# Machine Learning course advanced track

# Lecture 4: Self-attention & Transformer

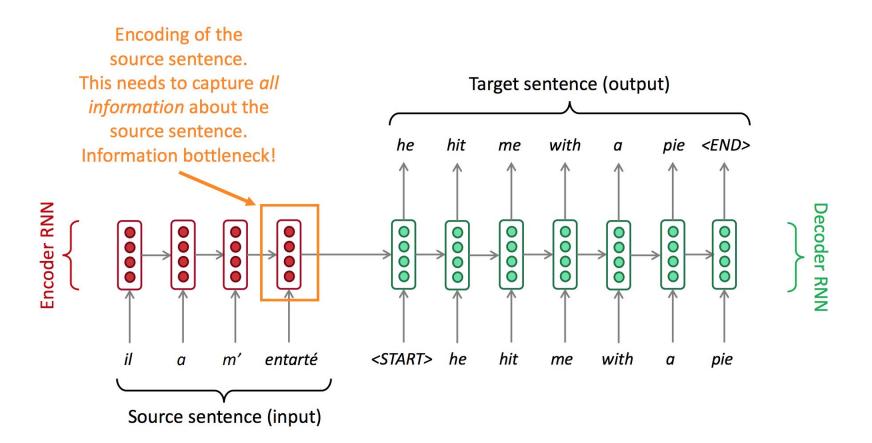
Radoslav Neychev

MIPT 27.09.2019, Moscow

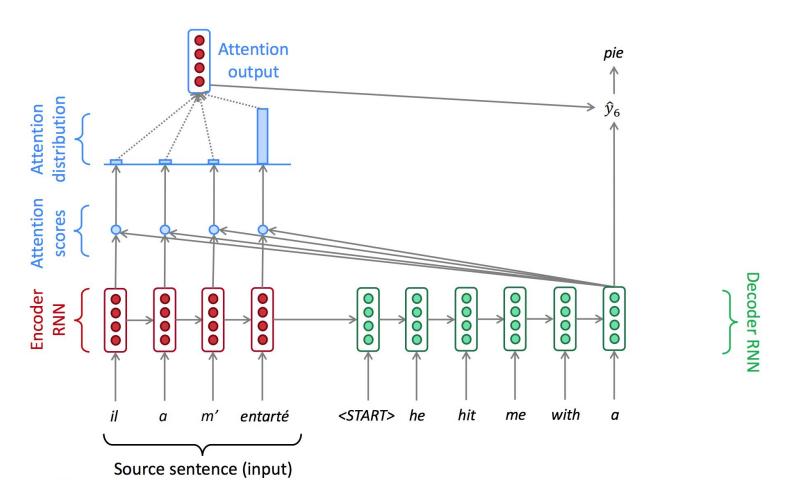
# Outline

- recap: Attention in seq2seq
- 2. Transformer architecture
- 3. Self-Attention
- Normalization layer
- 5. Positional encoding
- 6. Q & A

#### recap: Attention in seq2seq



#### recap: Attention in seq2seq



## recap: Attention in seq2seq

We have encoder hidden states  $h_1, \ldots, h_N \in \mathbb{R}^h$ 

On timestep t, we have decoder hidden state  $s_t \in \mathbb{R}^h$ 

We get the attention scores  $e^t$  for this step:

$$oldsymbol{e}^t = [oldsymbol{s}_t^T oldsymbol{h}_1, \dots, oldsymbol{s}_t^T oldsymbol{h}_N] \in \mathbb{R}^N$$

We take softmax to get the attention distribution  $\alpha^t$  for this step (this is a probability distribution and sums to 1)

$$\alpha^t = \operatorname{softmax}(\boldsymbol{e}^t) \in \mathbb{R}^N$$

We use  $lpha^t$  to take a weighted sum of the encoder hidden states to get the attention output  $m{a}_t$ 

$$oldsymbol{a}_t = \sum_{i=1}^N lpha_i^t oldsymbol{h}_i \in \mathbb{R}^h$$

Finally we concatenate the attention output  $a_t$  with the decoder hidden state  $s_t$  and proceed as in the non-attention seq2seq model

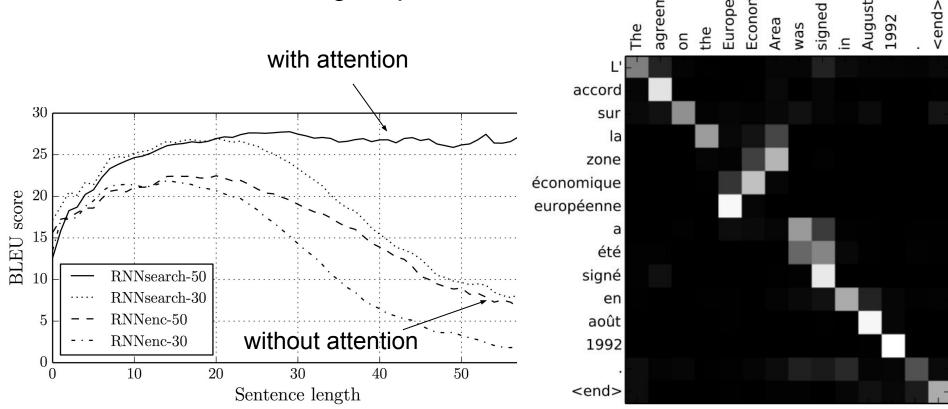
$$[oldsymbol{a}_t;oldsymbol{s}_t]\in\mathbb{R}^{2h}$$

#### Attention variants

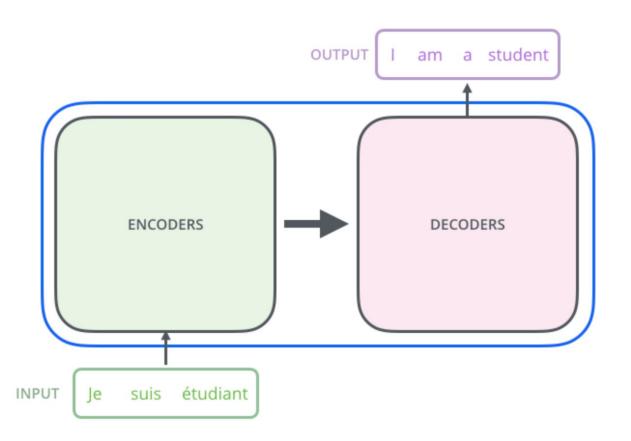
- ullet Basic dot-product (the one discussed before):  $oldsymbol{e}_i = oldsymbol{s}^T oldsymbol{h}_i \in \mathbb{R}$
- ullet Multiplicative attention:  $oldsymbol{e}_i = oldsymbol{s}^T oldsymbol{W} oldsymbol{h}_i \in \mathbb{R}$ 
  - $\mathbf{W} \in \mathbb{R}^{d_2 \times d_1}$  weight matrix
- Additive attention:  $e_i = v^T \tanh(W_1 h_i + W_2 s) \in \mathbb{R}$ 
  - $\mathbf{W}_1 \in \mathbb{R}^{d_3 imes d_1}, \mathbf{W}_2 \in \mathbb{R}^{d_3 imes d_2}$  weight matrices
  - $\circ$   $v \in \mathbb{R}^{d_3}$  weight vector

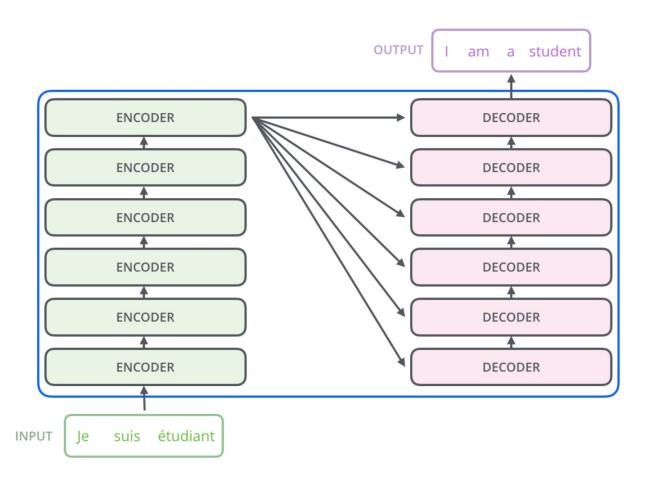
#### Attention advantages

- "Free" word alignment
- Better results on long sequences

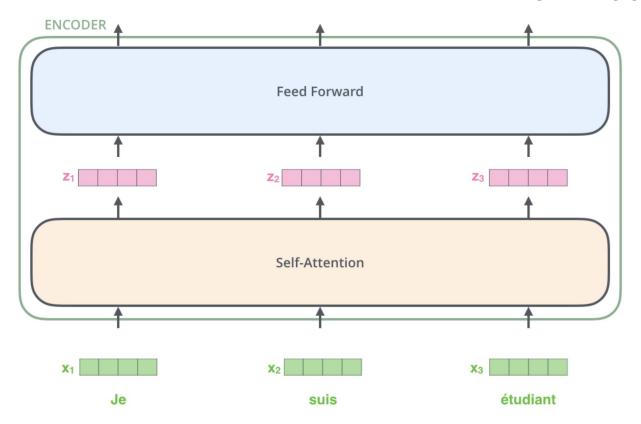




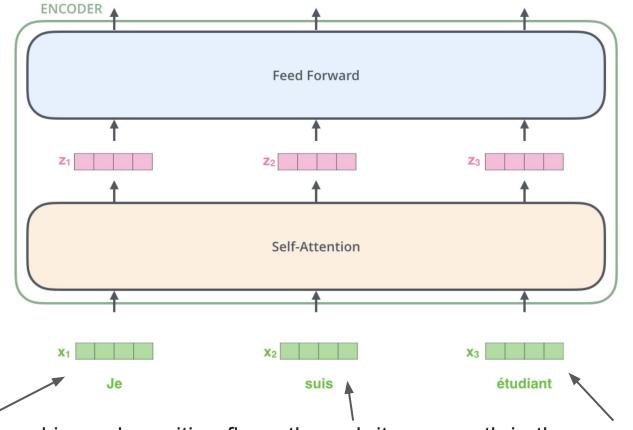




#### The Encoder Side

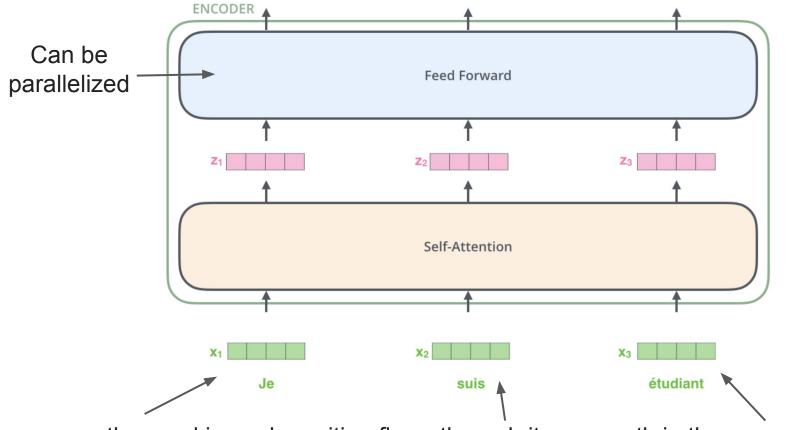


#### The Encoder Side



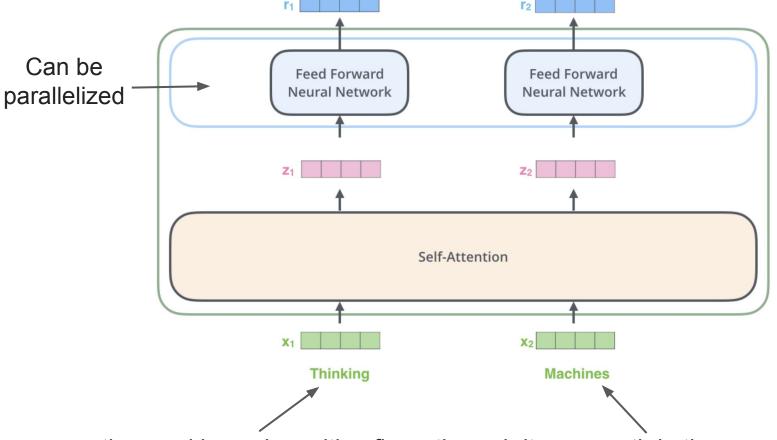
the word in each position flows through its own path in the encoder

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#### The Transformer: quick overview

- Proposed in the paper "Attention is All You Need" (Ashish Vaswani et al.)
- No recurrent or convolutional neural networks -> just attention
- Beats seq2seq in machine translation task
  - O 28.4 BLEU on the WMT 2014 English-to-German translation task
- Much faster
- Uses <u>self-attention</u> concept

# Self-Attention

"The animal didn't cross the street because it was too tired"

What does "it" in this sentence refer to?

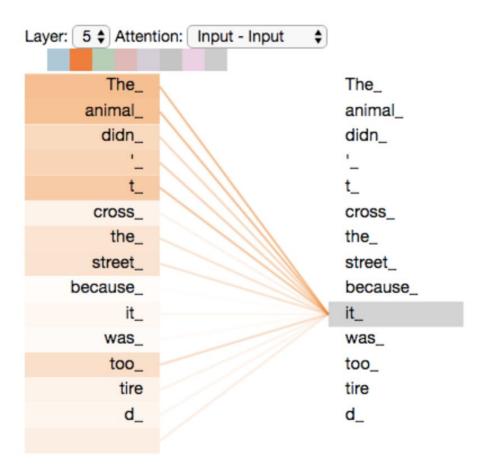
"The animal didn't cross the street because it was too tired"

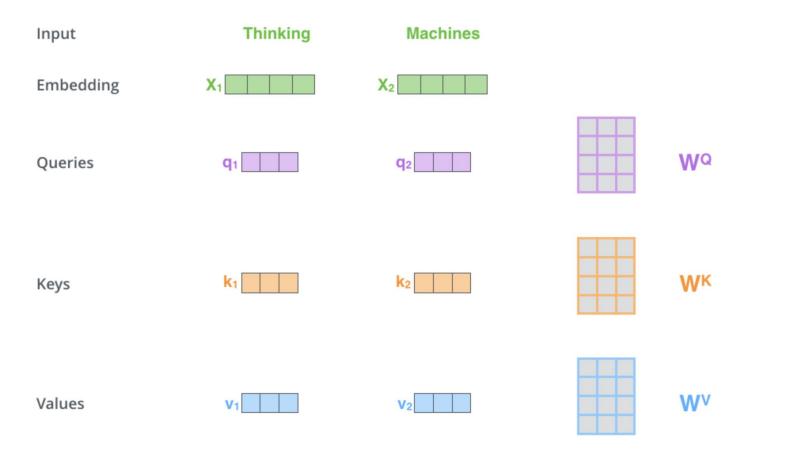
- What does "it" in this sentence refer to?
- We want self-attention to associate "it" with "animal"

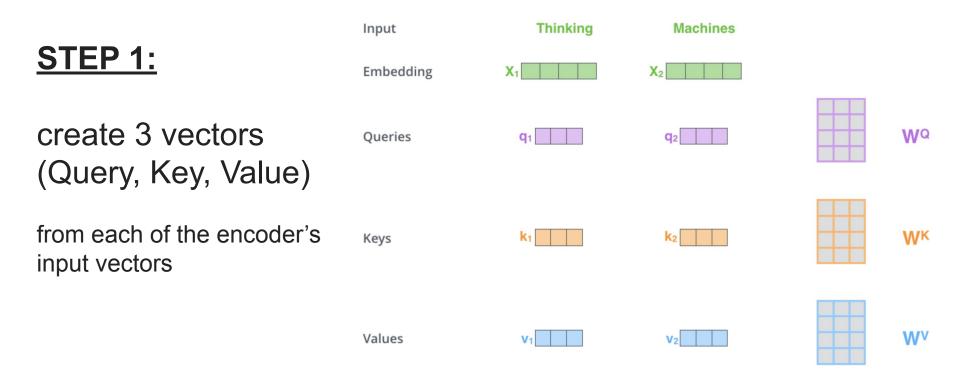
"The animal didn't cross the street because it was too tired"

- What does "it" in this sentence refer to?
- We want self-attention to associate "it" with "animal"

 Self-attention is the method the Transformer uses to bake the "understanding" of other relevant words into the one we're currently processing







What are the "query", "key", and "value" vectors?

What are the "query", "key", and "value" vectors?

They're abstractions that are useful for calculating and thinking about attention.

#### **STEP 2:**

calculate a score

(score each word of the input sentence against the current word) Input

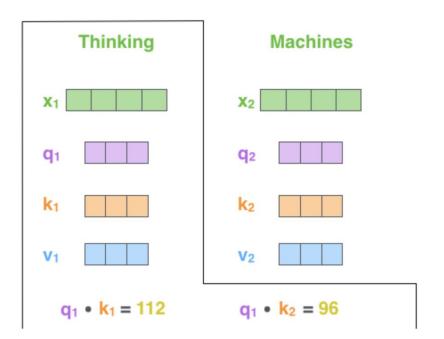
**Embedding** 

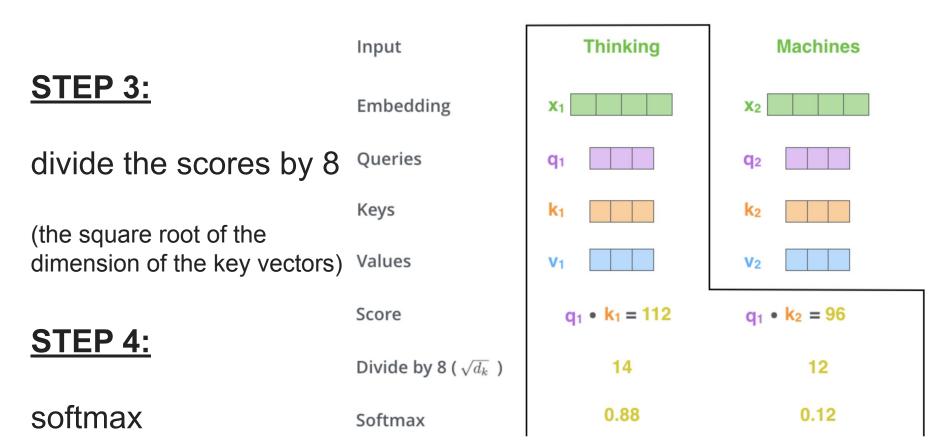
Queries

Keys

Values

Score



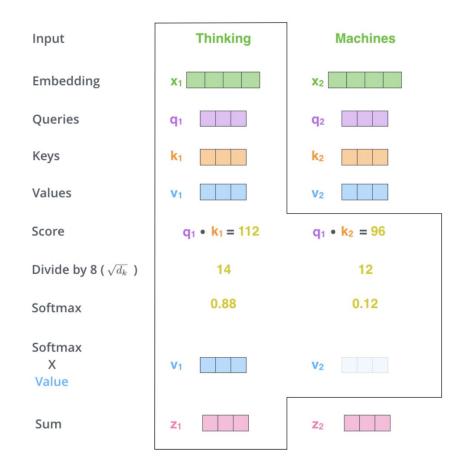


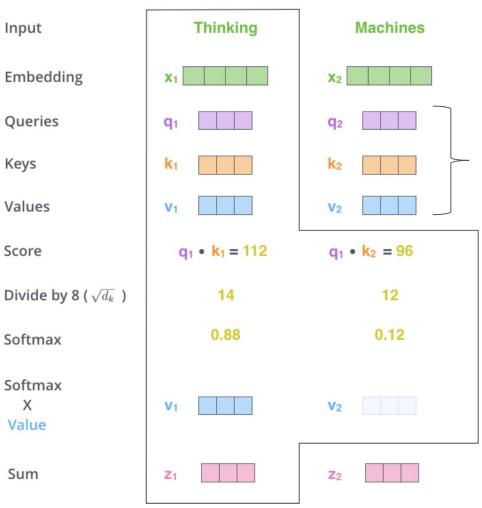
#### **STEP 5**:

multiply each value vector by the softmax score

#### STEP 6:

sum up the weighted value vectors





# Self-Attention

**STEP 1:** create Query, Key, Value

STEP 2: calculate scores

**STEP 3:** divide by  $\sqrt{d_k}$ 

STEP 4: softmax

STEP 5: multiply each value vector by the softmax score

**STEP 6:** sum up the weighted value vectors

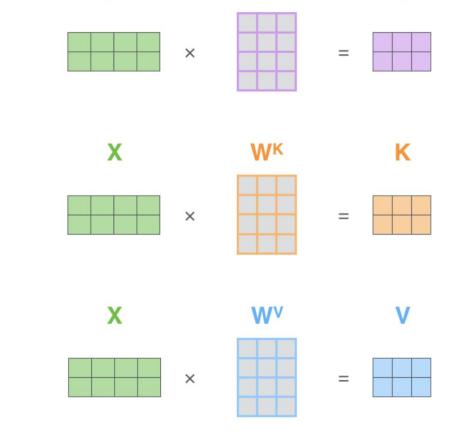
# Self-Attention: Matrix Calculation

WQ

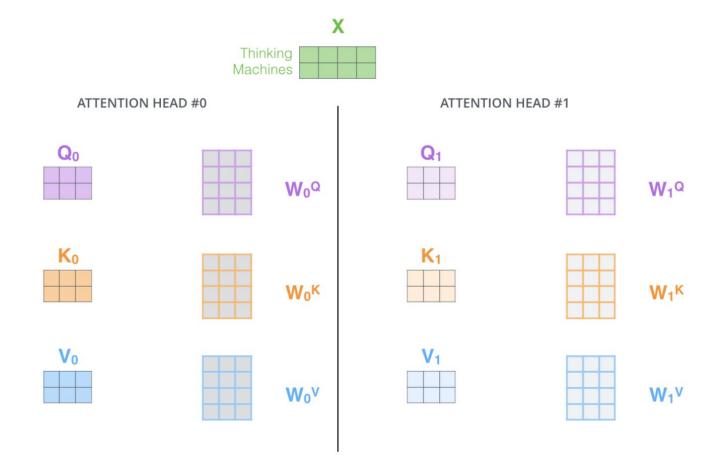
X

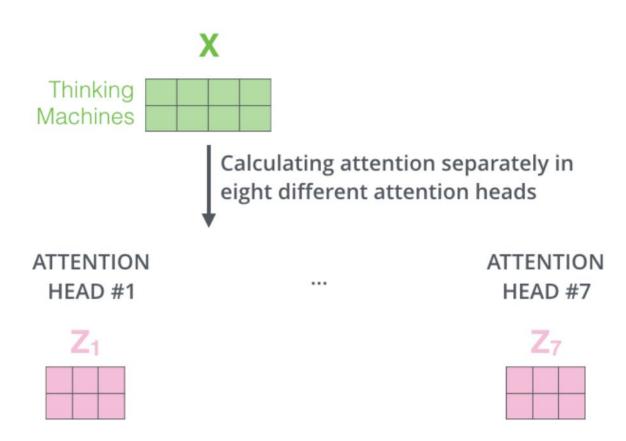
Pack embeddings into matrix **X** 

Multiply X by weight matrices we've trained (Wk, Wq, Wv)



# Self-Attention: Matrix Calculation





ATTENTION

HEAD #0

 $Z_0$ 

1) Concatenate all the attention heads

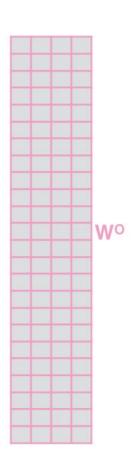


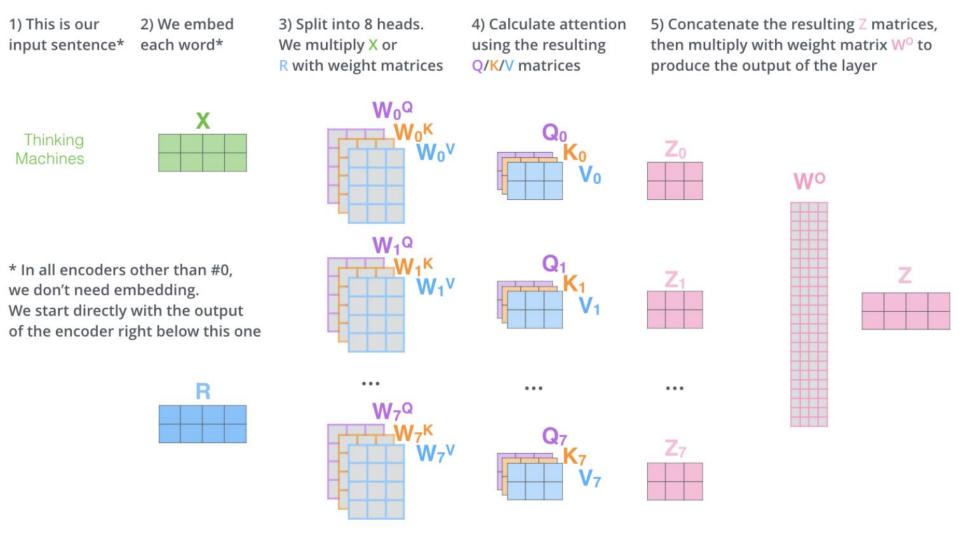
2) Multiply with a weight matrix W<sup>o</sup> that was trained jointly with the model

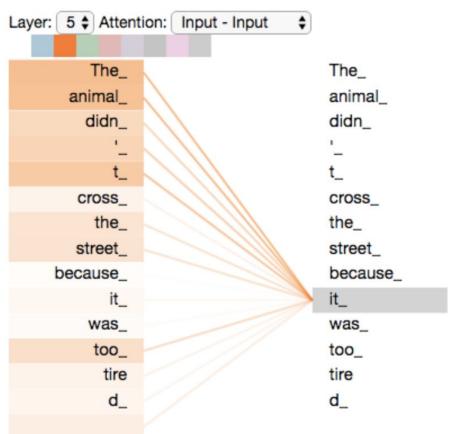
Χ

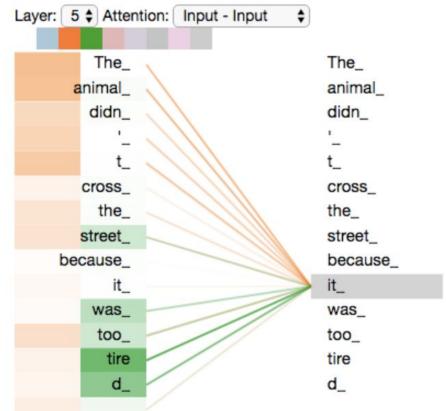
3) The result would be the Z matrix that captures information from all the attention heads. We can send this forward to the FFNN



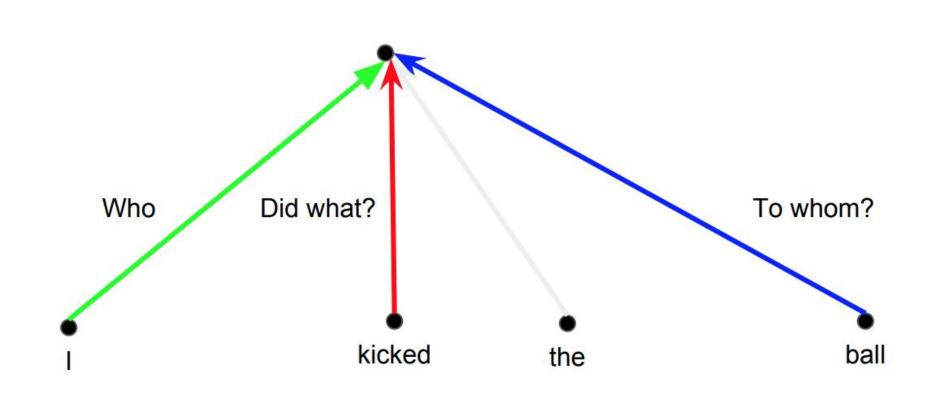




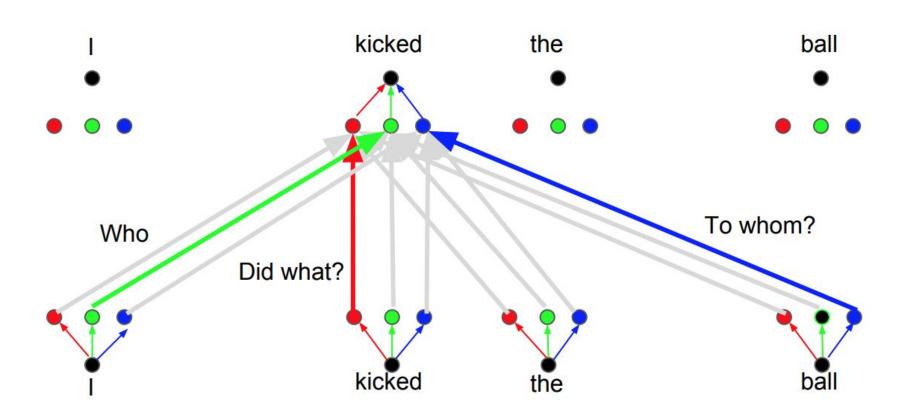




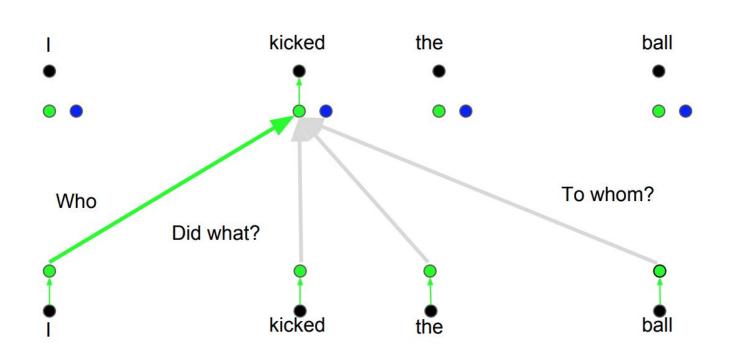
# Why Multi-Head Attention?



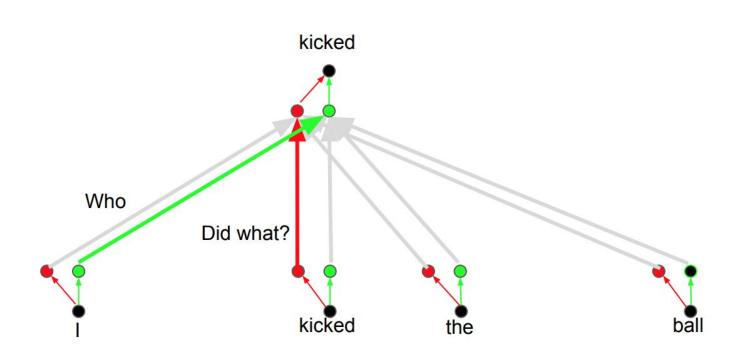
# Why Multi-Head Attention?



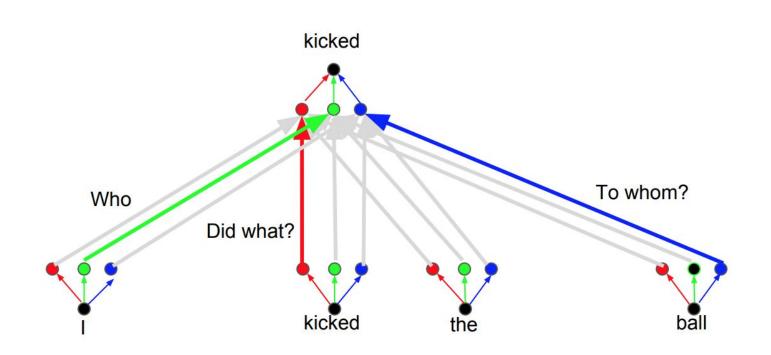
# Attention head: Who



# Attention head: Did What?

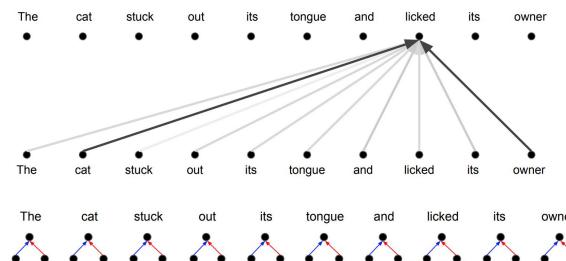


# Attention head: To Whom?



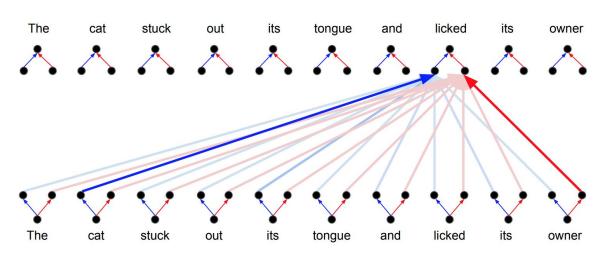
### Attention vs. Multi-Head Attention

**Attention:** a weighted average



### **Multi-Head Attention:**

parallel attention layers with different linear transformations on input and output.



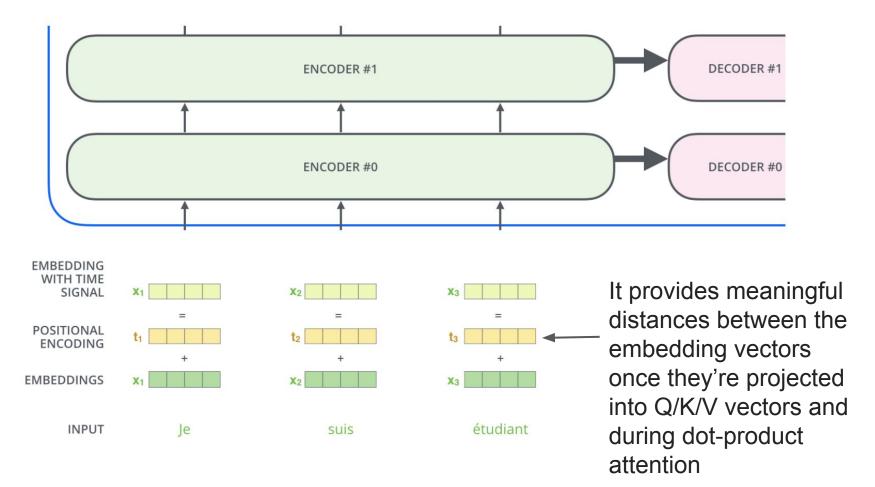
### Performance: WMT 2014 BLEU

	EN-DE	EN-FR
GNMT (orig)	24.6	39.9
ConvSeq2Seq	25.2	40.5
Transformer*	28.4	41.8

<sup>\*</sup>Transformer models trained >3x faster than the others.

## Research Challenges

- Constant 'path length' between any two positions.
- Unbounded memory.
- Trivial to parallelize (per layer).
- Models Self-Similarity.
- Relative attention provides expressive timing, equivariance, and extends naturally to graphs.



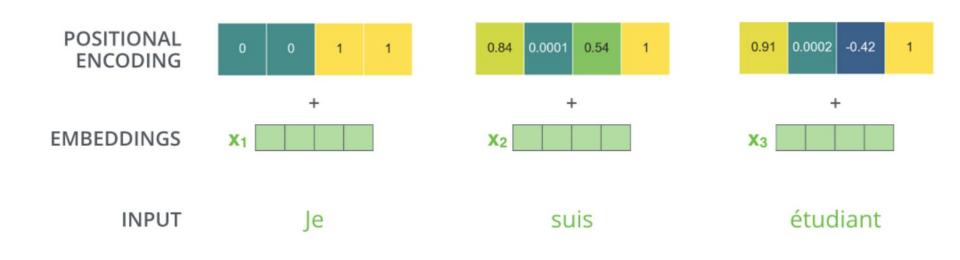
$$PE_{(pos, 2i)} = sin(pos / 10000^{2i / d_{\text{model}}})$$

$$PE_{(pos, 2i+1)} = cos(pos/10000^{2i/d_{model}})$$

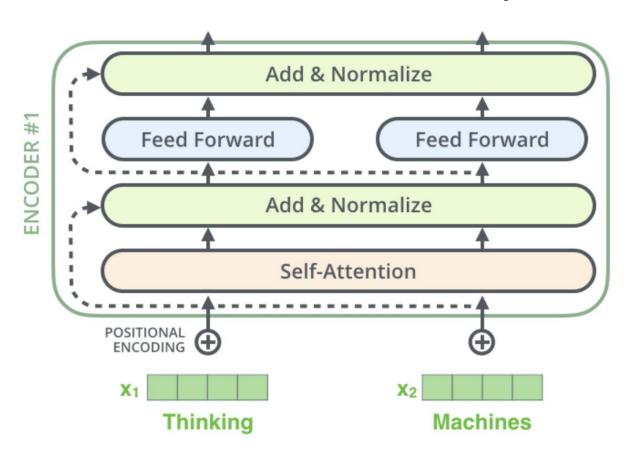
- pos is the position
- *i* is the dimension.

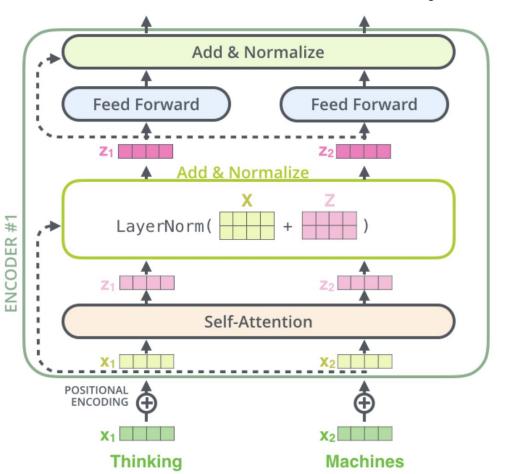
Each dimension of the positional encoding corresponds to a sinusoid.

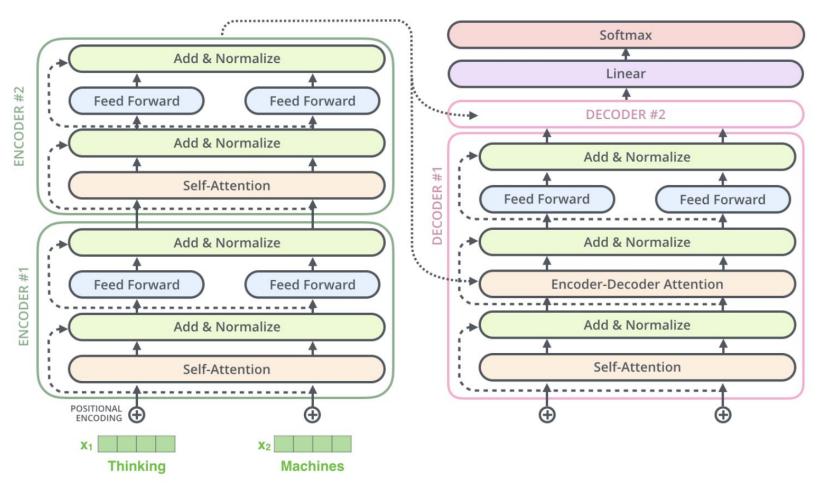
The wavelengths form a geometric progression from 2pi to 2pi \* 10 000



#### Output The Transformer: recap Probabilities Softmax Linear Add & Norm Feed Forward Add & Norm Add & Norm Multi-Head Feed Attention $N \times$ Forward Add & Norm $N \times$ Add & Norm Masked Multi-Head Multi-Head Attention Attention Positional Positional Encoding Encoding Input Output Embedding Embedding 50 Inputs Outputs (shifted right)

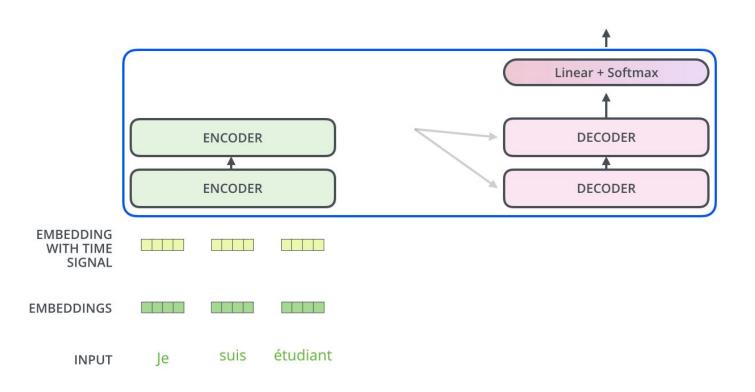






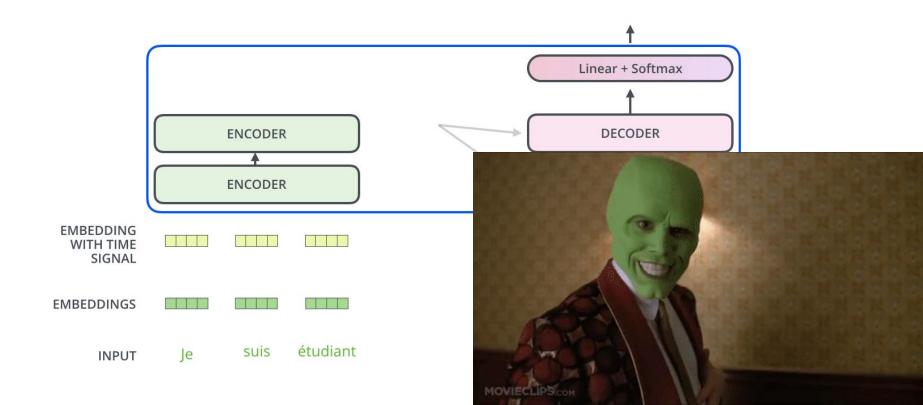
# The Decoder

Decoding time step: 1 2 3 4 5 6 OUTPUT

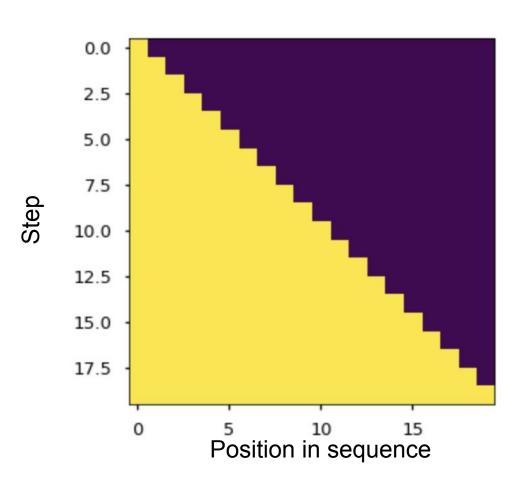


Decoding time step: 1 2 3 4 5 6 OUTPUT Linear + Softmax **DECODER ENCODER ENCODER DECODER EMBEDDING** WITH TIME **SIGNAL** Here comes the mask **EMBEDDINGS** étudiant suis Je INPUT

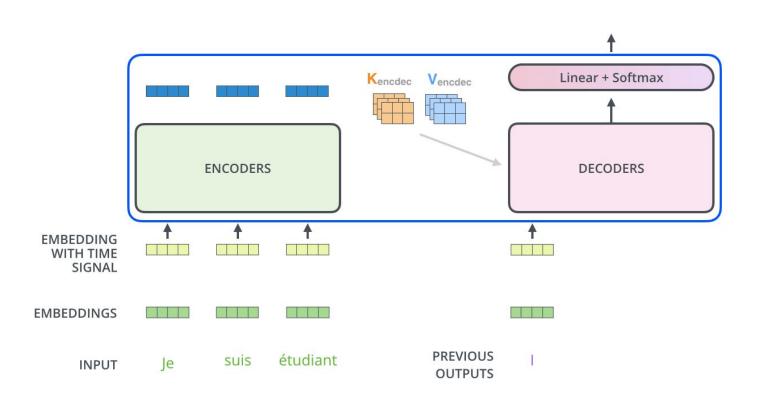
Decoding time step: 1 2 3 4 5 6 OUTPUT



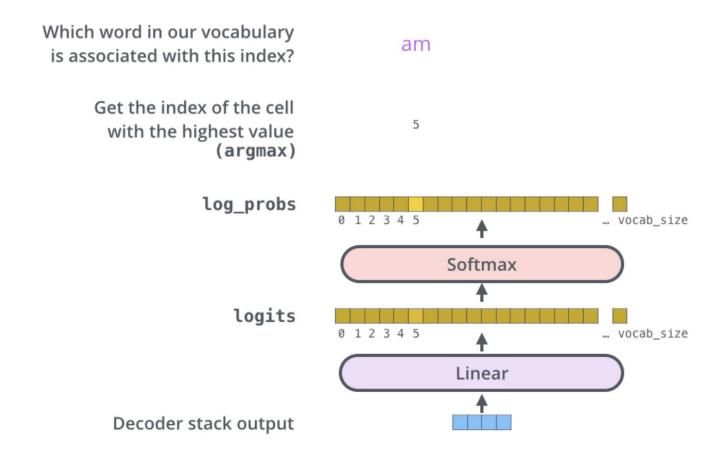
### The masked decoder input



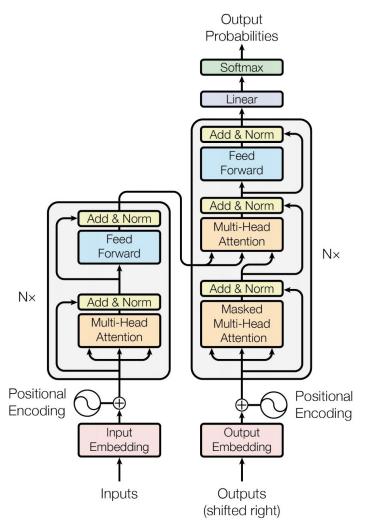
Decoding time step: 1 2 3 4 5 6 OUTPUT



### Final Linear and Softmax Layer



### The Transformer



### Outro and Q&A

- Transformer is novel and very powerful architecture
- It is worth it to understand how Self-Attention works
- Physical analogues can help you

Further readings are available in the repo