

A Hierarchical Framework for Relation Extraction with Reinforcement Learning

Ryuichi Takanobu^{1,3*}, Tianyang Zhang^{1,3*}, Jiexi Liu^{2,3*}, Minlie Huang^{1,3†}

¹ Dept. of Computer Science & Technology, ² Dept. of Physics, Tsinghua University, Beijing, China

³ Institute for Artificial Intelligence, Tsinghua University (THUAI), China

³ Beijing National Research Center for Information Science & Technology, China

{gxly15, zhang-ty15, liujx15}@mails.tsinghua.edu.cn, aihuang@tsinghua.edu.cn

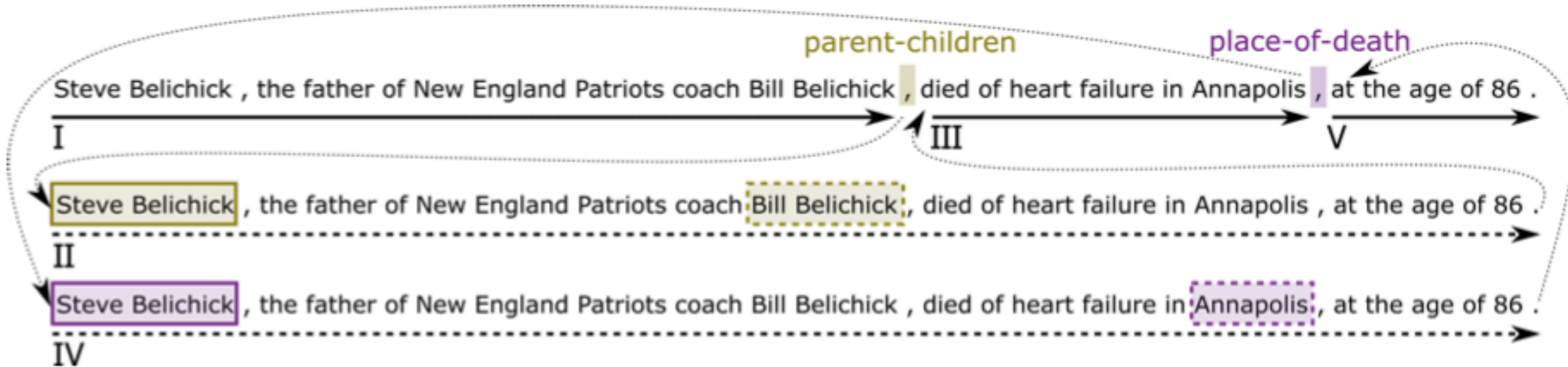
Characteristics:

1. Jointly extract relation and entity pair.
2. Higher performance in extraction of overlapping relations

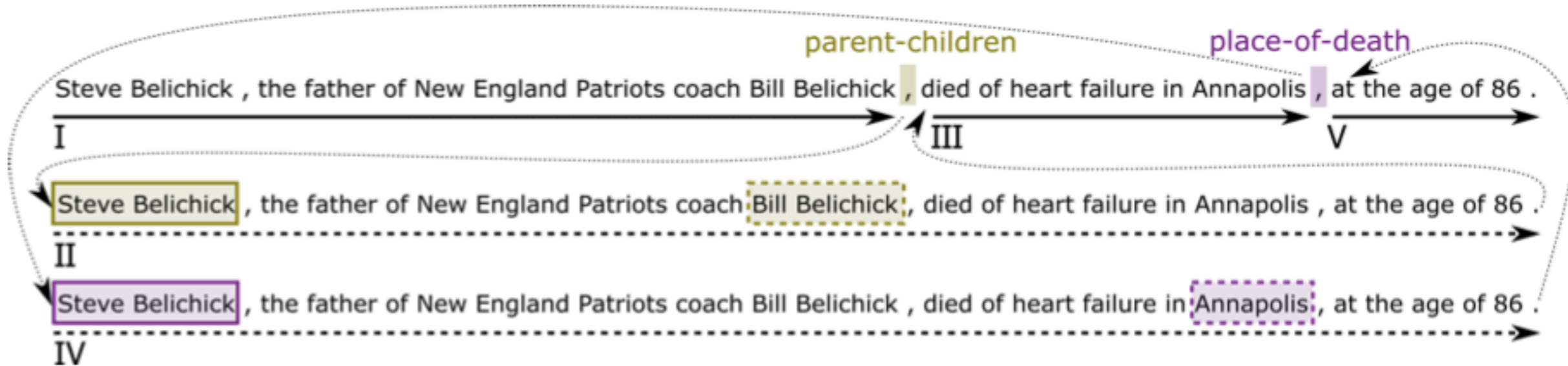
```
test-reading-friendly.json
~/projects/OpenNRE-PyTorch/raw_data

31     "head": {
32         "id": "/guid/9202a8c04000641f80000000003147149",
33         "type": "/user/narphorium/people/nndb_person,/music/artist,/base/moscratch/
topic,/award/award_winner,/music/composer,/music/producer,/broadcast/artist,/people/
person,/common/topic,/internet/social_network_user,/base/moscratch/shce021709,/user/
narphorium/people/topic",
34         "word": "Johnny Rivers"
35     },
36     "relation": "/people/person/place_lived",
37     "sentence": "There were also performers who were born in Louisiana , including
Lucinda Williams , Jerry Lee Lewis and Johnny Rivers , whose ' Secret Agent Man ' had a
touch of bayou-country swamp-pop .",
38     "tail": {
39         "id": "/guid/9202a8c04000641f8000000000024ba1",
40         "type": "/location/administrative_division,/common/topic,/base/locations/
states_and_provinces,/base/locations/topic,/location/location,/base/ontologies/
ontology_instance,/organization/organization_scope,/location/statistical_region,/base/
coloniesandempire/topic,/base/coloniesandempire/former_french_colonies,/base/localfood/
food_producing_region,/freebase/apps/hosts/com/acre/juggle/juggle,/base/seafood/topic,/
government/political_district,/base/seafood/fishery_location,/government/
governmental_jurisdiction,/user/robert/default_domain/states_i_ve_been_to,/user/skud/names/
namesake,/user/tsegaran/random/taxonomy_subject,/location/dated_location,/location/
us_state,/base/localfood/topic,/book/book_subject,/user/skud/names/topic,/meteorology/
cyclone_affected_area",
41         "word": "Louisiana"
42     }
43 },
44 {
```

NYT10



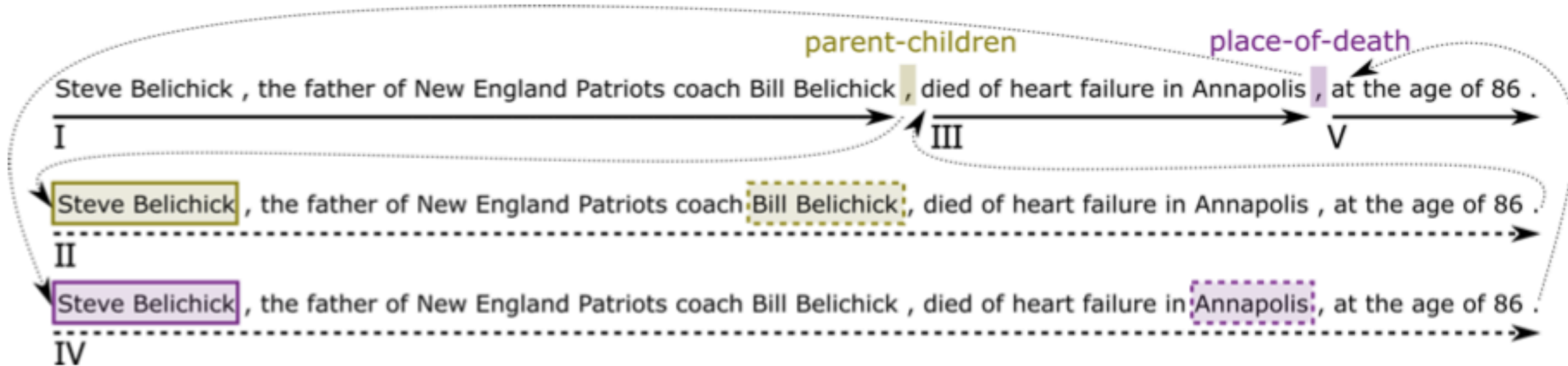
Overlapping relation case



High-Level policy:

Scan sequentially until find a **Relation indicator**.

*Relation indicator: is the **position** in a sentence when sufficient information has been mentioned to identify a semantic relation*

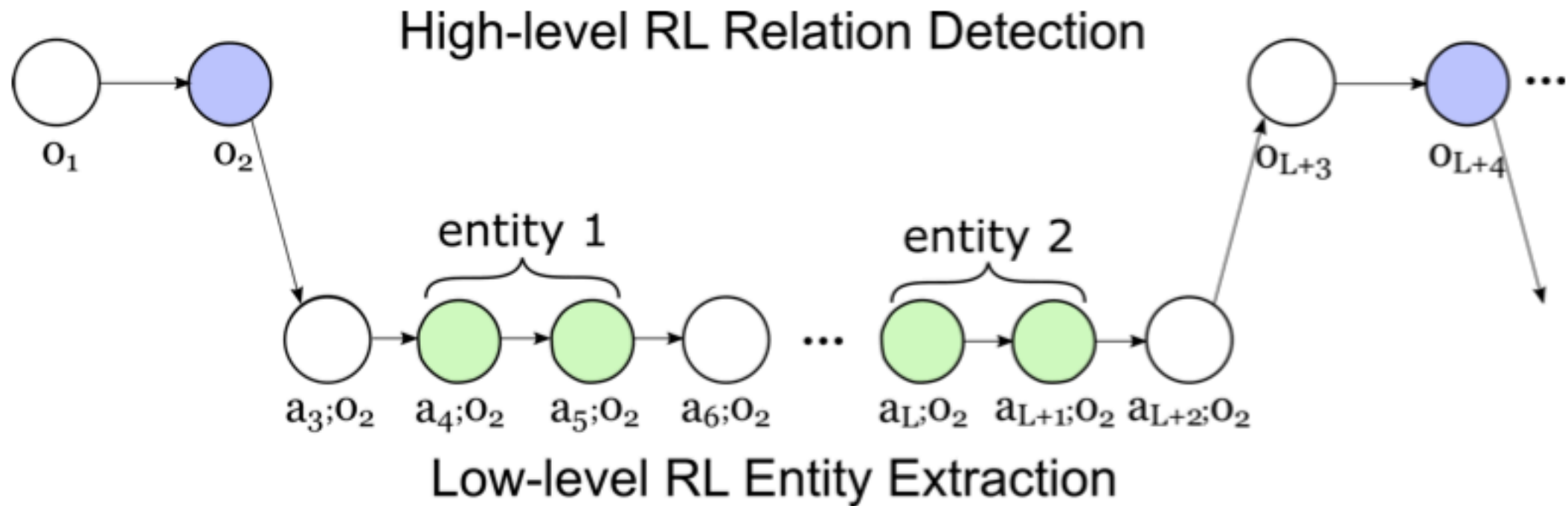


Low-Level policy:

Scan from the beginning, and tag corresponding **entity pair**.

Motivation:

1. Treating entities as the arguments of a relation, therefore the model can connect classification and tagging process.
2. Hierarchical structures to solve overlapping problem.

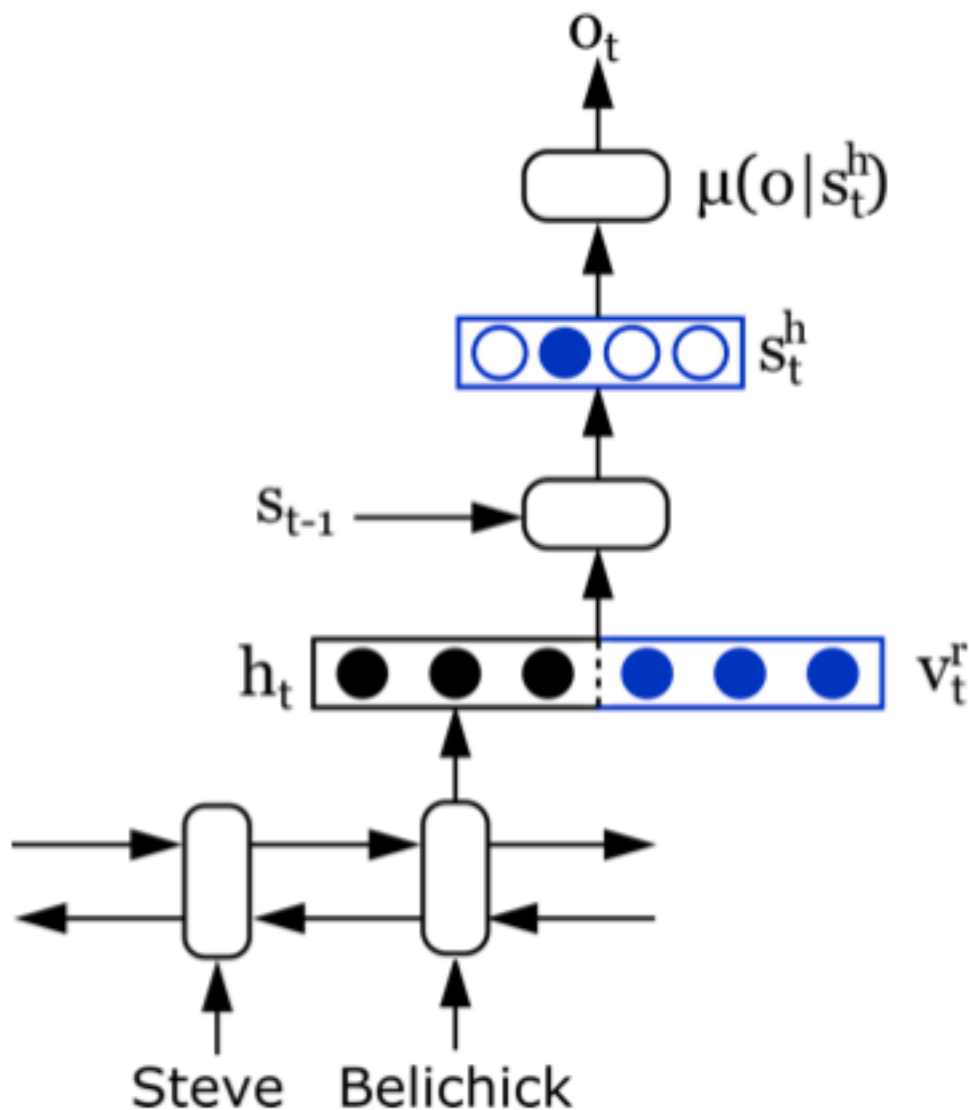


Hierarchical Reinforcement Learning:

Task:

Agent: High-Level policy: Drive...

Low-Level policy: object detection, muscle-control



High-Level policy

Option:

Selected from $\{NR\} \cup \mathcal{R}$

State:

$$s_t^h = f^h(W_s^h[h_t; v_t^r; s_{\{t-1\}}])$$

Policy:

$$o_t \sim \mu(o_t | s_t^h) = \text{softmax}(W_\mu s_t^h)$$

Reward:

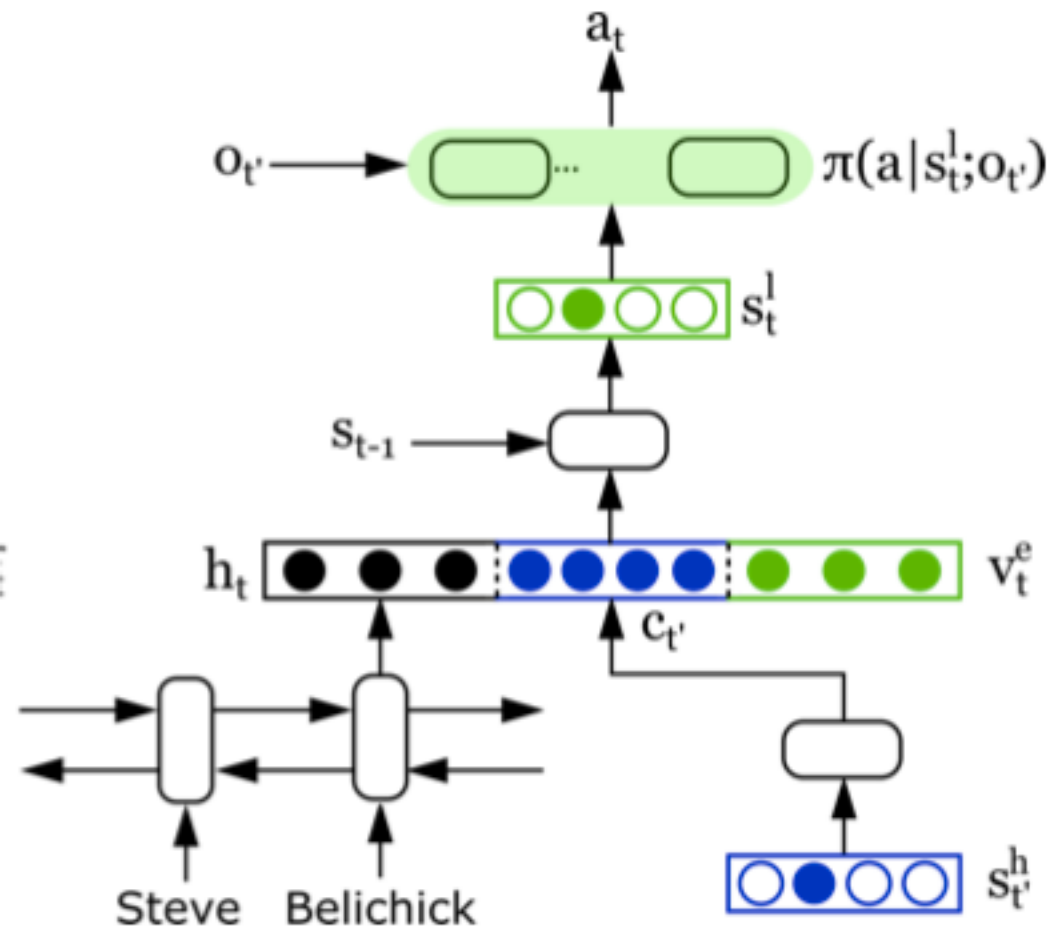
$$r_t^h = \begin{cases} -1, & \text{if } o_t \text{ not in } S \\ 0, & \text{if } o_t = \text{NR} \\ 1, & \text{if } o_t \text{ in } S. \end{cases}$$

$$r_{fin}^h = F_\beta(S) = \frac{(1 + \beta^2) \text{Prec} \cdot \text{Rec}}{\beta^2 \text{Prec} + \text{Rec}},$$

	Steve Belichick	,	the	father	of	New England Patriots	coach	Bill Belichick	,	died	of	heart	failure	in	Annapolis	,	...		
Entity Tag	S_B	S_I	N	N	N	N	O_B	O_I	O_I	N	T_B	T_I	N	N	N	N	N	O_B	N

Action:

$A = (\{S, T, O\}^* \{B, I\})$



State:

$$c_{t'} = g(W_h^l s_{t'}^h)$$

$$s_t^l = f^l(W_s^l [h_t; v_t^e; s_{t-1}; c_{t'}])$$

Policy:

$$a_t \sim \pi(a_t | \mathbf{s}_t^l; o_{t'}) = \text{softmax}(\mathbf{W}_\pi[o_{t'}] \mathbf{s}_t^l),$$

Reward:

$$r_t^l = \lambda(y_t) \cdot \text{sgn}(a_t^l = y_t(o_{t'})),$$

$$\lambda(y) = \begin{cases} 1, & \text{if } y \neq \text{N} \\ \alpha, & \text{if } y = \text{N}. \end{cases}$$

The smaller α leads to less reward on words that are not entities. In this manner, the model avoids to learn a trivial policy that predicts all words as N (non-entity words). When

Maximize total reward

High-level policy reward function

$$J(\theta_{\mu,t}) = \mathbb{E}_{\mathbf{s}^h, o, r^h \sim \mu(o|\mathbf{s}^h)} \left[\sum_{k=t}^T \gamma^{k-t} r_k^h \right], \quad (10)$$

High-level policy reward function

$$J(\theta_{\pi,t}; o_{t'}) = \mathbb{E}_{\mathbf{s}^l, a, r^l \sim \pi(a|\mathbf{s}^l; o_{t'})} \left[\sum_{k=t}^{T'} \gamma^{k-t} r_k^l \right], \quad (11)$$

Main Results

Model	NYT10			NYT11		
	Prec	Rec	F_1	Prec	Rec	F_1
FCM	–	–	–	.432	.294	.350
MultiR	–	–	–	.328	.306	.317
CoType	–	–	–	.486	.386	.430
SPTree	.492	.557	.522	.522	.541	.531
Tagging	.593	.381	.464	.469	.489	.479
CopyR	.569	.452	.504	.347	.534	.421
HRL	.714	.586	.644	.538	.538	.538

CopyR:
Treat Relation Extraction as a
triple generation process.

Two types of overlapping

- Type I: *two triples share only one entity within a sentence*
- Type II: *two triples share two entities (both head and tail entities) within a sentence*

To test performance of extracting overlapping relations

NYT11-plus: manually annotated overlapping sentences, mainly of type I.

NYT11-sub: without manually annotated, overlapping percent: 90/2082, mainly of type II.

Model	NYT10-sub			NYT11-plus		
	Prec	Rec	F_1	Prec	Rec	F_1
FCM	–	–	–	.234	.199	.219
MultiR	–	–	–	.241	.214	.227
CoType	–	–	–	.291	.254	.271
SPTree	.272	.315	.292	.466	.229	.307
Tagging	.256	.237	.246	.292	.220	.250
CopyR	.392	.263	.315	.329	.224	.264
HRL	.815	.475	.600	.441	.321	.372

To test the interaction between high-level policy and low-level policy:

Model	NYT11			NYT11-plus		
	Prec	Rec	F_1	Prec	Rec	F_1
FCM	.502	.479	.490	.447	.327	.378
MultiR	.465	.439	.451	.423	.336	.375
CoType	.558	.558	.558	.491	.413	.449
SPTree	.650	.614	.631	.700	.343	.460
CopyR	.480	.714	.574	.626	.426	.507
HRL-Ent	.676	.676	.676	.577	.321	.413
HRL	.654	.654	.654	.626	.456	.527

Option: The option o_t is selected from $\mathcal{O} = \{\text{NR}\} \cup \mathcal{R}$ where NR indicates no relation, and \mathcal{R} is the relation type set. When a low-level RL process enters a terminal state, the control of the agent will be taken over to the high-level RL process to execute the next options.

Reward: Then, the environment provides intermediate reward r_t^h to estimate the future return when executing o_t . The reward is computed as below:

$$r_t^h = \begin{cases} -1, & \text{if } o_t \text{ not in } S \\ 0, & \text{if } o_t = \text{NR} \\ 1, & \text{if } o_t \text{ in } S. \end{cases} \quad (4)$$

If $o_t = \text{NR}$ at certain time step, the agent transfers to a new high-level inter-option state at the next time step. Otherwise the low-level policy will execute the entity extraction process. The inter-option state will not transfer until the subtask