

# PUCK TREERATPITUK

## CHATBOT: overview

*'Then and Now'*

2 April 2018

Computer Engineering @ Chula

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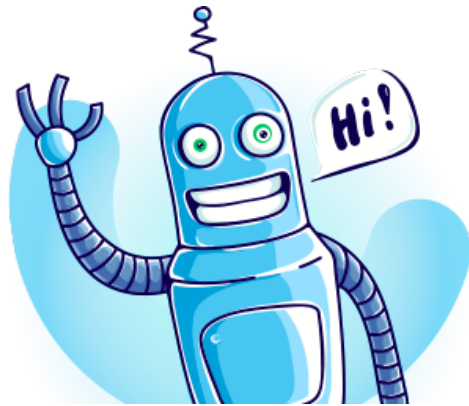
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**“chatbot” NOT chat “BOT”**



**BANK OF THAILAND**

**A Joke.... :P**

# Agenda

*History of Chatbot*

*Techniques & Design Choices for Designing Chatbot*

*ML, NLP @ BOT*

# Is it possible for machine to think?



**Alan Turing**  
**1912-1954**

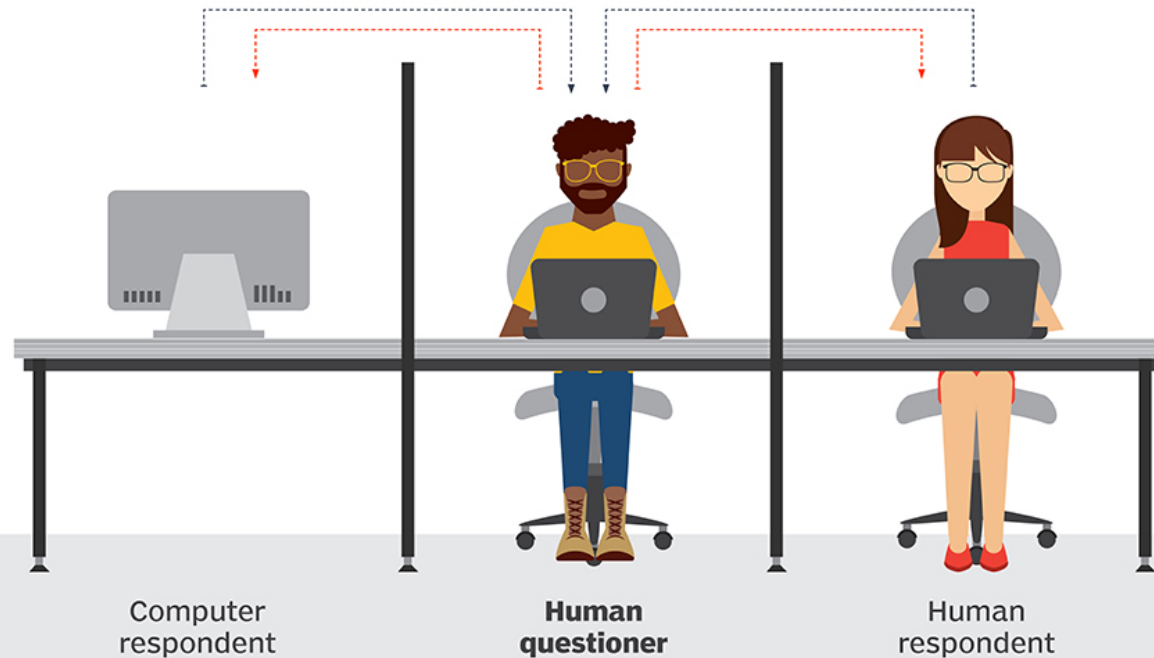
**“A computer would deserve to be called intelligent if it could deceive a human into believing that it was human”**



# Turing test

During the Turing test, the human questioner asks a series of questions to both respondents. After the specified time, the questioner tries to decide which terminal is operated by the human respondent and which terminal is operated by the computer.

■ QUESTION TO RESPONDENTS ■ ANSWERS TO QUESTIONER



# OUR QUEST



**Turing Test**

1950

1955

**“Artificial  
Intelligence”**



**HAL 9000**

1966

1968

**ELIZA (MIT)**

```
> Hello, I am Eliza.  
* I am afraid.  
> How long have you been afraid?  
* Since midterms.  
> Tell me more...  
* I am afraid that I will fail  
my course.  
> Do you enjoy being afraid that  
you will fail your course?
```



**Siri**

2010

2008

**Abdul**



**Amazon  
Alexa**

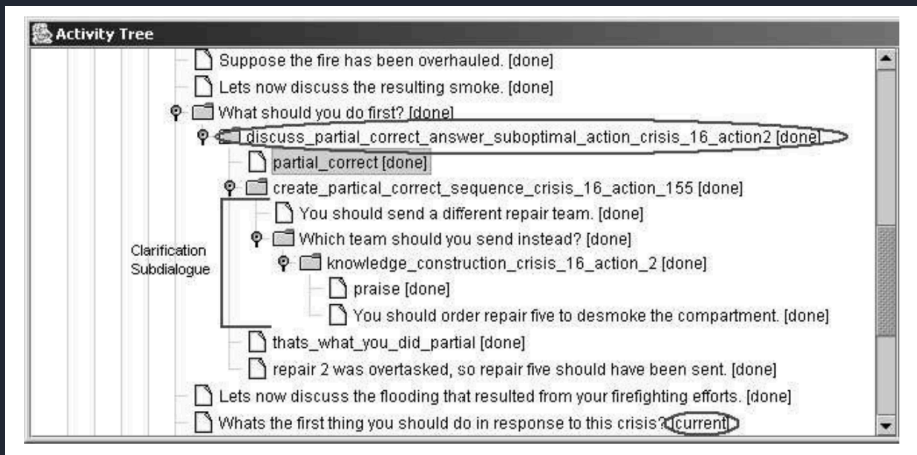
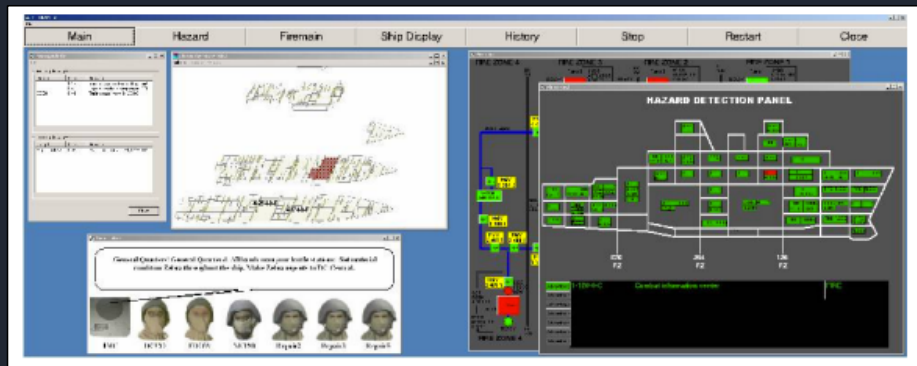
2015

2011

**IBM Watson**



# “chatbot” before “chatbot”



**Ok, let review how to handle this crisis**



**What is the 1st thing you should do when the fire alarm sounds?**



**send repair two to investigate.**

**Yes, however, you failed to do this during the session. This is a mistake you made more than once. Remember, alarms need to be verified first.**



**Ok, what else needs to be done?**

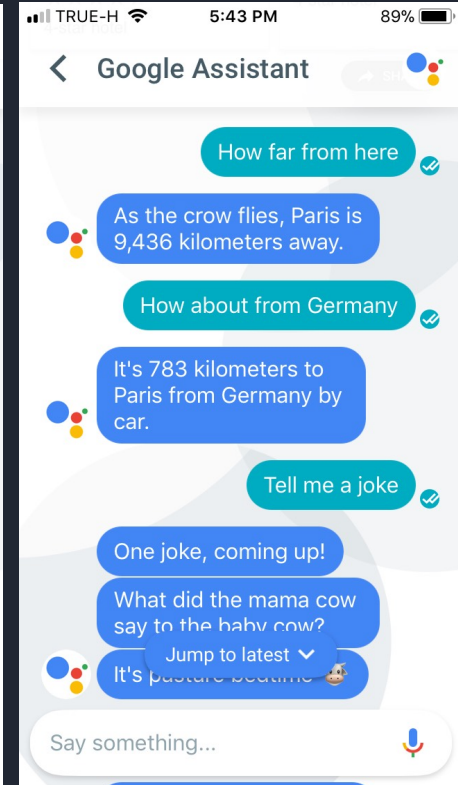
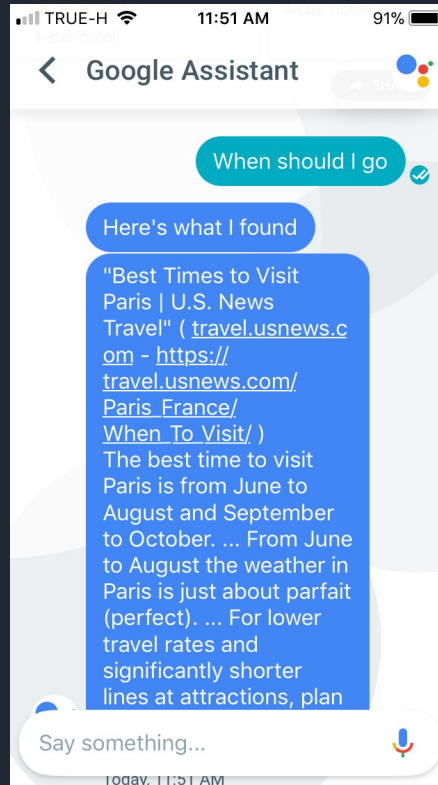
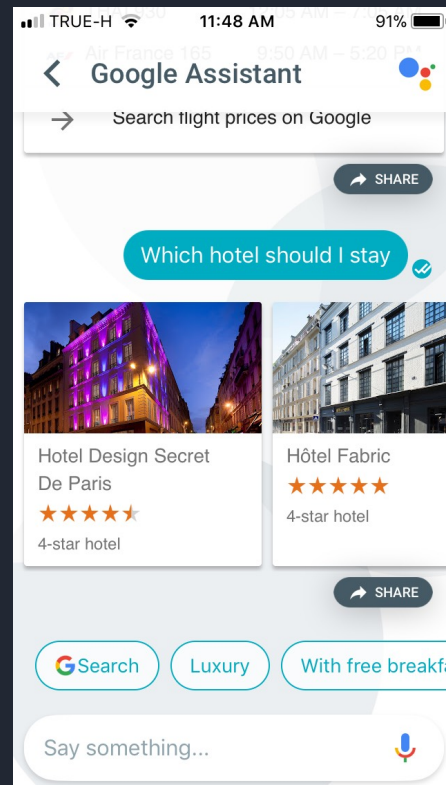
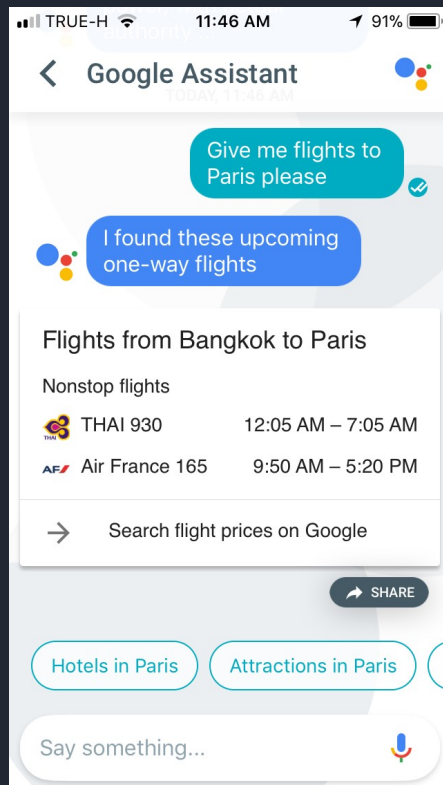
**Set fire and smoke boundaries**

**A GENERAL PURPOSE ARCHITECTURE FOR INTELLIGENT TUTORING SYSTEMS, 2003**

**CSLI, Stanford University**



# “chatbot” NOW



## Inferring

from BKK

stay in “Paris”

go to “Paris”

Paris - BKK  
Paris - Germany

# Traditional



## Part-of-Speech Tagging (POS)

*I love you : (ProN, V, ProN)*



## Named-Entity Recognition (NER)

*“Donald Trump” : Person*



## Co-reference Resolution

*here: ...*

*his: ...*

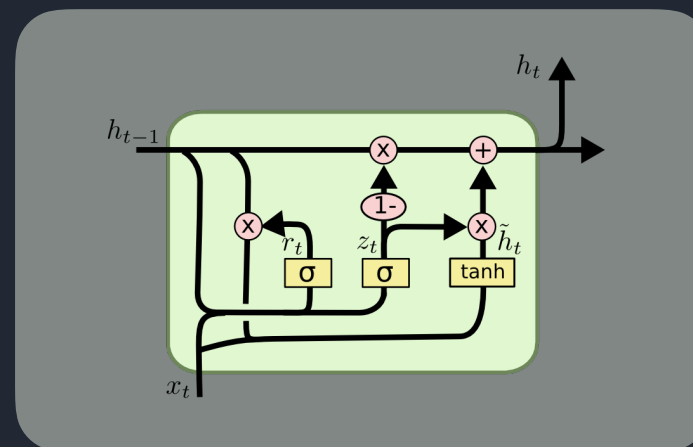


## Rule-based

*Why ..*

*What ..*

# Shiny



## POMDP

### Context-Aware Model

### Deep Learning + Memory Units

*LSTM*

*Dynamic Memory Network*



**Siri**

2010



**Amazon  
Alexa**

2015

2011

**IBM Watson**

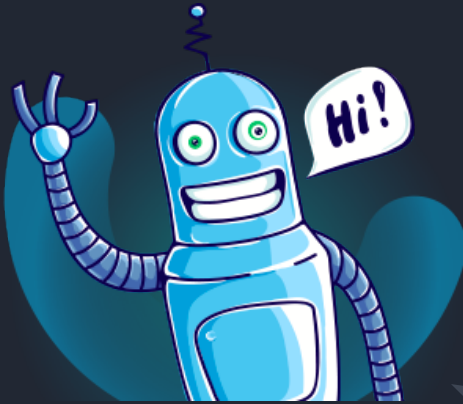


**Prediction: pass Turing Test?**

2029



*~ 50 Years Old*



## categorized by types

***Chit-Chat***

***Task Based - (User, Machine,  
Mixed Initiatives)***

## categorized by techniques

***Rule-Based***

***IR-Based***

***Seq2Seq***

***Finite-State Automata***

***Frame-Filling***

***Planing-Based***

***\*\* Statistical***

# Eliza (Weizenbaum, 1966)

*Men are all alike.*



*In what way?*

*They're always bugging us about something or other.*



*Can you think of a specific example?*

*Well, my boyfriend made me come here*



*Your boyfriend made you come here?*

*He says I'm depressed much of the time*



*I am sorry to hear that you are depressed*

...



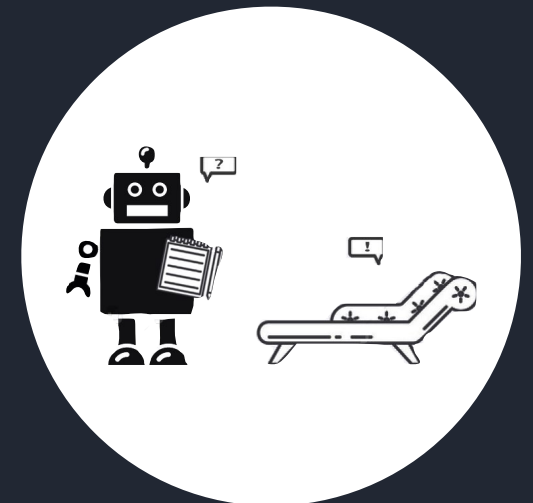
*Who else in your family take care of you?*

*My father*



*Your father?*

*My father*



# Eliza (Weizenbaum, 1966)

## **Ranked Keywords:**

*I know **everybody** laughed at me*

*I 0: (I \*) -> (You say you 2)*

*You say **you** know everybody laughed at you*

*everybody 5: (Who in particular are you thinking of?)*

*Who in particular are you thinking of?*

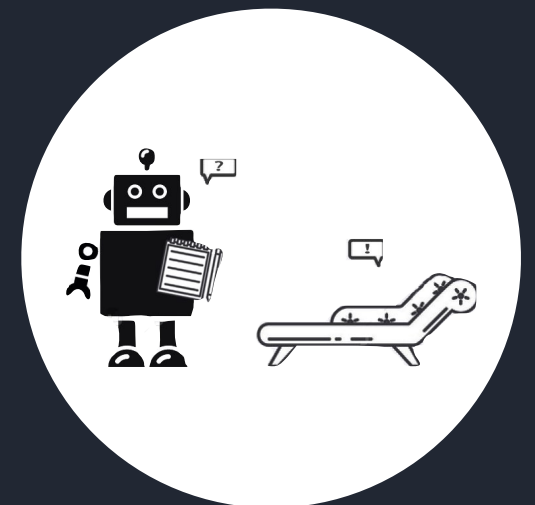
## **Memory Stack ("My"):**

*(0 Your 0: Let discuss further why your 3)*

*(0 Your 0: Earlier you said your 3)*

**Fall Back:** *That's very interesting, Tell me more, ...*

**Variations:** *prefer not to use the same rules in the same conversation*



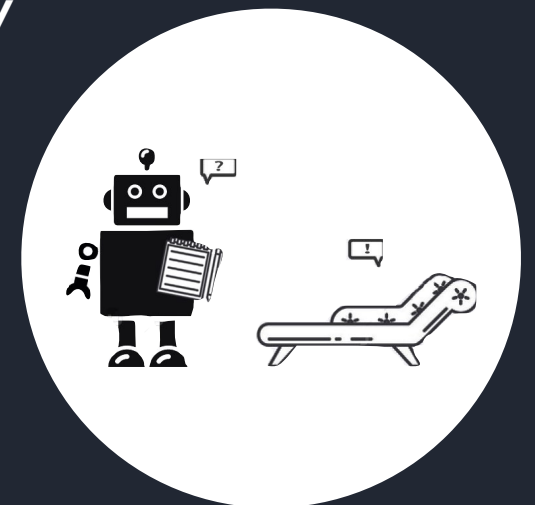
# Parry (Colby, 1971)

*similar to ELIZA (rule-based transformation)  
but more complex controlling structures + NLP*

## **With Persona:**

- 28 year old single man, post office clerk
- no siblings and lives alone
- sensitive about his physical appearance, his family, his religion, his education and the topic of sex
- hobbies are movies and gambling on horse-racing
- recently attacked a bookie, claiming the bookie did not pay
- worried about underworld retaliation
- eager to tell his story to non-threading listeners

**Affect Variables:** Fear (0-20) & Mistrust (0-15)



# IR-Based

*Miss perky: Patrick Verona. I see we're making our visits a weekly **ritual**.*

*Patrick: Only so we can have these moments together. Should I, uh, hit the lights?*

*Miss Perky: Oh, very clever, kangaroo boy. Says here you exposed yourself in the cafeteria?*

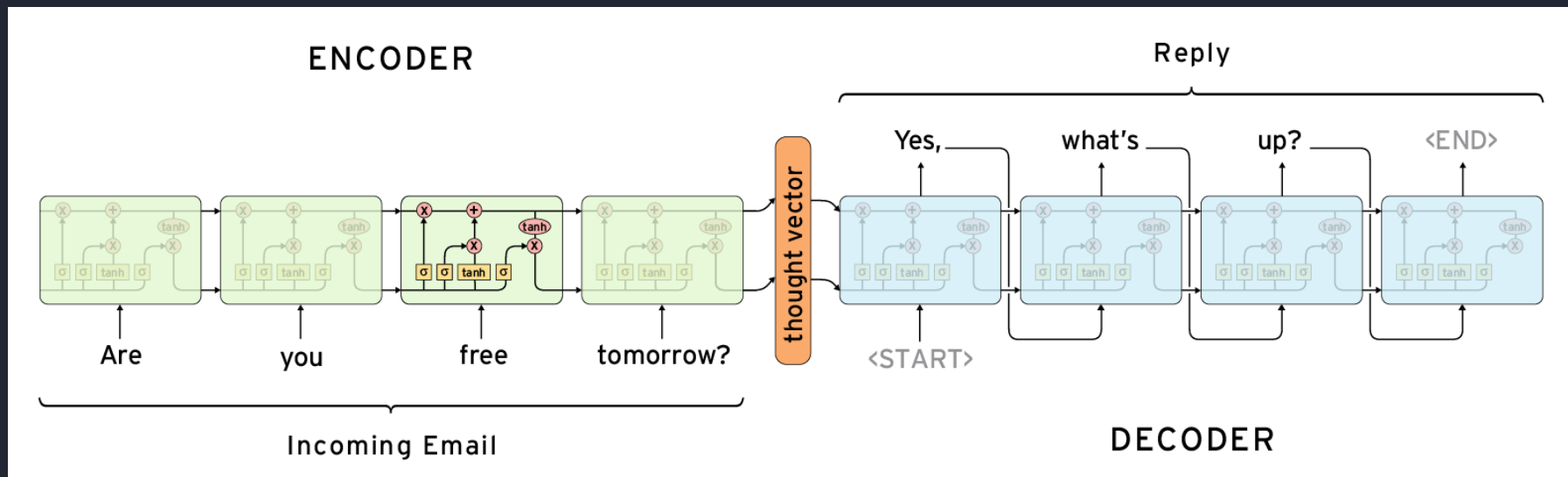
*Patrick: I was joking with the lunch lady. It was a bratwurst.*

*Miss Perky: Bratwurst? Aren't we the **optimist**? Next time, keep it in your pouch, okay? Scoot!*

*— 10 Things I Hate About You*



# Seq2Seq



*inspired by Machine Translation  
translating Utterance into Response*

# Frame-based

## Frame

From: City

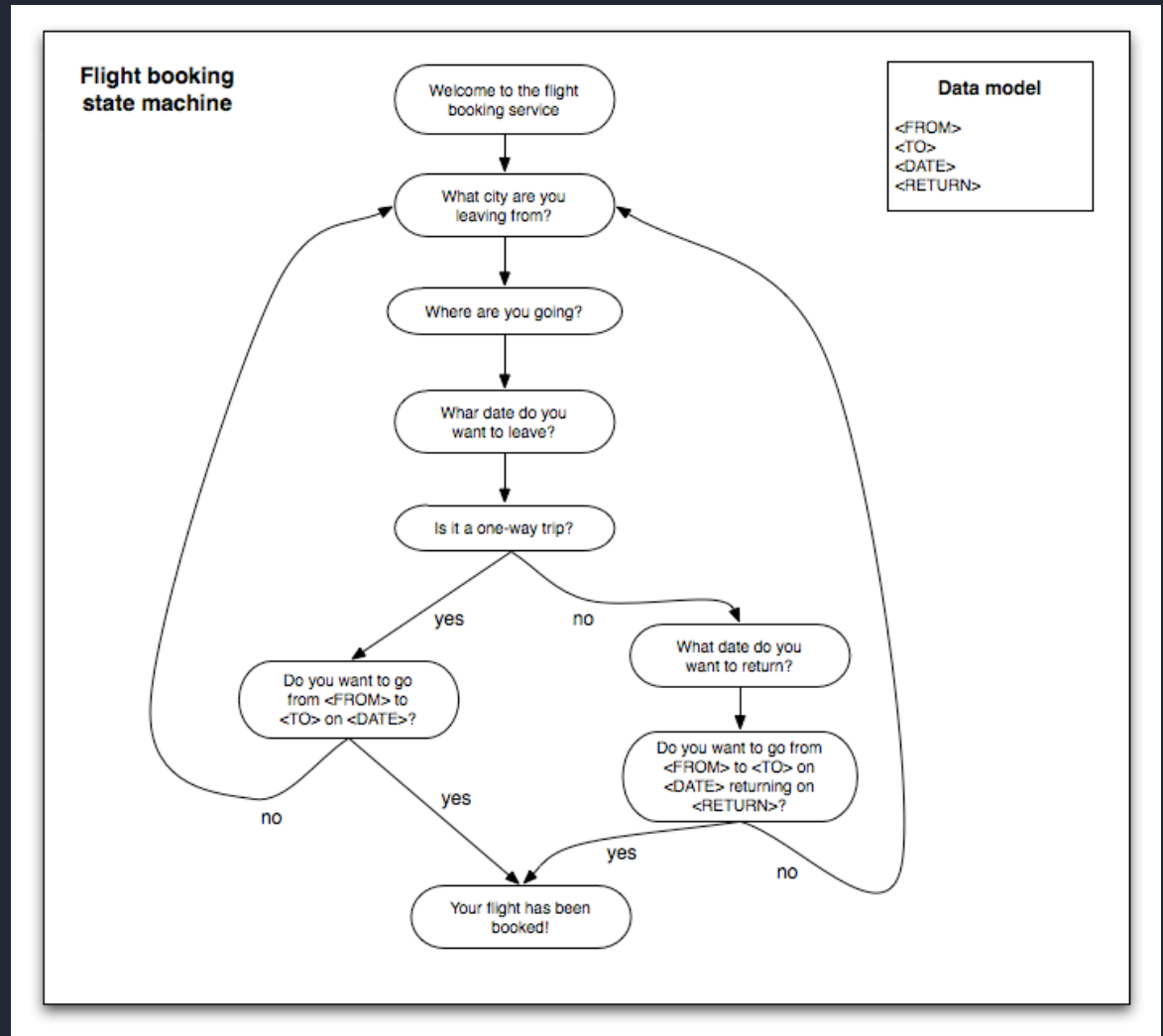
To: City

Departure Date: date

Arrival Date: date

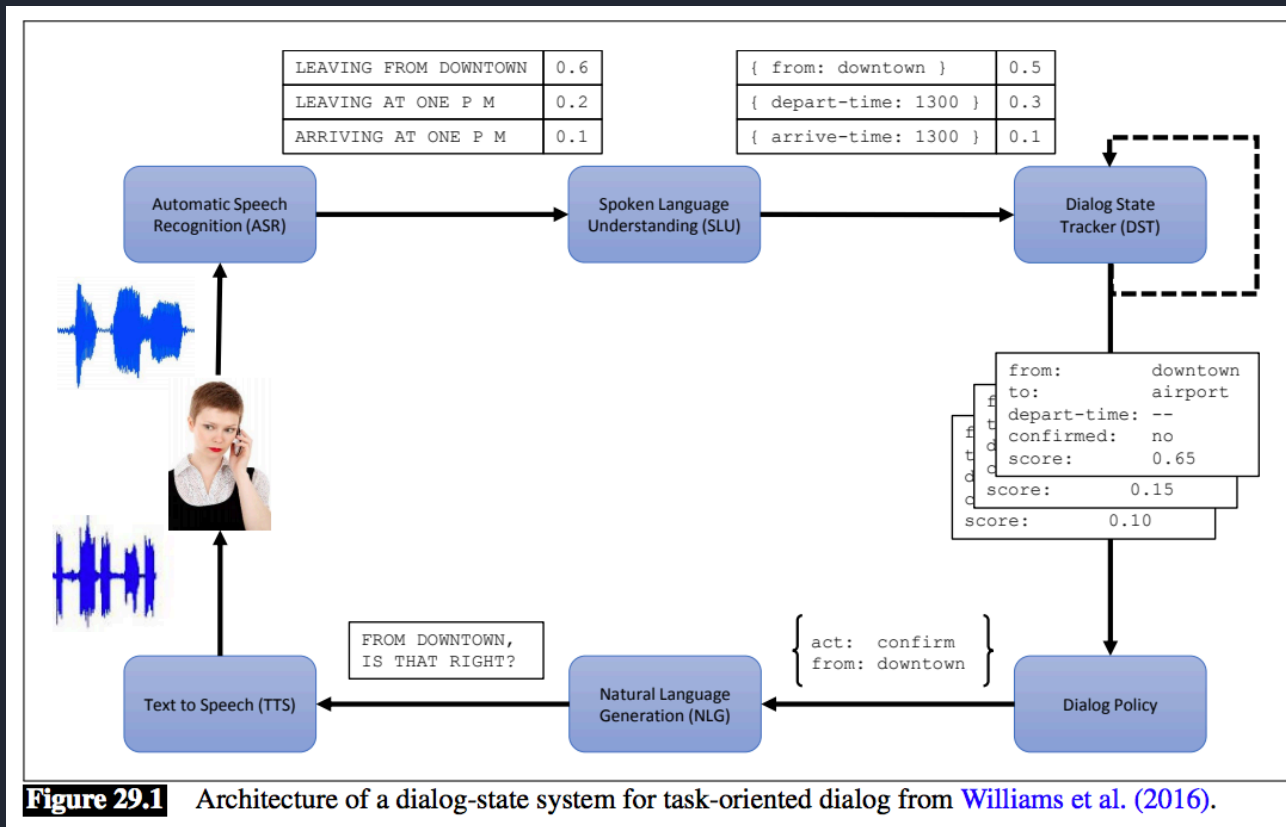
## NLP:

grammar-based  
ml-based

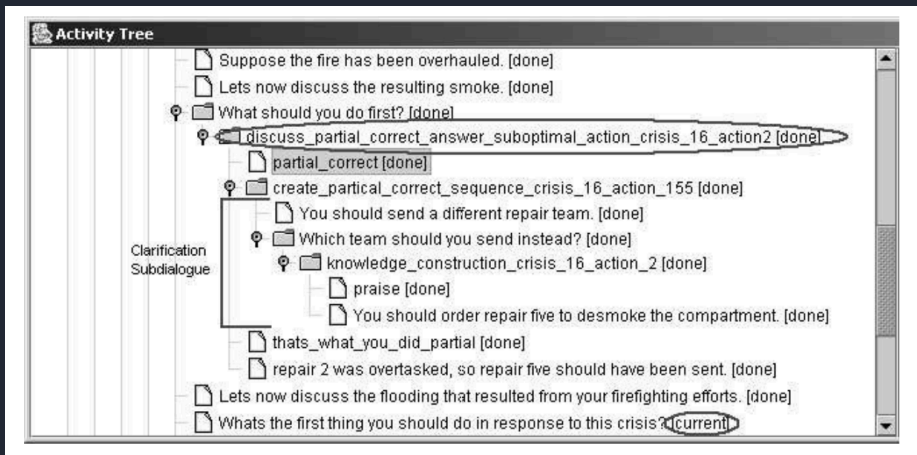
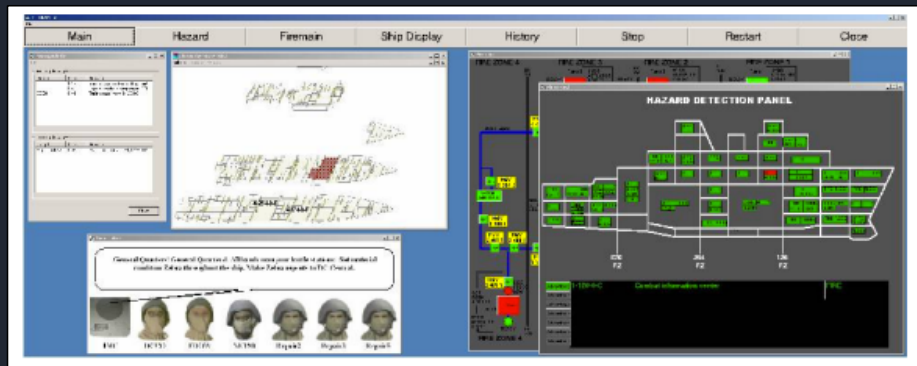


*Siri, Amazon Alexa, Google Assistant, ... etc*

# Planning-Based



# Planning-Based Example



**Ok, let review how to handle this crisis**



**What is the 1st thing you should do when the fire alarm sounds?**



**send repair two to investigate.**



**Yes, however, you failed to do this during the session. This is a mistake you made more than once. Remember, alarms need to be verified first.**

**Ok, what else needs to be done?**

**Set fire and smoke boundaries**

**WITAS (Stanford), CMU Communicator (CMU), RavenClaw (CMU)**

# Example: Architecture

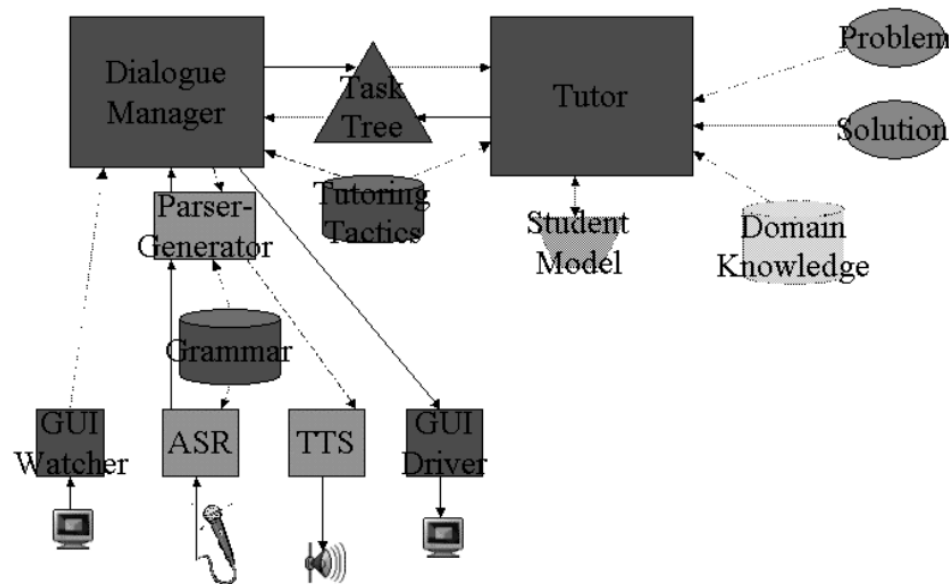


Figure 2. Reflective Tutoring Architecture

**Dialog Move Tree**

**Task Tree**

**System Agenda**

**Saliency List**

**Pending List**

**Modality Buffer**

*both users and the bot can  
propose a new agenda  
by adding node onto  
the task tree*

**viewing dialogue as collaborative activities between  
the user and the bot, modifying the dialog tree**

**A GENERAL PURPOSE ARCHITECTURE FOR INTELLIGENT TUTORING SYSTEMS, 2003**

**CSLI, Stanford University**

# Dialog Move Tree

*records of dialogues history, and pending agendas*

[Previous](#) 11/19/02 4:32 PM [Next](#)

Utterance: i should send repair two to fight the fire

Conversational Move: assertion(prop(actor(np(n(pro(i)), semantic([speaker]), grammatical([number(sg)]))), vp(action(send), semantic([object(np(n(dcagent(repair\_two)), semantic([], grammatical([number(sg)]))), adv\_list([], purpose([vp(action(fight), semantic([object(np(n(dcevent(fire)), semantic([], grammatical([number(sg), det(det(def,the))])), adv\_list([], grammatical([tense\_mood\_aspect([tense(inf)])]))], grammatical([tense\_mood\_aspect([tense(inf)])]))])), grammatical

Uttered by: User

## Dialog Move Tree

active nodes are red; position on active node list in parens [0=most active]

- Root (0)

Root

- Report (system)

"Hello, we are about to review your session from Monday, November 4."

- Yn\_query (system)

...

- Wh\_query (system)

"Whats the first thing you should do when the fire alarm sounds?"

- Wh\_answer (user)

assertion(prop(actor(np(n(pro(i)), semantic([speaker]), grammatical([number(sg)]))), vp(action(send), semantic([object(np(n(dcagent(repair\_two)), semantic([], grammatical([number(sg)]))), adv\_list([], purpose([vp(action(fight), semantic([object(np(n(dcevent(fire)), semantic([], grammatical([number(sg), det(det(def,the))])), adv\_list([], grammatical([tense\_mood\_aspect([tense(inf)])]))], grammatical([tense\_mood\_aspect([tense(inf)])]))])), grammatical

# Example: Recipe

*recipes: set of policies for the bot in modifying the dialog states*

**def\_strategy discuss\_error\_of\_omission\_answer\_incorrect**

**:goal**

**:preconditions:**

**(i) the student's answer is incorrect**

**(ii) the student's actions in response to the damage event included an error of omission**

**:recipe**

**(i) provide negative feedback to the student**

**(ii) give the student a hint**

**(iii) ask a follow-up question**

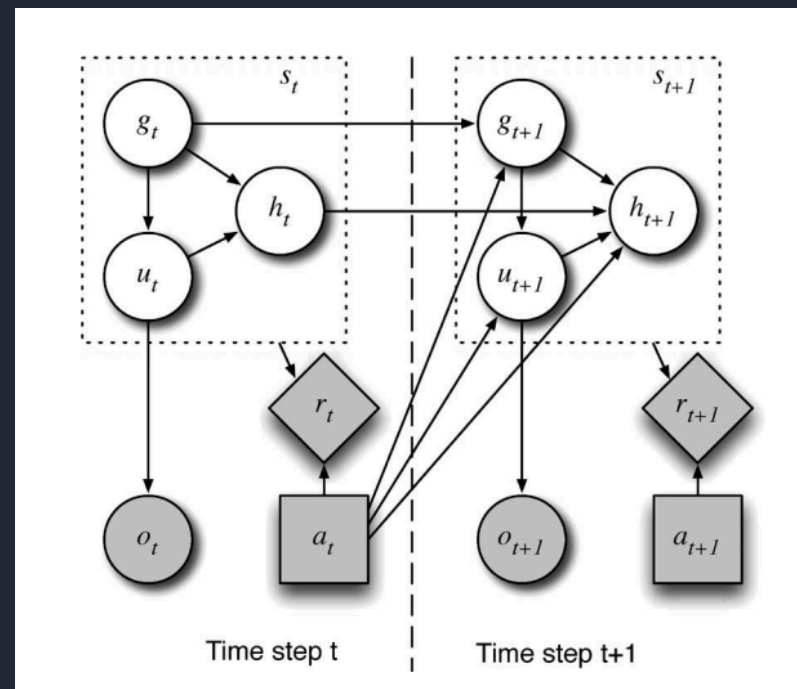
**(iv) classify the student's response**

**(v) provide feedback to the student**

**(vi) tell the student the rule**

**(vii) tell the student that the topic is changing**

# Partial Observable Markov Decision Process (POMDP)



**Model Dialogue as Markov Process  
(current states only depended on previous states)**

**use Re-enforcement Learning to learn dialogue move  
based on the dialogue state to maximize expected rewards**



# What else to think about?

when designing a chatbot...

*Error Handling*

*Timing & Turn-Taking*

*Multi-Participant Dialogue*

*Automatic Knowledge Extraction*

*Taskable Agents*

