# Hierarchical / Dual Attention

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#### Outline

- Introduction
- [KDD17] A Context-aware Attention Network for Interactive Question Answering
- [SIGIR17] Leveraging Contextual Sentence Relations for Extractive Summarization Using a Neural Attention Model
- [RecSys17] Interpretable Convolutional Neural Networks with Dual Local and Global Attention for Review Rating Prediction
- Conclusion

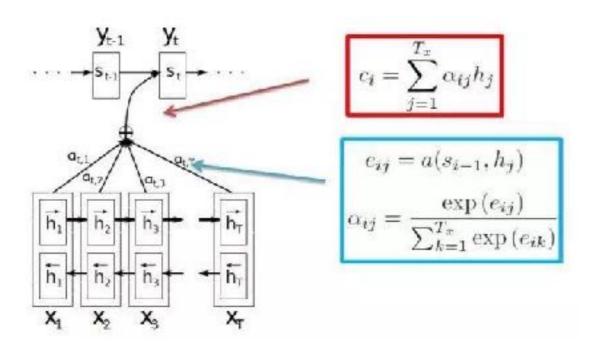
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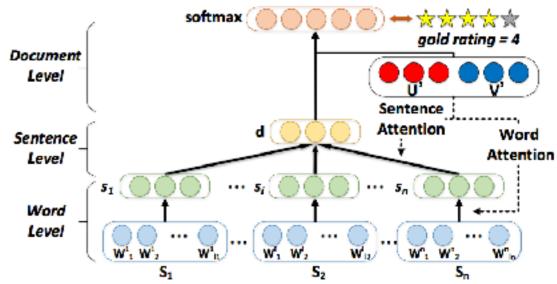
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## Introduction

Attention Model

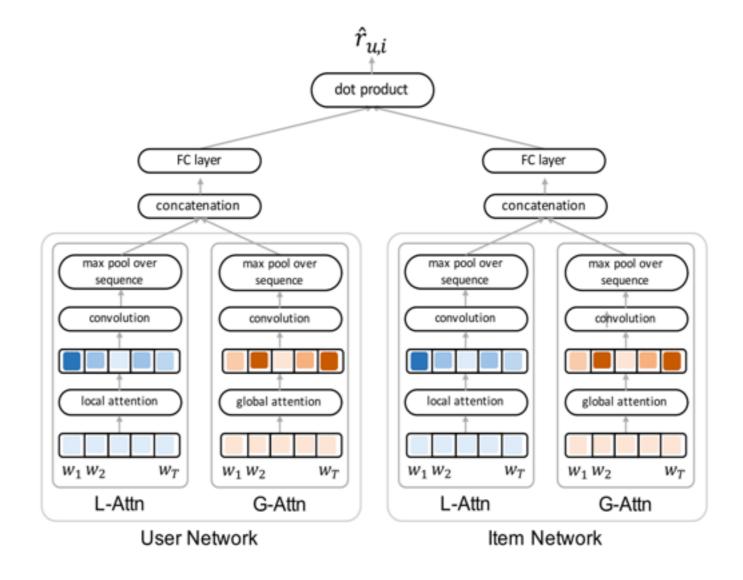
Hierarchical Attention





### Introduction

Dual Attention



# A Context-aware Attention Network for Interactive Question Answering\*

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#### Task

- QA: predicting answers from statements and questions.
- An encoder-decoder framework
- A sequence-to-sequence model
- EX.

The office is north of the kitchen.

The garden is south of the kitchen.

Q: What is north of the kitchen?

A: Office

#### Limitation of Related Work

- Fail to model context-dependent meaning of words.
- Fail to address unknown states under which systems do not have enough information to answer given questions.
- EX.

The office is north of the kitchen.

The garden is south of the kitchen.

Q: What is north of the kitchen?

A: Office

The master bedroom is east of the garden.

The guest bedroom is east of the office.

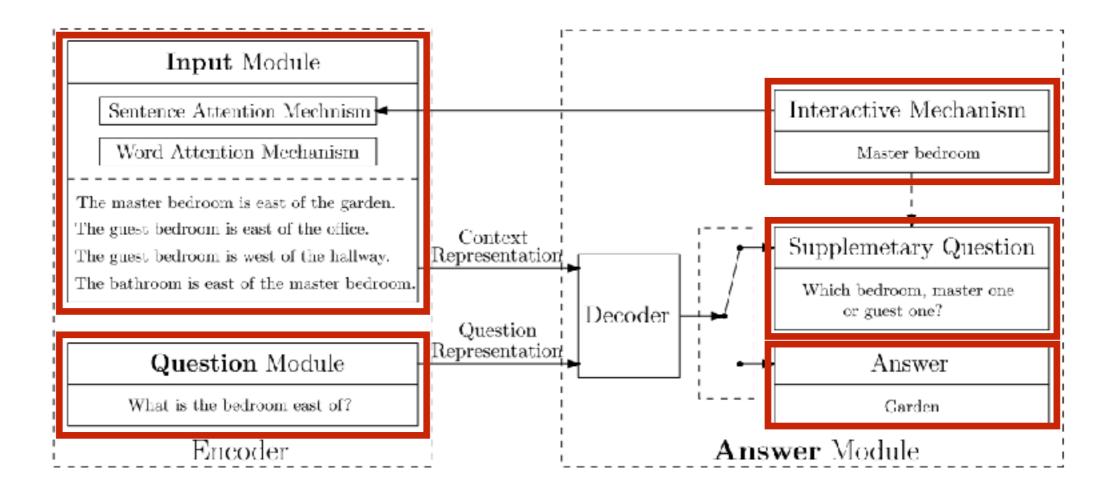
Q: What is the bedroom east of?

A: Unknown

Which bedroom the user refers to?

- Learning Rep. for Sentences:
  - Context-dependent **word-level attention** for more accurate statement representations.
  - Question-guided sentence-level attention for context modeling.
- Interactive Question Answering:
  - A mechanism to **interact with user** to comprehensively understand a given question.

• EX.

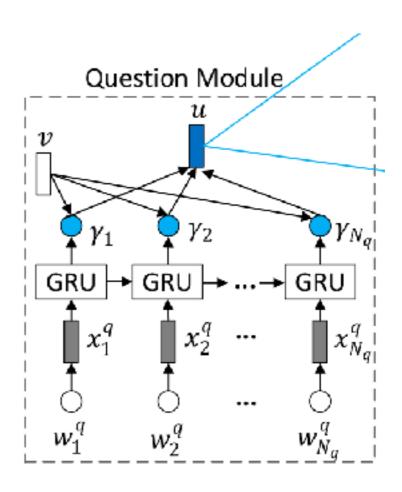


Question Module:

$$\mathbf{g}_{j}^{q} = GRU_{\mathbf{w}}(\mathbf{g}_{j-1}^{q}, \mathbf{x}_{j}^{q})$$

$$\gamma_{j} = softmax(\mathbf{v}^{T}\mathbf{g}_{j}^{q})$$

$$\mathbf{u} = \mathbf{W}_{ch} \sum_{j=1}^{N_{q}} \gamma_{j} \mathbf{g}_{j}^{q} + \mathbf{b}_{c}^{(q)}$$



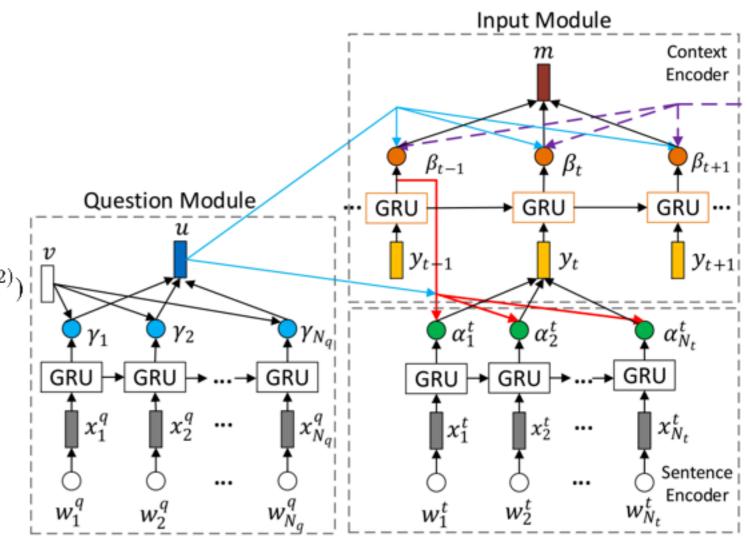
- Input Module:
- Sentence Encoder

$$\mathbf{h}_i^t = GRU_w(\mathbf{h}_{i-1}^t, \mathbf{x}_i^t)$$

$$\mathbf{e}_{i}^{t} = \sigma(\mathbf{W}_{ee}tanh(\mathbf{W}_{es}\mathbf{s}_{t-1} + \mathbf{W}_{eh}\mathbf{h}_{i}^{t} + \mathbf{b}_{e}^{(1)}) + \mathbf{b}_{e}^{(2)}) \Big| \Big[$$

$$\alpha_i^t = softmax(\mathbf{u}^T \mathbf{e}_i^t)$$

$$\mathbf{y}_t = \sum_{i=1}^{N_t} \alpha_i^t \mathbf{h}_i^t$$

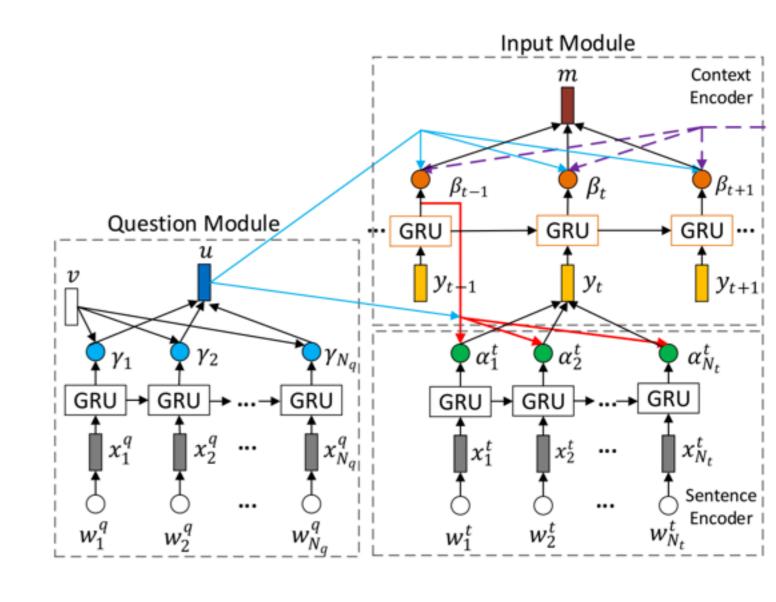


- Input Module:
  - Context Encoder

$$\mathbf{s}_t = GRU_s(\mathbf{s}_{t-1}, \mathbf{y}_t)$$

$$\beta_t = softmax(\mathbf{u}^T \mathbf{s}_t)$$

$$\mathbf{m} = \sum_{t=1}^{N} \beta_t \mathbf{s}_t.$$

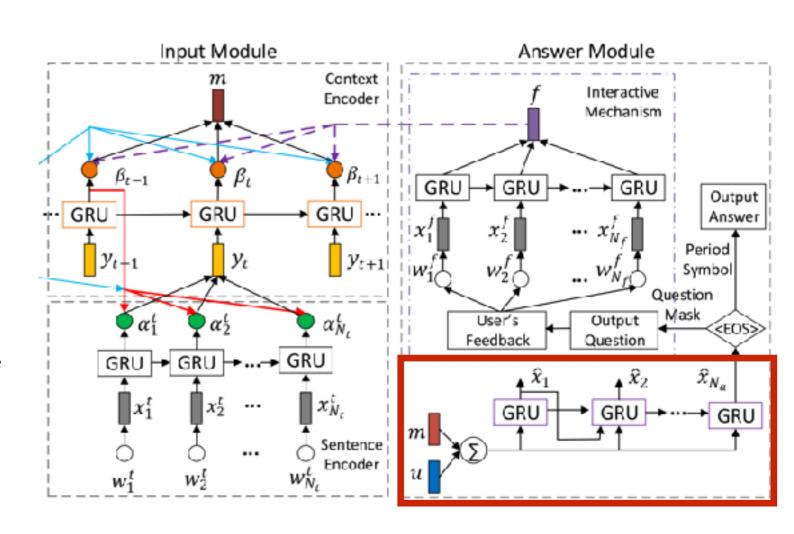


- Answer Module: two output cases
  - Generating an **answer** after receiving the context and question information.
  - Generating a **supplementary question** and then uses the user's feedback to predict an answer.

- Answer Module:
  - Answer Generation

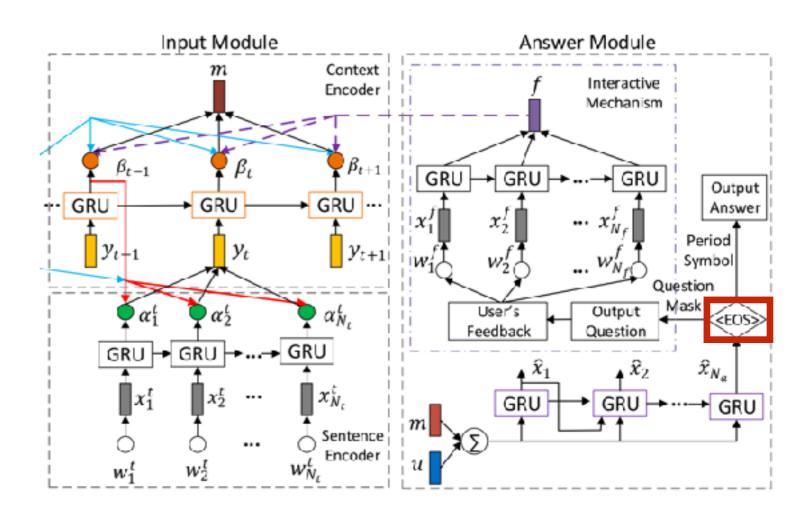
$$\hat{\mathbf{x}}_k \overset{\mathbf{W}_w}{=} softmax(\mathbf{W}_{od}\mathbf{z}_k + \mathbf{b}_o)$$

$$\mathbf{z}_k = GRU_d(\mathbf{z}_{k-1}, [\mathbf{m} + \mathbf{u}; \hat{\mathbf{x}}_{k-1}]),$$

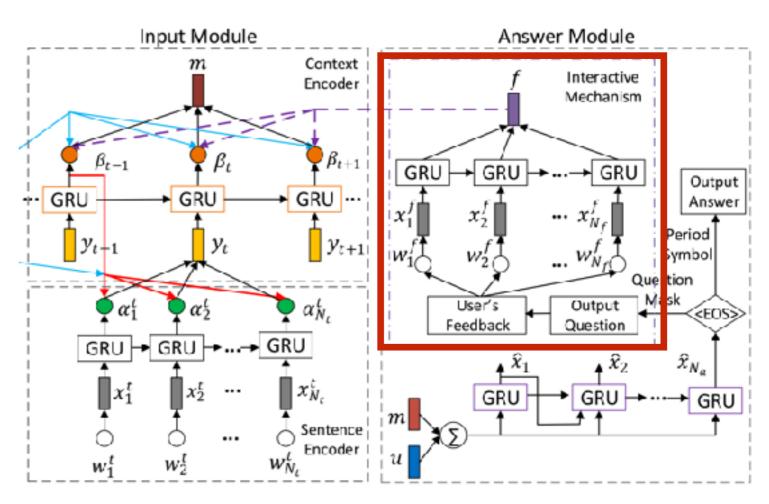


- Answer Module:
  - Output Choices

"The Sentence generated by the decoder ends with a special symbol, either a question mask or a period symbol."



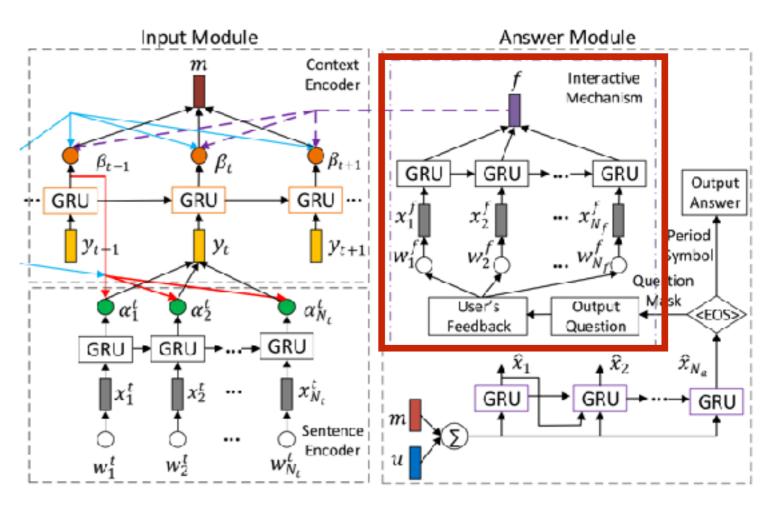
- Answer Module:
  - Interactive Mechanism
    - (1) Generate a supplementary question;
    - (2) User provide a feedback;
    - (3) The feedback is used for answer prediction;



- Answer Module:
  - Interactive Mechanism

$$\mathbf{g}_d^f = GRU_w(\mathbf{g}_{d-1}^f, \mathbf{x}_d^f)$$

$$\mathbf{f} = \frac{1}{N_f} \sum_{d=1}^{N_f} \mathbf{g}_d^f$$

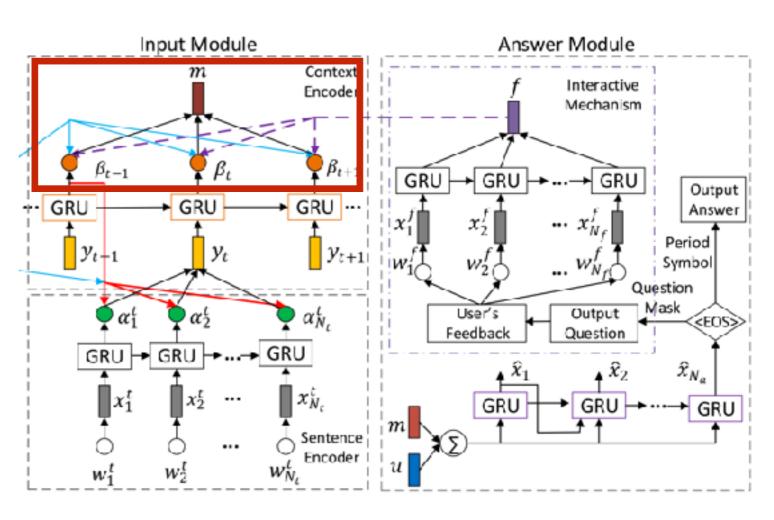


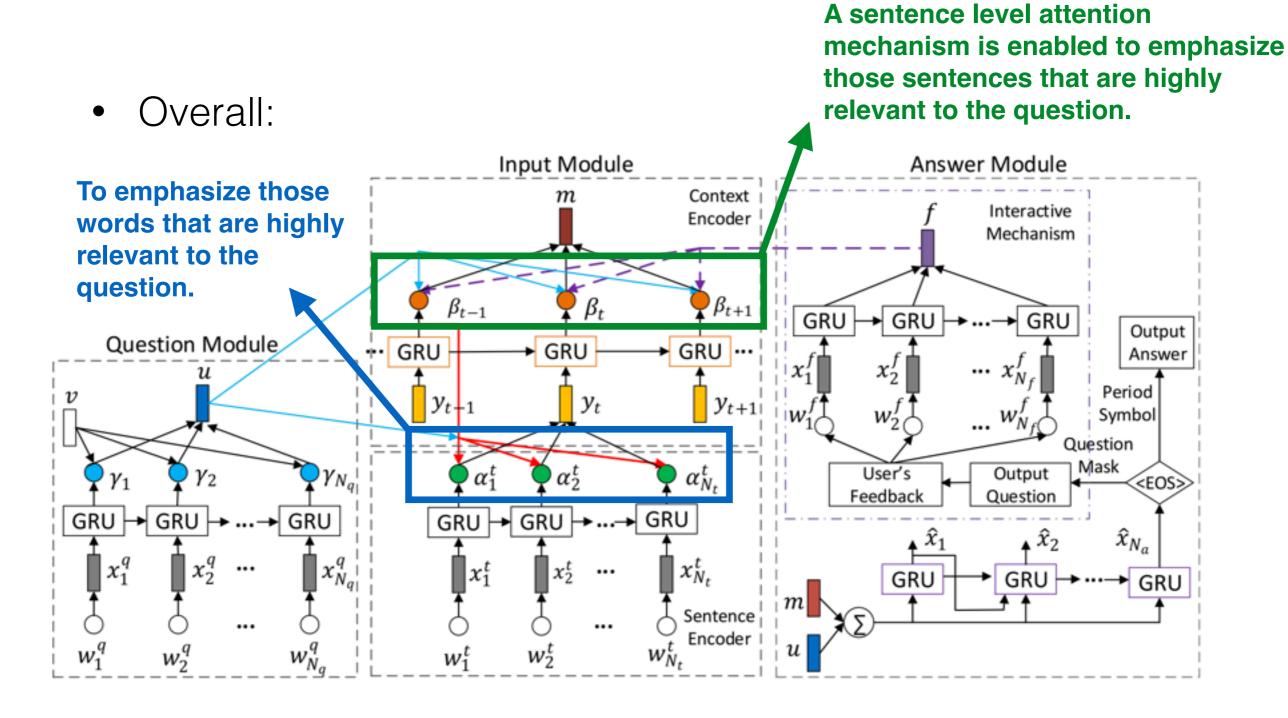
- Answer Module:
  - Interactive Mechanism

$$\mathbf{r} = tanh(\mathbf{W}_{rf}\mathbf{f} + \mathbf{b}_r^{(f)})$$

$$\beta_i = seftmax(\mathbf{u}^T \mathbf{s}_i)$$

$$\beta_t = softmax(\mathbf{u}^T \mathbf{s}_t + \mathbf{r}^T \mathbf{s}_t)$$





#### Leveraging Contextual Sentence Relations for Extractive Summarization Using a Neural Attention Model

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#### Task

- ES: Aim to generate a short text summary for document by selecting salient sentences in the document.
- Sentence scoring: measure the importance of sentences.
- Sentence selection: consider both the importance and redundancy.

#### Limitation of Related Work

Dataset	Approach	ROUGE-1	ROUGE-2
DUC 2001	t-SR	34.82	7.76
	PriorSum	35.98	7.89
	Upper bound	40.82	14.76
DUC 2002	t-SR	37.33	8.98
	PriorSum	36.63	8.97
	Upper bound	43.78	15.97
DUC 2004	t-SR	37.74	9.60
	PriorSum	38.91	10.07
	Upper bound	41.75	13.73

missing semantic information. missing contextual relations.

Argue that: sentence importance also depends on contextual relations.

Sentence Scoring:

$$f(S_t \mid \theta) \sim \text{ROUGE-2}(S_t \mid S_{ref})$$

Sentence Selection:

$$\Psi^* = \arg\max_{\Psi \subseteq D} \sum_{S_t \in \Psi} f(S_t \mid \theta)$$

such that  $\sum_{S_t \in \Psi} |S_t| \le l$  and  $r(\Psi)$  hold,

- Sentence Scoring:
  - Estimate the ability of *St* to summarize its **preceding** context:

$$f_{pc}(\mathbf{v}(S_t), \mathbf{v}_{pc}(S_t)) = \cos(\mathbf{v}(S_t), \mathbf{v}_{pc}(S_t))$$

- Estimate the ability of *St* to summarize its **following** context:

$$f_{fc}(\mathbf{v}(S_t), \mathbf{v}_{fc}(S_t)) = \cos(\mathbf{v}(S_t), \mathbf{v}_{fc}(S_t)),$$

- Sentence Scoring:
  - CRSum + Surface Features:

$$f(S_t \mid \theta) = \text{MLP} \begin{pmatrix} \left[ f_{pc}(\mathbf{v}(S_t), \mathbf{v}_{pc}(S_t)) \\ f_{fc}(\mathbf{v}(S_t), \mathbf{v}_{fc}(S_t)) \\ \mathbf{v}(S_t) \\ f_{len}(S_t) \\ f_{pos}(S_t) \\ f_{tf}(S_t) \\ f_{df}(S_t) \end{pmatrix} \right]$$

- Sentence Scoring:
  - Sentence modeling:  $\mathbf{v}(S_t)$

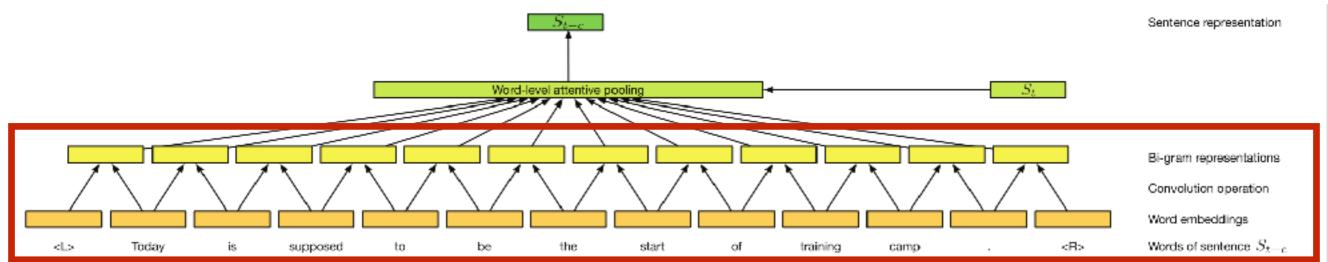


Figure 3: Attentive Pooling Bi-gram Convolutional Neural Network (AP-Bi-CNN) for sentence modeling.

- Sentence Scoring:
  - Sentence modeling:  $\mathbf{v}(S_t)$

$$bi(i, i+1) = \begin{bmatrix} v_i \\ v_{i+1} \end{bmatrix}$$

$$\mathbf{v}_{bi}(i, i+1) = f(\mathbf{W}_c^T \cdot \mathbf{bi}(i, i+1) + b)$$

$$\mathbf{v}(S_t) = \max_{\mathbf{v}_{bi}(i,\,i+1) \in V_{bi}(S_t)} \mathbf{v}_{bi}(i,i+1)$$

- Sentence Scoring:
  - Sentence modeling:  $v(S_{t-c})$

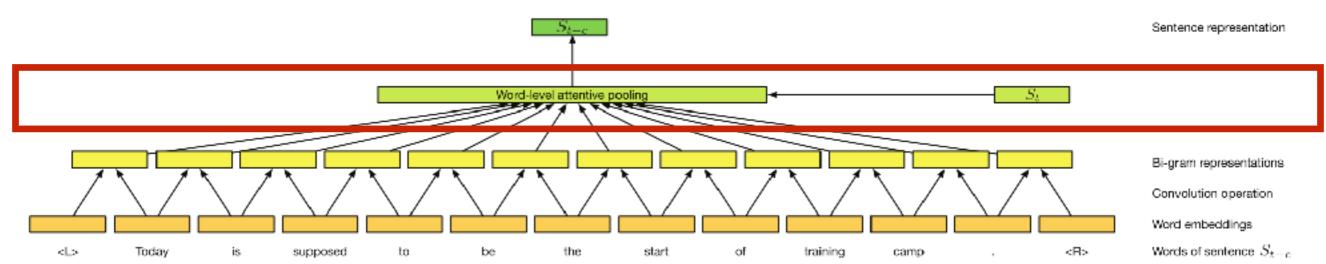


Figure 3: Attentive Pooling Bi-gram Convolutional Neural Network (AP-Bi-CNN) for sentence modeling.

- Sentence Scoring:
  - Sentence modeling:

$$\begin{aligned} \mathbf{v}(S_{t-c}) &= \max_{\mathbf{v}_{bi}(i,\,i+1) \in V_{bi}(S_{t-c})} w_{bi}(i,\,i+1) \cdot \mathbf{v}_{bi}(i,\,i+1) \\ &= \max_{\mathbf{v}_{bi}(0,\,1)} w_{bi}(0,\,1) \\ &\vdots \\ &\vdots \\ &w_{bi}(i,\,i+1) \\ &\vdots \\ &\vdots \\ &w_{bi}(|S_{t-c}|,|S_{t-c}+1|) \end{bmatrix} \\ &= \operatorname{softmax} \left( \begin{bmatrix} \cos(\mathbf{v}_{bi}(0,\,1),\,\mathbf{v}(S_t)) \\ &\vdots \\ &\cos(\mathbf{v}_{bi}(i,\,i+1),\,\mathbf{v}(S_t)) \\ &\vdots \\ &\cos(\mathbf{v}_{bi}(|S_{t-c}|,|S_{t-c}+1|),\,\mathbf{v}(S_t)) \end{bmatrix} \right) \end{aligned}$$

Sentence Scoring:

 $S_{t-m+1}$ 

Capability to summarize the preceding context

 $S_{t-m}$ 

 $S_{t-1}$ 

 $S_t$ 

Use sentence relations to learning the pooling weights for Attention module.

 $S_{t+n-1}$ 

 $S_{t+c}$ 

Capability to summarize the following context

 $S_{t+n}$ 

Sequence of sentences

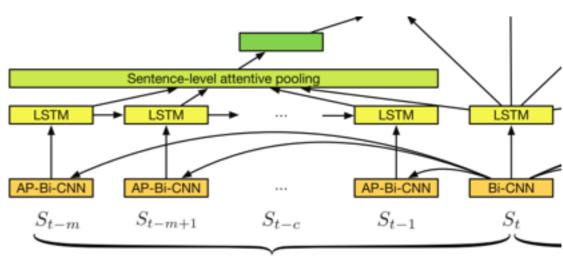
- Context modeling:  $f(S_t \mid \theta)$ MLP Fully connected layers Surface features Concat layer Cosine similarity layer Cos Context representations AP-LSTM Sentence-level attentive pooling Sentence-level attentive pooling LSTM LSTM LSTM LSTM LSTM LSTM LSTM AP-Bi-CNN AP-Bi-CNN AP-Bi-CNN AP-Bi-CNN AP-Bi-CNN AP-Bi-CNN AP-Bi-CNN Bi-CNN

 $S_{t+1}$ 

- Sentence Scoring:
  - Context modeling:

$$\mathbf{v}_{pc}(S_t) = \max_{\mathbf{h}_{t-i} \in V_{pc}} w_{t-i} \cdot \mathbf{h}_{t-i},$$

$$\begin{bmatrix} w_{t-m} \\ \vdots \\ w_{t-i} \\ \vdots \\ w_{t-1} \end{bmatrix} = \operatorname{softmax} \begin{pmatrix} \begin{bmatrix} \cos(\mathbf{h}_{t-m}, \mathbf{h}_t) \\ \vdots \\ \cos(\mathbf{h}_{t-i}, \mathbf{h}_t) \\ \vdots \\ \cos(\mathbf{h}_{t-1}, \mathbf{h}_t) \end{bmatrix} \end{pmatrix}$$



Capability to summarize the preceding context

- Sentence Selection:
  - "We use **Greedy** as the sentence selection algorithm."
  - In each step, a new sentence *St* is added to the summary, when:
    - (1) It has the highest score in the remaining sentences;
    - (2)  $\frac{bi\text{-}gram\text{-}overlap(S_t, \Psi)}{f_{len}(S_t)} \leq 1 \lambda$ , where  $bi\text{-}gram\text{-}overlap(S_t, \Psi)$  is the count of bi-gram overlap between sentence  $S_t$  and the current summary  $\Psi$ .

## Interpretable Convolutional Neural Networks with Dual Local and Global Attention for Review Rating Prediction

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#### Task

- RP: **Predict the rating** of a user to a new item that has not been rated by the user.
- RRP: Review rating prediction.

#### Limitation of Related Work

- Cold start problem.
- Content ignorance.

#### **Argue that:**

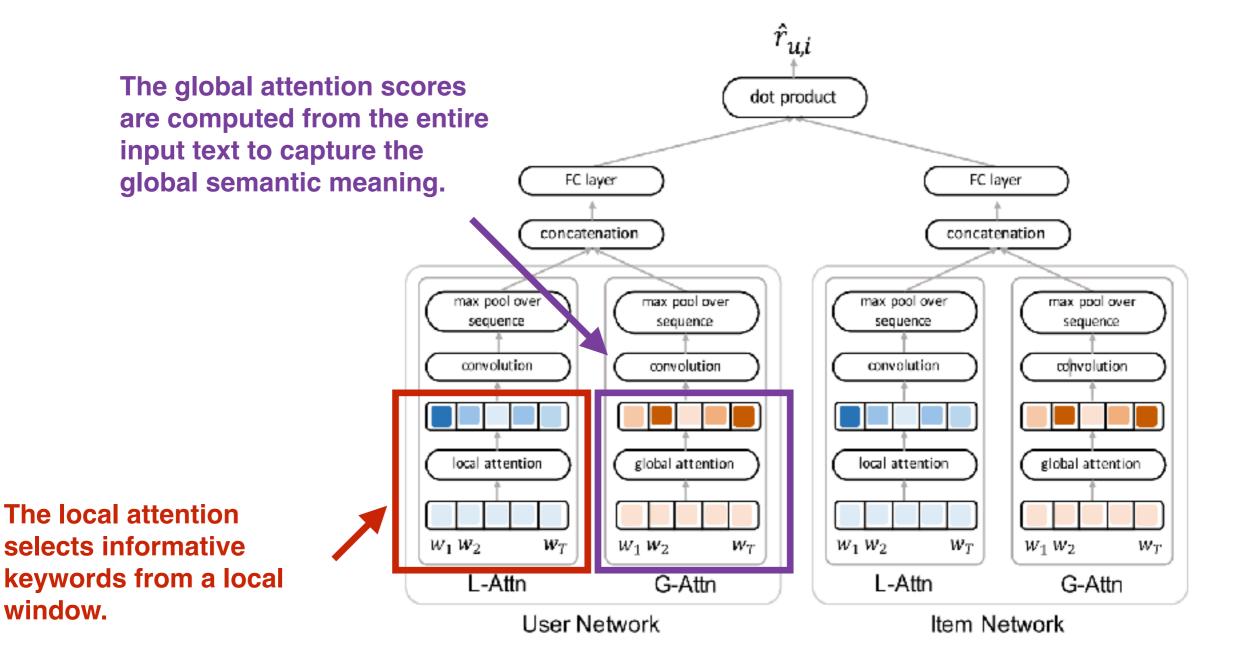
Using review text is one approach to alleviate the above issues.

Cannot be interpretable.

#### **Argue that:**

Attention layers give us the ability to interpret what model is doing.

- Local Attention-based Module (L-Attn):
  - Learn rep. of **local information keywords** which provides us insight on a user's preferences or an item's properties.
- Global Attention-based Module (G-Atten):
  - Learn rep. from the **original review word sequences** which focuses on the semantic meaning of the whole review text.



Local Attention-based Module (L-Attn):

$$\mathbf{X}_{l-att,i} = (\mathbf{x}_{i+\frac{-w+1}{2}}, \mathbf{x}_{i+\frac{-w+3}{2}}, \cdots, \mathbf{x}_{i}, \cdots, \mathbf{x}_{i+\frac{w-1}{2}})^{\top}.$$

$$\mathbf{s}(i) = g(\mathbf{X}_{l-att,i} * \mathbf{W}_{l-att}^1 + b_{l-att}^1), \qquad i \in [1, T]$$

$$\hat{\mathbf{x}}_t^L = \mathbf{s}(t)\mathbf{x}_t$$

$$\mathbf{Z}_{l-att}(t,i) = g(\hat{\mathbf{x}}_t^L * \mathbf{W}_{l-att}^2(:,i) + \mathbf{b}_{l-att}^2(i)) \qquad i \in [1,n_{l-att}]$$

$$\mathbf{z}_{l-att}(i) = \text{Max}(\mathbf{Z}_{l-att}(:,i))$$

Global Attention-based Module (G-Attn):

$$\begin{split} \hat{\mathbf{X}}_{g-att,i} &= (\hat{\mathbf{x}}_i^G, \hat{\mathbf{x}}_{i+1}^G, \cdots, \hat{\mathbf{x}}_{i+w_f-1}^G)^\top \\ \mathbf{Z}_{g-att}(i,j) &= g(\hat{\mathbf{X}}_{g-att,i} * \mathbf{W}_{g-att}(:,:,j) + \mathbf{b}_{g-att}(j)) \\ i &\in [1, T-w_f+1], \quad j \in [1, n_{g-att}] \\ \mathbf{z}_{g-att}(j) &= \text{Max} \left( \mathbf{Z}_{g-att}(:,j) \right) \end{split}$$

#### Conclusion

- Perform tasks more accurately.
- Better-learned representation: context-dependent attention.
- Interpretable representation: "Aiming to move a step further from machine learning to machine reasoning."

## Thanks

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