵ	strings with zero occurrences of letters; can be from any alphabet
length of a string, x	$ x $	sum of occurrences of its symbols
set of all strings	Σ^*	set of all strings composed from letters in Σ ; like a powerset of a set
set of all non-empty strings	Σ^+	set of all non-empty strings composed from letters in Σ
set of all strings of length k	Σ^k	set of all strings of length k composed from letters in Σ ; size of $\Sigma^k = \Sigma ^k$
language		collection of strings over an alphabet

computational model — idealised computer

finite state machine (or finite automaton) — simplest computational model

- formal definition: a finite automaton is a 5-tuple (consisting of 5 parts): set of states, input alphabet, rules for moving, start state, accept states
 - A finite automaton is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where
 1. **Q** is a finite set called the **states**,

2. Σ is a finites set called the **alphabet** (the set of your inputs, e.g $\{1,0\}$, $\{a, b\}$),
 3. $\delta: Q \times \Sigma \rightarrow Q$ is the **transition function**—defines rules for moving
 4. $q_0 \in Q$ is the **start state**
 5. $F \subseteq Q$ is the set of **accept states** (or **final states**)
- language of machine M — set of all strings that machine M accepts
 - denoted by: $L(M) = A$ (where A is the set of all strings that machine M accepts)
 - we can say that **M recognises A** or **M accepts A** (but don't usually use *accepts* because different meaning where machines accepts strings and machines accept languages so use *recognises* instead)
 - regular language — a language that some finite automaton recognises
 - regular operations — the three operations on languages
 - Let A and B be languages. We define the regular operations **union**, **concatenation**, **star** as follows:
 - union: $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$
 - concatenation: $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$
 - attaches string in A in front of string in B in all possible ways to get the strings in the new language
 - star: $A^* = \{x_1, x_2 \dots x_k \mid k \geq 0 \text{ and each } x_i \in A\}$
 - attaches any number of strings in A together to get a string in the new language; 0 or an empty string ϵ is always included in A^*
 - applies to single language rather than two different languages; it's a **unary operation** not a binary operation
 - example:

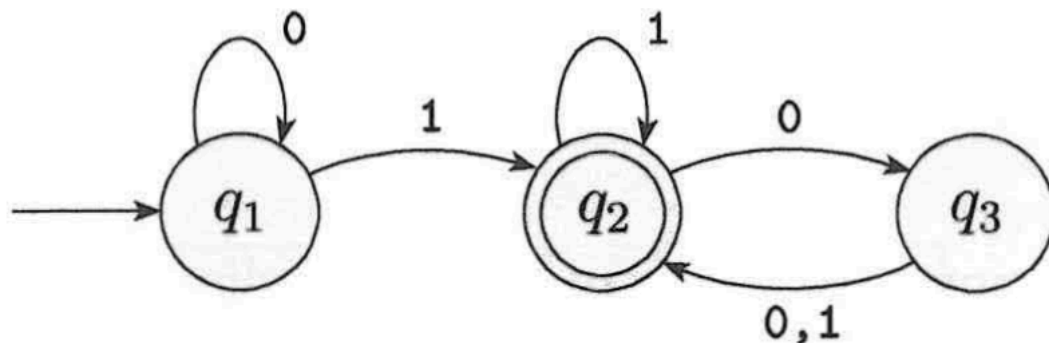
Let the alphabet Σ be the standard 26 letters $\{a, b, \dots, z\}$. If $A = \{\text{good}, \text{bad}\}$ and $B = \{\text{boy}, \text{girl}\}$, then

$$A \cup B = \{\text{good}, \text{bad}, \text{boy}, \text{girl}\},$$

$$A \circ B = \{\text{goodboy}, \text{goodgirl}, \text{badboy}, \text{badgirl}\}, \text{ and}$$

$$A^* = \{\epsilon, \text{good}, \text{bad}, \text{goodgood}, \text{goodbad}, \text{badgood}, \text{badbad}, \text{goodgoodgood}, \text{goodgoodbad}, \text{goodbadgood}, \text{goodbadbad}, \dots\}.$$

- a collection of objects is closed under some operation, if applying said operation to members of that collection returns an object still in that collection
- to prove that a language is a regular one we have to make a finite automaton, M , recognise said language: check proof on page 46 of [FCS Automata](#)
- good models for computers with very limited memory
- example of finite automaton M1:



- above is the **state diagram** of M1
 - M1 has three **states**, q_1, q_2, q_3
 - **starting state** — q_1
 - **accept state** — q_2 ; double circle; where your input must end for the automaton to output ACCEPT, otherwise output will be rejected
 - **transitions** — arrows pointing to the states
- written in formal notation

- $Q = \{q_1, q_2, q_3\}$
- $\Sigma = \{0, 1\}$
- δ is described as

	0	1	read as: if input to q_1 is 0 then q_1 , if input to q_1 is 1 then q_2 , etc
q_1	q_1	q_2	
q_2	q_3	q_2	
q_3	q_2	q_2	

- q_1 is the start state
 - $F = \{q_2\}$; q_2 is the accepting/final state
- receives input from left to right, e.g 1011 is 1,0,1,1

Week 8 - Automata Theory P2 (Deterministic and Nondeterministic Finite Automata)

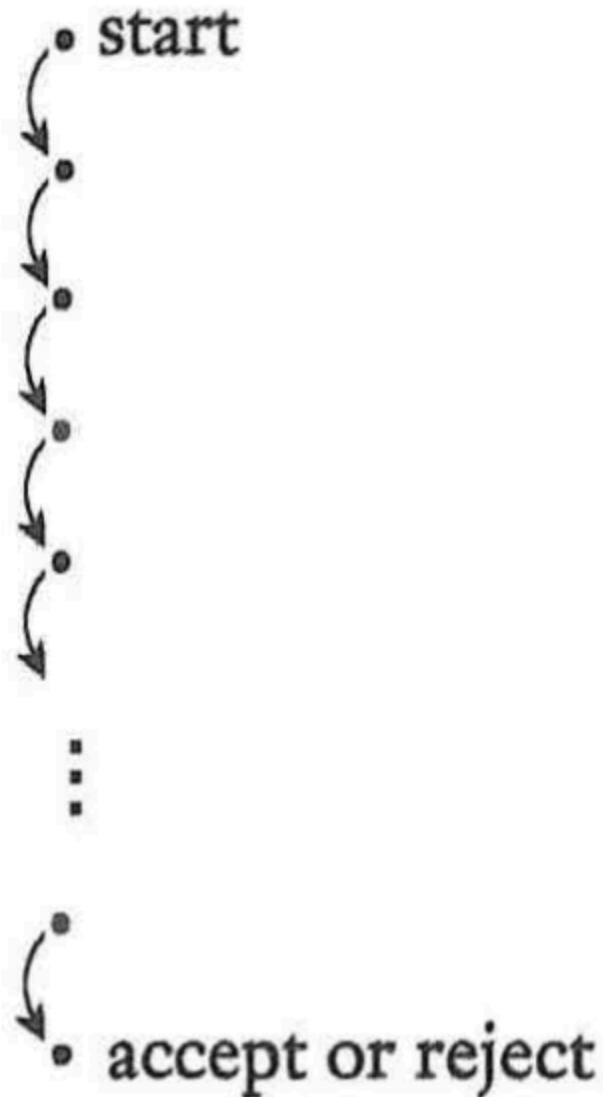
Determinisim

deterministic computation — when we are able to determine the next state by reading the next input symbol of a given state

deterministic finite automata (DFA) — is a finite-state-machine that accepts or rejects a given string of symbols, by running through a state sequence uniquely determined by the string

- has exactly one exiting transition arrow for each symbol in the alphabet
- labels on the transition arrows are symbols from the alphabet
- simplest FA
- visual graph:

Deterministic computation



Nondeterminism

nondeterminism — a generalisation of determinism

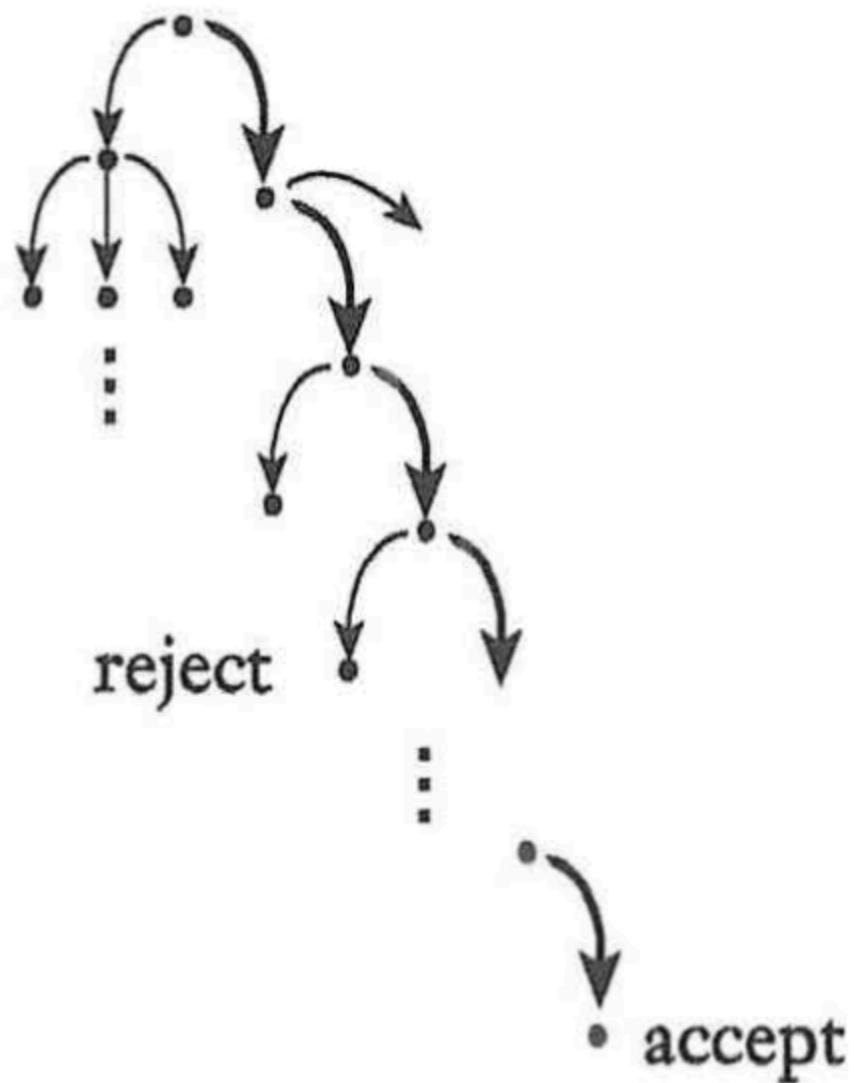
- kind of parallel computation wherein multiple independent "processes" or "threads" can run concurrently

nondeterministic finite automata (NFA) — a more general form of DFA and is not bound by the same laws as DFA

- formal definition: a nondeterministic finite automaton is a 5-tuple (consisting of 5 parts): set of states, input alphabet, rules for moving, start state, accept states
 - A nondeterministic finite automaton is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where
 1. Q is a finite set called the **states**,
 2. Σ is a finite **alphabet** (the set of your inputs, e.g. $\{1,0\}$, $\{a, b\}$),
 3. $\delta: Q \times \Sigma \rightarrow P(Q)$ (**powerset of Q**) is the **transition function**—defines rules for moving
 4. $q_0 \in Q$ is the **start state**
 5. $F \subseteq Q$ is the set of **accept states** (or **final states**)
 - similar to DFA except they differ in the type of transition function they have
 - DFA: transition function takes a state and an input symbol and produces the next state
 - NFA: transition function takes a state and an input symbol or the **empty string** and produces the **set of possible next states**
- every DFA is also a NFA
- a state may have zero, one, or many exiting arrows for each alphabet symbol
- may have arrows labeled with members of the alphabet or ϵ ; zero, one, or many exiting arrows may have label of ϵ
- process of NFA:
 - machine splits into multiple copies and follows all possibilities in parallel once it encounters an input with several outputs
 - if next input symbol doesn't appear on any of the exiting arrows of a copy, then copy dies
 - if ANY ONE of the copies reach the accept state, then NFA accepts the input
- process of NFA with ϵ exiting symbols:
 - splits into multiple copies, with one staying at current state

- then proceeds nondeterministically
- when NFA splits it **forks** into several children
- visual graph:

Nondeterministic computation



Equivalence of NFAs and DFAs

- recognise same class of languages
- every NFA has an equivalent DFA