## **Globally Normalized Reader**

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### **Motivation**

Cast extractive QA as an iterative search problem can imporve the computation efficiency (without bi-attention and score all possible answer spans).

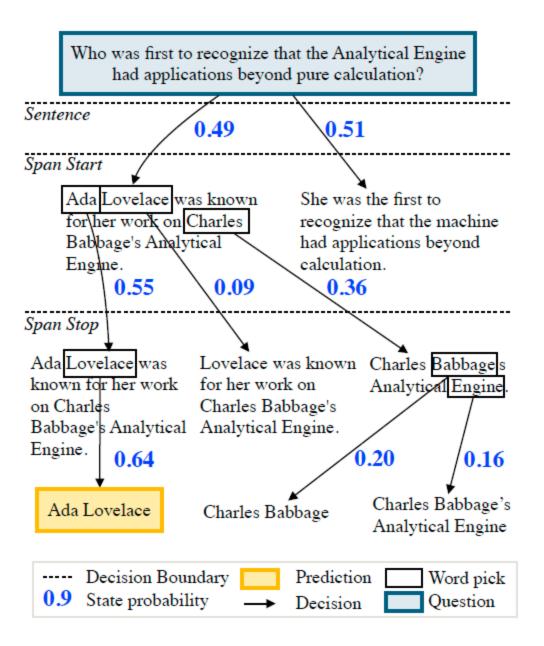
## Task

input: <question, document>

output: <answer>

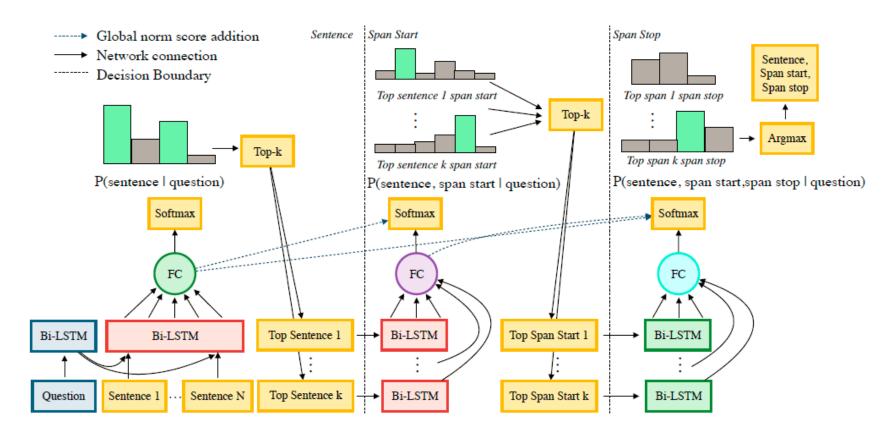
answer is a span of document.

## **Overview**



## Model

#### **Architecture**



## **Question Encoding**

$$\mathsf{BiLSTM}(\mathsf{q}) = [(h_1^{fwd}, h_1^{bwd}), (h_2^{fwd}, h_2^{bwd}), ..., (h_l^{fwd}, h_l^{bwd})]$$

#### **Aligned Question Embedding**

$$s_{j} = w_{q}^{\top} \mathbf{MLP}([h_{j}^{\text{bwd}}; h_{j}^{\text{fwd}}])$$

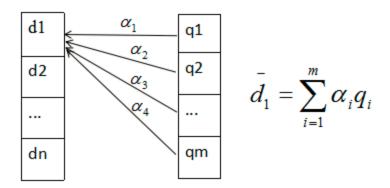
$$\alpha_{j} = \frac{\exp(s_{j})}{\sum_{j'=1}^{\ell} \exp(s_{j'})}$$

$$q^{\text{indep}} = \sum_{j=1}^{\ell} \alpha_{j} [h_{j}^{\text{bwd}}; h_{j}^{\text{fwd}}],$$

$$\alpha_{j} = [h_{j}^{\text{bwd}}, h_{j}^{\text{fwd}}, a_{j}^{\text{indep}}]$$

$$q = [h_1^{bwd}; h_l^{fwd}; q^{indep}]$$

## **Question-Aware Document Encoding**



$$s_{i,j,k} = \text{MLP}(d_{i,j})^{\top} \text{MLP}(q_k)$$

$$\alpha_{i,j,k} = \frac{\exp(s_{i,j,k})}{\sum_{k'=1}^{\ell} \exp(s_{i,j,k'})}$$

$$q_{i,j}^{\text{align}} = \sum_{k=1}^{\ell} \alpha_{i,j,k} q_k.$$

#### **Document Encoding**

$$\begin{split} d_{i,j} &= [e_{i,j};q;f_{i,j};q_{i,j}^{align}]\\ f_{i,j} &= \text{1 if } D_{i,j} \text{ in Q else 0}\\ \text{BiLSTM(document)} &= [(h_{1,1}^{fwd},h_{1,1}^{bwd}),...,(h_{n,m_n}^{fwd},h_{n,m_n}^{bwd})] \end{split}$$

## **Answer Selection**

#### **Local Normalization**

$$\mathbb{P}(a|d,q) = \mathbb{P}_{\text{sent}}(i|d,q) \cdot \mathbb{P}_{\text{sw}}(j|i,d,q) \cdot \mathbb{P}_{\text{ew}}(k|j,i,d,q).$$

$$\mathbb{P}_{\text{sent}}(i|d,q) = \frac{\exp(\phi_{\text{sent}}(d_i))}{\sum_{x=1}^{n} \exp(\phi_{\text{sent}}(d_x))},$$

$$\mathbb{P}_{sw}(j|i,d,q) = \frac{\exp(\phi_{sw}(d_{i,j}))}{\sum_{x=1}^{m_i} \exp(\phi_{sw}(d_{i,x}))},$$

$$\mathbb{P}_{\text{ew}}(k|j, i, d, q) = \frac{\exp(\phi_{\text{ew}}(d_{i,j:k}))}{\sum_{x=j}^{m_i} \exp(\phi_{\text{ew}}(d_{i,j:x}))}.$$

#### **Global Normalization**

$$score(a, d, q) = \phi_{sent}(d_i) + \phi_{sw}(d_{i,j}) + \phi_{ew}(d_{i,j:k}).$$

$$\mathbb{P}(a \mid d, q) = \frac{\exp(\operatorname{score}(a, d, q))}{Z},$$

$$Z = \sum_{a' \in \mathcal{A}(d)} \exp(\operatorname{score}(a', d, q)).$$

#### **Beam Search**

$$Z \approx \sum_{a' \in \mathcal{B}} \exp(\operatorname{score}(a', d, q)).$$

## **Data Augmentation**

- 1.Locate named entities in document and question.
- 2.Collect surface variation for each entity type:

$$human -> \{AdaLovelace, Daniel Kahnemann, ...\}$$
 
$$country -> \{USA, France, ...\}$$

3.Generage new document-question-answer examples by swapping each named entity in an original triplet with a surface variant that shares the type.

## **Experiments**

DataSet: SQuAD

Model	EM	F1
Human (Rajpurkar et al., 2016)	80.3	90.5
Single model		
Sliding Window (Rajpurkar et al., 2016)	13.3	20.2
Match-LSTM (Wang and Jiang, 2016)	64.1	73.9
DCN (Xiong et al., 2016)	65.4	75.6
Rasor (Lee et al., 2016)	66.4	74.9
Bi-Attention Flow (Seo et al., 2016)	67.7	77.3
R-Net(Wang et al., 2017)	72.3	80.6
Globally Normalized Reader w/o Type Swaps (Ours)	66.6	75.0
Globally Normalized Reader (Ours)	68.4	76.21

# **Experiments**

Model	B	EM	F1	Sentence
Local, $T = 10^4$	1	65.7	74.8	89.0
	2	66.6	75.0	88.3
	10	66.7	75.0	88.6
	32	66.3	74.6	88.0
	64	66.6	75.0	88.8
Global, $T = 10^4$	1	58.8	68.4	84.5
	2	64.3	73.0	86.8
	10	66.6	75.2	88.1
	32	68.4	76.21	88.4
	64	67.0	75.6	88.4

# **Experiments**

Model	T	EM	F1	Sentence
Local	0	65.8	74.0	88.0
Local	$10^{3}$	66.3	74.6	88.9
Local	$10^{4}$	66.7	74.9	89.0
Local	$5 \cdot 10^4$	66.7	75.0	89.0
Local	$10^{5}$	66.2	74.5	88.6
Global	0	66.6	75.0	88.2
Global	$10^{3}$	66.9	75.0	88.1
Global	$10^{4}$	68.4	76.21	88.4
Global	$5 \cdot 10^4$	66.8	75.3	88.3
Global	$10^{5}$	66.1	74.3	86.9