

## Intro to Algorithms, COMP-160, Homework #6

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2. In randomized selection, we pick a pivot, partition and find its rank, and then recurse on one side. Given  $n$  elements, define a pivot as *balanced* if each side of the partition ends up with at least a constant fraction  $\frac{1}{c} \cdot n$  of the input. Otherwise, a pivot is called *unbalanced*. In both cases, the partition takes  $d \cdot n$  time.

Suppose that when we run the algorithm, that every balanced pivot is followed by  $u$  unbalanced pivots, where  $u$  is a constant.

Show that under the above conditions, the algorithm will take  $O(n)$  time in the worst case. As always for upper bounds, exaggerate and simplify.

In the case of a balanced partition, each recursion would simply be a constant fraction of the previous work, or  $T_B(n) = T(\frac{n}{c}) + \Theta(n)$ . For an unbalanced partition however, we are not sure how many elements our recursion will be working on. The worst case would be where we pick a partition that is either greater than or less than all of the other elements in our list. In this case, our partitioning can only reduce our list size / work by one element, so for an unbalanced partition we see  $T_U(n) = T(n-1) + \Theta(n)$ .

Consider the scenario where we get one balanced partition followed by  $u$  unbalanced partition. Since our first partition is balanced, we would recurse on a fraction of our list ( $\frac{n}{c}$ ). After this, each unbalanced partition would recurse on one less elements. If this process unbalanced partitioning is repeated  $u$  times, we can get:

$$\begin{aligned} T(n) &= T_B(n) + T_U(\frac{n}{c}) + T_U(\frac{n}{c} - 1) + \dots + T_U(\frac{n}{c} - (u-1)) \\ T(n) &= \Theta(n) + T(\frac{n}{c}) + \Theta(\frac{n}{c}) + T(\frac{n}{c} - 1) + \Theta(\frac{n}{c} - 1) + \dots + \Theta(\frac{n}{c} - (u-1)) + T(\frac{n}{c} - u) \\ T(n) &= u\Theta(n) + \sum_{i=0}^u T(\frac{n}{c} - i) \end{aligned}$$

We know that  $T(\frac{n}{c} - i) \leq T(\frac{n}{c})$  for all  $i \in \mathbb{Z}^+$ . We can also express  $u\Theta(n)$  as  $udn$  ( $d$  is a constant). Therefore, we can exaggerate our recurrence to say:

$$\begin{aligned} T(n) &\leq udn + T(\frac{n}{c}) + u \cdot T(\frac{n}{c}) = udn + u \cdot T(\frac{n}{c}) \\ T(n) &\leq udn + u \cdot T(\frac{n}{c}) = u(dn + T(\frac{n}{c})) \end{aligned}$$

From here, since  $u$  is a constant, we can use the master method by temporarily disregarding  $u$ . We therefore get the following:

$$T(n) \leq u(dn + T(\frac{n}{c})) \rightarrow T_1(n) = dn + T(\frac{n}{c})$$

$$a = 1, b = c, f(n) = dn$$

$$n^{\log_c 1} = n^0 = 1, \text{ so } f(n) \text{ dominates}$$

Thus  $T_1(n) = O(f(n)) = O(dn) = O(n)$

So  $T(n) = uT_1(n) = \Theta(1)O(n) = O(n)$

Therefore, we see that our partitioning is linear.