For Lab 1, my topic was image segmentation. I implemented three different clustering algorithms: FCM, Meanshift and Fuzzy Local Information C-Means. The following of this report will discuss the overview of these algorithms, how I implemented them and also the analysis of the results.

### 1. Overview of FCM, Meanshift and FLICM

# FCM

FCM, developed by J.C.Dunn in 1973, and improved by J.C.Bezdek in 1981, is one of the most widely used fuzzy clustering algorithms. FCM algorithm attempts to partition a finite collection of n elements  $\mathbf{X} = \{X1, X2, X3 \cdots Xn\}$  into a collection of c fuzzy clusters with respect to some given criterion. Given a set of data, the algorithm returns a list of c cluster centres  $C = \{C1, \cdots Cc\}$  and a partition matrix  $W = wij \in [0,1]$ ,  $i = 1, \cdots, n$ ;  $j = 1, \cdots, c$ , where each element wij is the degree to which element Xi belongs to cluster Cj. Below is the pseudo-code of FCM in textbook:

Let  $X = \{x_1, x_2, \dots, x_n\}$ , where  $x_k \in \mathbb{R}^d$  is the set of vectors to be clustered.

```
Initialization: Set
          C, the number of clusters desired
         m, the fuzzifier
          ε, the convergence threshold
         V^{(0)} = \{v_1^{(0)}, \dots, v_c^{(0)}\} an initial set of cluster centers
Set t = 0
PEPEAT
          DO FOR each k = 1, \dots, n
              IF d(xk,vi) = 0 for some subset of clusters
             THEN
                    Set u_{ik}^{(t)} = 0 for j \in I_k and u_{ik}^{(t)} > 0 for j \in I_k
             ELSE
                    Compute uik (t)
             ENDIF
          Set t \leftarrow t + 1
          Using U<sup>(t-1)</sup>, estimate V<sup>(t)</sup>
UNTIL \sum ||\ V_i^{(t)}\ -v_i^{(t-1)}|| < \epsilon
```

# • MeanShift

Mean shift algorithm, which presented in 1975 by Fukunaga and Hostetler, is a non-parametric feature-space analysis technique for locating the maxima of a density function. It is an iterative method and starting with an initial estimate x. Let a kernel function K(xi-x) be given. This function determines the weight of nearby points for re-estimation of

mean. Typically a Gaussian kernel on the distance to the current estimate is used.  $K(x_i-x) = e^{-c||x_i-x||^2}$ . The weighted mean of the density in the window determined by K is

 $m(x) = \frac{\sum xi \in N(x)K(xi-x)xi}{\sum xi \in N(x)K(xi-x)}, \text{where } N(x) \text{ is the neighborhood of x, a set of points for which}$ 

 $K(xi) \neq 0$ . The difference between m(x) and x is called mean shift. Meanshift algorithm then sets  $x \leftarrow m(x)$  and repeats until m(x) converges. Below is the procedure of Meanshift: 1.Randomly choose a point as center from points that have not been clustered.

2. Find the set M which consist of all points whose distance from center is within the bandwidth and assign these points to cluster C(each point can be assigned to many clusters)

- 3. Calculate the distance from center to every point in M and get the meanshift
- 4. move the center according to meanshift

5.repeat 2 3 4, until the meanshift is less than or equal to a threshold, and the center at the last iteration is the final center.

6. repeat 1 2 3 4 5 until all points are clustered (assigned to cluster)

7. For each point, set it to the cluster that it is assigned to most often.

# 1.3 Fuzzy Local Information C-Means

FLICM incorporates the local spatial information and gray level information in a novel fuzzy way. It can overcome the disadvantages of FCM and at the same time enhances the clustering performance. Compared to FCM, FLICM introduced a fuzzy factor  $G_{ki} = \sum_j \frac{1}{\text{dij}+1} \left(1-u_{kj}\right)^m \! \left|x_j-v_k\right|^2$  and the objective function is :

$$J_m = \sum_{i=1}^{N} \sum_{k=1}^{c} \left[ u_{ki}^m \|x_i - v_k\|^2 + G_{ki} \right].$$

The formulas to calculate U and V are:

$$u_{ki} = \frac{1}{\sum\limits_{j=1}^{c} \left(\frac{\|x_i - v_k\|^2 + G_{ki}}{\|x_i - v_j\|^2 + G_{ji}}\right)^{1/m - 1}} \qquad v_k = \frac{\sum\limits_{i=1}^{N} u_{ki}^m x_i}{\sum\limits_{i=1}^{N} u_{ki}^m}.$$

The procedure of FLICM is following:

- 1.Set the number C of the cluster, fuzzification parameter m and the stopping condition
- 2. Initialize randomly the fuzzy partition matrix
- 3. Set the loop counter b = 0
- 4. Calculate the V
- 5.Calculate U
- 6. repeat 4 5 until the stop condition.

# 2. Implementation

# 2.1 FCM

Firstly, I convert the RGB image to gray image and reshape it to a m \* n \* 1 array, where m is the first dimension and n is the second dimension of the gray iamge. Then I use this reshaped array as the input of m function called "FCM\_Helper", which assign each pixel of the image to a cluster. This function returns a matrix called C and another matrix called dist. C contains all centers and dist contains the distance from each point to each center. I assign each point to the closest center. Then replace each point with the center For more detail about m implementation of FCM, please refer to m code.

### 2.2 MeanShift

The preprocess procedure is the same as FCM: convert RGB image to gray image and reshape it. Then I pass this reshaped array to the function MeanShift\_Helper function. It returns a matrix called clustCent which contains all the cluster center, an array called point2cluster that contain the information which point belong to which cluster, and also a cell which contains the information about each cluster containing which cluster. In the MeanShift\_Helper function, I randomly choose a point as center, and calculate its mean shift and move it. I repeat this step until convergence and record all the points that within the bandwidth in each iteration. Then I randomly choose another point as center and repeat the operations I descripted above until all the points have been assigned to at least one cluster. For more detail about my implementation of

MeanShift, please refer to my code.

### 2.3 FLICM

I preprocess the RGB image like above and then create windows for each point. For each point, we need a window to gather its' local information. The function createWindows returns a matrix called windows. It has width \* width rows and m \* n column, where width \* width is the size of the window and m \* n is the number of pixels. The function CreateWindows also return a one-dimension array called Distances, which contain the information that the distance between each point in the window to its' center. Then I call function FLICM\_Helper, it returns the U matrix, V matrix, and iteration times. In the function FLICM\_Helper, I initialize U matrix and then calculate the fuzzy factor G and then update V matrix. I repeat these operation until stop condition occurs. For more detail about my implementation of FLICM, please refer to my code.

### 3. Result

In this experiment, I apply these algorithms to a synthetic test image corrupted by Gaussian, Uniform and Salt & Pepper noise, respectively. For FCM and FLICM, I set the cluster number to 2. The result shows that FLICM has the ability to overcome noise than FCM and MeanShift. Below is the cluster result:















