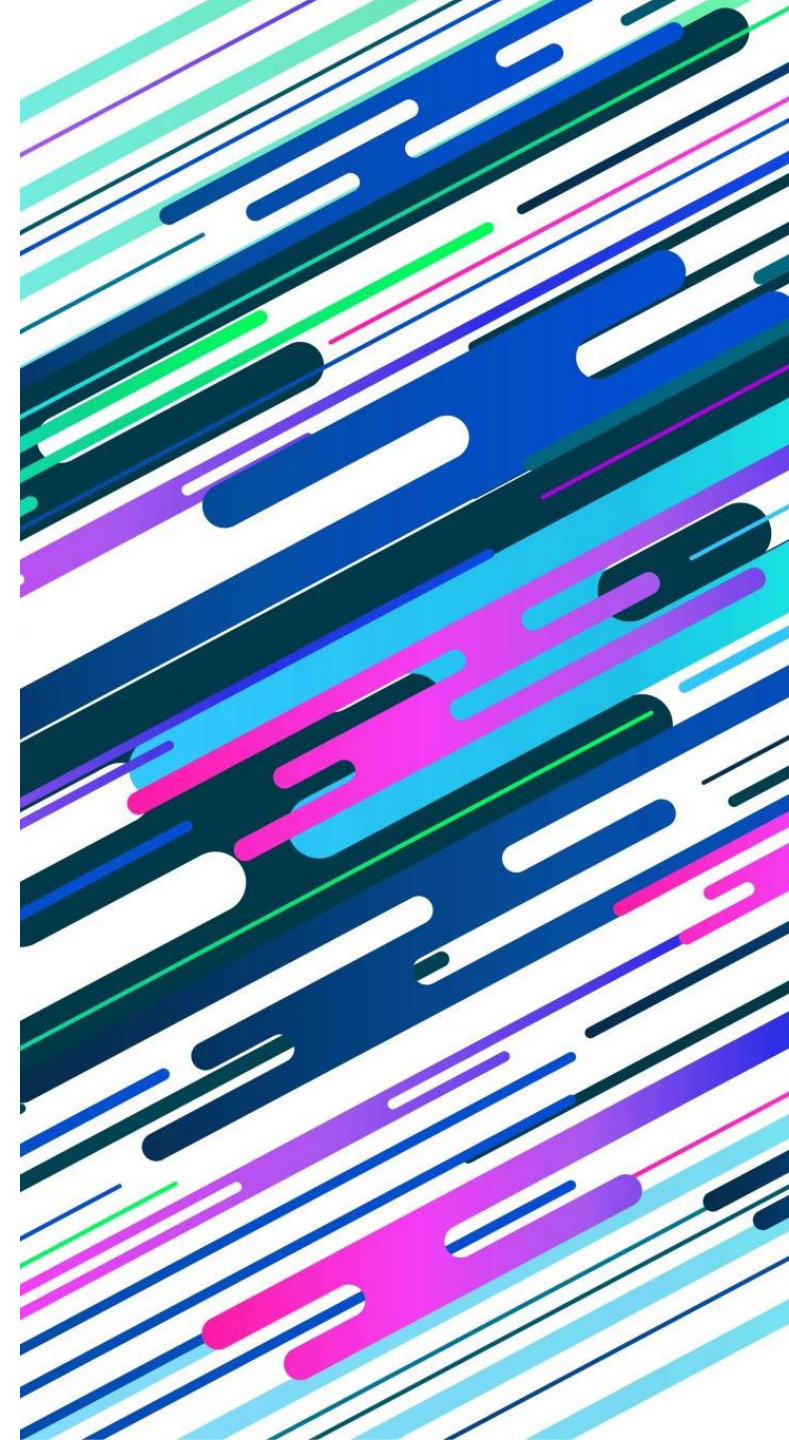


# STATE-BASED MODELS

Thomas Tiam-Lee, PhD



# Water Pouring Puzzle



**GLASS A**  
(8 ml)



**GLASS B**  
(5 ml)



**GLASS C**  
(3 ml)

- You have **3 glasses** A, B, C of different sizes.
- Glass A is filled with water.
- Your goal is to have **two glasses with exactly 4 ml water each**.
- You don't have any other materials aside from the glasses nor any additional water source.
- **What are the sequence of steps you need to do to accomplish this?**

# — Breaking Down the Problem

- Goal:

# Breaking Down the Problem

- **Goal:** Glass A and B both contain 4 ml of water. Consequently, Glass C should be empty.

# Breaking Down the Problem

- **Goal:** Glass A and B both contain 4 ml of water. Consequently, Glass C should be empty.
- **Starting Point:**

# Breaking Down the Problem

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# Breaking Down the Problem

- **Goal:** Glass A and B both contain 4 ml of water. Consequently, Glass C should be empty.
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- **Possible Actions:**

# Breaking Down the Problem

- **Goal:** Glass A and B both contain 4 ml of water. Consequently, Glass C should be empty.
- **Starting Point:** Glass A contains 8 ml of water. Glass B and Glass C are empty.
- **Possible Actions:** Choose one glass and pour **all** of its contents to another glass.

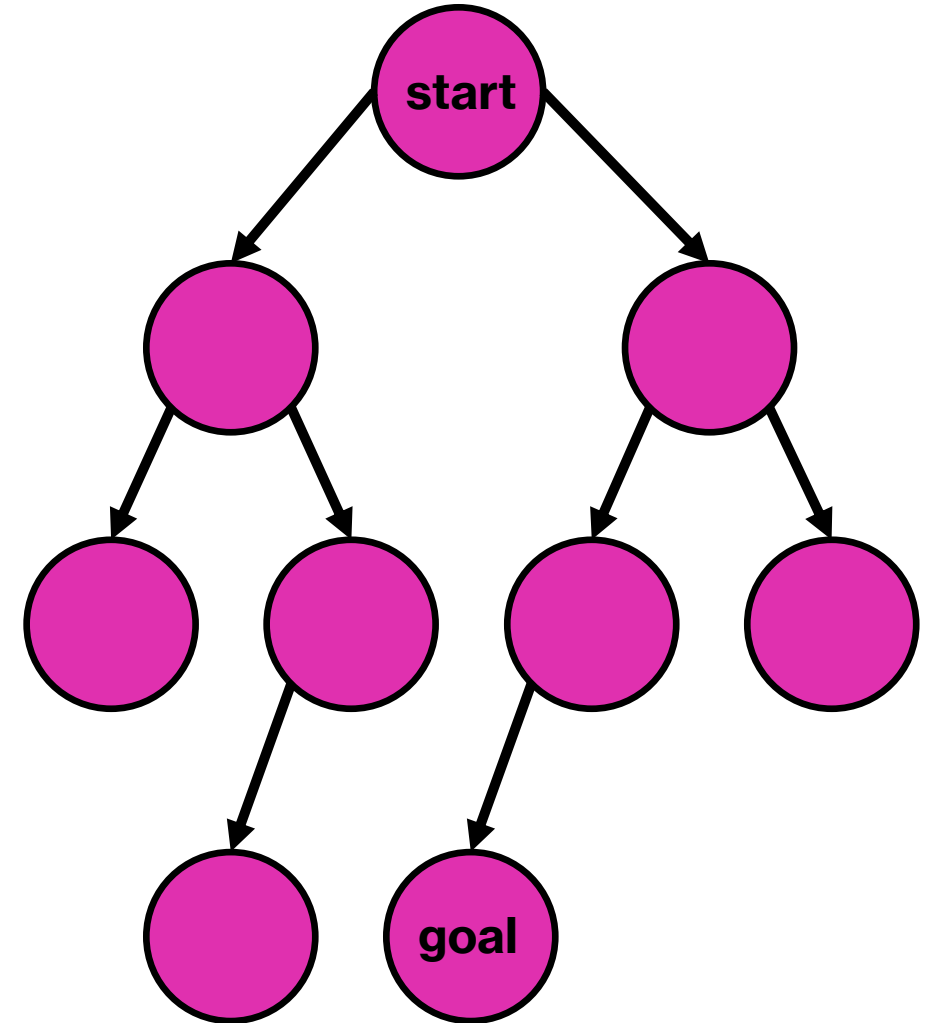


# Breaking Down the Problem

- **Goal:** Glass A and B both contain 4 ml of water. Consequently, Glass C should be empty.
- **Starting Point:** Glass A contains 8 ml of water. Glass B and Glass C are empty.
- **Possible Actions:** Choose one glass and pour **all** of its contents to another glass.
- **What We Want:** A sequence of actions that will move us from the starting point to the goal!

# State-Based Models

- **Modeling:** represent a problem as a set of **states**, connected by **actions**. Doing an action causes you to move from one state to another.
- **Inference:** **search for a sequence of actions** starting from the **initial state to a goal state**.
  - In some cases, the sequence of actions must optimize some objective (e.g., safest, fastest).



# Search Problem

- Main idea: systematically explore the state-space until a path from the start state to the goal state is found!
- **State space:** all the possible configurations a particular problem can be in

# Search Problem Formulation

- **State:** A **single configuration** of the problem. The state should contain **only the relevant information** about the problem.
- **Action:** A valid move from one state that will result into transitioning to another state.
- **Cost:** (optional) the **penalty** associated with a particular action.

# Search Problem Formulation

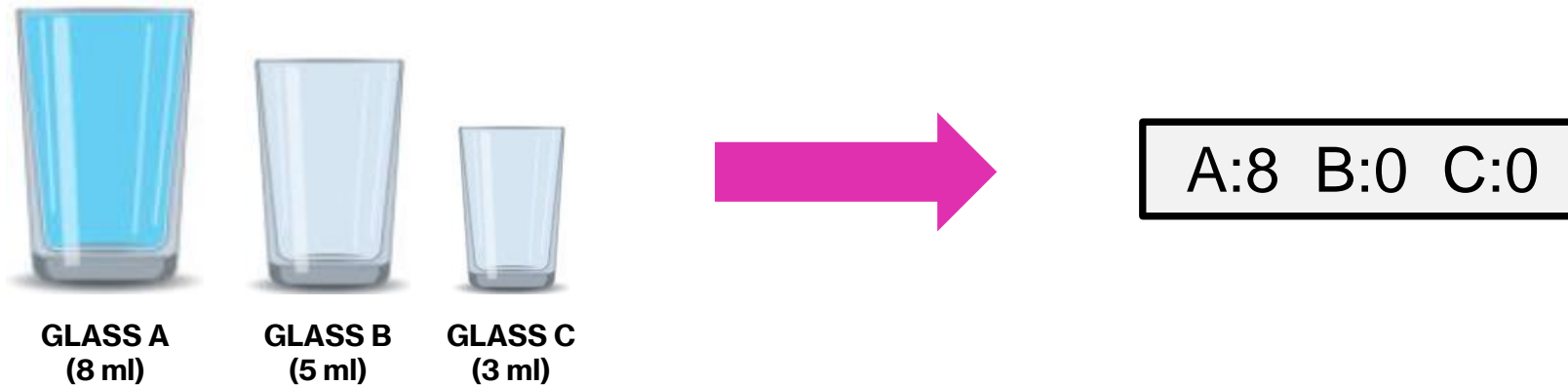
- **State:** A **single configuration** of the problem. The state should contain **only the relevant information** about the problem.
- **Action:** A valid move from one state that will result into transitioning to another state.
- **Cost:** the **penalty** associated with a particular action (can be set to be always 0 if no penalty).

# Search Problem Formulation

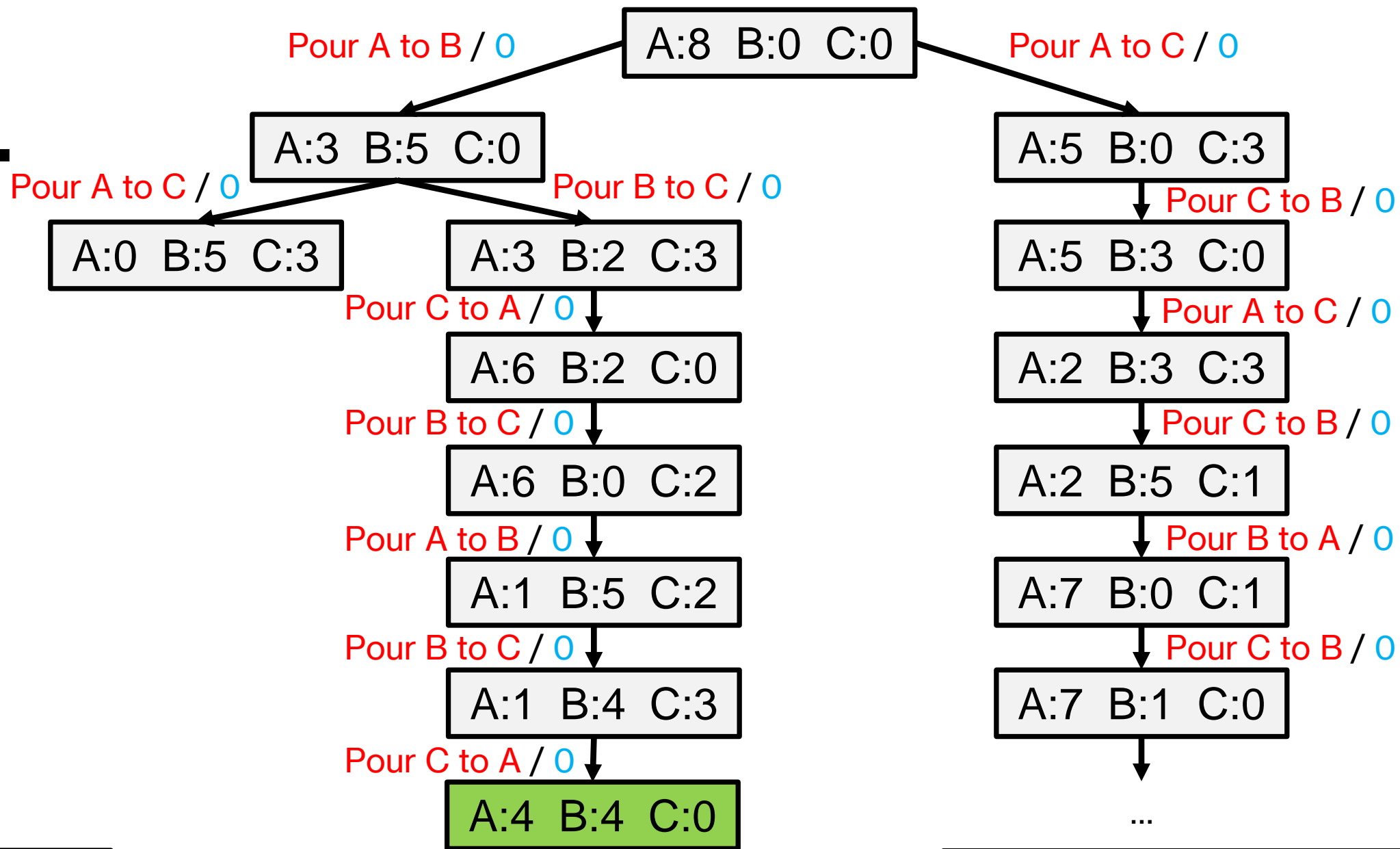
- $s_{start}$ : the initial / start state
- $Actions(s)$ : the set of possible actions from state  $s$
- $Cost(s, a)$ : the cost of performing action  $a$  from state  $s$
- $Succ(s, a)$ : the next state after performing action  $a$  from state  $s$
- $IsEnd(s)$ : true if  $s$  is a goal state or false otherwise

# Modeling the Water Pouring Puzzle As a Search Problem

- For simplicity, we will represent a state as follows:



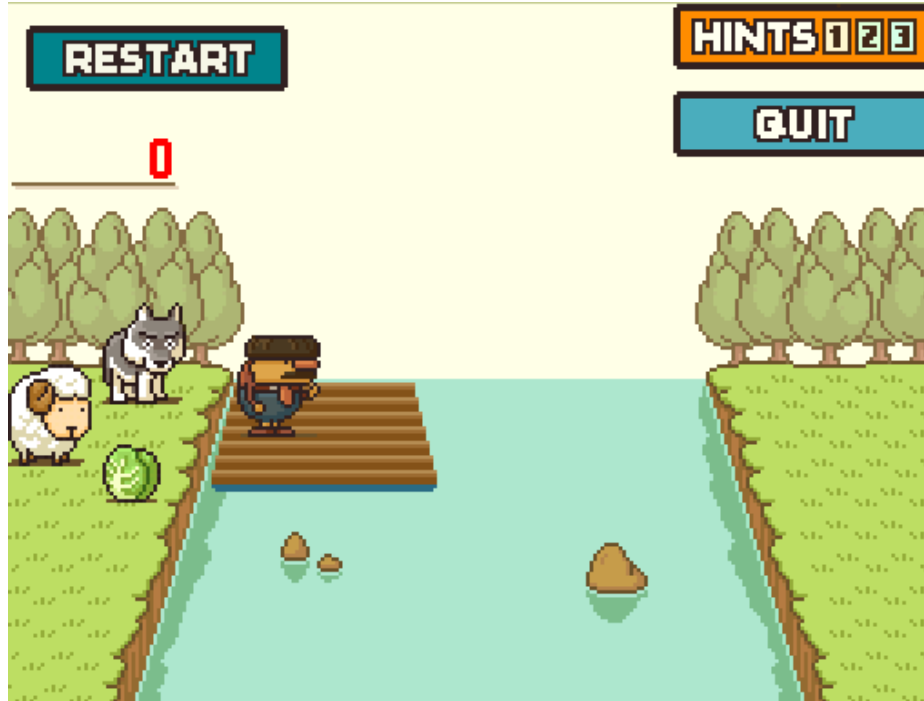
- For now, all costs will be set to 0 since we don't want to optimize anything about the solution (we just want to find any solution).



Note: We don't include actions that lead us back to states that we've already seen

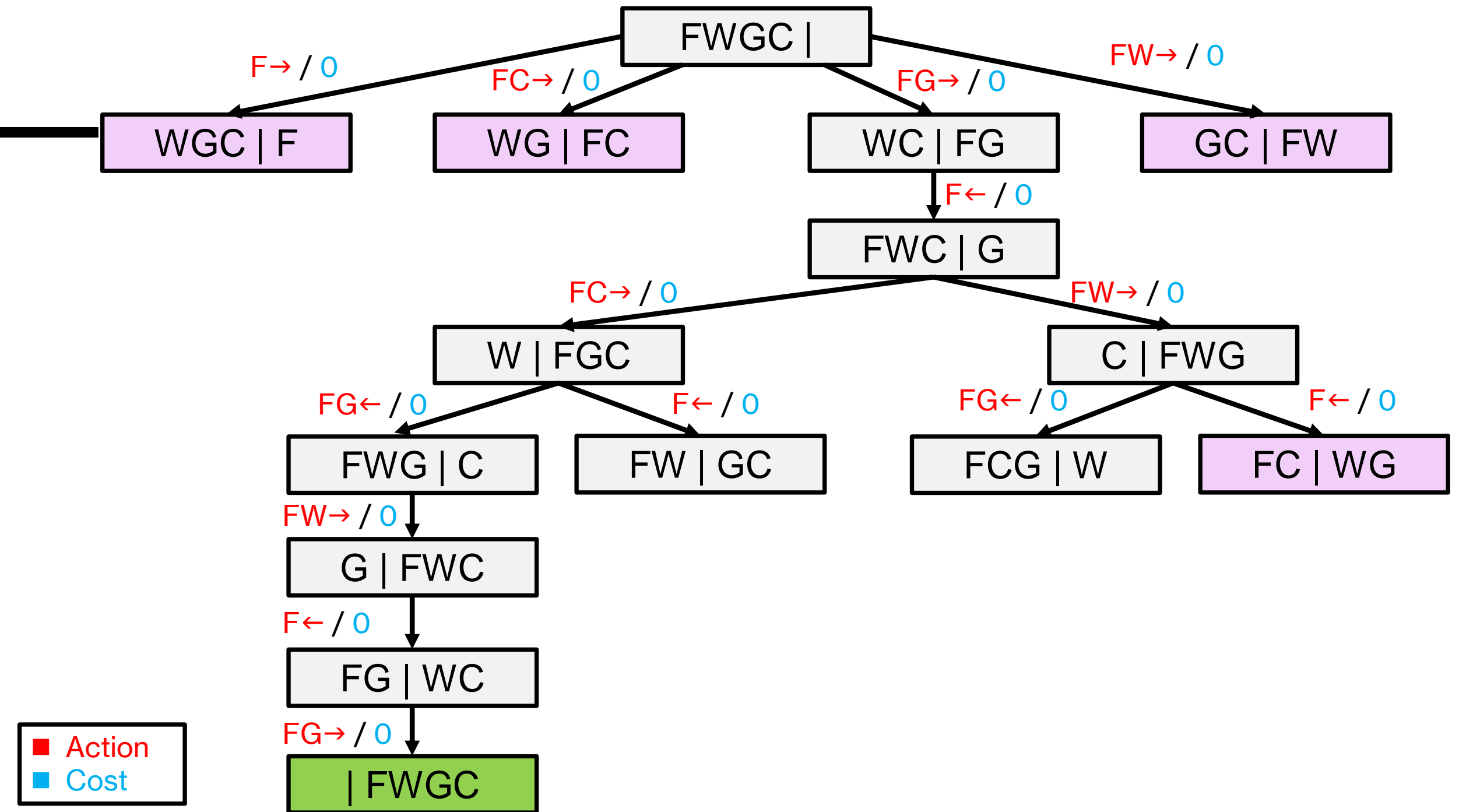


# Wolf, Goat, and Cabbage Puzzle



*The puzzle as featured in  
Professor Layton and the  
Curious Village (Level-5, 2008)*

- A **farmer** purchased a **goat**, **wolf**, and **cabbage** and he has to cross the river.
- There is only one raft across, and the farmer can only take one item at a time per crossing.
- If left unattended on either side, the **wolf will eat the goat**, and the **goat will eat the cabbage**.
- Challenge: cross the river with all his possessions intact.

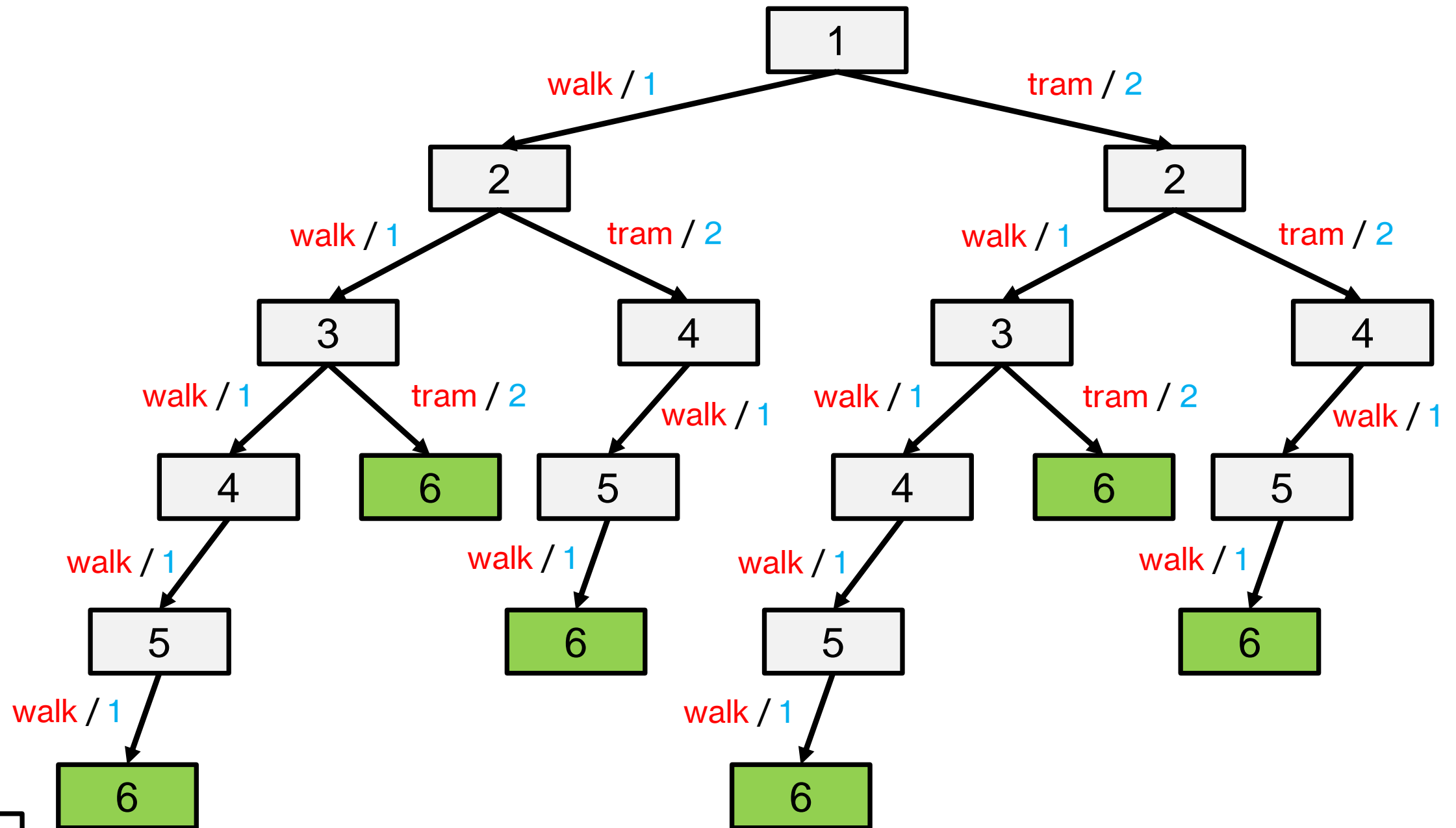


# Transport Puzzle

- There are streets with blocks numbered 1 to  $n$ .
- Walking from  $s$  to  $(s + 1)$  takes 1 minute.
- Taking a magic tram from  $s$  to  $(s \times 2)$  takes 2 minutes.
- How do you travel from 1 to  $n$  in the least amount of time?



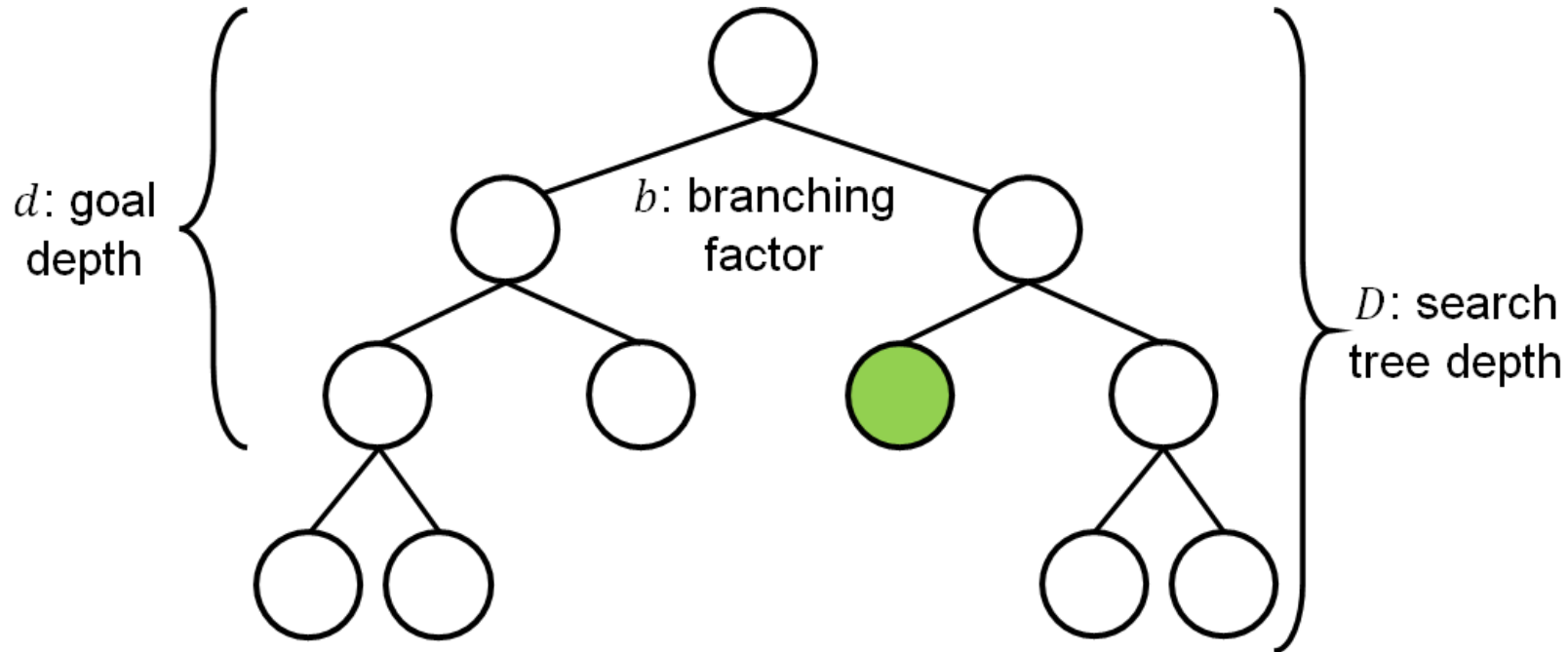
$n = 6$



■ Action  
■ Cost

# Size of a Problem

- Total number of possible states



- 8-puzzle: 181440 states
- Tic-Tac-Toe:  $3^9$  states
- Rubik's Cube:  $10^{19}$  states
- Chess:  $10^{111}$  to  $10^{123}$  states

# **Inference: Tree Search Algorithms**

- Backtracking Search
- Depth-First Search (DFS)
- Breadth-First Search (BFS)
- DFS with Iterative Deepening (DFS-ID)

# Evaluating a Search Algorithm

- **Completeness**: does it always find a solution if it exists?
- **Time Complexity**: how many states does it have to explore before returning a solution? (worst case)
- **Space Complexity**: how many states does it have to store in memory at any given point in time? (worst case)
- **Optimality**: does it always return the solution with least total cost?

# Backtracking Search

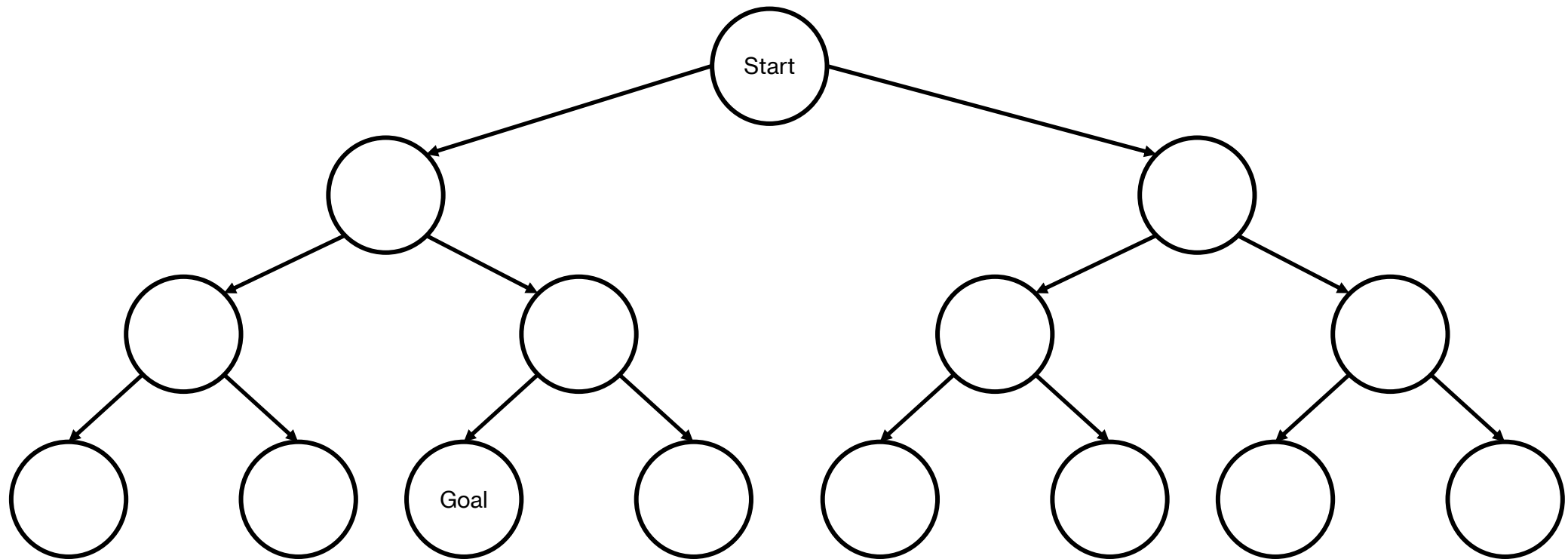
- Explore **all** states naively.

## ALGORITHM

```
def backtrackingSearch(s, path)  
    if IsEnd(s) then  
        Update the minimum cost path  
    for each a ∈ Actions(s) do  
        Extend path with Succ(s, a) and Cost(s, a)  
        Call backtrackingSearch(Succ(s, a), path)  
    Return the minimum cost path
```

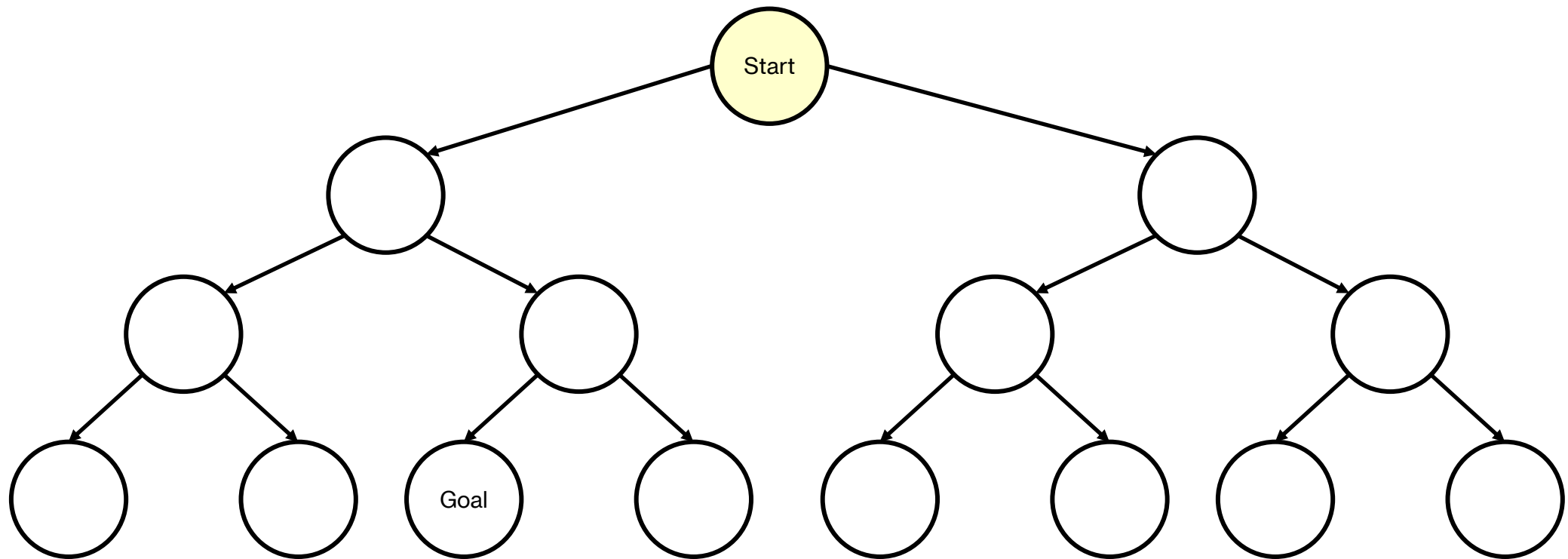


# Backtracking Search



■ Frontier  
■ Explored

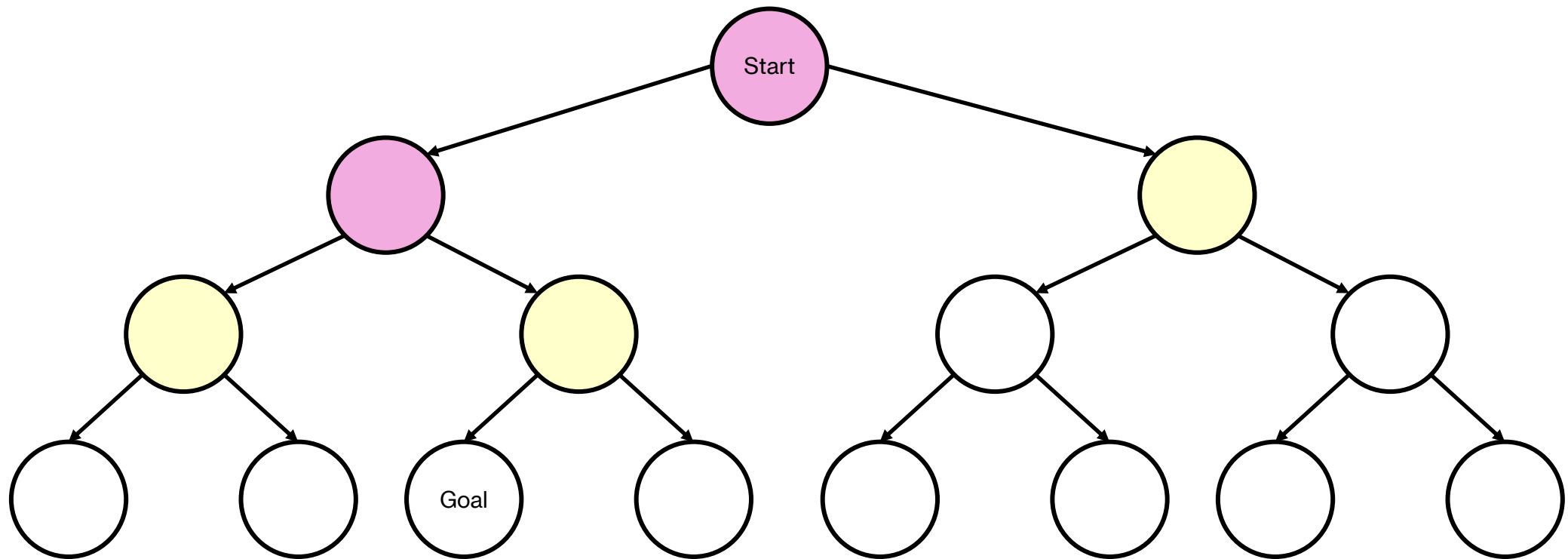
# Backtracking Search



■ Explored  
■ Frontier

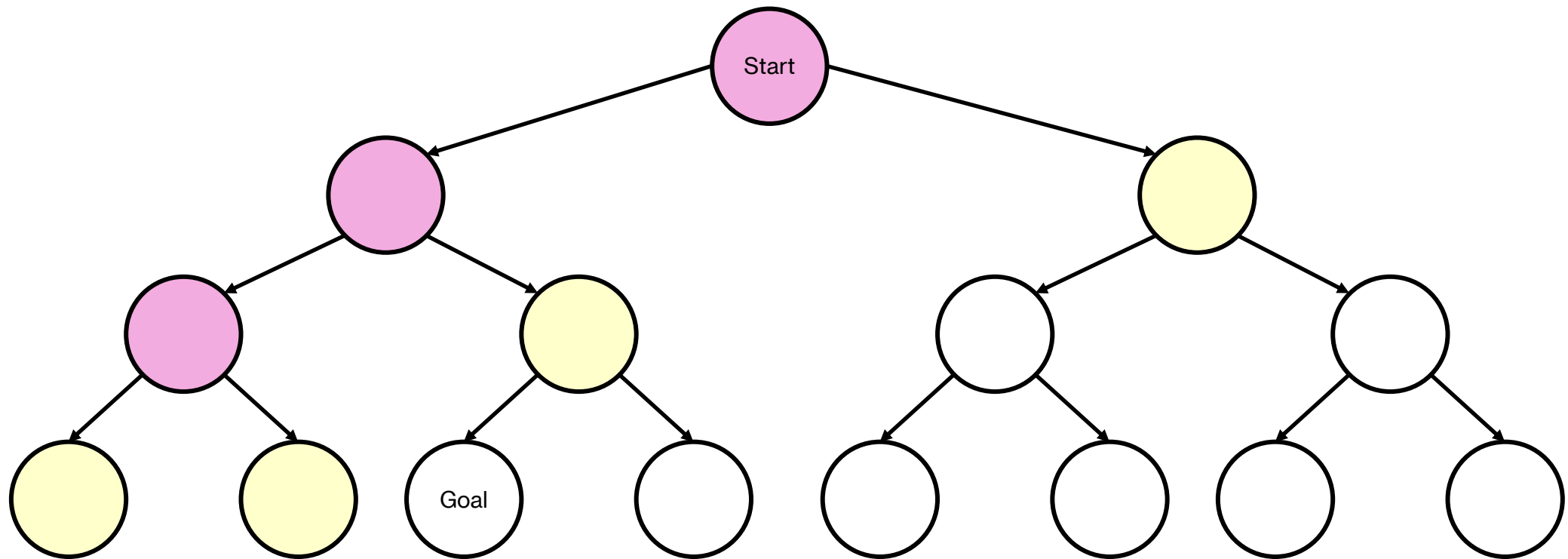


# Backtracking Search



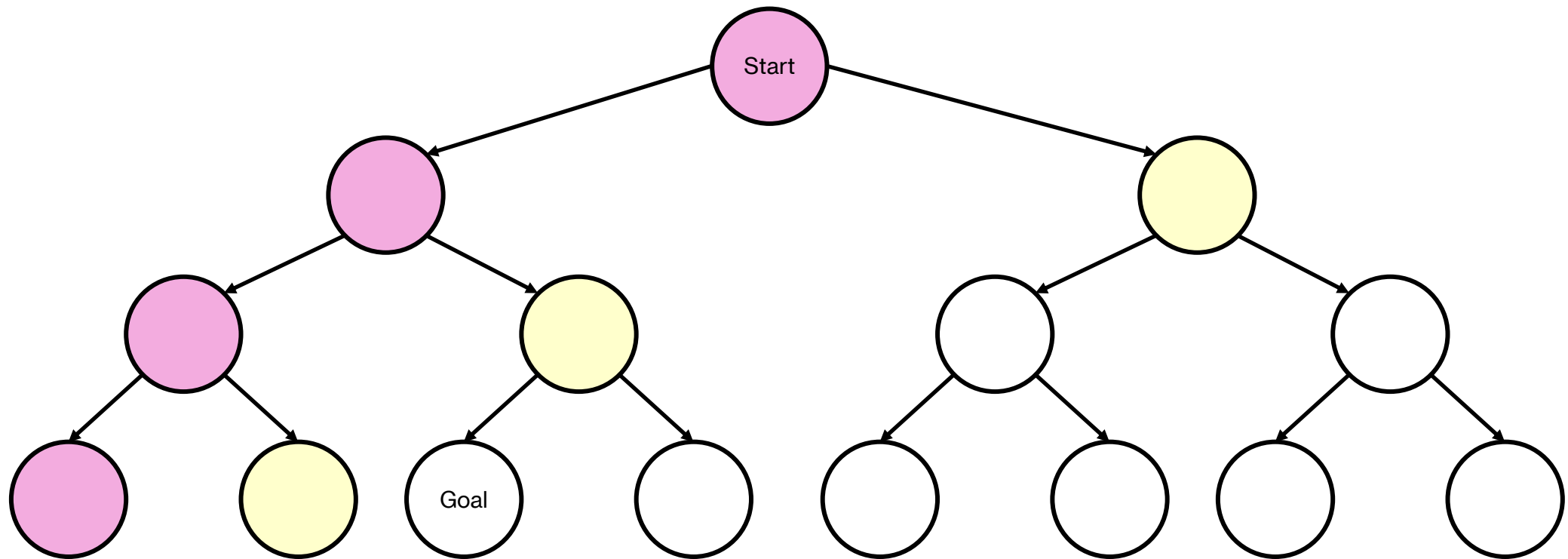
■ Explored  
■ Frontier

# Backtracking Search



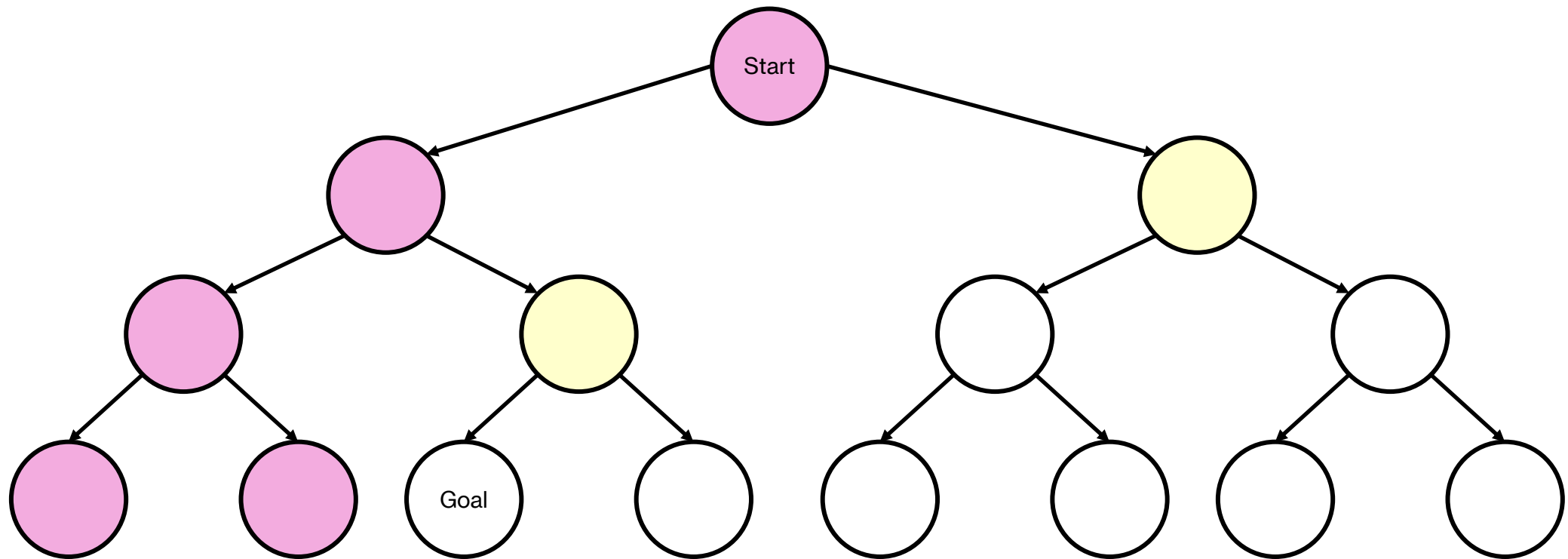
■ Explored  
■ Frontier

# Backtracking Search



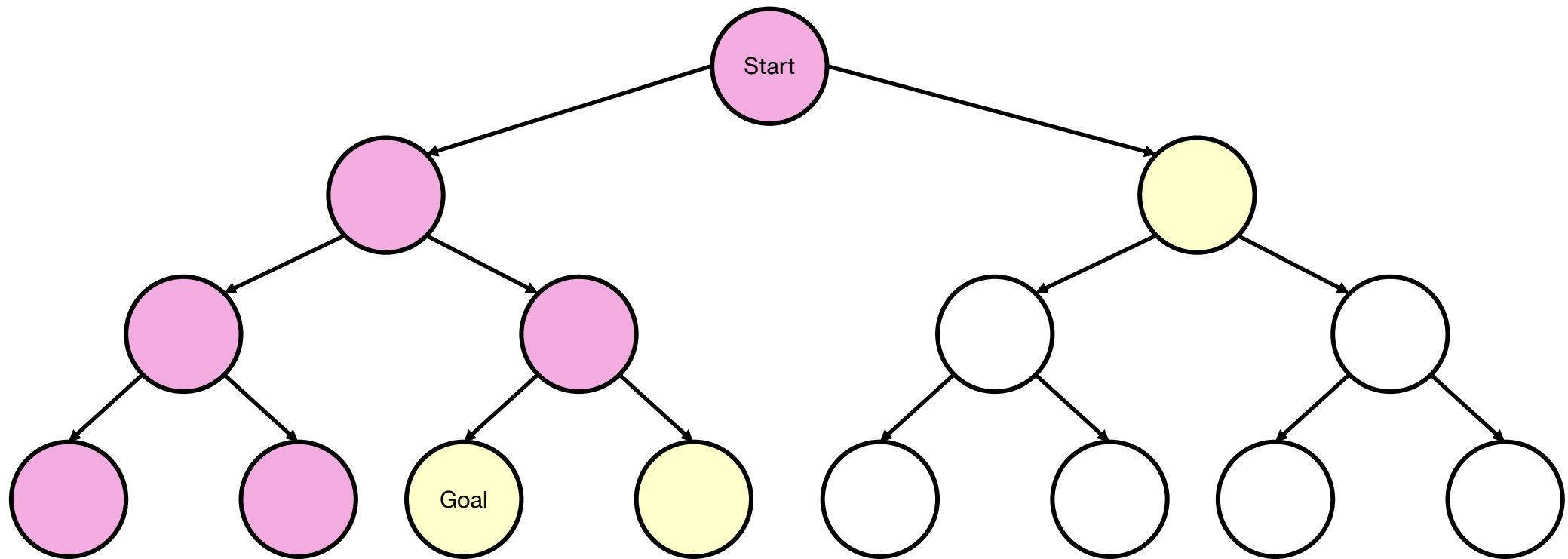
■ Explored  
■ Frontier

# Backtracking Search



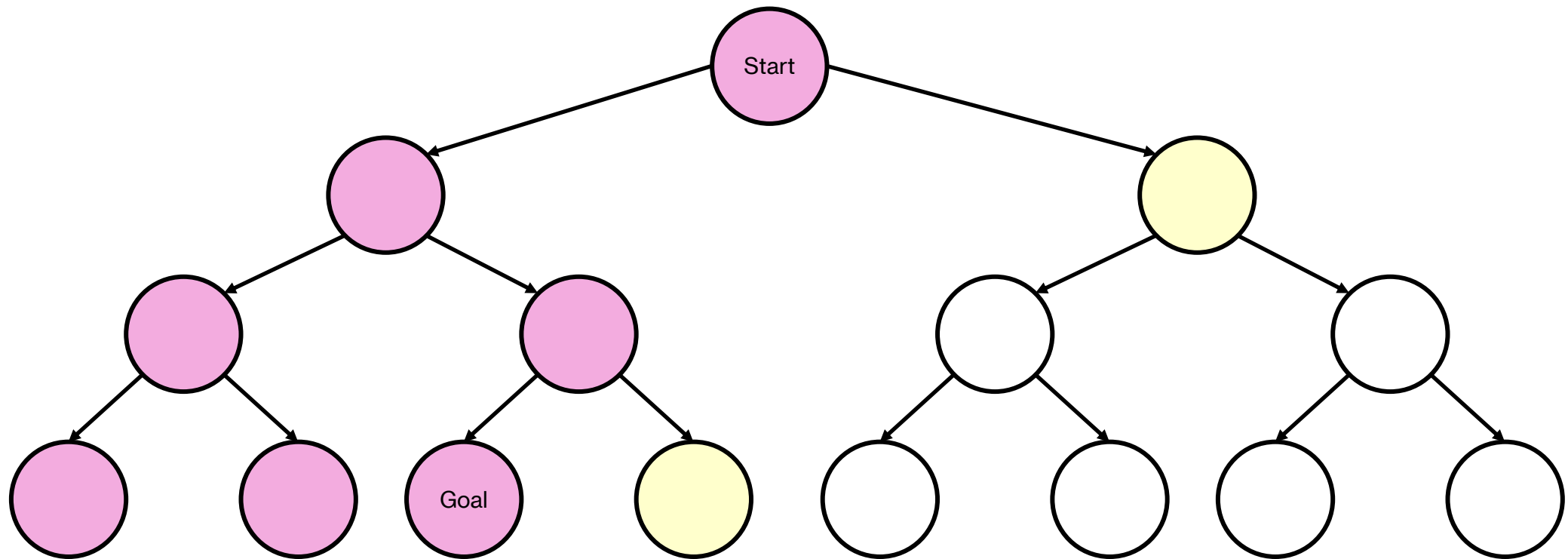
■ Explored  
■ Frontier

# Backtracking Search



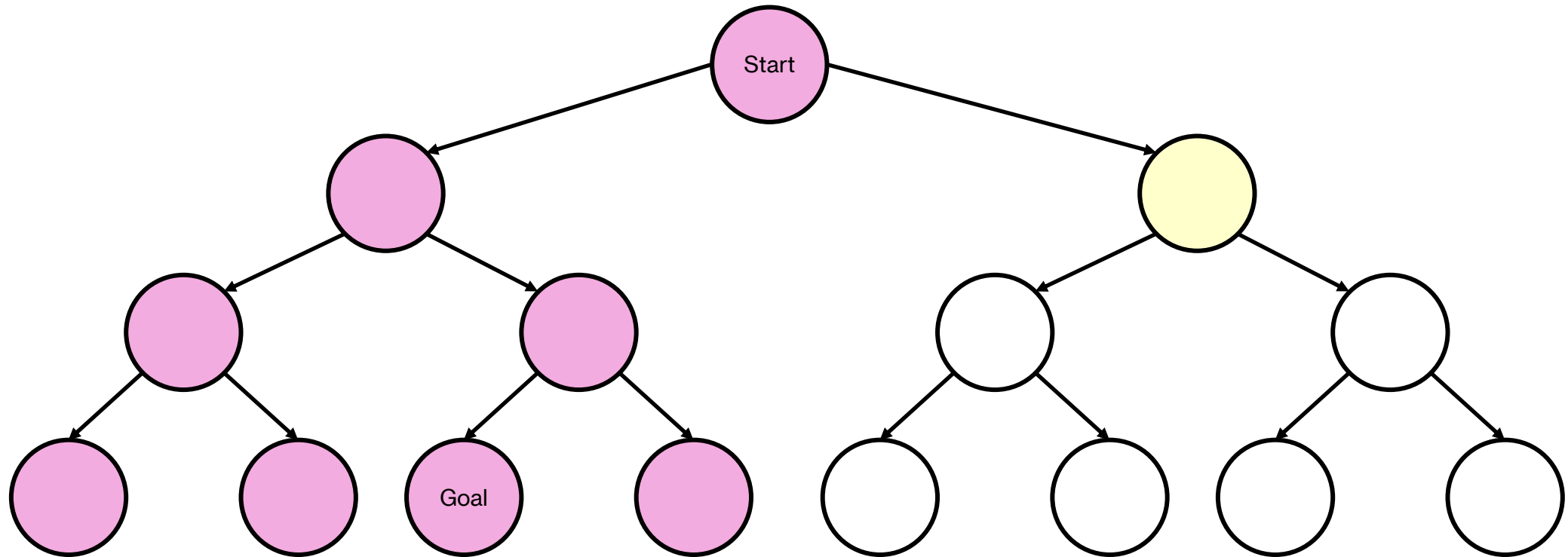


# Backtracking Search

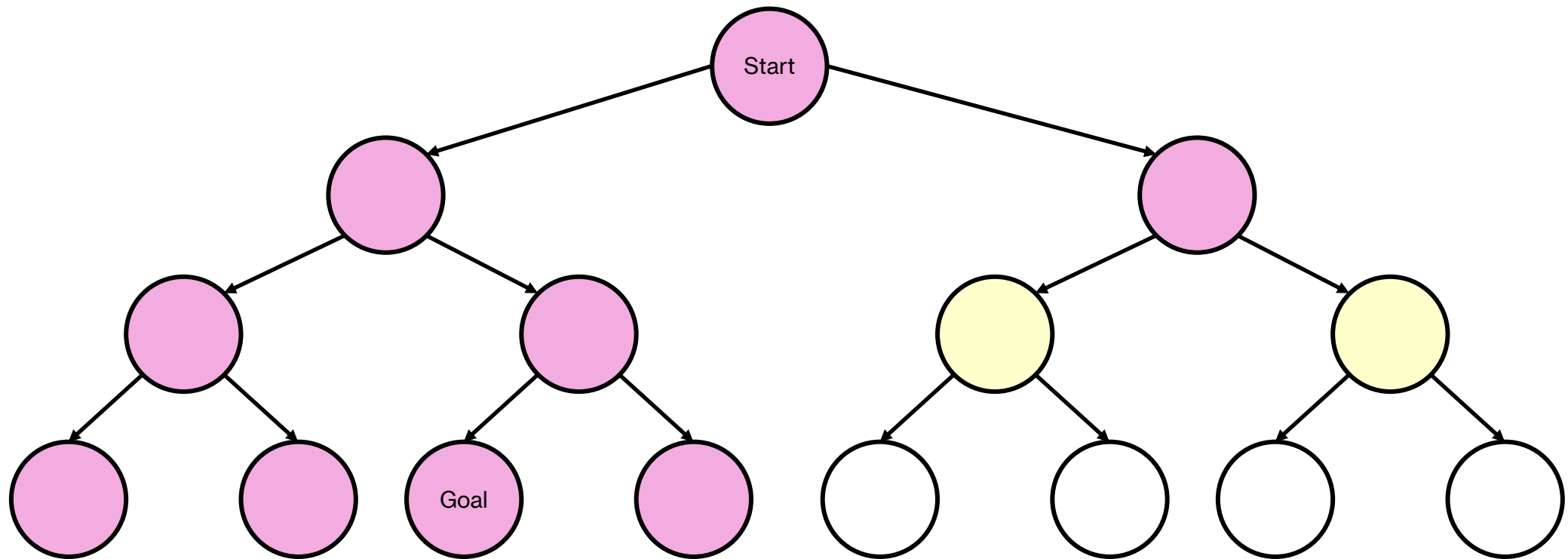


■ Explored  
■ Frontier

# Backtracking Search

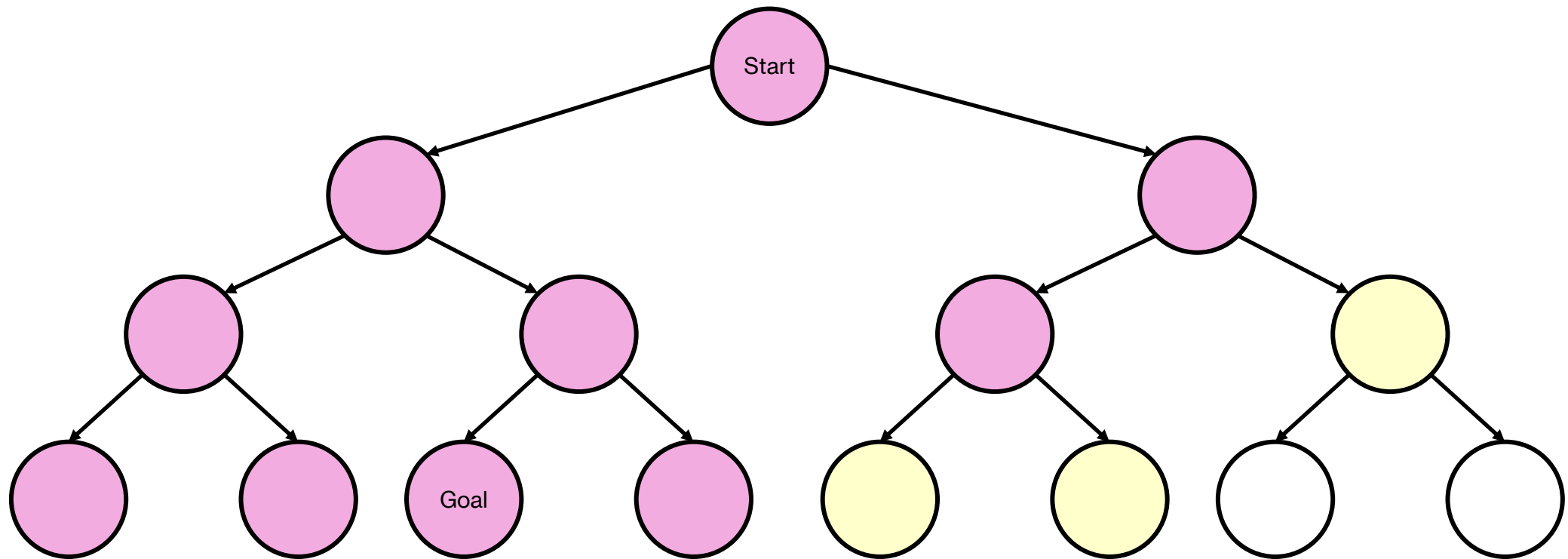


# Backtracking Search

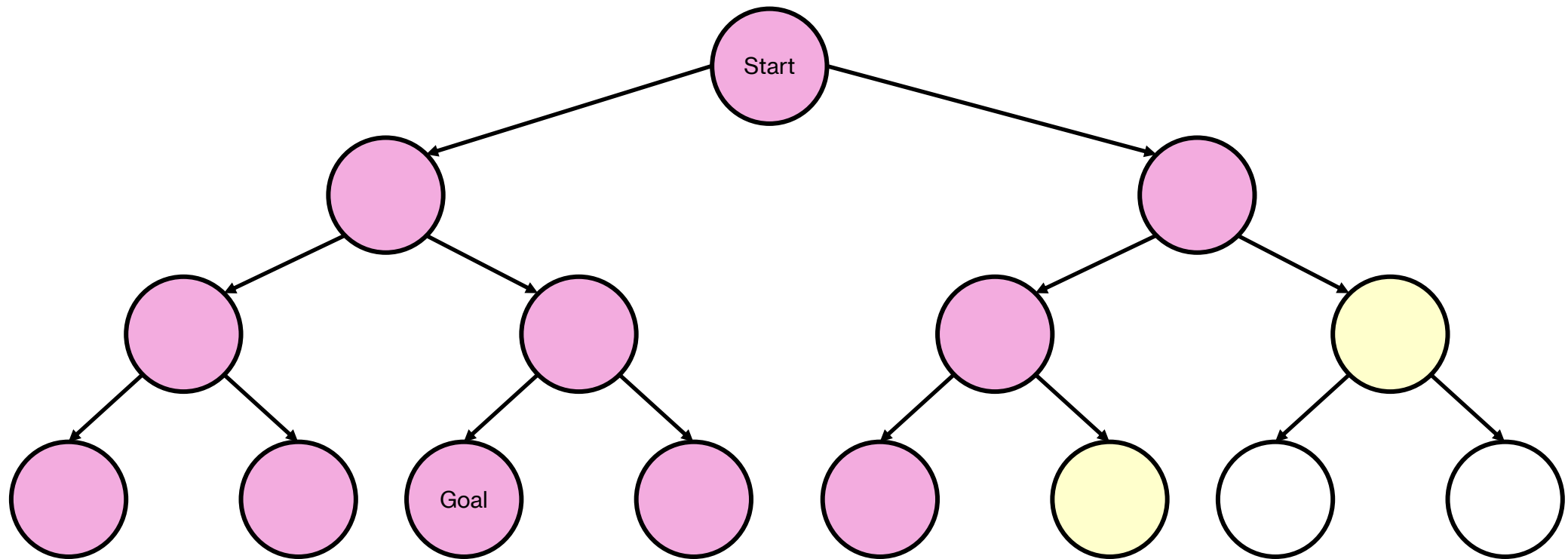


■ Explored  
■ Frontier

# Backtracking Search

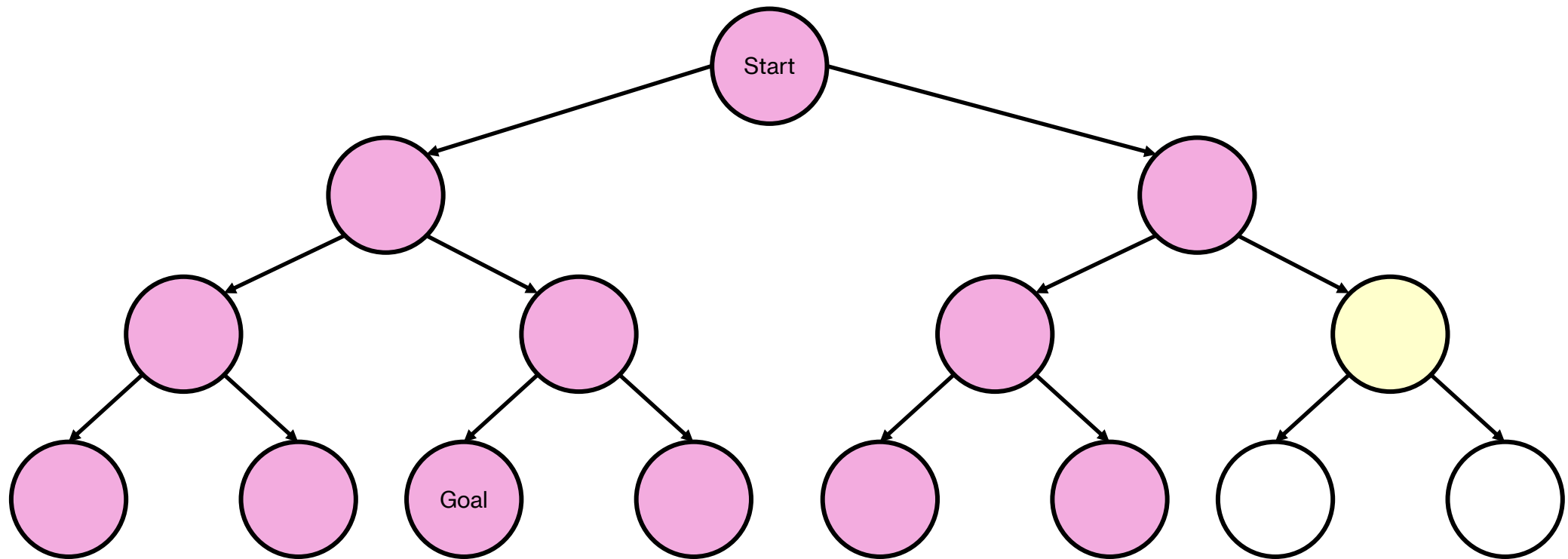


# Backtracking Search



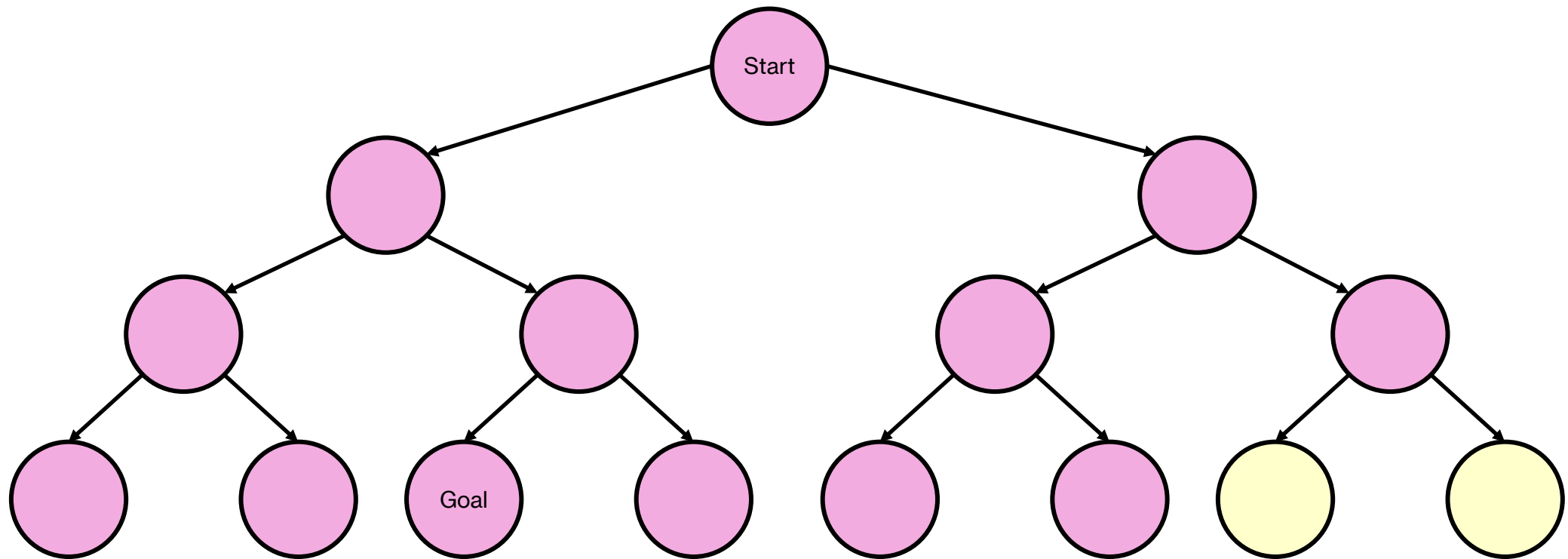
■ Explored  
■ Frontier

# Backtracking Search



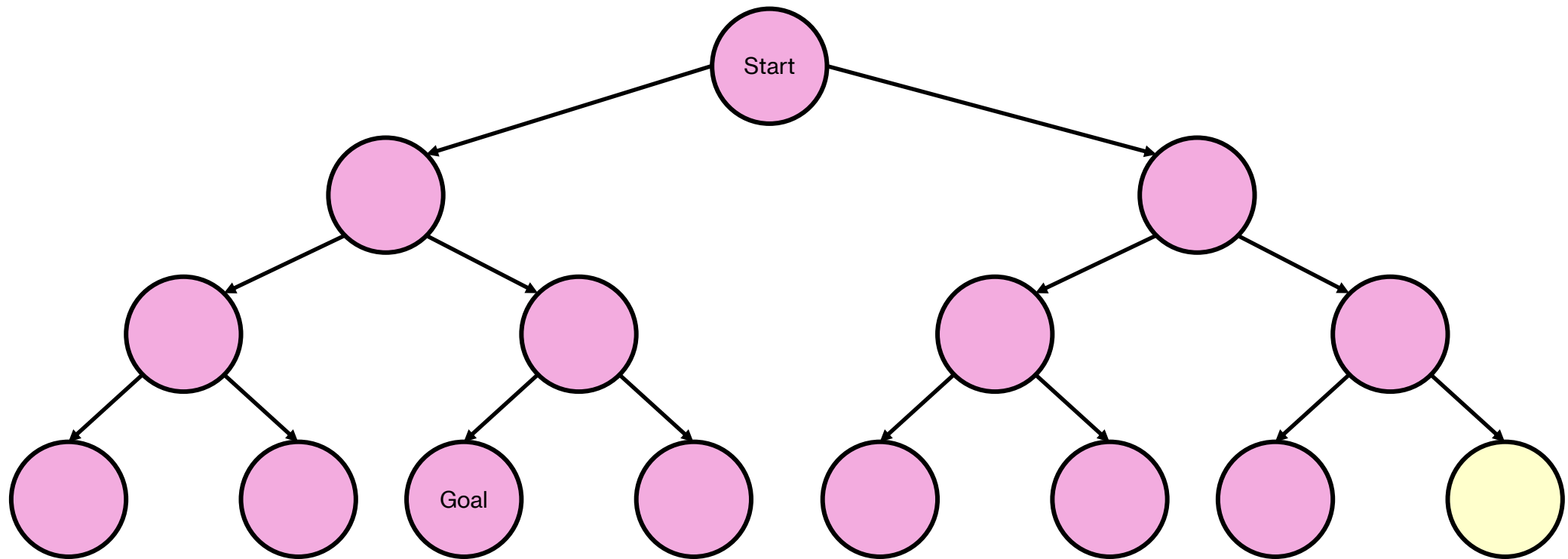
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■ Frontier

# Backtracking Search



■ Explored  
■ Frontier

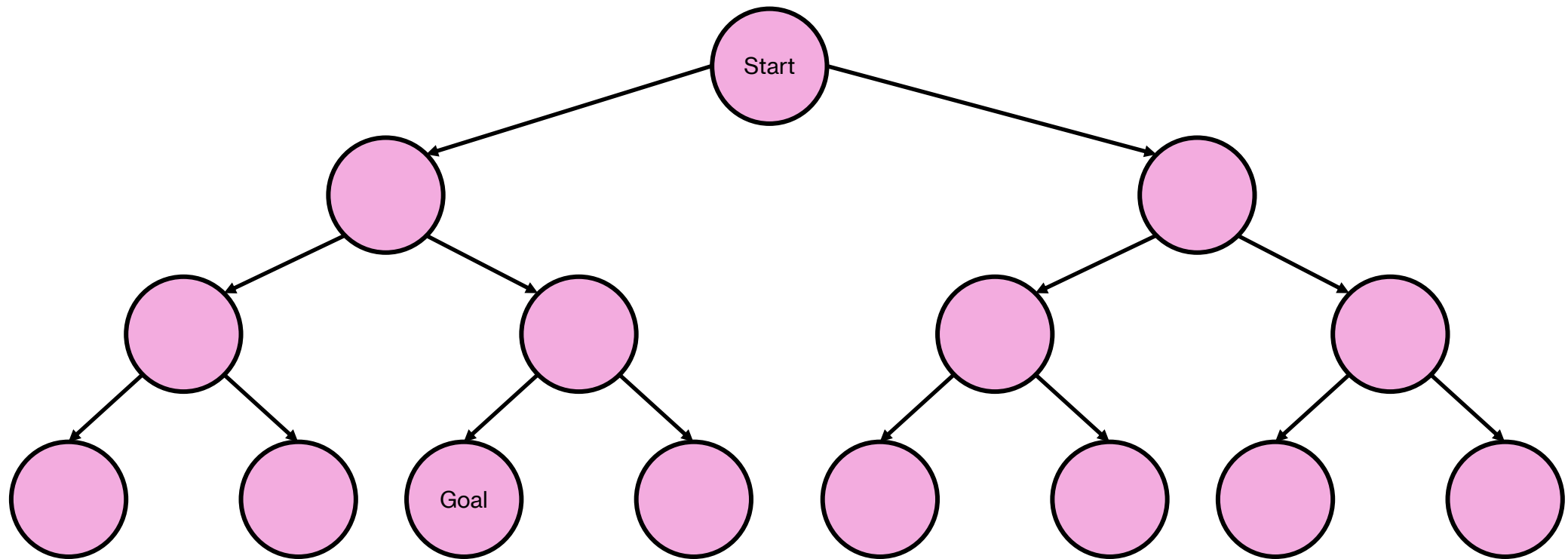
# Backtracking Search



■ Explored  
■ Frontier



# Backtracking Search



■ Explored  
■ Frontier

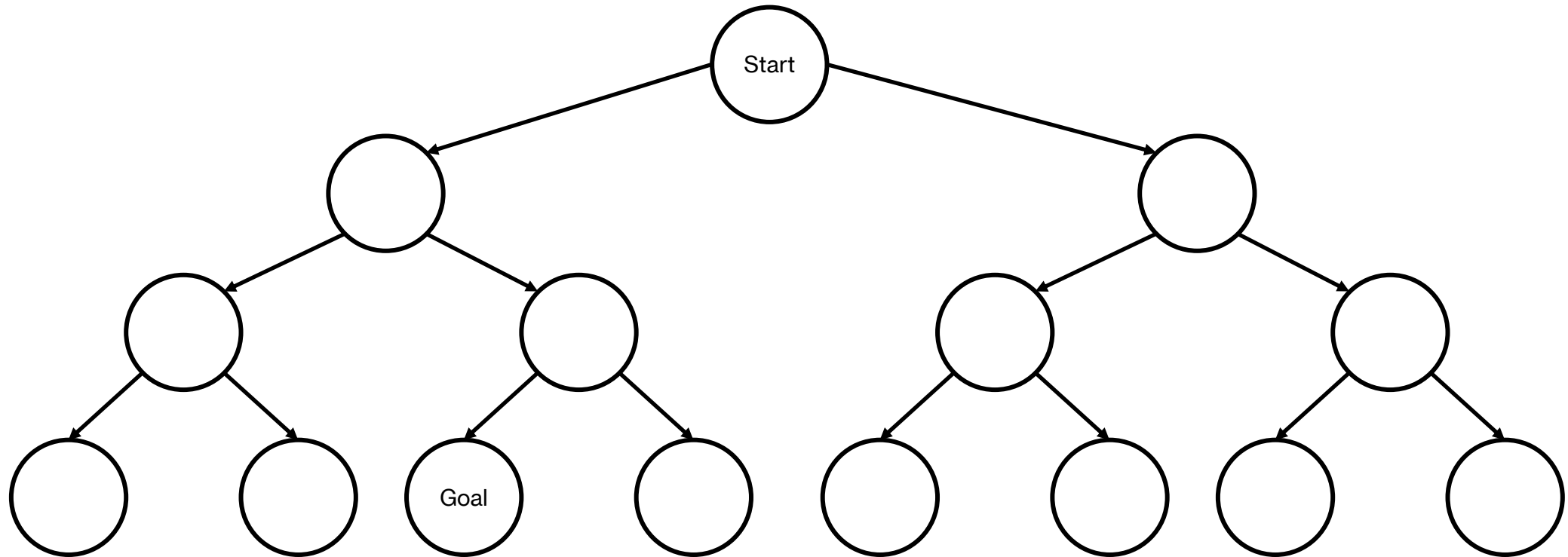
# Backtracking Search Characteristics

- If state space is finite, it is **complete** and **optimal**.
- Time complexity:  $O(D)$
- Space complexity:  $O(b^D)$

# Depth-First Search

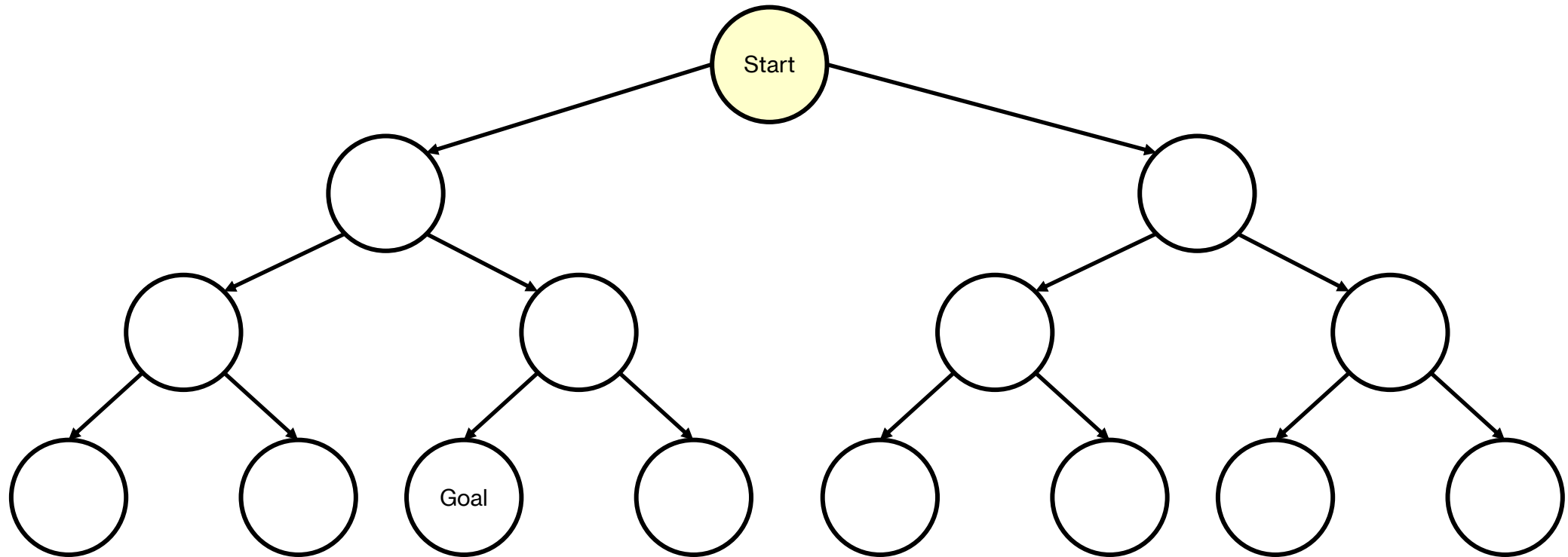
- Prioritize exploring deeper states
- Stop once a solution is found

# Depth-First Search



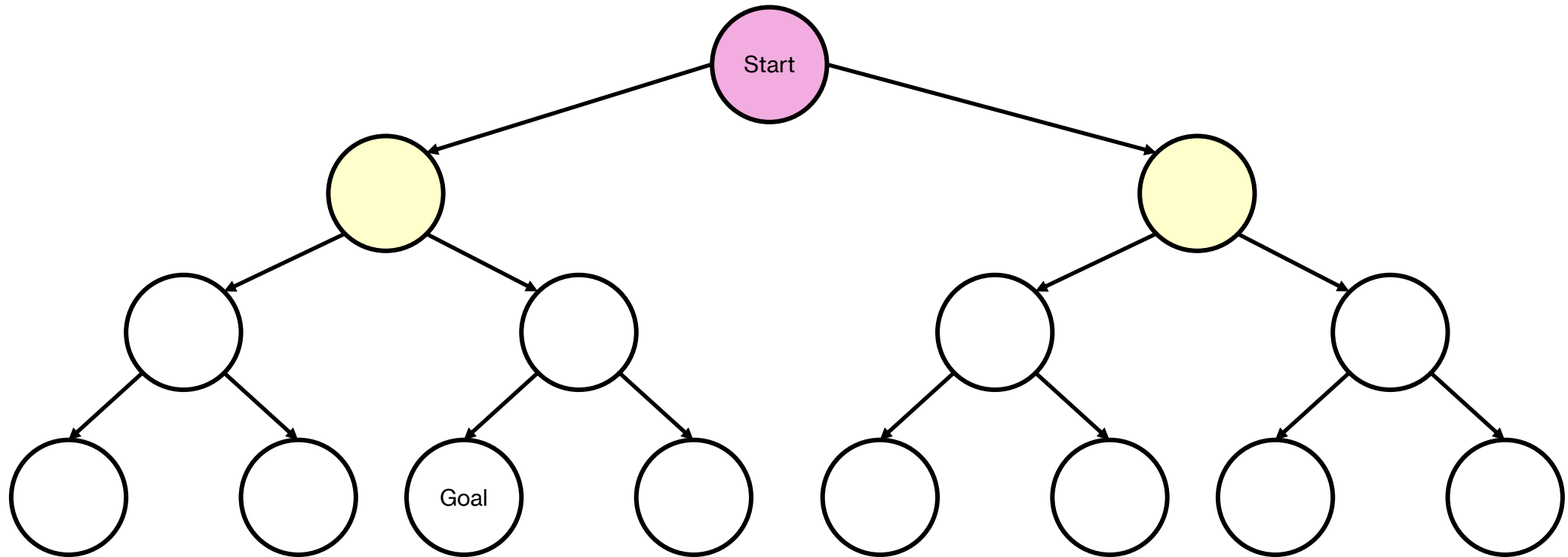
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■ Frontier

# Depth-First Search



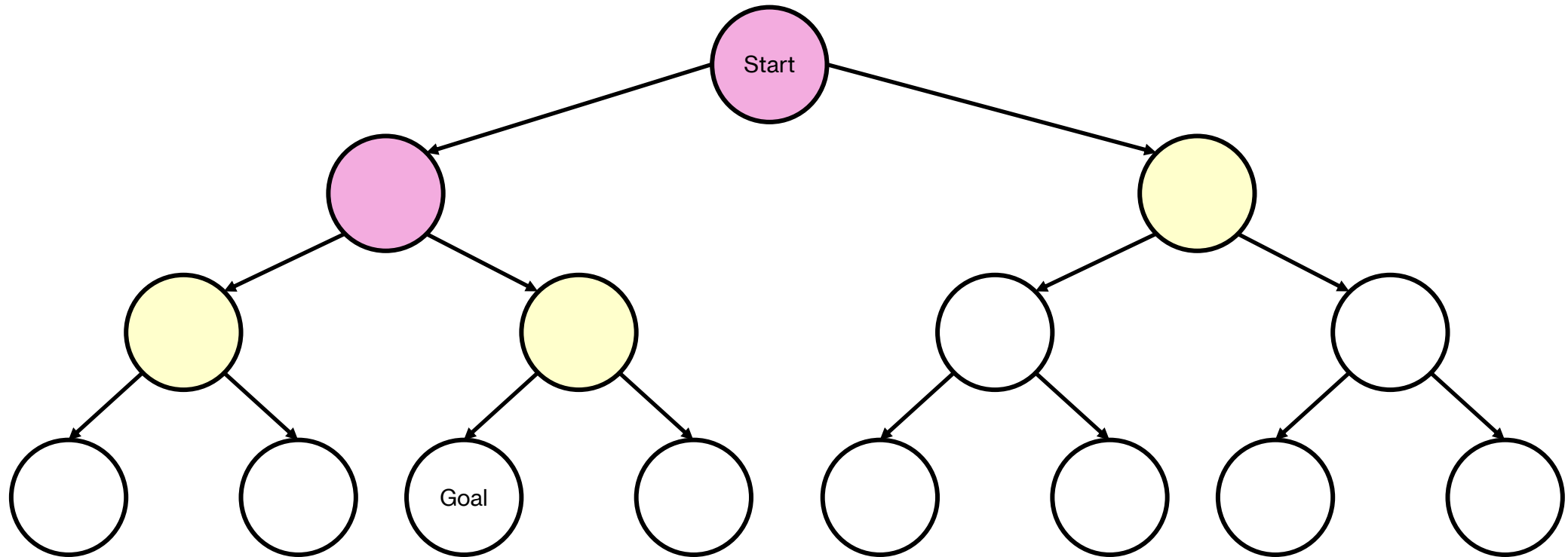
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■ Frontier

# Depth-First Search



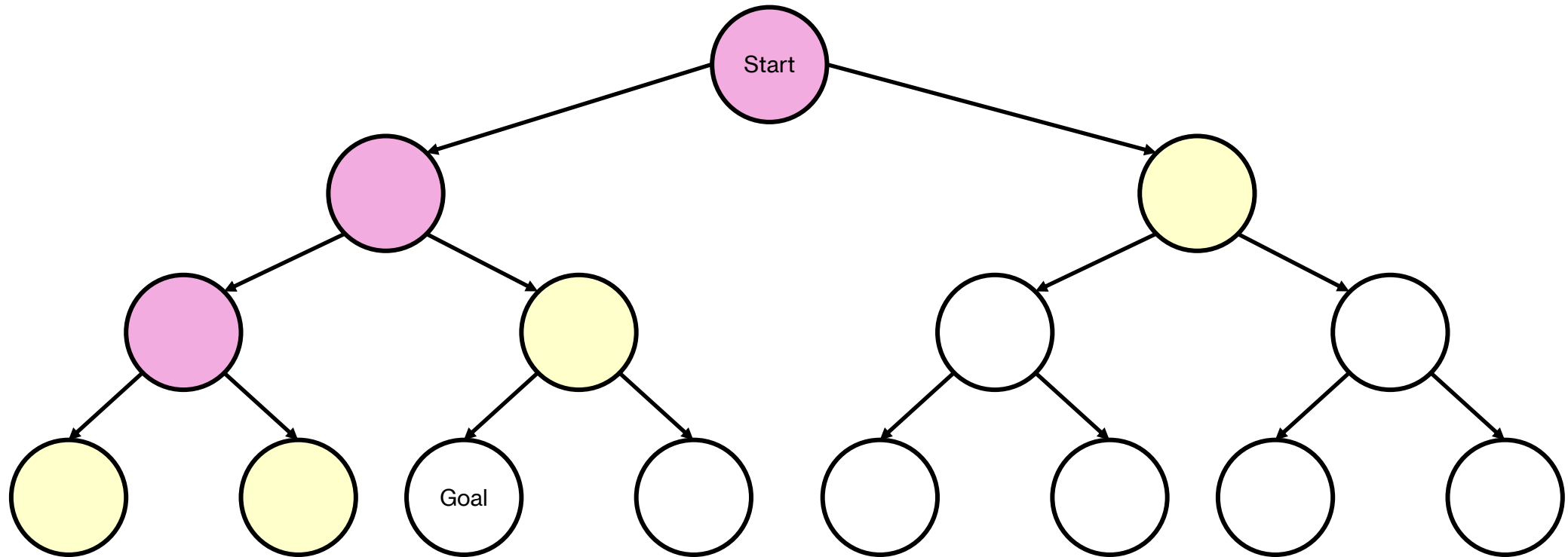
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■ Frontier

# Depth-First Search



■ Explored  
■ Frontier

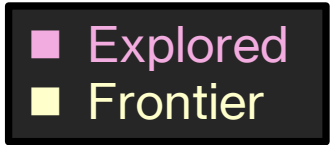
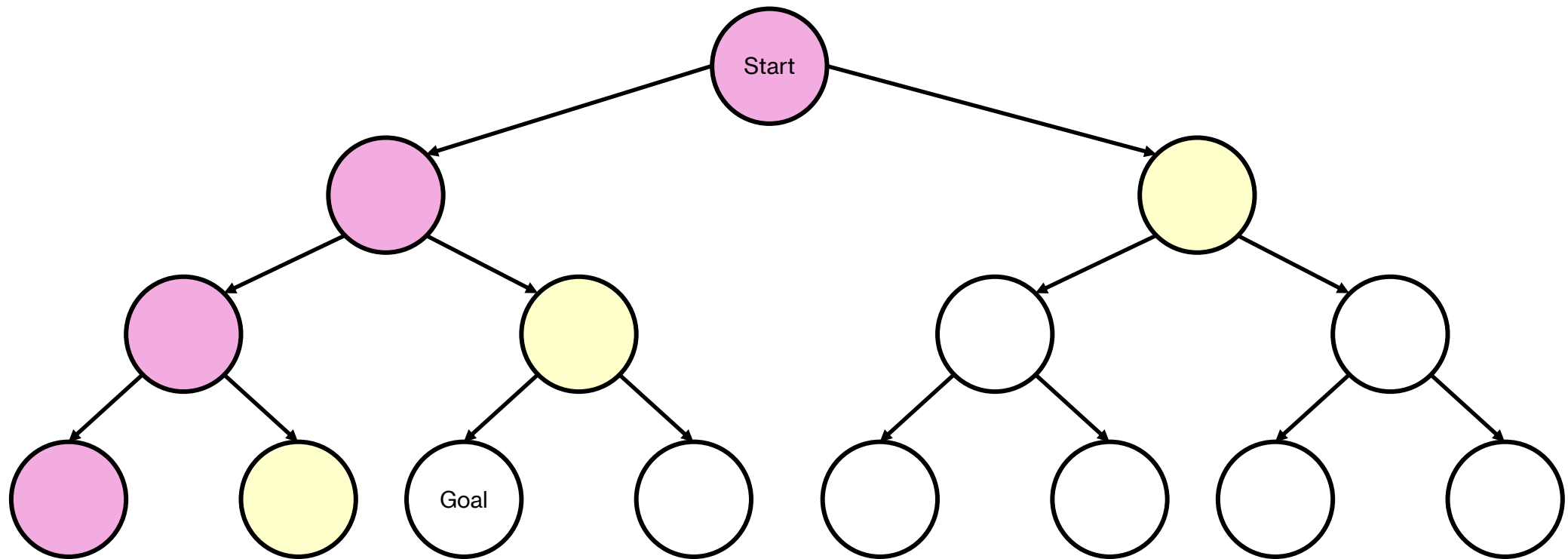
# Depth-First Search



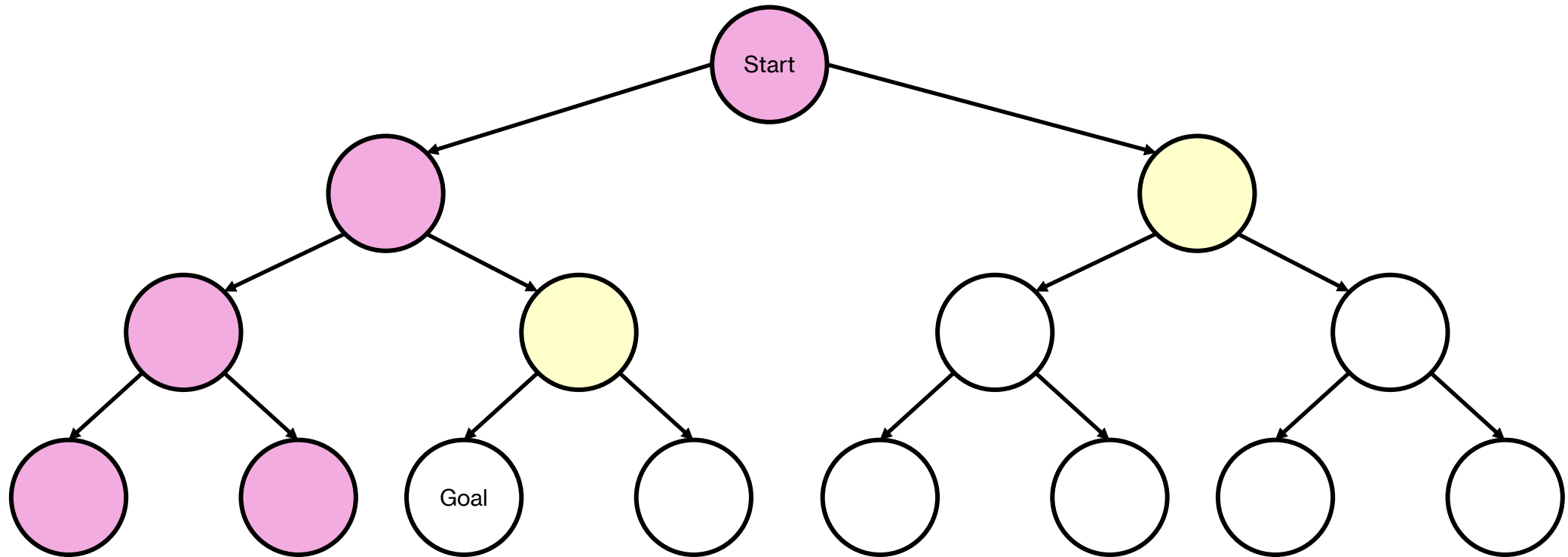
■ Explored  
■ Frontier



# Depth-First Search

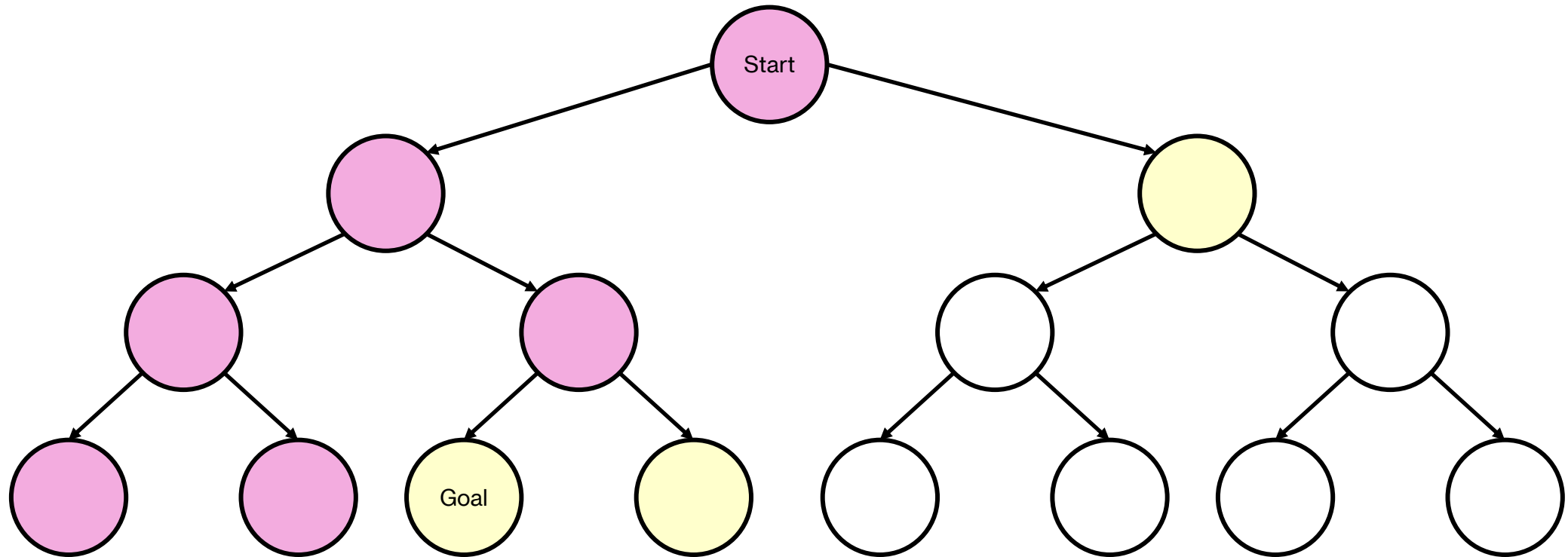


# Depth-First Search



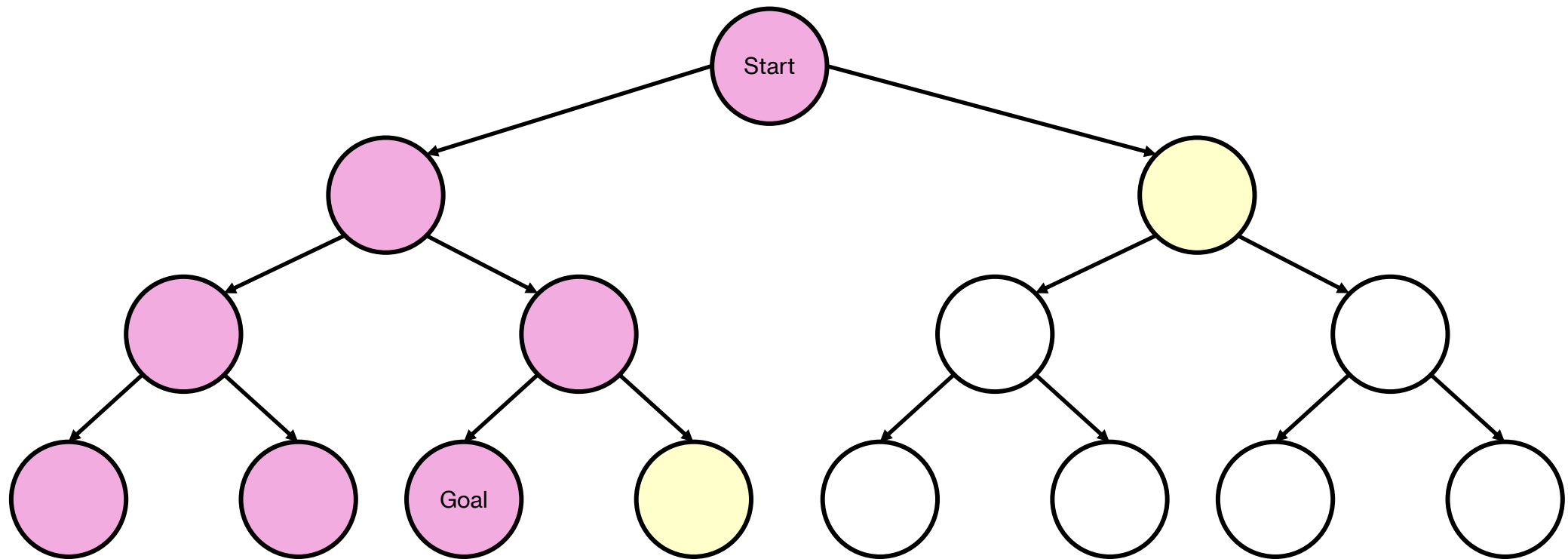
■ Explored  
■ Frontier

# Depth-First Search



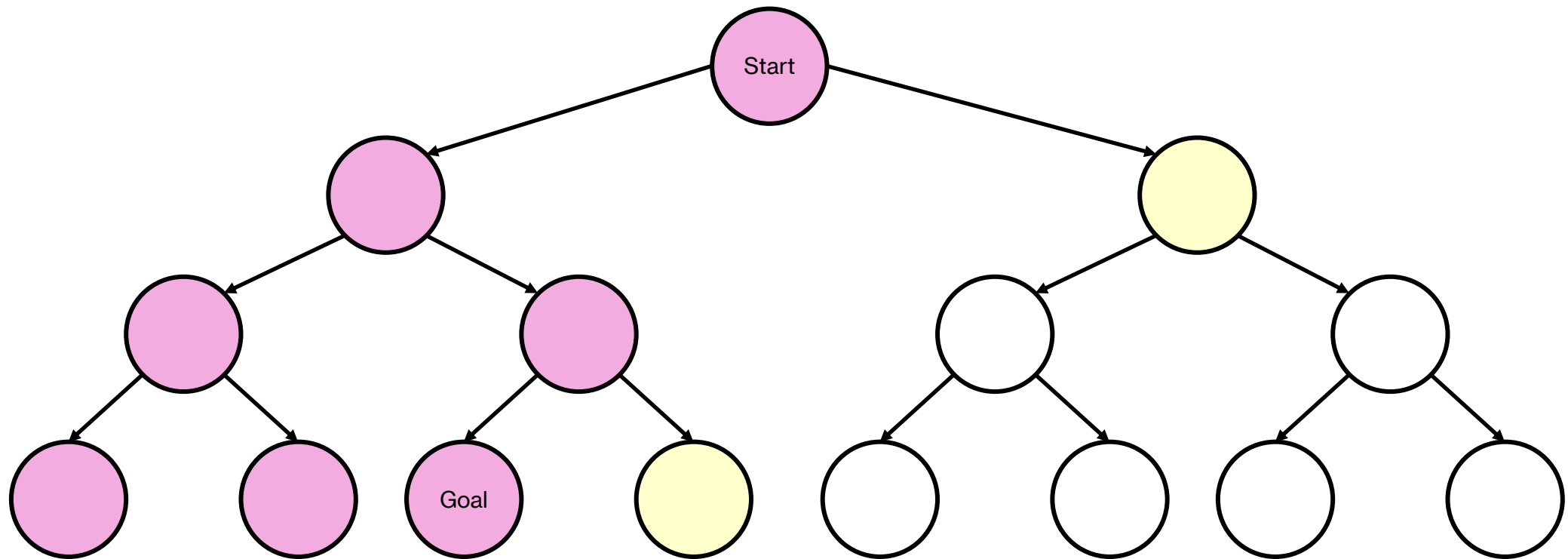
■ Explored  
■ Frontier

# Depth-First Search



■ Explored  
■ Frontier

# Depth-First Search



**Return  
Solution!**

■ Explored  
■ Frontier

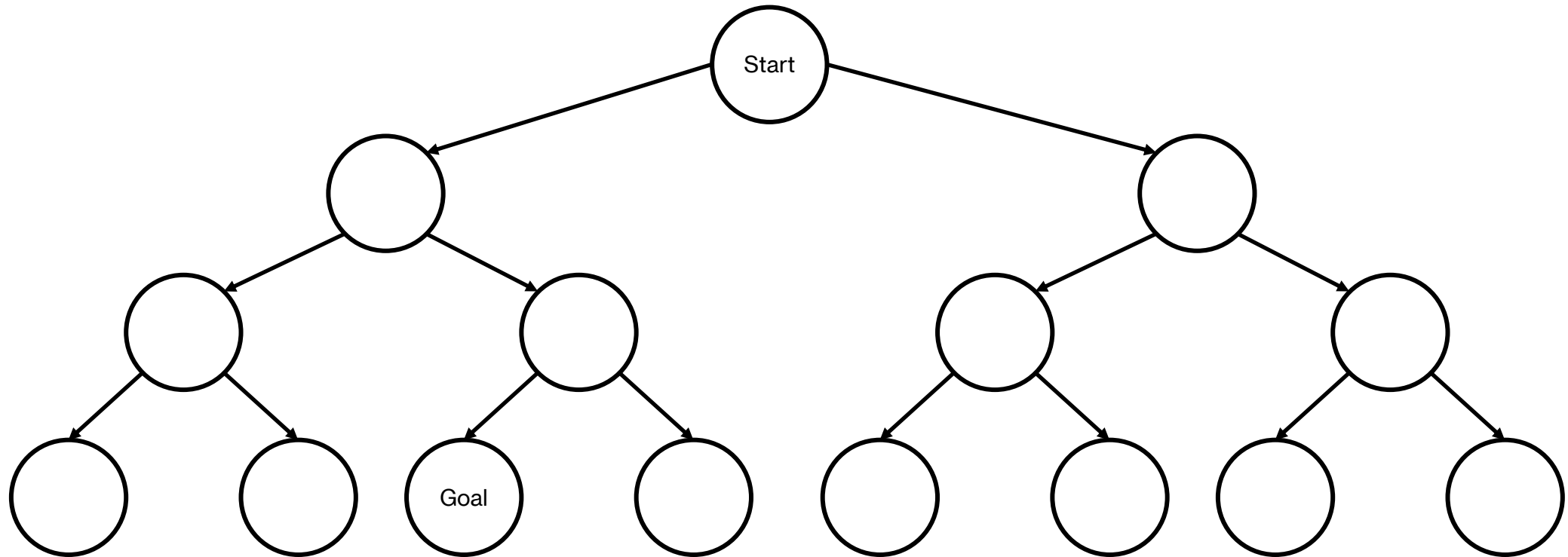
# Depth-First Search Characteristics

- If state space is finite, it is **complete** but not **optimal**.
- If state space is infinite, it is **not complete**.
- Time complexity:  $O(D)$
- Space complexity:  $O(b^D)$

# Breadth-First Search

- Prioritize exploring shallower states (smallest to largest depth)
- Stop once a solution is found

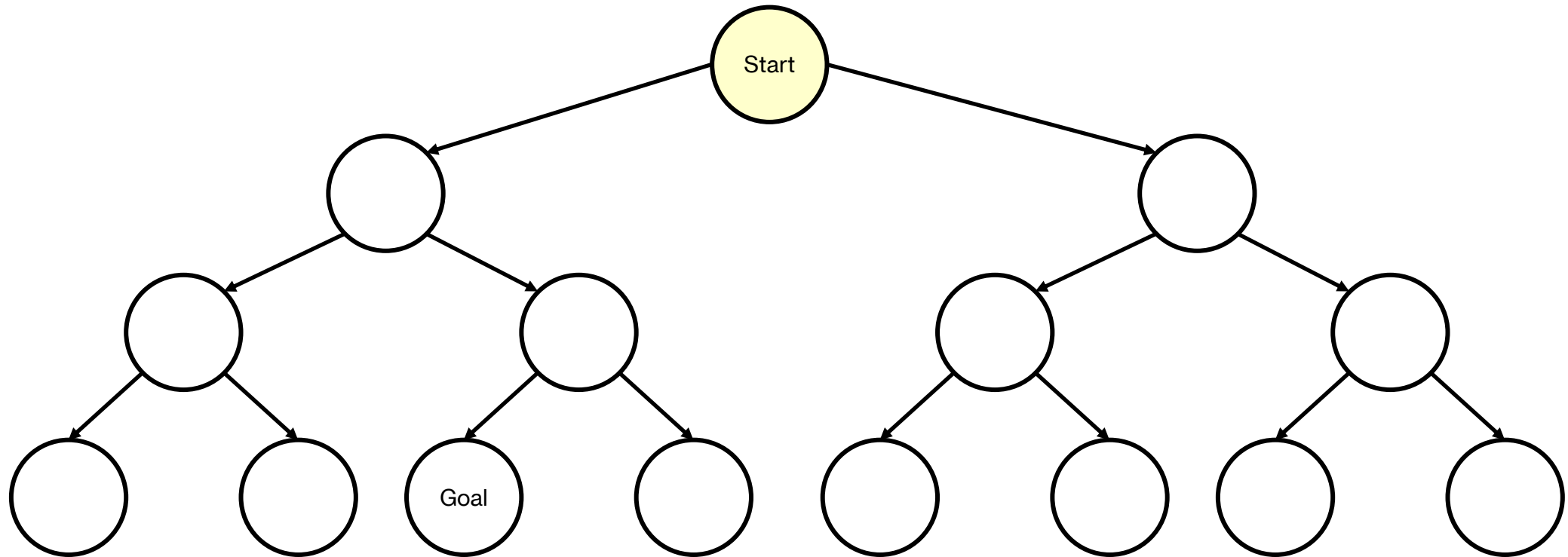
# Breadth-First Search



■ Explored  
■ Frontier

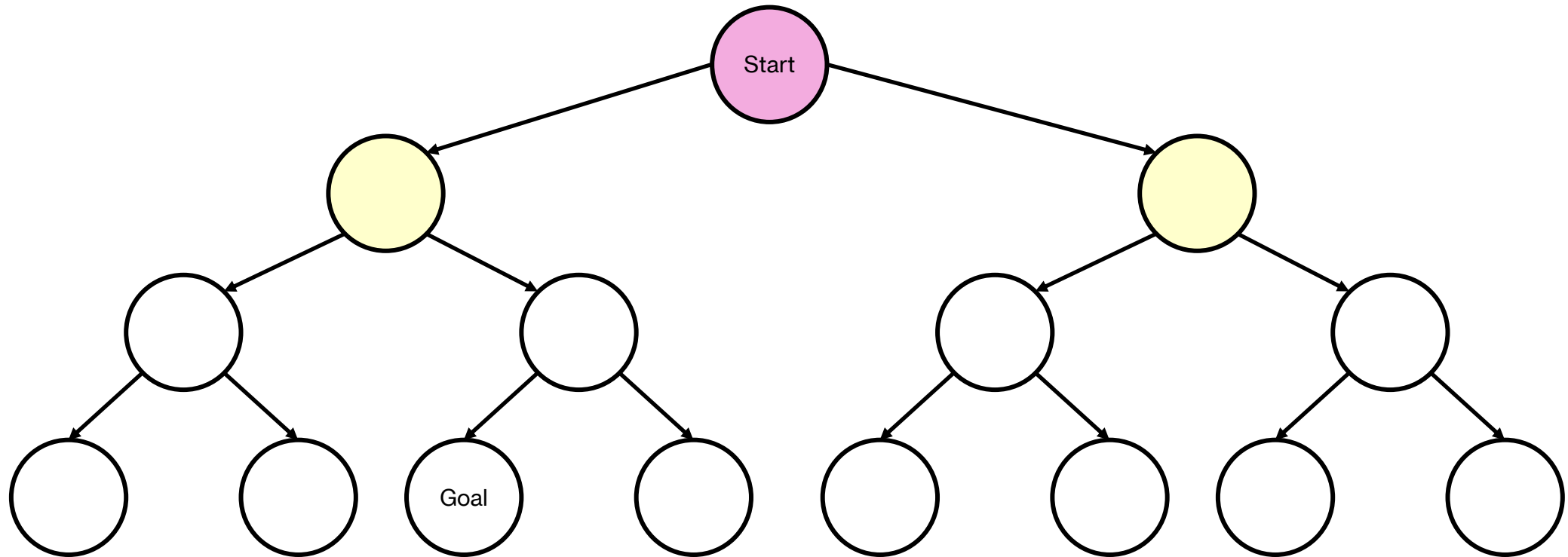


# Breadth-First Search



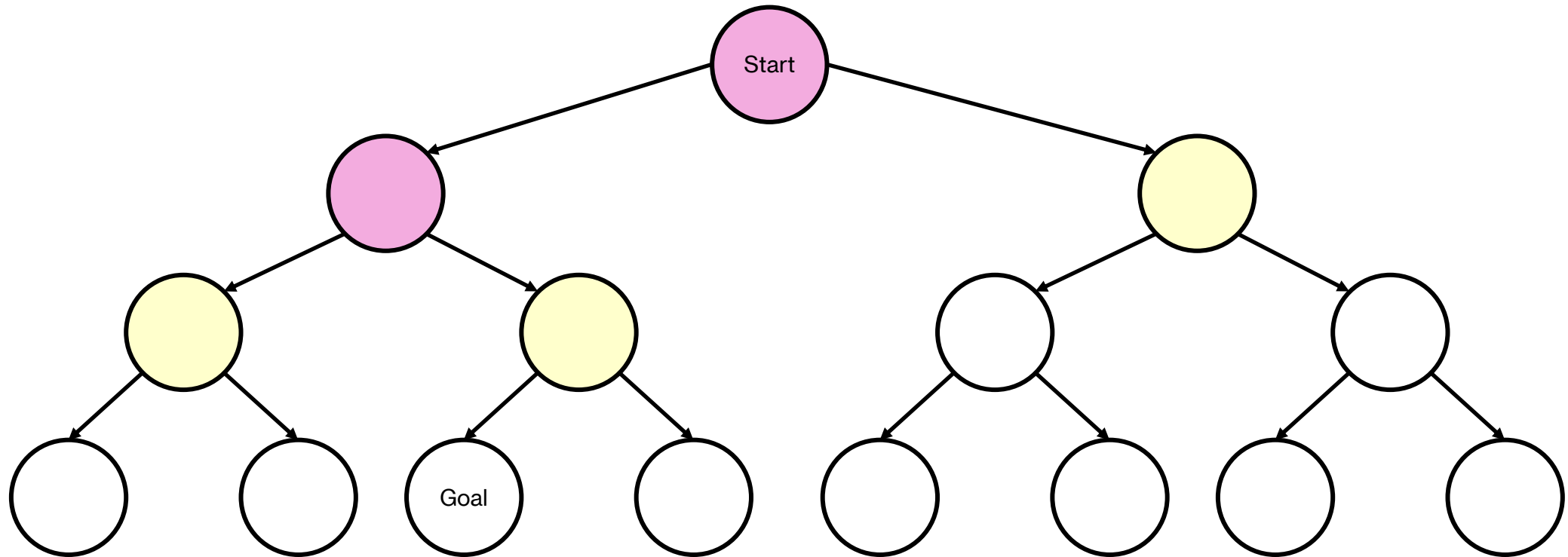
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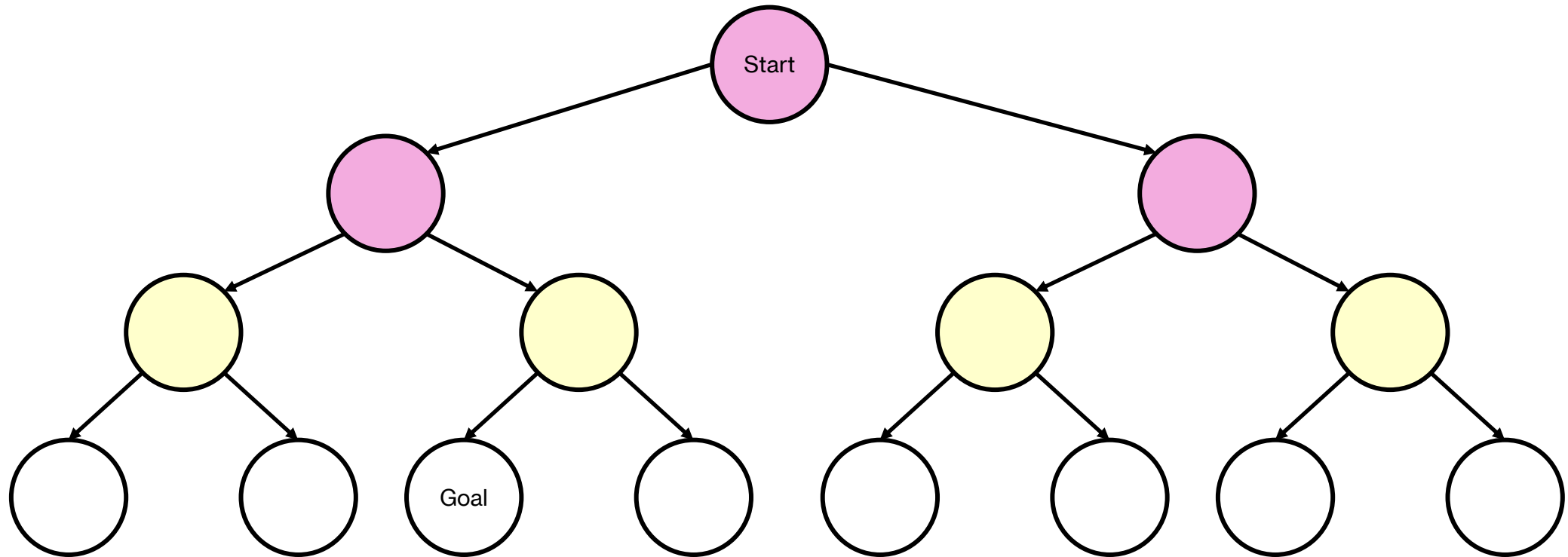
- Explored
- Frontier

# Breadth-First Search



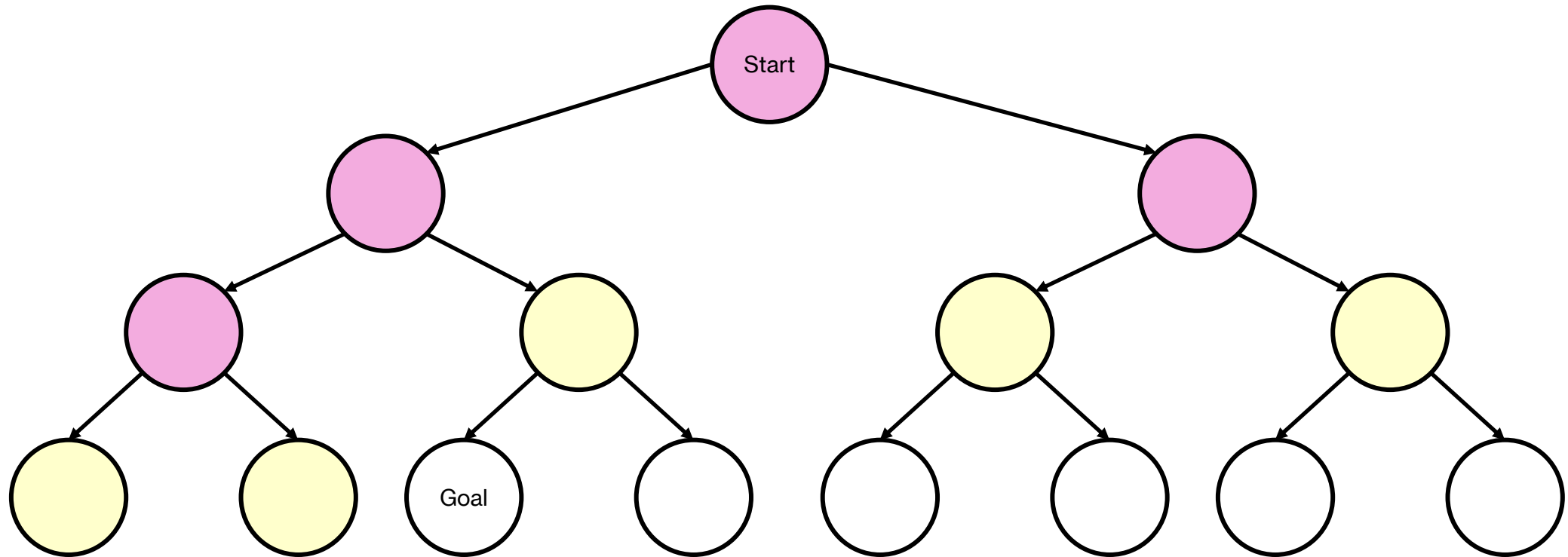
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# Breadth-First Search



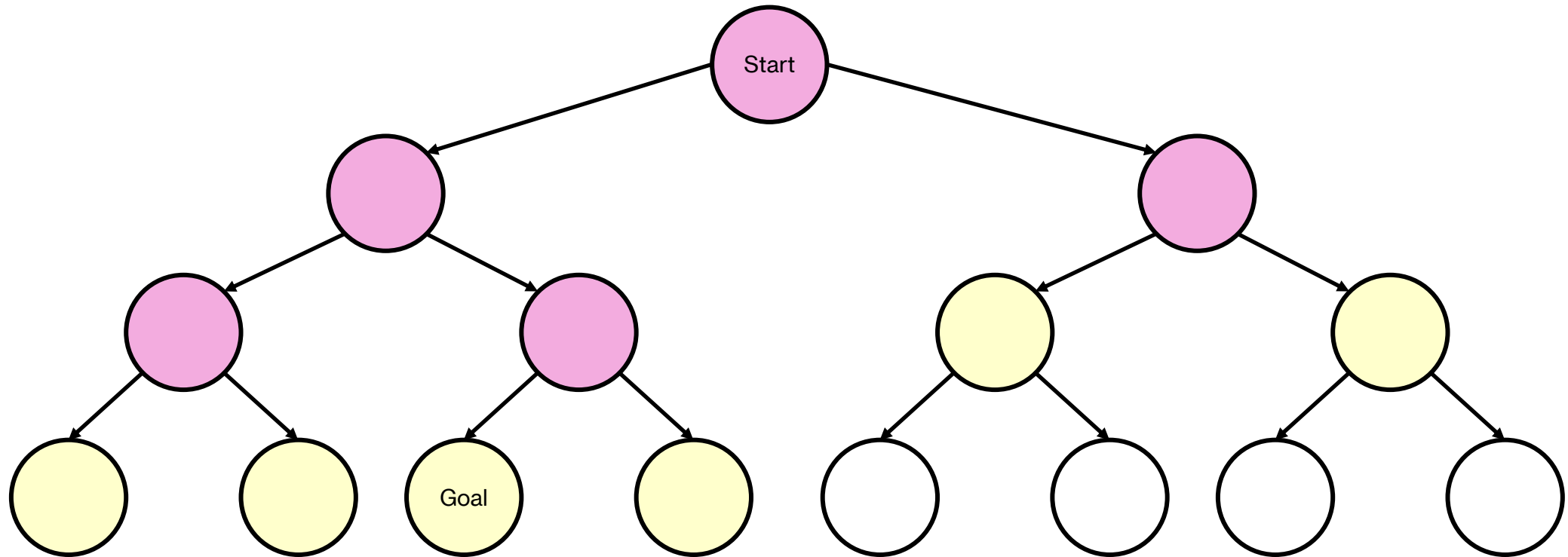
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# Breadth-First Search



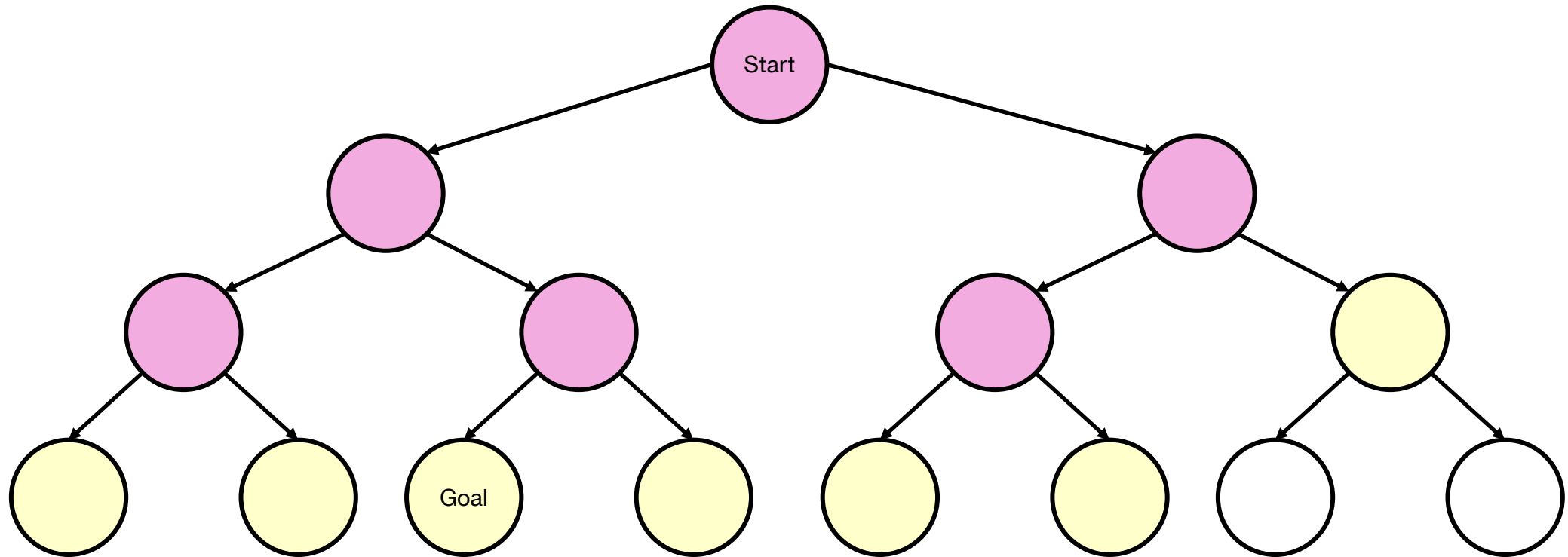
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# Breadth-First Search



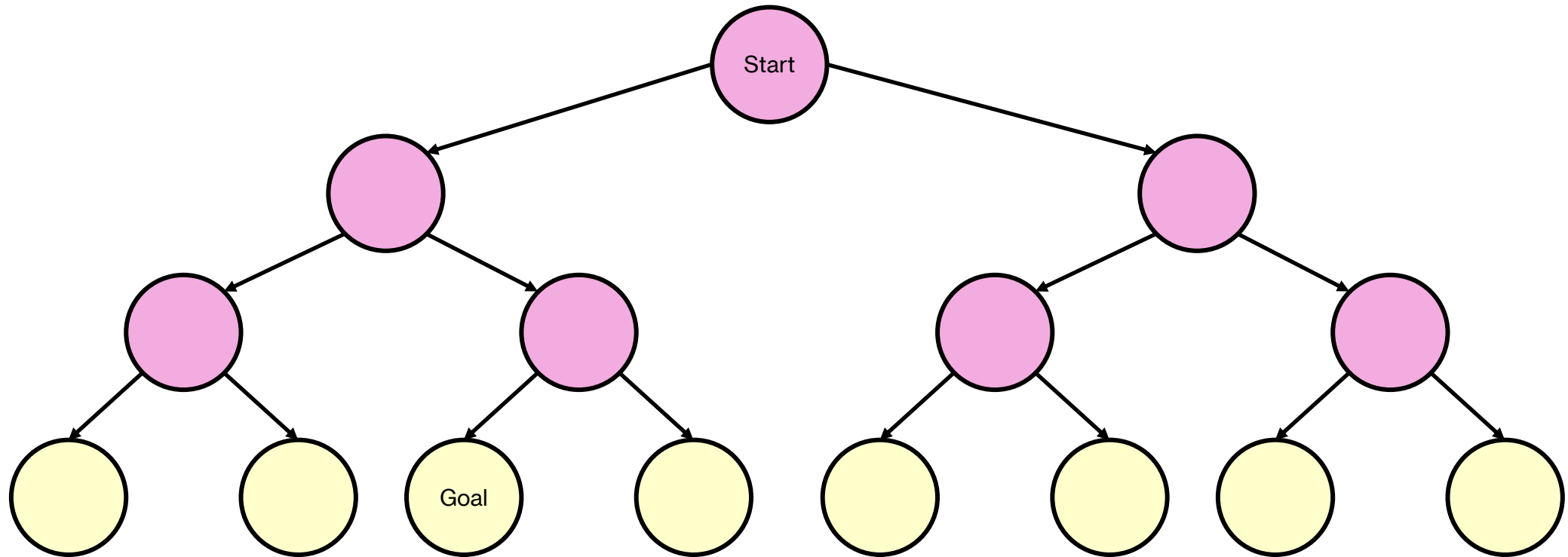
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# Breadth-First Search



■ Explored  
■ Frontier

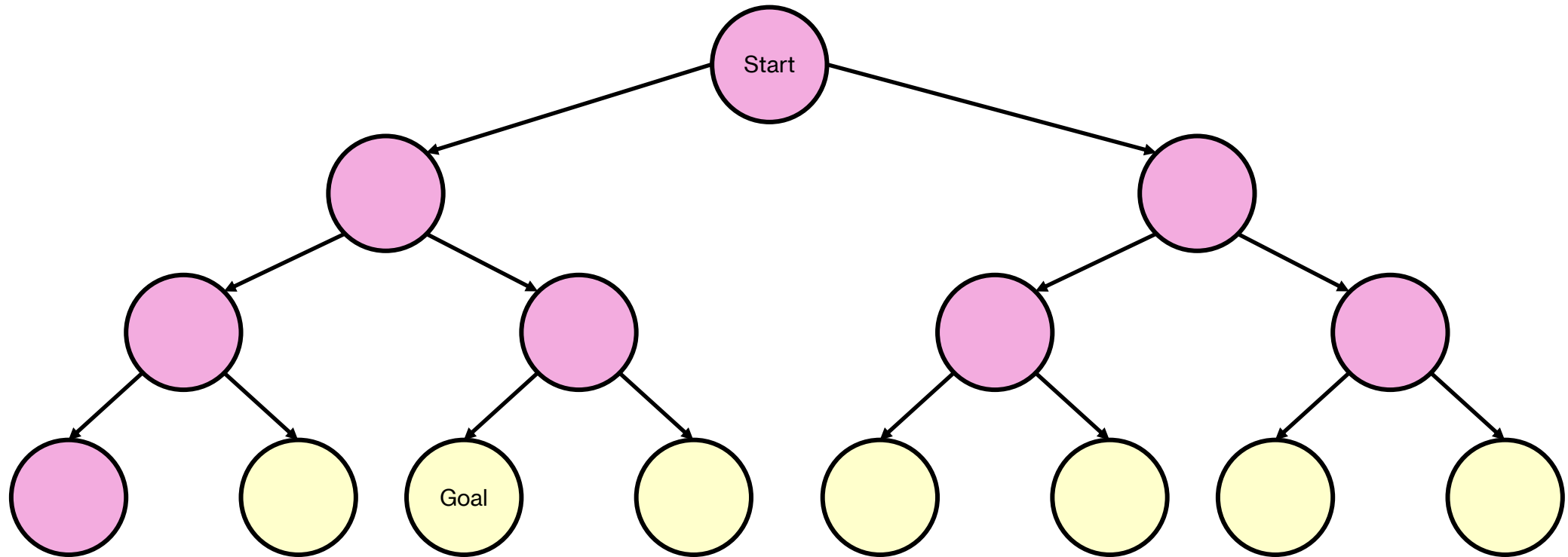
# Breadth-First Search



■ Explored  
■ Frontier

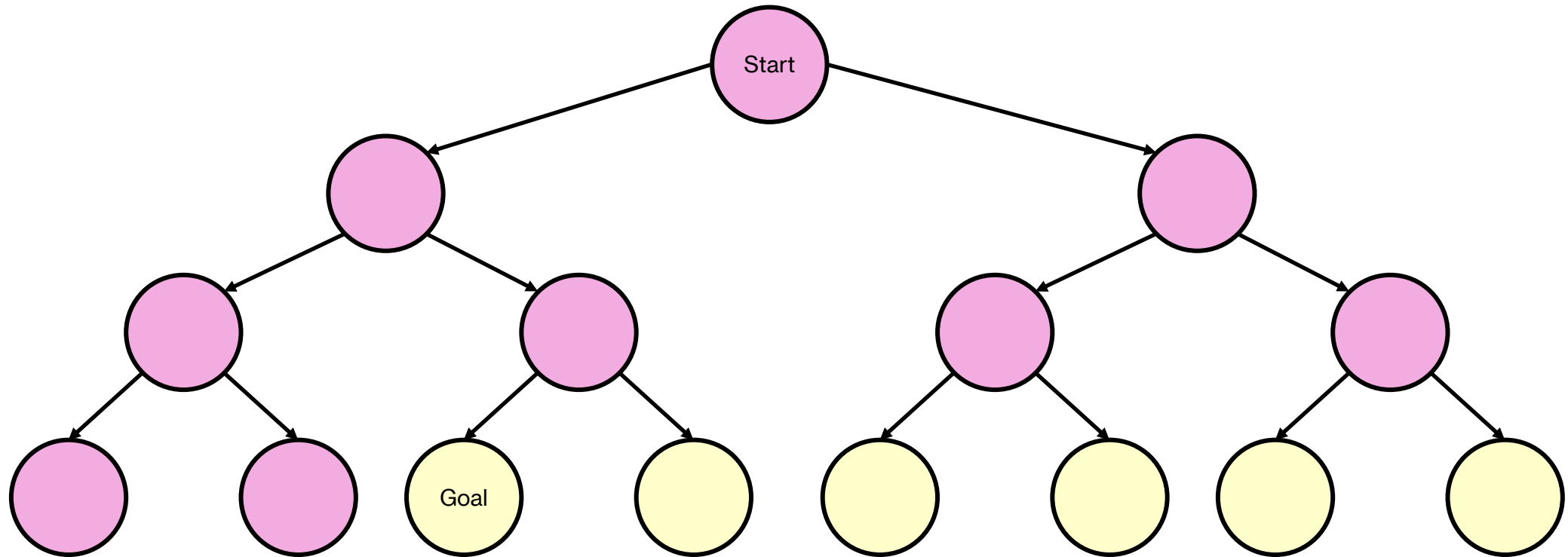


# Breadth-First Search



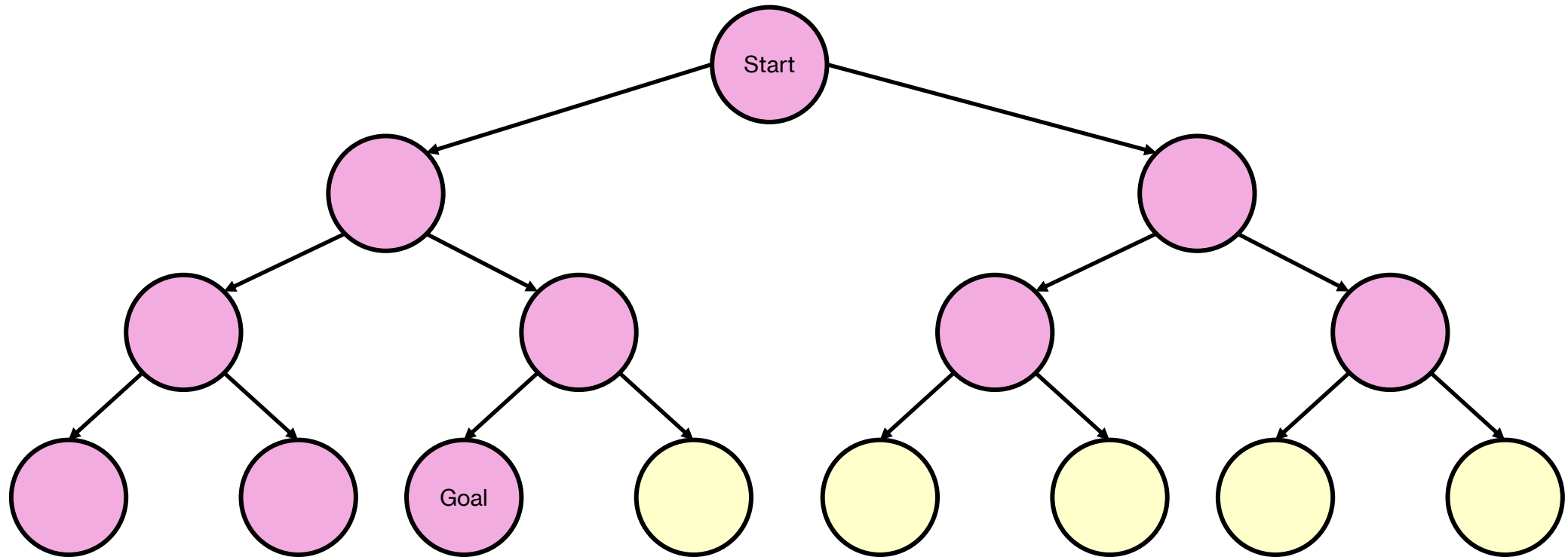
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- Explored
- Frontier

# Breadth-First Search





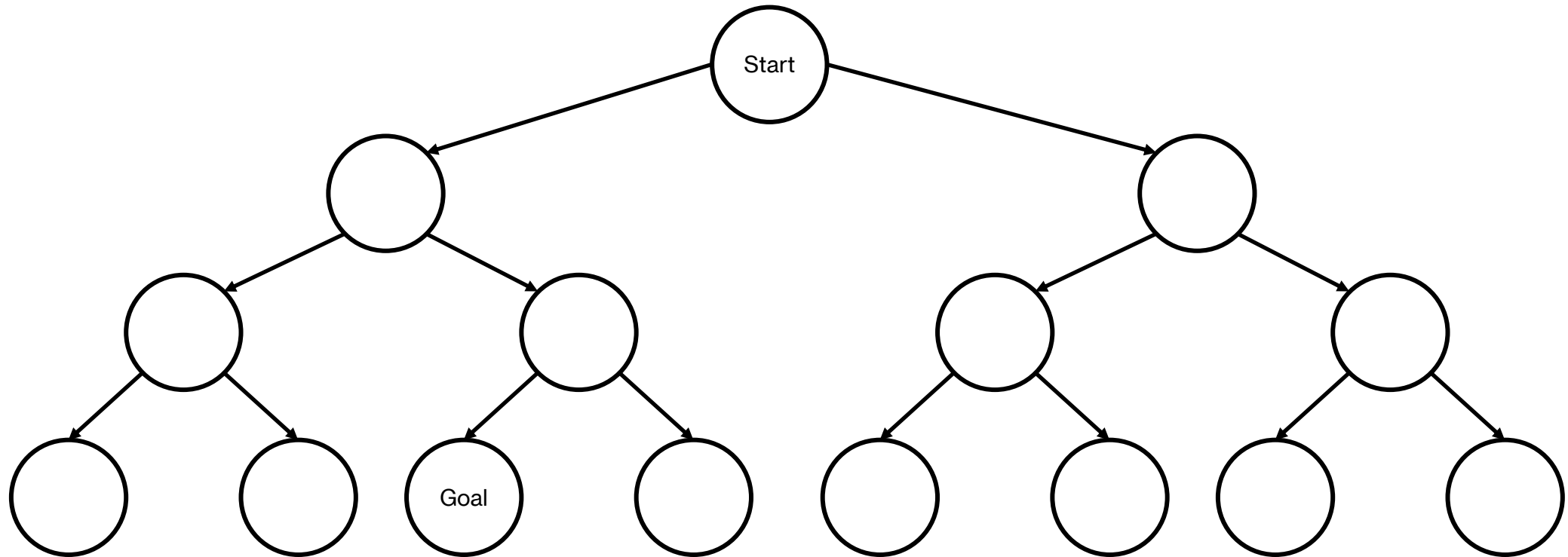
# Breadth-First Search Characteristics

- It is **complete** even if the state space is infinite
- It is **optimal** only if the costs are uniform
- Time complexity:  $O(b^d)$
- Space complexity:  $O(b^d)$

# DFS with Iterative Deepening

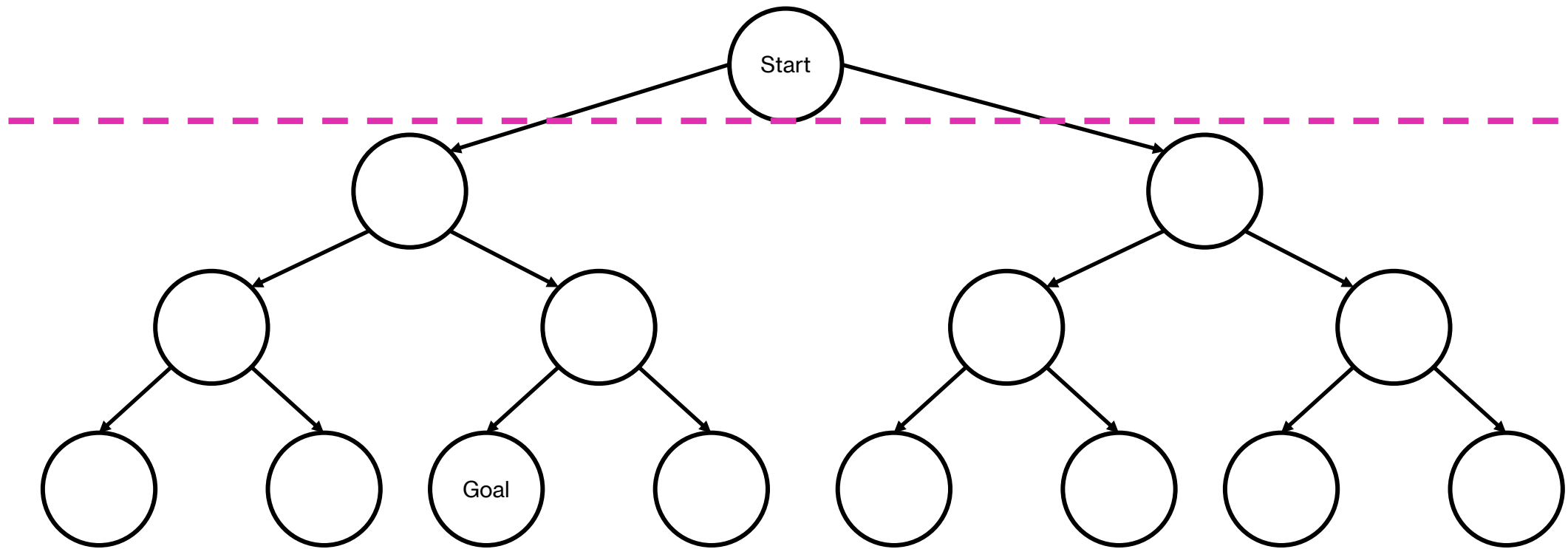
- Call DFS with a depth of limit of 1, 2, 3, ..., D
- Stop when a solution is found

# DFS-Iterative Deepening



■ Explored  
■ Frontier

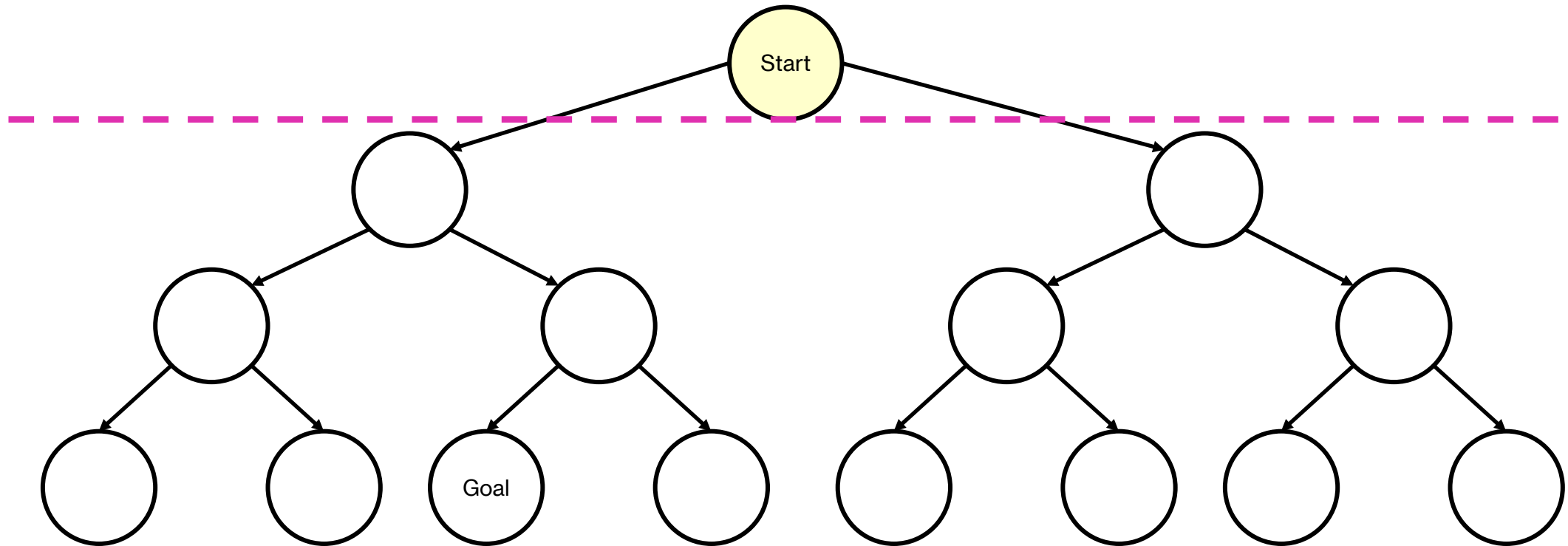
# DFS-Iterative Deepening



■ Explored  
■ Frontier

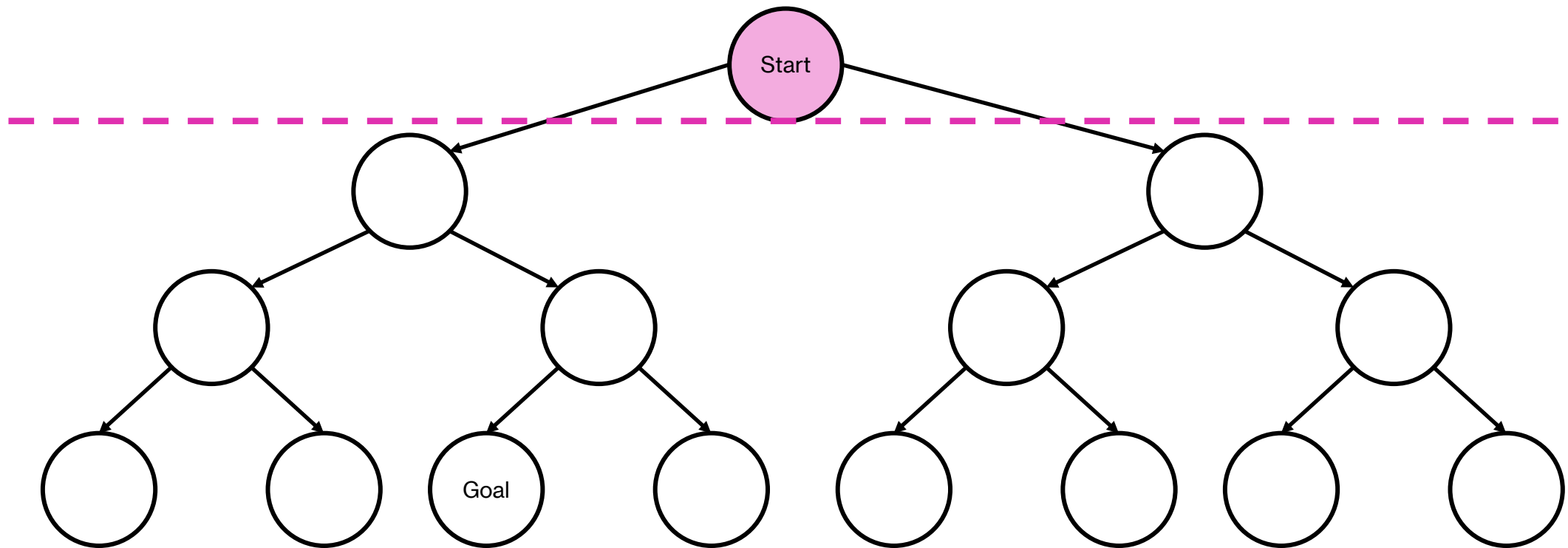


# DFS-Iterative Deepening



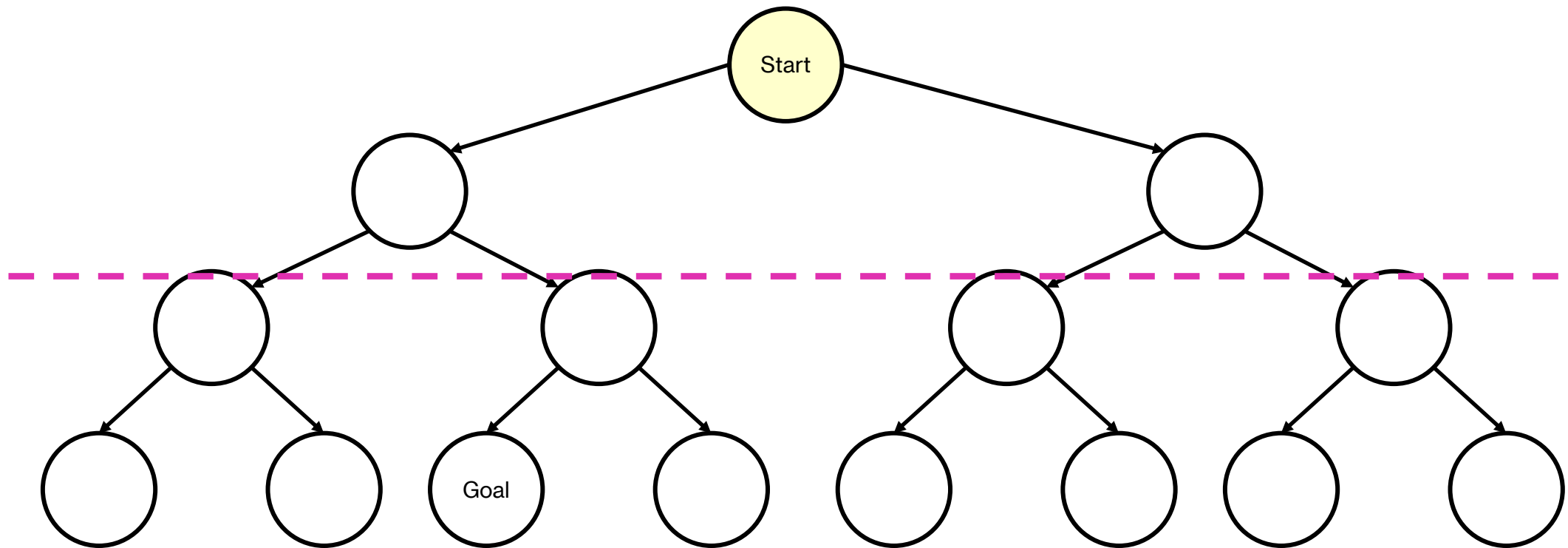
■ Explored  
■ Frontier

# DFS-Iterative Deepening



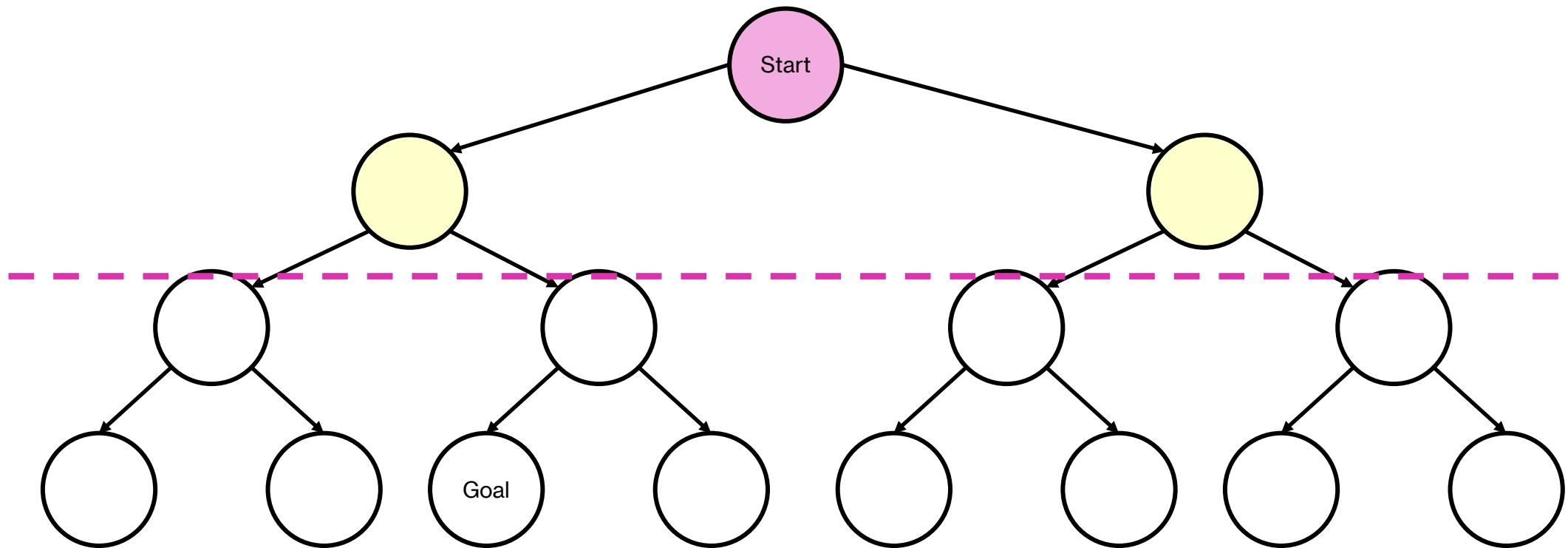
■ Explored  
■ Frontier

# DFS-Iterative Deepening



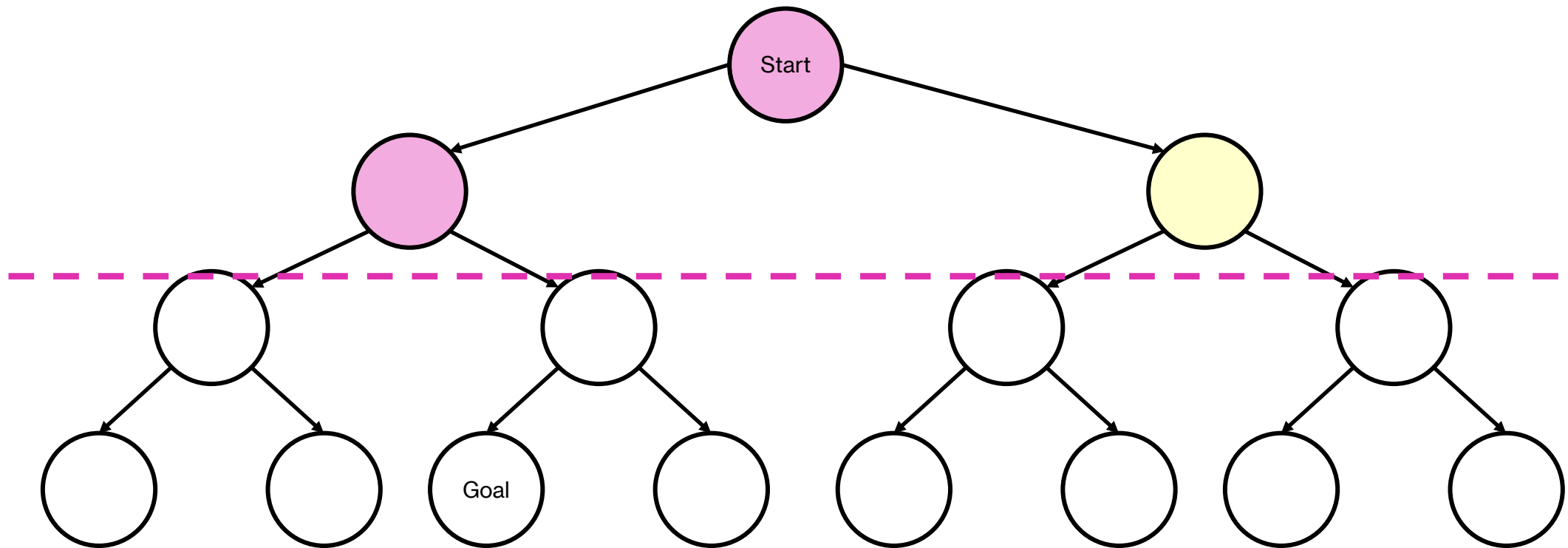
■ Explored  
■ Frontier

# DFS-Iterative Deepening



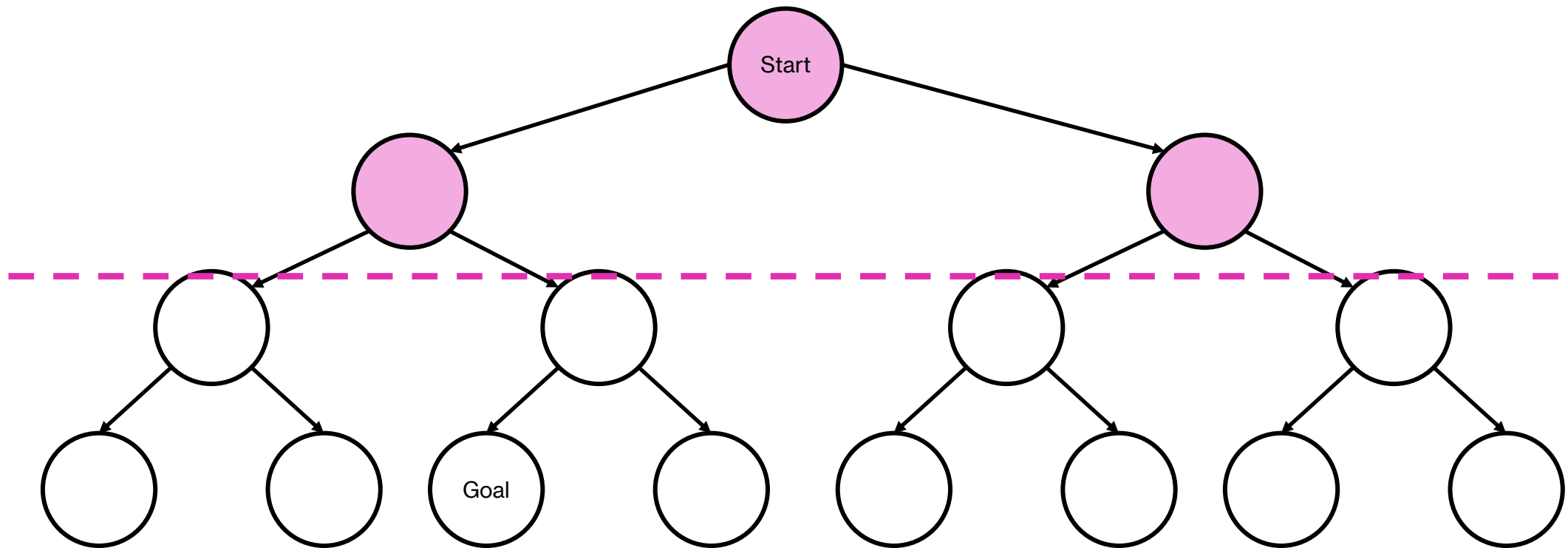
■ Explored  
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# DFS-Iterative Deepening



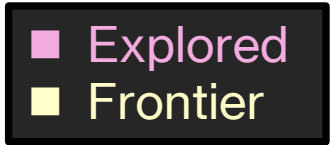
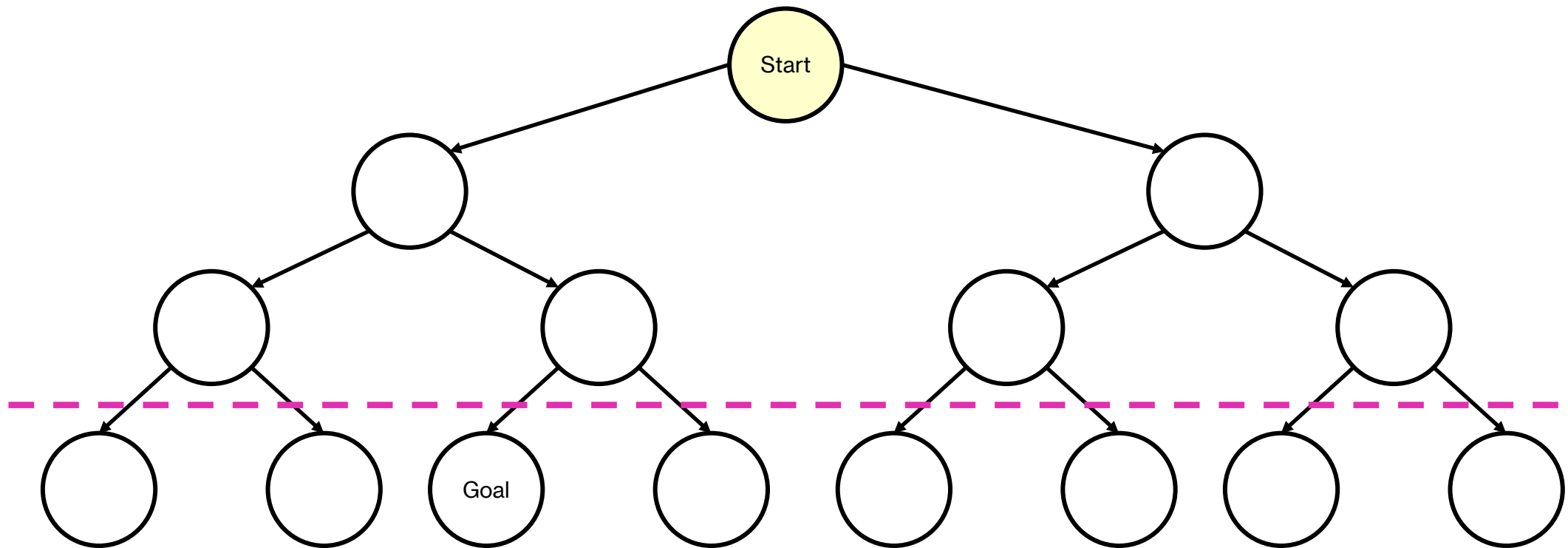
■ Explored  
■ Frontier

# DFS-Iterative Deepening

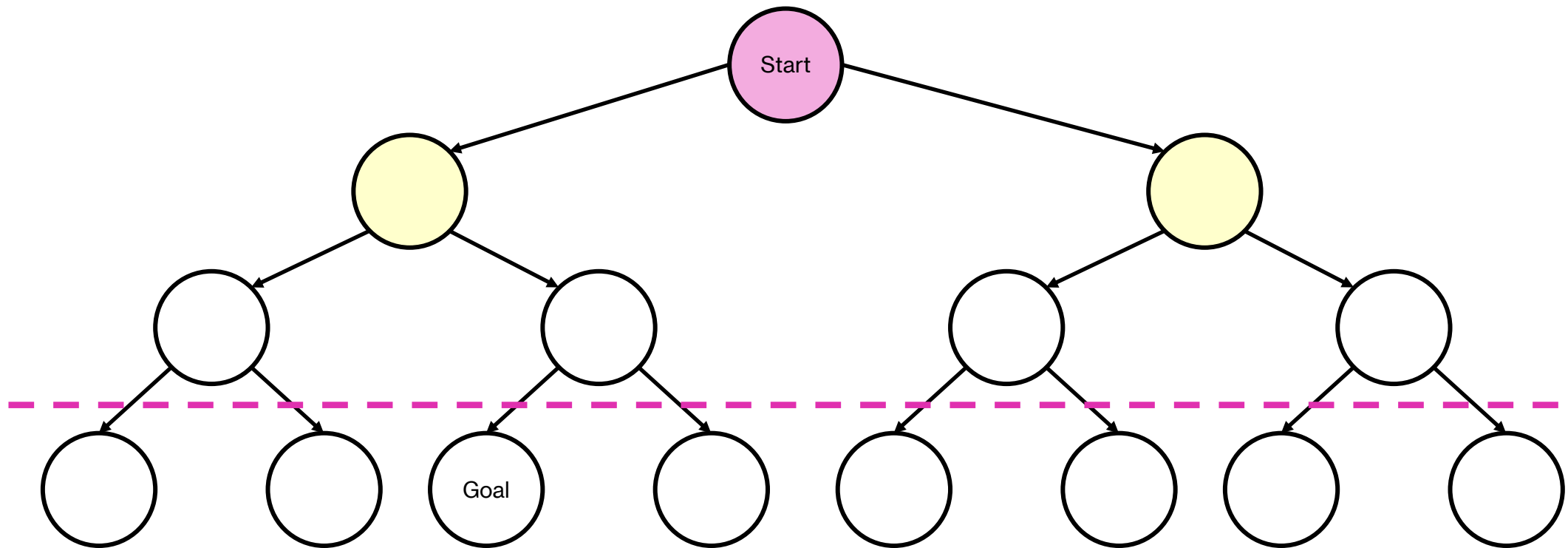


■ Explored  
■ Frontier

# DFS-Iterative Deepening



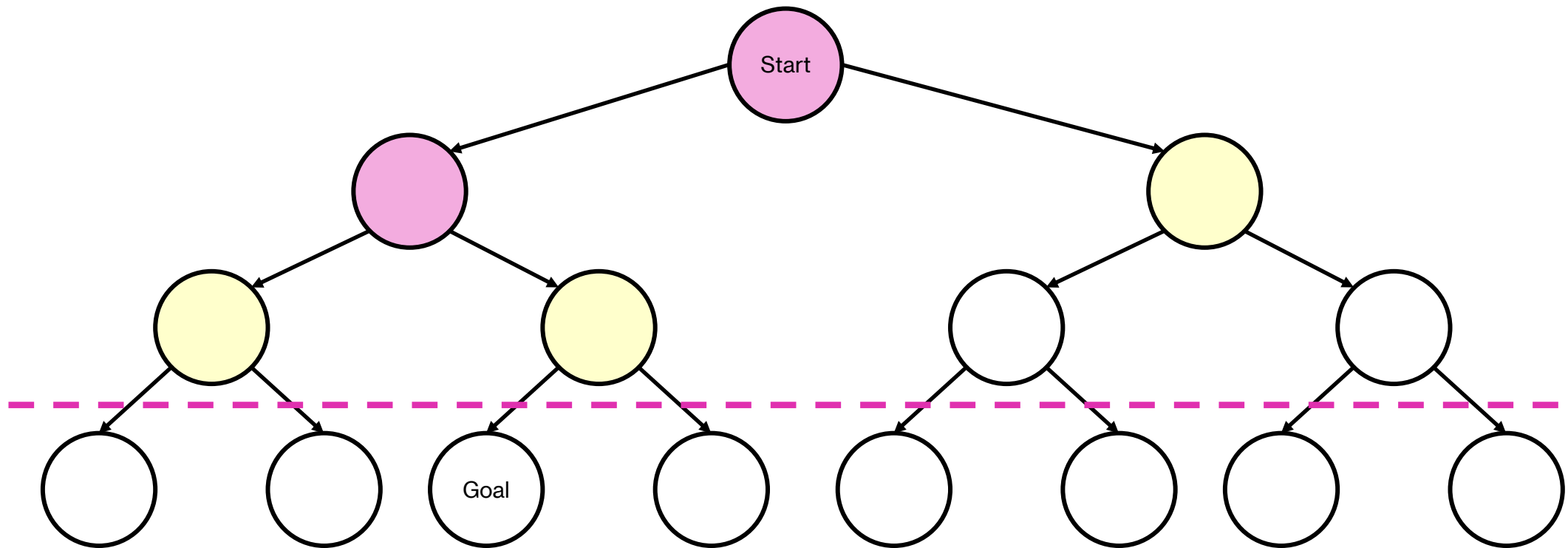
# DFS-Iterative Deepening



■ Explored  
■ Frontier

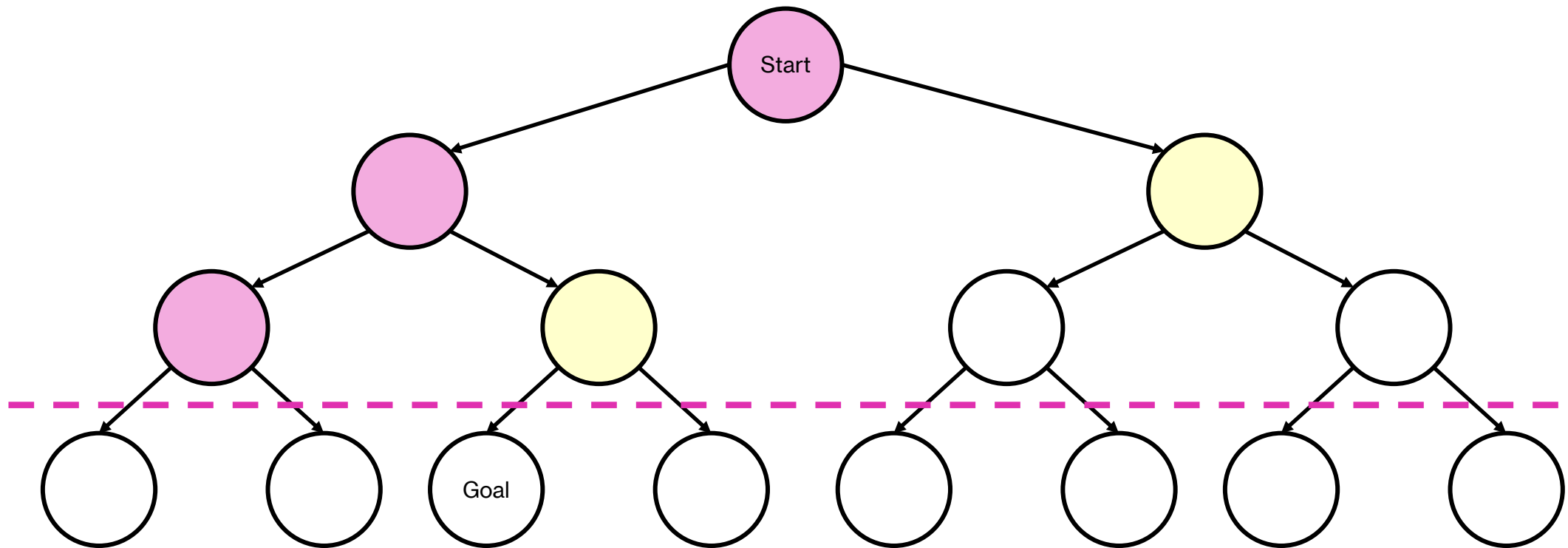


# DFS-Iterative Deepening



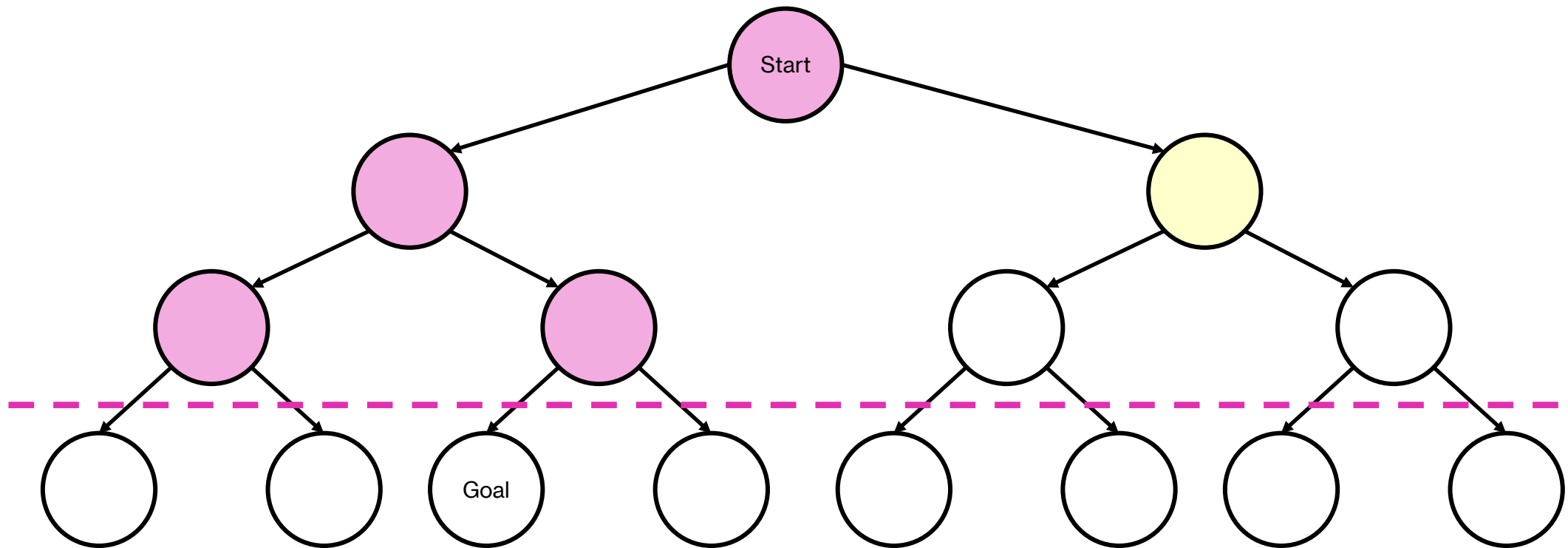
■ Explored  
■ Frontier

# DFS-Iterative Deepening



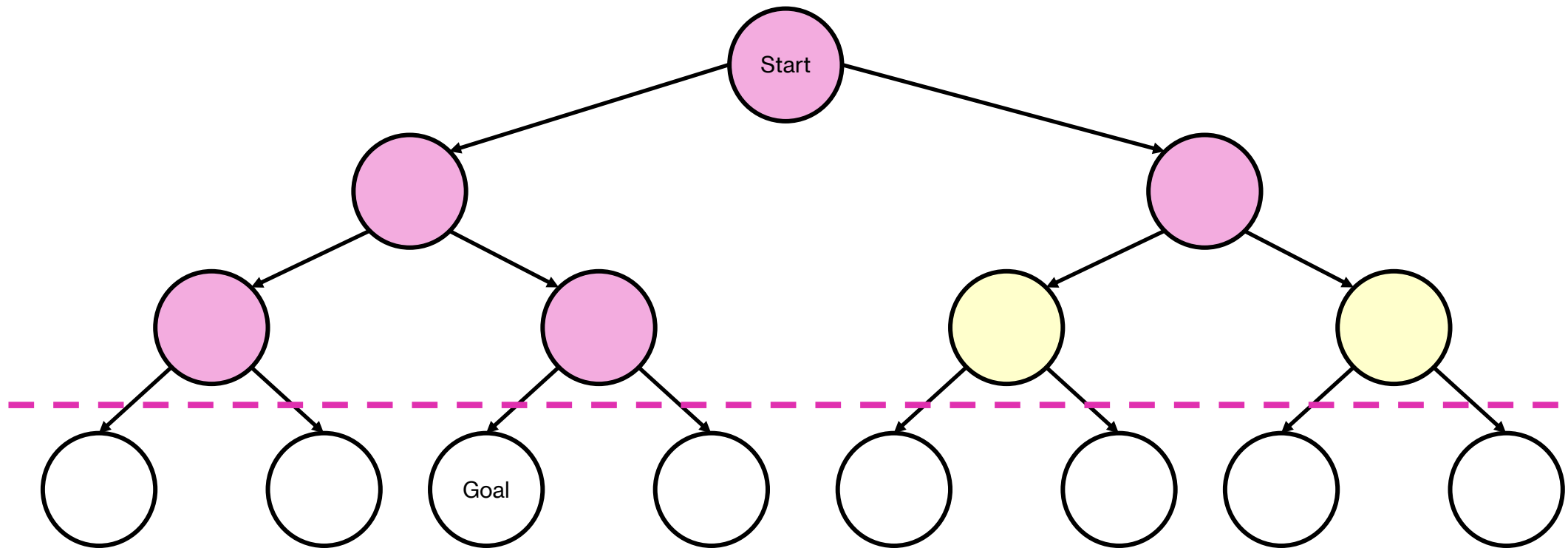
■ Explored  
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# DFS-Iterative Deepening



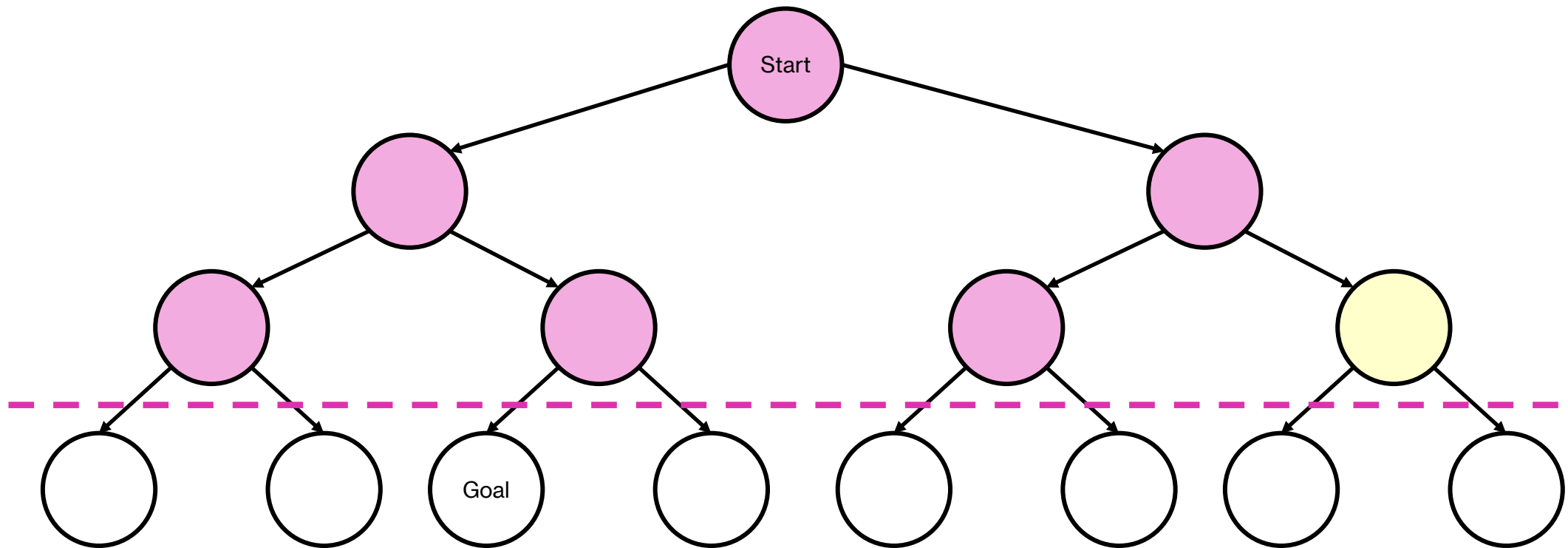
■ Explored  
■ Frontier

# DFS-Iterative Deepening



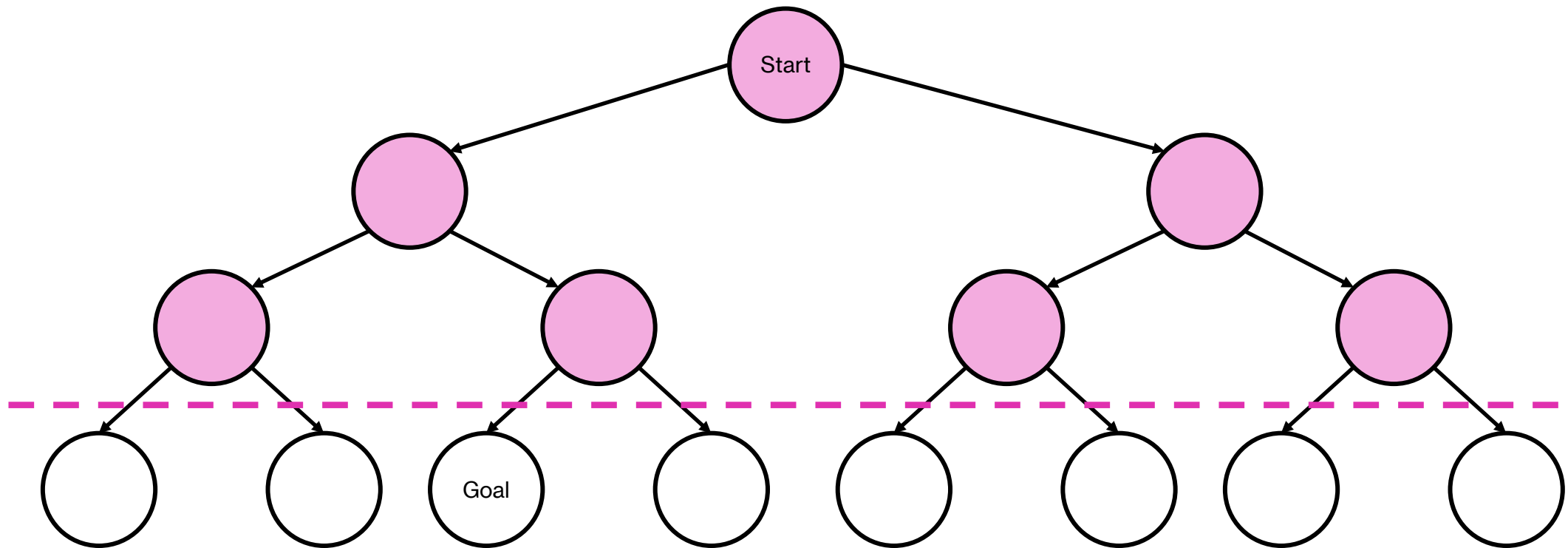
■ Explored  
■ Frontier

# DFS-Iterative Deepening



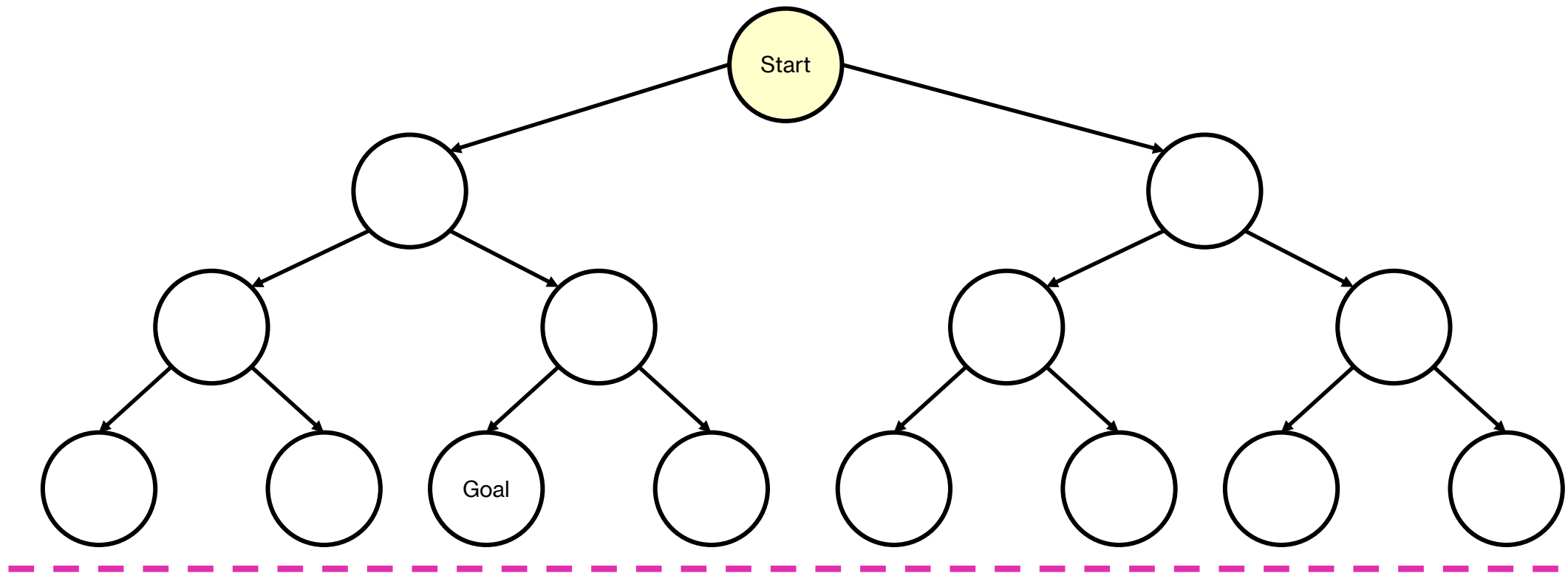
■ Explored  
■ Frontier

# DFS-Iterative Deepening



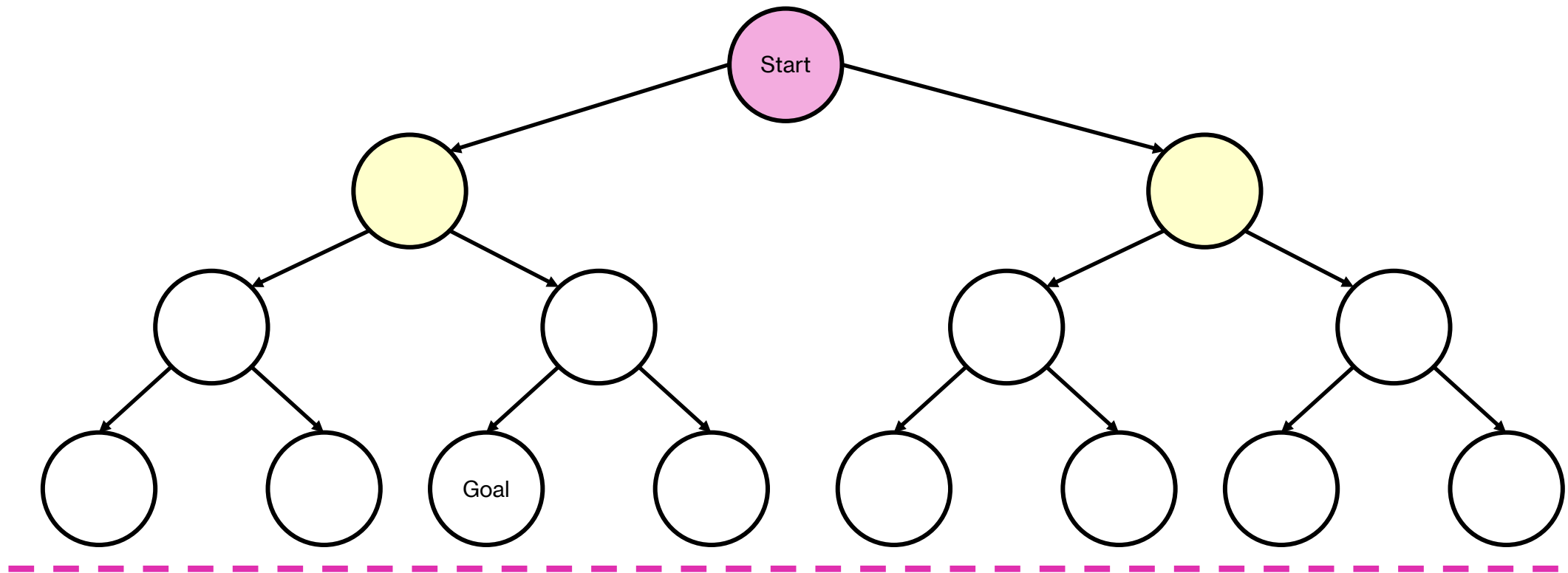
■ Explored  
■ Frontier

# DFS-Iterative Deepening



■ Explored  
■ Frontier

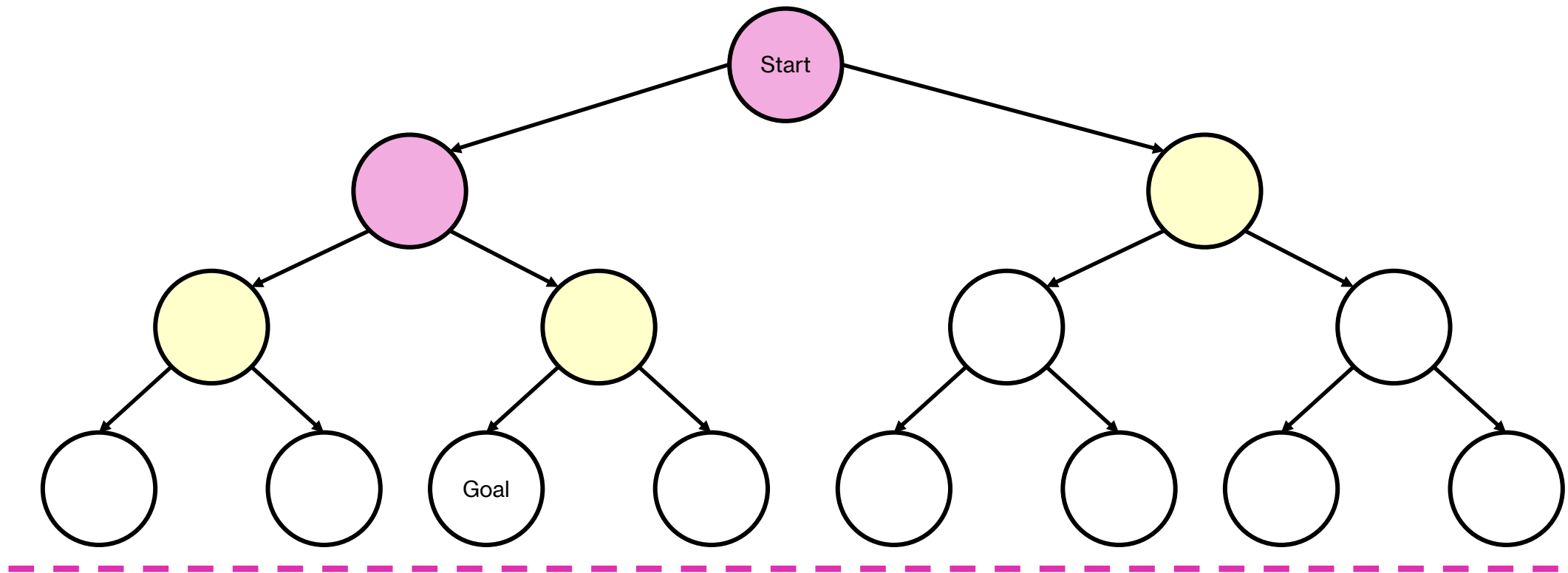
# DFS-Iterative Deepening



■ Explored  
■ Frontier

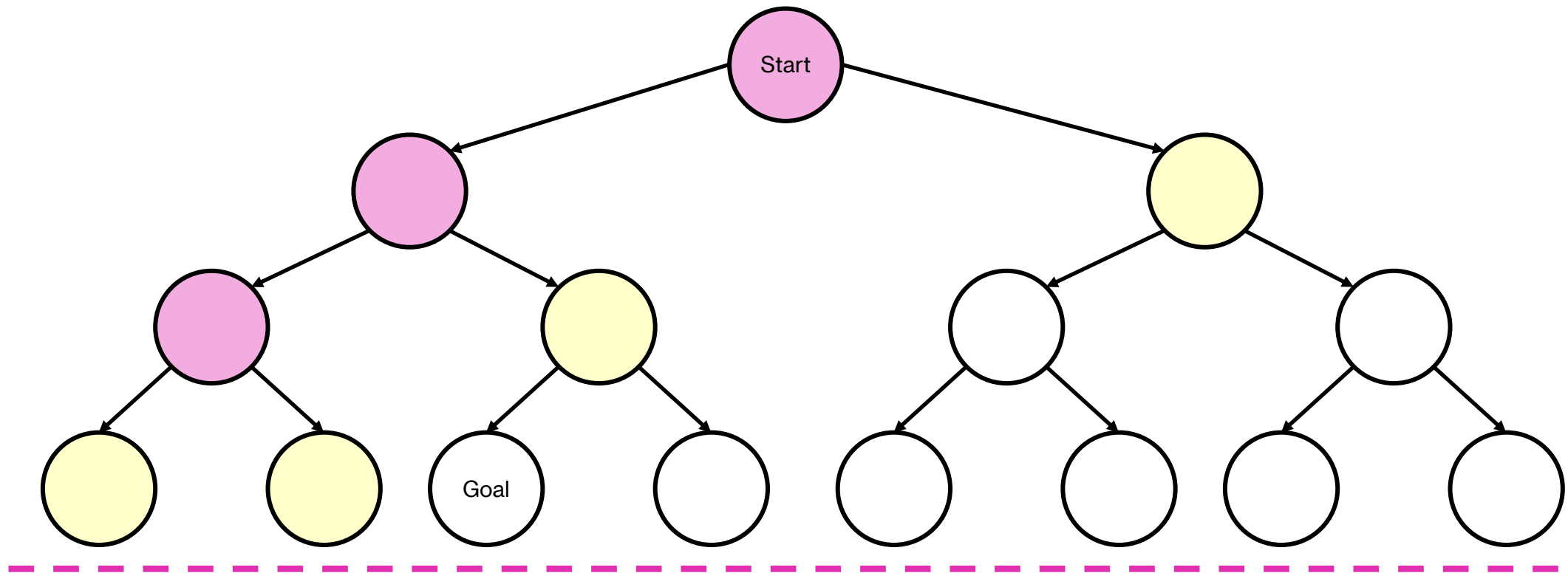


# DFS-Iterative Deepening



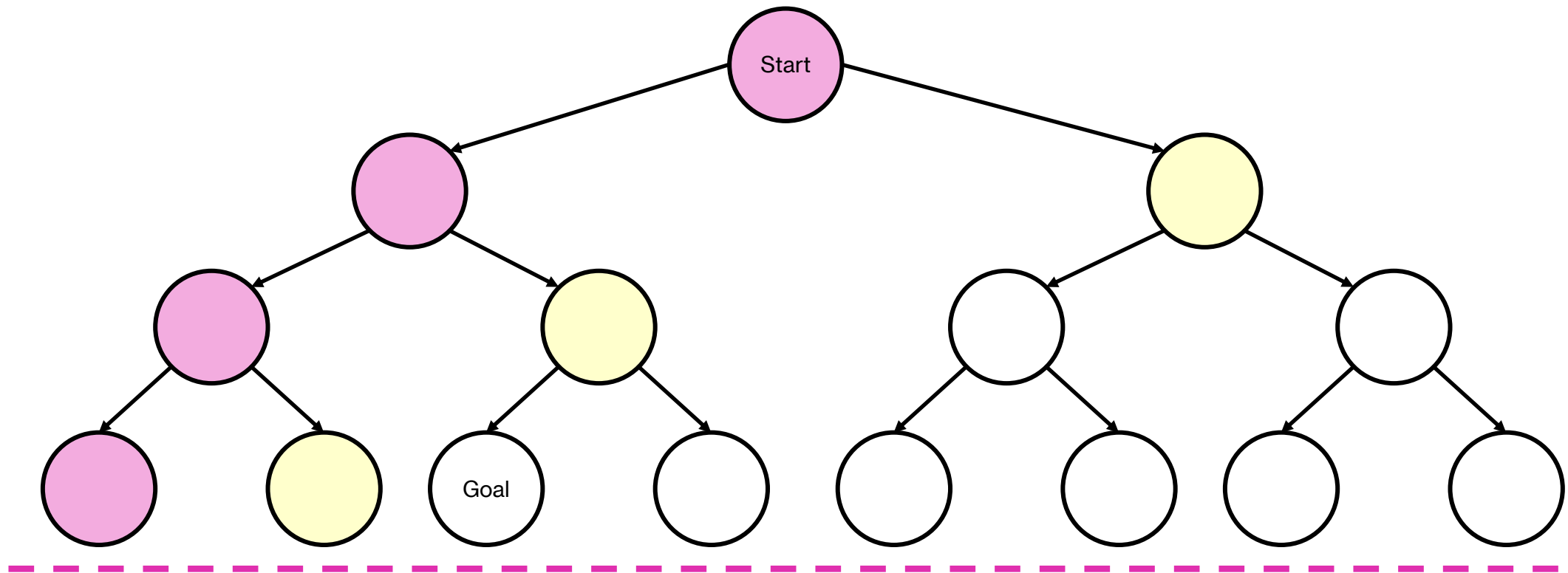
■ Explored  
■ Frontier

# DFS-Iterative Deepening



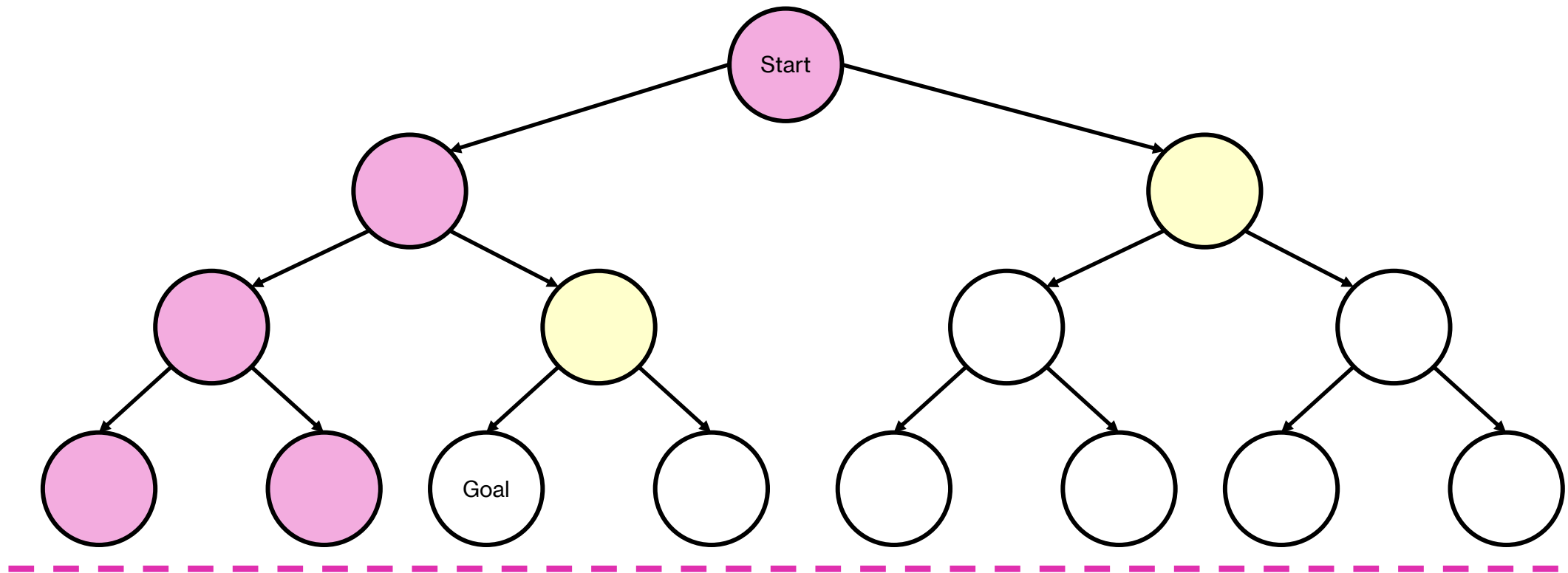
■ Explored  
■ Frontier

# DFS-Iterative Deepening



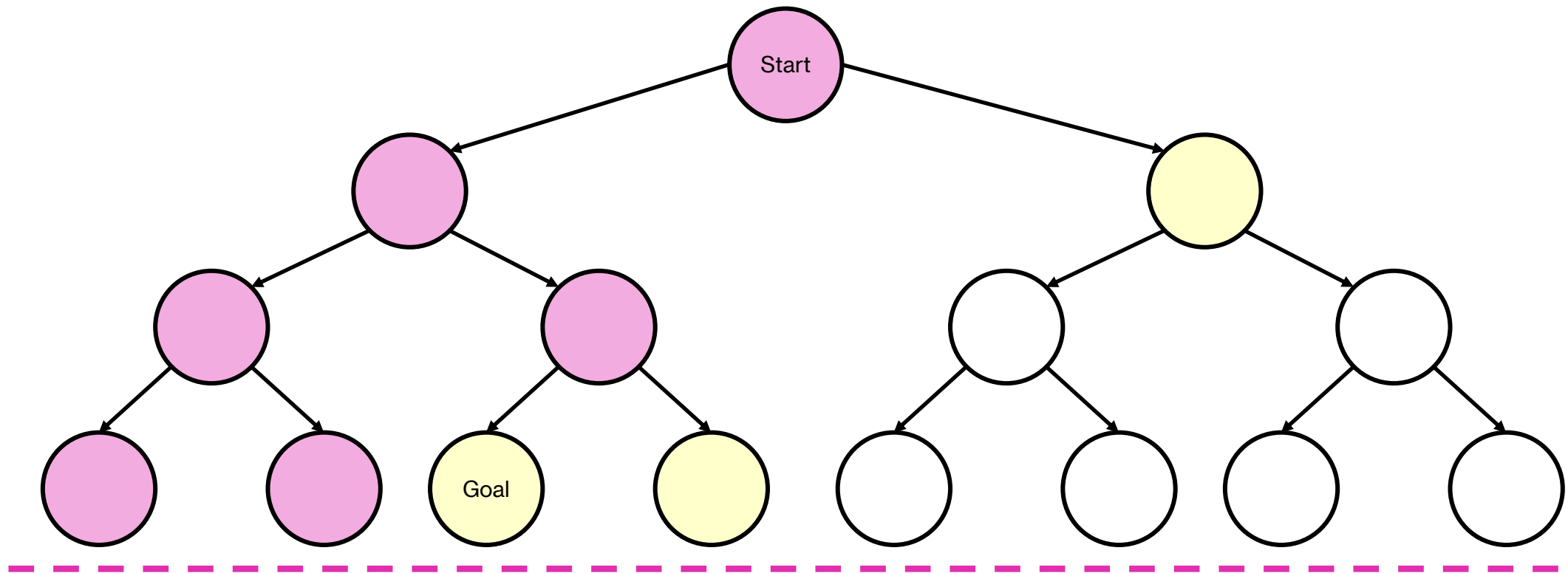
■ Explored  
■ Frontier

# DFS-Iterative Deepening

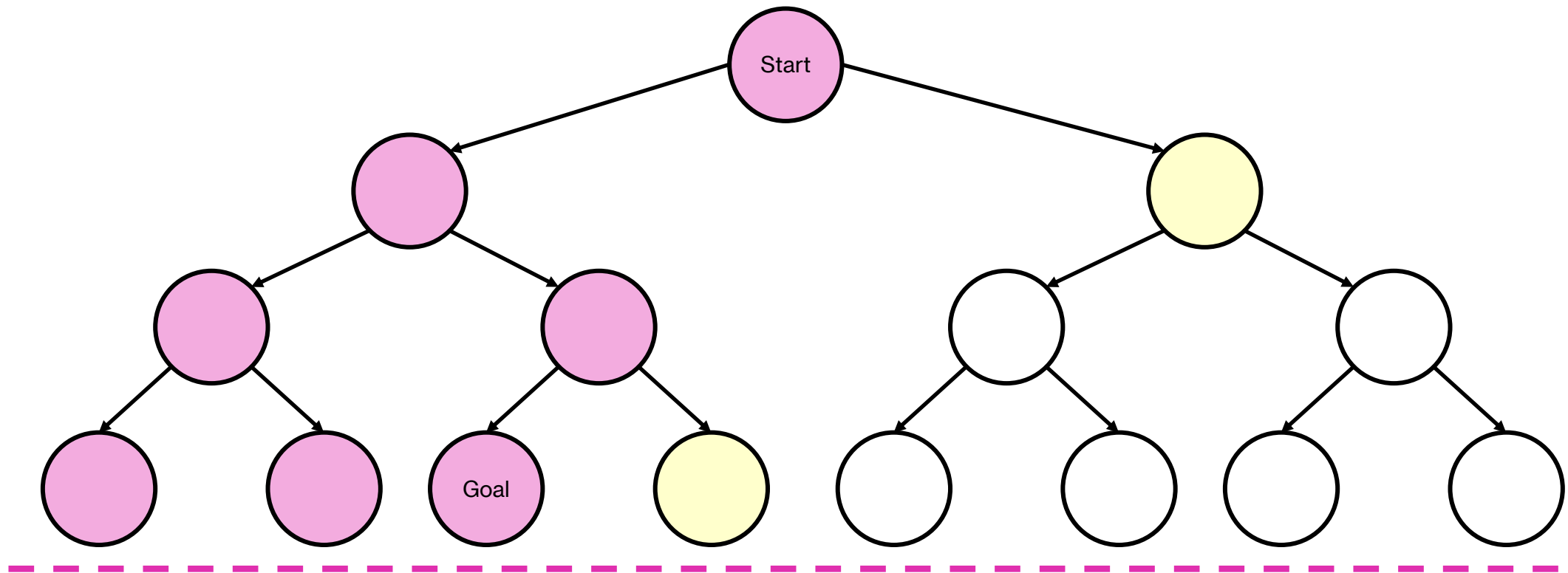


■ Explored  
■ Frontier

# DFS-Iterative Deepening

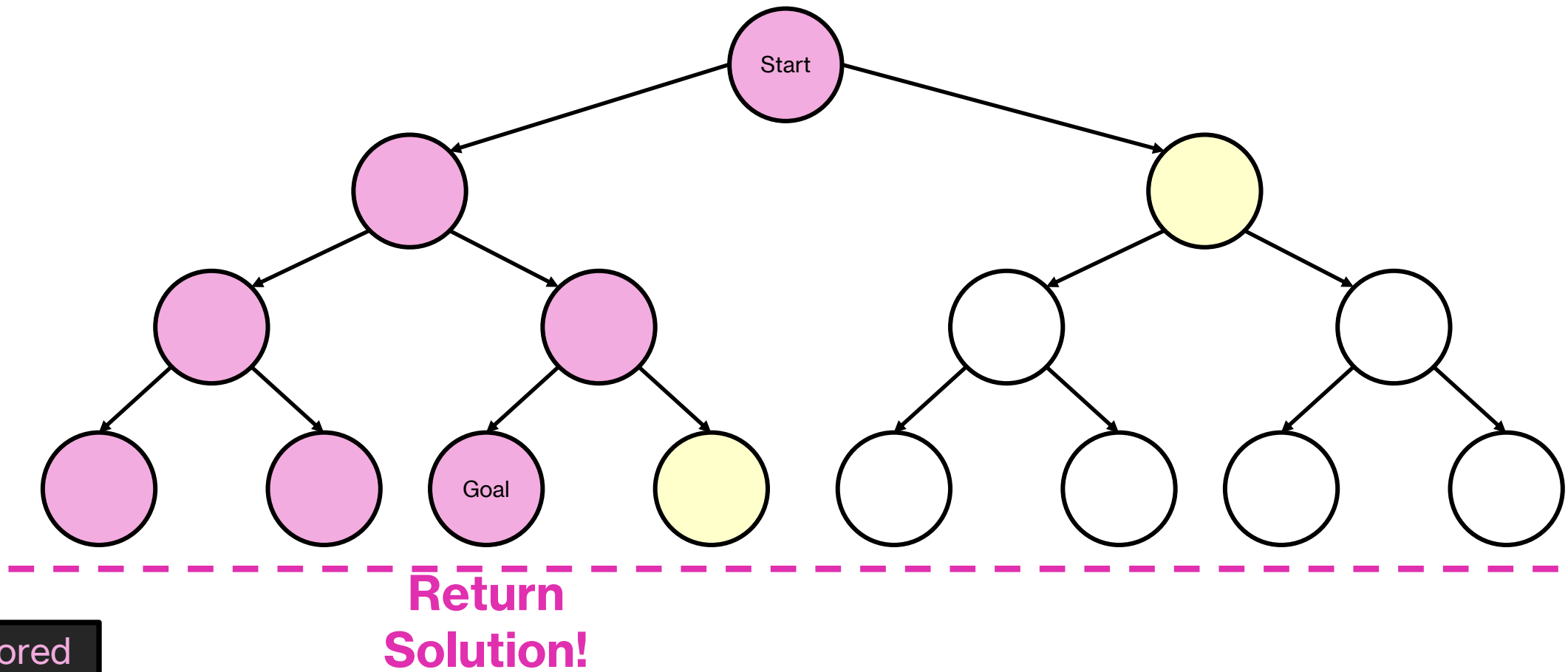


# DFS-Iterative Deepening



■ Explored  
■ Frontier

# DFS-Iterative Deepening



■ Explored  
■ Frontier

# DFS-Iterative Deepening Characteristics

- It is **complete** even if the state space is infinite
- It is **optimal** only if the costs are uniform
- Time complexity:  $O(b^d)$
- Space complexity:  $O(d)$



# Tree Search Algorithms Summary

Algorithm	Optimal when action costs are...	Time Complexity	Space Complexity
backtracking search	any	$O(b^D)$	$O(D)$
DFS	all 0 (irrelevant)	$O(b^D)$	$O(D)$
BFS	constant $\geq 0$	$O(b^d)$	$O(b^d)$
DFS-ID	constant $\geq 0$	$O(b^d)$	$O(d)$

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- Stanford University CS221 Autumn 2021 course. Available online at: <https://stanford-cs221.github.io/autumn2021>
- Previous CSINTSY slides by the following instructors:
  - Raymund Sison, PhD
  - Judith Azcarraga, PhD
  - Merlin Suarez, PhD
  - Joanna Pauline Rivera

# Readings

- <https://www.cs.miami.edu/home/geoff/Courses/COMP6210-10M/Content/StateSpaceSearch.shtml>
- <https://www.geeksforgeeks.org/search-algorithms-in-ai/>
- <https://www.educative.io/answers/what-is-uninformed-search-algorithm-in-ai>
- <https://www.educative.io/answers/what-is-iterative-deepening-search>