

# **Lecture 14**

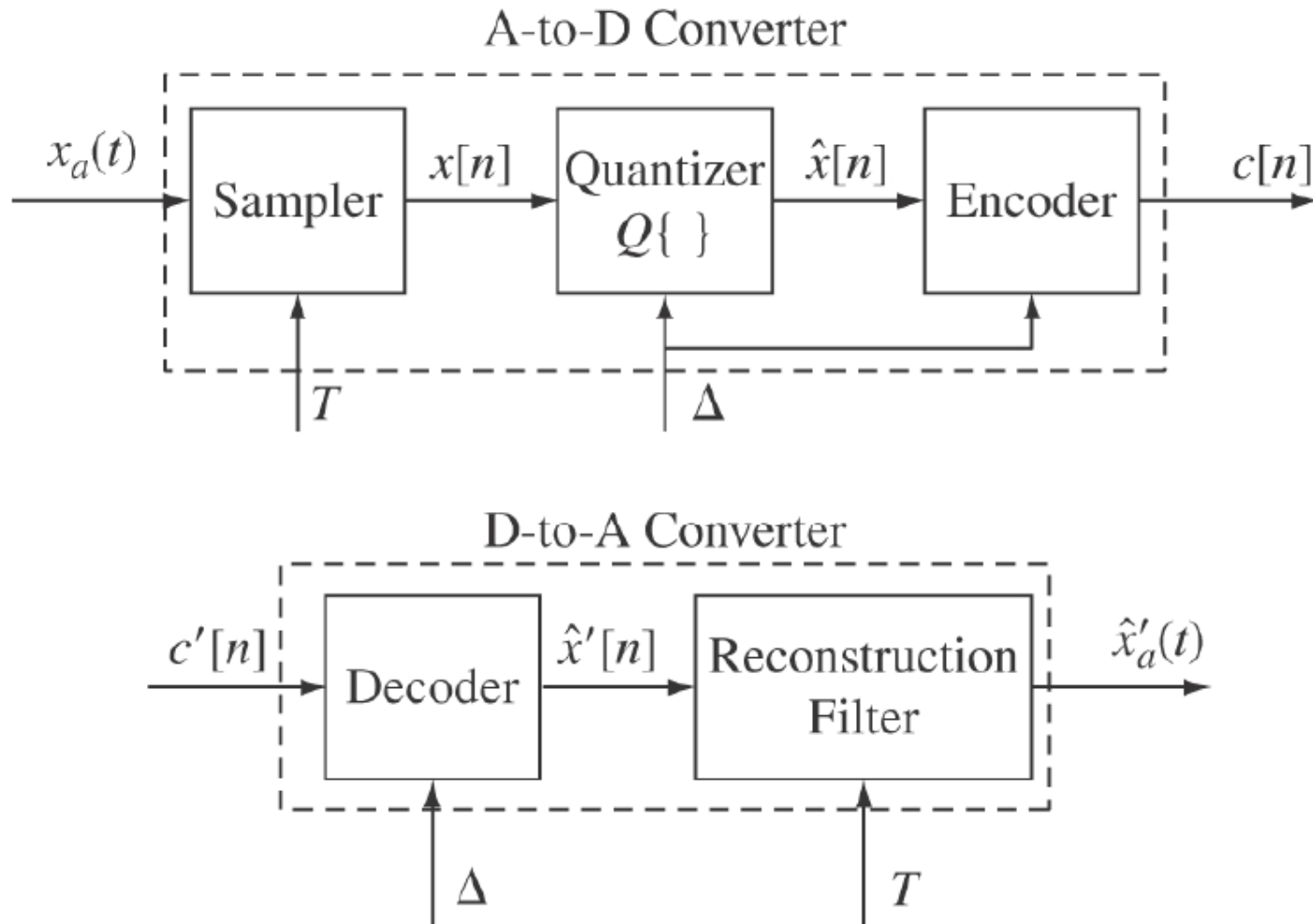
## **Chapter 11. Digital Coding of Speech Signals**

DEEE725 Speech Signal Processing Lab

Gil-Jin Jang

Original slides from Lawrence Rabiner

# Analog-to-Digital Conversion: CODEC (enCoder and DEcoder)



# History of Speech Coding

- 1926 – pulse code modulation (PCM); first conceived in 1937.
- 1952 – delta modulation proposed, differential PCM (DPCM) invented.
- 1957 – A-law and  $\mu$ -law encoding proposed; standardized for telephone network in 1972 (G.711)
- 1974 – ADPCM developed
- 1984 – CELP (code-excited linear prediction) vocoder proposed; majority of coding standards for speech signal today use a variation on CELP

# Type of Speech Codecs

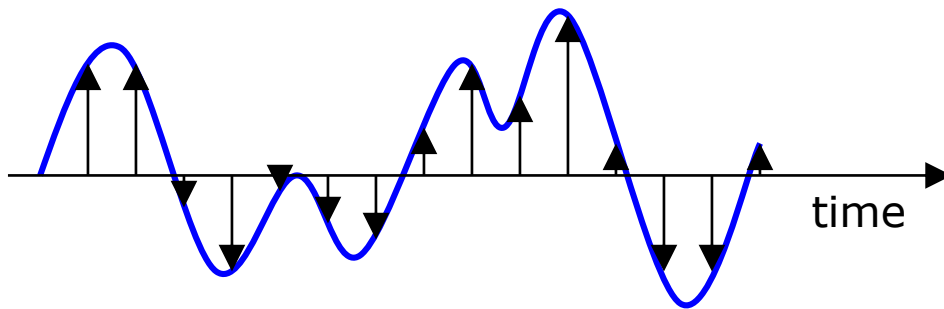
- Waveform codecs
  - Encode waveform directly
  - High-quality and not complex
  - Large amount of bandwidth
- Source codecs (voice coders – **vocoders**)
  - Match incoming signal to a math/physiological model
  - Linear-predictive filter model of the vocal tract
  - A voiced/unvoiced flag for the excitation
  - The information is sent rather than the signal
  - Low bit rates, but sounds synthetic
  - Higher bit rates do not improve much

# Waveform Codecs

- PCM (pulse code modulation)
  - Sample input waveform directly
  - Uniform quantization requires  $> 10$  bits/sample.
    - typically 16 bits/sample
    - $16 \text{ bits / sample} \times 8000 \text{ samples / second} = \underline{\underline{128 \text{ kbit/s}}}$ .
- DPCM (differential PCM)
  - Encode difference between consecutive samples
- Adaptive DPCM
  - Adapt step size for quantization based on speech statistics
  - Example: G.726 (1974); based on six previous differences.
  - Gain-adaptive 15 quantization levels results in **32 kbit/s**.

# Voice Sampling

- A-to-D
  - discretize the analog waveform by some number of bits
  - A signal can be reconstructed if it is sampled at a minimum of twice the maximum frequency (Nyquist Theorem)
- Human speech
  - Typical bandwidth in 300-3800 Hz
  - 8000 samples per second (8 kHz sampling rate)



Each sample is encoded into an  
16-bit PCM code word  
(e.g. 0011011001100101)  
→  $8000 \times 16 \text{ bit/s} = 128\text{kbps}$

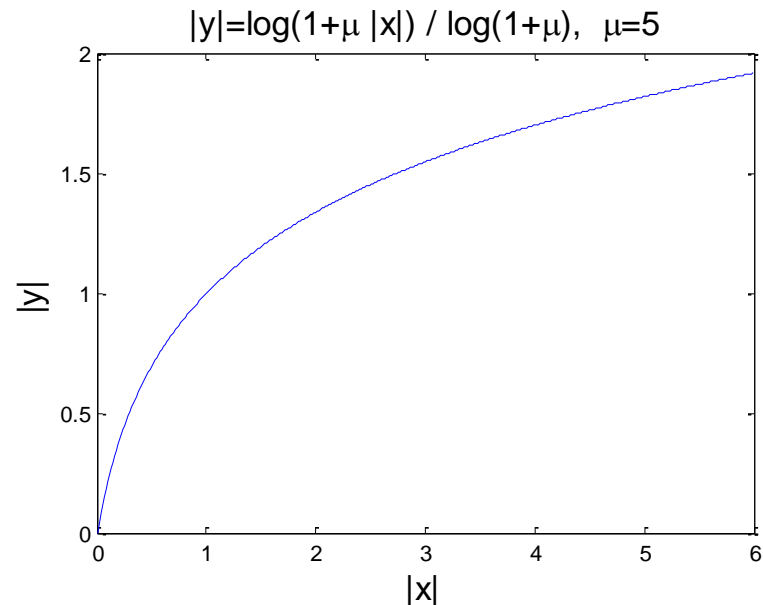
# Quantization

- How many bits is used to represent
- Quantization noise
  - The difference between the actual level of the input analog signal
- Uniform quantization levels
  - Louder talkers sound better

# Non-uniform Quantization

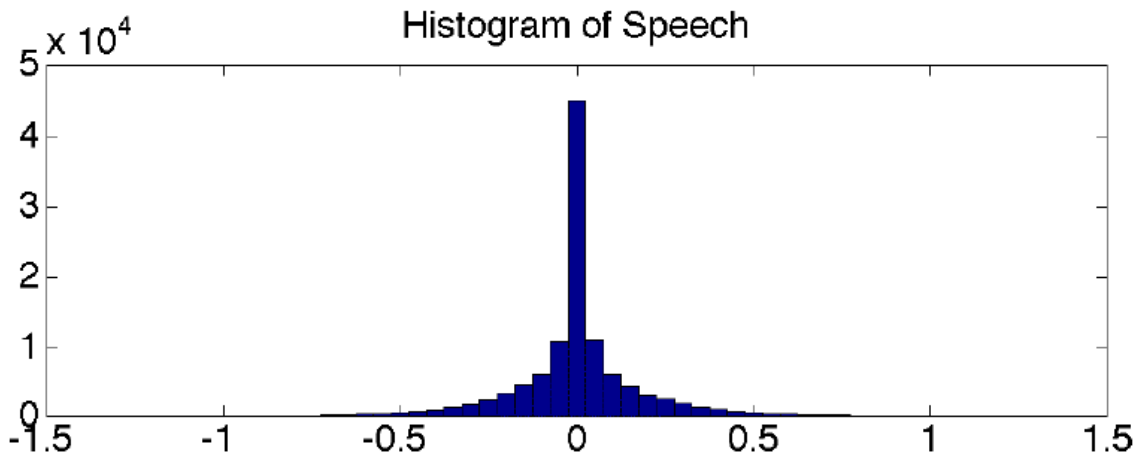
- Smaller quantization steps at smaller signal levels to spread signal-to-noise ratio more evenly
- Logarithmic scaling (A-law in Europe and  $\mu$ -law in US)

Non-uniform quantization  
(G.711,  $\mu$ -law & a-law, 1972)  
Quantizing  $y$  to 8 bits yields  
**64 kbit/s** at 8kHz

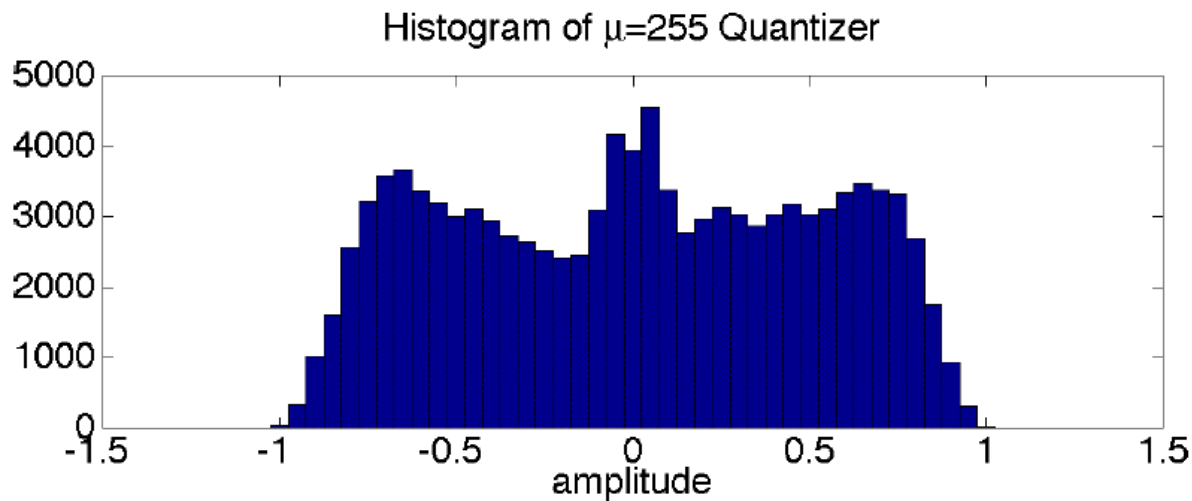




# Histogram for mu-Law



Speech  
waveform

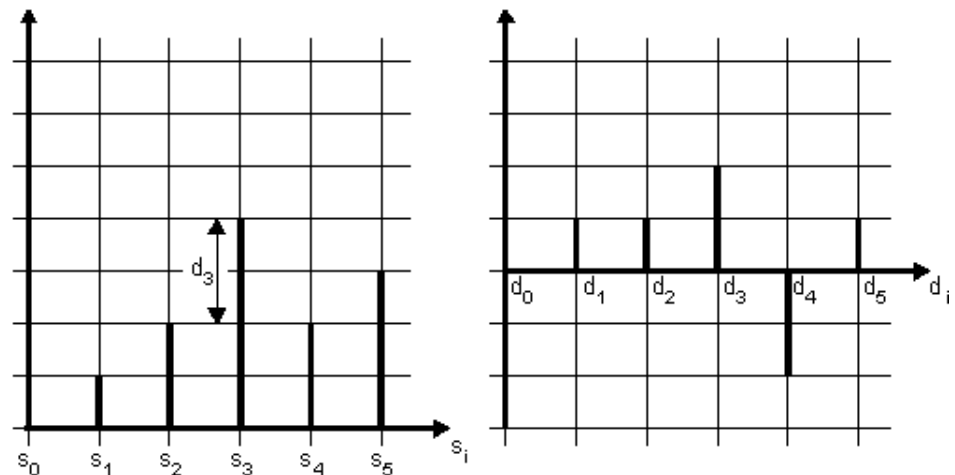


Output of  
 $\mu$ -Law  
compander

Becomes closer to uniform distribution

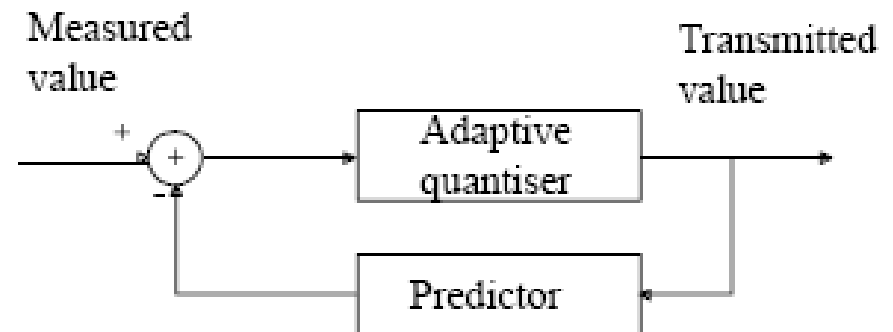
# DPCM

- DPCM, Differential PCM
  - Only transmit the difference between the predicted value and the actual value
  - The receiver perform the same prediction
- No algorithmic delay



# ADPCM (Adaptive DPCM)

- Predicts sample values based on
  - Past samples
  - Factoring in some knowledge of how speech varies over time
- The error is quantized and transmitted
  - Fewer bits are required



- G.721
  - 32 kbps
- G.726
  - A-law/mu-law PCM -> 16, 24, 32, 40 kbps
  - An MOS of about 4.0 at 32 kbps

# Codec quality assessment

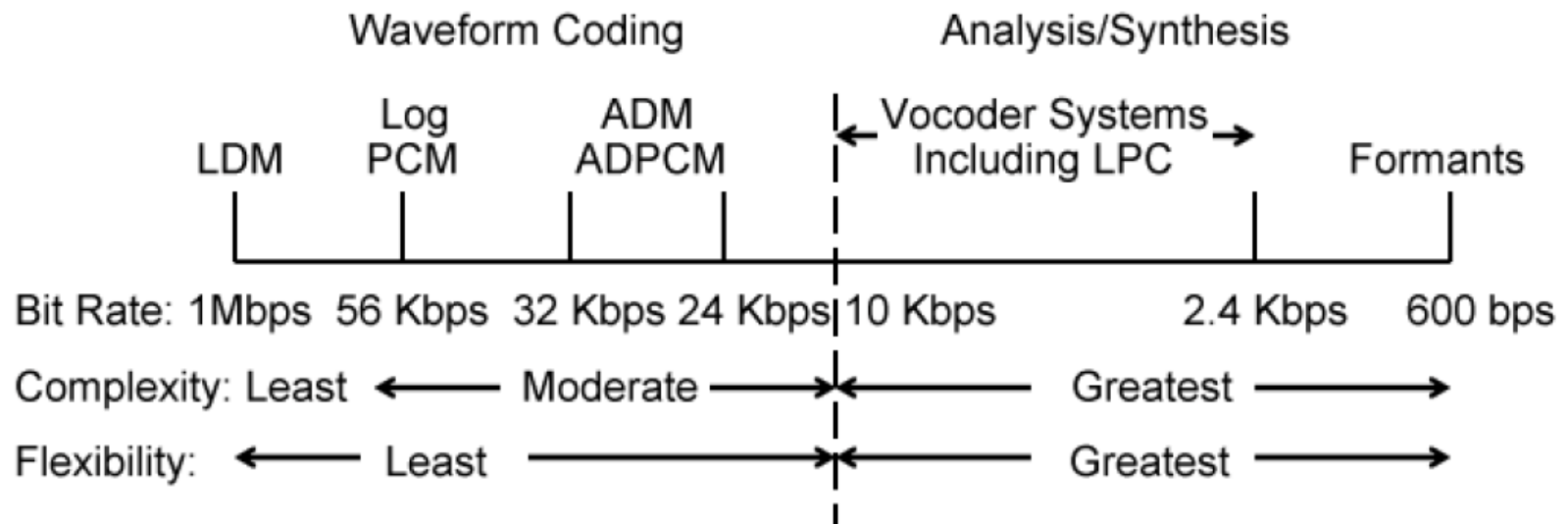
- Subjective evaluation (human listening test)
  - Preference test – “Which one is the best?”, usually binary
  - MOS (mean opinion score, 1-5)
    - “Rate the sound on a scale of 1 to 5.”
  - MUSHRA (MUltiple Stimuli with Hidden Reference and Anchor)
    - Add a dummy to prevent random rating
- Objective measures (numerical calculation)
  - SNR (signal-to-noise ratio, dB)
    - $10 \log_{10} \frac{\sum_n (s[n] - \hat{s}[n])^2}{\sum_n s[n]^2}$
  - PESQ (perceptual evaluation of speech quality, 1-5)
    - Approximation of MOS values
    - ITU-T recommendation P.862

# VOCODERS

# Speech Information Rates

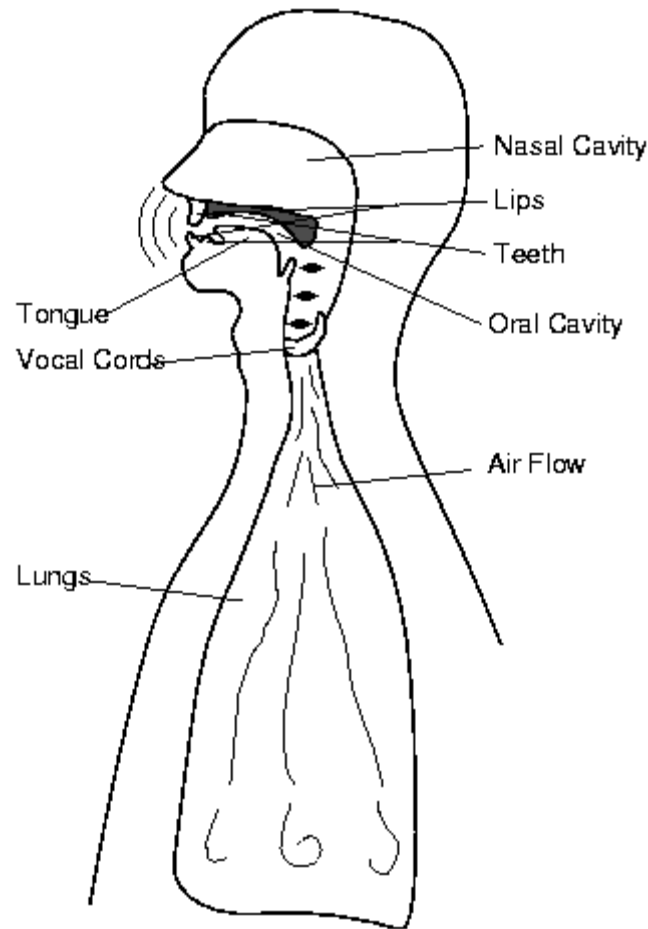
- Production level:
  - 10-15 phonemes/second for continuous speech
  - 32-64 phonemes per language → 6 bits/phoneme
  - Information Rate = **60 – 90 bps** at the source
- Waveform level
  - speech bandwidth is 4 – 10 kHz
    - sampling rate is 8 – 20 kHz
  - need 12-16 bit quantization for high quality digital coding
  - Information Rate = **96-320 Kbps**
- More than 3 orders of magnitude ( $> \times 1000$ ) difference in Information Rates between the production and waveform levels

# Speech Coder Comparisons



- waveform coders characterized by:
  - high bit rates (24 Kbps – 1 Mbps)
  - low complexity / low flexibility
- analysis/synthesis systems characterized by:
  - low bit rates (600 bps – 10 Kbps)
    - 8 Kbps for 2G voice communication standard
  - high complexity
  - great flexibility (e.g., time expansion/compression)

# Human Speech Production System



- Air flow forced from lungs to vocal tract
  - The basic vibrations – vocal cords
  - Filter with resonances (called formants)
- Model the vocal tract as a filter
  - The shape changes relatively slowly
- The vibrations at the vocal cords
  - The excitation signal
- Speech sound classes
  - **Voiced sounds**
    - Voice cord vibration
    - Long-term periodicity
  - **Unvoiced sounds**
    - Constriction in the vocal tract
    - No long-term periodicity
  - **Plosive sounds**
    - Release of air pressure behind mouth

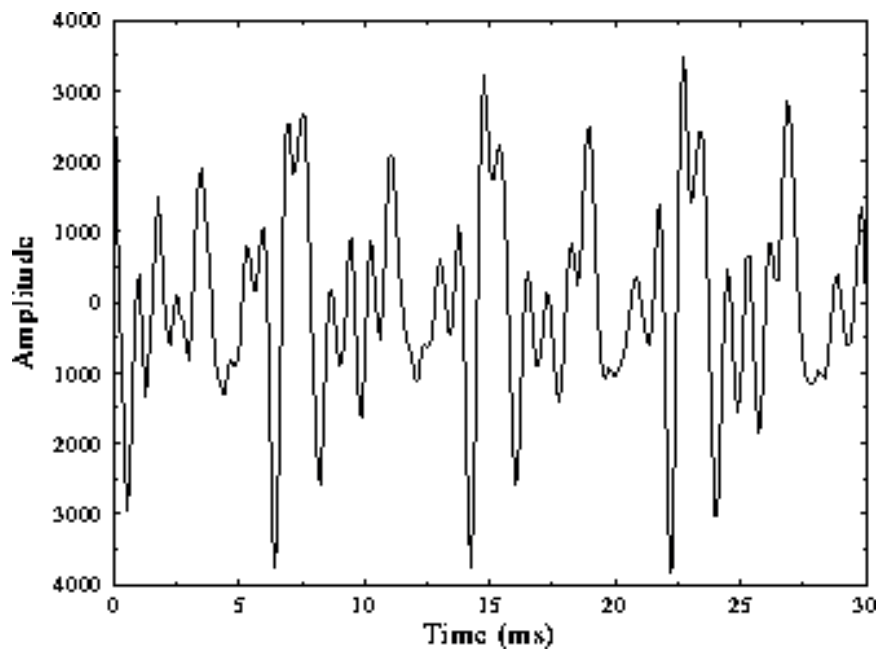


# Voiced Speech

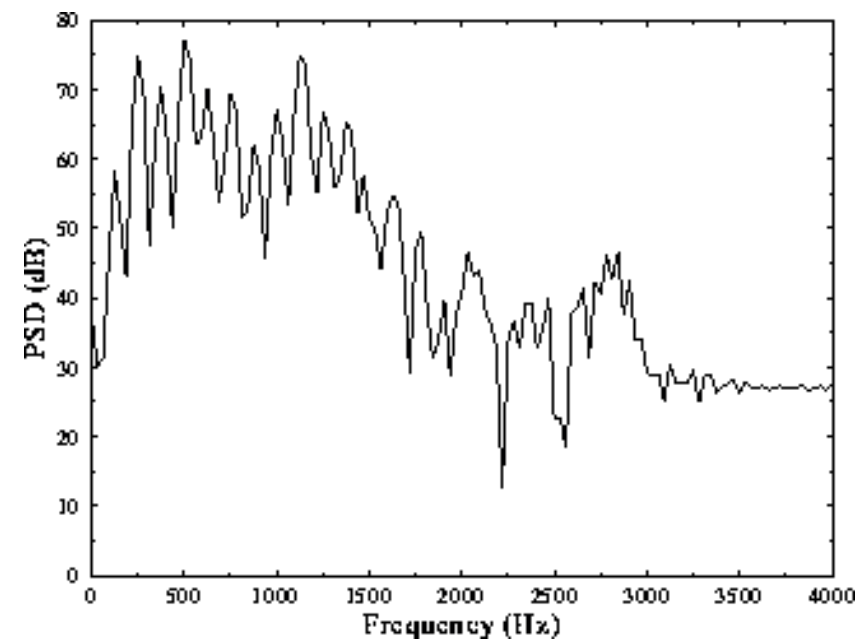
- The vocal cords vibrate open and close
  - Interrupt the air flow
  - Quasi-periodic pluses of air
  - **Pitch** – the rate of the opening and closing
- A high degree of periodicity at the pitch period
  - 2-20 ms

# Voiced Speech

Voiced speech



Power spectral density

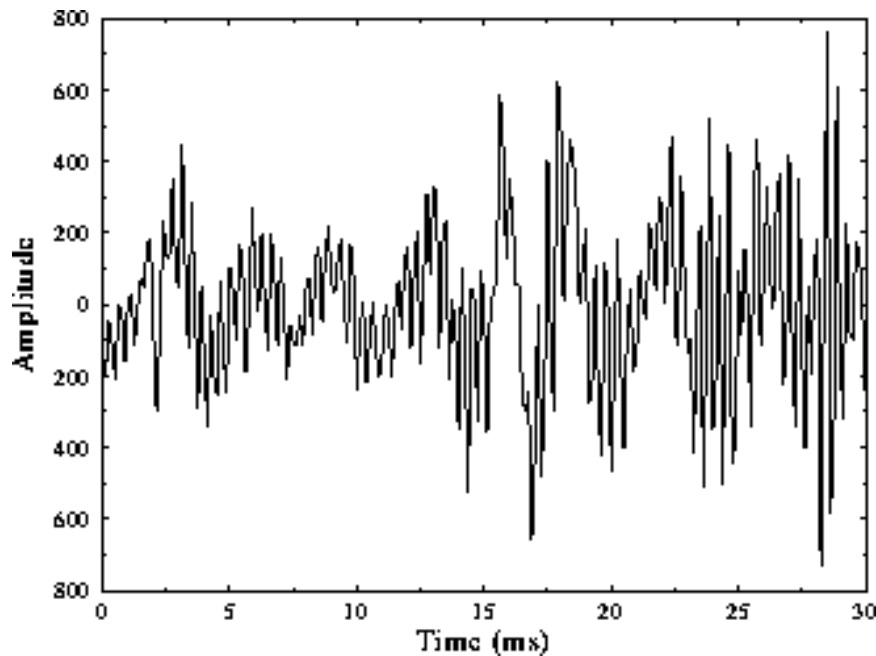


# Unvoiced Speech

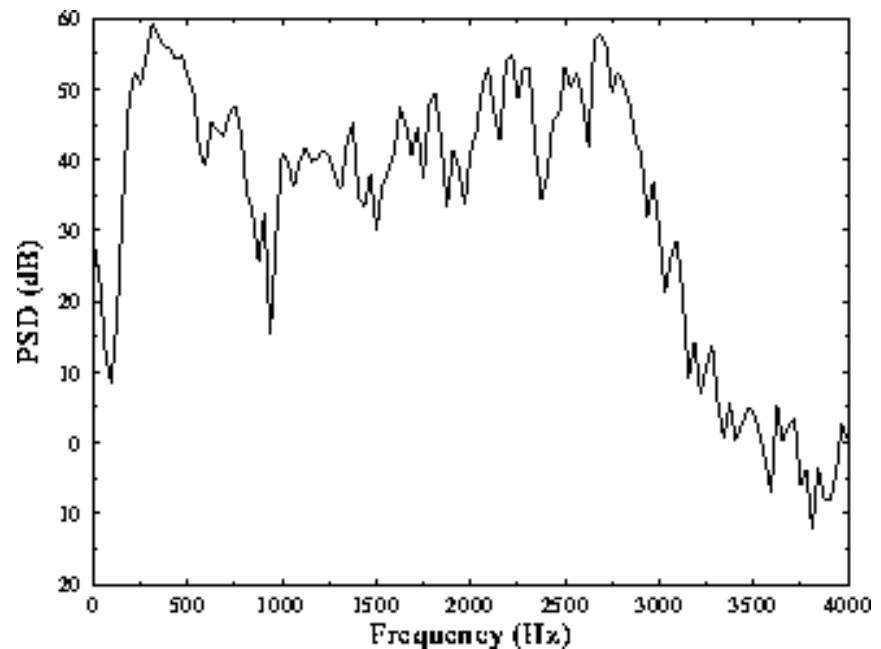
- Forcing air at high velocities through a constriction
- The glottis is held open
- Noise-like turbulence
- Show little long-term periodicity
- Short-term correlations still present

# Unvoiced Speech

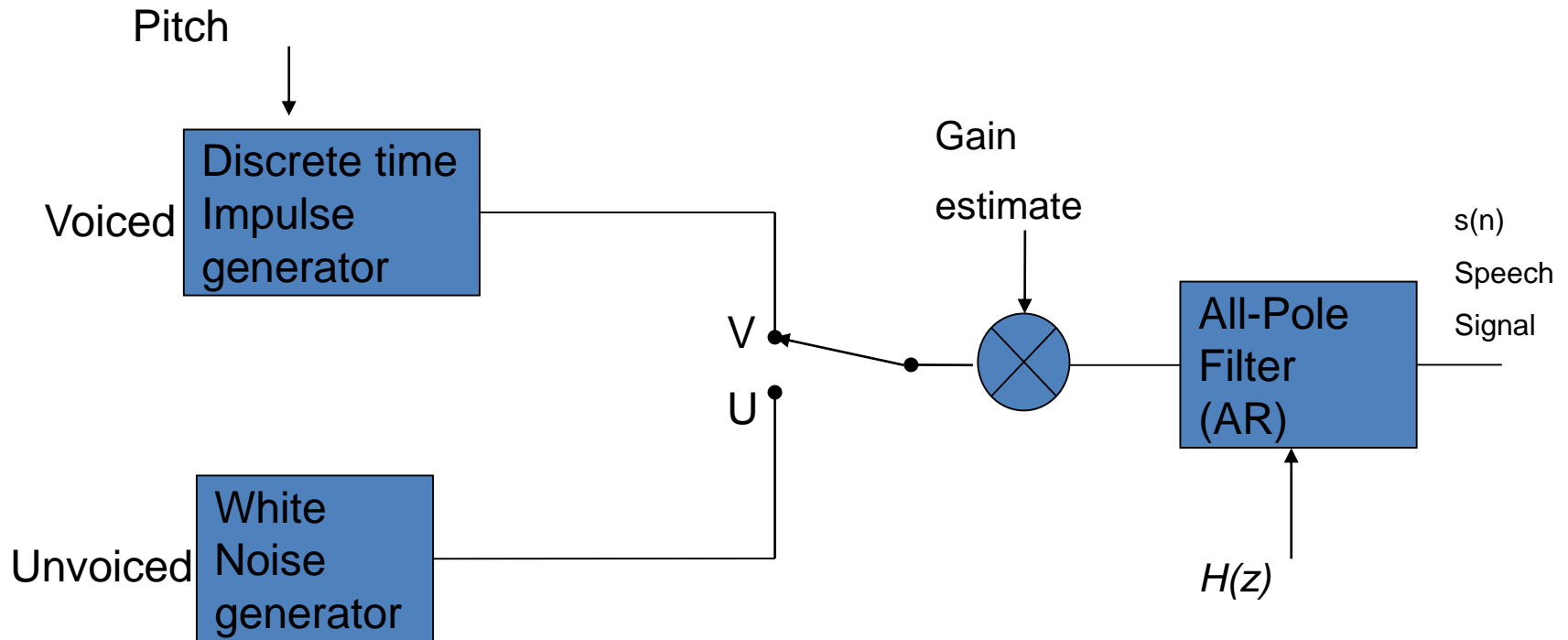
unvoiced speech



Power spectral density



# Vocoders: Using LP Analysis













necessary components:

V/UV decision, pitch estimation, white noise generation, LPC, LPC-to-LSF transformation, scalar quantization, vector quantization, resynthesis

# LPC Sounds

## Number of LP Coefficients (LPC order)

- 2  
- 6  
- 8  
- 10  
- 12  

Reference  

# LPC vocoder requirements

## Things to Encode

- Excitation signal
  - For voiced: pitch period – 1 real number
  - For unvoiced: ??
  - U / UV flag: 1 bit
- Excitation gain
  - 1 real number
- Vocal tract filter  $H(z)$ 
  - 10 real numbers for 8 kHz (LPC order of 10)

## Data Rates

- for every 10 ms, we have at least  $1+1+10$  reals + 1 bit
- using 4 byte float for a real number, we need  $12*4*8 + 1 = 385$  bits
- the bandwidth to transmit this information is then  $38500 \text{ bits / sec} = \underline{\underline{38.5 \text{ kbps}}}$ .

# Comparison to Other Coders

- Audio codec (44.1 kHz)
  - CD quality:  $44.1 \text{ kHz} * 16 \text{ bits} * \text{stereo} = 1411.2 \text{ kbps}$
  - MP3: 128 kbps / 192 kbps / 320 kbps
  - WMA: 64 kbps
- Speech codec (8kHz)
  - PCM:  $8 \text{ kHz} * 16 \text{ bits} = 128 \text{ kbps}$
  - $\mu$ -law:  $8 \text{ kHz} * 8 \text{ bits} = 64 \text{ kbps}$
  - ADPCM:  $8 \text{ kHz} * 4 \text{ bits} = 32 \text{ kbps}$
  - LPC-10, using floats: **38.5 kbps** – is it useful?



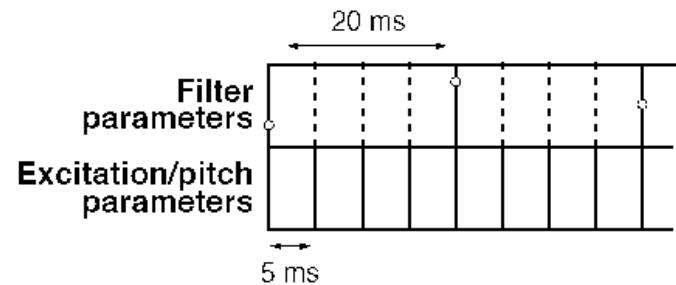
# **EFFICIENT VOCODER DESIGN USING LINE SPECTRAL FREQUENCIES**

# LPC Coder / Decoder Modules

- Excitation coding
  - **V/UV decision**
  - Voiced excitation – **Pitch estimation**; glottal pulse generation by pulse train / sinusoids / interpolation
  - Unvoiced excitation – random sound / **vector quantization**
- Vocal tract modeling
  - LPC – conversion to **LSP** (line spectral pair), **scalar / vector quantization**
- **Resynthesis**
  - combine regenerated, segmented frames back to time series

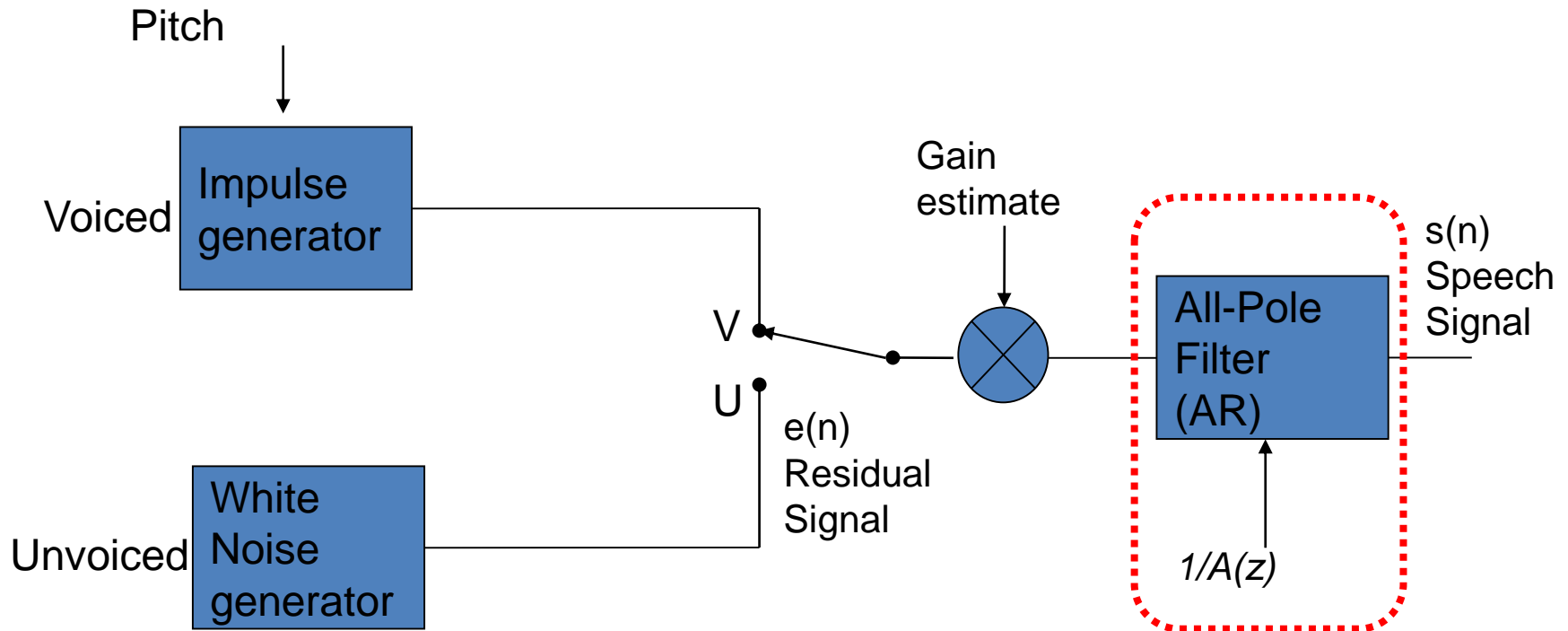
# LPC-based Vocoder Design Procedure

1. Extract  $a_k$  parameters properly (LPC analysis)
  - $a_k$  parameters change relatively slowly (**20ms**)
2. Transform  $a_k$  to LSFs and **quantize (code)** them properly so that there is little quantization error
  - The sensitivity of  $a_k$  to noise is not consistent, so use LSFs
  - Relatively small number of bits go into coding the  $a_k$  coefficients



3. Represent  $e(n)$  via:
  - Change relatively fast (**5ms/10ms subframe**)
  - Pitch pulses and white noise – LPC coding
  - Codebook vectors – CELP (codebook excited linear prediction)
    - Almost all of the coding bits go into coding of  $e(n)$

# LPC-based Vocoder Design



necessary components:

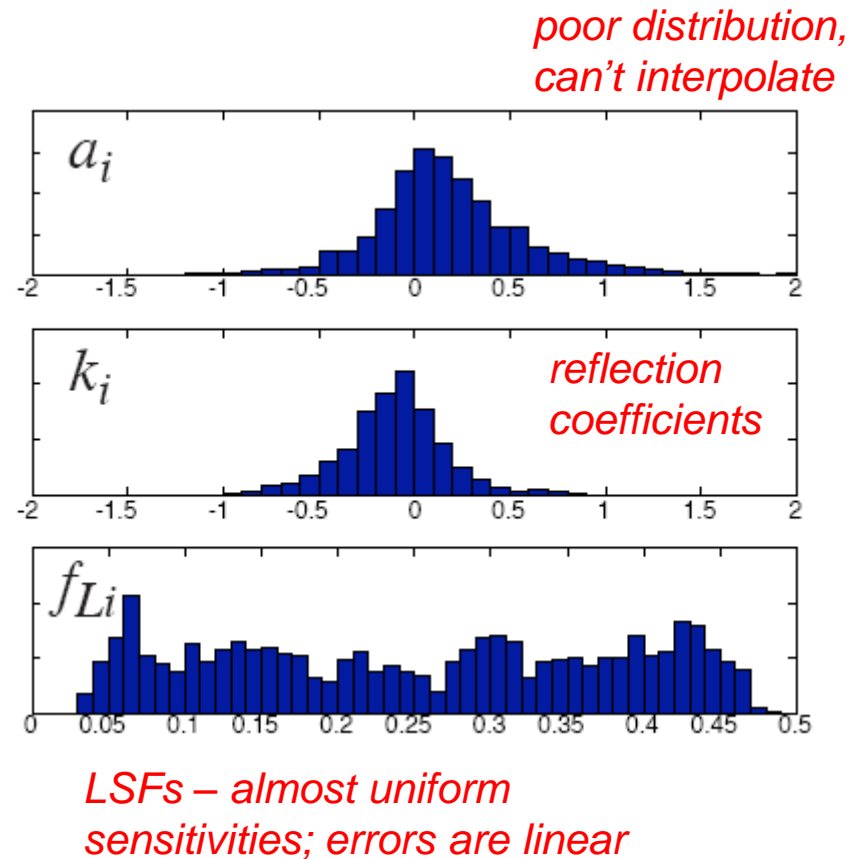
V/UV decision, pitch estimation, residual modeling by Impulse / noise

LPC, LPC-to-LSF transformation

LSF quantization

# LPC Encoding

- For communications quality:
  - 8 kHz sampling (4 kHz bandwidth)
  - 10th order LPC (up to 5 pole pairs)
  - update every 20-30 ms → 300-500 parameters/s
- LSF transformation
  - In MATLAB, use function **poly2lsf(A)**
  - In addition, LSF to LPC is by **lsf2poly(L)**
- Bit allocation:
  - FS1016 (4.8 kbps): 10 LSPs x 3-4 bits / 30 ms = 1.1 kbps



# Uniform Scalar Quantization

- If the distribution is uniform on a closed interval, uniform scalar quantization is the most efficient coding scheme
- Code for the input can be obtained very simply
$$c = \max(1, \min(\max\_code, \text{fix}((x - \text{bias}) / \text{step}))) ;$$
- However, if the input distribution is not uniform, non-uniform scalar quantization is necessary
- Moreover, if the multivariate input dimension is correlated, vector quantization is necessary

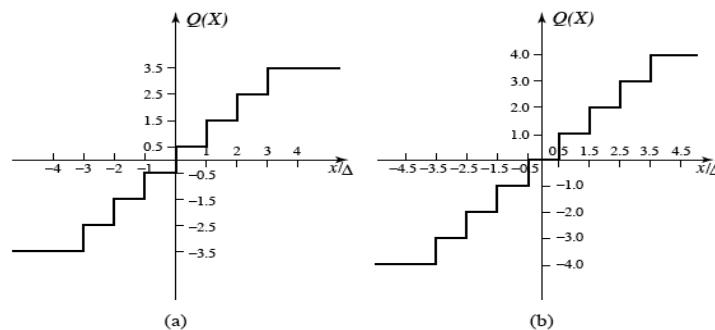


Fig. 8.2: Uniform Scalar Quantizers: (a) Midrise, (b) Midtread.

# Optimal Coding

- Shannon **information**:

An unlikely occurrence is more 'informative'

$$p(A) = 0.5 \quad p(B) = 0.5$$

**ABBBBAAABBABBABB**

**A, B** equiprobable

$$p(A) = 0.9 \quad p(B) = 0.1$$

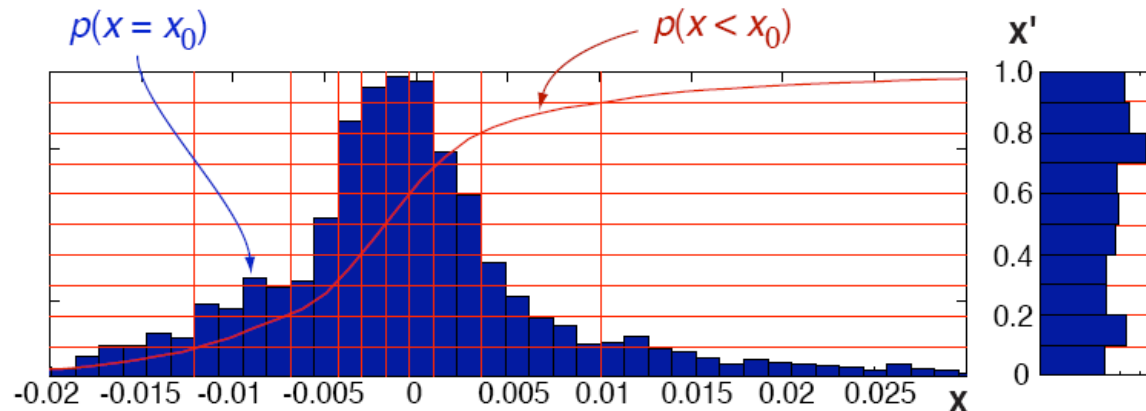
**AAAAABBBAAAAABAAAAB**

**A** is expected;  
**B** is 'big news'

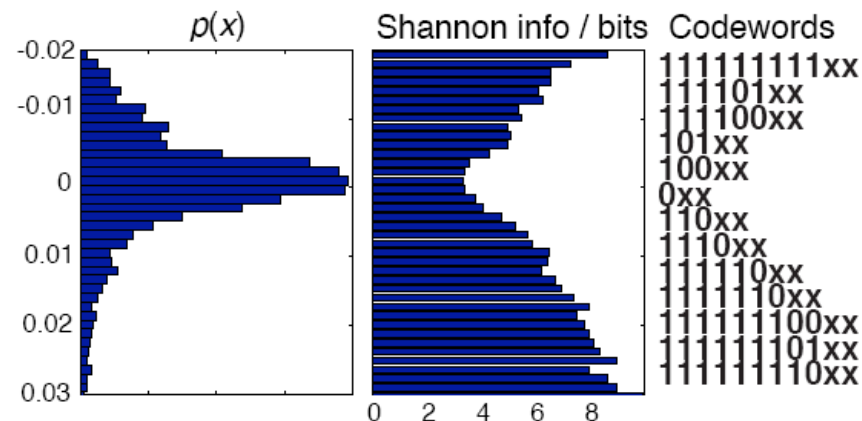
- Information** in bits  $I = -\log_2(\text{probability})$ 
  - ▶ clearly works when all possibilities equiprobable
- Optimal bitrate  $\rightarrow$  av.token length = **entropy**  $H = E[I]$ 
  - ▶ .. equal-length tokens are equally likely
- How to achieve this?
  - ▶ transform signal to have uniform pdf
  - ▶ nonuniform quantization for equiprobable tokens
  - ▶ variable-length tokens  $\rightarrow$  Huffman coding

# Scalar Quantization for Optimum Bitrate

- Quantization should reflect pdf of signal:



- cumulative pdf  $p(x < x_0)$  maps to uniform  $x'$
- Or, codeword length per Shannon  $\log_2(p(x))$ :

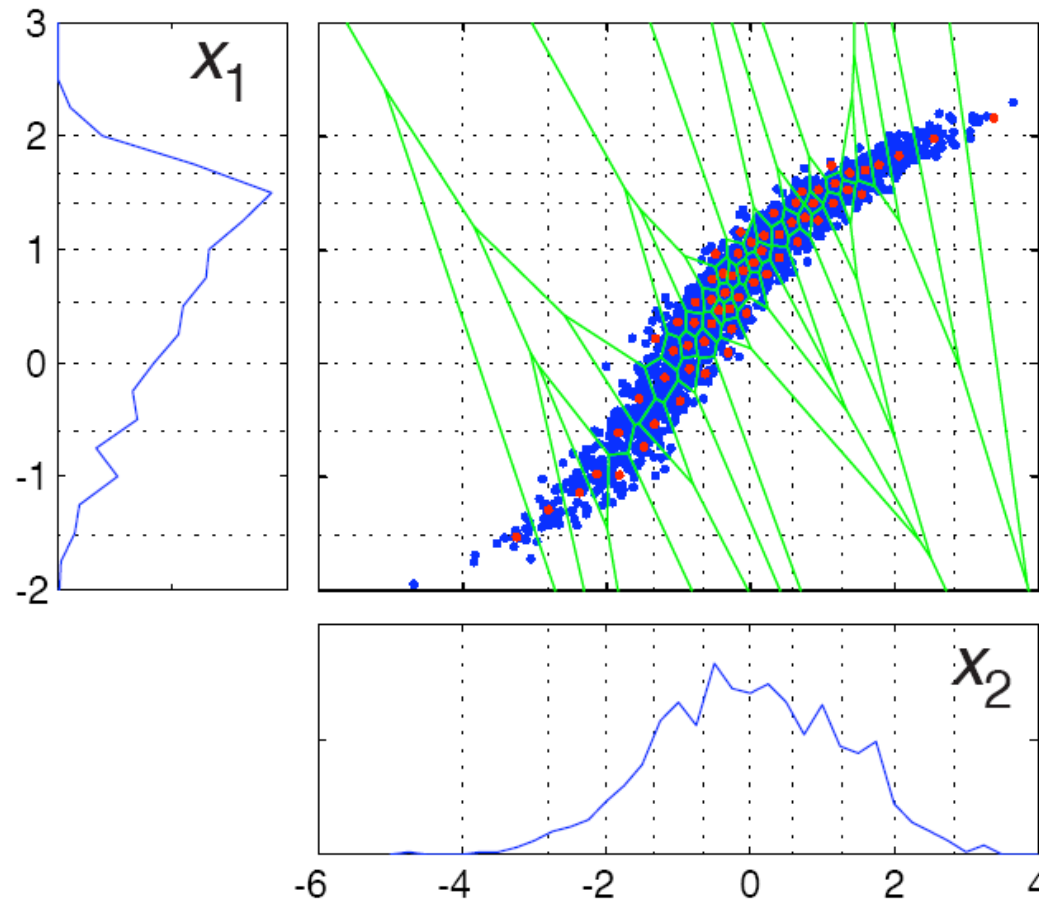


- Huffman coding: tree-structured decoder



# Vector Quantization

- Quantize mutually dependent values in joint space:

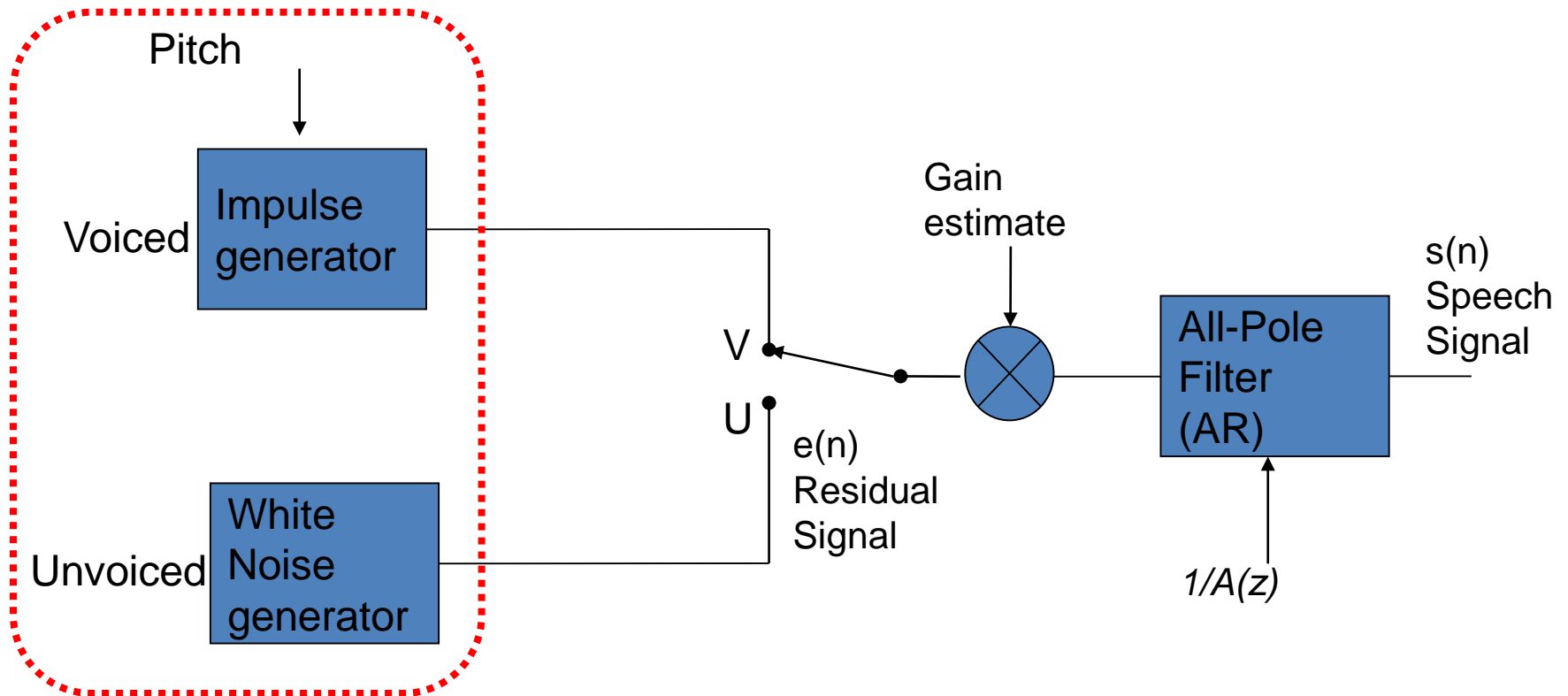


- May help even if values are largely independent
  - ▶ larger space  $x_1, x_2$  is easier for Huffman

# Some LSP Coding Strategies

- Uniform scalar quantization: NA
- Non-uniform scalar quantization
  - $1 \times 3 + 4 \times 4 + 5 \times 3 = 34 \text{ bits} / 20 \text{ ms} = 1.7 \text{ kbps}$ 
    - Although the standard adopted 30 ms for frame size, we are using 20 ms
- Split vector quantization of 3/3/4, 256 codewords
  - $3 \times 8 = 24 \text{ bits} / 20 \text{ ms} = 1.2 \text{ kbps}$
- Full vector quantization, codebook size 512
  - $16 \text{ bits} / 20 \text{ ms} = 0.8 \text{ kbps}$

# LPC-based Vocoder Design



necessary components:

V/UV decision

pitch estimation, residual modeling by Impulse / noise

LPC, LPC-to-LSF transformation

LSF quantization

# Excitation Encoding

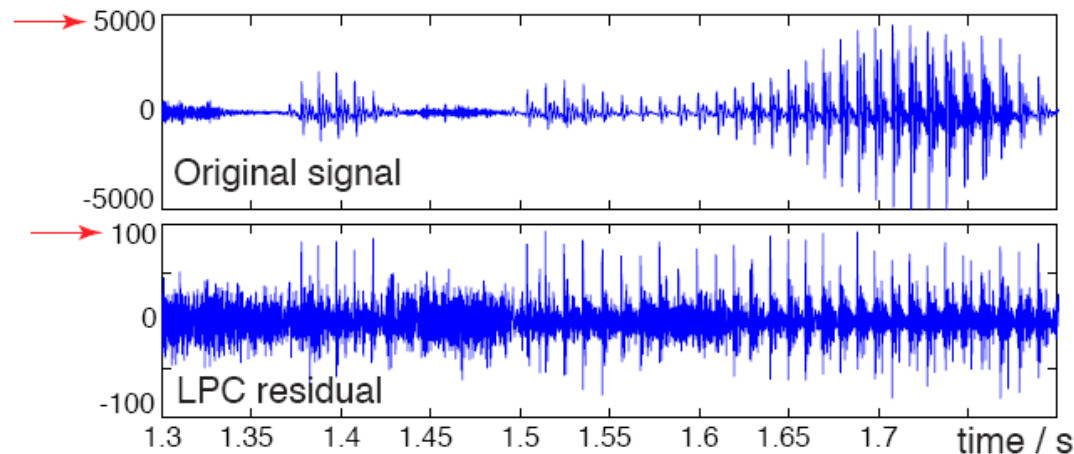
- Done on subframes (usually 5 ms-10ms)
- V/UV decision
- Compute gain and divide the residual signals
- For voiced segments, find pitch period and delay
- For unvoiced segments, in CELP, find the closest codeword from the codebook
- Information to be transferred
  - Gain / VUV flag / (voiced) pitch period / (unvoiced) excitation code (1 real + 1 bit + 1 real + 1 int)

# Excitation Decoding

- Voiced segments
  - generate pulse train of the given period
  - adjust phase (shift) so that the first pulse be one pitch period away from the last pulse of previous frame, to prevent audible discontinuities
- Unvoiced segments
  - generate random signal (LPC10)
  - or use the closest codeword from the codebook (CELP)
- (Common) Gain modification
  - normalize the excitation so that it has unit variance
  - multiply gain

# Excitation Encoding Illustration

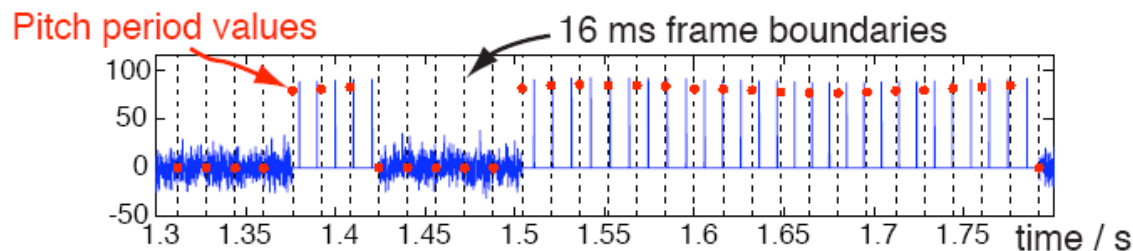
- **Excitation** already better than raw signal:



- ▶ save several bits/sample, but still  $> 32$  Kbps

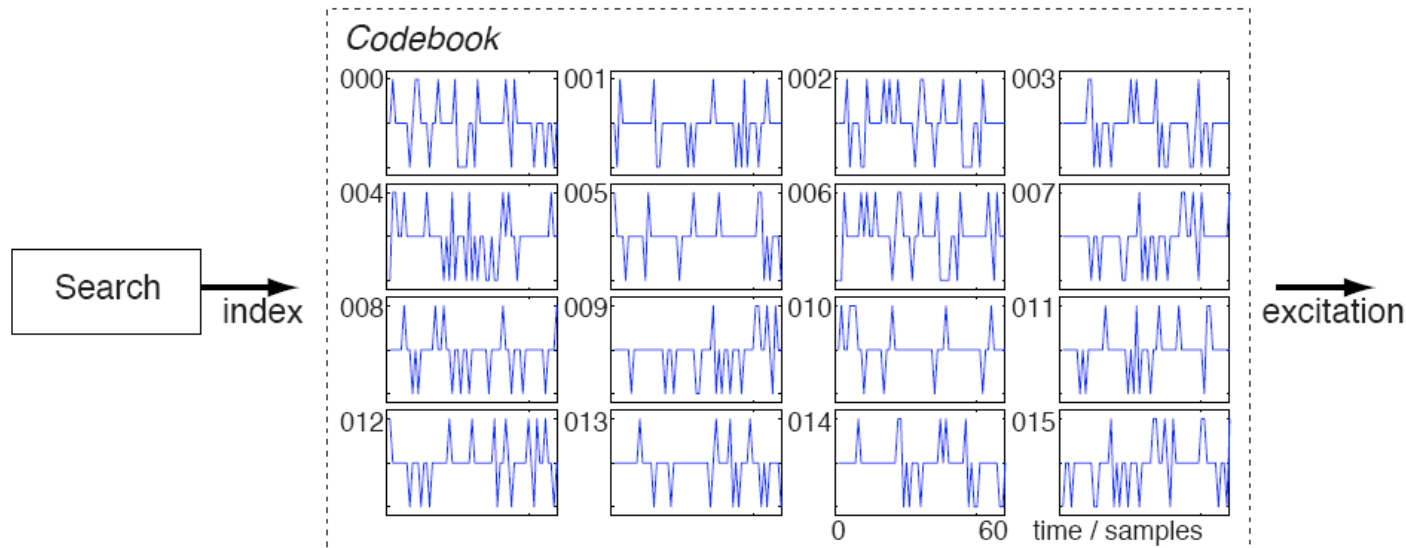
- Crude model: U/V flag + pitch period

- ▶  $\sim 7$  bits / 5 ms = 1.4 Kbps  $\rightarrow$  LPC10 @ 2.4 Kbps



# Case Study: CELP

- Represent excitation with **codebook**  
e.g. 512 sparse excitation vectors



- linear search for minimum weighted error?

- FS1016** 4.8 Kbps CELP (30ms frame = 144 bits):

10 LSPs             $4 \times 4 + 6 \times 3$  bits =    34 bits

Pitch delay         $4 \times 7$  bits =                    28 bits

Pitch gain          $4 \times 5$  bits =                    20 bits

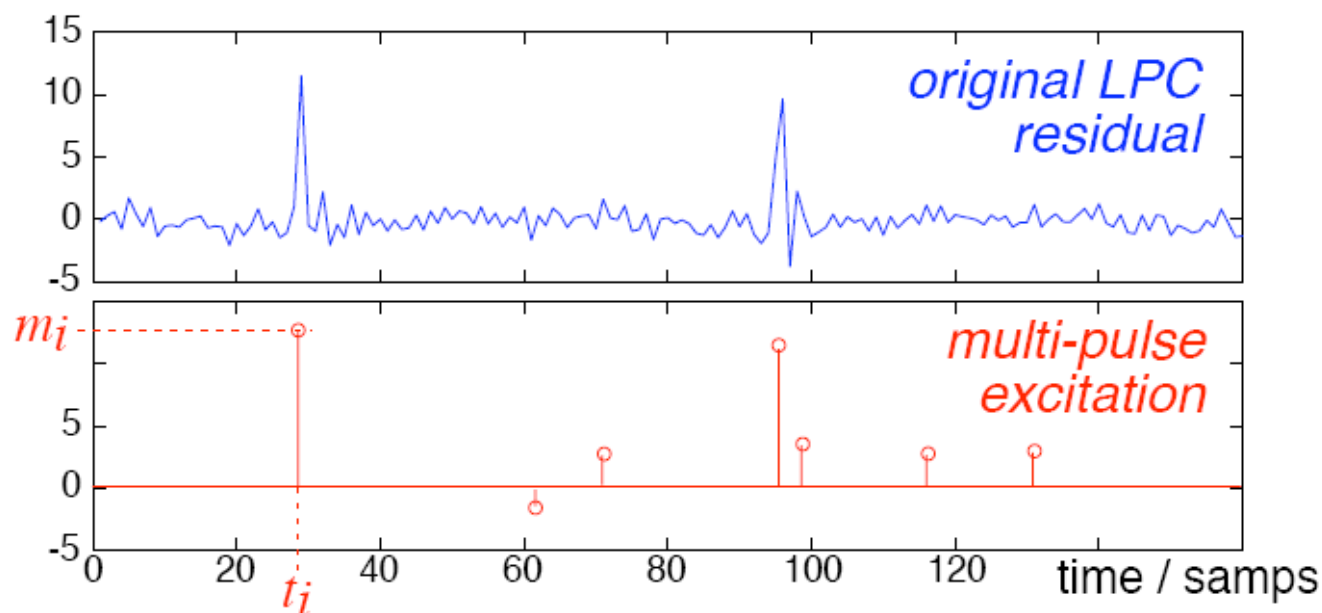
Codebk index       $4 \times 9$  bits =                    36 bits

Codebk gain         $4 \times 5$  bits =                    20 bits

▶ 138 bits

# Case Study: Multi-Pulse Excitation (MPE-LPC)

- Stylize excitation as  $N$  discrete pulses



- encode as  $N \times (t_i, m_i)$  pairs



# Generic Mixed Signal Coders Comparison



- **Reference**



- Uniform PCM: 64 kbps, 8bits



- ADPCM: 8bits per sample



- LPC



- CELP

## Bit Rate Comparison

*"A lathe is a big tool. Grab every dish of sugar"*



- MU-law PCM: **64** kbps, 8bits



- ADPCM: **32** kbps, 4bits



- CELP: **4.8** kbps, 0.6 bits



- LPC-10: **2.4** kbps, 0.3 bits

Sentence has a good mix of sounds; unvoiced sounds: "th" (lathe) and "sh" (dish, sugar); difficult consonants "b" (big), "t" (tool) and "G" (grab), which are part voiced, part unvoiced, and the "s" in "is" which is pronounced like a "z" simultaneously voiced and unvoiced.

Reference:

[www.cs.ucl.ac.uk/teaching/GZ05/samples/index.html#speech](http://www.cs.ucl.ac.uk/teaching/GZ05/samples/index.html#speech)

Data from [Data-Compression.com](http://Data-Compression.com)

# Appendix: Coding Standards

- Only enough for intelligibility and speaker identification
- Coding is only useful if recipient knows the code
- Standardization efforts are important
- (American) federal standards of low bit-rate secure voice:
  - FS1015e: LPC-10 2.4 Kbps
  - FS1016: 4.8 Kbps CELP
- ITU G.x series (also H.x for video)
  - G.726 ADPCM
  - G.729 Low delay CELP
- MPEG (audio)
  - MPEG 1 Audio layers 1,2,3 (mp3)
  - MPEG 2 Advanced Audio Codec (AAC)
  - MPEG 4 Synthetic-Natural Hybrid Codec