

# COMP-170: Homework #9

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## Problem 2

Given a universe  $U$  and a subset of the power set of  $U$ ,  $S \subseteq \mathcal{P}(U)$ , we are interested in determining if  $S$  contains an exact covering of  $U$ , where an exact covering is a set  $I, I \subseteq S$  such that every element of  $U$  belongs to *exactly* one set in  $I$ .

$\text{EXACT\_COVER} = \{\langle U, S \rangle \mid S \subseteq \mathcal{P}(U) \text{ and } S \text{ contains an exact cover of } U\}$

Prove EXACT\_COVER is NP-complete. Do a reduction from 3\_COLOR

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To prove EXACT\_COVER is NP-complete, we will show that  $\text{EXACT\_COVER} \in \text{NP}$  and that  $3\_COLOR \leq_m^p \text{EXACT\_COVER}$ . Given that 3\_COLOR is NP-complete, we will then be able to see that EXACT\_COVER is NP-complete.

First, we will prove  $\text{EXACT\_COVER} \in \text{NP}$ . In order to prove this, we can construct a polynomial time verifier  $V$  for EXACT\_COVER. Once we show that  $V$  does indeed verify in polynomial time, we will then be able to see that  $\text{EXACT\_COVER} \in \text{NP}$ . We can define  $V$  as follows:

**$V$  on input  $\langle \langle U, S \rangle, I \rangle$ :**

//  $I$  is an subset of  $S$ , where  $S$  is a subset of  $\mathcal{P}(U)$ , to be verified

1. For each set in  $S$ , check said set is a subset of  $U$ . If there exists a set in  $S$  that is not a subset of  $U$ , *REJECT*
2. For each set in  $I$ , check said set is in  $S$ . If there exists a set in  $I$  that is not in  $S$ , *REJECT*
3. For each  $u \in U$ , check that  $u$  is in exactly one set of  $I$ . If  $u$  doesn't appear in a set in  $I$ , *REJECT*. If  $u$  appears in more than one set in  $I$ , *REJECT*.
4. Return *ACCEPT*.

Given our definition of  $V$ , we claim  $V$  runs in polynomial time. We can see that step 1 takes  $O(n^2)$  time, where  $n = |U|$ , step 2 takes  $O(n^3)$  time, step 3 takes  $O(n^2)$  time. Thus,  $V$  takes polynomial time overall.

Finally, to show that  $V$  correctly verifies solutions, consider the following cases:

1. Suppose  $\langle U, S \rangle \in \text{EXACT\_COVER}$  and  $I$  is an exact covering of  $U$ . We then know that  $V$  would not halt on step 1, 2, or 3, by definition of  $U, S$ , and  $I$ . Thus,  $V$  would run until step 4, thus properly accepting.
2. Suppose  $\langle U, S \rangle \notin \text{EXACT\_COVER}$  where  $S$  is not a collection of subsets of  $U$ . We can then see that there would be some set in  $S$  that would halt on step 1, resulting in

$V$  properly rejecting.

3. Suppose  $\langle U, S \rangle \in \text{EXACT\_COVER}$  but  $I$  (our witness) is not a subset of  $S$ . Given this, there must exist some set in  $I$  that is not in  $S$ . Therefore, we can see there is some set that would halt  $V$  on step 2, causing  $V$  to properly reject.
4. Suppose  $\langle U, S \rangle \in \text{EXACT\_COVER}$  but  $I$  (our witness) is not an exact covering of  $S$ . Given this, there must exist some element  $u$  that either doesn't appear in any of the sets of  $I$  or appears in two sets of  $I$ . Therefore, we can see that  $V$  would halt after finding this  $u$  and checking against all of the sets of  $I$ . Therefore,  $V$  would correctly reject.

Given these cases, we can see that  $V$  correctly verifies solutions, thus showing  $V$  is indeed a polynomial time verifier for EXACT\_COVER. Thus, the existence and validity of  $V$  shows that EXACT\_COVER  $\in$  NP.

Next, we will prove  $3\text{COLOR} \leq_m^p \text{EXACTCOVER}$ . In order to prove this, we can construct a function  $f : \Sigma_{3\text{COLOR}}^* \rightarrow \Sigma_{\text{EXACTCOVER}}^*$  such that  $G \in 3\text{COLOR} \Leftrightarrow f(G) \in \text{EXACTCOVER}$ . Once we show that  $f$  satisfies this condition, we will have proven that  $3\text{COLOR} \leq_m^p \text{EXACTCOVER}$ .

Given this, we can define  $f : \Sigma_{3\text{COLOR}}^* \rightarrow \Sigma_{\text{EXACTCOVER}}^*$  as follows:

**$f$  on input  $G$  outputs  $\langle U, S \rangle$ , where  $U$  and  $S$  are defined as follows:**

For each node  $v$  in  $G$ , add four elements to our universe  $U$ :  $v$ ,  $R_v$ ,  $G_v$ , and  $B_v$ .

For each node  $v$  in  $G$ , add three sets to  $S$  (one for each color), where each set contains  $v$  and  $C_{uv}$  for each  $(u, v) \in G$  (in which  $C \in \{R, G, B\}$ ).

For each edge  $(u, v)$  in  $G$ , add three elements to our universe  $U$ :  $R_{uv}$ ,  $G_{uv}$ , and  $B_{uv}$ .

For individual element  $e$  added to  $G$  (excluding the elements added corresponding to the vertices of  $G$ ), add  $\{e\}$  to  $S$ .

Given our definition of  $f$ , we claim that  $f$  is computable in polynomial time. We can see that we could construct a Turing machine  $M$  that outputs  $\langle U, S \rangle$  when given  $G$ .  $M$  would simply walk through  $G$  and add a linear number of elements for each vertex.  $M$  also does the same for each edge. This would take  $O(E + V)$  time overall, so we can see that  $f$  is indeed computable in polynomial time.

Next, we must verify that  $f$  satisfies the condition that  $G \in 3\text{COLOR} \Leftrightarrow f(G) \in \text{EXACTCOVER}$ . In order to do this, consider the following:

#### 1. Case analysis to prove this TBD

Given this, we can thus see that  $f$  does indeed satisfy the claim  $G \in 3\text{COLOR} \Leftrightarrow f(G) \in \text{EXACTCOVER}$ . Therefore, we can see that  $3\text{COLOR} \leq_m^p \text{EXACTCOVER}$ .

Given that  $\text{EXACTCOVER} \in \text{NP}$ ,  $3\text{COLOR} \leq_m^p \text{EXACTCOVER}$ , and  $3\text{COLOR}$  is NP-complete, we can see that  $\text{EXACTCOVER}$  is also NP-complete.  $\square$