

# 高级搜索树

## 伸展树：分摊分析

10-A4

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所谓物价，其实就是我称之为生命的那部分，必须在交换时支付：要么立即支付，要么以后支付

转圆石于千仞之山者，势也

# S的势能

❖ (任何时刻的) 任何一棵伸展树  $S$ , 都可以假想地被认为具有势能

$$\Phi(S) = \log \left( \prod_{v \in S} \text{size}(v) \right) = \sum_{v \in S} \log(\text{size}(v)) = \sum_{v \in S} \text{rank}(v)$$

❖ 直觉：越平衡/倾侧的树，势能越小/大

- 单链：  $\Phi(S) = \log n! = \mathcal{O}(n \log n)$

$$\text{- 满树： } \Phi(S) = \log \prod_{d=0}^h (2^{h-d+1} - 1)^{2^d} \leq \log \prod_{d=0}^h (2^{h-d+1})^{2^d}$$

$$= \log \prod_{d=0}^h 2^{(h-d+1) \cdot 2^d} = \sum_{d=0}^h (h - d + 1) \cdot 2^d = (h + 1) \cdot \sum_{d=0}^h 2^d - \sum_{d=0}^h d \cdot 2^d$$

$$= (h + 1) \cdot (2^{h+1} - 1) - [(h - 1) \cdot 2^{h+1} + 2] = 2^{h+2} - h - 3 = \mathcal{O}(n)$$

# T的上界

♦ 考查对伸展树  $S$  的  $m \gg n$  次连续访问 ( 不妨仅考查  $\text{search}(v)$  )

♦ 若记 :  $A^{(k)} = T^{(k)} + \Delta\Phi^{(k)}$ ,  $k = 0, 1, 2, \dots, m$

则有 :  $A - \mathcal{O}(n \log n) \leq T = A - \Delta\Phi \leq A$

♦ 故若能证明 :  $A = \mathcal{O}(m \log n)$

则必有 :  $T = \mathcal{O}(m \log n)$

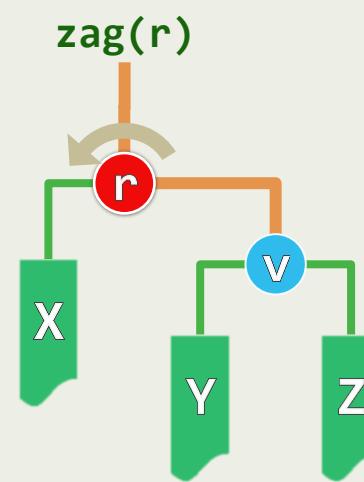
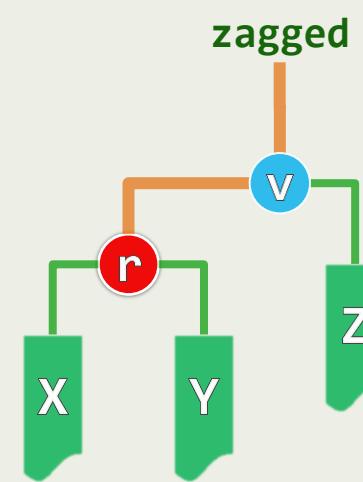
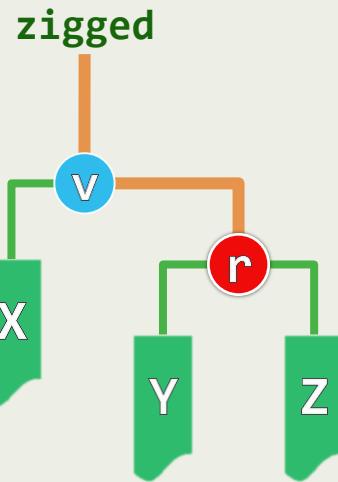
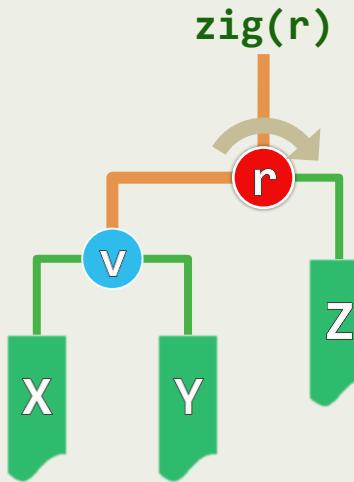
♦ 好消息是, 尽管  $T^{(k)}$  的变化幅度可能很大

我们却能证明,  $A^{(k)}$  都不致超过节点  $v$  的势能变化量, 即

$$\mathcal{O}(\text{rank}^{(k)}(v) - \text{rank}^{(k-1)}(v)) = \mathcal{O}(\log n)$$

♦ 事实上, 每一个  $A^{(k)}$  都是若干次伸展操作 ( 时间成本 ) 的累积, 这些操作无非三种情况 . . .

# Zig / Zag

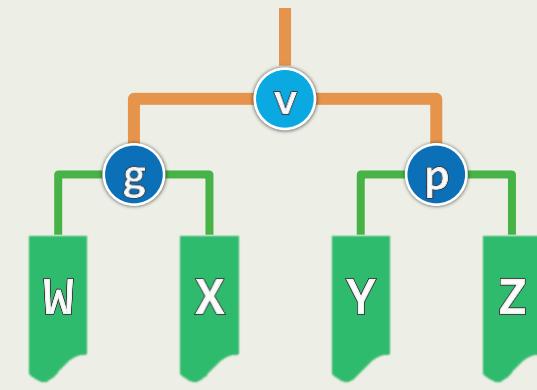
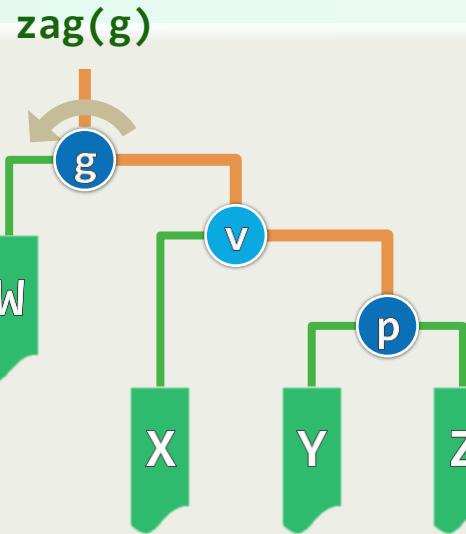
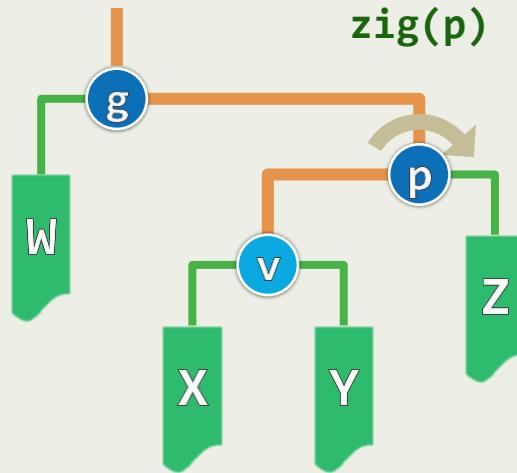


$$A_i^{(k)} = T_i^{(k)} + \Delta\Phi(S_i^{(k)}) = 1 + \Delta\text{rank}_i(r) + \Delta\text{rank}_i(v)$$

$$= 1 + [\underline{\text{rank}_i(r) - \text{rank}_{i-1}(r)}] + [\text{rank}_i(v) - \text{rank}_{i-1}(v)]$$

$$\leq 1 + [\text{rank}_i(v) - \text{rank}_{i-1}(v)]$$

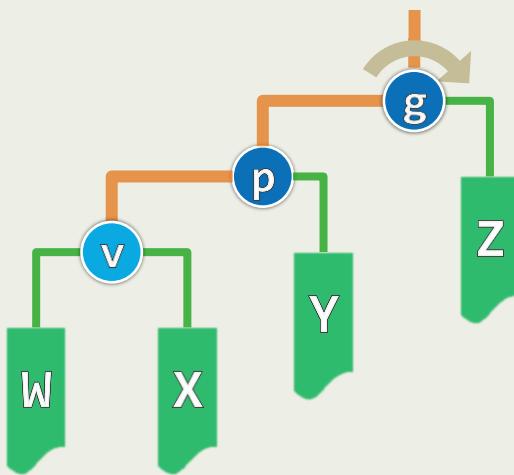
## zig-zag / zag-zig



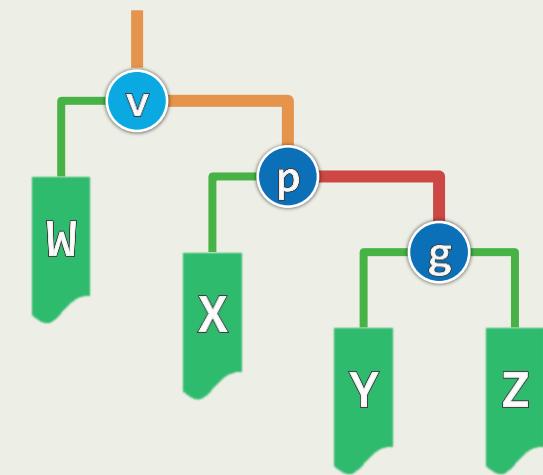
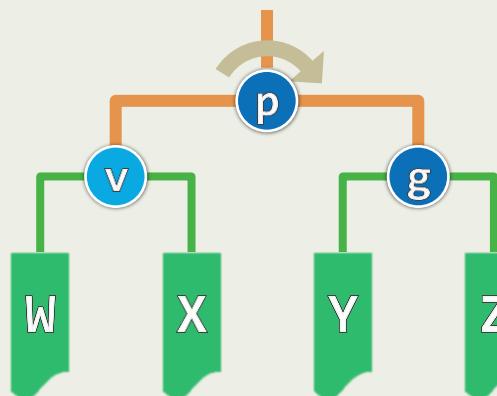
$$\begin{aligned}
 A_i^{(k)} &= T_i^{(k)} + \Delta\Phi(S_i^{(k)}) = 2 + \Delta\text{rank}_i(g) + \Delta\text{rank}_i(p) + \Delta\text{rank}_i(v) \\
 &= 2 + [\text{rank}_i(g) - \cancel{\text{rank}_{i-1}(g)}] + [\text{rank}_i(p) - \cancel{\text{rank}_{i-1}(p)}] + [\cancel{\text{rank}_i(v)} - \cancel{\text{rank}_{i-1}(v)}] \\
 &\leq 2 + \underline{\text{rank}_i(g) + \text{rank}_i(p)} - 2 \cdot \text{rank}_{i-1}(v) \quad (\text{since } \text{rank}_{i-1}(p) > \text{rank}_{i-1}(v)) \\
 &\leq 2 + \underline{2 \cdot \text{rank}_i(v) - 2} - 2 \cdot \text{rank}_{i-1}(v) \quad (\text{since } \text{rank}(v) = \log(\text{size}(v)) \text{ is concave}) \\
 &= 2 \cdot (\text{rank}_i(v) - \text{rank}_{i-1}(v))
 \end{aligned}$$

## zig-zig / zag-zag

$\text{zig}(g)$



$\text{zig}(p)$



$$\begin{aligned}
 A_i^{(k)} &= T_i^{(k)} + \Delta\Phi(S_i^{(k)}) = 2 + \Delta\text{rank}_i(g) + \Delta\text{rank}_i(p) + \Delta\text{rank}_i(v) \\
 &= 2 + [\text{rank}_i(g) - \cancel{\text{rank}_{i-1}(g)}] + [\text{rank}_i(p) - \cancel{\text{rank}_{i-1}(p)}] + [\cancel{\text{rank}_i(v)} - \cancel{\text{rank}_{i-1}(v)}] \\
 &\leq 2 + \text{rank}_i(g) + \underline{\text{rank}_i(p)} - 2 \cdot \text{rank}_{i-1}(v) \quad (\text{since } \text{rank}_{i-1}(p) > \text{rank}_{i-1}(v)) \\
 &\leq 2 + \text{rank}_i(g) + \underline{\text{rank}_i(v)} - 2 \cdot \text{rank}_{i-1}(v) \quad (\text{since } \text{rank}_i(p) < \text{rank}_i(v)) \\
 &\leq 3 \cdot (\text{rank}_i(v) - \text{rank}_{i-1}(v)) \quad (\text{since } \text{rank}_i(g) + \text{rank}_{i-1}(v) \leq 2 \cdot \text{rank}_i(v) - 2)
 \end{aligned}$$